



Exceptions and Funding Reviews

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2015



The What's

- o **Exception** = when a need exceeds a service limitation or established benefit level
 - o Examples (not exclusive):
 - o Attendant care hours or 2:1 supports
 - o Relief care days
 - o Behavioral Consultation
 - o PSW hours over 50/week (as required by matrix)
 - o Rate exceptions for providers (non PSWs)

o Examples continued with \$\$ limits (not exclusive list):

- o Vehicle modifications
- o Assistive devices/DME
- o Electronic devices
- o Durable Medical Equipment (DME)
- o Environmental Modifications
- o Transportation – Fund Review required



The Why's

- Service limits are necessary to support the k-plan and waiver limitations
- Some individuals may need exceptional supports due to health, safety or an inability to be independent within their home and/or community

Why is ODDS approval necessary?

- o 1915(k) Community First Choice (k-plan)
- o 1915(c) waivers
- o Oregon Administrative Rules

The When

- o SC/PA service planning
- o Role of SC/PA in requesting exception
 - o Supported
 - o Not supported
 - o Research and cost effectiveness
- o Issuance of Notification of Planned Action

The How

- o Complete form 0514DD
- o Email to ODDS.FundingReview@state.or.us
(secure email is required)
- o Instructions to completion of the form
=0514DDinst



SDS 0514DD

- o Submit at least 2 weeks prior to need or ISP authorization (unless emergency)
- o Provide as much information as possible
 - o Reason for request
 - o What the specific request is
 - o What support needs will be met with the service/support or item
 - o Supporting documentation (BSP, ISP, FNAT, progress notes, 3 bids if necessary, medical information, evidence regarding what has been tried in the past that worked and didn't work)

Decisions

- o What can you expect as an outcome of the review?
 - o Phone call or email from SME?
 - o Funding Decision Memo
 - o All decisions are for one plan year unless otherwise indicated on the Memo.

Considerations

- Identified need/ ISP goal that is not met
- Health and safety
- Increased independence
- Cost effectiveness



APPROVED



DENIED

What's next

- o If item is **approved**:
 - o ISP may need to be amended to authorize item
 - o Do not authorize item in an ISP prior to the approval from ODDS if needed
 - o Assist with purchase of item
 - o Approvals are good for **one plan year**
- o If item is **denied**:
 - o Send a Notification of Planned Action (SDS 0947) identifying the reason for the denial by using the NOPA Worker Guide



Notification of Planned Action

Date of notice: _____ Effective date of planned action: _____

Individual's name: _____

Individual's date of birth: _____ Individual's prime number: _____

Street address: _____

City: _____ State: _____ ZIP code: _____

Legal guardian's name (if applicable): _____

The purpose of this Notification is to inform you of the planned action below. The planned action is based on Oregon Administrative Rules and the records listed in this Notification. If you disagree with the decision, you have the right to request a hearing, as provided in ORS chapter 183 and [OAR 411-318-0025](#). For information on how to request a hearing and the hearing process, see Parts 1- 4 on the following pages.

If you have questions, if your situation changes, or if you have relevant records not included below, please contact the person at this agency:

Notifying agency: _____

Notification completed by: Select one _____ Other: _____

Name: _____ Phone: _____

Planned Action

- Your request for services from the DHS Developmental Disabilities Program has been denied.
- Your current services will not change, but your specific request for _____ has been denied.
- Your services are going to be select one.
Specific services involved: _____

Reason for Action

After reviewing the following Oregon Administrative Rules and the records listed below, DHS is taking this action because _____.

Relevant Oregon Administrative Rules

The planned action is based on the following OAR(s) (include subsections):
943-001-0020(3); _____ ; _____ ; _____
Oregon Administrative Rules can be found on the website of the Oregon Office of the Secretary of State.

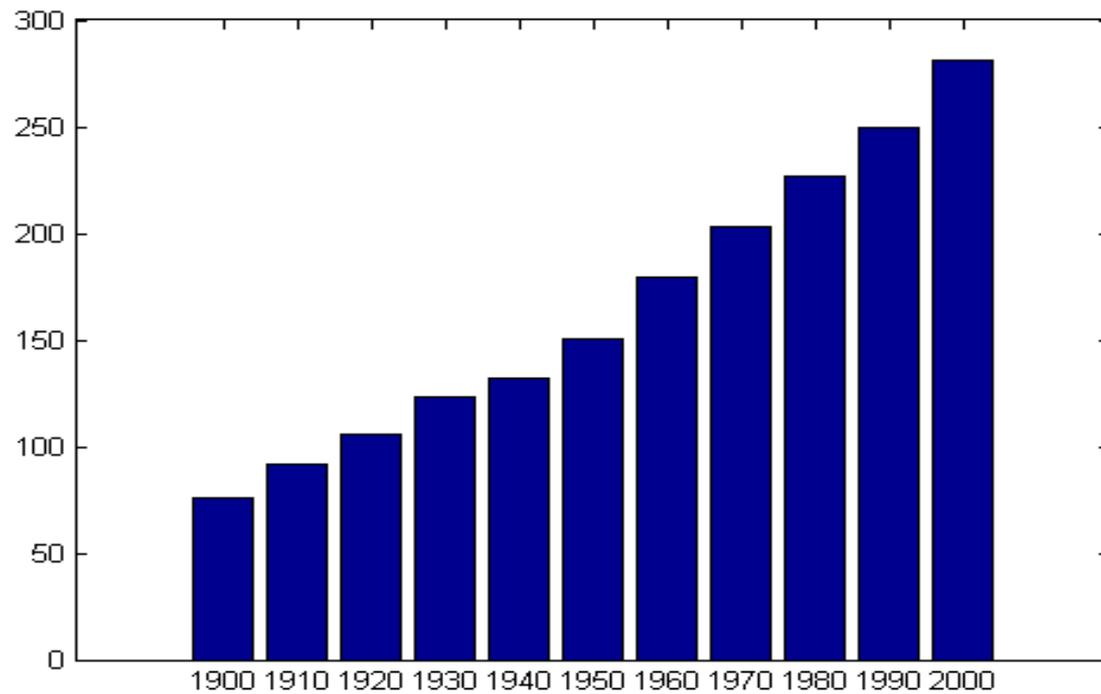
Emergency Reviews

- o Have an urgent request?
 - o Contact the SME
- o SME will gather all information
 - o May require submission of SDS 0514DD form
- o May send decision in email with follow up on Memo

Pending Requests

- o ODDS request additional documentation?
- o 3 month 'hold'
- o Memo identifying closure

DATA



2014 data

- o Began collecting data in February 2014
- o Total reviewed in 2014 = 479
- o Total approved in 2014 = 234
- o Total denied in 2014 = 169
- o Other (withdrawn, duplicated, unnecessary) = 76

February through December 2014 Approval and Denials

<u>Service</u>	<u>Approved</u>	<u>Denied</u>	
ATE/Comm	61	23	
Behav Supp	11	19	
Foster Care	5	4	
GF-Nursing	1		
GF-Rate	32	11	
GF-Retro	14	9	
Home Modifications		1	
In Home - hours	30	27	
Assistive Devices	22	26	
Relief Care	4	11	
Supp Living	37	20	
Transportation	17	17	
Vehicle Modification		1	
Totals	173	169	Total 354

Jan-June 2015 data

- o 2015 data
 - o Total reviewed 2015 = 220
 - o Total approved 2015 = 152
 - o Total denied 2015 = 64

- o CDDP vs Brokerage 2015 =
 - o CDDP requests = 125
 - o Brokerage requests = 95

January through June 2015 Apprvals and Denials

<u>Service</u>	<u>Approved</u>	<u>Denied</u>	
ATE/Comm	17	8	
Behav Supp	5	4	
Chore Services	2		
Employment	1	2	
Foster Care	4	3	
GF-LOC	1		
GF-Nursing	4		
GF-Rate	30	3	
GF-Retro	4	4	
Home Modifications	14	1	
In Home - hours	16	7	
Assistive Devices	15	20	
Relief Care	27	8	
Supp Living	8	2	
Transportation	1	1	
Vehicle Modification	3	1	
Total	152	64	Total 220

What can we do better?

- o Suggestions for:
 - o improvements?
 - o additional guidance materials?
 - o form edits?
 - o Other?

Resources

- o AR – APD – 14-019
- o Forms
 - o 0514DD
 - o 0514DDinst
- o Expenditure Guidelines
- o Oregon Administrative Rules
- o Community First Choice state plan amendment