Oregon
Kate Brown, Governor

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Provider Alert
Nursing Facility Providers
IM-16-16-NF
Date: April 19, 2016
From: Nursing Facility Licensing Unit
Subject: News Hour

Summary:

Nursing Facility Quarterly News Hour for Wednesday, April 20, 2016

- *Access the NF News Hour live via internet and/or by telephone*
- *Online: Copy and past the link below into the address bar of you web browser:*
  https://connect9.uc.att.com/service32/meet/?ExEventID=84127699
- *The link will take you to the AT&T connect website*
- *Enter your First Name, Last Name, and email address, then hit OK*
- *Access audio either online or by telephone*
  USA Toll-Free: 1-877-848-7030, Access Code: 4127699#
- *Please see below for the agenda and presentation materials.*

For general information contact the DHS Office of Licensing and Regulatory Oversight or visit the DHS Web site at www.oregon.gov/DHS/
NF.Licensing@state.or.us

Agenda	**Nursing Facility News Hour** **4/20/2016 10 am to 11 am** **Conference Room: 133**

OLRO Participants: Dave Allm, Unit Manager; Keith Ramey, Connie Rush and Celeste Symonette, Survey Managers, Lynn Beaton, Operations and Policy Analyst, Joanne (Jo) Birney, Nursing Assistant Policy, Renee Shearer, Operations and Policy Analyst, and Marcia Thompson, Licensing and Policy Analyst, Ann Birch and Kathy Giddens , Compliance Specialists, Deborah Cateora, Operations and Policy Analyst, Ian Cobb, Administrative Support. Special Guest Lee Coleman BIRU Manager

	Agenda topics	
	Welcome & Introductions	Dave
5 mins	Survey Updates	
5 mins	Top 10 Citations	Dave
10 mins	Customer Service Survey Process	Lee Coleman
15 mins	Infection Control Update	Deborah Cateora

Link to download ATT connect software:
https://connect9.uc.att.com/service32/meet/?ExEventID=84127699

Conference Number: 1-877-848-7030 / Participant Code: 4127699#

Comment Email Box: NF.LICENSING@dhsoha.state.or.us

OREGON DEPARTMENT OF HUMAN SERVICES

Nursing Facility News Hour

April 20, 2016
10:00 to 11:00 am

DHS | Safety, health and independence for all Oregonians

Welcome & Introductions

Dave Allm, Manager
Nursing Facility Licensing

DHS

Top 10 Citations – Dave Allm, Manager

Oregon Department of Human Services
Aged and Physically Disabled

Top 10 Citations Trend Report **Current QTR = 10/01/2015 - 12/31/2015** *Reported Years are calendar years, Jan-Dec.

Submitted 01/2016

Nursing Facilities Health Tags

	1	2	3	4	5	6	7	8	9	10	Total cites	Surveys Recerts/ Comp	Avg cites per Survey
Current QTR	309(28)	272(18)	314(12)	323(12)	514(12)	279(10)	278(9)	329(9)	441(9)	280(8)	251	27/39	6/1.8
Prior QTR	309(27)	323(18)	272(16)	329(15)	278(13)	280(11)	314(11)	226(9)	225(8)	279(8)	266	34/56	5/1.5
2015	309(101)	272(62)	329(61)	323(58)	278(45)	279(43)	280(41)	314(41)	514(38)	441(34)	1040	127/173	5.4/1.5
2014	309(86)	323(55)	329(39)	272(35)	280(30)	441(29)	431(26)	371(24)	314(23)	279(19)	690	108/218	4.6/1.4
2013	323(72)	309(70)	329(39)	272(33)	371(34)	279(29)	281(28)	441(28)	157(27)	514(26)	731	90/275	5/1.2
2012	323(72)	309(50)	281(33)	329(32)	272(29)	441(29)	279(25)	514(22)	431(21)	333(19)	690	118/264	3.3/1.2
2011	309(89)	323(75)	281(62)	279(32)	329(32)	225(29)	314(28)	272(27)	371(26)	441(23)	775	98/297	5/1.1

Nursing Facilities LSC Tags

Current QTR	147924)	62(16)	144(14)	50(13)	52(12	76(12)	29(10)	18(7)	54(7)	64(7)	184	29	6.3
Prior QTR	147(20)	62(18)	50(16)	144(13)	18(12)	64(11)	52(9)	29(7)	72(7)	38(6)	181	34	5.3
2015	147(88)	62(86)	50(80)	144(59)	52(44)	76(39)	64(39)	18(32)	29(29)	48(29)	809	126	6.4
2014	50(56)	62(56)	144(43)	147(43)	48(41)	52(34)	18(30)	76(24)	66(23)	29(22)	572	106	5.4
2013	50(46)	147(44)	62(42)	51(36)	48(28)	144(26)	69(22)	64(21)	29(17)	76(17)	473	90/1	5.3
2012	147(81)	62(63)	48(51)	29(43)	50(43)	144(40)	51(33)	18(30)	69(30)	17(29)	763	117	6.5
2011	147(82)	62(73)	144(67)	52(50)	18(49)	48(49)	69(47)	50(43)	51(37)	17(36)	1008	96/ 1	10.4/ 7

Community Based Care (ALF & RCF) Bold not counted in total

Current QTR	**999(41)**	260(23)	280(21)	303(19)	270(18)	240(16)	370(14)	310(13)	252(11)	330(11)	212	53	4
Prior QTR	**999 (51)**	270(33)	280(30)	370(25)	260(24)	310(21)	303(20)	252(18)	290(18)	240(13)	312	68	4.5
2015	**999(172)**	270(105)	260(103)	280(95)	370(78)	303(75)	310(74)	252(64)	240(56)	330(45)	1067	227	4.7
2014	**999(154)**	270(122)	260(121)	280(103)	303(98)	310(87)	370(87)	252(73))	290(72)	240(64)	1377	194	7.1
2013	**999(165)**	270(103)	260(102)	303(86)	280(77)	310(63)	240(58)	290(50)	252(48)	231(42)	1160	256	4.5
2012	**999(136)**	260(99)	270(91)	370(83)	303(67)	280(66)	240(57)	252(54)	310(47)	290(46)	979	201	4.9
2011	**999(119)**	303(114)	260(111)	270(105)	280(99)	240(77)	231(62)	252(61)	290(52)	310(51)	1236	201	6.1

Memory Care/ Alzheimer Care Unit Tags

Current QTR	162 (12)	142(7)	164(6)	163(5)	154(5)	165(5)	150(1)	173(1)	176(1)		43	18	2.4
Prior QTR MC	162 (14)	142(11)	154(7)	164(5)	165(3)	140(1)	163(1)	168(1)	160(1)	173(1)	50	14	3.6
2015	162(56)	142(46)	154(25)	164(20)	163(17)	165(16)	140(6)	176(6)	177(5)	150(5)	219	63	3.4
2014	162(45)	142(39)	154(16)	164(11)	163(9)	165(9)	173(7)	140(5)	150(5)	161(4)	162	64	2.5
2013	162(34)	142(26)	163(8)	164(8)	154(7)	173(6)	161(5)	165(5)	140(3)	150(3)	111	41	2.7
2012	162(39)	142(36)	154(10)	165(7)	164(6)	173(4)	161(3)	163(3)	168(3)	146(2)	118	42	2.8
2011	162(41)	142(33)	161(9)	164(9)	165(8)	154(5)	163(5)	140(3)	160(3)	168(3)	129	50	2.6

* for MCC surveys indicates includes both NF and CBC Memory Care Units/Surveys.

Oregon Fire Safeety Regulations

Current QTR	38(1)										1		

Submitted 01/11/2016

Top 10 Citations – Dave Allm, Manager

	No cites Q3, Q4 2014, Q1, Q2 or Q3 2015												
Q2 14	130(1)										1		
Q4 & Q1 13, 14	- No tags for 4th Q 13 and 1st Q 14										0		
Q3 2013	51(1)										1		1
Q2 2013	51(3)										3		1
Q1 2013	51(1)										1		

Nursing Home Licensure - M tags

Current QTR	183(8)	180(3)	481(2)	141(1)	152(1)	159(1)	161(1)	272(1)	283(1)	485(1)	20	27	0.7
2015	183(8)	180(3)	481(2)	141(1)	152(1)	159(1)	161(1)	272(1)	283(1)	485(1)	20	27	0.7

Nursing Facility Tags and Description

0156 NOTICE OF RIGHTS, RULES, SERVICES, CHARGES
0157 NOTIFY OF CHANGES(injury/decline/room)Etc.
0159 PROTECTION OF RESIDENT FUNDS
0221 RIGHT TO BE FREE FROM PHYSICAL RESTRAINTS NOT REQ'D FOR TX
0225 NOT EMPLOY PERSONS GUILTY OF ABUSE
0226 POLICIES, PROCEDURES PROHIBIT ABUSE, NEGLECT
0272 COMPREHENSIVE ASSESSMENTS
0274 RESIDENT ASSESSMENT
0276 QUARTERLY REVIEW OF ASSESSMENTS
0278 RESIDENT ASSESSMENT
0279 COMPREHENSIVE CARE PLANS
0280 DEVELOPMENT/PREP/REVIEW OF COMP CARE PLAN
0281 COMPREHENSIVE CARE PLANS
0283 DISCHARGE SUMMARY
0309 PROVIDE NECESS CARE FOR HIGHEST PRAC WELL BEING
0312 ACTIVITIES OF DAILY LIVING
0314 PROPER TREATMENT TO PREVENT/HEAL PRESSURE SORES
0315 URINARY INCONTINENCE
0323 FACILITY IS FREE OF ACCIDENT HAZARDS
0324 SUPERVISION/DEVICES TO PREVENT ACCIDENTS
0329 DRUG REGIMEN IS FREE FROM UNNECESSARY DRUGS
0333 RESIDENTS FREE FROM SIGNIFICANT MED ERRORS
0371 SANITARY CONDITIONS: FOOD PREP & SERVICE
0431 DRUG RECORDS LABEL/STORE DRUGS & BIOLOGICALS
0441 INFECTION CONTROL
0465 ENVIRONMENT IS SAFE/FUNCTIONAL/SANITARY/COMFORTABLE
0514 RES RECORDS - COMPLETE/ACCURATE/ACCESSIBLE

Nursing Facility Life Safety Code Tags and Descrip

K 0012 Building construction type and height
K 0015 Interior Finishes
K 0017 Corridor Separation
K 0018 Doors
K 0027 Door openings
K 0029 One hour fire rated construction
K 0038 exits are readily accessible
K 0039 Aisles or corridors serving as exit access- 4 feet
K 0048 Written plan for protection and evacuation
K 0050 Fire drills
K 0051 Fire Alarm System, drills, maintenance
K 0052 Alarm System
K 0056 If an automatic sprinkler system, it is adequate
K 0062 Automatic sprinkler systems maintained
K 0064 Portable fire extinguishers
K 0066 Smoking regulations
K 0069 Cooking Facilities are Protected
K 0072 Unobstructed Egress
K 0076 Oxygen stor locations for supply systems
K 0130 OTHER LSC DEFICIENCY NOT ON 2786
K 0144 Generators
K 0146 Alternate Source of Power for Life Support
K 0147 Electrical wiring and equipment
K 0154 automatic sprinkler system is out of service
K 0155 Fire alarm system
K 0211 Alcohol Based Hand Rub dispensers

Memory Care Communities Tags and Descriptions

Z140 Administration Responsibilities
Z142 Administration Compliance
Z146 Uniform Disclosure
Z150 Staffing
Z154 Staff Training
Z160 Resident Services
Z161 ADL's
Z162 Compliance with Rules Health Care
Z163 Nutrition and Hydration
Z164 Activities
Z165 Behavior
Z168 Outside Area
Z170 Physical Design
Z173 Secure Outdoor Recreation Area
Z177 Exit Doors

Oregon Fire Safety Regulations

O0038 Deadends /Gates/Exit Access
O0048 Disaster Plans
O0051 Fire Alarm Install

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Top 10 Citations – Dave Allm, Manager

Z176 Resident Rooms O0056 Sprinkler Install

Alzheimer Care Unit Tags and Description

Z - 0200 - Standards: Physical Design
Z - 0211 - Standards: Outdoor Spaces and Walkways
Z - 0216 - Standards: Physical Environment
Z - 0221 - Standards: Room Identification
Z - 0222 - Standards: Passageways
Z - 0226 - Standards: Keypads
Z - 0231 - Standards: Staffing
Z - 0232 - Standards: Staffing Schedule
Z - 0233 - Standards: Staff Orientation
Z - 0234 - Standards: In-Service Training
Z - 0235 - Admission, Discharge & Disclosure
Z - 0236 - Standards: Disclosure
Z - 0240 - Standards: Assessments & Individual Care Plan
Z - 0242 - Standards: 14 days
Z - 0243 - Standards: Individual Care Plan
Z - 0244 - Standards: Quarterly Updates
Z - 0245 - Standards: Care Plan Interventions
Z - 0246 - Standards: Resident Outcomes
Z - 0247 - Standards: Family Involvment
Z - 0250 - Standards: Therapeutic Activities

Community Based Care (11/2007)

150 - Administration Operations
151 - Administration: Criminal History
160 - Reasonable Precautions
200 - Resident Rights
230 - Abuse Reporting & Investigation
231 - Abuse Reporting and Investigation
232 - Abuse Reporting, prompt & detailed
235 - Abuse Reporting - Immunity
240 - Meals, Food Sanitation
252 - Res Move-In & Eval: General
255 - Resident Move In and Eval
260 - General Service Plan
270 - Change of Condition & Monitoring
280 - Resident Health Services
290 - On & Off site health services
300 - Systems: Medication Administration
303 - Med Treatment Orders
310 - Medication Administration
330 - Systems: Psychoactive Medication
340 - Restraints and Supportive Devices
365 - Training Requirements
370 - Staffing Requirements and Training
420 - Fire & Life Safety
999 - Technical Assistance

Nursing Home Licensure (9/2015)

0141	Employees Reference Checks and Verifications
0142	Employees: Nursing Personnel Verification
0143	Employees: Criminal Record Checks
0151	Resident Rights: Generally
0152	Resident Rights: Charges and Rates
0159	Resident Rights: Personal Funds
0180	Nursing Services: Daily Staff Public Posting
0181	Nursing Services: Staffing Licensed Nurse
0183	Nursing Services: Minimum CNA Staffing
0272	Comprehensive Assessment and Care Plan
0273	Care Plan Prep, Implementation & Documentatio
0280	Physician Services: Medical Director
0281	Physician Services: Attending Physician
0282	Physician Services: Medications & Treatments
0283	Physician Services: Physician Visits
0481	Electrical System: Nurse Call System
0482	Electrical System: Fire/Smoke Alarm Systems
0485	Heating and Ventilating Systems

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Customer Service Survey Process

Lee Coleman, BIRU Manager

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Your Opinion Matters

Office of Licensing & Regulatory Oversight would like to hear from you...

DHS | Oregon Department of Human Services

We would like to hear from you...

About your experience working with us

Please take a few minutes to complete our feedback survey at

www.tinyurl.com/Survey-OLRO

SDS 0730 (1/2016)

Infection Control Update

Deborah Cateora, Operations and Policy Analyst

DHS

INFLUENZA VACCINES

- **The Oregon Health Division (OHD) 2014-2015 Vaccination Rates:**
  - Nursing Facilities (percent is based on total number of eligible facility staff) eligible staff vaccine rates:
    - 81% - 100%: 27 Facilities;
    - 51% - 80%: 62 Facilities;
    - 0% - 50%: 48 Facilities.
- **Complete report available at:** https://public.health.oregon.gov/DiseasesConditions/CommunicableDisease/HAI/Documents/Reports/Vacc_Report2015.pdf

INFECTION CONTROL

- **Incidence of influenza had a late start this year:**
  - Not too late for flu vaccines;
  - Norovirus outbreaks on the rise.
- **Provide on-going focus on routine hand and respiratory hygiene to reduce influenza experience and other healthcare acquired infections:**
  - Failure to utilize appropriate level of standard precautions increasing the potential transmission of an infectious agent ***may result in a citation***;
  - Infection control efforts should be addressed year-around to reduce "fatigue" or staff short-cuts.

INFECTION CONTROL

- **Oregon Health Division is working on efforts to improve:**
  - Reporting of required reportable diseases;
  - Reporting of disease outbreaks;
  - Adherence to the MDRO reporting requirements.
- **OHD noted confusion with:**
  - Reportable disease requirements vs reportable outbreaks;
  - Who is the "State";
  - OHD and OLRO will begin working on training; and
  - Identify any necessary rule clarification to assure facilities know who to report.

RESOURCES

- ***Oregon Disease Reporting: What is Reportable and When:*** https://public.health.oregon.gov/DiseasesConditions/CommunicableDisease/ReportingCommunicableDisease/Pages/reportable.aspx
- ***Local County Health Department Contact Information:*** https://public.health.oregon.gov/ProviderPartnerResources/LocalHealthDepartmentResources/Pages/lhd.aspx
- **MDRO: *Interfacility Transfer Form*:** http://public.health.oregon.gov/DiseasesConditions/CommunicableDisease/HAI/Documents/InterFacility_Transfer/Oregon-IFT_Form.pdf

RESOURCES

- **Oregon Flu Information:**
  https://public.health.oregon.gov/DiseasesConditions/CommunicableDisease/DiseaseSurveillanceData/Influenza/Pages/surveil.aspx
- **Oregon Patient Safety Commission:**

  http://oregonpatientsafety.org

RESOURCES

- **Guidance for Use of PPE:** www.cdc.gov/HAI/pdfs/ppe/PPEslides6-29-04.pdf
  - **PPE Training Guidance:** www.cdc.gov/HAI/prevent/ppe_train.html
- **Hand Hygiene Training tools:** www.cdc.gov/handhygiene/training.html
- **Sharps Safety Training tools:** www.cdc.gov/sharpssafety/tools.html
- **5TH Guy Videos:** www.5thguy.com

Questions and Answers Summary

Save the Date!

Upcoming NF Quarterly

News Hour Dates:

- **July 20, 2016**

DHS