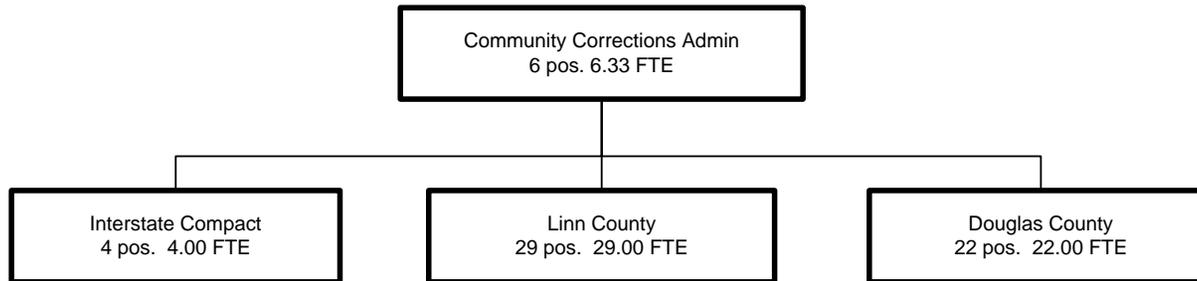


# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Community Corrections Division Organizational Chart 2013 -15 Current Legislatively Approved Budget

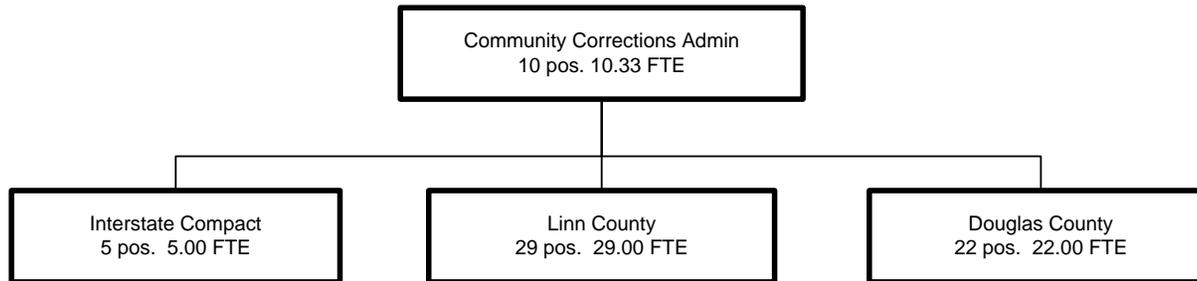


**Total Positions: 61**  
**FTE: 61.33**

# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Community Corrections Division Organizational Chart 2015 -17 Agency Request Budget

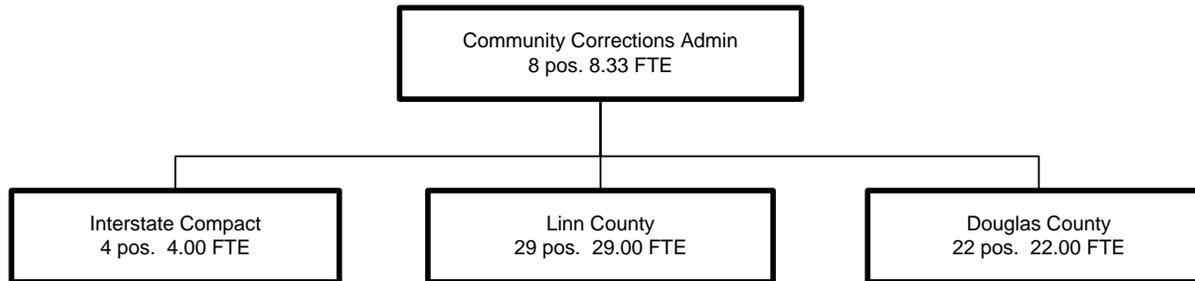


**Total Positions: 66**  
**FTE: 66.33**

# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Community Corrections Division Organizational Chart 2015 -17 Governor's Balanced Budget



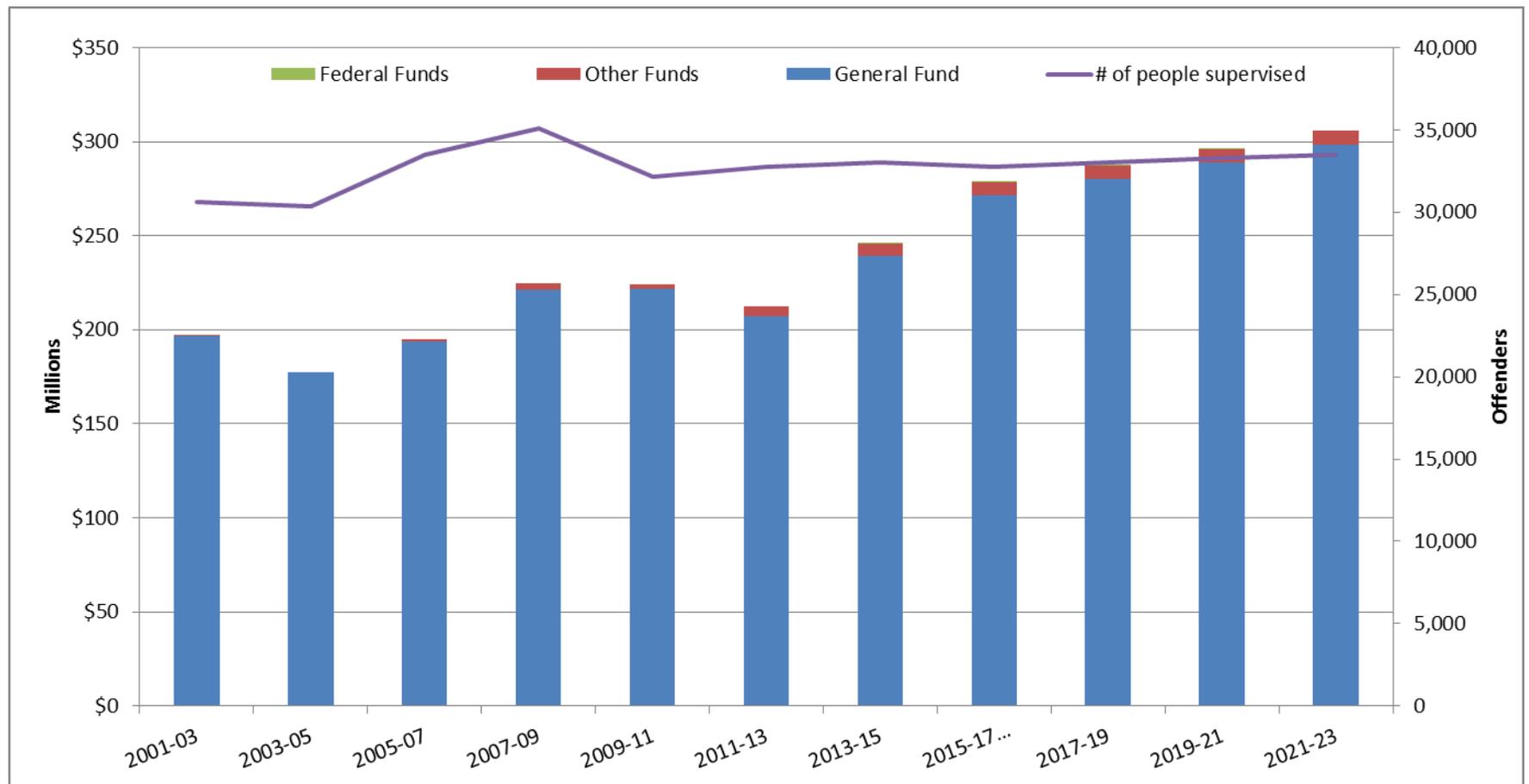
**Total Positions: 63**  
**FTE: 63.33**

# BUDGET NARRATIVE

## Community Corrections

### Program Unit Executive Summary

- a. 10 Year Plan Outcome Areas that are impacted by the program: Safety
- b. Primary Program Contact: Jeremiah Stromberg, Assistant Director for Community Corrections
- c. Total Funds Budget



# BUDGET NARRATIVE

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## d. Program Overview

Community corrections is a function of state government operated in partnership with local, county-operated community corrections agencies. Community corrections supervision, sanctions, and programs provide an effective means to hold offenders accountable, while at the same time addressing the causes of criminal behavior and reducing the risk of present and future criminal behavior.

## e. Program Funding Request

In the Agency Request Budget this program is requesting \$278,730,050 to continue providing supervision, community-based sanctions, and correctional programs for over 31,600 offenders on supervision in the community. This request includes funding for the following Policy Option Packages which are described in detail later in the document.

- 103 Staffing: New Initiatives and Existing Workload (Eliminated in Governor's Balanced Budget)
- 111 Staff Enhancements to Address Workload (Eliminated in Governor's Balanced Budget)
- 113 SB 267 Program Evaluator

Current and future biennia program funding costs are driven by several factors: caseload size coupled with the population forecast and/or legislative action impacts workload, which directly affects funding for this program. In addition, ORS 423.486 requires the department, beginning in 2012 and every six years thereafter, to conduct a study to determine the actual costs incurred by each county to provide program services. The results of this study impact the daily rates used to build the program budget.

In recent years and with the passage and implementation of HB 3194 in particular, the Community Corrections Division has taken on a more complex and elevated level of responsibility for technical projects and programs related to offender supervision in the community. This has resulted in a greater need for oversight and direction of division projects and programs critical to the agency mission and CORE processes as well as technical assistance for the counties.

## f. Program Description

Community corrections supervision, services, and sanctions are provided by counties through intergovernmental agreement with DOC, or directly by DOC in Linn and Douglas Counties. Community corrections activities include supervision, community-based sanctions, and correctional programs directed at over 31,600 offenders who have committed felony crimes and are serving sentences of felony probation, parole, post-prison supervision, or prison sentences of 12 months or less served at the county level.

## BUDGET NARRATIVE

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Supervision is provided by probation/parole officers and the intensity is guided by the offender's behavior and risk of committing new crimes. Program activities include:

- Evaluating each offender's likelihood to commit new crimes. The risk to commit new crimes is determined by applying an objective risk-assessment tool that classifies offenders according to their risk to re-offend. Probation/parole officers apply more intensive supervision to those offenders most likely to commit new crimes.
- Evaluating each offender's criminal risk factors. Probation/parole officers identify criminal risk factors and tailor case management plans to address them, thus mitigating the chances that an offender will engage in future criminal activity.
- Monitoring offenders according to behavior and risk to re-offend. Offenders who present the greatest risk to commit new crimes have the most contact with the probation/parole officer. To monitor compliance with the conditions of supervision, offenders are subject to unannounced home visits, searches, employment checks, office appointments, random urine testing for drug use, and polygraph testing.
- Employing a continuum of effective community-based sanctions and positive reinforcements. Use of community-based sanctions, such as electronic monitoring, community service, work crew, day reporting centers, intensive supervision, and jail time are used to hold offenders accountable when they are not following the rules of their supervision. Likewise, recognition of completing goals and/or positive pro-social behavior is reinforced through verbal praise, monetary rewards such as bus passes, gift cards, or clothing vouchers, and the possible reduction or termination of supervision.
- Offering programs designed to address the causes of criminal behavior, thus reducing the risk of return to criminal activity. Community Corrections agencies provide correctional programs such as alcohol/drug treatment, sex offender treatment, employment counseling, and mental health services in order to promote long-term behavior change. Research has demonstrated that treatment combined with supervision has the greatest impact on reducing criminal activity, compared to any other criminal justice system sanction.

Costs in this program are driven by the number and risk levels of offenders being supervised, and by the number of persons serving prison sentences of 12 months or less locally. Of note is that funding for this program must equal or exceed a baseline level; otherwise counties can opt out and relinquish control to the state.

# BUDGET NARRATIVE

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## g. Program Justification and Link to 10-Year Outcome

The purpose of community corrections is to contribute to the safety of Oregonians by preventing current and future criminal behavior of those that have been convicted of a crime. This program directly relates to a number of the strategies in the 10-year plan. The vision described in the 10-year plan includes managing offenders within local public safety systems rather than in prison. The Community Corrections Division is essential to that vision.

The 10-year plan includes these strategies:

- Deter crimes from occurring: Supervision targeted at offenders most likely to re-offend and correctional programs targeted at criminal risk factors deter crime from occurring.
- Reduce reliance on prison: Community-based sentencing options provide a less costly, yet effective, response to crime for many offenders when compared to prison.
- Increase success after release from prison: Offenders must be monitored, assisted, and provided with treatment by community corrections to facilitate a successful transition from prison to community living.
- Strengthen the swiftness and certainty of punishment in county jails and local supervision of offenders; reduce the likelihood to commit future crime and promote re-entry to the community; and prevent crime and abuse by preventing or reducing the impact of the root cause.

## h. Program Performance

The Community Corrections Division monitors performance through the agency's scorecard. The performance measures that apply to Community Corrections are as follows.

## BUDGET NARRATIVE

Measure Name	Measure Calculation	Target	January 2014 QTR	May 2014 QTR	July 2014 QTR
Positive Case Closure, <b>Statewide</b> Post Prison Supervision	Percentage of Post Prison Supervision offenders who successfully complete community supervision	75%	63.4%	63.0%	64.3%
Positive Case Closure, <b>Statewide</b> Probation Supervision	Percentage of Probation offenders who successfully complete community supervision	75%	59.6%	59.6%	58.7%
Positive Case Closure, <b>Linn and Douglas</b> Post Prison Supervision	Percentage of Post Prison Supervision offenders who successfully complete	75%	60.5%	64.2%	65.2%
Positive Case Closure, <b>Linn and Douglas</b> Probation Supervision	Percentage of Probation offenders who successfully complete community supervision	75%	67.6%	67.9%	60.9%
New felony convictions, <b>Statewide</b> Post Prison Supervision	36-month recidivism rate of offenders released to post-prison supervision	25%	25.6%	25.6%	29.1%
New felony convictions, <b>Statewide</b> Probation	36-month recidivism rate of offenders released to probation supervision	18%	22.1%	22.1%	23.5%
New felony convictions, <b>Linn and Douglas</b> Post Prison Supervision	36-month recidivism rate of offenders released to post-prison supervision	25%	31.9%	31.9%	27.9%
New felony convictions, <b>Linn and Douglas</b> Probation	36-month recidivism rate of offenders released to probation supervision	18%	21.8%	21.8%	27.4%

## BUDGET NARRATIVE

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Additional performance measures for Community Corrections are as follows.

1. Assisting offenders to change.
  - a. As measured by offenders benefitting from treatment programs: 30 percent of offenders were actively participating in treatment, better than the target of 22 percent.
  - b. As measured by employment rates for offenders under supervision: 49 percent of offenders are reported to be working, slightly less than the target rate of 50 percent.
  
2. Providing reparation to crime victims.
  - a. As measured by the percentage of restitution collected that is owed to victims: 26 percent of restitution ordered is collected, less than the target of 35 percent.

## BUDGET NARRATIVE

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b. As measured by the percent of community services hours completed by offenders: 49 percent of community services hours ordered is performed, better than target of 45 percent.

3. Short Term Transitional Leave Success: 95 percent of inmates released to STTL successfully complete their leave period and are subsequently released to post-prison supervision.

i. Enabling Legislation/Program Authorization

Community Corrections, including funding and program performance, is governed by the Community Corrections Act found in Oregon Revised Statutes 423.475 – 423.565. The statutes define duties of the state and the counties and establish partnership conditions with counties that house felony offenders with sentences less than one year and that supervise offenders convicted of felonies who are on parole, on probation, or on post-prison supervision. The statutes also define baseline funding for the counties and provide for regular periodic review of reimbursement rates.

**ORS 421.168** Short Term Transitional Leave: DOC shall establish a short-term transitional leave program. The program shall provide inmates with an opportunity to secure appropriate transitional support when necessary for successful reintegration into the community prior to the inmate's discharge to post-prison supervision.

**ORS 169.070(1)** Jail Inspections: The department shall inspect local correctional facilities, lockups, temporary holds and juvenile detention facilities, to ensure compliance with the standards established in ORS 169.076 to 169.078, 169.740, 419A.059 and 419B.180.

**ORS 144.600** Interstate Compact: The Legislative Assembly hereby approves, and the Governor is authorized to enter into, a compact on behalf of this state with any other state or states legally joining therein in the form substantially as follows.

**ORS 133.747** Fugitive Extraditions: It is the duty of the Governor of this state to have arrested and delivered up to the executive authority of any other state of the United States any person charged in that state with treason, felony, or other crime, who has fled from justice and is found in this state.

j. Describe the various funding streams that support the program

The program is funded almost entirely with General Fund. There is a small amount of Other Fund dollars that make up approximately 2 percent of the division's budget as a result of supervision fee collection in Linn and Douglas Counties, distribution of the Criminal Fine Account to counties, and the division's portion of the Inmate Welfare Fund revenue.

# BUDGET NARRATIVE

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- k. Describe how the 2015-17 funding proposal advanced by the agency compares to the program authorized for the agency in 2013-15

During the 2015-2017 biennium, Community Corrections will continue providing supervision, community-based sanctions, and correctional programs for more than 31,600 offenders. The primary change for the 2015-2017 Community Corrections Division compared to 2013-2015 is two Policy Option Packages requesting positions to support increased workload, primarily as a result of implementation of HB 3194.

## **Program Unit Narrative**

### ***Community Corrections Administration***

Community Corrections Administration oversees state policy for community corrections; manages intergovernmental agreements with various county partners; provides ongoing technical assistance to county community corrections systems in the areas of evidence-based practices and effective program implementation and operation; develops and delivers trainings, statewide and at DPSST, to DOC and county community corrections staff on the use of assessment and case planning tools; assesses and evaluates institutional and community-based treatment programs funded through state general funds as required by ORS 182.525; provides automation and IT system technical support to all county users; works with the agency's Office of Research and Projects to respond to all community-based research and data requests; coordinates and responds to annual reviews of county compliance with the intergovernmental agreements; and oversees the financial distribution of funds to county partners. These dollars include Grant in Aid (i.e., CCA funds), Inmate Welfare Fund/Subsidy, M57 Supplemental Funds for Drug Addicted Offenders, Treatment Transition Funds, Sexually Violent Dangerous Offender funds (typically distributed to county community corrections agencies), SB 395 Jail Reimbursement for Repeat DUI Offenders, and HB 3194 Jail Reinvestment Funds distributed to the county sheriff.

### ***Short Term Transitional Leave Unit***

This program is responsible for oversight and day-to-day management of the agency's short term transitional leave program, which allows certain adults in custody to serve up to 90 days of their sentence in the community provided they meet all statutory and policy requirements.

### ***Interstate Compact for Adult Offender Supervision (ICAOS)/Fugitive Extraditions Unit***

The primary functions of this unit are the transfer of adult offender supervision between states and oversight and coordination of timely, safe, and cost effective methods of return for all DOC and Board of Parole and Position-Prison Supervision fugitives apprehended in the U.S. and its territories, as well as international locations.

# BUDGET NARRATIVE

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## ***Jail Inspections Unit***

This program is responsible for the inspection of lockups, temporary holds, and juvenile detention facilities to ensure compliance with the standards established in ORS 169.076 and 169.740. In addition, this unit provides technical assistance to local governmental agencies in the planning and operation of such facilities.

## ***Douglas and Linn County Community Corrections***

Two of Oregon's 36 county community corrections agencies are directly administered by the agency. The primary function of Douglas and Linn County Community Corrections is to provide supervision, sanctions, and services to roughly 2,550 of Oregon's approximately 31,600 adult offenders placed on felony probation, parole, or post-prison supervision.

## **Proposed New Laws that Apply to the Program Unit**

In January 2009, Governor Ted Kulongoski created the Governor's Task Force on Federal Forest Payments and County Services. This was in response to the impending end of the federal Secure Rural Schools Act of 2000, which had provided Oregon \$254 million annually. The task force recommended 53 solutions for consideration. Legislative Concept 29100/009 is one of those recommendations. This concept would allow DOC and a county to enter into a cooperative agreement for DOC to carry out some or all of the county's responsibilities for providing community-based supervision, sanctions, and services for offenders convicted of felonies.

In 2014, The Association of Oregon Counties began a series of Public Safety Summits. At the July 2014 Summit, the County Commissioners in attendance recommended moving this concept forward as an option for counties struggling to maintain a balance within their public safety systems.

The impact could be as little as no change to the current oversight of Linn and Douglas Counties; however, should a number of counties come to agreements with DOC, the impact would result in a significant workload shift for DOC as appropriate administrative and support levels would need to be included to ensure appropriate oversight and support.

## BUDGET NARRATIVE

### Expenditures by Fund Type, Positions and Full-Time Equivalents – 2015-17 Agency Request Budget

Program Sub-Unit	Position/FTE		General Fund	Other Funds	Federal Fund	Total Fund
Comm. Corr. Admin	10 / 10.33		\$19,632,838	\$4,391,472	\$300,551	\$24,324,861
Grant In Aid	-		\$228,044,260	\$721,000	-	\$228,765,260
Opt Out Counties	51 / 51.00		\$17,686,367	\$1,625,356	-	\$19,311,723
Interstate Compact & Jail Supplement	5 / 5.00		\$6,308,647	\$19,559	-	\$6,328,206
Program Unit Total	66 / 66.33		\$271,672,112	\$6,757,387	\$300,551	\$278,730,050

### Expenditures by Fund Type, Positions and Full-Time Equivalents – 2015-17 Governor's Balanced Budget

Program Sub-Unit	Position/FTE		General Fund	Other Funds	Federal Fund	Total Fund
Comm. Corr. Admin	8 / 8.33		\$19,192,046	\$4,391,472	\$300,551	\$23,884,069
Grant In Aid	-		\$211,183,188	\$721,000	-	\$211,904,188
Opt Out Counties	51 / 51.00		\$17,686,367	\$1,625,356	-	\$19,311,723
Interstate Compact & Jail Supplement	4 / 4.00		\$6,155,148	\$19,559	-	\$6,174,707
Program Unit Total	63 / 63.33		\$254,216,749	\$6,757,387	\$300,551	\$261,274,687

# BUDGET NARRATIVE

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## Revenue Sources and Proposed Revenue Changes

Other Fund revenues recorded in the Community Corrections Admin sub-unit are received from the Criminal Fine Account and are disbursed to counties as required by HB2712 (2011) and HB2837 (2013) for the purposes of planning, operating, and maintaining county juvenile and adult correctional facilities. Federal Funds recorded in this sub-unit are received as a part of a BJA SMART Probation grant used to provide statewide advanced training academies to DOC and county community corrections staff.

Other Fund revenues in the Grant in Aid sub-unit are received from Inmate Welfare Fund revenues and are distributed to county community corrections agencies to provide subsidy assistance to adults in custody transitioning into the community from a DOC facility.

Other Fund revenues recorded in the Opt Out Counties sub-unit are received from supervision fee collection efforts in Linn and Douglas counties and are used to provide operational and treatment services. There could be additional Other Fund revenues granted to this sub-unit in 2015-2017 for as a result of CJC Drug Court grants and/or HB 3194 Justice Reinvestment grants; however, exact dollar figures are unknown at this time and are contingent on legislative action.

Other Fund revenue recorded in the Interstate Compact and Jail Supplement sub-unit is limitation carried forward from a prior biennium; however, the revenue source no longer exists.

# BUDGET NARRATIVE

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## Community Corrections Division

### 010 Non-PICS Psnl Svc / Vacancy Factor

#### Package Description

##### Purpose

This package includes three components: 1) The cost of Personal Services adjustments, such as inflation on non-PICS accounts, i.e., overtime, differentials, unemployment compensation, and mass transit taxes, which are not automatically generated by PICS; 2) An adjustment for the anticipated savings associated with normal employee turnover, commonly referred to as vacancy savings, which is developed using a formula prescribed by the Department of Administrative Services (DAS) Chief Financial Office (CFO) that considers both the savings and costs associated with normal turnover activity; and 3) An adjustment to the Public Employee Retirement System (PERS) Pension Obligation Bond assessment, which is also developed by the DAS CFO.

##### How Achieved

Non-PICS Accounts – With the exception of Mass Transit, adjustment amounts are computed by multiplying the above referenced accounts in the 2015-17 Base Budget by the standard inflation factor of 3.0%.

Vacancy Savings – Vacancy savings are computed using the formula and guidelines prescribed in the Budget and Legislative Concept Instructions and approved by DAS CFO. This formulaic estimate of vacancy savings is then compared against the base budget, resulting in a package 010 adjustment.

PERS Pension Obligation Bonds – The Pension Obligation Bond amount is provided by the DAS CFO. This budgeted amount is distributed by DAS for Debt Service on PERS Pension Obligation Bonds. There is no inflation factor applied to Pension Obligation Bonds.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$75,393
Other Funds	120
Federal Funds	0

# BUDGET NARRATIVE

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## Governor's Balanced Budget

### Staffing Impact

None

### Revenue Sources

General Fund	\$75,393
Other Funds	120
Federal Funds	0
<u>Total Funds</u>	<u>\$75,513</u>

### 2017-19 Fiscal Impact

Actions approved in this package will have varying impacts on future periods. Normal inflation will be integrated into and become part of the Base Budget for 2017-19, as will the funding approved in the non-PICS exceptions. Vacancy savings are re-projected each biennium based on agency experience. The Pension Obligation Bond financing will be an ongoing liability for the agency.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	75,393	-	-	-	-	-	75,393
<b>Total Revenues</b>	<b>\$75,393</b>	-	-	-	-	-	<b>\$75,393</b>
<b>Personal Services</b>							
Overtime Payments	283	-	-	-	-	-	283
All Other Differential	7,718	-	-	-	-	-	7,718
Public Employees' Retire Cont	1,562	-	-	-	-	-	1,562
Pension Obligation Bond	63,753	-	112	-	-	-	63,865
Social Security Taxes	613	-	-	-	-	-	613
Mass Transit Tax	1,046	-	9	-	-	-	1,055
Vacancy Savings	418	-	(1)	-	-	-	417
<b>Total Personal Services</b>	<b>\$75,393</b>	-	<b>\$120</b>	-	-	-	<b>\$75,513</b>
<b>Services &amp; Supplies</b>							
Other Services and Supplies	-	-	-	-	-	-	-
<b>Total Services &amp; Supplies</b>	-	-	-	-	-	-	-
<b>Special Payments</b>							
Dist to Counties	-	-	-	-	-	-	-
<b>Total Special Payments</b>	-	-	-	-	-	-	-

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total Expenditures</b>							
Total Expenditures	75,393	-	120	-	-	-	75,513
<b>Total Expenditures</b>	<b>\$75,393</b>	<b>-</b>	<b>\$120</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$75,513</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(120)	-	-	-	(120)
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>(\$120)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$120)</b>

# BUDGET NARRATIVE

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## Community Corrections Division

### 031 Standard Inflation

#### Package Description

##### Purpose

This package includes funding for inflation and adjustments to reflect the Price List of Goods and Services issued by DAS. The prescribed standard inflation factors were used for all accounts in this division.

##### How Achieved

For 2015-17, inflation factors are 3.0% for standard inflation, 3.3% for Professional Services, 19.2% for Attorney General charges, 3.0% for Facility Rental and Taxes, and 3.0% for Special Payments. Inflation requested in this package is based on the 2015-17 Base Budget. Inflation associated with biennialized phased-in programs, when applicable, is included in package 021. Inflation associated with new institution start-up and operation is included in the essential package for caseload in package 040.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$6,811,988
Other Funds	193,150
Federal Funds	8,754

#### Governor's Balanced Budget

##### Staffing Impact

None

# BUDGET NARRATIVE

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**Revenue Sources**

General Fund	\$6,804,749
Other Funds	193,150
Federal Funds	<u>8,754</u>
Total Funds	\$7,006,653

**2017-19 Fiscal Impact**

The actions included in this package will become part of the Base Budget for 2017-19.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Corrections, Dept of**  
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Community Corrections**  
**Cross Reference Number: 29100-009-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	6,804,749	-	-	-	-	-	6,804,749
<b>Total Revenues</b>	<b>\$6,804,749</b>	-	-	-	-	-	<b>\$6,804,749</b>
<b>Services &amp; Supplies</b>							
Instate Travel	1,230	-	-	-	-	-	1,230
Out of State Travel	287	-	-	-	-	-	287
Employee Training	842	-	-	-	-	-	842
Office Expenses	2,489	-	-	-	-	-	2,489
Data Processing	1,358	-	-	-	-	-	1,358
Publicity and Publications	19	-	-	-	-	-	19
Professional Services	4,015	-	-	-	-	-	4,015
Attorney General	17,636	-	-	-	-	-	17,636
Employee Recruitment and Develop	20	-	-	-	-	-	20
Dues and Subscriptions	1,777	-	-	-	-	-	1,777
Facilities Maintenance	273	-	-	-	-	-	273
Food and Kitchen Supplies	9	-	-	-	-	-	9
Other Care of Residents and Patients	26,999	-	41,197	-	-	-	68,196
Other Services and Supplies	1,251	-	184	-	-	-	1,435
Expendable Prop 250 - 5000	1,354	-	-	-	-	-	1,354
<b>Total Services &amp; Supplies</b>	<b>\$59,559</b>	-	<b>\$41,381</b>	-	-	-	<b>\$100,940</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Capital Outlay</b>							
Data Processing Hardware	1,458	-	-	-	-	-	1,458
<b>Total Capital Outlay</b>	<b>\$1,458</b>	-	-	-	-	-	<b>\$1,458</b>
<b>Special Payments</b>							
Dist to Counties	6,743,732	-	151,769	8,754	-	-	6,904,255
<b>Total Special Payments</b>	<b>\$6,743,732</b>	-	<b>\$151,769</b>	<b>\$8,754</b>	-	-	<b>\$6,904,255</b>
<b>Total Expenditures</b>							
Total Expenditures	6,804,749	-	193,150	8,754	-	-	7,006,653
<b>Total Expenditures</b>	<b>\$6,804,749</b>	-	<b>\$193,150</b>	<b>\$8,754</b>	-	-	<b>\$7,006,653</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(193,150)	(8,754)	-	-	(201,904)
<b>Total Ending Balance</b>	-	-	<b>(\$193,150)</b>	<b>(\$8,754)</b>	-	-	<b>(\$201,904)</b>

# BUDGET NARRATIVE

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## Community Corrections Division

### 032 Above Standard Inflation

#### Package Description

##### Purpose

This package includes funding for above standard inflation as prescribed by DAS. Approval by the DAS CFO is required in order to use this package. The Community Corrections Division is approved to use the additional medical services inflation factor for those costs that regularly exceed standard inflation.

##### How Achieved

For 2015-17, the above standard inflation factor for Medical Services and Supplies is 1.7%, and non-DAS inflation related to facility rent and taxes is 1.4%. These are in addition to the inflation included in package 031.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$402
Other Funds	0
Federal Funds	0

#### Governor's Balanced Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$402
Other Funds	0
Federal Funds	0
<u>Total Funds</u>	<u>\$402</u>

# BUDGET NARRATIVE

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## **2017-19 Fiscal Impact**

The actions included in this package will become part of the Base Budget for 2017-19.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 032 - Above Standard Inflation

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	402	-	-	-	-	-	402
<b>Total Revenues</b>	<b>\$402</b>	-	-	-	-	-	<b>\$402</b>
<b>Services &amp; Supplies</b>							
Professional Services	402	-	-	-	-	-	402
<b>Total Services &amp; Supplies</b>	<b>\$402</b>	-	-	-	-	-	<b>\$402</b>
<b>Total Expenditures</b>							
Total Expenditures	402	-	-	-	-	-	402
<b>Total Expenditures</b>	<b>\$402</b>	-	-	-	-	-	<b>\$402</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

# BUDGET NARRATIVE

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## Community Corrections Division

### 040 Mandated Caseload

#### Package Description

##### Purpose

Mandated caseload changes included in this package are based on caseload changes for programs that are required by the federal government, the state constitution, or court actions. Mandated caseload costs include, but are not limited to, the cost of additional staff and operating costs required to operate these programs. The April 2014 Oregon Corrections Population Forecast published by the DAS Office of Economic Analysis is the basis for actions presented in the Agency Request Budget. The Governor's Balanced Budget is based on the October 2014 caseload forecast.

##### How Achieved

The April 2014 Felony Probation and Parole/Post-Prison Supervision Caseload Forecasts project a population of 32,518 in July 2015, increasing to 32,920 in June 2017. The majority of the increase in this package relates to a substantial increase in the local control rate, in addition to a significant increase in the higher risk (higher cost) population of offenders currently in the community corrections system as a result of the passage of HB 3194 in the 2013 Legislative Session. This package has been updated in the Governor's Balanced Budget to reflect the caseload changes in the October 2014 caseload forecast.

#### Agency Request Budget

##### Staffing Impact

Positions	0
FTE	0

##### Revenue Sources

General Fund	\$24,155,620
Other Funds	0
Federal Funds	0
<u>Total Funds</u>	<u>\$24,155,620</u>

#### Governor's Balanced Budget

# BUDGET NARRATIVE

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**Staffing Impact**

Positions	0
FTE	0

**Revenue Sources**

General Fund	\$22,294,548
Other Funds	0
Federal Funds	0
<hr/> Total Funds	<hr/> \$22,294,548

**2017-19 Fiscal Impact**

The actions included in this package will become part of the Base Budget for 2017-19.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 040 - Mandated Caseload

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	22,294,548	-	-	-	-	-	22,294,548
<b>Total Revenues</b>	<b>\$22,294,548</b>	-	-	-	-	-	<b>\$22,294,548</b>
<b>Special Payments</b>							
Dist to Counties	22,294,548	-	-	-	-	-	22,294,548
<b>Total Special Payments</b>	<b>\$22,294,548</b>	-	-	-	-	-	<b>\$22,294,548</b>
<b>Total Expenditures</b>							
Total Expenditures	22,294,548	-	-	-	-	-	22,294,548
<b>Total Expenditures</b>	<b>\$22,294,548</b>	-	-	-	-	-	<b>\$22,294,548</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

# BUDGET NARRATIVE

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## Community Corrections Division

### 060 Technical Adjustments

#### Package Description

##### Purpose

This package is used for technical budget adjustments, such as agency reorganizations and expenditure category budget shifts that do not meet the criteria of the other essential packages.

##### How Achieved

The Community Corrections Division and the Offender Management and Rehabilitation Division shared a common Other Funds appropriation in prior biennia. Through a re-organization in 2013-15, Community Corrections became its own division with its own Other Funds appropriation. This package completes one part of this re-organization by better aligning limitation to the appropriate division. Other Funds limitation of \$106,369 is transferred from the Offender Management and Rehabilitation Division to the Community Corrections Division.

All of these transfers are within DOC divisions and net to zero for the agency.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$0
Other Funds	106,369
Federal Funds	0

#### Governor's Balanced Budget

##### Staffing Impact

None

##### Revenue Sources

# BUDGET NARRATIVE

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General Fund	\$0
Other Funds	106,369
<u>Federal Funds</u>	<u>0</u>
Total Funds	\$106,369

**2017-19 Fiscal Impact**

The actions included in this package will become a part of the Base Budget for 2017-19.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Special Payments</b>							
Dist to Other Gov Unit	-	-	106,369	-	-	-	106,369
<b>Total Special Payments</b>	-	-	<b>\$106,369</b>	-	-	-	<b>\$106,369</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	106,369	-	-	-	106,369
<b>Total Expenditures</b>	-	-	<b>\$106,369</b>	-	-	-	<b>\$106,369</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(106,369)	-	-	-	(106,369)
<b>Total Ending Balance</b>	-	-	<b>(\$106,369)</b>	-	-	-	<b>(\$106,369)</b>

# BUDGET NARRATIVE

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## Community Corrections Division

### 090 Analyst Adjustments

#### Package Description

##### Purpose

This package, added by the Governor, reflects additional policy adjustments to the DOC 2015-17 Agency Request Budget.

##### How Achieved

This package reduces General Fund for Special Payments to counties for Community Corrections under the Grant-in-Aid program. This reduction is offset by an addition to the Justice Reinvestment Grant Program funding.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$0
Other Funds	0
Federal Funds	0
<u>Total Funds</u>	<u>\$0</u>

#### Governor's Balanced Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	(\$15,000,000)
Other Funds	0
Federal Funds	0
<u>Total Funds</u>	<u>(\$15,000,000)</u>

# BUDGET NARRATIVE

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## **2017-19 Fiscal Impact**

The Community Corrections budget is reset based on updated caseload and updated rates through the 040 mandated caseload package. This adjustment will have a one-time impact to the Grant-in-Aid budget for Community Corrections for 2015-17 and will not impact the 2017-19 budget.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(15,000,000)	-	-	-	-	-	(15,000,000)
<b>Total Revenues</b>	<b>(\$15,000,000)</b>	-	-	-	-	-	<b>(\$15,000,000)</b>
<b>Special Payments</b>							
Dist to Counties	(15,000,000)	-	-	-	-	-	(15,000,000)
<b>Total Special Payments</b>	<b>(\$15,000,000)</b>	-	-	-	-	-	<b>(\$15,000,000)</b>
<b>Total Expenditures</b>							
Total Expenditures	(15,000,000)	-	-	-	-	-	(15,000,000)
<b>Total Expenditures</b>	<b>(\$15,000,000)</b>	-	-	-	-	-	<b>(\$15,000,000)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

# BUDGET NARRATIVE

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## Community Corrections Division

### 103 Staffing: New Initiatives & Existing Workload

#### Agency Overview

Policy Option package 103 seeks to address issues related to staffing new initiatives and existing workload throughout several divisions within the Department of Corrections. The actions within this package permanently fund 11 positions throughout seven divisions. Below is the Agency Request Budget impact summary. Please see division-specific narrative for more detail.

- Central Administration Division: 3 Pos., 3.00 FTE, General Fund: \$916,814
- Health Services Division: 1 Pos., 1.00 FTE, General Fund: \$301,856
- Operations Division: 1 Pos., 1.00 FTE, General Fund: \$272,314
- Human Resources: 1 Pos., 1.00 FTE, General Fund: \$225,695
- Community Corrections Division: 1 Pos., 1.00 FTE, General Fund: \$289,314
- Offender Management and Rehabilitation Division: 3 Pos., 3.00 FTE, General Fund: \$666,553
- General Services Division: 1 Pos., 1.00 FTE, General Fund: \$364,939
- Total Package: 11 Pos., 11.00 FTE, General Fund: \$3,037,485

#### Purpose

During the last two biennia, the Oregon Department of Corrections (DOC) has been required to reduce the amount of management service employees and to reduce overall budgets. The addition of this new position in the Community Corrections Division will provide the department the necessary resources to meet the full-time workload that exists and fulfill requirements regarding new initiatives.

In recent years and with the onset of HB 3194 in particular, the Community Corrections Division has taken on a more complex and elevated level of responsibility for technical projects and programs related to offender supervision in the community. This has resulted in a greater need for oversight and direction of division projects and programs critical to the agency mission and CORE processes.

The purpose of this proposal, therefore, is to acquire funding in order to establish an administrator-level (PEM-E) position within the Community Corrections Division to assist the Assistant Director with the additional workload.

#### How Achieved

# BUDGET NARRATIVE

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## Deputy Community Corrections Administrator

At the present time the Assistant Director is tasked with managing 13 direct reports, each with a very technical area of responsibility, in addition to two county community corrections agencies and providing direction and oversight for the entire division. This arrangement has the potential to compromise successful achievement of critical agency and division goals and objectives. This position would assist the division Assistant Director in the following areas:

- Oversight and direction of administrative functions within the Central Office
- Oversight of division project timelines and implementation
- Development and implementation of policies, procedures and program priorities consistent with agency policy
- Determination of the most effective utilization of resources in order to carry out agency goals and objectives

The position would provide analysis of all pertinent issues and information regarding the impact of proposed policy on the provision of services to target populations and determining the resources necessary to implement such policy in order to ensure the efficient and effective provision of services.

## **Staffing Impact**

Positions	1
FTE	1.00

## **Quantifying Results**

### Deputy Community Corrections Administrator

The department has developed Correctional Outcomes through Research and Engagement (CORE) as a means to monitor and measure the daily work performed throughout the department. This will allow the department the ability to identify opportunities for improvement and streamline processes as well as use data for identification of constraints, effectively use resources and ultimately promote public safety. To gauge the department's progress, each core process is measured and replicated on an agency scorecard.

The benefits and outcomes of adding a new Deputy Community Corrections Administrator will be tied to the following CORE operating process (OP) measures and outcome measures (OM):

#### OM #4: Community Supervision Success

- OM4c: Positive Case Closure, Linn and Douglas Post-Prison Supervision - Percentage of Post-Prison Supervision offenders who successfully complete community supervision
- OM4d: Positive Case Closure, Linn and Douglas Probation Supervision - Percentage of Probation offenders who successfully complete community supervision

#### OM #5: Recidivism

# BUDGET NARRATIVE

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- OM5c: New felony convictions, Linn and Douglas Post-Prison Supervision - 36-month recidivism rate of offenders released to post-prison supervision
- OM5d: New felony convictions, Linn and Douglas Probation - 36-month recidivism rate of offenders released to probation supervision

## OP #6: Managing Offenders in the Community

- OP6c: Employment, Linn and Douglas DOC– Total percent employed
- OP6d: Treatment Participation, Linn and Douglas – Total percent participating in treatment
- OP6e: Restitution Collection, Linn and Douglas – Average percent of restitution paid (at time of supervision closure)
- OP6f: Community Service Completion, Linn and Douglas Counties – Average community service imposed that was completed (at time of supervision closure)

## Agency Request Budget

### Revenue Sources

General Fund	\$289,314
Other Funds	0
Federal Funds	0
Total Funds	\$289,314

## Governor's Balanced Budget

### Revenue Sources

General Fund	\$0
Other Funds	0
Federal Funds	0
Total Funds	\$0

This package was removed in the Governor's Balanced Budget.

### 2017-19 Impact

The package was removed in the Governor's Balanced Budget and so will not become part of the Base Budget for 2017-19.

## ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Corrections, Dept of**  
**Pkg: 103 - Staffing: New Initiatives & Existing Workload**

**Cross Reference Name: Community Corrections**  
**Cross Reference Number: 29100-009-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	-	-	-	-	-	-	-
<b>Total Revenues</b>	-	-	-	-	-	-	-
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	-	-	-	-	-
Empl. Rel. Bd. Assessments	-	-	-	-	-	-	-
Public Employees' Retire Cont	-	-	-	-	-	-	-
Social Security Taxes	-	-	-	-	-	-	-
Worker's Comp. Assess. (WCD)	-	-	-	-	-	-	-
Mass Transit Tax	-	-	-	-	-	-	-
Flexible Benefits	-	-	-	-	-	-	-
<b>Total Personal Services</b>	-	-	-	-	-	-	-
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	-	-	-	-	-
Office Expenses	-	-	-	-	-	-	-
Data Processing	-	-	-	-	-	-	-
Other Services and Supplies	-	-	-	-	-	-	-
Expendable Prop 250 - 5000	-	-	-	-	-	-	-
IT Expendable Property	-	-	-	-	-	-	-
<b>Total Services &amp; Supplies</b>	-	-	-	-	-	-	-

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 103 - Staffing: New Initiatives & Existing Workload

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total Expenditures</b>							
Total Expenditures	-	-	-	-	-	-	-
<b>Total Expenditures</b>	-	-	-	-	-	-	-
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							-
<b>Total Positions</b>	-	-	-	-	-	-	-
<b>Total FTE</b>							
Total FTE							-
<b>Total FTE</b>	-	-	-	-	-	-	-

# BUDGET NARRATIVE

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## Community Corrections Division

### 111 Staff Enhancements to Address Workload

#### Agency Overview

Policy Option package 111 seeks to address issues related to staffing new initiatives and existing workload throughout several divisions within the Department of Corrections. The actions within this package permanently fund ten positions throughout four divisions. Below is the Agency Request Budget impact summary. Please see division-specific narrative for more detail.

- Central Administration Division: 4 Pos., 4.00 FTE, General Fund: \$904,444
- Community Corrections Division: 2 Pos., 2.00 FTE, General Fund: \$297,738
- Offender Management and Rehabilitation Division: 3 Pos., 3.00 FTE, General Fund: \$547,627
- General Services Division: 1 Pos., 1.00 FTE, General Fund: \$349,189
- Total Package: 10 Pos., 10.00 FTE, General Fund: \$2,098,998

#### Purpose

Package 111 is to restore position authority in targeted areas of need within the department relating to federal compliance, grants funding, and other key functions within the DOC. Below are the specific positions being requested in the Community Corrections Division.

#### Extradition Compact Coordinator

Per ORS 144.600 and 133.747, the Interstate Compact for Adult Offender Supervision (ICAOS)/Fugitive Extraditions Unit is tasked with (1) the transfer of adult offender supervision between states and (2) the oversight and coordination of timely, safe, and cost effective methods of return for all DOC and Board of Parole and Post-Prison Supervision fugitives apprehended in the U.S. and its territories as well as international locations. In addition to the duties required by statute and directly assigned to the department, the Fugitive Extraditions officer acts on behalf of the Governor's Extradition Officer as needed. Both of these functions work within an environment that requires interpretation of and strict adherence to complex state, federal, and international laws, regulations, and timeframes. Workload requirements have and continue to significantly increase while staffing levels have decreased. The unit has utilized temporary hires and staff on loan from other units to meet federally mandated timeframes and avoid financial sanctions due to non-compliance; however, these opportunities are scarce, do not provide consistent support, and are not long term solutions to the ever increasing workload demands

The purpose of this proposal is to acquire funding in order to establish an Administrative Specialist 2 to provide administrative support to the Deputy Compact Administrator and Fugitive Extraditions Officer to assist in the day-to-day technical tasks.

# BUDGET NARRATIVE

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## Community Corrections Administrative Support

In recent years and with the onset of HB 3194 in particular, the Community Corrections Division has taken on a more complex and elevated level of responsibility for technical projects and programs related to offender supervision in the community. This has resulted in a greater need for technical support of division projects and programs critical to the agency mission and CORE processes. Currently, the Community Corrections Division has no support-level positions to manage the process of capturing, entering and reporting data relevant to division operations and coordinating efficient workflow and delivery of services.

The purpose of this proposal is to acquire funding in order to establish an Administrative Specialist 1 to provide administrative support to the Community Corrections Division to assist in the newly required technical support needs.

## **How Achieved**

### Extradition Compact Coordinator

This position would assist the Community Corrections division in the following areas:

- Facilitate/coordinate the transfer of offender supervision between states.
- Assist the agency fugitive extradition officer with the coordination of fugitive returns from other states, U.S. territories, and international locations.
- Provide high level administrative support to the Deputy Compact Administrator.

### Community Corrections Administrative Support

This position would assist the Community Corrections division in the following areas:

- Coordinate efficient flow of work to include documents and/or requests requiring action through an established process ensuring that deadlines for analysis, approval, and/or final action are met.
- Receive and review applications submitted in request for agency services such as STTL and Interstate Compact , determining if applicant meets criteria for requested service and approving/denying requests as appropriate.
- Collect data and prepare detailed division reports on a monthly, quarterly, semiannual or annual basis.
- Track and compiles statistical information related to division program operation, measuring success rate and/or performance to be used by division management in decision-making.
- Act as division coordinator for technical manuals, directives and procedures.

## **Staffing Impact**

Positions	2
FTE	2.00

# BUDGET NARRATIVE

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## Quantifying Results

The department has developed Correctional Outcomes through Research and Engagement (CORE) as a means to monitor and measure the daily work performed throughout the department. This will allow the department the ability to identify opportunities for improvement and streamline processes as well as use data for identification of constraints, effectively use resources and ultimately promote public safety. To gauge the department's progress, each core process is measured and replicated on an agency scorecard.

The addition of these two new positions and the benefits and outcomes of adding these positions will be tied to the following CORE operating process (OP) and outcome measures (OM):

### OM #4: Community Supervision Success

- OM4c: Positive Case Closure, Linn and Douglas Post-Prison Supervision - Percentage of Post-Prison Supervision offenders who successfully complete community supervision.
- OM4d: Positive Case Closure, Linn and Douglas Probation Supervision - Percentage of Probation offenders who successfully complete community supervision.

### OM #5: Recidivism

- OM5c: New felony convictions, Linn and Douglas Post-Prison Supervision - 36-month recidivism rate of offenders released to post-prison supervision.
- OM5d: New felony convictions, Linn and Douglas Probation - 36-month recidivism rate of offenders released to probation supervision.

### OP #6: Managing Offenders in the Community

- OP6c: Employment, Linn and Douglas DOC– Total percent employed
- OP6d: Treatment Participation, Linn and Douglas – Total percent participating in treatment
- OP6e: Restitution Collection, Linn and Douglas – Average percent of restitution paid (at time of supervision closure)
- OP6f: Community Service Completion, Linn and Douglas Counties – Average community service imposed that was completed (at time of supervision closure)

## Agency Request Budget

### Revenue Source

General Fund	\$297,738*
Other Funds	0
Federal Funds	0
<b>Total Revenue</b>	<b>\$297,738</b>

# BUDGET NARRATIVE

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\*\$153,499 (Extradition Compact Coordinator)  
\$144,239 (Community Corrections Administrative Support)  
\$297,738 Total

## Governor's Balanced Budget

### Revenue Sources

General Fund	\$0
Other Funds	0
Federal Funds	<u>0</u>
Total Revenue	\$0

This package was removed in the Governor's Balanced Budget.

### 2017-19 Impact

This package was removed in the Governor's Balanced Budget and so will not become part of the Base Budget for 2017-19.

## ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Corrections, Dept of  
Pkg: 111 - Staff Enhancements to Address Workload

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	-	-	-	-	-	-	-
<b>Total Revenues</b>	-	-	-	-	-	-	-
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	-	-	-	-	-
Empl. Rel. Bd. Assessments	-	-	-	-	-	-	-
Public Employees' Retire Cont	-	-	-	-	-	-	-
Social Security Taxes	-	-	-	-	-	-	-
Worker's Comp. Assess. (WCD)	-	-	-	-	-	-	-
Mass Transit Tax	-	-	-	-	-	-	-
Flexible Benefits	-	-	-	-	-	-	-
<b>Total Personal Services</b>	-	-	-	-	-	-	-
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	-	-	-	-	-
Office Expenses	-	-	-	-	-	-	-
Data Processing	-	-	-	-	-	-	-
Other Services and Supplies	-	-	-	-	-	-	-
Expendable Prop 250 - 5000	-	-	-	-	-	-	-
IT Expendable Property	-	-	-	-	-	-	-
<b>Total Services &amp; Supplies</b>	-	-	-	-	-	-	-

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 111 - Staff Enhancements to Address Workload

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total Expenditures</b>							
Total Expenditures	-	-	-	-	-	-	-
<b>Total Expenditures</b>	-	-	-	-	-	-	-
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							-
<b>Total Positions</b>	-	-	-	-	-	-	-
<b>Total FTE</b>							
Total FTE							-
<b>Total FTE</b>	-	-	-	-	-	-	-

# BUDGET NARRATIVE

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## Community Corrections

### 113 Community Corrections SB 267 Program Evaluator

#### Purpose

Per ORS 182.515-525 (SB267), the Department of Corrections is directed to spend at least 75% of general fund dollars that it receives for programs on evidence-based programs. This applies to prevention, treatment, or intervention programs intended to reduce future criminal behavior. Other agencies impacted by this statute are DHS, CJC, OYA, and OCCF and affect services provided directly or funded through other entities, including counties or private parties. The Department of Corrections and other impacted agencies implemented a program assessment process using the Correctional Program Checklist.

Funding for program evaluations, including county community corrections programs, was eliminated in 2012. Passage of HB 3194 and its requirements for counties to show documented progress towards reducing the prison population and ultimately recidivism, highlights the need to ensure programs that our offenders participate in are evidence based. County community corrections agencies have agreed to provide general fund dollars allocated to them by the Department of Corrections for the purposes of receiving program assessments of eligible programs. So while funding exists, position authority does not; therefore, this package is requesting position authority to carry out the requirements of statute.

#### How Achieved

The Program Evaluator position would include the following responsibilities to support Community Corrections:

- Evaluate programs, services, systems, and program effectiveness in accordance with SB 267
- Work with agency programs to develop methods to improve operations or implement new practices
- Provide technical assistance to county community corrections offices in policy development or operational practices
- Develop and deliver statewide training regarding implementation and ongoing use of evidence based practices
- Special technical projects as assigned

DOC is asking to shift funds within SCR 009 from Special Payments to fund an Operations and Policy Analyst 3 position (SB 267 Programs Evaluator) resulting in a net zero funding impact on 2015-17.

#### Staffing Impact

Positions	1
FTE	1.00

# BUDGET NARRATIVE

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## Quantifying Results

The department has developed Correctional Outcomes through Research and Engagement (CORE) as a means to monitor and measure the daily work performed throughout the department. This will allow the department the ability to identify opportunities for improvement and streamline processes as well as use data for identification of constraints, effectively use resources and ultimately promote public safety. To gauge the department's progress, each core process is measured and replicated on an agency scorecard.

The benefits and outcomes of adding this position will be tied to the following CORE operating process (OP) measures and outcome measures (OM):

- OM #4: Community Supervision Success
  - OM4c: Positive Case Closure, Linn and Douglas Post-Prison Supervision - Percentage of Post-Prison Supervision offenders who successfully complete community supervision
  - OM4d: Positive Case Closure, Linn and Douglas Probation Supervision - Percentage of Probation offenders who successfully complete community supervision
  
- OM #5: Recidivism
  - OM5c: New felony convictions, Linn and Douglas Post-Prison Supervision - 36-month recidivism rate of offenders released to post-prison supervision
  - OM5d: New felony convictions, Linn and Douglas Probation - 36-month recidivism rate of offenders released to probation supervision
  
- OP #6: Managing Offenders in the Community
  - OP6c: Employment, Linn and Douglas DOC– Total percent employed
  - OP6d: Treatment Participation, Linn and Douglas – Total percent participating in treatment
  - OP6e: Restitution Collection, Linn and Douglas – Average percent of restitution paid (at time of supervision closure)
  - OP6f: Community Service Completion, Linn and Douglas Counties – Average community service imposed that was completed (at time of supervision closure)

## Agency Request Budget

### Revenue Sources

General Fund	\$0
Other Funds	0
Federal Funds	0

# BUDGET NARRATIVE

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## Governor's Balanced Budget

### Revenue Sources

General Fund	\$0
Other Funds	0
Federal Funds	0
<hr/>	<hr/>
Total Funds	\$0

This package was removed in the Governor's Balanced Budget.

### 2017-19 Impact

This package was removed in the Governor's Balanced Budget and so it will not become a part of the base budget for the 2017-19 biennium.

## ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Corrections, Dept of  
Pkg: 113 - Community Corrections SB 267 Program Evaluator

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	-	-	-	-	-	-	-
<b>Total Revenues</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	125,544	-	-	-	-	-	125,544
Empl. Rel. Bd. Assessments	44	-	-	-	-	-	44
Public Employees' Retire Cont	24,519	-	-	-	-	-	24,519
Social Security Taxes	9,604	-	-	-	-	-	9,604
Worker's Comp. Assess. (WCD)	69	-	-	-	-	-	69
Mass Transit Tax	753	-	-	-	-	-	753
Flexible Benefits	30,528	-	-	-	-	-	30,528
<b>Total Personal Services</b>	<b>\$191,061</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$191,061</b>
<b>Services &amp; Supplies</b>							
Instate Travel	1,573	-	-	-	-	-	1,573
Office Expenses	7,267	-	-	-	-	-	7,267
Data Processing	613	-	-	-	-	-	613
Other Services and Supplies	1,214	-	-	-	-	-	1,214
Expendable Prop 250 - 5000	3,049	-	-	-	-	-	3,049
IT Expendable Property	2,574	-	-	-	-	-	2,574
<b>Total Services &amp; Supplies</b>	<b>\$16,290</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$16,290</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 113 - Community Corrections SB 267 Program Evaluator

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Special Payments</b>							
Dist to Counties	(207,351)	-	-	-	-	-	(207,351)
<b>Total Special Payments</b>	<b>(\$207,351)</b>	-	-	-	-	-	<b>(\$207,351)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	-	-	-	-	-
<b>Total Expenditures</b>	-	-	-	-	-	-	-
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							1
<b>Total Positions</b>	-	-	-	-	-	-	<b>1</b>
<b>Total FTE</b>							
Total FTE							1.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>1.00</b>

12/22/14 REPORT NO.: PPDPFISCAL  
 REPORT: PACKAGE FISCAL IMPACT REPORT  
 AGENCY:29100 DEPT OF CORRECTIONS  
 SUMMARY XREF:009-00-00 Community Corrections

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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2015-17

PROD FILE

PICS SYSTEM: BUDGET PREPARATION

PACKAGE: 113 - Community Corrections SB 267 P

POSITION NUMBER	CLASS COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
1500118	MMN X0872	AA OPERATIONS & POLICY ANALYST 3	1	1.00	24.00	02	5,231.00	125,544 64,764				125,544 64,764
TOTAL PICS SALARY								125,544				125,544
TOTAL PICS OPE								64,764				64,764
TOTAL PICS PERSONAL SERVICES =			1	1.00	24.00			190,308				190,308

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Corrections, Dept of  
2015-17 Biennium

Agency Number: 29100  
Cross Reference Number: 29100-009-00-00-00000

<i>Source</i>	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
<b>Other Funds</b>						
Federal Revenues	22,906	-	-	-	-	-
Charges for Services	1,023,024	1,378,912	1,378,912	870,058	870,058	-
Admin and Service Charges	2,372	-	-	-	-	-
Fines and Forfeitures	41,890	92,707	92,707	47,898	47,898	-
Rents and Royalties	4,905	12,000	12,000	15,512	15,512	-
Sales Income	40	89	89	78	78	-
Donations	-	986	986	8,047	8,047	-
Grants (Non-Fed)	-	-	-	194,618	194,618	-
Other Revenues	-	-	-	824,974	824,974	-
Transfer In - Intrafund	591,378	596,729	596,729	87,695	87,695	-
Transfer In Other	-	4,297,572	4,297,572	3,986,493	3,986,493	-
Tsfr From Revenue, Dept of	6,276,355	-	-	-	-	-
Tsfr From Criminal Justice Comm	643,921	-	-	370,800	370,800	-
<b>Total Other Funds</b>	<b>\$8,606,791</b>	<b>\$6,378,995</b>	<b>\$6,378,995</b>	<b>\$6,406,173</b>	<b>\$6,406,173</b>	<b>-</b>
<b>Federal Funds</b>						
Federal Funds	289,933	291,797	291,797	300,551	300,551	-
<b>Total Federal Funds</b>	<b>\$289,933</b>	<b>\$291,797</b>	<b>\$291,797</b>	<b>\$300,551</b>	<b>\$300,551</b>	<b>-</b>

## DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Balanced	Legislatively Adopted
Domestic Violence Court grant	Other	0355	\$22,906	\$0	\$0	\$0	\$0	\$0
County supervision fees	Other	0410	1,023,024	1,378,912	906,307	870,058	870,058	0
Administration Charges	Other	0415	2,372	0	0	0	0	0
Drug Court forfeitures	Other	0505	41,890	92,707	41,890	47,898	47,898	0
Space rental	Other	0510	4,905	12,000	9,200	15,512	15,512	0
Sale of copies	Other	0705	40	89	0	78	78	0
Travel reimbursements, transfer of Inmate Welfare Funds between programs.	Other	0975	0	0	0	824,974	824,974	0
Grants (Non-Fed)	Other	0910	0	0	0	194,618	194,618	0
Donations for drug dog	Other	0905	0	986	0	8,047	8,047	0
Inmate Welfare Funds supporting statewide transition programs	Other	1010	591,378	596,729	596,242	87,695	87,695	0
Recording revenue movements between Department organizational units	Other	1050	0	4,297,572	0	3,986,493	3,986,493	0
Transfer from Dept of Revenue for HB2712 Criminal Fines	Other	1150	6,276,355	0	3,870,383	0	0	0

## DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Transfer from Criminal Justice Commission for Byrne ARRA Grant	Other	1213	643,921	0	265,988	370,800	370,800	0
Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Balanced	Legislatively Adopted
Transfer of revenue between funds to properly align revenue to programs	Other	2010	0	0	(387,038)	0	0	0
Smart Probation Grant	Federal	0995	289,933	291,797	168,967	300,551	300,551	0