

**Information/Complaint Received
Requiring an Investigation**

Identify Appropriate
Entity to Initiate
Investigation

Human Resources
*Labor Relations
*Staff Sexual Harassment
*Staff Grievances
*Employment Issues
*Discrimination
*Hostile Work Environment

Functional Unit Manager
*Employee Conflict
*Employee Performance Issues
*Employee Misconduct (routine)
*All Operational issues
*Initial PREA Complaints

State Police
*Homicides
*Felony Assaults
*Deadly Use of Force
*Deaths
*Bomb Threats
*Sexual Assaults
*Official Misconduct
*Sex with an Inmate

**Office of Inspector General
Investigations**
*Employee Misconduct (serious)
*Weapons, Drugs, Contraband Cases Involving Inmates
*Fugitive Apprehension
*Assist Outside Law Enforcement Agencies with Investigations
*Hearings Investigations

Internal Audit
*Purchase Schemes
*Sales Schemes
*Invoice Kickbacks
*Payroll Schemes
*Asset/Revenue (overstatement or understatement)
*Misuse of Inventory/ Assets
*Internal Control

Investigation

Investigation

Consult with other DOC
Investigative Entities and/or
Executive Staff, as necessary
throughout process

Investigation

Audit

Refer to Functional Unit
Manager and /or Take
Appropriate Action

Consult

Referring to Functional
Unit Manager

Report Findings

Take appropriate Action
in Consultation with
Human Resources