

# BUDGET NARRATIVE

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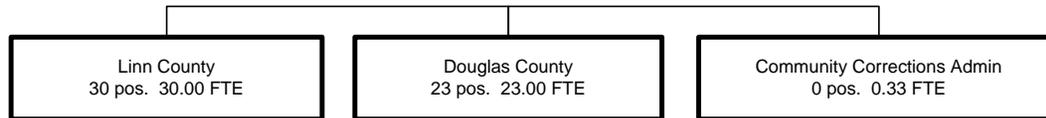
## Community Corrections

### Program Description

## OREGON DEPARTMENT OF CORRECTIONS

### Community Corrections Division Organizational Chart

2011-13 Legislatively Adopted Budget

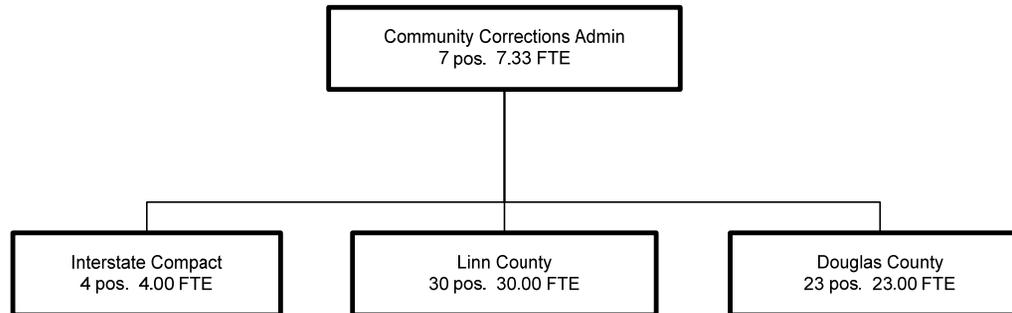


**Total Positions: 53**  
**FTE: 53.33**

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## OREGON DEPARTMENT OF CORRECTIONS Community Corrections Division Organizational Chart 2011-13 Current Legislatively Approved Budget (Reorganized)

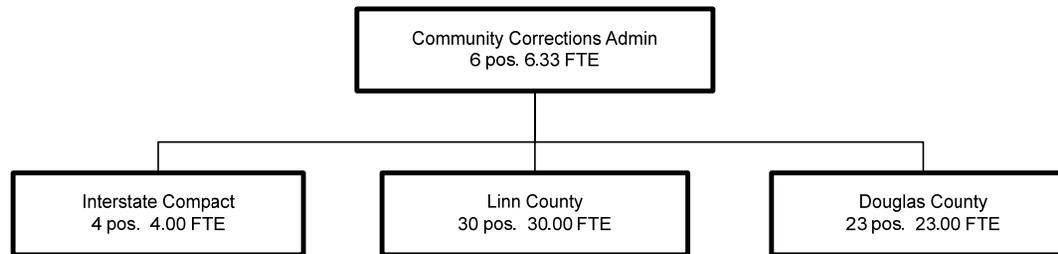


**Total Positions: 64**  
**FTE: 64.33**

# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Community Corrections Division Organizational Chart 2013 -15 Agency Request Budget

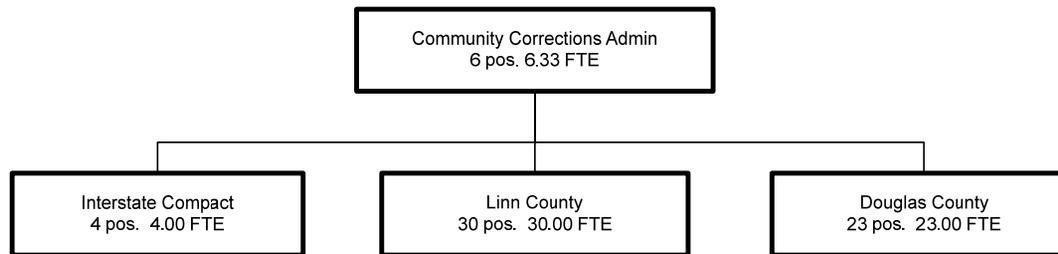


**Total Positions: 63**  
**FTE: 63.33**

# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Community Corrections Division Organizational Chart 2013 -15 Governor's Balanced Budget

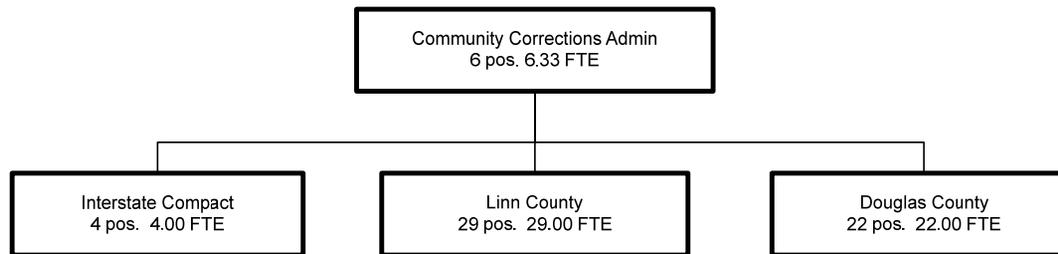


**Total Positions: 63**  
**FTE: 63.33**

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## OREGON DEPARTMENT OF CORRECTIONS Community Corrections Division Organizational Chart 2013 -15 Legislatively Adopted Budget



**Total Positions: 61**  
**FTE: 61.33**

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## Community Corrections Division

### Community Corrections Administration

Community Corrections Administration provides funding for the management and supervision of more than 32,000 felony offenders sentenced to probation, parole, or post prison supervision, and offenders sentenced to 12 months or less of incarceration. Community corrections supervision and programs are provided directly by the state in two counties (Douglas and Linn), or by the counties through intergovernmental agreement with the Department of Corrections (DOC). Community Corrections Administration is responsible for the statewide coordination and oversight of community corrections activities at the county level, including monitoring compliance with applicable laws and administrative rules. The program has the statutory responsibility to evaluate community corrections policies, to annually review counties' compliance with the intergovernmental agreement, and to offer technical assistance when needed to gain compliance. In addition, Community Corrections Administration provides consultation and technical assistance to local agencies regarding community corrections options and effectiveness, facilitates communication among counties and problem solving between counties, and organizes training activities specific to community corrections work.

Community Corrections Administration also operates the interstate compact and provides jail inspections. The Interstate Compact Unit processes applications for transfer of community supervision to and from other states, prison releases to other states, and administers the Interstate Compact for Adult Offender Supervision. Jail inspections include on-site evaluation of 95 adult jail and juvenile detention facilities in counties and municipalities.

### Program Objectives and Services

#### Central Administration

Community Corrections Central Administration is responsible for general oversight of community-based supervision, services and sanctions for felony offenders. Oversight functions include evaluating the Community Corrections Act, setting system-wide performance standards, technical assistance and consultation, allocation of funds, intergovernmental agreements with counties, development of policies and administrative rules, and monitoring each county's compliance with the intergovernmental agreement, administrative rule, and performance measures. Inspections of jail and juvenile detention facilities are a statutorily mandated function of DOC carried out by the Community Corrections Division.

#### State-Operated Field Offices

Community Corrections field offices supervise and monitor offenders on felony probation or post-prison supervision in counties that have chosen to transfer this responsibility to the state (Douglas and Linn). Staff hold offenders accountable through the application of community based sanctions. They assess and address the underlying causes of criminal behavior through corrections programs and

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interventions. Objectives of field operations are to reduce recidivism, ensure compliance with the conditions of supervision set by the court or the Board of Parole and Post-Prison Supervision, and to provide restitution to crime victims.

## Interstate Compact

The interstate compact governs the transfer of supervision for offenders moving in and out of the state. The Interstate Compact Unit processes applications for transfer to and from other states, processes applications for prison releases to other states, and monitors offenders being held on out-of-state detainers or U.S. Marshall holds. The Extradition Officer, within the Interstate Compact Unit, is responsible for the return of compact violators, pursuant to the rules of the Interstate Commission for Adult Offender Supervision.

## **Accomplishments – 2011-13**

- Implemented the use of the Public Safety Checklist (PSC) static risk assessment tool designed to identify those offenders who present the highest risk to reoffend.
- Expanded and enhanced DOC's 30-day Short Term Transitional Leave program.
- Represented the State of Oregon at the national level in the capacity of West Region Chair of the Interstate Commission on Adult Offender Supervision.
- Developed and implemented the reimbursement process to Oregon counties for the mandatory incarceration of M73/SB395 Repeat DUII offenders.
- Provided Interstate Compact for Adult Offender Supervision training to members of the judicial branch as well as other vital stakeholders.
- Implemented with counties and institutions the Case Management Automation (CMA) project to enhance the functionality of the case planning component to facilitate a seamless transition from custody to community.
- Coordinated and participated in county community corrections agency peer reviews designed to improve consistency and services on a statewide basis as well as promote collaboration between DOC, county community corrections agencies, and their stakeholders.
- Improved the success of transition from prison to community by facilitating better communication and planning between the field, institution, and the Board of Parole and Post-Prison Supervision as plans are developed for individual offenders.
- Continued the review of institution and community programs to ensure that they meet the principles of evidence based practices; improved programs being delivered in the institution and community through the use of the Correctional Programs Checklist (CPC) and CPC-DC (Drug Court) tools, including implementation of the new CPC-GA (Group Assessment) tool designed to effectively evaluate smaller community-based programs.
- Continued to expand and enhance the use and functionality of the statewide needs assessment and case planning tool (LS/CMI); provided ongoing training in the use of the tool and created a statewide master-level trainer cadre for use by both DOC and county community corrections agencies.

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- Provided leadership to department staff and county community corrections agencies in the adoption of evidence-based practices consistent with the Oregon Accountability Model.

## Key Initiatives – 2013-15

- Work with counties to review and implement the most up-to-date risk assessment tool in order to better evaluate offender risk consistent with evidence-based practices.
- Continue to work with counties and institutions to enhance the functionality of the case planning component to facilitate a seamless transition for custody to community.
- Enhance the functionality of the statewide community corrections plan and work with county community corrections directors in the preparation and submission of their new plan.
- Evaluate programs funded by the legislature for drug-addicted persons as part of the implementation of Measure 57 and as approved by the Community Corrections Commission.
- Develop educational materials for community distribution at the direction of the Community Corrections Commission.
- Implement recommendations made by the Peer Review team in DOC's state operated community corrections offices in order to enhance services provided.
- Continue to provide leadership to county community corrections agencies in the adoption of evidence-based practices consistent with the Oregon Accountability Model. Operate state field offices as model sites for evidence-based practices.

## Agency Request Budget

### Staffing

Positions	10
FTE	10.33

### Revenue Sources

General Fund	\$25,776,113
Other Funds	4,281,530

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## Governor's Balanced Budget

The Governor's Balanced Budget includes policy changes that include PERS rate table changes (Essential Packages) and other PERS policy impacts. Also included is the \$32,000,000 of incentive funding to counties to offset the \$35,600,000 reduction in prison operations.

### Staffing

Positions	10
FTE	10.33

### Revenue Sources

General Fund	\$51,371,630
Other Funds	4,281,050

## Legislatively Adopted Budget

The Legislature's Budget reflects the elimination of a \$32m placeholder (package 090) for public safety investments pending recommendations from the Governor's Commission on Public Safety. The Legislature's Budget also reflects adjustments based on operational impacts resulting from the passage of HB 3194 (through HB 5008), a 5% statewide reduction to specific S&S accounts (excluding AG, DAS, Rent, Fuels/Utilities), a 2% supplemental holdback that may be returned mid-biennium, and community reinvestment actions directed at bolstering community corrections and county jail funding while limiting the growth of the state prison population over the next 5 years. The Legislature increases Federal Funds limitation for the Smart Probation Grant which was authorized for application meeting of the December 2012 meeting of the Emergency Board.

### Staffing

Positions	10
FTE	10.33

### Revenue Sources

General Fund	\$23,892,042
Other Funds	4,281,081
Federal Funds	291,797

# BUDGET NARRATIVE

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## **County Community Corrections**

Community corrections supervision, services, and sanctions are provided by counties through intergovernmental agreements with the Department of Corrections, or directly by DOC in Linn and Douglas Counties. Local community corrections agencies provide an extended range of sentencing options so that sanctions can be chosen that fit the circumstances of the crime and the offender. Community corrections agencies are responsible for over 32,000 offenders in the community, including those felony offenders sentenced to probation, offenders released to parole or post-prison supervision, and offenders sentenced to 12 months or less in prison to be served at the county level. Counties have designated systems that deal effectively with these offenders: swift and meaningful punishment combined with programs to deal with the underlying causes of crime, such as drug and alcohol addiction.

## **Program Objectives and Services**

Grant-in-aid funding supports the following community corrections goals and activities:

### Evaluating each offender's likelihood to commit new crimes

The risk to commit new crimes is determined by applying a risk-assessment tool that classifies offenders as high, medium, low, or limited risk according to tested criteria including crime history, crime seriousness, alcohol/drug problems, past problems on supervision. Probation/parole officers apply closest supervision to those offenders most likely to commit new crimes.

### Evaluating each offender's criminal risk factors

The risk to commit new crimes can be traced to criminal risk factors, or criminogenic needs. By the use of a validated risk tool, parole and probation officers can identify areas of high need and tailor case management plans to address these needs, thus mitigating the chances that an offender will engage in future criminal activity.

### Monitoring offenders according to behavior and risk to re-offend

Offenders who present the greatest risk to commit new crimes have the most contact with the probation/parole officer. Contact is progressively less frequent as the risk of new crimes diminishes. To monitor compliance with the conditions of supervision, offenders are subject to unannounced home visits, searches, employment checks, office appointments, random urine testing for drug use, and polygraph testing.

### Employing a continuum of effective community-based punishments

Use of community-based punishments, such as electronic monitoring, community service, work crew, day reporting centers, intensive supervision, and jail time are used to hold offenders accountable when they are not following the rules of their supervision.

# BUDGET NARRATIVE

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## Offering programs designed to address the causes of criminal behavior, thus reducing the risk of a return to criminal activity

Community corrections agencies provide correctional programs such as alcohol/drug treatment, sex offender treatment, employment counseling, and mental health services in order to promote long-term behavior change. Referral to treatment is a routine part of community supervision work. Research has demonstrated that treatment combined with supervision has the greatest impact on reducing criminal activity, compared to any other criminal justice system sanction.

### **Accomplishments 2011-13**

Counties are required to address four goals:

#### 1. Reduce criminal behavior

- As measured by felony convictions from initial admission to probation, tracking for three years from admission: The statewide recidivism rate for those beginning probation in the second half of the year 2008 is 19.4 percent. This is well below the baseline of 23 percent and represents a 4.4 percent decrease in recidivism from the previous report.
- As measured by felony convictions from first release to parole/post-prison supervision, tracking for three years from release: The statewide recidivism rate for those released in the second half of year 2008 was 26.5 percent. This is below the baseline of 30 percent and represents a 2.2 percent decrease from the previous report.

#### 2. Enforce orders of the court or the Board of Parole and Post-Prison Supervision

- As measured by the percentage of positive case closures for offenders on probation: 62 percent of cases successfully complete probation. This is close to the target of 65 percent.
- As measured by the percentage of positive case closures for offenders on parole/post-prison supervision: 67 percent of cases successfully complete post-prison supervision. This is better than the target of 65 percent.

#### 3. Assist offenders to change

- As measured by high and medium risk offender participation in treatment programs: 40 percent of high and medium risk offenders are enrolled in treatment programs, up from last biennium's rate of 34 percent.
- As measured by employment rates for offenders under supervision: 45.5 percent of offenders are reported to be working, up from last biennium's rate of 39 percent.

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## 4. Provide reparation to crime victims

- As measured by the percentage of restitution collected that is owed to victims: 28 percent of restitution ordered is collected, less than the target of 35 percent.
- As measured by the percent of community services hours completed by offenders: 55 percent of community services hours ordered is performed, over the target of 45 percent.

## Key Initiatives 2013-15

Key initiatives are set locally by each county jurisdiction, in consultation with their local public safety coordinating council.

For Linn and Douglas Counties, key initiatives include improving the case planning and case management process to better identify and target criminal risk factors and thereby reduce recidivism.

## Agency Request Budget

### Staffing

Positions	53
FTE	53.00

### Revenue Sources

General Fund	\$239,024,722
Other Funds	2,174,744

## Governor's Balanced Budget

The Governor's Balanced Budget includes policy changes that include PERS rate table changes (Essential Packages) and other PERS policy impacts. Also included is a reduction in caseload between the April 2012 and October 2012 OEA forecasts.

# BUDGET NARRATIVE

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## Staffing

Positions	53
FTE	53.00

## Revenue Sources

General Fund	\$197,248,981
Other Funds	2,174,744

## Legislatively Adopted Budget

The Department of Corrections' budget is impacted by this package through the following actions: the caseload and operational impacts resulting from the passage of HB 3194, a 5% statewide reduction to specific S&S accounts (excluding AG, DAS, Rent, Fuels/Utilities), a 2% supplemental holdback that may be returned mid-biennium, changes to DAS assessments and charges, and community reinvestment actions directed at bolstering community corrections and county jail funding while limiting the growth of the state prison population over the next 5 years.

## Staffing

Positions	51
FTE	51.00

## Revenue Sources

General Fund	\$210,876,787
Other Funds	2,174,744

# BUDGET NARRATIVE

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## Community Corrections Division

### 010 Non-PICS Psnl Svc / Vacancy Factor

#### Package Description

##### Purpose

This package includes three components: 1) The cost of Personal Services adjustments, such as inflation on non-PICS accounts, i.e., overtime, differentials, unemployment compensation, and mass transit taxes, which are not automatically generated by PICS; 2) An adjustment for the anticipated savings associated with normal employee turnover, commonly referred to as vacancy savings, which is developed using a formula prescribed by the Department of Administrative Services (DAS) Chief Financial Office (CFO) that considers both the savings and costs associated with normal turnover activity; 3) An adjustment to the Public Employee Retirement System (PERS) Pension Obligation Bond assessment, which is also developed by DAS CFO.

##### How Achieved

Non-PICS Accounts – With the exception of Mass Transit, adjustment amounts are computed by multiplying the above referenced accounts in the 2013-15 Base Budget by the standard inflation factor of 2.4%.

Vacancy Savings – An estimate of the savings associated with vacancies, employee turnover and hiring delays is included in this package. Vacancy savings are computed using the formula and guidelines prescribed in the Budget and Legislative Concept Instructions and approved in advance by DAS CFO. In the Community Corrections Division, the vacancy savings budget decreased by (\$5,066) General Fund and increased by \$6 Other Fund from the 2011-13 budgeted level.

PERS Pension Obligation Bonds – This package includes an increase of \$79,947 General Fund and increased by \$79 Other Fund from the 2011-13 budgeted levels for distribution to DAS for Debt Service on PERS Pension Obligation Bonds.

#### Agency Request Budget

##### Staffing Impact

None

# BUDGET NARRATIVE

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## Revenue Sources

General Fund	\$86,066
Other Funds	87

## Governor's Balanced Budget

The Governor reduced this package by implementing a lower PERS rate which is calculated on all salaries.

## Staffing Impact

None

## Revenue Sources

General Fund	\$86,015
Other Funds	87

## Legislatively Adopted Budget

The Legislature did not make any changes to this package.

## Staffing Impact

None

## Revenue Sources

General Fund	\$86,015
Other Funds	87

## 2015-17 Fiscal Impact

Actions approved in this package will have varying impacts on future periods. Normal inflation will be integrated into and become part of the Base Budget for 2015-17, as will the funding approved in the non-PICS exceptions. Vacancy savings are re-projected each biennium based on agency experience. The Pension Obligation Bond financing will be an ongoing liability for the agency.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	86,015	-	-	-	-	-	86,015
<b>Total Revenues</b>	<b>\$86,015</b>	-	-	-	-	-	<b>\$86,015</b>
<b>Personal Services</b>							
Overtime Payments	221	-	-	-	-	-	221
All Other Differential	6,030	-	-	-	-	-	6,030
Public Employees' Retire Cont	1,218	-	-	-	-	-	1,218
Pension Obligation Bond	79,947	-	79	-	-	-	80,026
Social Security Taxes	478	-	-	-	-	-	478
Mass Transit Tax	2,935	-	2	-	-	-	2,937
Vacancy Savings	(5,066)	-	6	-	-	-	(5,060)
Reconciliation Adjustment	252	-	-	-	-	-	252
<b>Total Personal Services</b>	<b>\$86,015</b>	-	<b>\$87</b>	-	-	-	<b>\$86,102</b>
<b>Total Expenditures</b>							
Total Expenditures	86,015	-	87	-	-	-	86,102
<b>Total Expenditures</b>	<b>\$86,015</b>	-	<b>\$87</b>	-	-	-	<b>\$86,102</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(87)	-	-	-	(87)
<b>Total Ending Balance</b>	-	-	<b>(\$87)</b>	-	-	-	<b>(\$87)</b>

# BUDGET NARRATIVE

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## Community Corrections Division

### 021 Phase-In

#### Package Description

##### Purpose

This package includes the additional costs associated with 24-month operation of programs or services phased-in during the prior biennium. Package 021 includes the added costs of programs above the 2013-15 Base Budget level after adjustments are made for start-up costs or other one-time expenditures funded in 2011-13.

##### How Achieved

This package provides the necessary funding (including inflation) for Special Payments account to provide for 24-month roll-up of the 2011 Legislative HB2712 for criminal fines revenue and disbursement.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Source

Other Fund	\$956,886
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#### Governor's Balanced Budget

The Governor did not make any changes to this package.

##### Staffing Impact

None

##### Revenue Source

Other Fund	\$956,886
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# BUDGET NARRATIVE

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**Legislatively Adopted Budget**

The Legislature did not make any changes to this package.

**Staffing Impact**

None

**Revenue Source**

Other Fund	\$956,886
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**2015-17 Fiscal Impact**

The actions included in this package will become part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 021 - Phase-in

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Special Payments</b>							
Dist to Counties	-	-	956,886	-	-	-	956,886
<b>Total Special Payments</b>	-	-	<b>\$956,886</b>	-	-	-	<b>\$956,886</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	956,886	-	-	-	956,886
<b>Total Expenditures</b>	-	-	<b>\$956,886</b>	-	-	-	<b>\$956,886</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(956,886)	-	-	-	(956,886)
<b>Total Ending Balance</b>	-	-	<b>(\$956,886)</b>	-	-	-	<b>(\$956,886)</b>

# BUDGET NARRATIVE

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## Community Corrections Division

### 031 Standard Inflation

#### Package Description

##### Purpose

This package includes funding for inflation and adjustments to reflect the Price List of Goods and Services issued by DAS. The prescribed standard inflation factors were used for all accounts in this Division.

##### How Achieved

For 2013-15, inflation factors are 2.4% for standard inflation, 2.8% for Professional Services, and 14.9% for Attorney General charges. Inflation requested in this package is based on the 2013-15 Base Budget. Inflation associated with biennialized phased-in programs, when applicable, is included in package 021.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$4,681,274
Other Funds	128,471

##### Governor's Balanced Budget

The Governor did not make any changes to this package.

##### Staffing Impact

None

# BUDGET NARRATIVE

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## Revenue Sources

General Fund	\$4,681,274
Other Funds	128,471

## Legislatively Adopted Budget

The Legislature did not make any changes to this package.

## Staffing Impact

None

## Revenue Sources

General Fund	\$4,681,274
Other Funds	128,471

## 2015-17 Fiscal Impact

The actions included in this package will become part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Corrections, Dept of**  
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Community Corrections**  
**Cross Reference Number: 29100-009-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	4,681,274	-	-	-	-	-	4,681,274
<b>Total Revenues</b>	<b>\$4,681,274</b>	-	-	-	-	-	<b>\$4,681,274</b>
<b>Services &amp; Supplies</b>							
Instate Travel	961	-	-	-	-	-	961
Out of State Travel	224	-	-	-	-	-	224
Employee Training	658	-	-	-	-	-	658
Office Expenses	1,945	-	-	-	-	-	1,945
Data Processing	1,062	-	-	-	-	-	1,062
Publicity and Publications	15	-	-	-	-	-	15
Professional Services	3,646	-	-	-	-	-	3,646
Attorney General	17,646	-	-	-	-	-	17,646
Employee Recruitment and Develop	16	-	-	-	-	-	16
Dues and Subscriptions	1,388	-	-	-	-	-	1,388
Facilities Maintenance	213	-	-	-	-	-	213
Food and Kitchen Supplies	7	-	-	-	-	-	7
Other Care of Residents and Patients	22,201	-	32,185	-	-	-	54,386
Other Services and Supplies	1,593	-	144	-	-	-	1,737
Expendable Prop 250 - 5000	1,058	-	-	-	-	-	1,058
<b>Total Services &amp; Supplies</b>	<b>\$52,633</b>	-	<b>\$32,329</b>	-	-	-	<b>\$84,962</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Capital Outlay</b>							
Data Processing Hardware	1,139	-	-	-	-	-	1,139
<b>Total Capital Outlay</b>	<b>\$1,139</b>	-	-	-	-	-	<b>\$1,139</b>
<b>Special Payments</b>							
Dist to Counties	4,627,502	-	96,142	-	-	-	4,723,644
<b>Total Special Payments</b>	<b>\$4,627,502</b>	-	<b>\$96,142</b>	-	-	-	<b>\$4,723,644</b>
<b>Total Expenditures</b>							
Total Expenditures	4,681,274	-	128,471	-	-	-	4,809,745
<b>Total Expenditures</b>	<b>\$4,681,274</b>	-	<b>\$128,471</b>	-	-	-	<b>\$4,809,745</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(128,471)	-	-	-	(128,471)
<b>Total Ending Balance</b>	-	-	<b>(\$128,471)</b>	-	-	-	<b>(\$128,471)</b>

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## Community Corrections Division

### 040 Mandated Caseload

#### Package Description

##### Purpose

Mandated caseload changes included in this package are based on changes to programs that are required by the federal government, the state constitution or court actions. Mandated caseload costs include but are not limited to the cost of the additional staff and operating costs required to operate these programs. The April 2012 Felony Probation and Parole/Post Prison Supervision Caseload Forecast published by DAS Office of Economic Analysis is the basis for actions presented in this package.

##### How Achieved

The April 2012 Felony Probation and Parole/Post Prison Supervision Caseload Forecasts project a population of 33,865 in July 2013 increasing to 34,871 in June 2015.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Source

General Fund	\$21,348,016
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#### Governor's Balanced Budget

This package was adjusted for changes in the population forecast between April 2012 and October 2012.

##### Staffing Impact

None

##### Revenue Source

General Fund	\$10,944,264
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## Legislatively Adopted Budget

The Legislature did not make any changes to this package.

## Staffing Impact

None

## Revenue Source

General Fund	\$10,944,264
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## 2015-17 Fiscal Impact

This package will have an impact on the 2015-17 budget, with the community caseload during 2013-15 needing to be funded for a full 24-month period in 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 040 - Mandated Caseload

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	10,944,264	-	-	-	-	-	10,944,264
<b>Total Revenues</b>	<b>\$10,944,264</b>	-	-	-	-	-	<b>\$10,944,264</b>
<b>Special Payments</b>							
Dist to Counties	10,944,264	-	-	-	-	-	10,944,264
<b>Total Special Payments</b>	<b>\$10,944,264</b>	-	-	-	-	-	<b>\$10,944,264</b>
<b>Total Expenditures</b>							
Total Expenditures	10,944,264	-	-	-	-	-	10,944,264
<b>Total Expenditures</b>	<b>\$10,944,264</b>	-	-	-	-	-	<b>\$10,944,264</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

# BUDGET NARRATIVE

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## Community Corrections Division

### 081 May 2012 E-Board

#### Package Description

##### Purpose

The 2011-13 DOC Legislatively Approved Budget reflects the Legislatively Adopted Budget (the budget as of the close of the 2011 Legislative Session), plus additional legislative activity that impacts the budget for the remainder of the biennium (i.e. Emergency Boards). The 2013-15 Base Budget only includes changes from Legislative Sessions, Special Sessions and Emergency Boards through February 2012. As a result, any legislative changes to the 2011-13 budget that occur after February 2012 are not included in the base used to build the 2013-15 budget. Instead, these post-February 2012 changes (like the May 2012 Emergency Board and the September 2012 Emergency Board) are introduced into the 2013-15 budget process as packages.

Package 081 was created to memorialize the changes related to the May 2012 Emergency Board in the 2011-13 Legislatively Approved Budget.

##### How Achieved

DOC was asked to share in a statewide budget reduction as a part of the February 2012 Session of nearly \$7.9M. That reduction was taken across several divisions as a mix of Personal Services and Services & Supplies. In the May 2012 Emergency Board, the agency was directed to make specific position reductions (21 positions, 9.71 FTE) as part of the \$7.9M already taken. In essence, this was a rebalance of the initial reduction.

Package 081 makes the position reductions implemented in the 2011-13 biennium permanent in the 2013-15 biennium and beyond. For the Community Corrections Division, this equates to (3) Positions, (3.00) FTE for a total of (\$796,020) General Fund.

#### Agency Request Budget

##### Staffing Impact

Positions	(3)
FTE	(3.00)

# BUDGET NARRATIVE

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## Revenue Source

General Fund (\$796,020)

## Governor's Balanced Budget

The Governor reduced this package by implementing a lower PERS rate which is calculated on all salaries.

## Staffing Impact

Positions (3)  
FTE (3.00)

## Revenue Source

General Fund (\$791,678)

## Legislatively Adopted Budget

The Legislature removed an additional 2 positions and fte from this package.

## Staffing Impact

Positions (5)  
FTE (5.00)

## Revenue Source

General Fund (\$791,678)

## 2015-17 Fiscal Impact

The adjustments approved in this package will become part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(791,678)	-	-	-	-	-	(791,678)
<b>Total Revenues</b>	<b>(\$791,678)</b>	-	-	-	-	-	<b>(\$791,678)</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	(778,392)	-	-	-	-	-	(778,392)
Empl. Rel. Bd. Assessments	(200)	-	-	-	-	-	(200)
Public Employees' Retire Cont	(151,707)	-	-	-	-	-	(151,707)
Social Security Taxes	(59,546)	-	-	-	-	-	(59,546)
Worker's Comp. Assess. (WCD)	(295)	-	-	-	-	-	(295)
Flexible Benefits	(152,640)	-	-	-	-	-	(152,640)
Reconciliation Adjustment	191,589	-	-	-	-	-	191,589
<b>Total Personal Services</b>	<b>(\$951,191)</b>	-	-	-	-	-	<b>(\$951,191)</b>
<b>Special Payments</b>							
Dist to Counties	159,513	-	-	-	-	-	159,513
<b>Total Special Payments</b>	<b>\$159,513</b>	-	-	-	-	-	<b>\$159,513</b>
<b>Total Expenditures</b>							
Total Expenditures	(791,678)	-	-	-	-	-	(791,678)
<b>Total Expenditures</b>	<b>(\$791,678)</b>	-	-	-	-	-	<b>(\$791,678)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							(5)
<b>Total Positions</b>	-	-	-	-	-	-	<b>(5)</b>
<b>Total FTE</b>							
Total FTE							(5.00)
<b>Total FTE</b>	-	-	-	-	-	-	<b>(5.00)</b>

PACKAGE: 081 - May 2012 E-Board

POSITION NUMBER	CLASS	COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
0315009	MMS	X7004	AA PRINCIPAL EXECUTIVE/MANAGER	C	1-	1.00-	24.00-	08	5,839.00	140,136- 68,659-			140,136- 68,659-
0900266	MMS	X7004	AA PRINCIPAL EXECUTIVE/MANAGER	C	1-	1.00-	24.00-	02	4,364.00	104,736- 59,052-			104,736- 59,052-
0900359	MMN	X0872	AA OPERATIONS & POLICY ANALYST	3	1-	1.00-	24.00-	07	6,435.00	154,440- 72,542-			154,440- 72,542-
9500355	MESNZ	7012	AA PRINCIPAL EXECUTIVE/MANAGER	G	1-	1.00-	24.00-	07	9,035.00	216,840- 89,477-			216,840- 89,477-
9512418	MMN	X0872	AA OPERATIONS & POLICY ANALYST	3	1-	1.00-	24.00-	08	6,760.00	162,240- 74,658-			162,240- 74,658-
TOTAL PICS SALARY									778,392-				778,392-
TOTAL PICS OPE									364,388-				364,388-
TOTAL PICS PERSONAL SERVICES =				5-	5.00-	120.00-			1,142,780-				1,142,780-

# BUDGET NARRATIVE

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## Community Corrections Division

### 090 Analyst Adjustments

#### Package Description

##### Purpose

This package, added by the Governor, reflects additional policy adjustments to the department's 2013-15 Agency Request Budget.

##### How Achieved

The package includes two adjustments to the distributions to counties. First is a reduction in Measure 73 grants for pretrial incarceration of (\$6,300,000) General Fund. Second is an incentive funding pool to promote efficient utilization of prison resources of \$32,000,000 General Fund.

#### Governor's Balanced Budget

##### Staffing Impact

None

##### Revenue Source

General Fund	\$25,700,000
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##### 2015-17 Fiscal Impact

The actions included in this package will become a part of the Base Budget for 2015-17.

#### Legislatively Adopted Budget

The Legislature included the Governor's reduction of \$6,300,000 for M73 grants but removed \$32,000,000 incentive funding pool.

##### Staffing Impact

None

# BUDGET NARRATIVE

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**Revenue Source**

General Fund (\$6,300,000)

**2015-17 Fiscal Impact**

The actions included in this package will become a part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(6,300,000)	-	-	-	-	-	(6,300,000)
<b>Total Revenues</b>	<b>(\$6,300,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$6,300,000)</b>
<b>Special Payments</b>							
Dist to Counties	(6,300,000)	-	-	-	-	-	(6,300,000)
<b>Total Special Payments</b>	<b>(\$6,300,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$6,300,000)</b>
<b>Total Expenditures</b>							
Total Expenditures	(6,300,000)	-	-	-	-	-	(6,300,000)
<b>Total Expenditures</b>	<b>(\$6,300,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$6,300,000)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

# BUDGET NARRATIVE

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## Community Corrections Division

### 092 PERS Taxation Policy

#### Package Description

##### Purpose

This package, added by the Governor, proposes limiting tax relief calculations for PERS retirees that are Oregon residents and eliminating the income tax reimbursement payments for out of state PERS retirees who do not pay Oregon income tax. The 2012 legislative session [HB 2456 (2012)] enacted a similar proposal, but that only applied to new retirees. This package extends the cost savings by including existing retirees who live out of state.

##### How Achieved

The savings associated with this proposal are dependent on legislative action.

#### Governor's Balanced Budget

##### Staffing Impact

None

##### Revenue Source

General Fund	(\$34,342)
Other Funds	(43)

##### 2015-17 Fiscal Impact

Legislative action was taken, so this package will become a part of the 2015-17 Base Budget as the new rates are implemented.

##### Legislatively Adopted Budget

The Legislature made no adjustments to this package.

##### Staffing Impact

None

# BUDGET NARRATIVE

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**Revenue Source**

General Fund	(\$34,342)
Other Funds	(43)

**2015-17 Fiscal Impact**

Legislative action was taken, so this package will become a part of the 2015-17 Base Budget as the new rates are implemented.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(34,342)	-	-	-	-	-	(34,342)
<b>Total Revenues</b>	<b>(\$34,342)</b>	-	-	-	-	-	<b>(\$34,342)</b>
<b>Personal Services</b>							
PERS Policy Adjustment	(34,342)	-	(43)	-	-	-	(34,385)
<b>Total Personal Services</b>	<b>(\$34,342)</b>	-	<b>(\$43)</b>	-	-	-	<b>(\$34,385)</b>
<b>Total Expenditures</b>							
Total Expenditures	(34,342)	-	(43)	-	-	-	(34,385)
<b>Total Expenditures</b>	<b>(\$34,342)</b>	-	<b>(\$43)</b>	-	-	-	<b>(\$34,385)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	43	-	-	-	43
<b>Total Ending Balance</b>	-	-	<b>\$43</b>	-	-	-	<b>\$43</b>

# BUDGET NARRATIVE

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## Community Corrections Division

### 093 Other PERS Adjustments

#### Package Description

##### Purpose

This package, added by the Governor, proposes capping cost-of-living increases to the first \$24,000 of PERS retiree annual pension income.

##### How Achieved

The savings associated with this proposal are dependent on legislative action.

#### Governor's Balanced Budget

##### Staffing Impact

None

##### Revenue Source

General Fund	(\$274,937)
Other Funds	(348)

##### 2015-17 Fiscal Impact

Legislative action was taken, so this package will become a part of the 2015-17 Base Budget as the new rates are implemented.

##### Legislatively Adopted Budget

The Legislature made no adjustments to this package.

##### Staffing Impact

None

# BUDGET NARRATIVE

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**Revenue Source**

General Fund	(\$274,937)
Other Funds	(348)

**2015-17 Fiscal Impact**

Legislative action was taken, so this package will become a part of the 2015-17 Base Budget as the new rates are implemented.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(274,937)	-	-	-	-	-	(274,937)
<b>Total Revenues</b>	<b>(\$274,937)</b>	-	-	-	-	-	<b>(\$274,937)</b>
<b>Personal Services</b>							
PERS Policy Adjustment	(274,937)	-	(348)	-	-	-	(275,285)
<b>Total Personal Services</b>	<b>(\$274,937)</b>	-	<b>(\$348)</b>	-	-	-	<b>(\$275,285)</b>
<b>Total Expenditures</b>							
Total Expenditures	(274,937)	-	(348)	-	-	-	(275,285)
<b>Total Expenditures</b>	<b>(\$274,937)</b>	-	<b>(\$348)</b>	-	-	-	<b>(\$275,285)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	348	-	-	-	348
<b>Total Ending Balance</b>	-	-	<b>\$348</b>	-	-	-	<b>\$348</b>

# BUDGET NARRATIVE

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## Community Corrections

### 108 Community Corrections Rate

#### Package Description

##### Purpose

The original community corrections baseline budget was established in the early 1990's and over the years has been adjusted for inflation. The budget included funding for felony supervision and local control offenders. The baseline was derived from a funding formula that took into consideration a jail cost study conducted in 1998 along with a system of six risk level rates. These rates were used to compute a capitated rate (per offender daily) for felony offenders originally sentenced to probation (known as Band 1) and a second rate for felony offenders originally sentenced to prison (known as Band 2).

With the need to replace the complex, antiquated system that no longer aligned with the business needs of today, a new model for determining the baseline budget for the Community Corrections Division was created in 2012. The new model eliminates the two band system for budget allocation and replaces it with a single capitated rate. Actual cost and workload study data was used to determine case rates that reflect current offender management supervision expectations for community corrections population. The capitated rate was used in conjunction with the population forecast produced by the Office of Economic Analysis to create a statewide budget for the community corrections grants.

ORS 423.486 requires the department to conduct an actual cost study every six years. The last cost study was conducted in 2006.

This Policy Option Package reflects the incremental cost associated with the 2012 actual cost study.

# BUDGET NARRATIVE

## How Achieved

### *Background Information:*

The methodology used for the 2012 actual cost study utilized national data from a report produced by the Bureau of Justice Assistance (BJA) and the American Parole and Probation Association (APPA). The BJA/APPA report compiled nine time studies conducted across the nation, including a previous Oregon time study. For a time study to produce accurate data, business practices should be stable for a minimum of one year. Due to the implementation of the Public Safety Checklist (PSC) in September 2012 as the statewide risk assessment tool and significant changes in supervision associated with the tool and evidence-based practices, the national time study reflects the most accurate data available. The table below shows the 2006 Oregon Study as compared to the 2012 BJA/APPA study:

### Workload Hours per Offender by Risk Category

Caseload Type	2006 Oregon Study Hours/per month/Offender	BJA/APAA Hours/per month/Offender
New Intake	1.13	3.2
High Risk	1.91	3.9
Medium Risk	1.39	1.83
Low Risk	.19	.54

**Note:** Drive time to and from home visits were not calculated in the Oregon study. Additionally, had the old risk tool been used, there would have been substantially more offenders in the high risk category resulting in a significant higher capitated rate.

Oregon Community Corrections is adopting the PSC risk tool. The risk tool identifies the caseload type appropriate for each offender. The PSC has a 78% accuracy rate vs. the 63% accuracy rate provided by the old tool. In addition, the PSC eliminates the inconsistency issues experienced with the old tool because the PSC is automated and the old tool's risk score was manually produced by each parole and probation officer. The table below shows the difference in caseload distribution between the two risk tools:

# BUDGET NARRATIVE

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## Caseload Distribution Comparison

<b>Caseload Type</b>	<b>OCMS (old)</b>	<b>PSC (new)</b>
New Intake	792	792
High Risk	6,710	4,217
Medium Risk	6,117	7,278
Low Risk	16,775	18,107
Total	30,394	30,394

*Methodology:*

The methodology used establishes the cost of a supervision hour which includes all the costs of operating a community corrections office.

Data from the BJA/APPA report were used to establish the time per month spent on cases at different risk levels. In addition, counties provided actual cost information that included the cost of supervision, sanctions, services, and treatment for fiscal year 2011. These two sources of information allowed the department to calculate two hourly rates: one rate for low risk offenders and another rate for new intakes, high risk, and medium risk offenders. Costs for low risk offenders only include supervision costs, while costs for new intakes, high risk offenders, and medium risk offenders include supervision costs plus sanctions, services, and treatment.

# BUDGET NARRATIVE

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## *Results of Actual Cost Study:*

By applying the BJA/APPA time study with the PSC risk tool, the following per month workload hours were established:

**Total Workload Hours by Risk Category**

<b>Caseload Type</b>	<b>Total Hours per Month</b>
New Intake	4,863
High Risk	11,854
Medium Risk	15,018
Low Risk	9,520
<b>Total</b>	<b>41,255</b>

## *Calculations Used:*

### Supervision Cost:

Total budget for supervision divided by total supervision (workload) hours = supervision cost per hour

### Sanctions, Services, and Treatment Cost:

Total budget for sanctions, services, and treatment divided by total supervision (workload) hours for new intake, high risk, and medium risk = sanctions, services, and treatment cost per hour

### Total Costs:

- The cost rate for low risk is only the supervision cost rate per hour.
- The cost rate for new intakes, high risk, and medium risk is the supervision cost per hour rate in addition to the sanctions, services, and treatment cost per hour rate.
- Cost per hour rate x felony workload hours per month = cost per month
- Cost per month for low risk + cost per month for new intake + cost per month for high risk + cost per month for medium risk + cost per month for local control = total cost per month for felony supervision

# BUDGET NARRATIVE

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## Capitated Rate:

Total cost per month x 12/365 = total cost per day

Total cost per day divided by total cases = capitated rate

The daily capitated rate calculated is \$9.54. With a 2.4% inflation rate the capitated cost is \$9.77.

Other than Linn and Douglas Counties, which are operated by the Department, all distributions of funds go to counties. No new positions are anticipated.

## **Agency Request Budget**

### **Staffing Impact**

None

### **Revenue Source**

General Fund	\$31,100,788
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## **Governor's Balanced Budget**

The Governor did not recommend this package.

### **Staffing Impact**

None

### **Revenue Source**

General Fund	\$0
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## **2015-17 Fiscal Impact**

This package was denied and will not have an impact in the 2015-17 biennium.

## **Legislatively Adopted Budget**

The made no adjustments to this package.

# BUDGET NARRATIVE

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**Staffing Impact**

None

**Revenue Source**

General Fund                      \$0

**2015-17 Fiscal Impact**

This package was denied and will not have an impact in the 2015-17 biennium.

# BUDGET NARRATIVE

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## Community Corrections

### 810 LFO Analyst Adjustments

#### Package Description

##### Purpose

This package, added by the Legislature, includes several cost-savings and technical adjustments.

##### How Achieved

For the Department of Corrections, this package includes adjustments to the agency's primary appropriation bill (HB 5005). Those adjustments include: technical corrections to the caseload reductions made in the Governor's Balanced Budget (GBB), other technical adjustments, changes to caseload resulting from the April 2013 forecast from the Office of Economic Analysis (OEA), technical corrections to the PERS rates for Police & Fire positions in GBB, approved grant limitation, the impacts of HB 2087, Debt Service adjustments, and the agency's share of unspecified reductions to the Public Safety outcome area.

Specific to the Community Corrections, impacts include: Technical corrections to the caseload reductions made in the Governor's Balanced Budget (GBB) and two technical corrections; one General Fund adjustment for PERS line-item to reflect correct Police & Fire rate and one other adjustment to increase other fund expenditure limitation for the Smart Probation Grant.

#### Legislatively Adopted Budget

Package created by the Legislature.

#### Staffing Impact

Positions	0
FTE	0.00

#### Revenue Source

General Fund	\$483,847
Other Funds	\$31
Federal Funds	\$291,797

# BUDGET NARRATIVE

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## **2015-17 Fiscal Impact**

Technical corrections to the PERS rates and unspecified reductions will have no impact on the 2015-17 budget. Grant limitation changes will be phased out as grant activity closes in future biennia. Caseload changes are subsequently modified in Pkg 820 End of Session Bill and will be modified again through the April 2014 OEA forecast for the 2015-17 budget.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 810 - LFO Analyst Adjustments

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	483,847	-	-	-	-	-	483,847
Federal Funds	-	-	-	291,797	-	-	291,797
<b>Total Revenues</b>	<b>\$483,847</b>	-	-	<b>\$291,797</b>	-	-	<b>\$775,644</b>
<b>Personal Services</b>							
Reconciliation Adjustment	23,162	-	31	-	-	-	23,193
<b>Total Personal Services</b>	<b>\$23,162</b>	-	<b>\$31</b>	-	-	-	<b>\$23,193</b>
<b>Special Payments</b>							
Dist to Counties	460,685	-	-	291,797	-	-	752,482
<b>Total Special Payments</b>	<b>\$460,685</b>	-	-	<b>\$291,797</b>	-	-	<b>\$752,482</b>
<b>Total Expenditures</b>							
Total Expenditures	483,847	-	31	291,797	-	-	775,675
<b>Total Expenditures</b>	<b>\$483,847</b>	-	<b>\$31</b>	<b>\$291,797</b>	-	-	<b>\$775,675</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(31)	-	-	-	(31)
<b>Total Ending Balance</b>	-	-	<b>(\$31)</b>	-	-	-	<b>(\$31)</b>

# BUDGET NARRATIVE

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## Community Corrections

### 820 End of Session Bill (HB 5008)

#### Package Description

##### Purpose

This package contains the budget impacts specific to HB 5008, the end of session reconciliation bill that modifies the agency's original appropriation bill (HB 5005).

##### How Achieved

The Department of Corrections' budget is impacted by this package through the following actions: the caseload and operational impacts resulting from the passage of HB 3194, a 5% statewide reduction to specific S&S accounts (excluding AG, DAS, Rent, Fuels/Utilities), a 2% supplemental holdback that may be returned mid-biennium, changes to DAS assessments and charges, and community reinvestment actions directed at bolstering community corrections and county jail funding while limiting the growth of the state prison population over the next 5 years.

Specific to the Community Corrections, impacts include: the caseload and operational impacts resulting from the passage of HB 3194, a 5% statewide reduction to specific S&S accounts (excluding AG, DAS, Rent, Fuels/Utilities), a 2% supplemental holdback that may be returned mid-biennium, and community reinvestment actions directed at bolstering community corrections and county jail funding while limiting the growth of the state prison population over the next 5 years.

#### Legislatively Adopted Budget

Package created by the Legislature.

#### Staffing Impact

Positions

FTE

#### Revenue Source

General Fund	\$17,664,371
Other Funds	\$0

# BUDGET NARRATIVE

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## **2015-17 Fiscal Impact**

The 5% statewide S&S reduction and community reinvestment will carry forward into the 2015-17 base budget. Community reinvestment will change the capitated rate applied to future caseload. Caseload and DAS assessment changes will be adjusted by future OEA population forecasts and DAS recalculations, respectively. The 2% supplemental holdback will not impact the 2015-17 budget.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 820 - End of Session Bill (HB 5008)

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	17,664,371	-	-	-	-	-	17,664,371
<b>Total Revenues</b>	<b>\$17,664,371</b>	-	-	-	-	-	<b>\$17,664,371</b>
<b>Personal Services</b>							
Undistributed (P.S.)	(258,073)	-	-	-	-	-	(258,073)
<b>Total Personal Services</b>	<b>(\$258,073)</b>	-	-	-	-	-	<b>(\$258,073)</b>
<b>Services &amp; Supplies</b>							
Attorney General	(6,518)	-	-	-	-	-	(6,518)
Other Care of Residents and Patients	(47,287)	-	-	-	-	-	(47,287)
Other Services and Supplies	(26,268)	-	-	-	-	-	(26,268)
Undistributed (S.S.)	(67,896)	-	-	-	-	-	(67,896)
<b>Total Services &amp; Supplies</b>	<b>(\$147,969)</b>	-	-	-	-	-	<b>(\$147,969)</b>
<b>Special Payments</b>							
Dist to Counties	22,086,534	-	-	-	-	-	22,086,534
Undistributed (S.P.)	(4,016,121)	-	-	-	-	-	(4,016,121)
<b>Total Special Payments</b>	<b>\$18,070,413</b>	-	-	-	-	-	<b>\$18,070,413</b>
<b>Total Expenditures</b>							
Total Expenditures	17,664,371	-	-	-	-	-	17,664,371
<b>Total Expenditures</b>	<b>\$17,664,371</b>	-	-	-	-	-	<b>\$17,664,371</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 820 - End of Session Bill (HB 5008)

Cross Reference Name: Community Corrections  
Cross Reference Number: 29100-009-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Corrections, Dept of  
2013-15 Biennium

Agency Number: 29100  
Cross Reference Number: 29100-009-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Federal Revenues	168,400	-	-	-	-	-
Charges for Services	1,587,385	1,332,142	1,332,142	1,378,912	1,378,912	1,378,912
Fines and Forfeitures	82,457	101,975	101,975	92,707	92,707	92,707
Rents and Royalties	10,400	12,000	12,000	12,000	12,000	12,000
Sales Income	-	107	107	89	89	89
Donations	266	1,184	1,184	986	986	986
Other Revenues	80	-	-	-	-	-
Transfer In - Intrafund	530,862	582,743	582,743	596,729	596,729	596,729
Transfer In Other	-	-	3,223,179	4,297,572	4,297,572	4,297,572
Tsfr From Criminal Justice Comm	255,478	-	-	-	-	-
<b>Total Other Funds</b>	<b>\$2,635,328</b>	<b>\$2,030,151</b>	<b>\$5,253,330</b>	<b>\$6,378,995</b>	<b>\$6,378,995</b>	<b>\$6,378,995</b>
<b>Federal Funds</b>						
Federal Funds	-	-	-	-	-	291,797
<b>Total Federal Funds</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$291,797</b>

## DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Source	Fund	ORBITS Revenue Acct	2009-2011 Actual	2011-13 Legislatively Adopted	2011-13 Estimated	2013-15		
						Agency Request	Governor's Balanced	Legislatively Adopted
Domestic Violence Court grant	Other	0355	\$168,400	\$0	\$22,906	\$0	\$0	\$0
County supervision fees	Other	0410	1,587,385	1,332,142	1,021,140	1,378,912	1,378,912	1,378,912
Drug Court forfeitures	Other	0505	82,457	101,975	41,890	92,707	92,707	92,707
Space rental	Other	0510	10,400	12,000	9,200	12,000	12,000	12,000
Sale of copies	Other	0705	0	107	0	89	89	89
Donations for drug dog	Other	0905	266	1,184	0	986	986	986
Miscellaneous revenue	Other	0975	80	0	0	0	0	0
Inmate Welfare Funds supporting statewide transition programs	Other	1010	530,862	582,743	596,242	596,729	596,729	596,729
Transfer from Department of Revenue for HB2712 Criminal Fines	Other	1050	0	3,223,179	6,276,355	4,297,572	4,297,572	4,297,572
Transfer from Criminal Justice Commission for Byrne ARRA Grant	Other	1213	255,478	0	643,921	0	0	0
Smart Probation Grant	Federal	0995	0	0	0	0	0	291,797

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Community Corrections

Version: Z - 01 - Leg. Adopted Budget  
 Cross Reference Number: 29100-009-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
General Fund	10,763,791	12,132,524	12,132,524	13,966,183	13,895,487	13,895,487
Other Funds	134,572	15,973	15,973	17,878	17,789	17,789
All Funds	10,898,363	12,148,497	12,148,497	13,984,061	13,913,276	13,913,276
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	8,501,150	1,542,680	1,554,490	1,554,490	1,554,490	1,554,490
Other Funds	1,175,742	1,347,030	1,347,030	1,347,030	1,347,030	1,347,030
All Funds	9,676,892	2,889,710	2,901,520	2,901,520	2,901,520	2,901,520
<b>CAPITAL OUTLAY</b>						
General Fund	-	45,113	47,468	47,468	47,468	47,468
<b>SPECIAL PAYMENTS</b>						
General Fund	201,663,759	186,915,718	192,812,570	192,812,570	192,812,570	192,812,570
Other Funds	392,138	782,743	4,005,922	4,005,922	4,005,922	4,005,922
All Funds	202,055,897	187,698,461	196,818,492	196,818,492	196,818,492	196,818,492
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
General Fund	220,928,700	200,636,035	206,547,052	208,380,711	208,310,015	208,310,015
Other Funds	1,702,452	2,145,746	5,368,925	5,370,830	5,370,741	5,370,741
All Funds	222,631,152	202,781,781	211,915,977	213,751,541	213,680,756	213,680,756
<b>AUTHORIZED POSITIONS</b>	64	64	64	66	66	66
<b>AUTHORIZED FTE</b>	64.33	64.33	64.33	66.33	66.33	66.33

**Program Unit Appropriated Fund Group and Category Summary  
2013-15 Biennium  
Community Corrections**

**Version: Z - 01 - Leg. Adopted Budget  
Cross Reference Number: 29100-009-00-00-00000**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
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**LIMITED BUDGET (Essential Packages)**

**010 NON-PICS PSNL SVC / VACANCY FACTOR**

**PERSONAL SERVICES**

General Fund	-	-	-	86,066	86,015	86,015
Other Funds	-	-	-	87	87	87
All Funds	-	-	-	86,153	86,102	86,102

**021 PHASE-IN**

**SPECIAL PAYMENTS**

Other Funds	-	-	-	956,886	956,886	956,886
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**031 STANDARD INFLATION**

**SERVICES & SUPPLIES**

General Fund	-	-	-	52,633	52,633	52,633
Other Funds	-	-	-	32,329	32,329	32,329
All Funds	-	-	-	84,962	84,962	84,962

**CAPITAL OUTLAY**

General Fund	-	-	-	1,139	1,139	1,139
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**SPECIAL PAYMENTS**

General Fund	-	-	-	4,627,502	4,627,502	4,627,502
Other Funds	-	-	-	96,142	96,142	96,142
All Funds	-	-	-	4,723,644	4,723,644	4,723,644

**040 MANDATED CASELOAD**

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Community Corrections

Version: Z - 01 - Leg. Adopted Budget  
 Cross Reference Number: 29100-009-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>SPECIAL PAYMENTS</b>						
General Fund	-	-	-	21,348,016	10,944,264	10,944,264
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
General Fund	-	-	-	26,115,356	15,711,553	15,711,553
Other Funds	-	-	-	1,085,444	1,085,444	1,085,444
All Funds	-	-	-	27,200,800	16,796,997	16,796,997
<b>LIMITED BUDGET (Current Service Level)</b>						
General Fund	220,928,700	200,636,035	206,547,052	234,496,067	224,021,568	224,021,568
Other Funds	1,702,452	2,145,746	5,368,925	6,456,274	6,456,185	6,456,185
All Funds	222,631,152	202,781,781	211,915,977	240,952,341	230,477,753	230,477,753
<b>AUTHORIZED POSITIONS</b>	64	64	64	66	66	66
<b>AUTHORIZED FTE</b>	64.33	64.33	64.33	66.33	66.33	66.33
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>081 MAY 2012 E-BOARD</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	(796,020)	(791,678)	(951,191)
<b>SPECIAL PAYMENTS</b>						
General Fund	-	-	-	-	-	159,513
<b>AUTHORIZED POSITIONS</b>	-	-	-	(3)	(3)	(5)
<b>AUTHORIZED FTE</b>	-	-	-	(3.00)	(3.00)	(5.00)

**Program Unit Appropriated Fund Group and Category Summary  
2013-15 Biennium  
Community Corrections**

**Version: Z - 01 - Leg. Adopted Budget  
Cross Reference Number: 29100-009-00-00-00000**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>090 ANALYST ADJUSTMENTS</b>						
<b>SPECIAL PAYMENTS</b>						
General Fund	-	-	-	-	25,700,000	(6,300,000)
<b>092 PERS TAXATION POLICY</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	(34,342)	(34,342)
Other Funds	-	-	-	-	(43)	(43)
All Funds	-	-	-	-	(34,385)	(34,385)
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	(274,937)	(274,937)
Other Funds	-	-	-	-	(348)	(348)
All Funds	-	-	-	-	(275,285)	(275,285)
<b>108 COMMUNITY CORRECTIONS RATE</b>						
<b>SPECIAL PAYMENTS</b>						
General Fund	-	-	-	31,100,788	-	-
<b>810 LFO ANALYST ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	-	23,162
Other Funds	-	-	-	-	-	31
All Funds	-	-	-	-	-	23,193

**Program Unit Appropriated Fund Group and Category Summary  
2013-15 Biennium  
Community Corrections**

**Version: Z - 01 - Leg. Adopted Budget  
Cross Reference Number: 29100-009-00-00-00000**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>SPECIAL PAYMENTS</b>						
General Fund	-	-	-	-	-	460,685
Federal Funds	-	-	-	-	-	291,797
All Funds	-	-	-	-	-	752,482
<b>820 END OF SESSION BILL (HB 5008)</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	-	(258,073)
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	-	-	(147,969)
<b>SPECIAL PAYMENTS</b>						
General Fund	-	-	-	-	-	18,070,413
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
General Fund	-	-	-	30,304,768	24,599,043	10,747,261
Other Funds	-	-	-	-	(391)	(360)
Federal Funds	-	-	-	-	-	291,797
All Funds	-	-	-	30,304,768	24,598,652	11,038,698
<b>AUTHORIZED POSITIONS</b>	-	-	-	(3)	(3)	(5)
<b>AUTHORIZED FTE</b>	-	-	-	(3.00)	(3.00)	(5.00)
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
General Fund	220,928,700	200,636,035	206,547,052	264,800,835	248,620,611	234,768,829
Other Funds	1,702,452	2,145,746	5,368,925	6,456,274	6,455,794	6,455,825

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Community Corrections

Version: Z - 01 - Leg. Adopted Budget  
 Cross Reference Number: 29100-009-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Federal Funds	-	-	-	-	-	291,797
All Funds	222,631,152	202,781,781	211,915,977	271,257,109	255,076,405	241,516,451
<b>AUTHORIZED POSITIONS</b>	64	64	64	63	63	61
<b>AUTHORIZED FTE</b>	64.33	64.33	64.33	63.33	63.33	61.33
<b>OPERATING BUDGET</b>						
General Fund	220,928,700	200,636,035	206,547,052	264,800,835	248,620,611	234,768,829
Other Funds	1,702,452	2,145,746	5,368,925	6,456,274	6,455,794	6,455,825
Federal Funds	-	-	-	-	-	291,797
All Funds	222,631,152	202,781,781	211,915,977	271,257,109	255,076,405	241,516,451
<b>AUTHORIZED POSITIONS</b>	64	64	64	63	63	61
<b>AUTHORIZED FTE</b>	64.33	64.33	64.33	63.33	63.33	61.33
<b>TOTAL BUDGET</b>						
General Fund	220,928,700	200,636,035	206,547,052	264,800,835	248,620,611	234,768,829
Other Funds	1,702,452	2,145,746	5,368,925	6,456,274	6,455,794	6,455,825
Federal Funds	-	-	-	-	-	291,797
All Funds	222,631,152	202,781,781	211,915,977	271,257,109	255,076,405	241,516,451
<b>AUTHORIZED POSITIONS</b>	64	64	64	63	63	61
<b>AUTHORIZED FTE</b>	64.33	64.33	64.33	63.33	63.33	61.33

# BUDGET NARRATIVE

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