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# CERTIFICATION

I hereby certify that the accompanying summary and detailed statements are true and correct to the best of my knowledge and belief and that the accuracy of all numerical information has been verified.

| | |
|---|---|
| Oregon Health Authority | 500 Summer Street NE; Salem, OR 97601 |
| **AGENCY NAME** | **AGENCY ADDRESS** |
| | Interim Director |
| **SIGNATURE** | **TITLE** |

Notice: Requests of agencies headed by a board or commission must be approved by official action of those bodies and signed by the board or commission chairperson. The requests of other agencies must be approved and signed by the agency director or administrator.

_____ Agency Request  X Governor's Budget  _____ Legislatively Adopted

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 5052-A**

**Carrier – House:** **Rep. Smith**
**Carrier – Senate:** **Sen. Girod**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 24 – 0 – 2

House
Yeas: Barker, Buckley, Frederick, Freeman, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson
Nays:
Exc: Hanna
Senate
Yeas: Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc: Bates

**Prepared By:** Daron Hill, Legislative Fiscal Office

**Reviewed By:** Legislative Fiscal Office staff

**Meeting Date:** March 22, 2013

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| **Agency** | **Biennium** |
|---|---|
| Various Agencies | 2011-13 |

## Budget Summary

| | 2011-13 Legislatively Adopted Budget | 2011-13 Legislatively Approved Spending Level | 2011-13 Committee Recommendations | Percentage Change from Legislatively Approved Spending Level |
|---|---|---|---|---|
| **Education Program Area** | | | | |
| **Community Colleges and Workforce Development** | | | | |
| Other Funds | $ 6,869,643 | $ 16,674,093 | $ 835,000 | 5.01% |
| **Department of Education – State School Fund** | | | | |
| General Fund | $ 5,155,269,981 | $ 5,160,749,551 | $ 8,096,204 | 0.16% |
| Lottery Funds | 556,980,287 | 554,000,717 | -8,096,204 | -1.46% |
| **Oregon University System** | | | | |
| Lottery Funds | $ 22,959,136 | $ 22,986,753 | $ -187,232 | -0.81% |
| **Human Services Program Area** | | | | |
| **Department of Human Services** | | | | |
| General Fund | $ 2,036,853,446 | $ 2,180,437,090 | $ 5,000,000 | 0.23% |
| **Oregon Health Authority** | | | | |
| General Fund | $ 1,721,639,876 | 1,697,058,124 | -5,000,000 | -0.29% |
| Lottery Funds | $ 10,779,583 | $ 10,338,614 | $ -230,568 | -2.23% |

| | 2011-13 Legislatively Adopted Budget | 2011-13 Legislatively Approved Spending Level | 2011-13 Committee Recommendations | Percentage Change from Legislatively Approved Spending Level |
|---|---|---|---|---|
| **Public Safety Program Area** | | | | |
| **Department of Corrections** | | | | |
| General Fund | $ 1,324,785,417 | $ 1,362,844,564 | $ 0 | 0.00% |
| Other Funds | 27,563,757 | 31,298,404 | 5,616,553 | 17.95% |
| Federal Funds | 6,908,809 | 7,816,182 | 871,678 | 11.15% |
| **District Attorneys & their Deputies** | | | | |
| General Fund | $ 9,979,285 | $ 10,339,261 | $ 250,000 | 2.42% |
| **Oregon Military Department** | | | | |
| General Fund | $ 24,068,435 | $ 28,582,492 | $ -120,265 | -0.42% |
| **Oregon State Police** | | | | |
| General Fund | $ 216,465,576 | $ 221,721,695 | $ 0 | 0.00% |

| | 2011-13 Legislatively Adopted Budget | 2011-13 Legislatively Approved Spending Level | 2011-13 Committee Recommendations | Percentage Change from Legislatively Approved Spending Level |
|---|---|---|---|---|
| **Economic & Community Development Program Area** | | | | |
| **Oregon Business Development Dept.** | | | | |
| Lottery Funds | $ 136,340,722 | $ 138,677,228 | $ -1,160,310 | -0.84% |
| **Oregon Employment Dept.** | | | | |
| Other Funds | $ 132,896,826 | 129,170,224 | 119,108 | 0.09% |
| Federal Funds | $ 273,883,188 | 303,447,537 | 8,214,583 | 2.71% |
| **Department of Veterans' Affairs** | | | | |
| General Fund | $ 6,469,659 | $ 6,562,195 | $ 0 | 0.00% |
| **Natural Resources Program Area** | | | | |
| **Department of Agriculture** | | | | |
| Lottery Funds | $ 6,894,457 | 7,827,343 | -400 | -0.01% |
| Other Funds | $ 52,099,191 | 53,081,502 | 1,084,000 | 2.04% |
| **Department of Forestry** | | | | |
| General Fund | $ 47,871,547 | $ 52,842,614 | $ 1,059,636 | 2.01% |
| **Land Use Board of Appeals** | | | | |
| General Fund | $ 1,295,278 | $ 1,295,278 | $ 36,631 | 2.83% |

| | 2011-13 Legislatively Adopted Budget | 2011-13 Legislatively Approved Spending Level | 2011-13 Committee Recommendations | Percentage Change from Legislatively Approved Spending Level |
|---|---|---|---|---|
| **Oregon Marine Board** | | | | |
| Other Funds | $ 22,020,102 | $ 21,984,696 | $ 250,000 | 1.14% |
| **Parks and Recreation Department** | | | | |
| Lottery Funds | $ 79,815,323 | $ 81,647,819 | $ -1,053,314 | -1.29% |
| Federal Funds | $ 12,733,936 | 15,918,146 | 1,645,011 | 10.33% |
| **Department of State Lands** | | | | |
| Other Funds | $ 26,494,275 | $ 27,903,872 | $ 1,350,000 | 4.84% |
| **Watershed Enhancement Board** | | | | |
| Lottery Funds | $ 64,796,420 | $ 64,012,066 | $ -832,938 | -1.30% |

## Transportation Program Area

| | | | | |
|---|---|---|---|---|
| **Department of Aviation** | | | | |
| Other Funds | $ 5,676,565 | $ 5,676,565 | $ 180,000 | 3.17% |

| | 2011-13 Legislatively Adopted Budget | 2011-13 Legislatively Approved Spending Level | 2011-13 Committee Recommendations | Percentage Change from Legislatively Approved Spending Level |
|---|---|---|---|---|
| **Consumer and Business Services Program Area** | | | | |
| **Board of Chiropractic Examiners** | | | | |
| Other Funds | $ 1,261,261 | $ 1,261,261 | $ 69,550 | 5.51% |
| **Oregon Health Licensing Agency** | | | | |
| Other Funds | $ 6,612,566 | $ 6,607,687 | $ 397,779 | 6.02% |
| **Board of Psychologist Examiners** | | | | |
| Other Funds | $ 965,662 | $ 965,662 | $ 70,000 | 7.25% |
| **Administration Program Area** | | | | |
| **Department of Administrative Services** | | | | |
| General Fund | $ 8,172,526 | $ 8,961,014 | $ 250,000 | 2.07% |
| Lottery Funds | 11,740,796 | 12,073,528 | -78,446 | -0.65% |
| **Office of the Governor** | | | | |
| Lottery Funds | 1,855,731 | 1,855,731 | -40,436 | -2.18% |

| | 2011-13 Legislatively Adopted Budget | 2011-13 Legislatively Approved Spending Level | 2011-13 Committee Recommendations | Percentage Change from Legislatively Approved Spending Level |
|---|---|---|---|---|
| **Legislative Branch Program Area** | | | | |
| **Legislative Administration** | | | | |
| General Fund | $ 28,438,846 | $ 28,749,433 | $ 250,000 | 0.87% |
| **Judicial Branch Program Area** | | | | |
| **Judicial Department** | | | | |
| General Fund | $ 359,234,028 | $ 367,952,634 | $ -144,202 | -0.04% |
| Other Funds | $ 24,966,976 | $ 56,914,830 | $ 0 | 0.00% |
| **Public Defense Services Commission** | | | | |
| General Fund | $ 222,524,924 | $ 224,541,855 | $ 1,500,000 | 0.67% |
| **General Fund Total** | $ | $ | $ 11,178,004 | |
| **Lottery Funds Total** | | | -11,679,848 | |
| **Other Funds Total** | | | 9,971,990 | |
| **Federal Funds Total** | | | 10,731,272 | |
| | | Total | 20,201,418 | |

## Position Summary

| | 2011-13 Legislatively Adopted Budget | 2011-13 Legislatively Approved Spending Level | 2011-13 Committee Recommendations | Percentage Change from Legislatively Approved Spending Level |
|---|---|---|---|---|
| **Economic & Community Development Program Area** | | | | |
| **Oregon Employment Dept.** | | | | |
| Authorized Positions | 1,500 | 1,549 | 46 | 2.97% |
| Full-time Equivalent (FTE) positions | 1,436.90 | 1,481.21 | 19.98 | 1.35% |

## Summary of Revenue Changes

House Bill 5052 rebalances the State's budget with available resources. Additional General Fund revenues are available from the current ending balance. Lottery funds are reduced in several agencies due to the latest revenue forecast. Other and Federal Funds revenues include available ending balances and grant awards.

## Summary of Capital Construction Subcommittee Action

House Bill 5052 is an omnibus budget reconciliation bill implementing actions needed to rebalance, address technical adjustments, and modify appropriations and limitations for some agencies during 2011-13 biennium.

# Education Program Area

### Department of Community Colleges and Workforce Development

The Subcommittee recommends an increase of $835,000 Other Funds expenditure limitation for the Department of Community College and Workforce Development (CCWD) for three programs. An estimated $200,000 is needed for the "Common Core State Standards and Assessments – K-12 Postsecondary Alignment" grant which is for promoting the use of assessments in determining a student's readiness for placement into postsecondary courses. The second program is the "Oregon Credit When It's Due" which supports the expansion of reverse transfer projects in the State. These projects are designed to give associate degrees to former Community College students who have transferred to four-year institutions when they have earned sufficient credits. This is a two year grant where it is estimated that $300,000 will be spent in 2011-13 with most of the funding being sent to Community Colleges. The final $335,000 is needed for the "Certified Work Ready Communities" program which is a part of a strategy to enable communities to demonstrate that they have the skilled workforce necessary for a company to locate or expand in the community. Funding for this program is from the Oregon Business Development Department and the Employment Department.

### Oregon Department of Education – State School Fund

Lottery Funds expenditures for the State School Fund are reduced by $8,096,204 to rebalance the state's lottery budget to the March 2013 revenue forecast. HB 2837 reduces the allocation of Lottery Funds to the agency by the same amount. All statutory Lottery Funds allocations, excluding allocations to pay debt service, are reduced by 2.18% in the 2011-13 biennium budget rebalance, to reduce total allocations of Lottery Funds to the amount now forecast to be available.

A corresponding increase of $8,096,204 General Fund is provided in this bill to backfill this loss of Lottery Funds.

### Oregon University System

Lottery Funds expenditures are reduced by $187,232 to rebalance the state's lottery budget to the March 2013 revenue forecast. HB 2837 reduces the allocation of Lottery Funds to the agency by the same amount. All statutory Lottery Funds allocations, excluding allocations to pay debt service, are reduced by 2.18% in the 2011-13 biennium budget rebalance, to reduce total allocations of Lottery Funds to the amount now forecast to be available. Lottery Funds are used in the agency budget to support athletic programs, with a small percentage going to scholarships for graduate students.

## Human Services Program Area

### Department of Human Services

The Subcommittee approved the appropriation of $5 million General Fund to the Department of Human Services. As part of the agency's 2011-13 rebalance in December 2012, a budget gap was identified in the agency's JOBS program. Budget savings in the Oregon Health Authority are now being moved to the Department of Human Services to address this shortfall. The Subcommittee requested that the Department of Administrative Services unschedule the funds until after the spring caseload forecast is complete and the overall budget situation is known.

### Oregon Health Authority

The Subcommittee approved the disappropriation of $5 million General Fund from the Oregon Health Authority. As part of the agency's 2011-13 rebalance in December 2012, slightly over $5 million savings were identified in Medical Assistance Programs. Five million of these funds are now being removed from the Medical Assistance Programs budget and appropriated to the Department of Human Services to cover a potential budget shortfall in that agency.

Lottery Funds expenditures are reduced by $230,568 to rebalance the state's lottery budget to the March 2013 revenue forecast. HB 2837 reduces the allocation of Lottery Funds to the agency by the same amount. All statutory Lottery Funds allocations, excluding allocations to pay debt service, are reduced by 2.18% in the 2011-13 biennium budget rebalance, to reduce total allocations of Lottery Funds to the amount now forecast to be available. Lottery Funds are used in the agency budget to support gambling addiction treatment programs.

# Public Safety Program Area

## Department of Corrections

The Subcommittee approved rebalancing General Fund resources to address the Department of Correction's reorganization implemented by the new director effective April 2012, and management actions taken to address unspecified vacancy savings, mid-management and services and supplies reductions previously approved by the legislature. In general, the agency is able to cover the estimated $57.5 million shortfall by holding positions vacant ($17.2 million), savings in services and supplies ($13.3 million), reduction of medical services ($12.1 million), savings in debt service from refinancing ($7.2 million), reducing jail bed reimbursements based on most recent claims ($6.3 million), and closure of a 50-bed treatment program at Powder River ($1.4 million). The Subcommittee approved establishing a debt service limitation of $683,992 Other Funds as part of the rebalance.

The Subcommittee approved an increase of $79,385 Other Funds and $871,678 Federal Funds expenditure limitation to allow the recording of broadband telecommunication and network assets from the Oregon Health Network.

The Subcommittee also approved an increase of $1.8 million Other Funds expenditure limitation to allow the agency to expend carryover balances in Community Corrections' grants for the Linn County Domestic Violence Court ($23,000), American Recovery and Reinvestment Act ($291,000), and $1.486 million in supervision fees.

The Subcommittee approved an Other Funds increase of $3,053,176 for county juvenile and adult corrections programs and facilities and drug and alcohol programs.

## District Attorneys & their Deputies

The Subcommittee approved an increase of $250,000 General Fund for cost of living adjustments in January and December 2012, employee benefits in excess of the statewide composite rate, and a pay scale increase for the Yamhill County District Attorney. The state maintains a two-tier pay scale for District Attorneys based on county population -- $90,972 when the population is below 100,000, and $107,952. As of July 1, 2012, the population in Yamhill County exceeded 100,000.

## Oregon Military Department

The Subcommittee made the following adjustments to the Military Department's 2011-13 approved budget.

The Subcommittee approved a $981,021 reduction in General Fund for the Department's Capital Debt Service and Related Costs program. The Department identified savings to certificates of participation ($132,932), Article XI-Q bonds ($616,197), and Article XI-M and XI-N Seismic

bonds ($231,892) in excess of what is needed to meet outstanding 2011-13 debt service obligations. The savings arose from more favorable interest rates on issued debt than was originally budgeted.

The Subcommittee also approved the following one-time actions:

- The Subcommittee increased the General Fund for the Department's Operations program by $601,756 due to savings from the Capital Debt Service program, which includes Debt Service saving from Seismic Rehabilitation Grant Program bonding, to fund eight armory and one Portland Airbase maintenance and improvement projects. The General Fund will make available $1.5 million in matching Federal Funds and $154,030 in Other Funds (U.S. Department of Energy grant to a private contractor). The Department does not require additional Federal or Other Funds expenditure limitation.

- The Subcommittee increased the General Fund for the Department's Emergency Management program by $41,000. The General Fund will be used to fund the State's Search and Rescue Coordinator position through the remainder of the biennium.

- The Subcommittee increased the General Fund for the Department's Community Support - Oregon Youth Challenge Program by $218,000 due to savings from the Capital Debt Service from Seismic Rehabilitation Grant Program bonding. The increase in General Fund is to be used only as a contingency against a possible decrease in program funding due to federal government's sequestration. Federal sequestration could reduce federal matching funds for the program from 75% Federal Funds 25% state funds to 70% Federal Funds and 30% state funds. The Committee directed that the Department of Administrative Services unschedule the $218,000 appropriation until the Military Department is able to document that federal sequestration will adversely impact program funding this biennium.

### Oregon State Police

The Subcommittee approved rebalancing $1.5 million General Fund to the Patrol, Criminal, and Gaming appropriation from one-time savings in Administration and Criminal Justice Information Systems. The resources will be utilized to cover extraordinary costs within the Gaming Division, and recruitment and equipment purchases in the Patrol Division.

## Economic & Community Development Program Area

### Oregon Business Development Department

Lottery Funds expenditures are reduced by a total of $1,160,310 to rebalance the state's lottery budget to the March 2013 revenue forecast. HB 2837 reduces the allocation of Lottery Funds to the agency by the same amount. All statutory Lottery Funds allocations, excluding allocations to pay debt service, are reduced by 2.18% in the 2011-13 biennium budget rebalance, to reduce total allocations of Lottery Funds to the amount now

forecast to be available. The reductions to the agency consist of a $990,130 reduction to Business, Innovation and Trade, a $145,632 reduction to Shared Services, and a $24,548 reduction to the Oregon Film and Video Office.

**Oregon Employment Department**

The Subcommittee recommends additional Federal Funds expenditure limitation of $8,214,583, additional Other Funds expenditure limitation of $119,108, and 46 positions (19.98 FTE) are approved for the Employment Department, for the following purposes:

- Trainings provided to child-care providers, funded through the federal Child Care Development and Development Block Grant: $550,000;
- Efforts to improve unemployment insurance program integrity, funded through receipt of a federal grant: $1.4 million, 17 positions (3.6 FTE);
- Expenses associated with administering federal extensions of Emergency Unemployment Compensation: $6.3 million, 27 positions (15.75 FTE);
- Contracted positions for employment services to eligible individuals under the Workforce Investment Act: $69,108 Other Funds, 2 positions (0.42 FTE);
- Additional caseload in the Office of Administrative Hearings: $50,000 Other Funds (0.21 FTE).

**Oregon Department of Veterans' Affairs**

The Subcommittee recommends a technical correction to properly allocate $113,331 of the General Fund appropriation to partner agencies of the Oregon Department of Veterans' Affairs.

## Natural Resources Program Area

**Department of Agriculture**

The Subcommittee increased the Other Funds expenditure limitation for the Commodity Inspection program at the Department of Agriculture by $1,084,000 to accommodate increased demand for specialized commodity certifications and produce inspections.

Lottery Funds expenditures are reduced by $400 to rebalance the state's lottery budget to the March 2013 revenue forecast. HB 2837 reduces the allocation of Lottery Funds to the agency by the same amount. All statutory Lottery Funds allocations, excluding allocations to pay debt service, are reduced by 2.18% in the 2011-13 biennium budget rebalance, to reduce total allocations of Lottery Funds to the amount now forecast to be available. Lottery Funds are used in the agency budget to support the operations of the County Fair Commission.

## Department of Forestry

The Subcommittee approved an appropriation of $1,059,636 General Fund to the Department of Forestry for costs associated with the extended 2012 fire season, unbudgeted district emergency fire cost deductibles, changes in forest land classification, and increases in initial attack resources and the fire insurance premium.

## Land Use Board of Appeals

The Subcommittee approved an appropriation of $36,631 General Fund to avoid personal services shortfall and the agency's ability to meet statutory case review deadlines forcing land development projects to be delayed or withdrawn.

## Oregon Marine Board

The Subcommittee approved an increase of $250,000 Other Funds in the Facilities Grant Program for a facility grant to Metro to complete work on a multi-phased boating access improvement project. The grant is funded by a repayment of grant funds from the city of Portland for a grant project that is no longer eligible for the grant.

## Oregon Parks & Recreation Department

The Subcommittee approved a Federal Funds expenditure limitation increase of $1,645,011 to expend three federal grants awarded in 2012. The first two grants are from the US Department of the Interior, Fish and Wildlife Services. $1,259,000 is passed through to Benton County to implement the county's Habitat Conservation Plan and associated Prairie Conservation Strategy. $292,611 is passed through to Yamhill Soil and Water Conservation District for a grant awarded in October 2012. The funds will support continued outreach and education associated with Yamhill County's Habitat Conservation Plan completion.

In September 2012, the federal Institute of Museum and Library Services awarded a Connecting to Collections Statewide Planning grant to the Department. The purpose is training and mentoring for heritage organizations in the state. The total award was $241,810, of which the Department plans to use $93,400 in 2011-13.

The Subcommittee reduced constitutionally dedicated Lottery Funds expenditure limitation for the Parks and Recreation Department to align the Department's budget with the March 2013 Lottery revenue forecast. The total expenditure limitation reduction is $1,053,314. The reductions are spread among several divisions: Director's Office ($96,542), Central Services ($50,000), Park Development ($360,000), Community support and grants ($296,772), and Oregon State Fair and Exposition Center ($250,000).

### Department of State Lands

The Subcommittee approved an increase in Other Funds expenditure limitation of $1,200,000 for Portland Harbor Superfund site. Costs are for evaluating ownership of contaminated areas. An insurance settlement provides the funding.

In addition, the Subcommittee approved an Other Funds expenditure limitation increase of $150,000. This limitation covers the 2011-13 portion of a grant awarded to the South Slough National Estuarine Research Reserve. The grant's purpose is assisting community partners and technical advisors in developing a common understanding of the estuary to support its future health. The grant is from the University of New Hampshire, National Estuarine Research Reserve System Science Collaborative. The total is $549,846, to be used from September 2012 through August 2014.

### Oregon Watershed Enhancement Board

Lottery Funds expenditures from the constitutionally dedicated Watershed Improvement Grant Fund are reduced by $832,938 to rebalance the state's lottery budget to the March 2013 revenue forecast. Constitutionally dedicated Lottery Funds allocations are currently anticipated to be 4.54% below the level assumed in the May 2011 Lottery Forecast for the 2011-13 biennium. Use of unallocated balances in the Watershed Improvement Grant Fund offset some of the reduction caused by declining Lottery revenue forecasts, reducing the impact of the revenue declines. Use of unallocated balances in the Watershed Improvement Operating Fund are projected to completely offset declining Lottery revenue forecasts, averting the need for expenditure limitations reductions to agencies receiving Lottery Funds from the Operating Fund at this time. Lottery Funds expenditure limitation for monies in the Grant Fund are used in the agency budget to make local grants.

## Transportation Program Area

### Department of Aviation

The Subcommittee approved an Other Funds expenditure limitation increase of $180,000 for the Department of Aviation. The Department had several unexpected operations expenses such as:

- an overlap in transferring contracted support services from the Department of Administrative Services to the Department of Transportation (ODOT),
- training and equipment to meet ODOT's accounting standards,
- payment of two mediated settlements,
- wholesale fuel purchases for the three airports that sell fuel to pilots, and
- an unscheduled pavement improvement project at Crescent Lake.

# Consumer and Business Services Program Area

**Board of Chiropractic Examiners**

The Subcommittee approved an Other Funds limitation increase for the Oregon Board of Chiropractic Examiners in the amount of $69,550 for the purpose of paying Attorney General fees resulting from litigation of contested cases.

**Oregon Health Licensing Agency**

The Subcommittee approved an increase in Other Funds limitation of $397,779 for the following purposes:

- $174,455 for DAS Risk Management
- $92,630 for Attorney General fees
- $130,694 for Policy Analyst position

**Board of Psychologist Examiners**

The Subcommittee approved an increase of $70,000 Other Funds limitation for the Board of Psychologist Examiners to cover contractor services and attorney general fees associated with contested case hearings.

# Administration Program Area

**Department of Administrative Services**

The Subcommittee approved an appropriation of $250,000 General Fund to for a World War II Memorial. The funds are intended to be used as matching funds for private donations.

Lottery Funds expenditures are reduced by $78,446 to rebalance the state's lottery budget to the March 2013 revenue forecast. HB 2837 reduces the allocation of Lottery Funds to the agency by the same amount. All statutory Lottery Funds allocations, excluding allocations to pay debt service, are reduced by 2.18% in the 2011-13 biennium budget rebalance, to reduce total allocations of Lottery Funds to the amount now forecast to be available. Lottery Funds are passed through this budget on to counties to support the staging of county fairs across the state.

### Office of the Governor

Lottery Funds expenditures are reduced by $40,436 to rebalance the state's lottery budget to the March 2013 revenue forecast. HB 2837 reduces the allocation of Lottery Funds to the agency by the same amount. All statutory Lottery Funds allocations, excluding allocations to pay debt service, are reduced by 2.18% in the 2011-13 biennium budget rebalance, to reduce total allocations of Lottery Funds to the amount now forecast to be available. Lottery Funds in the Governor's Office fund the Economic Revitalization Team, also known as the Office of Intergovernmental and Regional Solutions.

## Legislative Branch Program Area

### Legislative Administration

The Subcommittee approved an appropriation of $250,000 General Fund for the initial planning of the Oregon Capitol Master Plan.

## Judicial Branch Program Area

### Oregon Judicial Department

The Subcommittee approved a decrease of $144,202 General Fund for debt service. The agency's appropriation exceeded the amount needed to pay its debt service costs by this amount. Other Funds expenditure limitation in the amount of $134,116 is transferred from the expenditure limitation for eCourt bond proceeds to the agency's general Other Funds expenditure limitation. This amount of expenditure limitation, approved for grant proceeds unrelated to eCourt, had been added to the eCourt bond proceeds Other Funds expenditure limitation instead of to the agency's general Other Funds expenditure limitation in error.

### Public Defense Services Commission

The Subcommittee approved an increase of $1,500,000 General Fund appropriation to the agency for trial-level public defense services. The increase is to address costs incurred and projected for the current biennium. It is currently forecast that the Commission will need additional funds to cover the full costs incurred this biennium, including costs that will not be paid until the after the 2013-15 biennium begins.

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **SB 5549-A**

**Carrier – House:** **Rep. Nathanson**
**Carrier – Senate:** **Sen. Winters**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 23 – 0 – 3

House
Yeas: Barker, Buckley, Frederick, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson
Nays:
Exc: Freeman, Hanna
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc: Johnson

**Prepared By:** Dustin Ball, Blake Johnson and Kate Nass, Department of Administrative Services

**Reviewed By:** Laurie Byerly and Linda Ames, Legislative Fiscal Office

**Meeting Date:** May 10, 2013

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| **Agency** | **Biennium** |
|---|---|
| Department of Human Services | 2011-13 |
| Oregon Health Authority | |

## DHS Budget Summary

| | 2011-13 Legislatively Approved Budget[1] | 2011-13 Committee Recommendation | Committee Change from 2011-13 Leg. Approved | |
|---|---|---|---|---|
| | | | $ Change | % Change |
| General Fund | $ 2,168,567,997 | $ 2,168,567,997 | $0 | 0.0% |
| General Fund Debt Service | $ 16,869,093 | $ 16,869,093 | $0 | 0.0% |
| Other Funds | $ 514,095,196 | $ 516,471,949 | $ 2,376,753 | 0.5% |
| Federal Funds | $ 3,378,868,122 | $ 3,427,786,418 | $ 48,918,296 | 1.4% |
| Federal Funds Nonlimited | $ 2,514,345,331 | $ 2,514,345,331 | $0 | 0.0% |
| Total | $ 8,592,745,739 | $ 8,644,040,788 | $ 51,295,049 | 0.6% |

## Position Summary

| | | | | |
|---|---|---|---|---|
| Authorized Positions | 7,405 | 7,411 | 6 | 0.1% |
| Full-time Equivalent (FTE) | 7,311.44 | 7,312.13 | 0.69 | 0.0% |

## OHA Budget Summary

| | 2011-13 Legislatively Approved Budget[1] | 2011-13 Committee Recommendation | Committee Change from 2011-13 Leg. Approved | |
|---|---|---|---|---|
| | | | $ Change | % Change |
| General Fund | $ 1,637,896,745 | $ 1,638,993,077 | $ 1,096,332 | 0.01% |
| General Fund Debt Service | $ 54,161,379 | $ 53,065,047 | $ (1,096,332) | -2.0% |
| Lottery Funds | $ 10,158,046 | $ 10,158,046 | $0 | 0.0% |
| Other Funds | $ 2,338,722,544 | $ 2,355,777,262 | $ 17,004,718 | 0.7% |
| Other Funds Nonlimited | $ 3,316,231,621 | $ 3,316,231,621 | $ 0 | 0.0% |
| Federal Funds | $ 5,159,360,185 | $ 5,185,712,295 | $ 26,352,110 | 0.5% |
| Federal Funds Nonlimited | $ 107,103,462 | $ 107,103,462 | $0 | 0.0% |
| Total | $ 12,623,683,982 | $ 12,667,040,810 | $ 43,356,828 | 0.3% |

## Position Summary

| | | | | |
|---|---|---|---|---|
| Authorized Positions | 4,108 | 4,108 | 0 | 0.0% |
| Full-time Equivalent (FTE) | 3,999.04 | 3,999.04 | 0.00 | 0.0% |

[1] Includes adjustments through March 2013

## Summary of Revenue Changes

Senate Bill 5549 rebalances budgets for the Department of Human Services (DHS) and Oregon Health Authority (OHA) with available resources. OHA and DHS programs and operations are funded by a mix of General Fund, Lottery Funds, Other Funds, and Federal Funds. The rebalance plan results in no change in General Fund revenue. About $20 million in additional Other Funds revenue is primarily due to increased costs in the Oregon Health Plan (OHP) Standard program, which is funded through provider assessments and matched with Federal Funds through the Medicaid program. These matching federal dollars are reflected in just over $75 million in additional Federal Funds revenue, which also includes a significant increase related to the Self Sufficiency Modernization project.

## Summary of Human Services Subcommittee Action

The Human Services Subcommittee approved a budget rebalance plan that carries out the DHS and OHA programs and operations for the remainder of the 2011-13 biennium. The plan reflects updated estimates of various caseload costs, other program costs and savings, and non-General Fund revenues. It shifts General Fund appropriations between budget structures, but both DHS and OHA expect to complete the 2011-13 biennium within each agency's current General Fund budget. The plan approved by the Subcommittee requests that the Department of Administrative Services reschedules $1,469,444 General Fund for DHS and $237,309 General Fund for OHA. The rebalance plan includes net increases in Other Funds expenditure limitation ($19,381,471) and Federal Funds expenditure limitation ($75,270,406).

### DEPARTMENT OF HUMAN SERVICES

The Subcommittee rebalance plan expects DHS to complete the 2011-13 biennium within its current General Fund budget, which includes $5 million General Fund added to DHS budget in HB 5052 (2013). Those dollars were unscheduled until the agency updated its 2011-13 caseload and budget estimates. Of the $5 million, the plan requests that $1,469,444 General Fund be rescheduled at this time; the rest would remain unscheduled pending final biennium close out adjustments. The plan also adds $2,376,753 Other Funds expenditure limitation, $48.9 million Federal Funds expenditure limitation, and six limited duration positions (0.69 FTE).

The rebalance plan in the Children, Adults, and Families (CAF) program area results in a net savings of $976,500 General Fund, an increase of $417,556 Other Funds expenditure limitation, and an increase of $54,082,870 Federal Funds expenditure limitation. For Self Sufficiency programs, the 2011-13 biennial average caseload for the Temporary Assistance for Needy Families (TANF) program has decreased from the fall forecast. This is in part due to federal action in January 2013 extending the Emergency Unemployment Compensation program, which helps mitigate an expected increase in TANF cases built into the fall forecast. However, costs per case for some program components have increased since that last forecast, driving a net increase of $1,469,444 General Fund. The Subcommittee budget rebalance plan includes the request to reschedule a portion ($1,469,444) of the HB 5052 funding to allow DHS to cover increased caseload costs while continuing to serve Job Opportunity and Basic Skills (JOBS) clients. Additionally, the rebalance plan also includes $46,511,044 Federal Funds expenditure limitation to

complete the 2011-13 biennium portion of the Self Sufficiency Modernization (SSM) project. While additional federal revenues for the project were expected all along, the agency held its request for expenditure limitation pending a review of overall project limitation needed to close out the biennium.

The rebalance plan increases Federal Funds expenditure limitation by $7.2 million for the Vocational Rehabilitation program, based on federal grant authority and projected expenditures under the current five-year plan.

In Child Welfare, the rebalance plan accommodates a net $77,489 General Fund increase resulting from fluctuations in costs per case for substitute care and adoptions. Also associated with caseload changes is a net increase of $417,556 Other Funds and $1,358,675 Federal Funds. The plan includes a technical adjustment moving $976,500 General Fund from Child Welfare to Central Services. In the CAF program support budget, $45,117 total funds is added to cover recently announced telecomm rate increases.

The Subcommittee rebalance plan for Seniors and People with Disabilities (SPD) reflects a net decrease of $192,976 General Fund and $6,334,050 Federal Funds expenditure limitation. The plan increases Other Funds expenditure limitation by $1,959,197 and adds six limited duration positions (0.69 FTE). The net position change for SPD is five positions (-0.31 FTE) due to the transfer of one position (1.00 FTE) from SPD to Central Services. Ongoing actions to reduce administrative expenditures are part of the plan.

In the Aged and Physical Disability (APD) programs, changes in caseload and cost per case are driving net increases of $45,939 General Fund, $1,381,007 Other Funds expenditure limitation, and $638,790 Federal Funds expenditure limitation. The program is also requesting an increase of $931,089 General Fund to address an accounting error that applied an incorrect federal match rate on expenditures; a corresponding Federal Funds decrease is included. A prior uptick in projected estate recoveries results in a need of an additional $1 million Other Funds expenditure limitation.

The rebalance plan for APD includes $240,845 Other Funds expenditure limitation and six limited duration positions (0.69 FTE) to carry out the DHS efforts under the State Innovation Model (SIM) grant that OHA was awarded on February 21, 2013. APD is responsible for working with OHA, Coordinated Care Organizations (CCOs), and stakeholders to promote alignment between medical care and long-term care services. The agency is also involved with payment transformation/financial accountability initiatives falling under the model. Grant funding supports three program analysts, a policy analyst, an information specialist, and an administrative support position. Project activities include creating memorandums of understanding between CCOs and long term care organizations, supporting policy development/direction, and performing data collection and analysis. Activities are expected to continue through the fall of 2016.

Participation rate, caseload, and cost per case changes in the Developmental Disabilities (DD) program result in net decreases of $1,422,745 General Fund, $662,655 Other Funds, and $5,170,020 Federal Funds. Drivers include higher costs for adult foster care and DD client shifts between state and group homes.

The Subcommittee also approved an increase of $690,416 General Fund to address an accounting error that applied an incorrect federal match rate on expenditures; a corresponding Federal Funds expenditure limitation decrease is included. The plan includes a technical adjustment moving $385,952 total funds and one position (1.00 FTE) from SPD to Central Services. In the SPD program support budget, $24,294 total funds is added to cover recently announced telecomm rate increases.

For Central Services, the Subcommittee approved an increase of $1,169,476 General Fund and Federal Funds (cost allocation) from the two technical adjustments noted previously: transfer in from CAF (Child Welfare) of $976,500 General Fund incorrectly applied in December 2012 and the transfer in of one position ($385,953 total funds, 1.00 FTE). No changes were made in Shared Services or Debt Service budget structures.

Even though the DHS budget is balanced with this plan, potential areas of risk and uncertainty remained in the budget. For example, the plan is contingent on the agency's ability to continue to manage caseloads and for projected revenues to materialize. The TANF caseload is particularly sensitive to the economy and is very challenging to predict during the transition from recession to recovery. In addition, while DHS expects to manage internally impacts of federal sequestration (reduced grants over the last quarter of 2011-13), and a small caseload amount in SPD, there may be unforeseen consequences that could affect the agency's budget or program delivery.

## OREGON HEALTH AUTHORITY

The Subcommittee approved a budget plan for the Oregon Health Authority (OHA) that completes the 2011-13 biennium within its current General Fund budget, after shifting appropriations between budget structures. The plan adds $17,004,718 Other Funds expenditure limitation and $26,352,110 Federal Funds expenditure limitation.

The rebalance plan makes several adjustments to Medical Assistance Programs (MAP). Rebalance issues in this program result in overall net savings of $1,291,792 General Fund, a net increase of $17,961,249 Other Funds, and a net increase of $32,162,407 Federal Funds. The plan includes General Fund savings of $1.2 million from slightly lower caseloads, and a reduction in General Fund need due to an increase in tobacco tax revenue of $2.0 million. These savings are offset by a General Fund need of $2.7 million ($8.4 million total funds) due to a one-time shift caused by the difference in timing of payments for fee-for-service clients transitioning into Coordinated Care Organizations. The rebalance plan includes an unspecified management action in the amount of $751,851. As a result of the December 2012 rebalance, $237,309 General Fund was unscheduled and the Subcommittee requests this be rescheduled to reduce the expected shortfall and unspecified management action.

The Oregon Health Plan (OHP) Standard caseload is higher than originally projected. Additional expenditure limitation of $15.6 million Other Funds and $26.2 million Federal Funds is included in the Subcommittee's rebalance plan to accommodate the increased caseload level.

The plan for Public Health results in an increase of $142,000 Other Funds expenditure limitation to reflect a higher forecast for tobacco tax revenues for the Tobacco Use Reduction Account.

The Subcommittee plan for Central and Shared Services includes a net increase in General Fund of $2.4 million to fund state assessments and risk charges after accounting for cost allocation actuals to date. Adjustments between fund sources for cost allocation also include a decrease in Other Funds of $1.1 million and an increase in Federal Funds of $1 million. Interest earnings on bond proceeds generated $1.1 million more than anticipated, which will be applied to General Fund debt service, resulting in a corresponding General Fund savings to the budget. Finally, Federal Funds limitation is reduced by $4.8 million to reflect updated expenditure projections related to the State Innovation Model grant.

While this plan leaves OHA balanced, there are no resources available if caseloads go up, costs increase, or revenues come in lower than expected over the next few months. These are always risks. In particular, the timing and amount of revenues received by MAP through the Designated State Health Program (DSHP) continues to be a budget risk.

# DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

**SB 5549-A**

**Department of Human Services/Oregon Health Authority**
**Dustin Ball, Blake Johnson, Kate Nass -- (503) 378-3119**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| SUBCOMMITTEE ADJUSTMENTS (from LAB) | | | | | | | | | |
| **Department of Human Services** | | | | | | | | | |
| Children, Adults and Families | $ (976,500) | $ 0 | $ 417,556 | $ 0 | $ 54,082,870 | $ 0 | $ 53,523,926 | 0 | 0.00 |
| Seniors and People with Disabilities | $ (192,976) | $ 0 | $ 1,959,197 | $ 0 | $ (6,334,050) | $ 0 | $ (4,567,829) | 5 | -0.31 |
| Central Services | $ 1,169,476 | $ 0 | $ - | $ 0 | $ 1,169,476 | $ 0 | $ 2,338,952 | 1 | 1.00 |
| **TOTAL Department of Human Services** | $ | $ 0 | $ 2,376,753 | $ 0 | $ 48,918,296 | $ 0 | $ 51,295,049 | 6 | 0.69 |
| **Oregon Health Authority** | | | | | | | | | |
| Health Care Programs | $ (1,291,792) | $ 0 | $ 17,961,249 | $ 0 | $ 32,162,407 | $ 0 | $ 48,831,864 | 0 | 0.00 |
| Public Health | $ - | $ 0 | $ 142,000 | $ 0 | $ - | $ 0 | $ 142,000 | 0 | 0.00 |
| Central Services | $ 238,371 | $ 0 | $ (1,243,931) | $ 0 | $ (3,556,069) | $ 0 | $ (4,561,629) | 0 | 0.00 |
| Direct Charge Services | $ 2,149,753 | $ 0 | $ 145,400 | $ 0 | $ (2,254,228) | $ 0 | $ 40,925 | 0 | 0.00 |
| Debt Service | $ (1,096,332) | $ 0 | $ - | $ 0 | $ - | $ 0 | $ (1,096,332) | 0 | 0.00 |
| **TOTAL Oregon Health Authority** | $ | $ 0 | $ 17,004,718 | $ 0 | $ 26,352,110 | $ 0 | $ 43,356,828 | 0 | 0.00 |
| TOTAL ADJUSTMENTS | $ | $ 0 | $ 19,381,471 | $ 0 | $ 75,270,406 | $ 0 | $ 94,651,877 | 6 | 0.69 |
| SUBCOMMITTEE RECOMMENDATION * | $ | $ 0 | $ 19,381,471 | $ 0 | $ 75,270,406 | $ 0 | $ 94,651,877 | 6 | 0.69 |

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 3460-A**

**Carrier – House:** **Rep. Buckley**
**Carrier – Senate:** **Sen. Prozanski**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 19 – 7 – 1

House
Yeas: Barker, Buckley, Frederick, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson
Nays: Freeman, Hanna
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Steiner Hayward, Winters, President Courtney
Nays: Girod, Hansell, Monroe, Thomsen, Whitsett
Exc: Johnson

**Prepared By:** Dustin Ball, Department of Administrative Services

**Reviewed By:** Linda Ames, Legislative Fiscal Office

**Meeting Date:** June 19, 2013

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| **Agency** | **Biennium** |
|---|---|
| Oregon Health Authority | 2013-15 |

## Budget Summary*

| | 2011-13 Legislatively Approved Budget (1) | 2013-15 Current Service Level | 2013-15 Committee Recommendation | Committee Change from 2011-13 Leg. Approved $$ Change | Committee Change from 2011-13 Leg. Approved % Change |
|---|---|---|---|---|---|
| Other Funds | $ 0 | $ 0 | $ 803,276 | $ 803,276 | 100.0% |
| Total | $ 0 | $ 0 | $ 803,276 | $ 803,276 | 100.0% |

## Position Summary

| | | | | |
|---|---|---|---|---|
| Authorized Positions | 0 | 0 | 4 | 4 |
| Full-time Equivalent (FTE) positions | 0.00 | 0.00 | 3.66 | 3.66 |

(1) Includes adjustments through December 2012.
* Excludes Capital Construction expenditures

## Summary of Revenue Changes

House Bill 3460 requires the Oregon Health Authority (OHA) to register medical marijuana facilities. The bill authorizes OHA to adopt rules establishing fees to register a medical marijuana facility. Other Funds revenue from fees paid by medical marijuana facilities for the 2013-15 biennium is forecasted at $900,000.

## Summary of Human Services Subcommittee Action

House Bill 3460 requires OHA to establish and administer a new registration system for medical marijuana facilities to facilitate the transfer of usable and immature marijuana between grow sites and registry identification cardholders, and their designated primary caregivers. OHA will be responsible for inspecting sites for compliance with regulations regarding zoning, proximity to other facilities and other requirements outlined in the bill. Along with the new registration requirements, OHA will be charged with processing new applications and criminal background checks, and handling paperwork regarding notices to applicants whose request were denied. In addition, the agency will need to modify its existing Oregon Medical Marijuana Program data system. The bill becomes operative March 1, 2014.

The bill includes $803,276 Other Funds expenditure limitation, to be used in part to pay for four permanent positions (3.66 FTE) for the 2013-15 biennium. Of the four positions, two are Compliance Specialist 3 positions for the site inspection/compliance activities, and two are Office Specialist 2 positions for the processing and registering of medical marijuana facilities.

## Summary of Performance Measure Action

None.

# DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

**HB 3460-A**

**Oregon Health Authority**
**Dustin Ball -- 503-378-3119**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| **SCR 020-06 Public Health** | | | | | | | | | |
| Personal Services | $ 0 | $ 0 | $ 491,311 | $ 0 | $ 0 | $ 0 | $ 491,311 | 4 | 3.66 |
| Services and Supplies | $ 0 | $ 0 | $ 42,850 | $ 0 | $ 0 | $ 0 | $ 42,850 | | |
| **SCR 010-50 Statewide Assessments & Enterprise-Wide Costs** | | | | | | | | | |
| Services and Supplies | $ 0 | $ 0 | $ 122,613 | $ 0 | $ 0 | $ 0 | $ 122,613 | | |
| Special Payments | $ 0 | $ 0 | $ 146,502 | $ 0 | $ 0 | $ 0 | $ 146,502 | | |
| TOTAL ADJUSTMENTS | $ 0 | $ 0 | $ 803,276 | $ 0 | $ 0 | $ 0 | $ 803,276 | 4 | 3.66 |
| SUBCOMMITTEE RECOMMENDATION * | $ 0 | $ 0 | $ 803,276 | $ 0 | $ 0 | $ 0 | $ 803,276 | 4 | 3.66 |
| % Change from 2011-13 Leg Approved Budget | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | | |
| % Change from 2013-15 Current Service Level | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | | |

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 2445-B**

**Carrier – House:** **Rep. Nathanson**
**Carrier – Senate:** **Sen. Steiner Hayward**

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**Action:** Do Pass the A-Engrossed Measure as Amended and as Printed B-Engrossed

**Vote:** 24 – 0 – 2

House
Yeas: Barker, Buckley, Frederick, Freeman, Hanna, Huffman, Jenson, Komp, Nathanson, Read, Richardson, Tomei, Williamson
Nays:
Exc: McLane, Smith
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Dustin Ball, Department of Administrative Services

**Reviewed By:** Linda Ames, Legislative Fiscal Office

**Meeting Date:** June 26, 2013

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| **Agency** | **Biennium** |
|---|---|
| Oregon Health Authority | 2013-15 |

## Budget Summary*

| | 2011-13 Legislatively Approved Budget (1) | 2013-15 Current Service Level | 2013-15 Committee Recommendation | Committee Change from 2011-13 Leg. Approved $$ Change | % Change |
|---|---|---|---|---|---|
| General Fund | $ 0 | $ 0 | $ 4,000,000 | $ 4,000,000 | 100.0% |
| Total | $ 0 | $ 0 | $ 4,000,000 | $ 4,000,000 | 100.0% |

## Position Summary

| | | | | |
|---|---|---|---|---|
| Authorized Positions | 0 | 0 | 3 | 3 |
| Full-time Equivalent (FTE) positions | 0.00 | 0.00 | 2.19 | 2.19 |

(1) Includes adjustments through December 2012.
* Excludes Capital Construction expenditures

## Summary of Revenue Changes

House Bill 2445 makes no changes to the fees charged or revenues generated by the Oregon Health Authority (OHA). This bill is funded with a General Fund appropriation.

## Summary of Human Services Subcommittee Action

Senate Bill 2445 requires the Oregon Health Authority to develop and continuously refine an evidence-based system of care that meets the developmental needs of adolescents, and to establish procedures and criteria for certifying and decertifying school-based health centers. The bill requires the agency to convene work groups to recommend best practices for school-based health centers. A separate work group is required to develop recommendations on the use of school-based health centers for children who qualify for medical assistance. OHA is required to report on the progress of this work group to an interim committee by December 31, 2013.

The bill expands the definition of "school-based health center" and requires OHA to use funds allocated by the Legislative Assembly for the expansion and continuation of school-based health centers, and to direct funds to communities with certified school-based health centers and to communities planning for certified school-based health centers. The $4 million General Fund included in the bill will allow the agency to provide funding to existing school-based health centers to bring all centers closer to parity in state funding, as well as provide funding to centers that are in the planning or construction stages. Centers currently receiving $41,000 per year are expected to receive $53,000 per year. In addition, the funding includes approximately $750,000 to provide financial incentives to promote better business practices, such as improved billing rates and adoption of electronic health records. Two full-time positions and one half-time position (2.19 FTE) are included to support the expansion of the system.

# DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

**HB 2445-B**

**Oregon Health Authority**
**Dustin Ball -- 503-378-3119**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| **SCR 020-06 - Public Health** | | | | | | | | | |
| Personal Services | $ 338,405 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 338,405 | 3 | 2.19 |
| Services and Supplies | $ 30,522 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 30,522 | | |
| Special Payments | $ 3,572,600 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 3,572,600 | | |
| **SCR 010-50 - Statewide Assessments & Enterprise-Wide Costs** | | | | | | | | | |
| Services and Supplies | $56,706 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | $56,706 | | |
| Special Payments | $1,767 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | $1,767 | | |
| TOTAL ADJUSTMENTS | $4,000,000 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | $4,000,000 | 3 | 2.19 |
| SUBCOMMITTEE RECOMMENDATION * | $4,000,000 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | $4,000,000 | 3 | 2.19 |
| % Change from 2011-13 Leg Approved Budget | 100.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 100.0% | | |
| % Change from 2013-15 Current Service Level | 100.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 100.0% | | |

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 2836-A**

**Carrier – House:** **Rep. Williamson**
**Carrier – Senate:** **Sen. Winters**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 25 – 0 – 1

House
Yeas: Barker, Buckley, Frederick, Freeman, Hanna, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson
Nays:
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Whitsett, Winters
Nays:
Exc: Thomsen

**Prepared By:** Kate Nass, Department of Administrative Services

**Reviewed By:** Kim To, Legislative Fiscal Office

**Meeting Date:** June 28, 2013

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| **Agency** | **Biennium** |
|---|---|
| Oregon Health Authority | 2013-15 |

## Budget Summary*

| | 2011-13 Legislatively Approved Budget[1] | 2013-15 Current Service Level | 2013-15 Committee Recommendation | Committee Change from 2011-13 Leg. Approved | |
|---|---|---|---|---|---|
| | | | | $$ Change | % Change |
| General Fund | $ 0 | $ 0 | $ 869,386 | $ 869,386 | 100.0% |
| Total | $ 0 | $ 0 | $ 869,386 | $ 869,386 | 100.0% |

## Position Summary

| | | | | |
|---|---|---|---|---|
| Authorized Positions | 0 | 0 | 1 | 1 |
| Full-time Equivalent (FTE) positions | 0.00 | 0.00 | 0.75 | 0.75 |

[1] Includes adjustments through December 2012

* Excludes Capital Construction expenditures

## Summary of Revenue Changes

House Bill 2836 adds $869,386 General Fund to the Oregon Health Authority's budget for the 2013-15 biennium.

## Summary of Public Safety Subcommittee Action

Currently, Oregon law has no uniform procedure for determining fitness in juvenile proceedings, whether a youth is able to aid and assist in their defense, and does not specify options for the court when a youth is found unfit to proceed. House Bill 2836 codifies the conditions, standards, and procedures for determining if individuals under 18 years of age in a delinquency petition are fit to proceed. The bill outlines the definition of unfit and provides statutory structure and timeliness for raising the issues of fitness, obtaining fitness evaluations, challenging evaluations and administering restorative services in cases involving a person less than 18 years in delinquency petition. The bill also specifies qualifications for evaluators and reporting requirements for evaluations.

The bill requires the Oregon Health Authority (OHA) to formalize and administer the fitness to precede evaluation process, including developing training standards for psychiatrists, licensed psychologists and licensed clinical social workers conducting the evaluations and developing guidelines for conducting evaluations. OHA must also provide courts with a list of qualified evaluators. Additionally, OHA will oversee a program to provide restorative services to youths who have been determined unfit to proceed and have been determined by the courts to have the potential to gain or regain fitness to proceed in the foreseeable future. OHA must provide or arrange for the provision of restorative services within 30 days of receiving a court order. The bill adds $869,386 General Fund to OHA's 2013-15 budget and one position (0.75 FTE) to carry out this work.

# DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

**HB 2836-A**

**Oregon Health Authority**
**Kate Nass -- 503-378-3742**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| **020-05 Addictions and Mental Health** | | | | | | | | | |
| Personal Services | $ 114,667 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 114,667 | 1 | 0.75 |
| Services and Supplies | $ 734,935 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 734,935 | | |
| **010-50 State Assessments and Enterprise-Wide Costs** | | | | | | | | | |
| Services and Supplies | $ 19,784 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 19,784 | | |
| TOTAL ADJUSTMENTS | $ 869,386 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 869,386 | 1 | 0.75 |
| SUBCOMMITTEE RECOMMENDATION * | $ 869,386 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 869,386 | 1 | 0.75 |
| % Change from 2011-13 Leg Approved Budget | 100.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 100.0% | | |
| % Change from 2013-15 Current Service Level | 100.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 100.0% | | |

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 5030-A**

**Carrier – House:** **Rep. Nathanson**
**Carriers – Senate:** **Sen. Winters**
**Sen. Bates**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 17 – 9 – 0

House
Yeas: Barker, Buckley, Frederick, Jenson, Komp, Nathanson, Read, Tomei, Williamson
Nays: Freeman, Hanna, Huffman, McLane, Richardson, Smith
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Hansell, Johnson, Monroe, Steiner Hayward, Winters
Nays: Girod, Thomsen, Whitsett
Exc:

**Prepared By:** Kate Nass and Dustin Ball, Department of Administrative Services

**Reviewed By:** Linda Ames, Legislative Fiscal Office

**Meeting Date:** June 28, 2013

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| **Agency** | **Biennium** |
|---|---|
| Oregon Health Authority | 2013-15 |

## Budget Summary*

| | 2011-13 Legislatively Approved Budget[1] | 2013-15 Current Service Level | 2013-15 Committee Recommendation | Committee Change from 2011-13 Leg. Approved | |
|---|---|---|---|---|---|
| | | | | $$ Change | % Change |
| General Fund | 1,642,896,745 | 2,589,171,390 | 1,940,448,038 | 297,551,293 | 18.1% |
| General Fund Capital Improvements | 0 | 679,238 | 679,238 | 679,238 | 0.0% |
| General Fund Debt Service | 54,161,379 | 71,022,345 | 70,941,780 | 16,780,401 | 31.0% |
| Lottery Funds | 10,388,614 | 11,479,452 | 10,545,832 | 157,218 | 1.5% |
| Other Funds | 2,268,193,143 | 1,042,330,900 | 5,382,936,878 | 3,114,743,735 | 137.3% |
| Other Funds Debt Service | 10,679,401 | 17,335 | 6,372,432 | -4,306,969 | -40.3% |
| Other Funds Nonlimited | 3,316,231,621 | 3,832,299,341 | 276,417,565 | -3,039,814,056 | -91.7% |
| Federal Funds | 5,159,360,185 | 6,747,393,211 | 7,484,857,524 | 2,325,497,339 | 45.1% |
| Federal Funds Nonlimited | 102,729,051 | 102,729,051 | 102,729,051 | 0 | 0.0% |
| Federal Funds Debt Services Nonlimited | 4,374,411 | 4,374,411 | 4,151,016 | -223,395 | -5.1% |
| Total | 12,569,014,550 | 14,401,496,674 | 15,280,079,354 | 2,711,064,804 | 21.6% |

## Position Summary

| | | | | |
|---|---|---|---|---|
| Authorized Positions | 4,108 | 4,201 | 4,473 | 365 |
| Full-time Equivalent (FTE) positions | 3,999.04 | 4,156.50 | 4,111.88 | 112.84 |

[1] Includes adjustments through December 2012

* Excludes Capital Construction expenditures

## Summary of Revenue Changes

The Oregon Health Authority is funded with a mix of General Fund, Lottery Funds, Other Funds and Federal Funds revenues. Most General Fund is used as match to receive Federal Funds. Lottery Funds finance gambling addiction prevention and treatment services. Other Funds revenue comes from a wide variety of sources including tobacco taxes, Medicaid provider assessments, bond issuances, grants, beer and wine taxes, fees, estate collections, health care premiums, third party recoveries, pharmaceutical rebates, transferred federal funds from other state agencies, and charges for services.

House Bill 2216 continues the hospital provider assessment for two more years. This is expected to generate an additional $600 million Other Funds to be used to help pay for the Oregon Health Plan. The bill also implements an additional one percent assessment to establish the Hospital Transformation and Performance Program to assist hospitals to implement health care transformation. Other Funds revenue related to the

hospital assessment is expected to total $745 million, and federal matching revenues will be over $1.2 billion. This budget also includes $120.1 million of Tobacco Master Settlement Agreement revenues.

The Subcommittee approved fee increases for Emergency Medical Services. This is the third and final increase that was planned with stakeholders in 2009 and is estimated to generate about $100,000 Other Funds during the biennium. A decrease in certain medical marijuana fees was also approved. Cardholders on the Supplemental Nutrition Assistance Program will have fees reduced from $100 to $60, while cardholders on the Oregon Health Plan will have fees reduced from $100 to $50.

## Summary of Human Services Subcommittee Action

The Oregon Health Authority (OHA) was created by the 2009 Oregon Legislature (House Bill 2009) to bring most health-related programs into a single agency to maximize its purchasing power and to contain rising health care costs statewide. OHA is overseen by a nine-member, citizen-lead board called the Oregon Health Policy Board. Members are appointed by the Governor and confirmed by the Senate. OHA's mission is to help people and communities achieve optimum physical, mental and social well-being through partnerships, prevention, and access to quality, affordable health care. It has three goals to transform the health care system in Oregon: improve the lifelong health of Oregonians; increase the quality, reliability, and availability of care for all Oregonians; and lower or contain the cost of care so it is affordable to everyone.

The Joint Committee on Ways and Means Human Services Subcommittee heard agency and public testimony on the agency's budget over the course of several months. The Subcommittee approved a budget for the Oregon Health Authority of $2,012,069,056 General Fund, $10,545,832 Lottery Funds, $5,389,309,310 Other Funds, $7,484,857,524 Federal Funds, $276,417,565 Other Funds Nonlimited, and $106,880,067 Federal Funds Nonlimited, for a total funds budget of $15,280,079,354 and 4,473 positions (4,411.88 FTE). This is 18.6 percent General Fund and 21.6 percent total funds higher than the 2011-13 Legislatively Approved Budget.

General Fund increases as a result of a program transfer from the Department of Human Services, as well as investments in the community mental health system. Caseload, inflation, and debt service also contribute to the increase. Total funds increase $2.7 billion over the 2011-13 level. This is largely a result of the expansion of health coverage under Medicaid to all persons under 138 percent of federal poverty level, effective January 2014. Services for most of these new clients will be paid for with 100 percent Federal Funds for the first three years. Other contributors include the additional one percent hospital assessment, Designated State Health Programs (DSHP) resources, inflation and caseload cost increases, and the program transfer from the Department of Human Services.

Health care costs in the Oregon Health Plan are capped at an increase of 4.4 percent in the first year of the biennium, and 3.4 percent in the second year, based on Oregon's current federal waiver. Those increases are fully funded in this budget. The approved budget makes significant investments in the community mental health system. In addition, the new Junction City state hospital facility is expected to open in early 2015, and operational costs are included in this budget.

The Subcommittee reviewed the packages used to build the Governor's budget and the agency's repricing ("reshoot") adjustments for caseload, cost-per-case, and other changes since the Governor's budget was developed. Also included in the Subcommittee's recommended budget are

Emergency Board actions taken in the 2011-13 interim that have an impact on the agency's 2013-15 budget. This includes the May 2012 Emergency Board that made adjustments to the agency's budget per direction from House Bill 4131 (2012) and December 2012 rebalance adjustments.

The Subcommittee approved the following two packages related to PERS that cover the entire agency:

- Package 092 – PERS Taxation Policy: This package reflects the policy change in Senate Bill 822 that eliminates the increased retirement benefits resulting from Oregon income taxation of payments if the person receiving payments does not pay Oregon income tax on those benefits and is not an Oregon resident. This change reduces state employer contribution rates by approximately 0.30 percent.

- Package 093 – Other PERS Adjustments. This package reflects the policy change in Senate Bill 822 that modifies the cost-of-living adjustment under the Public Employees Retirement System. This change reduces state employer contribution rates by approximately 2.2 percent. An administrative action by the PERS Board, as directed by a budget note in the Senate Bill 822 budget report, will reduce state employer contribution rates by up to an additional 1.9 percent. However, no employer rate is reduced below its 2011-13 biennium rate.

A more detailed description of major changes for each program area follows.

Medical Assistance Programs

Medical Assistance Programs provides health services through the Oregon Health Plan (OHP), Non-Oregon Health Plan (Non-OHP), and the Children's Health Insurance Program (CHIP) to qualifying low-income Oregonians and their families to improve their health status and promote independence. The Subcommittee approved a total funds budget of $9,709,274,419, which includes $1,142,827,070 General Fund, and 498 positions. This is a 41.6 percent total funds increase over the 2011-13 Legislatively Approved Budget. This is primarily the result of the expansion under the Affordable Care Act to expand health coverage under Medicaid to all persons under 138 percent of the federal poverty level. This expansion will be entirely federally funded through 2016.

Other contributors include the additional one percent hospital assessment, Designated State Health Programs (DSHP) resources, inflation and caseload cost increases, and program transfers. These program transfers include $183.3 million total funds ($68.1 million General Fund) consisting of Medicaid services moving to this budget from Addictions and Mental Health, and $344.4 million total funds ($125.8 million General Fund) consisting of health-related elements of long term care moving to this budget from the Department of Human Services. The recommended General Fund budget is 31.4 percent higher than the 2011-13 Legislatively Approved Budget, primarily resulting from these program transfers.

Health care costs in the Oregon Health Plan are capped at an increase of 4.4 percent in the first year of the biennium, and 3.4 percent in the second year, based on Oregon's current federal waiver. Those increases are fully funded in this budget. The recommended budget includes the continuation of the current hospital assessment which is scheduled to sunset in September of 2013 under current law and implements an additional 1 percent hospital assessment to establish the Hospital Transformation and Performance Program. This is contingent on the passage of House Bill 2216, and is estimated to raise a total of $745 million Other Fund revenues and result in additional federal matching revenues of over $1.2 billion. The budget also shifts funding for the OHP Standard program to 100 percent Federal Funds starting January 1, 2014 and increases Tobacco Master Settlement Agreement resources for OHP from $30 million in the 2011-13 biennium to $116.1 million in 2013-15.

As a result of the Affordable Care Act (ACA) expansion, Healthy Kids Connect clients will move to OHP (House Bill 2091). In addition, the Family Health Insurance Assistance Program (FHIAP) will end January 2014, with some clients shifting to OHP and others will be able to purchase health insurance through CoverOregon, Oregon's health insurance exchange (House Bill 2240). This budget includes the OHP funding for both of those populations. The Subcommittee also approved $30 million General Fund for the Health System Transformation Fund to support efforts of Coordinated Care Organizations (CCOs) to transform health care delivery systems. The following budget note was approved regarding the Health System Transformation Fund, to specify the distribution methodology and clarify that no one CCO would receive more than a total of $5.25 million out of the $30 million Fund:

> **Budget Note:**
> The Health System Transformation Fund provides a strategic investment in Coordinated Care Organizations to engage in projects that support better health, better health care and lower costs in their communities. Each CCO will be eligible for a minimum potential award of $1.25 million with a possible additional allocation, not to exceed $4 million for an individual CCO, based on the CCO's average monthly member count.
>
> The Oregon Health Authority will establish a process for approving and awarding the Health System Transformation Funds to Coordinated Care Organizations. CCOs will be asked to submit a proposal for up to the total dollar allocation for which they are eligible, describing the project objective, purpose and goals. Projects under implementation or proposed projects should be innovative, scalable, transferable and related to CCO transformation plans and the overall goals of transformation with a focus on, but not limited to:
>
> - Information technology systems and CCO infrastructure including additional investment in electronic medical records (EMR) and claims processing systems.
> - Population health management, case management, disease management, and achieving quality metrics.
> - Provider panel and clinic enhancements to provide extended primary care services to high risk Oregon Health Plan members.

The agency will report on the implementation of the program, based on the following budget note:

> **Budget Note:**
> The Oregon Health Authority shall report to the Legislature during the 2014 and 2015 legislative sessions on the implementation of a grant program using the Health System Transformation Fund. The reports should include details of the process used for distribution, the dollar amounts distributed, to whom, for what purpose, and expected outcomes. The reports should also describe any preliminary results available, including outcome measures, as well as expected next steps.

A $4.5 million General Fund reduction to caseload funding was approved, related to the anticipation that more women will have health insurance after January 2014, and so will not need the services of the Breast and Cervical Cancer treatment program. The Subcommittee clarified that all women who qualify will still get services under this program.

The Subcommittee recommended budget incorporates agency "reshoot" adjustments including changes resulting from the federal match rate, caseload forecast changes and revenues available from the Children's Health Insurance Program Reauthorization Act (CHIPRA) bonus. The

Subcommittee acknowledged that the level of uncertainty is much greater for these caseload forecasts and funding assumptions than ever before, given the scale of system change happening over the next few years and assumptions around how federal partners will determine the match rate. In addition, the Subcommittee discussed the need for further information regarding the CoverOregon cost allocation. The budget currently includes less than half of CoverOregon's initial estimate of this cost. The Subcommittee approved 85 additional staff for the call center, in order to assist in the transition of the ACA expansion. Again, there is uncertainty around the actual need. Once there is a better understanding of costs and savings associated with the expansion and system changes, OHA may need to revisit the funding assumptions in the recommended budget.

Public Employees' Benefit Board

Public Employees' Benefit Board (PEBB) designs, contracts, and administers the medical, dental, vision, life, accidental, disability, long-term care insurance, and flexible spending accounts for state employees and their dependents. The Subcommittee approved a total funds budget of $1,541,152,046, a nine percent increase over the 2011-13 legislatively approved level. This increase is primarily due to including fully insured benefit costs in the budget for PEBB.

The Subcommittee recommended budget for PEBB eliminates all Other Funds Nonlimited authority, and instead moves all expenditure limitation to Other Funds Limited. In addition, the Subcommittee included both self-insured and fully insured benefit costs in PEBB's budget. In the past the PEBB budget reflected only the self-insured benefit costs.

While the Subcommittee recognized that year over year benefit cost growth and the number of employees are variables that will impact the expenditures in the 2013-15 biennium, the budget caps PEBB's Per Employee Per Month benefit expenditure growth at 4.4 percent for 2013, 3.4 percent for 2014, and 3.4 percent for 2015. These growth rates are consistent with Oregon's Medicaid waiver. The Subcommittee acknowledged that while the expenditure limitation could be increased at a later date, they expected the agency to manage the program within the capped limitation amount.

Oregon Educators Benefit Board

Oregon Educators Benefit Board (OEBB) provides value-added medical, dental, vision, and disability benefit plans for members in educational entities located throughout Oregon including school districts, educational service districts, community colleges, and some charter schools. The Subcommittee approved a total funds budget of $1,639,851,974, an 11.5 percent increase over the 2011-13 legislatively approved level. The increase is primarily a result of adding the administration of the Oregon Homecare Insurance Program to OEBB. Without that program change, the increase would be only 5.7 percent for the biennium.

The Subcommittee recommended budget for OEBB eliminates all Other Funds Nonlimited authority, and instead moves all expenditure limitation to Other Funds Limited. The recommended 2013-15 budget also includes an increase as a result of the added administration for the Oregon Homecare Insurance Program, which is funded by the Department of Human Services. The Subcommittee recommended the following budget note:

> **Budget Note:**
> The Oregon Educators Benefit Board (OEBB) is directed to appoint a work group for the purpose of developing and analyzing strategies to better systems of care among physicians, hospitals and other providers with the goal of better health outcomes and lower overall healthcare for OEBB members.

This work group shall consist of the OEBB administrator, as well as members representing the OEBB board, the insurance carriers serving OEBB, hospitals and health systems, physician groups, and individuals receiving benefits from OEBB.

This work group shall report back to the 2014 legislative session the following:

1. Payment and delivery reform options intended to incent the development of systems of care, in contrast to episodes of care.
2. A plan to implement approaches to better inform OEBB members of the benefits of their health care coverage, information to allow more informed choices, and out of pocket cost for care options.
3. Reimbursement and other data from health care entities, in a format useful to achieve the goals of transparency and development of a baseline for health care costs.
4. This does not apply to type A or B hospitals as described in ORS 442.470 or rural critical access hospitals as defined in ORS 315.613.

Office of Private Health Partnerships

The Office of Private Health Partnerships (OPHP) includes several programs that maximize resources, share costs, and provide access to quality health care for all Oregonians through partnerships with private insurance companies. The Subcommittee recommended budget for OPHP is $377,274,908 total funds, of which $1,744,848 is General Fund, and 62 positions (15.67 FTE). Total funds are 53 percent lower than the 2011-13 legislatively approved levels, while General Fund is 75 percent lower. Most of the programs in OPHP will end January 2014 when the Affordable Care Act expansion takes effect.

The Subcommittee incorporated the fiscal impacts associated with House Bill 2091, House Bill 2240, and House Bill 3458 in OHA's 2013-15 budget bill. House Bill 2091 abolishes the Healthy Kids Connect program and transfers all Healthy Kids Connect clients to the Oregon Health Plan. The Subcommittee's recommendation includes moving all clients enrolled in Healthy Kids Connect to OHP by the end of December 2013. House Bill 2240 eliminates the Family Health Insurance Assistance Program (FHIAP) in OPHP as of January 2014 to align with the Affordable Care Act. Some clients will shift to OHP while others will be able to purchase health insurance through CoverOregon, Oregon's health insurance exchange. House Bill 3458 eliminates the Oregon Medical Insurance Pool (OMIP) in OPHP at the end of December 2013. Under the Affordable Care Act, these individuals can no longer be denied health coverage because of a pre-existing medical condition. This bill also establishes the new Oregon Reinsurance Program for 2014 through 2016. The Subcommittee recommendation includes the fiscal implications of both these changes. The Federal Medical Insurance Pool is also phased out.

Addictions and Mental Health

Addictions and Mental Health (AMH) provides treatment services to those afflicted with addictions and mental health disorders. Services are delivered through community non-profit providers, county mental health agencies, as well as the state hospital system. The Subcommittee recommended budget for AMH is $1,000,206,700 total funds, of which $669,881,817 is General Fund, and 2,505 positions (2,236.89 FTE). This is a total fund increase of 0.1 percent and a General Fund increase of 1.5 percent compared to AMH's 2011-13 legislatively approved budget. However, this budget includes a $68 million General Fund, $183 million total funds, transfer of Medicaid funding to the Medical Assistance Programs within OHA. Once this is accounted for, the total funds budget is an 18.4 percent increase and the General Fund budget is an 11.8 percent increase from the 2011-13 level.

The Affordable Care Act expansion will have a significant effect on this budget. Many of the services now provided are paid with General Fund, because clients do not have insurance and are not eligible for Medicaid. After the expansion, a significant number of these clients will qualify for Medicaid, and services will be paid at 100percent Federal Funds for three years. It is estimated that this will free up $45 million General Fund in this budget, which will no longer be needed for current clients, and can be used to expand services. The Subcommittee's recommended budget directs many of these "reinvested" dollars for specific purposes. These additional resources are not included in the biennial comparisons above.

The Subcommittee approved $41 million of General Fund reductions, compared to the current service level budget, to the Oregon State Hospital (OSH) and Blue Mountain Recovery Center (BMRC). This includes closing BMRC on January 1, 2014 for $12.9 million General Fund savings. Also included are the continuation of the 2011-13 reductions in non-direct care and administration in OSH for $9.7 million General Fund savings, closing one geropsychiatric ward for $8.8 million General Fund savings, restructuring the pharmacy operations for $3.0 million General Fund savings, and $7 million General Fund reduction in overtime and non-direct care staffing costs. Senate Bill 5507 includes the capital construction expenditure limitation to continue building the Junction City campus of the Oregon State Hospital. The Subcommittee recommended budget includes operating costs for the new Junction City facility for approximately the last three months of the 2013-15 biennium. This net cost, after accounting for the closures of the Portland campus and BMRC, is $2.7 million General Fund, and 17.17 FTE.

The Subcommittee recommended budget includes an additional $26.6 million General Fund to increase capacity in the community mental health system. This is in addition to the $19.7 million in the current service level that remains after the Spring 2013 forecast changes. The $26.6 million includes $9.9 million General Fund redirected from the "reinvested" resources. The plan includes three residential treatment homes in Pendleton, on the Eastern Oregon Training Center (EOTC), that are scheduled to open by January 1, 2014 and provide about 40 jobs.

An additional $21.8 million General Fund was approved for the expansion of children's mental health services. This includes $1.8 million for the Early Assessment and Support Alliance (EASA) that was included in Package 404. This total includes $7.5 million General Fund that is redirected from the "reinvested" resources. The agency provided a preliminary expenditure plan to the Subcommittee for both the adult and children's system. This is a significant investment in the community mental health system. The agency will establish and track performance outcomes for these investments, holding both the agency and their partners accountable.

The Subcommittee recommended budget includes a plan that increases provider rates using additional federal matching resources as well as the "reinvested" dollars. For residential facilities within the community mental health system, the agency expects to achieve provider rate equality by using the state resources freed up through more widespread use of federal matching available under the 1915i waiver. The resources will be used to bring the lower paid providers up to the same level as the higher paid providers. These same resources will also be used to provide a 2.4 percent increase in rates over the current levels, to the extent that resources are available. In addition, the agency expects to use a portion of the "reinvested" resources within their budget, up to $7.6 million General Fund, to ensure that all providers within the community mental health system receive a 2.4 percent rate increase over the current level.

For the alcohol and drug residential system, the agency will use a portion of the "reinvested" resources within their budget to increase youth room and board rates from $30 per day to $60 per day, effective July 1, 2013. The agency will do a study of both the adult and youth systems to examine costs at facilities, and examine whether facilities are maximizing their revenues such as federal match. In addition, AMH will consider the overall capacity of the system and identify areas where there is potential to serve more patients with intensive outpatient treatment rather than

in residential facilities. The agency will report the results of their work to the 2014 Legislature, and recommend other rate increases, if any, and their costs.

During budget development, it became clear that the methodology used in forecasting caseloads is not adequate. To a large extent, caseload forecasts are based on current utilization of services which have been held at reduced levels due to budget reduction decisions, thus holding caseload forecasts at the same reduced levels. In the interim, the forecast unit of OHA will work with AMH program experts, the Legislative Fiscal Office, and the Chief Financial Office of the Department of Administrative Services to review caseload forecast methodologies, processes, and available data, and agree on needed changes. These changes will be in place in time for development of the 2015-17 Governor's budget.

Package 404 was approved, which provides a $1.8 million General Fund investment to expand the Early Assessment and Support Alliance (EASA) program statewide (part of the children's mental health system investments). An additional $4.2 million General Fund is invested in supported housing and peer delivered services, while $1.5 million is added for supported employment, all within the community mental health system.

This budget does not include any backfill of federal sequestration reductions for either community mental health or addictions programs. If sequestration reductions continue in this area, the agency may need to revisit that issue later in the biennium. The following budget notes were approved by the Subcommittee related to AMH community services programs:

**Budget Note:**
The Oregon Health Authority shall report to the Emergency Board or Interim Joint Committee on Ways and Means by September 2014 on the implementation of the program investments in the community mental health system included in the 2013-15 legislatively adopted budget. The report should include details of the specific program investments, progress to date, and expected and actual outcomes.

**Budget Note:**
The Department of Human Services (DHS) and the Oregon Health Authority (OHA) are directed to submit reports to the 2015 Legislature on how they have each responded with plans and/or actions that address the recommendations for the agencies contained in the 2013 *Report on Senior and Disability Mental Health and Addictions* in regards to the following:

- Create greater access to mental health and addiction services geared to the needs of seniors and persons with disabilities.
- Increase the capacity of the OHA Addictions and Mental Health program and providers to serve severely impaired seniors and persons with disabilities.
- In the health care transformation process, clarify the collaboration between the Coordinated Care Organizations and the DHS Aging and People with Disabilities (APD) program to develop best practices in dealing with the dual-eligible populations.
- Establish the role that senior and disability mental health and addictions will play in the re-definition of community-based long term care through APD.
- Provide for greater geriatric training for professionals in the system.

- Create greater public awareness of mental health and addictions issues among seniors and persons with disabilities.
- Create ability to track and report on Mental Health and Addiction.
- Services to elderly and persons with disabilities.

Public Health

Public Health supports the goals of Oregon's transformation of the health care and education systems by promoting population-based prevention initiatives. Public Health is made up of three centers overseen by an Office of the State Public Health Director. The Center for Health Protection provides a consistent approach to protecting health and programs that touch every hospital, drinking water system and restaurant in Oregon. The Center for Prevention and Health Promotion provides community-oriented prevention and clinical prevention services and works with community partners and local public health, school-based health centers, health care providers, and Coordinated Care Organizations. The Center for Public Health Practice is the historical core of public health including vital records and partners with local communities and local public health, particularly on communicable disease control.

The Subcommittee approved a budget for Public Health of $512,614,542 total funds, including $34,935,418 General Fund, and 707 positions. Total funds are 0.4 percent more than the 2011-13 Legislatively Approved Budget, while General Fund is 1.5 percent more than the 2011-13 level. This budget maintains current program levels.

The Subcommittee eliminated $1.3 million General Fund of excess 2013-15 ending balance for Contraceptive Care services (CCare) and reduced CCare funding by $1.0 million General Fund to account for the anticipated impact of the Affordable Care Act expansion. It is anticipated that many clients currently being served with this program will have insurance beginning in 2014, and will not utilize the services of the CCare program. In addition, the Subcommittee used $1.5 million Other Funds of fee revenue from the medical marijuana program to replace $1.5 million General Funds in the CCare program. With the funding changes to the CCare program, the Subcommittee expects the agency to provide informational updates on the budgeted and actual costs.

The Subcommittee added $4.0 million from the Tobacco Master Settlement Agreement resources for tobacco prevention, education, and cessation programs. Fee increases were approved for Emergency Medical Services. This is the third and final increase that was planned with stakeholders in 2009 and is estimated to generate about $100,000 Other Funds during the biennium. A decrease in certain medical marijuana fees was also approved. Cardholders on the Supplemental Nutrition Assistance Program will have fees reduced from $100 to $60, while cardholders on the Oregon Health Plan will have fees reduced from $100 to $50. The agency is expected to have enough ending balance reserves to adjust to this decrease in revenue.

Central Services, Shared Services, State Assessments and Enterprise-wide Costs

Core administrative functions for OHA are divided into three sections. Central Services includes all governance functions specifically for the operation of OHA, such as the director's office, communications, a portion of budget and human resources, the Office of Equity and Inclusion and the Office of Health Information Technology. It also includes the Office for Health Policy and Research, which has been instrumental in supporting health care transformation. Shared Services provides administrative services to both OHA and the Department of Human Services (DHS), regardless of where each function is housed. State Assessments and Enterprise-wide Costs includes the budget to pay for central

government assessments and usage charges, as well as debt service. Included are state government service charges, risk assessments, State Data Center usage charges, and rent. This budget also includes the funding for Shared Services.

The Subcommittee recommended a budget of $499,704,765 total funds, including $162,679,903 General Fund, and 657 positions. This is a 2.4 percent total fund decrease from the 2011-13 legislatively approved budget, primarily due to phasing out the expenditure limitation related to the Health Insurance Exchange Information Technology (HIX IT) project. The recommended budget includes adjustments to the 2013-15 budget related to the roll-up of Emergency Board actions and adjustments to true up funding for cost allocation, based on actual experience during the 2011-13 biennium. This includes an addition of $2.3 million General Fund and a decrease of Other and Federal Funds, consistent with adjustments to the agency's 2011-13 budget in May 2013.

The Subcommittee recommended budget includes $1.6 million General Fund to support the Patient Safety Commission, per Senate Bill 483. Also included in the recommended budget is an investment of $2.1 million General Fund with a total of $3.5 million to support health care transformation within the Office of Health Policy and Research. This includes data collection and analysis of the all-payer-all-claims database, implementation of patient centered primary homes, and research and analysis for the Health Evidence Review Commission. As part of the agency's current budget and continuing in 2013-15, Central Services includes funding of $100,000 for the African American Health Coalition for physical activity programs and to conduct a survey to collect data on barriers to accessing health activities among the African American population.

Package 401 was approved for $0.7 million General Fund, $3.7 million total funds and 12 positions to improve the agency's computer and network infrastructure. This is expected to put the agency on a five-year replacement cycle for personal computers. The Subcommittee also approved Package 406 to meet the expanding need for information technology planning and policy, including the continuation of the development of CareAccord, which is the secure mechanism to exchange health information, and support of the Health Information Technology Oversight Council (HITOC).

With the continuation of building the Junction City site of the Oregon State Hospital, the Subcommittee approved the increase in information technology staff to support the new hospital's information technology systems and additional Other Funds debt service related to the project. Total debt service costs included in this budget is $70.9 million General Fund and $81.5 million total funds.

The recommended budget eliminates the remaining expenditure limitation of $19.8 million total funds and 48 positions related to the Health Insurance Exchange Information Technology project, since that work has now moved over to CoverOregon. The budget also adds $16.7 million total funds limitation and 52 permanent positions for on-going Information Technology needs for both OHA and DHS, including Modernization and OR-KIDS systems. This is in line with the agency's direction of maintaining a core set of trained staff at the agency rather than relying on vendors for IT needs.

The statewide administrative savings of $2.1 million General Fund, $9.5 million total funds, are included in this budget. This is a placeholder for administrative efficiencies in finance, information technology, human resources, accounting, payroll, and procurement expenditures. The Department of Administrative Services will continue to work on details of these reductions with agencies and report back during the 2014 session. In addition, another $2.1 million General Fund, total of $3.8 million, administrative savings is accounted for in this budget, with expectations that the agency will hold vacancies and reduce services and supplies to meet the budget reduction target.

## Summary of Performance Measure Action

See attached Legislatively Adopted 2013-15 Key Performance Measures form.

**Oregon Health Authority**
**Kate Nass -- 503-378-3742 & Dustin Ball -- 503-378-3119**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $1,697,058,124 | $ 10,388,614 | $2,278,872,544 | $ 3,316,231,621 | $5,159,360,185 | $ 107,103,462 | $ 12,569,014,550 | 4,108 | 3,999.04 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $2,660,872,973 | $ 11,479,452 | $1,042,348,235 | $ 3,832,299,341 | $6,747,393,211 | $ 107,103,462 | $ 14,401,496,674 | 4,201 | 4,156.50 |
| 2013-15 CSL Adjustment | $ 0 | $ 0 | $ 0 | $ (125,320,000) | $ 0 | $ 0 | $ (125,320,000) | 0 | 0.00 |
| 2013-15 Revised CSL | $2,660,872,973 | $ 11,479,452 | $1,042,348,235 | $ 3,706,979,341 | $6,747,393,211 | $ 107,103,462 | $ 14,276,176,674 | 4,201 | 4,156.50 |
| Total Subcommittee Adjustments | $ (648,803,917) | $ (933,620) | $4,346,961,075 | $ (3,430,561,776) | $ 737,464,313 | $ (223,395) | $ 1,003,902,680 | $ 272 | $ (45) |
| TOTAL ADJUSTMENTS | $ (648,803,917) | $ (933,620) | $4,346,961,075 | $ (3,430,561,776) | $ 737,464,313 | $ (223,395) | $ 1,003,902,680 | $ 272 | $ (45) |
| SUBCOMMITTEE RECOMMENDATION * | $2,012,069,056 | $ 10,545,832 | $5,389,309,310 | $ 276,417,565 | $7,484,857,524 | $ 106,880,067 | $ 15,280,079,354 | 4,473 | 4,111.88 |
| % Change from 2011-13 Leg Approved Budget | 18.6% | 1.5% | 136.5% | -91.7% | 45.1% | -0.2% | 21.6% | | |
| % Change from 2013-15 Current Service Level | -24.4% | -8.1% | 417.0% | -92.8% | 10.9% | -0.2% | 6.1% | | |

*Excludes Capital Construction Expenditures

**Oregon Health Authority - Medical Assistance Programs**
**Kate Nass -- 503-378-3742**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 869,525,687 | $ 0 | $1,900,947,628 | $ 0 | $4,087,351,900 | $ 0 | $6,857,825,215 | 449 | 428.96 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $1,695,593,933 | $ 0 | $ 683,803,307 | $ 0 | $5,897,825,591 | $ 0 | $8,277,222,831 | 499 | 490.73 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| Package 081: May 2012 E-Board | $ (841,902) | $ 0 | $ (31,839) | $ 0 | $ (792,902) | $ 0 | $ (1,666,643) | (12) | (11.88) |
| Package 090: Analyst Adjustments | | | | | | | | | |
| Continue current hospital assessment | $ (361,000,000) | $ 0 | $ 600,000,000 | $ 0 | $ 322,000,000 | $ 0 | $ 561,000,000 | | |
| Additional 1% hospital assessment-Quality Performance Pool | $ 0 | $ 0 | $ 145,000,000 | $ 0 | $ 230,000,000 | $ 0 | $ 375,000,000 | | |
| OHP Standard "newly eligible"-100% Federal Funds | $ (185,000,000) | $ 0 | $ 0 | $ 0 | $ 185,000,000 | $ 0 | $ 0 | | |
| Increase Tobacco Master Settlement funding | $ (86,100,000) | | $ 86,100,000 | | | | | | |
| Add Health System Transformation Pool | $ 30,000,000 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 30,000,000 | | |
| Continue physical health Preferred Drug List | $ (2,337,592) | $ 0 | $ (391,742) | $ 0 | $ (4,653,629) | $ 0 | $ (7,382,963) | | |
| Savings from ACA for Breast & Cervical Cancer Pgm | $ (4,500,000) | $ 0 | $ 0 | $ 0 | $ (11,017,241) | $ 0 | $ (15,517,241) | | |
| Package 092: PERS Taxation Policy | $ (75,527) | $ 0 | $ (6,299) | $ 0 | $ (92,096) | $ 0 | $ (173,922) | | |
| Package 093: Other PERS Adjustments | $ (603,496) | $ 0 | $ (50,333) | $ 0 | $ (735,888) | $ 0 | $ (1,389,717) | | |
| Package 094: December 2012 Rebalance | $ (58,281,612) | $ 0 | $ 368,781,536 | $ 0 | $ 385,958,721 | $ 0 | $ 696,458,645 | 8 | 8.00 |
| Package 201: APD program transfer to OHA | $ 125,761,280 | $ 0 | $ 0 | $ 0 | $ 218,620,495 | $ 0 | $ 344,381,775 | | |
| Package 810: LFO Analyst Adjustments | | | | | | | | | |
| Caseload Adjustments (Spring 2013 forecast) | $ 17,241,544 | $ 0 | $ 3,683,746 | $ 0 | $ (775,717,091) | $ 0 | $ (754,791,801) | | |
| Primary care rate change | $ 2,400,000 | $ 0 | $ 0 | $ 0 | $ 114,100,000 | $ 0 | $ 116,500,000 | | |
| Outreach grants from CoverOregon | $ 0 | $ 0 | $ 719,914 | $ 0 | $ 0 | $ 0 | $ 719,914 | 6 | 6.00 |
| Tobacco tax forecast adjustment | $ (1,554,411) | $ 0 | $ 1,554,411 | $ 0 | $ 0 | $ 0 | $ 0 | | |
| CHIPRA Bonus | $ (25,782,855) | $ 0 | $ 0 | $ 0 | $ 25,782,855 | | $ 0 | | |
| FMAP rate change | $ (10,561,026) | $ 0 | $ (322,364) | $ 0 | $ 10,883,390 | | $ 0 | | |
| Reduction in estimated amount of claw back charge | $ (5,400,000) | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ (5,400,000) | | |
| Cost allocation charges to CoverOregon | $ 4,900,000 | $ 0 | $ 0 | $ 0 | $ 4,900,000 | $ 0 | $ 9,800,000 | | |
| Reduce call center staffing | $ (1,000,000) | $ 0 | $ 0 | $ 0 | $ (1,000,000) | $ 0 | $ (2,000,000) | (14) | (14.00) |
| Transfer of HKC to OHP (HB 2091) | $ 10,244,021 | $ 0 | $ 0 | $ 0 | $ 29,195,318 | $ 0 | $ 39,439,339 | 1 | 1.00 |
| Eliminate FHIAP, transfer clients to OHP (HB 2240) | $ 2,277,275 | $ 0 | $ 0 | $ 0 | $ 42,959,787 | $ 0 | $ 45,237,062 | | |
| Use of carryover tobacco tax revenue | $ (4,000,000) | $ 0 | $ 4,000,000 | $ 0 | $ 0 | $ 0 | $ 0 | | |
| Technical adjustments and transfers | $ 1,447,438 | $ 0 | $ 30,281 | $ 0 | $ 359,421 | $ 0 | $ 1,837,140 | 10 | 10.00 |
| TOTAL ADJUSTMENTS | $ (552,766,863) | $ 0 | $1,209,067,311 | $ 0 | $ 775,751,140 | $ 0 | $1,432,051,588 | -1 | -0.88 |
| SUBCOMMITTEE RECOMMENDATION * | $1,142,827,070 | $ 0 | $1,892,870,618 | $ 0 | $6,673,576,731 | $ 0 | $9,709,274,419 | 498 | 489.85 |
| % Change from 2011-13 Leg Approved Budget | 31.4% | 0.0% | -0.4% | 0.0% | 63.3% | 0.0% | 41.6% | | |
| % Change from 2013-15 Current Service Level | -32.6% | 0.0% | 176.8% | 0.0% | 13.2% | 0.0% | 17.3% | | |

*Excludes Capital Construction Expenditures

**Oregon Health Authority - Public Employees' Benefit Board**
**Dustin Ball -- 503-378-3119**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 0 | $ 0 | $ 8,715,361 | $ 1,405,241,260 | $ 0 | $ 0 | $1,413,956,621 | 19 | 18.50 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 0 | $ 0 | $ 9,248,755 | $ 1,752,335,080 | $ 0 | $ 0 | $1,761,583,835 | 20 | 19.50 |
| 2013-15 CSL Adjustment | $ 0 | $ 0 | $ 0 | $ (40,000,000) | $ 0 | $ 0 | $ (40,000,000) | 0 | 0.00 |
| 2013-15 Revised CSL | $ 0 | $ 0 | $ 9,248,755 | $ 1,712,335,080 | $ 0 | $ 0 | $1,721,583,835 | 20 | 19.50 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| Package 092: PERS Taxation Policy | $ 0 | $ 0 | $ (10,467) | $ 0 | $ 0 | $ 0 | $ (10,467) | | |
| Package 093: Other PERS Adjustments | $ 0 | $ 0 | $ (83,633) | $ 0 | $ 0 | $ 0 | $ (83,633) | | |
| Package 094: December 2012 Rebalance | $ 0 | $ 0 | $ 0 | $ 26,000,000 | $ 0 | $ 0 | $ 26,000,000 | | |
| Package 810: LFO Analyst Adjustments | | | | | | | | | |
| Reprojection & Capping of expenditure growth | $ 0 | $ 0 | $ 0 | $ (206,337,689) | $ 0 | $ 0 | $ (206,337,689) | | |
| Switch Non-limited to Limited expenditures | $ 0 | $ 0 | $1,531,997,391 | $ (1,531,997,391) | $ 0 | $ 0 | $ 0 | | |
| TOTAL ADJUSTMENTS | $ 0 | $ 0 | $1,531,903,291 | $ (1,712,335,080) | $ 0 | $ 0 | $ (180,431,789) | 0 | 0.00 |
| SUBCOMMITTEE RECOMMENDATION * | $ 0 | $ 0 | $1,541,152,046 | $ 0 | $ 0 | $ 0 | $1,541,152,046 | 20 | 19.50 |
| % Change from 2011-13 Leg Approved Budget | 0.0% | 0.0% | 17583.2% | -100.0% | 0.0% | 0.0% | 9.0% | | |
| % Change from 2013-15 Current Service Level | 0.0% | 0.0% | 16563.3% | -100.0% | 0.0% | 0.0% | -12.5% | | |

*Excludes Capital Construction Expenditures

**Oregon Health Authority - Oregon Educators' Benefit Board**
**Dustin Ball -- 503-378-3119**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 0 | $ 0 | $ 10,886,968 | $ 1,459,320,100 | $ 0 | $ 0 | $1,470,207,068 | 25 | 22.75 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 0 | $ 0 | $ 10,690,359 | $ 1,628,294,000 | $ 0 | $ 0 | $1,638,984,359 | 21 | 21.00 |
| 2013-15 CSL Adjustment | $ 0 | $ 0 | $ 0 | $ (85,320,000) | $ 0 | $ 0 | $ (85,320,000) | 0 | 0.00 |
| 2013-15 Revised CSL | $ 0 | $ 0 | $ 10,690,359 | $ 1,542,974,000 | $ 0 | $ 0 | $1,553,664,359 | 21 | 21.00 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| Package 092: PERS Taxation Policy | $ 0 | $ 0 | $ (10,412) | $ 0 | $ 0 | $ 0 | $ (10,412) | | |
| Package 093: Other PERS Adjustments | $ 0 | $ 0 | $ (83,197) | $ 0 | $ 0 | $ 0 | $ (83,197) | | |
| Package 094: December 2012 Rebalance | $ 0 | $ 0 | $ 961,224 | $ 85,320,000 | $ 0 | $ 0 | $ 86,281,224 | 3 | 3.00 |
| Package 810: LFO Analyst Adjustments | | | | | | | | | |
| Switch Non-limited to Limited expenditures | $ 0 | $ 0 | $1,628,294,000 | $ (1,628,294,000) | $ 0 | $ 0 | $ 0 | | |
| TOTAL ADJUSTMENTS | $ 0 | $ 0 | $1,629,161,615 | $ (1,542,974,000) | $ 0 | $ 0 | $ 86,187,615 | 3 | 3.00 |
| SUBCOMMITTEE RECOMMENDATION * | $ 0 | $ 0 | $1,639,851,974 | $ 0 | $ 0 | $ 0 | $1,639,851,974 | 24 | 24.00 |
| % Change from 2011-13 Leg Approved Budget | 0.0% | 0.0% | 14962.5% | -100.0% | 0.0% | 0.0% | 11.5% | | |
| % Change from 2013-15 Current Service Level | 0.0% | 0.0% | 15239.5% | -100.0% | 0.0% | 0.0% | 0.1% | | |

*Excludes Capital Construction Expenditures

**Oregon Health Authority - Office of Private Health Partnerships**
**Dustin Ball -- 503-378-3119**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 7,002,275 | $ 0 | $ 73,049,970 | $ 411,670,261 | $ 313,391,459 | $ 0 | $ 805,113,965 | 43 | 42.36 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 38,183,853 | $ 0 | $ 52,061,803 | $ 411,670,261 | $ 234,587,910 | $ 0 | $ 736,503,827 | 61 | 59.75 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| Package 090: Eliminate portions of FHIAP, OMIP, FMIP | $ (11,619,748) | $ 0 | $ (29,063,664) | $ (178,585,131) | $ (110,121,849) | $ 0 | $ (329,390,392) | | |
| Package 092: PERS Taxation Policy | $ (7,137) | $ 0 | $ (5,843) | $ 0 | $ (10,169) | $ 0 | $ (23,149) | | |
| Package 093: Other PERS Adjustments | $ (57,029) | $ 0 | $ (46,689) | $ 0 | $ (81,255) | $ 0 | $ (184,973) | | |
| Package 094: December 2012 Rebalance | $ 0 | $ 0 | $ 0 | $ 0 | $ 54,262,291 | $ 0 | $ 54,262,291 | | |
| Package 810: LFO Analyst Adjustments | | | | | | | | | |
| Transfer of HKC to OHP (HB 2091) | $ (22,100,723) | $ 0 | $ 41,738 | $ 0 | $ (59,684,426) | $ 0 | $ (81,743,411) | (3) | (17.81) |
| Eliminate FHIAP; transfer clients to OHP (HB 2240) | $ (2,514,368) | $ 0 | $ (65,778) | $ 0 | $ (2,543,507) | $ 0 | $ (5,123,653) | 0 | (22.50) |
| Eliminate OMIP; establish Reinsurance (HB 3458) | $ 0 | $ 0 | $ (133,067) | $ 3,332,435 | $ 0 | $ 0 | $ 3,199,368 | 4 | (3.02) |
| Eliminate Information, Education and Outreach | $ (140,000) | $ 0 | $ (85,000) | $ 0 | $ 0 | $ 0 | $ (225,000) | 0 | (0.75) |
| TOTAL ADJUSTMENTS | $ (36,439,005) | $ 0 | $ (29,358,303) | $ (175,252,696) | $ (118,178,915) | $ 0 | $ (359,228,919) | 1 | -44.08 |
| SUBCOMMITTEE RECOMMENDATION * | $ 1,744,848 | $ 0 | $ 22,703,500 | $ 236,417,565 | $ 116,408,995 | $ 0 | $ 377,274,908 | 62 | 15.67 |
| % Change from 2011-13 Leg Approved Budget | -75.1% | 0.0% | -68.9% | -42.6% | -62.9% | 0.0% | -53.1% | | |
| % Change from 2013-15 Current Service Level | -95.4% | 0.0% | -56.4% | -42.6% | -50.4% | 0.0% | -48.8% | | |

*Excludes Capital Construction Expenditures

**Oregon Health Authority - Addictions & Mental Health**
**Kate Nass -- 503-378-3742**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 660,110,475 | $ 10,388,614 | $ 47,823,010 | $ 0 | $ 280,940,716 | $ 0 | $ 999,262,815 | 2,334 | 2,316.99 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 720,853,475 | $ 11,479,452 | $ 45,568,906 | $ 0 | $ 183,129,459 | $ 0 | $ 961,031,292 | 2,306 | 2,298.22 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| Package 070: Revenue Reductions | $ 0 | $ 0 | $ (332,811) | $ 0 | $ 0 | $ 0 | $ (332,811) | (1) | (1.00) |
| Package 081: May 2012 E-Board | $ (2,824,075) | $ 0 | $ (173,860) | $ 0 | $ (372,646) | $ 0 | $ (3,370,581) | (17) | (16.50) |
| Package 090: Analyst Adjustments | | | | | | | | | |
| Continue current non-direct care reductions at OSH | $ (9,697,920) | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ (9,697,920) | | |
| Close Blue Mountain Recover Center 1/1/2014 | $ (12,853,099) | $ 0 | $ (2,353,423) | $ 0 | $ (860,893) | $ 0 | $ (16,067,415) | | |
| Close on geropsychiatirc w ard at OSH | $ (8,839,080) | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ (8,839,080) | (43) | (43.00) |
| Restructure pharmacy operations at OSH | $ (3,000,000) | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ (3,000,000) | | |
| Eliminate provider rate increases | $ (8,448,361) | $ (230,863) | $ (620,544) | $ 0 | $ (5,688,113) | $ 0 | $ (14,987,881) | | |
| Reduce Lottery to May 2013 revenue forecast | $ 0 | $ (679,478) | $ 0 | $ 0 | $ 0 | $ 0 | $ (679,478) | | |
| Package 092: PERS Taxation Policy | $ (894,362) | $ (2,589) | $ (41,760) | $ 0 | $ (76,437) | $ 0 | $ (1,015,148) | | |
| Package 093: Other PERS Adjustments | $ (7,146,378) | $ (20,690) | $ (333,681) | $ 0 | $ (610,767) | $ 0 | $ (8,111,516) | | |
| Package 094: December 2012 Rebalance | $ (1,225,822) | $ 0 | $ 0 | $ 0 | $ 100,207,846 | $ 0 | $ 98,982,024 | | |
| Package 403: OSH Replacement Project | $ 2,748,509 | $ 0 | $ (454,441) | $ 0 | $ (38,563) | $ 0 | $ 2,255,505 | 278 | 17.17 |
| Package 404: Strengthen Community Mental/A&D Systems | | | | | | | | | |
| Early Assessment and Support Alliance (EASA) | $ 1,790,000 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 1,790,000 | | |
| Supported housing/peer delivered services | $ 4,210,000 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 4,210,000 | | |
| Supported employment | $ 1,500,000 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 1,500,000 | | |
| Package 810: LFO Analyst Adjustments | | | | | | | | | |
| Caseload Adjustments (Spring 2013 forecast) | $ (25,469,543) | $ 0 | $ 0 | $ 0 | $ (12,627,564) | $ 0 | $ (38,097,107) | | |
| FMAP rate change | $ (625,840) | $ 0 | $ 0 | $ 0 | $ 625,840 | $ 0 | $ 0 | | |
| Invest in community mental health system | $ 26,600,000 | $ 0 | $ 0 | $ 0 | $ 9,400,000 | $ 0 | $ 36,000,000 | | |
| Reduce "reinvested" dollars to pay for capacity | $ (9,900,000) | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ (9,900,000) | | |
| Invest in children's mental health services | $ 20,000,000 | $ 0 | $ 0 | $ 0 | $ 6,400,000 | $ 0 | $ 26,400,000 | 4 | 4.00 |
| Include "reinvested" dollars in childrens' mental health | $ (7,500,000) | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ (7,500,000) | | |
| Reduce overtime & non-direct care staffing at OSH | $ (7,000,000) | $ 0 | $ (272,659) | $ 0 | $ 0 | $ 0 | $ (7,272,659) | (10) | (10.00) |
| Technical adjustments and transfers | $ (2,395,687) | $ 0 | $ (154,005) | $ 0 | $ (540,833) | $ 0 | $ (3,090,525) | (12) | (12.00) |
| TOTAL ADJUSTMENTS | $ (50,971,658) | $ (933,620) | $ (4,737,184) | $ 0 | $ 95,817,870 | $ 0 | $ 39,175,408 | 199 | (61.33) |
| SUBCOMMITTEE RECOMMENDATION * | $ 669,881,817 | $ 10,545,832 | $ 40,831,722 | $ 0 | $ 278,947,329 | $ 0 | $1,000,206,700 | 2,505 | 2,236.89 |
| % Change from 2011-13 Leg Approved Budget | 1.5% | 1.5% | -14.6% | 0.0% | -0.7% | 0.0% | 0.1% | | |
| % Change from 2013-15 Current Service Level | -7.1% | -8.1% | -10.4% | 0.0% | 52.3% | 0.0% | 4.1% | | |

*Excludes Capital Construction Expenditures

**Oregon Health Authority - Public Health**
**Dustin Ball -- 503-378-3119**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 34,410,315 | $ 0 | $ 77,503,193 | $ 40,000,000 | $ 255,979,822 | $ 102,729,051 | $ 510,622,381 | 706 | 686.82 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 39,210,011 | $ 0 | $ 82,039,976 | $ 40,000,000 | $ 260,312,432 | $ 102,729,051 | $ 524,291,470 | 699 | 685.32 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| Package 081: May 2012 E-Board | $ (184,720) | $ 0 | $ (150,348) | $ 0 | $ (318,540) | $ 0 | $ (653,608) | (3) | (3.00) |
| Package 092: PERS Taxation Policy | $ (26,003) | $ 0 | $ (95,545) | $ 0 | $ (192,829) | $ 0 | $ (314,377) | $ 0 | $ 0 |
| Package 093: Other PERS Adjustments | $ (207,777) | $ 0 | $ (763,450) | $ 0 | $ (1,540,799) | $ 0 | $ (2,512,026) | $ 0 | $ 0 |
| Package 094: December 2012 Rebalance | $ 0 | $ 0 | $ (42,877) | $ 0 | $ 11,101,871 | $ 0 | $ 11,058,994 | 11 | 5.89 |
| Package 810: LFO Analyst Adjustments | | | | | | | | | |
| Tobacco Tax Forecast Adjustment (May 2013) | $ 0 | $ 0 | $ (48,000) | $ 0 | $ - | $ 0 | $ (48,000) | $ 0 | $ 0 |
| Excess 2013-15 ending balance for CCare | $ (1,300,000) | $ 0 | $ 0 | $ 0 | $ (11,700,000) | $ 0 | $ (13,000,000) | $ 0 | $ 0 |
| CCare saving from ACA expansion | $ (1,000,000) | $ 0 | $ 0 | $ 0 | $ (9,000,000) | $ 0 | $ (10,000,000) | $ 0 | $ 0 |
| Replace GF w ith OF in CCare | $ (1,500,000) | $ 0 | $ 1,500,000 | $ 0 | $ 0 | $ 0 | $ - | $ 0 | $ 0 |
| Tobacco Master Settlement revenues for tobacco prevention, education and cessation programs | $ 0 | $ 0 | $ 4,000,000 | $ 0 | $ 0 | $ 0 | $ 4,000,000 | $ 0 | $ 0 |
| Technical adjustments and transfers | $ (56,093) | $ 0 | $ (151,818) | $ 0 | $ 0 | $ 0 | $ (207,911) | $ 0 | $ 0 |
| TOTAL ADJUSTMENTS | $ (4,274,593) | $ 0 | $ 4,247,962 | $ 0 | $ (11,650,297) | $ 0 | $ (11,676,928) | 8 | 2.89 |
| SUBCOMMITTEE RECOMMENDATION * | $ 34,935,418 | $ 0 | $ 86,287,938 | $ 40,000,000 | $ 248,662,135 | $ 102,729,051 | $ 512,614,542 | 707 | 688.21 |
| % Change from 2011-13 Leg Approved Budget | 1.5% | 0.0% | 11.3% | 0.0% | -2.9% | 0.0% | 0.4% | | |
| % Change from 2013-15 Current Service Level | -10.9% | 0.0% | 5.2% | 0.0% | -4.5% | 0.0% | -2.2% | | |

*Excludes Capital Construction Expenditures

**Oregon Health Authority - Central, Shared Services, State Assessments & Enterprise-wide Costs**
**Kate Nass -- 503-378-3742**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 126,009,372 | $ 0 | $ 159,946,414 | $ 0 | $ 221,696,288 | $ 4,374,411 | $ 512,026,485 | 532 | 482.66 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 167,031,701 | $ 0 | $ 158,935,129 | $ 0 | $ 171,537,819 | $ 4,374,411 | $ 501,879,060 | 595 | 581.98 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| Pkg 081: May 2012 EBoard actions | $ 0 | $ 0 | $ (454,553) | $ 0 | $ 0 | $ 0 | $ (454,553) | (2) | (2.00) |
| Pkg 090: Analyst adjustments | | | | | | | | | |
| Administrative reductions - hold vacancies/S&S | $ (2,060,415) | $ 0 | $ (231,075) | $ 0 | $ (1,540,984) | $ 0 | $ (3,832,474) | | |
| Funding for Patient Safety Commission | $ 1,600,000 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 1,600,000 | | |
| Pkg 091: Statewide administrative savings | $ (2,090,200) | $ 0 | $ (5,658,403) | $ 0 | $ (1,701,861) | $ 0 | $ (9,450,464) | | |
| Pkg 092: PERS taxation policy | $ (35,935) | | $ (258,799) | | $ (33,965) | | $ (328,699) | | |
| Pkg 093: Other PERS adjustments | $ (287,135) | $ 0 | $ (2,067,925) | $ 0 | $ (271,400) | $ 0 | $ (2,626,460) | | |
| Pkg 094: December 2012 EBoard | $ (8,570,075) | $ 0 | $ (1,028,000) | $ 0 | $ 7,306,676 | $ 0 | $ (2,291,399) | 30 | 29.00 |
| Pkg 401: PC & Network Infrastructure Investments | $ 666,667 | $ 0 | $ 2,359,143 | $ 0 | $ 666,667 | $ 0 | $ 3,692,477 | 12 | 10.56 |
| Pkg 402: Health Systems Transformation | $ 2,115,946 | $ 0 | $ (311,665) | $ 0 | $ 1,662,916 | $ 0 | $ 3,467,197 | 2 | 2.00 |
| Pkg 403: OSH Replacement Project Next Phase | $ 78,345 | $ 0 | $ 7,547,022 | $ 0 | $ 0 | $ 0 | $ 7,625,367 | 3 | 0.80 |
| Pkg 406: Health Information Technology | $ 1,004,489 | $ 0 | $ 0 | $ 0 | $ 999,683 | $ 0 | $ 2,004,172 | 11 | 9.42 |
| Pkg 810: LFO Analyst Adjustments | | | | | | | | | |
| True-up cost allocation/rent | $ 2,302,737 | $ 0 | $ (346,862) | $ 0 | $ (1,624,029) | $ 0 | $ 331,846 | | |
| Eliminate remaining limitation/positions for HIX IT | $ 0 | $ 0 | $ (9,920,600) | $ 0 | $ (9,920,600) | $ 0 | $ (19,841,200) | (48) | (48.00) |
| Add limitation/positions for on-going IT needs | $ 0 | $ 0 | $ 16,739,148 | $ 0 | $ 0 | $ 0 | $ 16,739,148 | 52 | 52.00 |
| Update debt service (including sequestration) | $ (80,565) | $ 0 | $ 0 | $ 0 | $ 0 | $ (223,395) | $ (303,960) | | |
| Technical adjustments and transfers | $ 1,004,343 | $ 0 | $ 308,952 | $ 0 | $ 181,412 | $ 0 | $ 1,494,707 | 2 | 2.00 |
| TOTAL ADJUSTMENTS | $ (4,351,798) | $ 0 | $ 6,676,383 | $ 0 | $ (4,275,485) | $ (223,395) | $ (2,174,295) | 62 | 55.78 |
| SUBCOMMITTEE RECOMMENDATION * | $ 162,679,903 | $ 0 | $ 165,611,512 | $ 0 | $ 167,262,334 | $ 4,151,016 | $ 499,704,765 | 657 | 637.76 |
| % Change from 2011-13 Leg Approved Budget | 29.1% | 0.0% | 3.5% | 0.0% | -24.6% | -5.1% | -2.4% | | |
| % Change from 2013-15 Current Service Level | -2.6% | 0.0% | 4.2% | 0.0% | -2.5% | -5.1% | -0.4% | | |

*Excludes Capital Construction Expenditures

# Legislatively Approved 2013-15 Key Performance Measures

**Agency:** **OREGON HEALTH AUTHORITY**

| KPM # | | Customer Service Category | Request | Most Current Result | Target 2014 | Target 2015 |
|---|---|---|---|---|---|---|
| 1 | INITIATION OF ALCOHOL AND OTHER DRUG DEPENDENCE TREATMENT - Percentage of members with a new episode of alcohol or other drug dependence who received initiation of AOD treatment within 14 days of diagnosis | | New | 27.00 | 27.81 | 28.35 |
| 2 | ENGAGEMENT OF ALCOHOL AND OTHER DRUG DEPENDENCE TREATMENT - Percentage of members with a new episode of alcohol or other drug dependence who received two or more services within 30 days of initiation visit | | New | 9.00 | 9.27 | 9.45 |
| 3 | FOLLOW-UP AFTER HOSPITALIZATION FOR MENTAL ILLNESS - Percentage of enrollees 6 years of age and older who were hospitalized for treatment of mental health disorders and who were seen on an outpatient basis or were in intermediate treatment within seven days of discharge | | New | 57.60 | 61.00 | 68.00 |
| 4 | MENTAL HEALTH ASSESSMENT FOR CHILDREN IN DHS CUSTODY - Percentage of children in DHS custody who receive a mental health assessment within 60 days of initial custody | | New | 56.00 | 60.00 | 90.00 |
| 5 | PHYSICAL HEALTH ASSESSMENT FOR CHILDREN IN DHS CUSTODY - Percentage of children in DHS custody who receive a physical health assessment within 60 days of initial custody | | New | 67.10 | 70.00 | 90.00 |
| 6 | FOLLOW-UP CARE FOR CHILDREN PRESCRIBED WITH ADHD MEDICATION (INITIATION) - Percentage of children newly prescribed attention-deficit/hyperactivity disorder (ADHD) medication who had at least three follow-up care visits within a 10-month period, one of which was within 30 days of when the first ADHD medication was dispensed: INITIATION | | New | 52.30 | 52.00 | TBD |
| 7 | FOLLOW-UP CARE FOR CHILDREN PRESCRIBED WITH ADHD MEDICATION (CONTINUATION AND MAINTENANCE) - Percentage of children newly prescribed attention-deficit/hyperactivity disorder (ADHD) medication who had at least three follow-up care visits within a 10-month period, one of which was within 30 days of when the first ADHD medication was dispensed: CONTINUATION AND MAINTENANCE | | New | 61.00 | 63.00 | TBD |
| 8 | 30 DAY ILLICIT DRUG USE AMONG 6TH GRADERS - Percentage of 6th graders who have used illicit drugs in the past 30 days | | New | 1.80 | 1.77 | 1.75 |
| 9 | 30 DAY ALCOHOL USE AMONG 6TH GRADERS - Percentage of 6th graders who have used alcohol in the past 30 days | | New | 6.70 | 6.60 | 6.50 |

# Legislatively Approved 2013-15 Key Performance Measures

**Agency:** **OREGON HEALTH AUTHORITY**

| KPM # | | Customer Service Category | Request | Most Current Result | Target 2014 | Target 2015 |
|---|---|---|---|---|---|---|
| 10 | 30 DAY ILLICIT DRUG USE AMONG 8TH GRADERS - Percentage of 8th graders who have used illicit drugs in the past 30 days | | Approved KPM | 9.00 | 8.87 | 8.73 |
| 11 | 30 DAY ALCOHOL USE AMONG 8TH GRADERS - Percentage of 8th graders who have used alcohol in the past 30 days | | Approved KPM | 19.60 | 19.31 | 19.01 |
| 12 | 30 DAY ILLICIT DRUG USE AMONG 11TH GRADERS - Percentage of 11th graders who have used illicit drugs in the past 30 days | | New | 22.20 | 21.87 | 21.53 |
| 13 | 30 DAY ALCOHOL USE AMONG 11TH GRADERS - Percentage of 11th graders who have used alcohol in the past 30 days | | New | 35.90 | 35.36 | 34.82 |
| 14 | PRENATAL CARE (POPULATION) - Percentage of women who initiated prenatal care in the first 3 months of pregnancy | | New | 75.10 | 79.00 | 89.00 |
| 15 | PRENATAL CARE (MEDICAID) - Percentage of women who initiated prenatal care within 42 days of enrollment | | New | 65.30 | 69.00 | 89.00 |
| 16 | PRIMARY CARE SENSITIVE HOSPITAL ADMISSIONS/INPATIENT STAYS - Rate per 100,000 client years of admissions (for 12 diagnoses) that are more appropriately treated in an outpatient setting | | New | 2,091.00 | TBD | TBD |
| 17 | PATIENT CENTERED PRIMARY CARE HOME (PCPCH) ENROLLMENT - Number of members enrolled in patient-centered primary care homes by tier | | New | 51.70 | 60.00 | 100.00 |
| 18 | ACCESS TO CARE - Percentage of members who responded "always" or "usually" too getting care quickly (composite for adult and child) | | New | 83.00 | 85.00 | 87.00 |
| 19 | MEMBER EXPERIENCE OF CARE - Composite measurement: how well doctors communicate; health plan information and customer service (Medicaid population) | | New | 78.00 | 81.00 | 84.00 |
| 20 | MEMBER HEALTH STATUS - Percentage of CAHPS survey respondents with a positive self-reported rating of overall health (excellent, very good) | | New | 23.00 | 28.00 | 33.00 |
| 21 | RATE OF TOBACCO USE (POPULATION) - Rate of tobacco use among adults | | Approved KPM | 22.00 | 18.00 | 17.00 |
| 22 | RATE OF TOBACCO USE (MEDICAID) - Percentage of CCO enrollees who currently smoke cigarettes or use tobacco every day or some days | | New | 31.00 | 26.00 | 24.00 |

# Legislatively Approved 2013-15 Key Performance Measures

**Agency:** **OREGON HEALTH AUTHORITY**

| KPM # | | Customer Service Category | Request | Most Current Result | Target 2014 | Target 2015 |
|---|---|---|---|---|---|---|
| 23 | RATE OF OBESITY (POPULATION) - Percentage of adults who are obese among Oregonians | | Approved KPM | 27.00 | 30.00 | TBD |
| 24 | RATE OF OBESITY (MEDICAID) - Percentage of Medicaid population who are obese | | New | 37.00 | 40.00 | TBD |
| 25 | PLAN ALL CAUSE READMISSIONS - Percentage of acute inpatient stays that were followed by an acute readmission for any diagnosis within 30 days and the predicted probability of an acute readmission for members 18 years and older | | New | 11.30 | 10.90 | 10.50 |
| 26 | EFFECTIVE CONTRACEPTIVE USE (POPULATION) - Percentage of reproductive age women who do not desire pregnancy using an effective method of contraception | | New | 53.20 | 55.20 | 56.20 |
| 27 | EFFECTIVE CONTRACEPTIVE USE (MEDICAID) - Percentage of reproductive age women who do not desire pregnancy using an effective method of contraception | | New | 58.60 | 60.60 | 61.60 |
| 28 | FLU SHOTS (POPULATION) - Percentage of adults ages 50-64 who receive a flu vaccine | | New | 37.40 | 45.00 | 57.00 |
| 29 | FLU SHOTS (MEDICAID) - Percentage of adults ages 50-64 who receive a flu vaccine | | New | 42.20 | 50.50 | 57.00 |
| 30 | CHILD IMMUNIZATION RATES (POPULATION) - Percentage of children who are adequately immunized (immunization series 4:3:1:3:3:1:4) | | New | 66.60 | 72.00 | 80.00 |
| 31 | CHILD IMMUNIZATION RATES (MEDICAID) - Percentage of children who are adequately immunized (immunization series 4:3:1:3:3:1:4) | | New | 68.40 | 74.00 | 82.00 |
| 32 | CUSTOMER SERVICE (OHA) – The percentage of OHA customers rating their satisfaction with the agency's customer service as “good” or “excellent”: overall, timeliness, accuracy, helpfulness, expertise, availability of information. | Overall | Approved KPM | | 95.00 | 95.00 |
| 33 | CUSTOMER SERVICE (OHA) – The percentage of OHA customers rating their satisfaction with the agency's customer service as “good” or “excellent”: overall, timeliness, accuracy, helpfulness, expertise, availability of information. | Accuracy | Approved KPM | | 95.00 | 95.00 |
| 34 | CUSTOMER SERVICE (OHA) – The percentage of OHA customers rating their satisfaction with the agency's customer service as “good” or “excellent”: overall, timeliness, accuracy, helpfulness, expertise, availability of information. | Availability of Information | Approved KPM | | 95.00 | 95.00 |

# Legislatively Approved 2013-15 Key Performance Measures

**Agency:** **OREGON HEALTH AUTHORITY**

| KPM # | | Customer Service Category | Request | Most Current Result | Target 2014 | Target 2015 |
|---|---|---|---|---|---|---|
| 35 | CUSTOMER SERVICE (OHA) – The percentage of OHA customers rating their satisfaction with the agency's customer service as “good” or “excellent”: overall, timeliness, accuracy, helpfulness, expertise, availability of information. | Expertise | Approved KPM | | 95.00 | 95.00 |
| 36 | CUSTOMER SERVICE (OHA) – The percentage of OHA customers rating their satisfaction with the agency's customer service as “good” or “excellent”: overall, timeliness, accuracy, helpfulness, expertise, availability of information. | Helpfulness | Approved KPM | | 95.00 | 95.00 |
| 37 | CUSTOMER SERVICE (OHA) – The percentage of OHA customers rating their satisfaction with the agency's customer service as “good” or “excellent”: overall, timeliness, accuracy, helpfulness, expertise, availability of information. | Timeliness | Approved KPM | | 95.00 | 95.00 |

**LFO Recommendation:**

Approve the KPMs as proposed. Approve targets for 2014 and 2015 as shown. Agency will set remaining targets as information becomes available. The agency may also modify the targets for KPMs 1 and 2 when the preliminary baseline numbers become final.

**Sub-Committee Action:**

Approved the LFO recommendation.

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **SB 421-C**

**Carrier – House:** **Rep. Nathanson**
**Carrier – Senate:** **Sen. Prozanski**

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**Action:** Do Pass the B-Engrossed Measure with Amendments to Resolve Conflicts and be Printed C-Engrossed

**Vote:** 26 – 0 – 0

House
Yeas: Barker, Buckley, Frederick, Freeman, Hanna, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson
Nays:
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Kate Nass, Department of Administrative Services

**Reviewed By:** John Terpening, Legislative Fiscal Office

**Meeting Date:** June 28, 2013

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| **Agency** | **Biennium** |
|---|---|
| Oregon Health Authority<br>Psychiatric Security Review Board | 2013-15 |

## Budget Summary*

**Oregon Health Authority**

| | 2011-13 Legislatively Approved Budget[1] | 2013-15 Current Service Level | 2013-15 Committee Recommendation | Committee Change from 2011-13 Leg. Approved | |
|---|---|---|---|---|---|
| | | | | $$ Change | % Change |
| General Fund | $ 0 | $ 0 | $ 169,571 | $ 169,571 | 100.0% |
| Total | $ 0 | $ 0 | $ 169,571 | $ 169,571 | 100.0% |

## Position Summary

| | | | | |
|---|---|---|---|---|
| Authorized Positions | 0 | 0 | 0 | 0 |
| Full-time Equivalent (FTE) positions | 0.00 | 0.00 | 0.00 | 0.00 |

## Budget Summary*

**Psychiatric Security Review Board**

| | 2011-13 Legislatively Approved Budget[1] | 2013-15 Current Service Level | 2013-15 Committee Recommendation | Committee Change from 2011-13 Leg. Approved | |
|---|---|---|---|---|---|
| | | | | $$ Change | % Change |
| General Fund | $ 0 | $ 0 | $36,100 | $ 36,100 | 100.0% |
| Total | $ 0 | $ 0 | $ 36,100 | $ 36,100 | 100.0% |

## Position Summary

| | | | | |
|---|---|---|---|---|
| Authorized Positions | 0 | 0 | 0 | 0 |
| Full-time Equivalent (FTE) positions | 0.00 | 0.00 | 0.00 | 0.00 |

[1] Includes adjustments through December 2012

* Excludes Capital Construction expenditures

## Summary of Revenue Changes

Senate Bill 421 adds $169,571 General Fund to the Oregon Health Authority's budget and $36,100 General Fund to the Psychiatric Security Review Board's budget for the 2013-15 biennium.

## Summary of Human Services Subcommittee Action

Senate Bill 421 authorizes a district attorney to petition the court to initiate commitment proceedings if there is reason to believe a person is an extremely dangerous mentally ill person, and if committed by the court, the person may be supervised by the Psychiatric Security Review Board (PSRB). A person must be committed to the state hospital or a secure intensive community inpatient facility and PSRB must hold a hearing six months after the commitment and then every two years to determine the status of the commitment.

The bill adds $36,100 General Fund appropriation to the Psychiatric Security Review Board's 2013-15 biennium budget to cover the administrative costs associated with the estimated 12 individuals that are anticipated to be admitted as a civil commitment under this bill. This includes board member hearing reimbursements, Attorney General fees, and other hearings costs for the estimated 12 hearing days and six appeals per biennium. Additionally, the bill adds $169,571 General Fund appropriation to the Oregon Health Authority's 2013-15 biennium budget to cover the additional length of stay costs at the Oregon State Hospital as those committed await PSRB hearings and discharge placement.

**Oregon Health Authority**
**Kate Nass -- 503-378-3742**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| **SCR 020-05 - Addictions & Mental Health** | | | | | | | | | |
| Personal Services | $ 147,205 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 147,205 | 0 | 0.00 |
| Services and Supplies | $ 22,366 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 22,366 | | |
| TOTAL ADJUSTMENTS | $ 169,571 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 169,571 | 0 | 0.00 |
| SUBCOMMITTEE RECOMMENDATION * | $ 169,571 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 169,571 | 0 | 0.00 |
| % Change from 2011-13 Leg Approved Budget | 100.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| % Change from 2013-15 Current Service Level | 100.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |

**Psychiatric Security Review Board**
**Kate Nass -- 503-378-3742**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | 0 | 0.00 |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| **SCR 010-00 - General Program** | | | | | | | | | |
| Personal Services | $ 15,600 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 15,600 | 0 | 0.00 |
| Services and Supplies | $ 20,500 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 20,500 | | |
| TOTAL ADJUSTMENTS | $ 36,100 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 36,100 | 0 | 0.00 |
| SUBCOMMITTEE RECOMMENDATION * | $ 36,100 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 36,100 | 0 | 0.00 |
| % Change from 2011-13 Leg Approved Budget | 100.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| % Change from 2013-15 Current Service Level | 100.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 5006-B**

**Carrier – House:** **Rep. Nathanson**
**Carrier – Senate:** **Sen. Winters**

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**Action:** Do Pass the A-Engrossed Measure with Amendments to Resolve Conflicts and be Printed B-Engrossed

**Vote:** 26 – 0 – 0

House
Yeas: Barker, Buckley, Frederick, Freeman, Hanna, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson
Nays:
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Monica Brown, Legislative Fiscal Office

**Reviewed By:** Daron Hill, Legislative Fiscal Office

**Meeting Date:** July 7, 2013

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| **Agency** | **Biennium** |
|---|---|
| Various | 2013-15 |

## Summary of Capital Construction Subcommittee Action

House Bill 5006 allocates resources from the Criminal Fine Account (CFA). The CFA includes criminal fines and other financial penalties imposed on conviction for felonies, misdemeanors, and violations other than parking infractions which are collected through the courts – the Oregon Judicial Department (OJD) for the circuit courts and the local Municipal (city) and Justice (county) Courts and remitted to the Department of Revenue.

ORS 137.300 establishes the CFA and identifies program priorities, but does not specify a funding level for the programs. Expenditure limitations for programs receiving CFA allocations are established in the separate appropriation bills for the various receiving agencies. Once the specific program allocations have been made, the balance of revenues in the CFA is deposited into the General Fund.

For the 2013-15 biennium, the revenue forecast inclusive of the changes in HB 2562 for the CFA totals $112.1 million. The Capital Construction Subcommittee approved allocations to agencies totaling $67.1 million, leaving a balance of $45 million to be deposited into the General Fund. The specific allocations accomplished through this bill are outlined in the following table:

| Agency/Program (Bill number containing expenditure authority) | | Allocation |
|---|---|---|
| ***Department of Public Safety Standards & Training (HB 5042)*** | | |
| Operations | | $ 24,410,000 |
| Public Safety Memorial Fund | | 110,000 |
| | Subtotal: | $ 24,520,000 |
| ***Department of Justice (HB 5018)*** | | |
| Child Abuse Multidisciplinary Intervention (CAMI) | | $ 9,982,089 |
| Criminal Injuries Compensation Account (CICA) | | 8,520,223 |
| Regional Assessment Centers | | 764,721 |
| Child Abuse Medical Assessments | | 646,707 |
| | Subtotal: | $ 19,913,740 |
| ***Oregon Judicial Department (HB 5016)*** | | |
| State Court Facilities & Security Account | | $ 6,414,462 |
| Court Security Program | | 2,960,118 |
| | Subtotal: | $ 9,374,580 |
| ***Oregon Health Authority (HB 5030)*** | | |
| Emergency Medical Services & Trauma Services | | $ 331,824 |
| Alcohol & Drug Abuse Prevention | | 42,884 |
| Law Enforcement Medical Liability Account (LEMLA) | | 1,300,000 |
| Intoxicated Driver Program | | 4,323,000 |
| | Subtotal: | $ 5,997,708 |
| ***Department of Corrections (HB 5005)*** | | |
| County correction programs and facilities, and alcohol and drug programs | | $ 4,257,421 |
| ***Department of Human Services(SB 5529)*** | | |
| Domestic Violence Fund | | $ 2,224,675 |
| Sexual Assault Victims Fund | | 533,332 |
| | Subtotal: | $ 2,758,007 |
| ***Oregon State Police (HB 5038)*** | | |
| Driving Under the Influence Enforcement | | $ 253,000 |
| ***Governor's Office (SB 5523)*** | | |
| Arrest & Return for Extradition | | $ 22,500 |
| | Total Allocations: | **$ 67,096,956** |

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Regular Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **SB 5506-A**

**Carrier – House:** **Rep. Kotek**
**Carrier – Senate:** **Sen. Girod**

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**Action:** Do Pass as Amended and be Printed A-Engrossed

**Vote:** 24 – 2 – 0

House
Yeas: Barker, Buckley, Frederick, Freeman, Hanna, Huffman, Jenson, Komp, Nathanson, Read, Smith, Tomei, Williamson
Nays: McLane, Richardson
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Jack Kenny, Department of Administrative Services

**Reviewed By:** Daron Hill, Legislative Fiscal Office

**Meeting Date:** July 7, 2013

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| **Agency** | **Biennium** |
|---|---|
| Various | 2013-15 |

## Summary of Subcommittee Action

Senate Bill 5506 limits the maximum amount of bonds and third party financing agreements state agencies may issue and the amount of revenue state agencies may raise from such issuance. The proceeds from the issuance of bonds are included as revenues in agency budgets. This bill also allocates the federal tax-exempt private activity bond volume cap allowed under the Internal Revenue Code of 1986, as amended, to certain state agencies and the Private Activity Bond Committee established in ORS 286A.615.

The Subcommittee reviewed amendments to Senate Bill 5506 for the following purposes:

1) The Subcommittee increased the Oregon University System (OUS), Article XI-G general obligation bond authorization to $117,861,000 for eight newly approved projects and two projects approved during previous legislative sessions. Amendments identify maximum amounts for specifically identified projects. Projects are described later in this report.

2) The Subcommittee increased the Department of Community Colleges and Workforce Development Article XI-G general obligation bond authorization to $125,081,600. The Subcommittee approved the XI-G general obligation bond authorization for capital construction financing for 16 Oregon Community College projects approved in Senate Bill 5507 totaling $108,581,600 and to reauthorize $16,500,000 for two projects approved during previous legislative sessions.

   The Subcommittee approved the establishment of certain limits on future requests for state-funded projects.

3) The Subcommittee increased the Oregon University System, Article XI-F general obligation authorization to $390,977,500 to provide the bond financing for the University System's self-supporting capital construction projects including $316,800,000 for 12 new projects, as well as reauthorization of certain projects approved during previous legislative sessions. Amendments identify maximum amounts for specifically identified projects. The Oregon University System was also provided $50,000,000 in direct revenue bond authority. Projects are described later in this report.

4) The Subcommittee amended the issuing agency reference for Article XI-M and Article XI-N Seismic Rehabilitation Bonds to the Oregon Business Development Department reflecting changes made in Senate Bill 813. The Subcommittee authorized $15,000,000 for Article XI-M (Seismic Rehabilitation of Public Education Buildings) and $15,000,000 for Article XI-N (Seismic Rehabilitation of Emergency Services Buildings) bonds.

5) The Subcommittee approved the Department of Environmental Quality, Article XI-H general obligation authority of $10,000,000.

6) The Subcommittee increased the Department of Administrative Services Article XI-Q general obligation authority to $426,052,000 to reflect net changes in funding for projects owned or operated by the state. Increases include the following: various university system projects, the Linn County Veterans' Home, Gilchrist Forest acquisition, detailed planning and design for the State Capitol renovation, and the Multnomah County Courthouse. A table listing all projects comprising the XI-Q authorization is included later in this report.

The Subcommittee approved amendments directing an amount not to exceed $15 million in Article XI-Q bond proceeds be deposited to the Oregon Courthouse Capital Construction and Improvement Fund, and identified allowable uses of bond proceeds in that fund. Prior to issuance of bonds for such purposes, there are a number of triggers that must occur. There are also amendments to make this project an allowable use of Article XI-Q bonds.

7) The Subcommittee increased the Department of Transportation's Article XI, Section 7 general obligation authority to $453,725,000 to provide Oregon's share of the initial contribution for construction of the Interstate 5 Bridge Replacement Project. The Subcommittee also approved an authorization to the Department of Transportation of $663,000,000 in Toll-backed revenue bonds for the Interstate-5 Bridge Replacement Project. The bill prohibits the State Treasurer from issuing any bonds for the Interstate 5 Bridge Replacement Project until the conditions set forth in section 3 (4), chapter 4, Oregon Laws 2013 (Enrolled House Bill 2800), including commitment of sufficient funds by the State of Washington by September 30, 2013. The bill permits substitution of Highway User Tax Bonds for Article XI, Section 7 bonds (with corresponding and offsetting changes in amounts approved for each bond type).

   An authorization of $846,690,000 in Department of Transportation Highway User Tax revenue bonds was approved for eligible construction projects. Oregon Transportation Infrastructure revenue bonds were authorized at $20,400,000.

8) The Subcommittee reduced the State Department of Energy Article XI-J general obligation authority to $60,000,000 from $100,000,000 in the Governor's Budget to reflect recent activity history with the understanding the agency can return to request additional authority in the 2014 Session if necessary. The Subcommittee reduced the State Department of Energy's direct revenue bond authority to $20,000,000 from $25,000,000 with the understanding the agency can return to request additional authority in the 2014 Session, if necessary.

9) The Subcommittee reduced the Department of Veterans' Affairs' Article XI-A general obligation authority to $60,000,000 from $100,000,000 in the Governor's Budget to reflect recent activity history with the understanding the agency can return to request additional authority in the 2014 Session if necessary.

10) The Subcommittee approved a $10,235,000 authorization to the Water Resources Department for issuance of Article XI-I (1) General Obligation bonds.

11) The Subcommittee reduced Housing and Community Services Department, Article XI-I (2) general obligation authority to $25,000,000 from $50,000,000 in the Governor's Budget to reflect recent activity history with the understanding the agency can return to request additional authority in the 2014 Session if necessary. The Subcommittee reduced Housing and Community Services Department's direct revenue bond authority to $150,000,000 from $300,000,000 and pass-through revenue bond authority to $150,000,000 from $200,000,000 to reflect recent activity history with the understanding the agency can return to request additional authority in the 2014 Session.

12) The Subcommittee increased the Department of Administrative Services, Lottery Revenue Bond limit to $157,557,715. The increase reflects reauthorization of funding for the Roseburg Veterans' Home approved in the 2011 Session. Other increases include funding for

the following projects: Coos Bay Rail Link; Salem-Keizer Transit Center; Oregon Convention Center hotel, Umatilla Industrial Park Road Extension; North Central Education Service District digital switch infrastructure; and grants to maintain affordable housing, Connect Oregon V; and the Lane Transit EmX extension. Amounts for regional planning grants and for Oregon Business Development Department's Special Public Works Fund were reduced from levels in the Governor's Budget. The Subcommittee also funded a Willamette Falls property acquisition, certain arts projects, funding for home energy efficiency programs, and grants for water development and infrastructure.

**Budget Note:**

If the local and/or regional public sponsors acquire the portion of the property immediately adjacent to Willamette Falls, the partners will work with the State Parks and Recreation Commission to designate the site as a State Historic Site to be managed by Oregon Parks and Recreation Department and developed in partnership with the public sponsors.

If the local and/or regional public sponsors do not acquire the property adjacent to Willamette Falls, the State Parks and Recreation Commission will seek to acquire the property necessary for public access to that property.

13) The Subcommittee reduced Oregon Business Development Department's direct revenue bond authority from $60,000,000 in the Governor's Budget to $35,000,000, and its pass-through revenue bond authority for industrial development bonds from $125,000,000 to $65,000,000 to reflect recent activity history with the understanding the agency can return to request additional authority in the 2014 Session.

14) The Subcommittee reduced the Oregon Facilities Authority pass-through revenue bond authority from $750 million in the Governor's Budget to $450 million to reflect recent activity history with the understanding the agency can return to request additional authority in the 2014 Session.

Detail of projects authorized for the Oregon University System through bond financing is included below.

## Oregon University System

System-wide projects

The Subcommittee approved the following four system-wide projects and financing authorizations totaling $85,000,000 total funds:

- Capital renewal, code compliance, and safety: approved $30,000,000 Article XI-Q bonds to maintain facilities throughout the Oregon University System and keep the deferred maintenance backlog from growing. These projects do not involve acquisition of buildings, structures, or land. Debt service on the Article XI-Q bonds will be paid with General Fund.

- Miscellaneous Student Building Fee projects: approved $20,000,000 Article XI-F (1) bonds for small planning, code compliance, acquisition, additions, remodels, and other projects. Student building fees constitute the source for debt service on the Article XI-F (1) bonds.

- Commercial Paper: approved $15,000,000 Article XI-F (1) bonds to provide short-term financing for capital projects. Debt service on the Article XI-F (1) bonds will be repaid with revenues from long-term project financing or other campus revenues.

- Financing Agreements: approved $20,000,000 to provide system-wide capacity to enter into leases or other arrangements that contractually result in ownership of land, improvements, structures, or other property.

Eastern Oregon University
The Subcommittee approved the following project for Eastern Oregon University:

- Eastern Learning Commons planning and design development: approved $2,000,000 Article XI-Q bonds for the development of plans for a multi-building complex for on-campus and distance education, including a classroom building with a data center and information technology space and a separate residence hall. Following completion of the planning process, EOU is expected to request authorization of approximately $26 million in state bonds to execute the project over two phases. Debt service on the bonds will be paid with General Fund.

Oregon Institute of Technology
The Subcommittee approved the following two projects for the Oregon Institute of Technology, totaling $12,000,000 total funds.

- Cornett Hall renovation study, design and planning: approved $2,000,000 Article XI-Q bonds for the development of plans for renovation or replacement of a 1960's era building. Following completion of the planning process, OIT is expected to request authorization of approximately $30 million in state bonds to execute the project. Debt service on the planning bonds will be paid with General Fund.

- Wilsonville Campus Renovation: approved $10,000,000 Article XI-F (1) bonds to complete the purchase of the In-Focus building and make tenant improvements. OIT will pay debt service on the bonds with tuition and building lease revenues.

Oregon State University
The Subcommittee approved the following seven projects, totaling $98,920,000 total funds.

- Classroom building and quad: approved the sale of $32,500,000 Article XI-G bonds to construct a new classroom building with space for the University Honors College and an integrated resource center. OSU intends to use OUS revenue bonds as match for the Article XI-G bonds; debt service on the revenue bonds will be repaid with forecasted growth in non-resident tuition revenue. Debt service on the Article XI-G bonds will be paid with General Fund.

- Cascades Campus Expansion: approved $4,000,000 Article XI-G bonds, $12,000,000 Article XI-Q bonds and $4,000,000 Article XI-F (1) bonds to acquire and renovate several buildings to expand the campus in Bend to offer a four-year undergraduate program. The number and

location of buildings to be acquired have not been determined. The Article XI-G bonds will be matched with donations. Debt service on the Article XI-G and Article XI-Q bonds will be paid with General Fund. Debt service on the Article XI-F (1) bonds will be paid with tuition revenue.

- Chemical, Biological, and Environmental Engineering Building: approved $20,000,000 Article XI-G bonds to construct an instructional and research building intended to promote collaboration among OSU researchers, start-up companies, and established businesses in the science and engineering of sustainable energy and materials. Match for the Article XI-G bonds will come from gifts and donations. Debt service on the Article XI-G bonds will be paid with General Fund.

- Modular Data Center: approved $7,000,000 Article XI-Q bonds to create small data centers throughout campus intended to support research, instructional and administrative activities. Debt service on the Article XI-Q bonds will be paid with tuition revenue.

- Underground communications infrastructure: approved $10,000,000 Article XI-Q bonds to expand OSU's communications system to increase internet and other communications capacity. Debt service on the Article XI-Q bonds will be paid with tuition.

- Housing and dining upgrades: approved $9,500,000 Article XI-F (1) bonds to make improvements in five residence halls. Debt service on the Article XI-F (1) bonds will be paid with housing and dining fees.

Portland State University

The Subcommittee approved the following three projects, totaling $74,000,000 total funds:

- School of Business Administration addition, renovation, and deferred maintenance: approved $10,000,000 Article XI-G bonds and $30,000,000 Article XI-Q bonds to expand and modernize an existing building housing the School of Business Administration and the Graduate School of Education. Gifts and donations will be used as match for the Article XI-G bonds. Debt service on the Article XI-G bonds and Article XI-Q bonds will be paid with General Fund.

- Stott Center Educational Facility renovations and deferred maintenance: approved $20,000,000 Article XI-G bonds, $2,000,000 Article XI-Q bonds, and $2,000,000 Article XI-F (1) bonds to renovate and expand a 1960s facility for athletics, health and physical education classes, student recreation, and inter-mural sports. The project will add a new 5,000 seat basketball arena, athletics sports courts and practice courts that will also be used for credit bearing classes and offices. It will also replace outdated building systems and address deferred maintenance needs. Gifts and donations will be used to fund the Article XI-G bond match. Debt service on the Article XI-G and Article XI-Q bonds will be paid with General Fund. Debt service on the Article XI-F (1) bonds will be paid with revenues from ticket sales and concession sales received during events held at the arena.

- Land acquisition: approved $10,000,000 Article XI-F (1) bonds for purchase of land under the university-owned University Center building. Debt service on the Article XI-F (1) bonds will be paid with savings from lease payments that will no longer be owed.

Southern Oregon University

The Subcommittee approved the following three projects totaling $38,000,000 total funds:

- Theatre Arts Building expansion and remodel: approved $11,000,000 Article XI-Q bonds to expand and remodel of the Theatre Arts Building. This replaces a $5.5 million allocation of Article XI-G bonds approved in 2009 but not sold because the university was unable to raise matching funds. Debt service on the Article XI-Q bonds will be paid with General Fund.

- Student Recreation Center: approved $20,000,000 Article XI-F (1) bonds to create a new student fitness center. Debt service on the Article XI-F (1) bonds will be paid with student building fee revenues.

- Cascades Hall replacement: approved $7,000,000 Article XI-F (1) bonds to construct a new dining facility that will serve as a space for campus meetings and events. Food service revenues will be used to pay debt service on the Article XI-F (1) bonds.

University of Oregon

The Subcommittee approved the following six projects, totaling $239,665,000 total funds:

- Straub and Earl Halls classrooms expansion: approved $11,000,000 Article XI-G bonds to expand and remodel two existing buildings to add classroom capacity and address deferred maintenance needs. Gift and grant revenues will be used as match for the Article XI-G bonds. Debt service on the bonds will be paid with General Fund.

- Science Commons and Research Library expansion and remodel: approved $8,375,000 Article XI-G bonds to remodel the existing underground library and construct an above ground expansion for instruction and research. UO will match the Article XI-G bonds with gifts and donations. Debt service on the bonds will be paid with General Fund.

- Museum of Natural and Cultural History library shelving project: $990,000 Article XI-Q bonds for equipment and exhibition space needs. Debt service on the Article XI-G bonds will be paid with General Fund.

- Student Recreation Center expansion and renovation: approved $50,250,000 Article XI-F (1) bonds to renovate and expand the existing student recreation center. Debt service on the Article XI-F (1) bonds will be paid with a new $38 per term student building fee approved in a fall 2012 student referendum.

- Erb Memorial Student Union expansion and renovation: approved $84,300,000 Article XI-F (1) bonds to renovate and expand the existing Erb Memorial Union that houses student organization and programs, student government, multi-cultural programs, and food and other retail operations. Debt service on the Article XI-F (1) bonds will be paid with a new $69 per term student building fee approved in a fall 2012 student referendum.

- University Housing expansion: approved $84,750,000 Article XI-F (1) bonds to construct four separate housing facilities with approximately 800 beds. Revenues from housing rents and retail food service will be used to pay debt service on the Article XI-F (1) bonds.

Western Oregon University
The Subcommittee approved the following project at $17,200,000 total funds:

- New College of Education Facility: approved $1,400,000 Article XI-G bonds and $15,800,000 Article XI-Q to construct a new building for the College of Education. The Article XI-G bonds will be matched with donations. Debt service on the bonds will be paid with General Fund.

**Reauthorized projects**: The Subcommittee reauthorized the sale of bonds for the following six projects totaling $89,610,500 total funds, approved in prior biennia:

- Oregon University System Capital Renewal, Code, and Safety, originally approved in 2007: $3,300,000 Article XI-F (1) bonds;

- Oregon State University Strand Agricultural Hall deferred maintenance, originally approved in 2009: $6,586,000 Article XI-G bonds and $4,847,000 Article XI-Q bonds;

- Oregon State University Biofuels Demonstration Project, originally approved in 2009: $4,000,000 Article XI-G bonds;

- Oregon State University Student Experience Center, originally approved in 2011: $42,700,000 Article XI-F (1) bonds;

- Oregon State University New Residence Hall, originally approved in 2011: $19,000,000 Article XI-F (1) bonds; and

- Oregon State University Memorial Union Renovation, originally approved in 2011: $9,177,500 Article XI-F (1) bonds.

## Article Xi-Q Authority

| | | | |
|---|---|---|---|
| Oregon Health Authority | | | |
| State Hospital Replacement Project | | $ | 86,860,000 |
| Department of Human Services | | | |
| Self-Sufficiency Modernization System | | $ | 14,360,000 |
| Department of Corrections | | | |
| Deferred Maintenance | | $ | 5,050,000 |
| Oregon Youth Authority | | | |
| Electronic Security & Deferred Maint. | | $ | 5,165,000 |
| Military Department | | | |
| Scharff Hall Armory | | $ | 2,870,000 |
| Roseburg Armory | | | 2,280,000 |
| Medford Armory | | | 2,445,000 |
| Baker County Readiness Center | | | 1,235,000 |
| | Subtotal | $ | 8,830,000 |
| Department of Justice | | | |
| Support Enforcement System | | $ | 14,410,000 |
| Judicial Department | | | |
| e-Court System | | $ | 24,855,000 |
| Supreme Court Building | | | 4,465,000 |
| Multnomah County Courthouse | | | 15,270,000 |
| | Subtotal | $ | 44,590,000 |
| Oregon University System | | | |
| Stott Center PSU | | $ | 2,000,000 |
| School of Business PSU | | | 30,000,000 |
| Theater Arts SOU | | | 11,000,000 |
| New College of Ed WOU | | | 15,800,000 |
| Cornett Hall OIT | | | 2,000,000 |
| Learning Commons EOU | | | 2,000,000 |
| Strand Hall OSU (auth in 2009) | | | 4,847,000 |
| Cascades Campus OSU | | | 12,000,000 |
| Modular Data Center OSU | | | 7,000,000 |
| Underground Commun. Infrastructure OSU | | | 10,000,000 |
| Library Shelving | | | 990,000 |
| Capital Renewal, Code and Safety | | | 30,000,000 |
| | Subtotal | $ | 127,637,000 |
| Department of Revenue | | | |
| Core System Replacement | | $ | 27,050,000 |
| Legislative Administration | | | |
| Capitol Master Plan | | $ | 35,115,000 |
| Deprtment of Transportation | | | |
| State Radio Project | | $ | 40,730,000 |
| Department of Forestry | | | |
| Gilchrist Forest Acquisition | | $ | 5,275,000 |
| Eastern Lane Headquarters | | | 1,795,000 |
| | Subtotal | $ | 7,070,000 |
| Deprtment of Veterans' Affairs | | | |
| Linn County (Lebanon) Veterans' Home | | $ | 4,065,000 |
| Oregon Business Development Dept | | | |
| Innovation Infrastructure | | $ | 5,120,000 |
| | TOTAL | $ | 426,052,000 |

**Senate Bill 5506, SECTIONS 1 - 3.**

| Program Designation | 2011-13 Legislatively Approved | 2013-15 Governor's Budget | 2013-15 Committee Recommendations | Changes from Governor's Budget |
|---|---|---|---|---|
| **GENERAL OBLIGATION BONDS** | | | | |
| **General Fund Obligations** | | | | |
| Oregon University System (Art. XI-G) | $ 17,608,000 | $ 17,608,000 | $ 117,861,000 | $ 100,253,000 |
| Dept of Com. Col. & Workforce Dev. (Art. XI-G) | $ 24,500,000 | $ 16,500,000 | $ 125,081,600 | $ 108,581,600 |
| Dept of Environmental Quality (Art. XI-H) | $ 16,740,000 | $ 10,000,000 | $ 10,000,000 | $ 0 |
| Oregon Business Development Dept. (Art. XI-M) | $ 7,614,000 | $ 15,000,000 | $ 15,000,000 | $ 0 |
| Oregon Business Development Dept. (Art. XI-N) | $ 0 | $ 15,000,000 | $ 15,000,000 | $ 0 |
| Dept of Administrative Services (Art. XI-Q) | $ 200,645,000 | $ 332,265,000 | $ 426,052,000 | $ 93,787,000 |
| **Dedicated Fund Obligations** | | | | |
| Dept. of Transportation (Art. XI, Sec. 7) | $ 0 | $ 453,320,000 | $ 453,725,000 | $ 405,000 |
| Dept of Veterans' Affairs (Art. XI-A) | $ 100,000,000 | $ 100,000,000 | $ 60,000,000 | $ (40,000,000) |
| Oregon University System (Art. XI-F(1)) | $ 166,722,070 | $ 348,222,070 | $ 390,977,500 | $ 42,755,430 |
| Water Resources Department (Art. XI-I(1)) | $ 15,000,000 | $ 10,235,000 | $ 10,235,000 | $ 0 |
| Housing and Com. Services Dept (Art. XI-I(2)) | $ 50,000,000 | $ 50,000,000 | $ 25,000,000 | $ (25,000,000) |
| Department of Energy (Art. XI-J) | $ 150,000,000 | $ 100,000,000 | $ 60,000,000 | $ (40,000,000) |
| **Total General Obligation Bonds** | **$ 748,829,070** | **$ 1,468,150,070** | **$ 1,708,932,100** | **$ 240,782,030** |
| **REVENUE BONDS** | | | | |
| **Direct Revenue Bonds** | | | | |
| Housing and Com. Services Department | $ 300,000,000 | $ 300,000,000 | $ 150,000,000 | $ (150,000,000) |
| Department of Transportation | | | | 0 |
| Infrastructure Fund | $ 18,360,000 | $ 20,400,000 | $ 20,400,000 | $ 0 |
| Highway User Tax | $ 663,000,000 | $ 846,690,000 | $ 846,690,000 | $ 0 |
| Toll-Backed Revenue Bonds | $ 0 | $ 0 | $ 663,000,000 | $ 663,000,000 |
| Oregon Business Development Department | $ 100,000,000 | $ 60,000,000 | $ 35,000,000 | $ (25,000,000) |
| Department of Energy | $ 25,000,000 | $ 25,000,000 | $ 20,000,000 | $ (5,000,000) |
| Oregon University System | $ 0 | $ 5,880,000 | $ 50,000,000 | $ 44,120,000 |
| Department of Administrative Services Lottery | | | | 0 |
| Revenue Bonds | $ 233,330,000 | $ 155,380,000 | $ 157,557,715 | $ 2,177,715 |
| **Total Direct Revenue Bonds** | **$ 1,339,690,000** | **$ 1,413,350,000** | **$ 1,942,647,715** | **$ 529,297,715** |

**Pass Through Revenue Bonds**

| | | | | |
|---|---|---|---|---|
| Oregon Business Development Department | | | | |
| Industrial Development Bonds | $ 125,000,000 | $ 125,000,000 | $ 65,000,000 | $ (60,000,000) |
| Oregon Facilities Authority | $ 550,000,000 | $ 750,000,000 | $ 450,000,000 | $ (300,000,000) |
| Housing and Com. Services Department | $ 125,000,000 | $ 200,000,000 | $ 150,000,000 | $ (50,000,000) |
| **Total Revenue Bonds** | **$ 2,139,690,000** | **$ 2,488,350,000** | **$ 2,607,647,715** | **$ 119,297,715** |

**OTHER FINANCING AGREEMENTS**

| | | | | |
|---|---|---|---|---|
| Department of Administrative Services | **$ 12,500,000** | **$ 103,975,000** | **$ 40,000,000** | **$ (63,975,000)** |

**Senate Bill 5006, SECTION 4.**
**Private Activity Bond Allocation for 2014 and 2015 Calendar Years.**

| | 2011-13 Legislatively Approved Budget | | Subcommittee Recommendation | |
|---|---|---|---|---|
| Allocation For: | 2012 Calendar Year | 2013 Calendar Year | 2014 Calendar Year | 2015 Calendar Year |
| Oregon Business Development Department: | $ 40,000,000 | $ 40,000,000 | $ 40,000,000 | $ 40,000,000 |
| Housing & Community Services Department: | $125,000,000 | $125,000,000 | $125,000,000 | $125,000,000 |
| State Department of Energy: | $ 15,000,000 | $ 15,000,000 | $ 10,000,000 | $ 10,000,000 |
| Private Activity Bond Committee: | $183,952,030 | $183,952,030 | $195,438,535 | $195,438,535 |
| TOTAL: | $363,952,030 | $363,952,030 | $370,438,535 | $370,438,535 |

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **SB 5507-A**

**Carrier – House:** **Rep. Smith**
**Carrier – Senate:** **Sen. Girod**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 22 – 4 – 0

House
Yeas: Barker, Buckley, Frederick, Huffman, Jenson, Komp, Nathanson, Read, Smith, Tomei, Williamson
Nays: Freeman, Hanna, McLane, Richardson
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Jack Kenny and Bill McGee, Department of Administrative Services

**Reviewed By:** Paul Siebert, Legislative Fiscal Office

**Meeting Date:** July 7, 2013

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| **Agency** | **Biennium** |
|---|---|
| Capital Construction – various agencies | 2013-15 |
| Department of Veterans' Affairs | 2011-13 |
| Department of Forestry | 2009-11 |

## Budget Summary

| | 2011-13 Legislatively Approved Budget[1] | 2013-15 Current Service Level | 2013-15 Committee Recommendation | Committee Change from 2011-13 Leg. Approved | |
|---|---|---|---|---|---|
| | | | | $ Change | % Change |
| Other Funds | $ 469,027,017 | $ 0 | $ 265,625,458 | $ (203,401,559) | -43.4% |
| Federal Funds | $ 36,051,831 | $ 0 | $ 2,466,205 | $ (33,585,626) | -93.2% |
| Total | $ 505,078,848 | $ 0 | $ 268,091,663 | $ (236,987,185) | -46.9% |

**2011-13 Expenditure Limitation Adjustments**

**Department of Veterans' Affairs**

| | | | |
|---|---|---|---|
| Other Funds | $ 4,000,000 | $ 4,000,000 | |
| Federal Funds | $ 6,840,377 | $ 6,840,377 | |

**2009-11 Expenditure Limitation Adjustments**

**Department of Forestry**

| | | | |
|---|---|---|---|
| Other Funds | $ 5,200,000 | $ 5,200,000 | |

[1] Includes adjustments through December 2012

## Summary of Revenue Changes

Other Fund revenues are from the proceeds of the issuance of Article XI-Q bonds, the depreciation component of the Uniform Rent program and other deposits in the Department of Administrative Services Capital Projects Fund established by ORS 276.005, PGE hydroelectric re-licensing agreement, Oregon Military Department Capital Construction Account (surplus property sale proceeds), energy incentive payments, rental income, proceeds from aircraft registration fees assessed in accordance with ORS 837.040 and 837.045, and state gasoline tax and driver and vehicle related fees. Federal Funds revenues are from National Guard Bureau, the Federal Aviation Administration's General Aviation Entitlement Program, and the Federal Airport Improvement Program.

## Summary of Capital Construction Subcommittee Action

Senate Bill 5507 provides six-year expenditure limitation for new capital construction projects. Projects in excess of $1 million that build, acquire, adapt, replace, or change the use or function of a facility are categorized as capital construction projects. All capital projects in excess of $1 million require a separate Capital Construction expenditure limitation established by the Legislature or the Emergency Board. Senate Bill 5507 also extends the six-year expiration dates and expenditure limitations for specified projects and authorizes the sale of Military Department property as required by ORS 396.515 (4).

Oregon Health Authority

***State Hospital Replacement Project:*** $79,401,530 Other Funds (Article XI-Q bonds) is approved for completion of the project to provide new mental health facilities to replace the State Hospital constructed in 1883 (implementation of the Oregon State Hospital Framework Master Plan). Funding provides for construction of the 174 bed Junction City campus, expected to be completed by the end of calendar year 2014. Project funding includes development and implementation of the Behavioral Health Integration Project (BHIP). Debt service will be paid with General Fund.

Department of Administrative Services

***HVAC Improvement Projects:*** $4,921,160 Other Funds (Capital Projects Fund) is approved to provide HVAC system upgrades on several state-owned buildings. Planned improvements include the Portland State Office Building, the Human Services Building and various other projects.

***Roof Replacements:*** $1,303,942 Other Funds (Capital Projects Fund) is approved to provide roof replacements or repairs on several state buildings. Planned work includes roof replacement at the Real Estate Building and the Central Point Building.

***Public Utility Commission Building Exterior Replacement:*** $4,740,390 Other Funds (Capital Projects Fund) is approved to replace exterior siding and window glazing, replace interior sheet rock and install a new roof.

***Elevator Upgrades:*** $961,420 Other Funds (Capital Projects Fund) is approved for the repair and upgrade to code for elevators in the Eugene State Office Building.

***Carpet Replacements:*** $3,744,374 Other Funds (Capital Projects Fund) is approved to fund carpet replacements at several state buildings including Agriculture, Revenue and Human Services buildings.

***Planning:*** $350,000 Other Funds (Capital Projects Fund) is approved to contract with various architects, engineers and other specialists to develop feasibility analyses and reliable cost information; to prepare preliminary design for small to medium-sized projects; and evaluate options to solve maintenance problems.

***Executive Building Renovation:*** $800,000 Other Funds (Capital Projects Fund) is approved for architectural design work, engineering plan and budget development for a major renovation of the Executive Building planned for 2015-17.

Department of Veterans' Affairs

***Linn County (Lebanon) Veterans' Home:*** $4,000,000 Other Funds (Article XI-Q Bonds) and $6,840,377 Federal Funds - (United States Department of Veterans' Affairs construction grant) is approved for design and construction work on the second state veterans' home. To comply with the current facility design requirements from the United States Department of Veterans' Affairs, the total additional cost needed to construct this facility is projected to be $10.8 million. The project requires a 35 percent state and local match and is expected to be completed in 2014. Capital construction expenditure limitation was established for this project beginning in the 2011-13 biennium.

Department of Transportation

***Region 1 (Portland) Facilities Consolidation Project:*** $1 Other Funds (fee revenue) is approved as a placeholder for a project to consolidate a number of facilities within the Portland Metro area.

The Subcommittee approved the extension of the project expiration dates and expenditure limitations for the following projects: the Transportation Building Renovation Center (Other Funds): extended to June 30, 2015; Baker City and East Portland Highway Facilities (Other Funds): extended to June 30, 2015; and the Sisters Maintenance Station (Other Funds): extended to June 30, 2014.

Department of Aviation

***Cottage Grove State Airport:*** $400,000 Federal Funds (Federal Aviation Administration) and $40,000 Other Funds (aircraft registration fees) is approved to conduct design engineering needed to ascertain the required scope and cost of a runway rehabilitation project. Rehabilitation is necessary to meet safe operating conditions based on the most recent Pavement Condition Index survey.

Department of Fish and Wildlife

***Clackamas Hatchery Intake System***: $1,000,000 Other Funds (Portland General Electric re-licensing settlement agreement) is approved for design and preliminary construction of an intake system at the Clackamas Hatchery.

Department of Forestry

***Gilchrist Forest Land Acquisition:*** $5,200,000 Other Funds (Article XI-Q Bonds) is approved to purchase some of the remaining available tracts in the Gilchrist Forest. Expenditure limitation for this purchase was added to the existing expenditure limitation for a similar project approved by the 2009 Legislative Assembly (and increased by the 2011 Legislative Assembly). This increase in expenditure limitation will expire June 30, 2015. Debt service for XI-Q bonds will be paid from the General Fund.

***East Lane Headquarters Building:*** $1,750,000 Other Funds (Article XI-Q bonds) is approved to make improvements at the Springfield facility to increase safety and functionality. The project includes installation of site utility improvements and construction of a multi-use building to

house a fire cache, vehicle repair and equipment fabrication shop. In addition, the project includes fire crew support facilities, and the conversion of an existing vehicle repair building into fire engine and equipment storage facilities.

Oregon Military Department

***Sharff Hall Armory:*** $2,781,000 Other Funds (Article XI-Q Bonds) is approved for the acquisition of a former US Army Reserve site in Portland, Oregon ($1.2 million) and for design and construction of needed additions and alterations to convert the facility into an armory ($1,581,000). The remodel is extensive including the following: replacement of window glazing systems, evaluation of energy and water saving measures, replacement/refurbishment of HVAC systems, upgrades to electronic security systems, upgrades to kitchen, storage and vehicle maintenance bays and other improvements.

***Roseburg Armory:*** $2,230,416 Other Funds (Article XI-Q Bonds) is approved for a service life extension project to renovate the facility. The project includes the design and construction of additions and alterations to the armory. Funding will address severe deficiencies in the electrical, mechanical and structural components of the facility.

***Medford Armory:*** $2,391,660 Other Funds (Article XI-Q Bonds) is approved for a service life extension project to renovate the facility. The project includes the design and construction of additions and alterations to the armory. The remodel will replace the HVAC system, upgrade window glazing systems, information technology systems, restrooms, kitchen, administrative and storage areas, and evaluate and implement feasible energy and water savings measures.

***Baker City Readiness Center:*** $1,189,579 Other Funds (Article XI-Q bonds) is approved to affect the transfer of real property with Baker County, to conduct demolition of the County Extension Building, and to construct a new Military Vehicle Storage Compound. Federal Funds Capital Construction expenditure limitation for the project may be requested at a future date once funding is secured from the federal government.

***Christmas Valley Land Acquisition:*** $220,000 Other Funds (energy incentive funds/rental income) is approved to complete the purchase of 2,296 acres for the possible development of a utility-scale solar project and a potential military training site. This amount will allow for the final installment payment for this acquisition.

***Milton-Freewater Armory:*** $1,804,000 Federal Funds (National Guard Bureau) is approved for a service life extension project to renovate the facility. The work will include installing efficient heating and cooling systems and utility systems upgrades. The project also includes replacement of certain windows, doors and facility-related equipment. Federal Funds expenditure limitation for this project was originally approved by the Emergency Board (May 2012), however, that expenditure limitation expired and additional time is needed to complete construction and expend the funds.

***Planning and Design:*** $282,445 Other Funds (Capital Construction Account) and $262,205 Federal Funds (National Guard Bureau) is approved for planning and preliminary design work at a number of sites throughout the state where the agency is planning future capital construction projects

The Subcommittee approved the extension of the project expiration dates and expenditure limitations for the following projects: the Ontario Readiness Center (Other Funds): extended to June 30, 2014; the Ontario Readiness Center (Federal Funds): extended to June 30, 2014; and the Dallas Readiness Center land acquisition (Other Funds): extended to June 30, 2015.

The subcommittee also approved the sale proposal from the Oregon Military Department, as required by ORS 396.515 (4), for the sale of the Baker City Armory.

Oregon State Police

The Subcommittee approved the extension of the project expiration date and expenditure limitation for the Oregon Wireless Interoperability Network (Federal Funds) to December 30, 2013.

Oregon Youth Authority

***Electronic Security Projects:*** $2,116,810 Other Funds (Article XI-Q Bonds) is approved to acquire and install security systems, including improved and expanded key control access systems, and CCTV systems.

***Deferred Maintenance:*** $2,958,131 Other Funds (Article XI-Q bonds) is approved to address highest priority deferred maintenance projects to provide a safe and secure environment for the public and residents. Projects are located at facilities throughout the state and address a range of needs including fire alarms, water and electrical systems and structural repairs.

Department of Corrections

***Deferred Maintenance:*** $4,961,000 Other Funds (Article XI-Q bonds) is approved to address highest priority deferred maintenance projects. Projects are located at facilities throughout the state and address a range of needs including HVAC repairs, security and electrical systems changes and some structural improvements.

The Subcommittee approved the extension of the project expiration dates and expenditure limitations for the following projects: Deferred Maintenance and Assessment (Other Funds): extended to April 30, 2014; and Deferred Maintenance (Other Funds): extended to April 30, 2014.

Judicial Department

***Supreme Court Building Renovation:*** $4,400,000 Other Funds (Article XI-Q bonds) is approved for the early stages of needed renovations. This funding will be used to address major safety issues with the exterior of the building, water penetration through the façade, and dry-rot in wooden-framed windows. This project will fund terracotta exterior repair and sealing, window repair, project management and the review and planning of future phases of critical interior renovation work.

Legislative Administration

***State Capitol Master Plan:*** $34,500,000 Other Funds (Article XI-Q bonds) is approved to complete the detailed pre-construction planning and design phase of the project to address life-safety concerns through seismic upgrades and other critical needs, including fire and electrical systems. Furthermore this project will address public access and improve operational efficiency of the Capitol.

Community Colleges and Workforce Development

The Subcommittee approved a budget of $108,581,600 Other Funds (Article XI-G bonds) for 16 new construction and deferred maintenance projects at 16 community colleges:

- Blue Mountain Community College Applied Animal Science Education Center: approved $3,331,350 Other Funds (Article XI-G bonds) to construct new facilities for animal science and agriculture programs. The project includes classrooms and office building, indoor and outdoor arenas, a hay barn, horse pens and mare motels, tack storage lockers and round pens. Match for the Article XI-G bonds will come from grants, donations, corporate scholarships, and possibly a district bond levy.

- Central Oregon Community College Academic and Student Services Center: approved $5,260,000 Other Funds (Article XI-G bonds) to provide state funds to retire outstanding Central Oregon Community College general obligation bonds sold for construction of a building leased to Oregon State University for operation of its Cascades campus. Once the debt is repaid, the COCC will terminate the contract with OSU and remodel the building for college academic and student support use. Match on the Article XI-G bonds would be paid with COCC funds.

- Chemeketa Community College Applied Technology Classroom Center: approved $8,000,000 Other Funds (Article XI-G bonds) to construct new space for the Welding/Metal Fabrication and Machining programs and to remodel existing space to accommodate college services displaced by the new construction. Match for the Article XI-G bonds will come from proceeds of a district bond levy approved in May 2008.

- Clackamas Community College Clairmont Career and Technology Education Center: approved $8,000,000 Other Funds (Article XI-G bonds) to replace a 1960's era building that will provide additional classroom and industrial space. In addition, the horticulture program, the joint Clackamas/Oregon State University Extension Service office, and industrial technology programs will be located in the new facility. Match for the Article XI-G bonds will come from Extension Service revenues, a capital campaign, and proceeds from a district bond levy.

- Clatsop Community College Health and Wellness Center: approved $7,990,000 Other Funds (Article XI-G bonds) for construction of a new facility with gymnasium, fitness room, equipment storage, lockers, showers, and wellness activity area for physical education, community education, and health and wellness programs. Match for the Article XI-G bonds will come from proceeds of a legal settlement, a tuition surcharge, and fundraising.

- Columbia Gorge Community Advanced Technology Center, phase two: approved $7,320,000 Other Funds (Article XI-G bonds) for construction of a facility on the Hood River campus to support science, technology, engineering, and mathematics programs. Match for the Article XI-G bonds will come from fundraising and proceeds of a district bond levy.

- Klamath Community College Student Success and Career-Technical Center: approved $7,850,000 Other Funds (Article XI-G bonds) for construction of a new facility housing a research library and computer labs, a testing center, tutoring and advising areas, and space for GED, Adult Basic Education, and English as a Second Language programs. The project will also expand the Career Technical Education center for auto and diesel mechanics and construction management programs. Match for the Article XI-G bonds will come from college reserve funds, foundation and federal grants, and district general obligation bonds.

- Lane Community College The Center for Student Success: approved $8,000,000 Other Funds (Article XI-G bonds) to remodel an existing 1960s era building that houses the library, tutoring center, bookstore, Academic Learning Skills Department, and Culinary Arts and Hospitality programs to provide a student centered learning environment that integrates academic support services and resources with technology and facilities that promote individual and group learning. Match for the Article XI-G bonds will come from proceeds of a district bond levy approved in November 2008.

- Linn-Benton Community College Nursing and Allied Health Facilities: approved $8,000,000 Other Funds (Article XI-G bonds) for construction of a new facility for 12 Allied Health programs located in proximity to major healthcare providers or education centers. The funds will also be used to repurpose space on the Albany campus that become available after the health programs are relocated. Match for the Article XI-G bonds will come from private donations and revenues from a district bond levy.

- Mt. Hood Community College Student Services Enhancement: approved $8,000,000 Other Funds (Article XI-G bonds) to remodel and expand student service facilities, centralizing academic advising, career counseling, financial aid, admissions, registration, testing, business office and other functions. Match for the Article XI-G bonds will come from a district bond levy or a secured loan to be repaid with tuition revenue.

- Portland Community College Health Professions Center: approved $8,000,000 Other Funds (Article XI-G bonds) to construct or purchase and remodel a facility near the Sylvania campus for Dental Hygiene, Dental Assisting, Dental Lab Technology, Radiography, and Nursing programs. Match for the Article XI-G bonds will come from proceeds from a district bond levy passed in 2008.

- Rogue Community College Health and Science Center: approved $8,000,000 Other Funds (Article XI-G bonds) to increase space for health science programs by constructing new facilities or buying and remodeling existing ones. Match for the Article XI-G bonds will come from partnership contributions, grants, and capital campaign contributions.

- Southwestern Oregon Community College Health and Science Technology Building: approved $8,000,000 Other Funds (Article XI-G bonds) for construction of a new facility with laboratory, classroom, and auxiliary space for expansion of allied health and science programs. The

facility will support increased use of technology and distance education in health and science programs. Match for the Article XI-G bonds will come from private grants and donations and, if necessary, revenues from a district bond levy.

- Tillamook Bay Community College: Career and Technical Workforce Facility: approved $2,000,000 Other Funds (Article XI-G bonds) to construct a new building that will house OSU Extension Services and Open Campus programs, Oregon Regional Solutions, Tillamook County Economic Development Council, and the college Small Business Development Office as well as classroom, office, and laboratory space for career and technical education, natural resources, and hospitality and tourism programs. Match for the Article XI-G bonds will come from college reserve funds, partnerships and fundraising, and proceeds from a district general obligation bond.

- Treasure Valley Community College Workforce Vocational Center: approved $2,830,250 Other Funds (Article XI-G bonds) for construction of a new facility to expand space for welding training and to house Natural Resource, Renewable Energy, Wild Land Fire, Construction Trades, and Waste Water Management programs. Match for the Article XI-G bonds will come from funding raising or proceeds from district bonds or a district bond levy.

- Umpqua Community College Industrial Technology Building: approved $8,000,000 Other Funds (Article XI-G bonds) to construct a new facility on campus for automotive, manufacturing, construction, and welding technology programs. The college had planned to match the Article XI-G bonds with proceeds from a district bond levy which was defeated by the voters in May 2013. Alternatives for generating the match from grants, donations, and other sources are being explored.

The Subcommittee approved the extension of the project expiration dates and expenditure limitations for the following projects: Clackamas Community College – Harmony Campus Phase II: extended to June 30, 2017; and Umpqua Community College – Roseburg Regional Health Occupation Training Center: extended to June 30, 2017.

**Various Agencies**
**Jack Kenny -- 503-378-3107; Bill McGee - 503-378-2078**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS | FEDERAL FUNDS | CCWD XI-G Bond Expenditures | TOTAL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|
| COMMITTEE AUTHORIZATIONS | | | | | | | | |
| **Legislative Administration Committee** | | | | | | | | |
| State Capitol Master Plan | $ 0 | $ 0 | $ 34,500,000 | $ 0 | N/A | $ 34,500,000 | 0 | 0.00 |
| **Judicial Department** | | | | | | | | |
| Supreme Court Building Renovation | $ 0 | $ 0 | $ 4,400,000 | $ 0 | N/A | $ 4,400,000 | 0 | 0.00 |
| **EDUCATION PROGRAM AREA** | | | | | | | | |
| **Department of Community Colleges and Workforce Development** | | | | | | | | |
| Blue Mountain - Animal Science Ed. Center | $ 0 | $ 0 | N/A | $ 0 | $ 3,331,350 | $ 3,331,350 | 0 | 0.00 |
| Central Oregon - Academic & Student Svcs Ctr | $ 0 | $ 0 | N/A | $ 0 | $ 5,260,000 | $ 5,260,000 | 0 | 0.00 |
| Chemeketa - Applied Technology Classroom | $ 0 | $ 0 | N/A | $ 0 | $ 8,000,000 | $ 8,000,000 | 0 | 0.00 |
| Clackamas - Clairmont Career/Tech Center | $ 0 | $ 0 | N/A | $ 0 | $ 8,000,000 | $ 8,000,000 | 0 | 0.00 |
| Clatsop - Health and Wellness Center | $ 0 | $ 0 | N/A | $ 0 | $ 7,990,000 | $ 7,990,000 | 0 | 0.00 |
| Columbia Gorge - Advance Technology Center | $ 0 | $ 0 | N/A | $ 0 | $ 7,320,000 | $ 7,320,000 | 0 | 0.00 |
| Klamath - Student Success & Career/Tech Center | $ 0 | $ 0 | N/A | $ 0 | $ 7,850,000 | $ 7,850,000 | 0 | 0.00 |
| Lane - Center for Student Success | $ 0 | $ 0 | N/A | $ 0 | $ 8,000,000 | $ 8,000,000 | 0 | 0.00 |
| Linn Benton - Nursing & Allied Health Facilities | $ 0 | $ 0 | N/A | $ 0 | $ 8,000,000 | $ 8,000,000 | 0 | 0.00 |
| Mt. Hood - Student Services Enhancement | $ 0 | $ 0 | N/A | $ 0 | $ 8,000,000 | $ 8,000,000 | 0 | 0.00 |
| Portland - Health Professions Center | $ 0 | $ 0 | N/A | $ 0 | $ 8,000,000 | $ 8,000,000 | 0 | 0.00 |
| Rogue - Health & Science Center | $ 0 | $ 0 | N/A | $ 0 | $ 8,000,000 | $ 8,000,000 | 0 | 0.00 |
| Southwestern - Heath & Science Building | $ 0 | $ 0 | N/A | $ 0 | $ 8,000,000 | $ 8,000,000 | 0 | 0.00 |
| Tillamook Bay - Career & Tech. Workforce Fac. | $ 0 | $ 0 | N/A | $ 0 | $ 2,000,000 | $ 2,000,000 | 0 | 0.00 |
| Treasure Valley - Workforce Vocational Center | $ 0 | $ 0 | N/A | $ 0 | $ 2,830,250 | $ 2,830,250 | 0 | 0.00 |
| Umpqua - Industrial Arts Center | $ 0 | $ 0 | N/A | $ 0 | $ 8,000,000 | $ 8,000,000 | 0 | 0.00 |
| **HUMAN SERVICES PROGRAM AREA** | | | | | | | | |
| **Oregon Health Authority** | | | | | | | | |
| State Hospital Replacement | $ 0 | $ 0 | $ 79,401,530 | $ 0 | N/A | $ 79,401,530 | 0 | 0.00 |
| **PUBLIC SAFETY PROGRAM AREA** | | | | | | | | |
| **Oregon Military Department** | | | | | | | | |
| Sharff Hall Armory | $ 0 | $ 0 | $ 2,781,000 | $ 0 | N/A | $ 2,781,000 | 0 | 0.00 |
| Medford Armory | $ 0 | $ 0 | $ 2,391,660 | $ 0 | N/A | $ 2,391,660 | 0 | 0.00 |
| Roseburg Armory | $ 0 | $ 0 | $ 2,230,416 | $ 0 | N/A | $ 2,230,416 | 0 | 0.00 |
| Baker City Readiness Center | $ 0 | $ 0 | $ 1,189,579 | $ 0 | N/A | $ 1,189,579 | 0 | 0.00 |
| Christmas Valley Land Acquisition | $ 0 | $ 0 | $ 220,000 | $ 0 | N/A | $ 220,000 | 0 | 0.00 |
| Planning and Predesign | $ 0 | $ 0 | $ 282,445 | $ 262,205 | N/A | $ 544,650 | 0 | 0.00 |
| Milton-Freewater Armory | $ 0 | $ 0 | $ 0 | $ 1,804,000 | N/A | $ 1,804,000 | 0 | 0.00 |

| | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Department of Corrections** | | | | | | | | | | | | | | |
| Deferred Maintenance | $ | 0 | $ | 0 | $ | 4,961,000 | $ | 0 | | N/A | $ | 4,961,000 | 0 | 0.00 |
| **Oregon Youth Authority** | | | | | | | | | | | | | | |
| Electronic Security Projects | $ | 0 | $ | 0 | $ | 2,116,810 | $ | 0 | | N/A | $ | 2,116,810 | 0 | 0.00 |
| Deferred Maintenance | $ | 0 | $ | 0 | $ | 2,958,131 | $ | 0 | | N/A | $ | 2,958,131 | 0 | 0.00 |
| **TRANSPORTATION PROGRAM AREA** | | | | | | | | | | | | | | |
| **Department of Transportation** | | | | | | | | | | | | | | |
| Region 1 Facilities Consolidation Project | $ | 0 | $ | 0 | $ | 1 | $ | 0 | | N/A | $ | 1 | 0 | 0.00 |
| **Department of Aviation** | | | | | | | | | | | | | | |
| Cottage Grove State Airport Runw ay Rehab | $ | 0 | $ | 0 | $ | 40,000 | $ | 400,000 | | N/A | $ | 440,000 | 0 | 0.00 |
| **ADMINISTRATION PROGRAM AREA** | | | | | | | | | | | | | | |
| **Department of Administrative Services** | | | | | | | | | | | | | | |
| HVAC Improvement Projects | $ | 0 | $ | 0 | $ | 4,921,160 | $ | 0 | | N/A | $ | 4,921,160 | 0 | 0.00 |
| Roof Replacements | $ | 0 | $ | 0 | $ | 1,303,942 | $ | 0 | | N/A | $ | 1,303,942 | 0 | 0.00 |
| Public Utility Comm Bldg Exterior Replacemet | $ | 0 | $ | 0 | $ | 4,740,390 | $ | 0 | | N/A | $ | 4,740,390 | 0 | 0.00 |
| Elevator Upgrades | $ | 0 | $ | 0 | $ | 961,420 | $ | 0 | | N/A | $ | 961,420 | 0 | 0.00 |
| Carpet Replacements | $ | 0 | $ | 0 | $ | 3,744,374 | $ | 0 | | N/A | $ | 3,744,374 | 0 | 0.00 |
| Planning | $ | 0 | $ | 0 | $ | 350,000 | $ | 0 | | N/A | $ | 350,000 | 0 | 0.00 |
| Executive Building Renovation | $ | 0 | $ | 0 | $ | 800,000 | $ | 0 | | N/A | $ | 800,000 | 0 | 0.00 |
| **NATURAL RESOURCES PROGRAM AREA** | | | | | | | | | | | | | | |
| **State Department of Fish and Wildlife** | | | | | | | | | | | | | | |
| Clackamas Hatchery Intake System | $ | 0 | $ | 0 | $ | 1,000,000 | $ | 0 | | N/A | $ | 1,000,000 | 0 | 0.00 |
| **State Forestry Department** | | | | | | | | | | | | | | |
| East Lane Headquarters | $ | 0 | $ | 0 | $ | 1,750,000 | $ | 0 | | N/A | $ | 1,750,000 | 0 | 0.00 |
| **TOTAL** | $ | 0 | $ | 0 | $ | 157,043,858 | $ | 2,466,205 | $ | 108,581,600 | $ | 268,091,663 | 0 | 0.00 |
| **2011-13 Supplemental Expenditure Limitation Adjustments** | | | | | | | | | | | | | | |
| **Department Veterans' Affairs** | | | | | | | | | | | | | | |
| Lebanon Veterans Home | $ | 0 | $ | 0 | $ | 4,000,000 | $ | 6,840,377 | | N/A | $ | 10,840,377 | 0 | 0.00 |
| **2009-11 Supplemental Expenditure Limitation Adjustments** | | | | | | | | | | | | | | |
| **State Forestry Department** | | | | | | | | | | | | | | |
| Gilchrist Forest Land Acquisition | $ | 0 | $ | 0 | $ | 5,200,000 | $ | 0 | | N/A | $ | 5,200,000 | 0 | 0.00 |

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **SB 5532-A**

**Carrier – House:** **Rep. Nathanson**
**Carrier – Senate:** **Sen. Johnson**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 26 – 0 – 0

House
Yeas: Barker, Buckley, Frederick, Freeman, Hanna, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson
Nays:
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Jim Carbone, Department of Administrative Services

**Reviewed By:** Steve Bender, Legislative Fiscal Office

**Meeting Date:** July 7, 2013

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| **Agency** | **Biennium** |
|---|---|
| Various Agencies – Lottery Allocation | 2013-15 |
| | 2011-13 |

## Summary of Revenue Changes

The Oregon Lottery collects revenues from traditional and video lottery gaming. It pays player prizes and its operating expenses out of these revenues and then transfers the balance (net profits) to the Administrative Services Economic Development Fund (EDF). The Department of Administrative Services (DAS) then distributes funds from the EDF in adherence with constitutional and statutory funding priorities, including specific Legislative allocations. The amounts outlined in this bill are based on the Lottery revenue forecast from the DAS Office of Economic Analysis as of May 16, 2013. As actual amounts vary, the allocations or distributions set forth within may also vary – in some cases requiring related expenditure limitation adjustments.

The Oregon Lottery transfers Lottery Funds to the Administrative Services EDF on a quarterly basis. From each quarterly transfer, a number of dedicated distributions are required by the Oregon Constitution or are provided for in Oregon Revised Statutes (ORS), including:

- The Oregon Constitution requires that 18 percent of net proceeds be distributed to the Education Stability Fund.
- The Oregon Constitution requires that 15 percent of net proceeds be distributed to the Parks and Natural Resources Fund.
- Oregon statute requires that 2.5 percent of net proceeds of video lottery gaming be distributed to the counties for economic development projects. Beginning with the 2005-07 biennium, 50 percent of the operating costs for the Economic Revitalization Team (ERT) established by ORS 284.555 are also funded from this source. Beginning with the 2013-15 biennium, 50 percent of the new funding for Regional Solutions positions in Oregon Business Development Department are also funded from this source.
- Oregon statute requires that one percent of net profits be distributed to the Sports Lottery Account for sports programs at state institutions of higher education and for scholarships.
- Oregon statute requires that one percent of net profits be transferred to the Oregon Health Authority for Gambling Addiction prevention and treatment programs.
- Oregon statute requires that one percent of the net profits, not to exceed $1.53 million annually, be transferred to the County Fair account. The maximum amount is adjusted each biennium pursuant to certain changes in the Consumer Price Index.
- Oregon statute requires that annual debt service payments for outstanding lottery bonds be satisfied before allocating for other purposes.

Finally, the Legislature makes other allocations from the EDF within authorized public purposes. These purposes include job creation, economic development and public education. Currently, the bulk of allocations are made to the Department of Education for the State School Fund and to the Oregon Business Development Department for various program expenditures. During the 2013-15 biennium, debt service requirements will comprise approximately 23.4 percent of the total distributions from this account.

Debt service allocations are paid annually. Interest earned on the EDF remains within the fund itself. If at the end of any quarter funds remain undistributed, they will remain in the EDF for allocation in future quarters. If in any quarter revenue in the EDF is insufficient to pay for quarterly distribution of allocations, DAS will first fund debt service obligations. Remaining revenues will be distributed in a prorated manner.

## Summary of Capital Construction Subcommittee Action

Allocation of Lottery Revenue

Senate Bill 5532 allocates lottery revenue from the EDF. The amounts outlined in this bill are based on the Lottery revenue forecast from the DAS Office of Economic Analysis as of May 16, 2013. The attached tables display the Lottery Funds allocated by the Subcommittee from the EDF. These include allocations authorized in this bill, SB 5532, as well as SB 813 and HB 2323. The 2013-15 allocations are summarized below.

- A total of $241.6 million Lottery Funds is allocated for debt service for outstanding bonds. These funds are allocated to the DAS since the DAS Capital Investment Section manages debt service for the state. DAS will transfer the necessary funding to the Department of Education, Oregon University System, Oregon Business Development Department, Housing and Community Services Department, Department of Transportation, Department of Community Colleges and Workforce Development, Department of Forestry, Department of Energy, and the Water Resources Department for the payment of debt on existing lottery bonds. No allocations are included to pay debt service for lottery revenue bonds issued in the 2013-15 biennium.

- The State School Fund is allocated $327.4 million Lottery Funds.

- A total of $65.3 million Lottery Funds is allocated for the programs of the Oregon Business Development Department. This total excludes amounts allocated for debt service payments on Lottery revenue bonds, but includes $7.7 million in SB 5532 for Shared Services; $54.2 million in SB 5532 for Business, Innovation and Trade; and $1.1 million in SB 5532 for the Film and Video Office. Note that additional lottery allocations are made in SB 813 ($281,076 for Seismic Rehabilitation Grants) and HB 2323 ($100,000 for the Oregon Growth Board and $1.9 million for the Oregon Growth Fund).

- The Office of the Governor is allocated $3.3 million Lottery Funds for the Economic Revitalization Team. Half of this funding will come from a reduction in the video lottery proceeds that are distributed to counties for economic development.

- The Department of Forestry is allocated $2.9 million Lottery Funds for the Eastern Oregon Forest Collaboration.

Education Stability Fund

A constitutional amendment approved by Oregon voters in 1997, and amended in 2002, requires that 18 percent of net lottery proceeds be transferred to the Education Stability Fund. Interest earnings on this fund are continuously appropriated for the benefit of education programs. These earnings are split 75 percent to the Oregon Education Fund, which is currently used to help pay debt service on lottery bonds that were previously issued for the Department of Education, and 25 percent to the Oregon Student Access Commission for Opportunity Grants.

Ten percent of the amount deposited in the Education Stability Fund is deposited into the Oregon Growth Account within the Fund. All declared earnings from this account are continuously appropriated to the Oregon Education Fund and the Oregon Student Assistance Commission. The transfers and distributions relating to the Education Stability Fund as described here are established by the Oregon Constitution and by other statutes – therefore Senate Bill 5532 does not include provisions relating to them.

Parks and Natural Resources Fund

Ballot Measure 76, approved by Oregon voters in 2010, requires 15 percent of net lottery proceeds to be transferred to the Parks and Natural Resources Fund. This fund is continuously appropriated for the benefit of parks and recreation and for native species protection and restoration. This transfer is established by the Oregon Constitution. Senate Bill 5532, therefore, does not include provisions relating to it.

County Economic Development

ORS 461.547 requires that 2.5 percent of net proceeds of video lottery gaming be distributed to the counties for economic development projects. Beginning with the 2005-07 biennium, 50 percent of the operating costs for the Economic Revitalization Team (ERT) established by ORS 284.555 have also been funded from this source.

The Subcommittee approved allocating a fixed dollar amount for county economic development during the 2013-15 biennium, rather than the percentage allocation described in statute. The fixed dollar amount is $33,849,166, which is equal to 2.5 percent of the amount of video lottery proceeds forecasted in the May 2013 revenue forecast, minus one-half of the Economic Revitalization Team allocation and one-half of the new funding for Regional Solutions positions in Oregon Business Development Department.

Gambling Addiction Prevention and Treatment

The 1999 Legislature statutorily dedicated one percent of net lottery proceeds to be transferred to the Oregon Health Authority to fund gambling addiction programs in the state.

Instead of the percentage allocation described in statute, the Subcommittee approved a fixed allocation of $10,545,832 for the 2013-15 biennium.

Oregon University System Sports Lottery Account

Beginning in 2007, one percent of net lottery proceeds are dedicated to the Oregon University System for distribution to institutions to offset the costs of intercollegiate athletic programs and for academic scholarships.

Instead of the percentage allocation described in statute, the Subcommittee approved a fixed allocation of $8,000,000 for the 2013-15 biennium.

County Fairs
The 2001 Legislature statutorily dedicated one percent of net lottery proceeds, with certain limitations, for distribution to county fair programs throughout the state. This amount is adjusted by inflation each biennium.

Instead of the percentage allocation described in statute, the Subcommittee approved a fixed allocation of $3,669,380 for the 2013-15 biennium.

Lottery Funds Expenditure Limitation
Lottery Funds expenditure limitation related to these allocations is generally contained within the respective agency budget bills and in House Bill 5008.

## 2011-13 Lottery Allocation Change

The 2011-13 allocation of Lottery Funds from the Administrative Services Economic Development Fund for County Fairs is increased by $10,349.

# LOTTERY FUNDS CASH FLOW SUMMARY

| | 2011-13 Estimated Budget | 2013-15 Legislatively Adopted Budget |
|---|---|---|
| **ECONOMIC DEVELOPMENT FUND** | | |
| RESOURCES | | |
| Beginning Balance | $333,528 | $3,491,087 |
| REVENUES | | |
| Transfers from Lottery | | |
| Net Proceeds | 1,071,637,267 | 1,054,583,137 |
| Administrative Savings | 8,005,457 | |
| Other Revenues | 4,027,987 | 1,400,000 |
| Total Revenue | 1,083,670,711 | 1,055,983,137 |
| TOTAL RESOURCES | 1,084,004,239 | 1,059,474,224 |
| DISTRIBUTIONS / ALLOCATIONS | | |
| Allocation of Video Lottery Revenues to Counties | (36,309,783) | (33,849,166) |
| Allocation to OUS for Sports Lottery Account | (8,405,488) | (8,000,000) |
| Distribution to the Education Stability Fund | (194,335,690) | (189,824,965) |
| Distribution to the Parks and Natural Resources Fund | (161,946,409) | (158,187,471) |
| Allocation to the Problem Gambling Treatment Fund | (10,350,984) | (10,545,832) |
| Allocation to the State School Fund | (363,665,513) | (327,374,109) |
| Debt Service Allocations | (248,243,839) | (241,594,783) |
| Other Agency Allocations | (57,255,446) | (75,097,898) |
| TOTAL DISTRIBUTIONS / ALLOCATIONS | (1,080,513,152) | (1,044,474,224) |
| ENDING BALANCE | $3,491,087 | $15,000,000 |
| **EDUCATION STABILITY FUND** | | |
| (not including Oregon Growth Account) | | |
| RESOURCES | | |
| Beginning Balance | 5,061,518 | 7,584,467 |
| Revenues | | |
| Transfer from the Economic Development Fund | 184,804,102 | 170,842,468 |
| Interest Earnings | 618,295 | 984,890 |
| Total Revenue | 185,422,397 | 171,827,358 |
| TOTAL RESOURCES | 190,483,915 | 179,411,825 |
| DISTRIBUTIONS | | |
| Oregon Education Fund - Lottery Bond Debt Service | (493,010) | (738,668) |
| Oregon Student Access Commission - Opportunity Grants | (164,337) | (246,222) |
| State School Fund | (182,239,000) | 0 |
| TOTAL DISTRIBUTIONS | (182,896,347) | (984,890) |
| ENDING BALANCE | $7,587,568 | $178,426,935 |

# 2013-15 LOTTERY FUNDS ALLOCATIONS AND EXPENDITURES

| | New Lottery Funds Allocation | Beginning Lottery Balance | Interest and Other Earnings | LAB Expenditure Limitation | Ending Lottery Balance |
|---|---|---|---|---|---|
| **ECONOMIC DEVELOPMENT FUND** | | | | | |
| DEBT SERVICE COMMITMENTS | | | | | |
| Department of Education<br>Outstanding bonds | 41,488,406 | 454,559 | | 41,942,965 | |
| Oregon University System<br>Outstanding bonds | 25,550,980 | 20,509 | | 25,571,489 | |
| Oregon Business Development Department<br>Outstanding bonds | 47,857,951 | 168,986 | | 48,026,937 | |
| Housing and Community Services Dept.<br>Outstanding bonds | 9,411,695 | 17,271 | | 9,428,966 | |
| Department of Transportation<br>Outstanding bonds | 93,841,992 | 111,978 | | 93,953,970 | |
| Department of Administrative Services<br>Outstanding bonds | 8,808,024 | 84,366 | | 8,892,390 | |
| Community Colleges & Workforce Development<br>Outstanding bonds | 9,380,970 | 140,057 | | 9,521,027 | |
| Department of Forestry<br>Outstanding bonds | 2,519,440 | 3,692 | | 2,523,132 | |
| Department of Energy<br>Outstanding bonds | 2,162,153 | 3,897 | | 2,166,050 | |
| Water Resources Department<br>Outstanding bonds | 573,172 | 853 | | 574,025 | |
| OTHER ALLOCATIONS | | | | | |
| Oregon University System<br>Sports Lottery Account | 8,000,000 | | | 8,000,000 | |
| Department of Education<br>State School Fund | 327,374,109 | | | 327,374,109 | |
| Oregon Growth Fund | 1,900,000 | | | | |
| DAS - Distribution to County Fairs | 3,669,380 | 23,682 | | 3,669,380 | 23,682 |
| Oregon Business Development Department<br>Shared Services<br>Business, Innovation, Trade<br>Film and Video | <br>7,819,653<br>54,432,117<br>1,130,544 | <br><br>4,069,326<br> | | <br>7,819,653<br>58,501,443<br>1,130,544 | |
| Office of the Governor<br>Economic Revitalization Team | 3,261,204 | 84,902 | | 3,261,204 | 84,902 |
| Oregon Health Authority<br>Problem Gambling Treatment Fund | 10,545,832 | | 7,338 | 10,545,832 | 7,338 |
| Forestry Department<br>Eastern Oregon Forest Collaboration | 2,885,000 | | | 2,885,000 | |
| **TOTAL ECONOMIC DEVELOPMENT FUND** | $662,612,622 | $5,184,078 | $7,338 | $665,788,116 | $2,015,922 |
| **EDUCATION STABILITY FUND / OREGON EDUCATION FUND** | | | | | |
| Oregon Student Access Commission<br>Oregon Opportunity Grants | 246,222 | 2,704,861 | | 246,223 | 2,704,860 |
| Department of Education<br>Outstanding Education bonds | 738,668 | 271,976 | | 428,111 | 582,533 |
| **TOTAL EDUCATION STABILITY FUND / OEF** | $984,890 | $2,976,837 | $0 | $674,334 | $3,287,393 |

JOHN A. KITZHABER, MD
Governor

August 14, 2013

The Honorable Kate Brown
Secretary of State
136 State Capitol
900 Court Street NE
Salem, OR 97301

Dear Secretary Brown:

Under Article V, section 15a, of the Oregon Constitution, the Governor has the power to veto "single items in appropriations bills, without thereby affecting any other provision of such bill." Exercising that constitutional authority, I hereby disapprove and veto Sections 99(4), 99(5), and 100 of Enrolled House Bill 5008 without affecting the remaining provisions of the bill.

House Bill 5008 is an appropriations bill that includes actions regarding the legislatively adopted budget for the Public Employees' Benefits Board (PEBB) and the Oregon Educators' Benefit Board (OEBB). In my view, we should be expecting better value from our health care partners and creating a health care delivery system that is accountable for the money paid. PEBB and OEBB members have stepped up over the past two years with increased contributions and in taking greater responsibility for their personal health. It is now time to increase the accountability from our health system partners.

The budget from HB 5030 reflects my expectation that PEBB and OEBB not take the traditional pathway of budget balancing that only shift costs to members but rather fundamentally changes how care is delivered to members and their families. By improving health, eliminating waste and controlling costs, we want to ensure that PEBB and OEBB members have the care they need today and in the future. As a responsible employer, we want to continue to collaborate with our employees on how best to improve employee health. Together we have made headway on these fronts, and there is a lot more to be done. This is a step to get more value for the employee's and taxpayers' dollars.

Accordingly, I am exercising my constitutional authority to disapprove and veto Sections 99(4), 99(5), and 100 of Enrolled HB 5008 without affecting the remaining provisions of the bill.

Sincerely,

John A. Kitzhaber, M.D.
Governor

LJR/smg

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**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Regular Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 5008-A**

**Carrier – House:** **Rep. Buckley**
**Carrier – Senate:** **Sen. Devlin**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 22 – 2 – 2

House
Yeas: Barker, Buckley, Frederick, Huffman, Jenson, Komp, Nathanson, Read, Smith, Tomei, Williamson
Nays: Freeman, Hanna
Exc: McLane, Richardson
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Linda Ames, Legislative Fiscal Office

**Reviewed By:** Daron Hill, Legislative Fiscal Office

**Meeting Date:** July 8, 2013

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| **Agency** | **Biennium** |
|---|---|
| Emergency Board | 2013-15 |
| Various Agencies | 2011-13 |

## 2013-15 Budget Summary*

| | 2011-13 Legislatively Approved Budget | 2013-15 Legislatively Adopted Budget | 2013-15 Committee Recommendation | Committee Change |
|---|---|---|---|---|
| **Emergency Board** | | | | |
| General Fund - General Purpose | - | - | $ 30,000,000 | $ 30,000,000 |
| General Fund - Special Purpose Appropriations | | | | |
| State employee compensation changes | - | - | $ 86,500,000 | $ 86,500,000 |
| Home health care worker compensation | - | - | $ 12,900,000 | $ 12,900,000 |
| Oregon State Library | - | - | $ 1,702,192 | $ 1,702,192 |
| Department of Education - student assessments | - | - | $ 4,600,000 | $ 4,600,000 |
| Department of Education - youth development | - | - | $ 1,789,557 | $ 1,789,557 |
| Department of Housing and Community Development - Oregon Hunger Response Fund | - | - | $ 225,000 | $ 225,000 |
| Oregon Health Authority - A&D rate increases | - | - | $ 3,300,000 | $ 3,300,000 |
| Oregon Health Authority - Dental Pilots | - | - | $ 100,000 | $ 100,000 |
| **Various Agencies - Omnibus Adjustments** | | | | |
| General Fund | - | - | $ (190,669,103) | $ (190,669,103) |
| General Fund Debt Service | - | - | $ (761,790) | $ (761,790) |
| Lottery Funds | - | - | $ (1,719,018) | $ (1,719,018) |
| Lottery Funds Debt Service | - | - | $ (1,307,446) | $ (1,307,446) |
| Other Funds | - | - | $ (5,660,297) | $ (5,660,297) |
| Federal Funds | - | - | $ (1,629,523) | $ (1,629,523) |
| **ADMINISTRATION PROGRAM AREA** | | | | |
| **Department of Administrative Services** | | | | |
| General Fund | - | - | $ 1,150,000 | $ 1,150,000 |
| Lottery Funds | - | - | $ 21,380 | $ 21,380 |
| Other Funds | - | - | $ 54,596,958 | $ 54,596,958 |

*Excludes Capital Construction

## 2013-15 Budget Summary*

| | 2011-13 Legislatively Approved Budget | 2013-15 Legislatively Adopted Budget | 2013-15 Committee Recommendation | Committee Change |
|---|---|---|---|---|
| **Office of the Governor** | | | | |
| General Fund | - | - | $ 9,174 | $ 9,174 |
| Lottery Funds | - | - | $ 900,000 | $ 900,000 |
| **Department of Revenue** | | | | |
| General Fund | - | - | $ 3,196,495 | $ 3,196,495 |
| General Fund Debt Service | - | - | $ 1,554,716 | $ 1,554,716 |
| Other Funds | | | $ 26,903,021 | $ 26,903,021 |
| **Secretary of State** | | | | |
| General Fund | - | - | $ 9,174 | $ 9,174 |
| **Treasurer of State** | | | | |
| Other Funds | - | - | $ 9,174 | $ 9,174 |
| **CONSUMER AND BUSINESS SERVICES PROGRAM AREA** | | | | |
| **Bureau of Labor and Industries** | | | | |
| General Fund | - | - | $ 6,881 | $ 6,881 |
| Other Funds | - | - | $ 2,293 | $ 2,293 |
| **ECONOMIC AND COMMUNITY DEVELOPMENT PROGRAM AREA** | | | | |
| **Oregon Business Development Department** | | | | |
| General Fund Debt Service | - | - | $ 280,954 | $ 280,954 |
| Lottery Funds | - | - | $ 1,374,525 | $ 1,374,525 |
| Other Funds | - | - | $ 29,752,779 | $ 29,752,779 |
| Other Funds Non-limited | - | - | $ 12,000,000 | $ 12,000,000 |
| **Housing and Community Services Department** | | | | |
| General Fund | - | - | $ 225,000 | $ 225,000 |
| Other Funds | - | - | $ 5,076,190 | $ 5,076,190 |

*Excludes Capital Construction

## 2013-15 Budget Summary*

| | 2011-13 Legislatively Approved Budget | 2013-15 Legislatively Adopted Budget | 2013-15 Committee Recommendation | Committee Change |
|---|---|---|---|---|
| **Department of Veterans' Affairs** | | | | |
| General Fund Debt Service | - | - | $ 852,814 | $ 852,814 |
| Other Funds | - | - | $ 65,000 | $ 65,000 |
| **EDUCATION PROGRAM AREA** | | | | |
| **Department of Education** | | | | |
| General Fund | - | - | $ (8,826,545) | $ (8,826,545) |
| Lottery Funds | - | - | $ 12,826,545 | $ 12,826,545 |
| Other Funds | - | - | $ 12,000,000 | $ 12,000,000 |
| Federal Funds | - | - | $ 1,000,000 | $ 1,000,000 |
| **Department of Community Colleges and Workforce Development** | | | | |
| Other Funds | - | - | $ (307,051) | $ (307,051) |
| Other Funds Debt Service | - | - | $ 307,051 | $ 307,051 |
| **Oregon Health and Science University** | | | | |
| General Fund | - | - | $ 1,000,000 | $ 1,000,000 |
| **Higher Education Coordinating Commission** | | | | |
| General Fund | - | - | $ 859,630 | $ 859,630 |
| **Oregon University System** | | | | |
| General Fund | - | - | $ 15,674,000 | $ 15,674,000 |
| **HUMAN SERVICES PROGRAM AREA** | | | | |
| **Oregon Health Authority** | | | | |
| General Fund | - | - | $ (1,940,000) | $ (1,940,000) |
| Other Funds | - | - | $ (3,160,291,391) | $ (3,160,291,391) |
| Other Funds Nonlimited | - | - | $ 3,160,291,391 | $ 3,160,291,391 |

*Excludes Capital Construction

## 2013-15 Budget Summary*

| | 2011-13 Legislatively Approved Budget | 2013-15 Legislatively Adopted Budget | 2013-15 Committee Recommendation | Committee Change |
|---|---|---|---|---|
| **Department of Human Services** | | | | |
| General Fund | - | - | $ 5,000,000 | $ 5,000,000 |
| Other Funds | - | - | $ 1,000,000 | $ 1,000,000 |
| Federal Funds | - | - | $ 9,700,000 | $ 9,700,000 |
| **Long Term Care Ombudsman** | | | | |
| General Fund | - | - | $ 785,488 | $ 785,488 |
| **JUDICIAL BRANCH** | | | | |
| **Judicial Department** | | | | |
| General Fund | - | - | $ 634,980 | $ 634,980 |
| Other Funds | - | - | $ 335,001 | $ 335,001 |
| **Public Defense Services Commission** | | | | |
| General Fund | - | - | $ 2,409,367 | $ 2,409,367 |
| **LEGISLATIVE BRANCH** | | | | |
| **Legislative Administration Committee** | | | | |
| General Fund Debt Service | - | - | $ 1,421,341 | $ 1,421,341 |
| Other Funds | - | - | $ 615,000 | $ 615,000 |
| **NATURAL RESOURCES PROGRAM AREA** | | | | |
| **State Department of Agriculture** | | | | |
| General Fund | - | - | $ 34,060 | $ 34,060 |
| Lottery Funds | - | - | $ (21,380) | $ (21,380) |
| **Columbia River Gorge Commission** | | | | |
| General Fund | - | - | $ (79,873) | $ (79,873) |
| **Department of Land Conservation and Development** | | | | |
| General Fund | - | - | $ 196,000 | $ 196,000 |

*Excludes Capital Construction

## 2013-15 Budget Summary*

| | 2011-13 Legislatively Approved Budget | 2013-15 Legislatively Adopted Budget | 2013-15 Committee Recommendation | Committee Change |
|---|---|---|---|---|
| **Department of Environmental Quality** | | | | |
| Other Funds Debt Service | - | - | $ (17,140,278) | $ (17,140,278) |
| **State Department of Energy** | | | | |
| Other Funds | - | - | $ 9,876,190 | $ 9,876,190 |
| **State Department of Fish and Wildlife** | | | | |
| General Fund | - | - | $ 115,940 | $ 115,940 |
| **State Forestry Department** | | | | |
| Other Funds | - | - | $ 120,000 | $ 120,000 |
| Federal Funds | - | - | $ 3,000,000 | $ 3,000,000 |
| **Parks and Recreation Department** | | | | |
| Other Funds | - | - | $ 5,069,882 | $ 5,069,882 |
| **Department of State Lands** | | | | |
| Other Funds | - | - | $ 307,360 | $ 307,360 |
| Federal Funds | - | - | $ 135,000 | $ 135,000 |
| **Water Resources Department** | | | | |
| Other Funds | - | - | $ 10,242,513 | $ 10,242,513 |
| **PUBLIC SAFETY PROGRAM AREA** | | | | |
| **Department of Corrections** | | | | |
| General Fund | - | - | $ 2,340,830 | $ 2,340,830 |
| **Oregon Criminal Justice Commission** | | | | |
| General Fund | - | - | $ 10,190,000 | $ 10,190,000 |
| **Department of Justice** | | | | |
| General Fund | - | - | $ 3,683,276 | $ 3,683,276 |
| General Fund Debt Service | - | - | $ 1,601,856 | $ 1,601,856 |
| Other Funds | - | - | $ 14,377,862 | $ 14,377,862 |
| Federal Funds | - | - | $ 27,447,707 | $ 27,447,707 |

*Excludes Capital Construction

## 2013-15 Budget Summary*

| | 2011-13 Legislatively Approved Budget | 2013-15 Legislatively Adopted Budget | 2013-15 Committee Recommendation | Committee Change |
|---|---|---|---|---|
| **Oregon Military Department** | | | | |
| General Fund | - | - | $ 290,000 | $ 290,000 |
| General Fund Debt Service | - | - | $ 314,523 | $ 314,523 |
| Other Funds | - | - | $ 237,345 | $ 237,345 |
| **Oregon State Police** | | | | |
| General Fund | - | - | $ 3,387,000 | $ 3,387,000 |
| **Department of Public Safety Standards and Training** | | | | |
| Other Funds | - | - | $ 1,000,000 | $ 1,000,000 |
| **Oregon Youth Authority** | | | | |
| General Fund | - | - | $ 126,673 | $ 126,673 |
| Other Funds Debt Service | - | - | $ 384,877 | $ 384,877 |
| **TRANSPORTATION PROGRAM AREA** | | | | |
| **Department of Transportation** | | | | |
| General Fund Debt Service | - | - | $ (757,944) | $ (757,944) |
| Other Funds | - | - | $ 56,885,788 | $ 56,885,788 |
| **2013-15 Budget Summary** | | | | |
| **General Fund Total** | - | - | $ (4,568,334) | $ (4,568,334) |
| **Lottery Funds Total** | - | - | $ 12,074,606 | $ 12,074,606 |
| **Other Funds Total** | - | - | $ 218,056,658 | $ 218,056,658 |
| **Federal Funds Total** | - | - | $ 39,653,184 | $ 39,653,184 |

*Excludes Capital Construction

## 2011-13 Supplemental Appropriations

| | 2011-13 Legislatively Approved Budget | 2011-13 Committee Recommendation | Committee Change |
|---|---|---|---|
| **Emergency Board** | | | |
| General Fund | - | $ (50,447,306) | $ (50,447,306) |
| **Oregon University System** | | | |
| Other Funds | - | $ (2,329,480,585) | $ (2,329,480,585) |
| Other Funds Non-limited | - | $ (2,236,635,139) | $ (2,236,635,139) |
| **Military Department** | | | |
| General Fund | - | $ (460,000) | $ (460,000) |
| General Fund Debt Service | - | $ (26,748) | $ (26,748) |
| **Oregon Youth Authority** | | | |
| General Fund | - | $ 200,000 | $ 200,000 |

## 2013-15 Position Summary

| | 2011-13 Legislatively Approved Budget | 2013-15 Legislatively Adopted Budget | 2013-15 Committee Recommendation | Committee Change |
|---|---|---|---|---|
| **Office of the Governor** | | | | |
| Authorized Positions | - | - | 3 | 3 |
| Full-time Equivalent (FTE) positions | - | - | 3.00 | 3.00 |
| **Department of Revenue** | | | | |
| Authorized Positions | - | - | 31 | 31 |
| Full-time Equivalent (FTE) positions | - | - | 31.00 | 31.00 |
| **Oregon Business Development Department** | | | | |
| Authorized Positions | - | - | 3 | 3 |
| Full-time Equivalent (FTE) positions | - | - | 3.00 | 3.00 |
| **Department of Education** | | | | |
| Authorized Positions | - | - | 3 | 3 |
| Full-time Equivalent (FTE) positions | - | - | 3.38 | 3.38 |
| **Higher Education Coordinating Commission** | | | | |
| Authorized Positions | - | - | 6 | 6 |
| Full-time Equivalent (FTE) positions | - | - | 3.69 | 3.69 |
| **Long Term Care Ombudsman** | | | | |
| Authorized Positions | - | - | 8 | 8 |
| Full-time Equivalent (FTE) positions | - | - | 3.81 | 3.81 |
| **Department of Corrections** | | | | |
| Authorized Positions | - | - | -197 | -197 |
| Full-time Equivalent (FTE) positions | - | - | -65.31 | -65.31 |
| **Criminal Justice Commission** | | | | |
| Authorized Positions | - | - | 1 | 1 |
| Full-time Equivalent (FTE) positions | - | - | 0.88 | 0.88 |

## 2013-15 Position Summary

| | 2011-13 Legislatively Approved Budget | 2013-15 Legislatively Adopted Budget | 2013-15 Committee Recommendation | Committee Change |
|---|---|---|---|---|
| **Oregon State Police** | | | | |
| Authorized Positions | - | - | 15 | 15 |
| Full-time Equivalent (FTE) positions | - | - | 4.38 | 4.38 |
| **Department of Public Safety Standards and Training** | | | | |
| Authorized Positions | - | - | 4 | 4 |
| Full-time Equivalent (FTE) positions | - | - | 3.52 | 3.52 |
| **Department of Transportation** | | | | |
| Authorized Positions | - | - | 2 | 2 |
| Full-time Equivalent (FTE) positions | - | - | 2.00 | 2.00 |

## Summary of Revenue Changes

The General Fund appropriations made in the bill are within resources available as projected in the May 2013 economic and revenue forecast by the Department of Administrative Services Office of Economic Analysis, supplemented by transfers from various agency accounts to the General Fund for general governmental purposes as authorized in House Bill 2322, plus other actions to reduce state agency expenditures.

## Summary of Capital Construction Subcommittee Action

House Bill 5008 appropriates General Fund to the Emergency Board for general purpose and targeted special purpose appropriations, and makes other adjustments to individual agency budget and position authority as described below.

## Emergency Board

The Emergency Board allocates General Fund and provides Lottery Funds, Other Funds, and Federal Funds expenditure limitation to state agencies for unanticipated needs in approved agency budgets when the Legislature is not in session. The Subcommittee appropriated $30 million General Fund to the Emergency Board for general purposes.

House Bill 5008 makes eight special purpose appropriations to the Emergency Board, totaling $111.1 million General Fund:

- $86.5 million General Fund for allocation to state agencies for state employee compensation changes.
- $12.9 million General Fund for allocation to state agencies for compensation changes for home health care workers who are not state employees.
- $1,702,192 General Fund for second year operational costs for the Oregon State Library. The 2013-15 budget for the State Library provides for only one year of budget authority for the agency (see House Bill 5022). The release of this appropriation, by either the Legislature or the Emergency Board, is contingent on a successful reorganization plan being submitted and approved by the Legislature in 2014.
- $4.6 million for the Department of Education for costs over and above the amount included in the Department's budget bill (Senate Bill 5518) relating to assessments and other resources aligned to common core standards including those assessments required under the federal Elementary and Secondary Education Act (ESEA). The Department of Education must report on what assessments it plans to implement and on the most current estimates of the costs for each component of the assessment when making the request for this special purpose appropriation.
- $1,789,557 General Fund for the Department of Education's Youth Development Division. This amount represents program funding for the second year of the biennium for youth development grants, performance-based contracts, and services provided at the local level. Prior to requesting this special purpose appropriation, the Youth Development Council will report back to the Joint Committee on Ways and Means during the 2014 Legislative Session with a plan for investing and distributing these funds. The plan must take into account (1) the furtherance of the policy directives and youth academic and developmental outcomes outlined in House Bill 3231; (2) Oregon's

40/40/20 educational goals; and (3) the Oregon Education Investment Board's Strategic Plan. In developing this plan, the Youth Development Council shall consult with representatives of youth, parents, schools, service providers, labor, business, local governments, tribal governments, and communities.

- $225,000 General Fund one-time funding for the Oregon Hunger Response Fund, for allocation to the Housing and Community Services Department after receipt of the agency's report on alternate, sustainable service delivery models, pursuant to a budget note.
- $3.3 million General Fund for the Oregon Health Authority for adult residential room and board rate increases within the alcohol and drug system, after receipt of the agency's study on both the youth and adult system, during the 2014 legislative session. Based on the findings of that study, some or all of this funding could be allocated at that time.
- $100,000 General Fund for the Oregon Health Authority for staffing needs related to the Dental Pilot Projects. These projects were established in Senate Bill 738 (2011), but no funding was provided. While the funding for the pilots is expected to come from foundations and private funders, the agency needs staff to manage the program.

If these special purpose appropriations are not allocated by the Emergency Board before December 1, 2014, any remaining funds become available to the Emergency Board for general purposes.

## Adjustments to Approved 2013-15 Budgets

**OMNIBUS ADJUSTMENTS**

Omnibus adjustments reflect budget changes in multiple agencies based on reductions in Department of Administrative Services' assessments and charges for services, a reduction to the Attorney General rates, an increase resulting from a new Secretary of State Archives assessment, and debt service adjustments. Also included is a 5% reduction to services and supplies (excluding the fixed costs of State Government Service Charges, Attorney General charges, rent, and fuel and utilities) that is applied to General Fund and certain Lottery Funds only. Total savings are $36.5 million General Fund, $1.7 million Lottery Funds, $5.7 million Other Funds, and $1.6 million Federal Funds.

Omnibus adjustments also include a 2% supplemental ending balance holdback that is applied primarily to General Fund, and excludes debt service as well as selected programs. This reduction may be restored during the 2014 legislative session depending on statewide economic conditions. Agency detail for this adjustment is shown in Attachment A. Total budget reductions include $154.9 million General Fund and $1.4 million Lottery Funds.

Another statewide adjustment, which is included in agency budget bills and not in House Bill 5008, affects most state agencies. Package 091 (Statewide Administrative Savings) is a placeholder for administrative efficiencies and associated budget reductions in finance, information technology, human resources, accounting, payroll, and procurement expenditures. The Subcommittee affirmed that the reductions, at $62.0 million total funds, are permanent and ongoing as they reflect fundamental changes in business processes. The Department of Administrative Services (DAS) will continue to work on details of these reductions with agencies and report to the Joint Committee on Ways and Means during the 2014 session. Agencies should direct concerns regarding permanency or implementation of the reductions to DAS. The Department will include a plan for resolving any issues related to these reductions as part of its 2014 report.

## ADMINISTRATION

Oregon Department of Administrative Services

House Bill 5008 includes one-time General Fund appropriations to the Department of Administrative Services (DAS) for the following purposes:

- $500,000 to the East Valley Water District to support completion of an environmental impact study on a proposed water storage project.
- $250,000 to the Historic Public Market Foundation to assist with development of the James Beard Public Market in Portland.
- $400,000 for distribution to 211info, which is a statewide, nonprofit information and referral service for community and social services. The state currently supports about one-third of the organization's operating budget, primarily through contracts with individual state agency programs for specific services. 211info also receives funding from local governments, other nonprofits, grants, and foundations. This direct General Fund appropriation is intended to help 211info maintain statewide program access over the 2013-15 biennium. An additional request to support around-the-clock operations was not funded; right now 211info operates Monday through Friday from 8 am to 6 pm. To gain a better understanding of how state agencies can most effectively use 211info and to provide the legislature information to help evaluate potential future funding requests, the Subcommittee adopted a budget note:

  **Budget Note:**
  The Department of Administrative Services shall work with other state agencies to identify all information and referral services for state government, with a primary focus on help lines (for example, 1-800 numbers). The Department will submit a report to the Joint Committee on Ways and Means during the 2014 legislative session summarizing the purpose, scope, and cost of each service. For each state agency currently using 2-1-1 the report shall also provide information on the service(s) being provided, including but not limited to, contract provisions, utilization, benefits, costs, and budget. Finally, the report shall include an analysis of potential cost savings or efficiencies that might be achieved by broader use of 2-1-1.

The Subcommittee added $27,100,007 Other Funds expenditure limitation for one-time cost of issuance and special payments associated with the disbursement of proceeds from several Lottery Bond sales; projects are detailed below and approved in the Lottery Bond bill (Senate Bill 5533). There is no debt service in the 2013-15 biennium as the bonds will not be sold until the spring of 2015. Debt service in 2015-17 is calculated at a total of $4,882,645 Lottery Funds: $2,193,283 for the Lane Transit project; $1,835,741 for the Portland Convention Center hotel project; $637,464 for the Confederated Tribes of Umatilla; and $216,157 for the North Central Education Service District project.

- $10,239,248 Other Funds for disbursement to Metro for the purpose of assisting with the development of a hotel near the Portland Convention Center.
- $3,562,986 Other Funds for disbursement to the Confederated Tribes of Umatilla for construction a 1.5 mile road extension from the Port of Umatilla into the Confederated Tribes of Umatilla, which will open additional industrial land for development.
- $1,042,755 Other Funds for disbursement to North Central Education Service District for partial funding of digital switch technology acquisition that would serve the educational and public safety needs of Wheeler, Gilliam, and Sherman Counties.
- $12,255,018 Other Funds for disbursement to the Lane Transit District for the West Eugene EmX extension project.

House Bill 5008 includes Other Funds expenditure limitations for the following purposes:

- $400,000 one-time Other Funds increase to support a DAS contract with the Province of British Columbia on behalf of Multnomah County. The county wants to contract with Partnerships BC, which is a government-owned infrastructure development company, to develop a business case for a new Multnomah County Courthouse. Oregon counties are prohibited from contracting with foreign governments, but the state is allowed. This contract will be issued outside the normal, competitive procurement process because under ORS 190 DAS may enter into intergovernmental agreements with foreign governments and bypass this process. Multnomah County will pay for the planning work through DAS to Partnerships BC.
- $2,955,118 Other Funds increase to the Enterprise Asset Services budget unit to correct a mistake when too much services and supplies expenditure limitation was inadvertently removed from the program in House Bill 5002, the budget bill for the Department of Administrative Services.
- $24,141,833 one-time increase to the Shared Services Fund to accommodate first year payments from the Fund to counties. In 2007 the Legislature established a new program, the Shared Services Fund, to provide state support to local taxing districts affected by participation in the Strategic Investment Program. Local taxing districts are now eligible to receive payments from the state that are calculated to equal 50% of the personal income tax revenue attributable to the earnings of persons employed as result of a SIP property tax exemption. These payments would otherwise have gone to the state General Fund.

The Subcommittee increased Lottery Funds by $21,380 to reflect additional Lottery Funds for County Fairs support. The funding is available due to the termination of the County Fair Commission, for which the Department of Agriculture had received Lottery Funds for minimal administrative support of Commission operations.

The Subcommittee also added the following budget note on how to best meet the information technology needs of small state agencies:

> **Budget Note:**
> The Department of Administrative Services is directed to report back to the February 2014 Legislative Session with a plan to address the specific needs of smaller (<300 FTE) agencies with regard to Information Technology and Telecommunications Management. The Department shall also report on resources that will be necessary to implement such a plan and how those resources would be funded.

Office of the Governor

A $900,000 Lottery Funds limitation and three limited duration Principal Executive/Manager F positions (3.00 FTE) are added to the Office of the Governor. These positions will focus on streamlining the permitting process for significant projects across all levels of government; federal, state, county and city.

The Subcommittee approved budget adjustments for an increase in the statutorily set salary for statewide elected officials as provided for in House Bill 2322. The statewide elected officials include the Governor, Secretary of State, State Treasurer, Attorney General, and the Commissioner of Labor and Industries. Each is to receive a salary increase of $5,000 per year, beginning on January 1, 2014. The impact on the Office of the Governor is an increase of $9,174 General Fund, including other payroll expenses. Statewide elected officials last received a salary

increase in July of 2009. Statutory Judgeships are also to receive a salary increase (see Judicial Branch program area narrative). The compensation for members of the Legislative Assembly remains unchanged.

The following budget note was approved:

> **Budget Note:**
> The Joint Committee on Ways and Means approved a budget with House Bill 5028, the budget bill for the Oregon Business Development Department, that concerned the Regional Solutions program. That budget note is repealed, and the following, also concerning the Regional Solutions program, is adopted.
>
> The Transportation and Economic Development Subcommittee approved a $1 Other Funds expenditure limitation for the Oregon Business Development Department for Regional Solutions. Prior to legislative approval of any increase in the expenditure limitation for this program, the Office of the Governor shall report to the Interim Joint Committee on Ways and Means with a request to introduce a bill, for consideration during the 2014 Session of the Legislative Assembly, to establish the Regional Solutions program. In addition to any other provisions in this report that the Governor may include, the report shall include requested provisions to: provide for the establishment of Regional Solutions Centers; identify the membership, governance and duties of the Centers; establish criteria on the use of funds allocated to the program; define the process for the development and approval of funding proposals; establish authority for the Oregon Business Development Department to distribute moneys to projects funded under the program and to provide effective oversight of the uses of the moneys so distributed; and delineate the activities and responsibilities of the Oregon Business Development Department for administering the program. The Office of the Governor shall submit this report to the Interim Joint Committee on Ways and Means no later than during the Legislative Days in November 2013.

Department of Revenue

The Subcommittee approved funding for the implementation of the replacement of the agency's core information technology applications (Core System Replacement project). The estimated one-time cost of implementation totals $70.9 million and is scheduled to be fully completed by the 2017-19 biennia. Overall, the project will be funded with $12.5 million of General Fund and $58.4 million of Article Q-bonds. General Fund will provide for Debt Service repayment. Ongoing costs are roughly estimated at 2-3 times the initial one-time costs.

For the 2013-15 biennium, the Subcommittee approved $26.5 million of Other Funds expenditure limitation for development costs, which will be financed with Article XI-Q bonds approved in House Bill 5506. Personal Services are increased by $6.0 million Other Funds (31 positions/31.00 FTE), $18.8 million Other Funds for Services and Supplies, and $1.7 million for Capital Outlay. Major costs include: $11.3 million for vendor contract payments; $1 million for vendor contracted maintenance; $1.5 million for an independent quality assurance/control vendor; and $3 million in vendor contract contingency costs.

Unless otherwise approved by the Legislature or the Emergency Board, the positions budgeted for the Core System Replacement project are established as permanent full-time positions under the following conditions: (a) the positions will be abolished on or before the completion of the project; (b) the positions are to remain in the Core System Replacement program (i.e., CSR summary cross reference) and may not be transferred to any other program or used for any other purpose other than the development to the Core System Replacement project; and (c) the positions may not to be included in any permanent finance plan action.

The Subcommittee appropriated $3.6 million General Fund for the agency's payments to the Department of Administrative Services for State Data Center charges and for vendor contract maintenance costs to support the ongoing maintenance of the vendor product after installation.

The Subcommittee appropriated $1.6 million in General Fund Debt Service to support repayment of Article XI-Q General Obligation bond proceeds approved in SB 5506. General Fund Debt Service for the 2015-17 biennium will total $10.1 million. Other Funds expenditure limitation of $521,182 is included for the cost of issuance of the bonds.

The Department of Administrative Services is requested to unschedule $13 million of Other Funds expenditure limitation related to the May 2014 Article XI-Q bond sale pending a Department of Revenue report to the Legislature in 2014 on the status of the project.

The Subcommittee adopted the following budget note:

> **Budget Note:**
> The Department of Revenue (DOR) is directed to work with the Department of Administrative Services (DAS) in the development and implementation of the Core Systems Replacement Project. DAS is to provide DOR with the oversight of the project, including support for project management, information technology systems development lifecycle, procurement, quality assurance, and other needs to successfully complete this project.
>
> DOR is to submit updated key foundational project management documentation, each accompanied by an independent quality control review, to Legislative Fiscal Office (LFO) on or before February 1, 2014, as available for review.
>
> DOR and DAS are directed to report to LFO every six months through the biennium on the status of the project using DOR's standard project management reports as well as provide copies of all Quality Assurance and Quality Control and Independent Verification and Validation reports upon their receipt by the agency.

The Subcommittee disappropriated $440,937 General Fund and reduced Other Funds expenditure limitation by $146,979 from the Administration program due to the passage of Senate Bill 184, which allows for agencies to send notification by first class mail, or in some cases by an alternative method such as e-mail, in lieu of certified mail. The reduction is the difference in cost between certified mail and first class postage that is estimated to be realized by the agency.

Secretary of State

The Subcommittee approved budget adjustments for an increase in the statutorily set salary for statewide elected officials as provided for in House Bill 2322. The statewide elected officials include the Governor, Secretary of State, State Treasurer, Attorney General, and the Commissioner of Labor and Industries. Each is to receive a salary increase of $5,000 per year, beginning on January 1, 2014. The impact on the Secretary of State is an increase of $9,174 General Fund, including other payroll expenses. Statewide elected officials last received a salary increase in July of 2009. Statutory Judgeships are also to receive a salary increase (see Judicial Branch program area narrative). The compensation for members of the Legislative Assembly remains unchanged.

Treasurer of State

The Subcommittee approved budget adjustments for an increase in the statutorily set salary for statewide elected officials as provided for in House Bill 2322. The statewide elected officials include the Governor, Secretary of State, State Treasurer, Attorney General, and the Commissioner of Labor and Industries. Each is to receive a salary increase of $5,000 per year, beginning on January 1, 2014. The impact on the Treasurer is an increase of $9,174 Other Funds expenditure limitation, including other payroll expenses. Statewide elected officials last received a salary increase in July of 2009. Statutory Judgeships are also to receive a salary increase (see Judicial Branch program area narrative). The compensation for members of the Legislative Assembly remains unchanged.

## CONSUMER AND BUSINESS SERVICES

Bureau of Labor and Industries

The Subcommittee approved budget adjustments for an increase in the statutorily set salary for statewide elected officials as provided for in House Bill 2322. The statewide elected officials include the Governor, Secretary of State, State Treasurer, Attorney General, and the Commissioner of Labor and Industries. Each is to receive a salary increase of $5,000 per year, beginning on January 1, 2014. The impact on the Bureau of Labor and Industries is an increase of $6,881 General Fund and $2,293 Other Funds expenditure limitation, including other payroll expenses. Statewide elected officials last received a salary increase in July of 2009. Statutory Judgeships are also to receive a salary increase (see Judicial Branch program area narrative). The compensation for members of the Legislative Assembly remains unchanged.

## ECONOMIC AND COMMUNITY DEVELOPMENT

Oregon Business Development Department

The Subcommittee increased the General Fund appropriation by $280,954, to fully-fund 2013-15 biennium debt service costs for Article XI-Q general obligation bonds issued to finance Innovation Infrastructure projects. Debt service costs are higher than originally projected, because the bonds are not eligible to be issued on a tax-exempt basis. This increase will provide a total of $694,286 General Fund to pay 2013-15 biennium debt service costs on the $5,000,000 project. Debt service costs will increase to $1.4 million in the 2015-17 biennium.

The Subcommittee established two new Lottery Funds expenditure limitations, and approved the establishment of three full-time, limited-duration positions (3.00 FTE). The first Lottery Funds expenditure limitation of $1,124,525 supports three limited-duration positions housed in the Shared Services/Central Pool program area, and associated services and supplies costs. These include two positions in regional governance solutions and one position for West Coast Strategies. The second newly-established Lottery Funds expenditure limitation provides $250,000 for Business, Innovation and Trade for an ongoing Economic Gardening services pilot project. Both of these Lottery Funds expenditure limitations are approved on a one-biennium basis, and will be phased out in the development of the Department's 2015-17 biennium current service level budget.

The bill includes several budget adjustments to allow expenditures of bond proceeds authorized for the Department by Senate Bill 5506 and Senate Bill 5533, and payment of the costs of issuing those bonds. The Other Funds expenditure limitation for the cost of issuing Article XI-Q bonds for the agency is reduced by $115,000, and the Other Funds expenditure limitation for the cost of issuing lottery revenue bonds for the re-

capitalization of the Special Public Works Fund is reduced by $132,221 from the levels approved in House Bill 5028, the Department's budget bill. These adjustments will provide expenditure limitation of $120,000 for the cost of issuing the Article XI-Q bonds, and $258,580 for the cost of issuing the lottery revenue bonds. These costs will be funded from bond proceeds.

The Subcommittee increased the Other Funds expenditure limitation for the seismic rehabilitation grant program by $30,000,000 to permit expenditure of bond proceeds approved for that program. Finally, the Subcommittee increased the agency's Nonlimited Other Funds expenditures in the Infrastructure Finance Authority by $12,000,000 for expenditure of lottery revenue bond proceeds transferred to the Special Public Works Fund. Loan award expenditures from the Special Public Works Fund are not limited in the Department's budget.

Housing and Community Services Department

The Subcommittee approved Other Funds expenditure limitation to enable the Department to expend $5 million in bond proceeds and $76,190 for cost of issuance for preservation of existing affordable housing. The funds will help provide financing for the acquisition of affordable housing properties with expiring subsidies from owners who do not wish to renew their federal contracts; the properties will be acquired by new owners who commit to keeping them affordable to low-income Oregonians, rather than having the units convert to market-rate housing. The proceeds are anticipated to provide gap financing to preserve an estimated 200 units of affordable housing. The bonds are included in Senate Bill 5533.

The bill includes $225,000 General Fund for one-time funding for the Oregon Hunger Response Fund for the first year of the biennium. An additional $225,000 General Fund is included as a special purpose appropriation to the Emergency Board.

Department of Veterans' Affairs

Expenditure limitation is provided to the Oregon Department of Veterans' Affairs for cost of issuance in the amount of $65,000 Other Funds, and a General Fund appropriation for debt service in the amount of $502,814, related to the issuance of $4 million in Article XI-Q bonds for completion of construction of a second Veterans' Home skilled nursing facility in Linn County. Other and Federal Funds Capital Construction expenditure limitation to spend the Article XI-Q bonds and federal matching funds from the U.S. Department of Veterans' Affairs is included in Senate Bill 5507.

A one-time General Fund appropriation of $350,000 is made to the Oregon Department of Veterans' Affairs for veterans' suicide prevention and crisis intervention telephone counseling services, allocated pursuant to the following budget note:

> **Budget Note:**
> The Oregon Department of Veterans' Affairs shall establish a veterans' crisis suicide line that offers free, anonymous assistance, 24 hours a day, to active-duty service members, veterans and their families. The Department shall establish an RFP process for the line to contract with a provider that has a contractual affiliation with the National Suicide Prevention Line and the National Veteran's Crisis Line, and has capacity to answer at least 30,000 veteran or suicide calls per year. The Department shall establish the line within 90 days of passage.

## EDUCATION

Department of Education

The State School Fund is adjusted in this bill to reflect a rebalance of statewide resources, decreasing General Fund and increasing Lottery Funds expenditure limitation by $12,826,545.

The Other Funds expenditure limitation of the Department of Education for the Grant-in-Aid budget unit is increased by $11,341,084 and the Other Funds expenditure limitation for the Operations unit of the Department of Education is increased by $658,916 for increased resources for the Network of Quality Teaching and Learning. The funding is contingent on the increased distribution of up to $12 million from the Common School Fund over and above the standard distribution according to the policy adopted by the State Land Board on April 14, 2009. The increased resources for the Network will be used for grants, contracts and other assistance distributed to school districts, education service districts, and other entities as well as for agency staffing and associated costs for the following components of the Network: (1) $2.6 million for Educator Effectiveness, (2) $1.2 million for Student Centered Learning, (3) $500,000 for Educator Preparation, (4) $1.0 million for Closing the Achievement Gap, (5) $2.2 million for Aligning Professional Development Plans to School Improvement Objectives and Educators' Needs, and (6) $4.5 million for Supporting Implementation of Common Core Standards. Three new limited duration positions are established (two Education Program Specialist 2 and a Program Analyst 4) and the FTE is increased on two other Program Analyst 4 positions for a total FTE increase of 3.38 FTE. This $12 million increase is a one-time increase for only the 2013-15 biennium.

The Subcommittee approved an increase of $2.0 million General Fund in the amount appropriated for the strategic initiatives in the grant-in-aid budget unit. These additional funds are for an increase in resources for the Seamless Transitions policy package (package 305) for collaboration or consortiums of post-secondary institutions and school districts to increase the award of college or community college credits for high school students. The combined funding of this $2.0 million and the $2.0 million appropriated for this purpose in Senate Bill 5518, is to be used to support the Eastern Promise consortium and the expansion of consortiums into other regions of the state.

The bill includes $1.5 million General Fund for Student Achievement Improvement Grants established in House Bill 2322. The Department of Education is to award at least two grants per congressional district to schools considered high poverty under Title I of the federal Elementary and Secondary Act, serving students in grades Kindergarten to 8$^{th}$ grade that are in the bottom five percent of all schools based on the rating system used by the Department for academic performance. The funds are to be used to hire at least one licensed teacher at the school. The grant program is only authorized for the 2013-15 biennium.

The following budget notes were approved:

> **Budget Note:**
> The Oregon Department of Education is instructed to report to the Interim Joint Committee on Ways and Means before January 1, 2014 on progress on implementing its 2013-15 strategic plan. This first report is to be a baseline for future reports to the Legislature for measuring the success of transforming the agency to focus more on assisting and collaborating with educational partners, closing the achievement gaps, and being more "results-focused." The report should include the following:

1. A breakdown of the agency's education programs and services with a description of each program and service, including overall purpose, description of federal or state laws or rule that govern the program, target group served, overall funding by fund type, amount of program funding, amount of staff resources dedicated to the program based on FTE, amount spent on administrative costs at the state level, and description of measurements use to gauge the performance of the program or service.
2. Actions taken by the agency in the past six months to become more collaborative with partners and to improve customer service.
3. Actions taken by the agency in the past six months to increase the share of funding the agency receives that is passed through to educational partners.
4. Comparison of the staffing levels and operations of the Oregon Department of Education with education agencies in other states with similar missions and responsibilities.
5. Description of changes in the overall measures and metrics established by the agency as part of it strategic plan development.

The Department will consult with the Legislative Fiscal Office in determining the level of detail included in item #1 above and how specific the program level that should be in the report to the Legislature. In addition to the report due by January 1, 2014, the Department is instructed to provide updated information on the items above during the budget presentation to the Joint Committee on Ways and Means during the 2015 Legislative Session.

**Budget Note:**
The Department of Education shall not purchase or acquire the interim item bank and related assessments from Smarter Balance Assessment Consortium. The Department shall provide each district with available funds to administer a locally selected and established interim growth assessment system for students in grades Kindergarten through ninth that is capable of informing instruction and measuring student academic performance against a stable scale irrespective of grade level.

The Subcommittee approved an increase of $500,000 General Fund for the Farm to School program described in ORS 336.431. This program enables schools to offer fresh, locally sourced products and to promote mutually beneficial educational activities and focus on children's long-term health habits. In addition, Federal Funds expenditure limitation for early learning programs was increased by $1.0 million to reflect a larger carry-forward of child care related funds from 2011-13.

Department of Community Colleges and Workforce Development
A specific Other Funds expenditure limitation for debt service for Article XI-G bonds is established in the amount of $307,051 for the Department of Community Colleges and Workforce Development. A corresponding reduction in another Other Funds expenditure limitation for the Department is made for a net change of zero across the entire agency.

Oregon Health and Science University
House Bill 5008 includes a General Fund appropriation of $1,000,000 through the Department of Administrative Services, for the Primary Health Care Loan Forgiveness Program in the Office of Rural Health at the Oregon Health and Science University. This program provides loans to eligible primary care practitioners enrolled in an approved rural-specific Oregon training Program, and was established in 2011. This provides additional funding for the 2013-15 biennium.

Higher Education Coordinating Commission

The Subcommittee approved an increase of $859,630 General Fund for the budget for the Higher Education Coordinating Commission (HECC) to reflect the added responsibilities of House Bill 3120 and Senate Bill 270. Both of these bills are related to post-secondary education governance. This funding is in addition to the amount already included in House Bill 5033, the budget bill for the HECC. This funding will be used to fund six permanent positions (3.69 FTE) – a manger, two Operations/Policy Analyst 4 positions, two Education Program Specialist 2 positions, and one Procurement and Contract Specialist 3 position. The manager position is budgeted to start in October of 2013 with the remaining positions to start in April 2014. The following budget note was approved:

> **Budget Note:**
> Prior to final adoption of any significant change to the distribution of the Community College Support Fund, the Higher Education Coordinating Commission is directed to consult with the appropriate legislative committees including the interim policy committees with jurisdiction on post-secondary education issues and the interim Joint Committee on Ways and Means or Emergency Board on the proposed distribution change.

Oregon University System

The Subcommittee increased the General Fund appropriation for public university support by $15,000,000 with direction that the money be used to reduce resident undergraduate tuition increases at the state's seven public universities. The Subcommittee adopted the following budget note to limit tuition increases on resident undergraduate students:

> **Budget Note:**
> In adopting the budget for the Oregon University System, the Legislature intends that increases in the base rates for tuition paid by resident undergraduate students on all seven campuses and one branch campus (EOU, OIT, OSU, OSU-Cascades, PSU, SOU, UO and WOU) may not exceed an average of 3.5% at any individual campus in any given year of the 2013-15 biennium. For students choosing the Tuition Promise program at WOU, rates of increase over the prior cohort may not exceed 5.7% in any given year. These limits on tuition shall apply to all seven campuses and one branch campus for the next two academic years (2013-14 and 2014-15) regardless of the outcome of any governance changes that may be implemented during the biennium.

House Bill 5008 includes General Fund appropriations to the Oregon University System (OUS) State Programs budget unit for the following purposes:

- $1,200,000 to expand fermentation science programs at Oregon State University.
- $250,000 one-time appropriation to Oregon State University for technical assistance to help shellfish hatchery larval production affected by ocean acidification and assist with the maintenance of OSU's Mollusca Brood Stock Program with the intent to produce larval strains more resilient to the adverse effects of ocean acidification.
- $80,000 to increase the base funding for the Labor Education and Research Center at the University of Oregon.

The Subcommittee adopted the following budget note related to public university support of State Programs with non-state funding:

> **Budget Note:**
> It is the expectation of the Legislature that university support for State Programs housed within the Oregon University System be maintained or increased in the same manner as other university programs during the 2013-15 biennium.

The Subcommittee also approved a decrease of $856,000 General Fund from the budget for the Oregon University System to reflect the shifting of various responsibilities in House Bill 3120 and Senate Bill 270 from the Chancellor's Office (CO) to the Higher Education Coordinating Commission (HECC). Both of these bills are related to post-secondary education governance. This reduction related to transfer of duties from CO to HECC rolls-up to a $1,200,000 General Fund reduction in the 2015-17 biennium.

## HUMAN SERVICES

Oregon Health Authority

The Subcommittee added $1,360,000 General Fund to the Oregon Health Authority for the following purposes:

- $200,000 General Fund for providing fresh Oregon-grown fruits, vegetables and cut herbs from farmers' markets and roadside stands to eligible low-income seniors under the Senior Farm Direct Nutrition Program. Another $100,000 General Fund was added for the same purpose for eligible individuals through the Women, Infants and Children Program.
- $260,000 General Fund to increase reimbursements for ambulance transport services.
- $200,000 General Fund for the Oregon State Hospital to contract for legal services from the Marion County District Attorney, to address the issue of chronically violent patients at the hospital.
- $700,000 General Fund for breast and cervical cancer screening services. Of this total, about $400,000 is needed to backfill funding shortfalls in the first year of the biennium related to reductions in funding from the Komen Foundation, as well as reductions resulting from federal sequestration.

The transfer of $100,000 General Fund from the CCare program to the Oregon Vasectomy Project was approved. This will supplement the project's $10,000 Title X federal funds.

The Subcommittee approved additional rate increases for the Alcohol and Drug system in Addictions and Mental Health (AMH). A total of $800,000 General Fund will be used to provide a 2.4% rate increase effective July 1, 2013, and another $1.4 million General Fund will be used to increase the room and board rate for youth residential beds from $60 per day to $90 per day effective July 1, 2013. (The agency's regular budget bill, House Bill 5030, already increases those rates from $30 per day to $60 per day.) The funding for these changes comes from two sources: $1.7 million from the "reinvested" dollars in the AMH budget, resulting from dollars freed up as more clients will have insurance beginning January 2014, and $500,000 General Fund from the Intensive Treatment and Recovery Services (ITRS) program. With the Affordable Care Act expansion, many of the ITRS clients will have insurance, and less General Fund will be needed to maintain the program level.

ITRS is reduced an additional $3.3 million General Fund, and this funding is placed in a special purpose appropriation in the Emergency Board, to be available for rate increases in the adult residential system for alcohol and drug treatment for the second year of the biennium. As documented in the budget report for House Bill 5030, the agency will do a study of both the youth and adult systems and report to the 2014 Legislature. Based on the findings of that study, some or all of this special purpose appropriation could be allocated at that time. The Legislature could also decide to reduce the youth rate based on the results of that study.

House Bill 5030, the budget bill for the Oregon Health Authority, eliminated all Other Funds Nonlimited authority for the Public Employees' Benefit Board and the Oregon Educators Benefit Board, and instead moved all expenditure limitation to Other Funds Limited. This bill reverses that, resulting in a decrease in Other Funds Limited of $3.2 billion and an increase in Other Funds Nonlimited of the same amount.

The following budget notes related to the Oregon State Hospital and the Blue Mountain Recovery Center were approved:

**Budget Note:**
The Oregon Health Authority shall report to the interim Joint Committee on Ways and Means or the Emergency Board by December 2013 on recommendations for decreasing the use of overtime and improving patient and staff safety at the Oregon State Hospital.

In order to make recommendations, the Oregon State Hospital will form a work group that will include representation from some of the major classifications of employees, particularly those providing direct care of patients, such as psychologists, psychiatrists, registered nurses, mental health therapists, mental health therapy technicians, mental health security technicians, and managers.

The report should include the following:

- Data on overtime hours worked and costs over the last 12 to 18 months, as well as the reasons for the use of this overtime. Details on mandated overtime should be reported.
- Data on the numbers and types of assaults on patients and staff over the last 12 to 18 months, as well as the costs, both direct and indirect, associated with those assaults. The report should also contain information on the concentration of those assaults involving a small number of individuals.
- Recommendations for reducing overtime and reducing assaults, and the status of implementing those recommendations. The report should include, but not be limited to, recommendations related to the float (relief) pool, such as the appropriate mix of permanent full-time, permanent part-time, limited duration, and temporary positions. The report should also consider recommendations for working with the Marion County District Attorney to address issues related to chronically violent patients.

**Budget Note:**
The Oregon Health Authority shall report to the appropriate legislative committee in September and December on the planning for the transfer of patients from the Blue Mountain Recovery Center (BMRC) pending its closure on January 1, 2014. Additionally, the Oregon Health Authority shall convene a workgroup comprised of the appropriate representatives of the various stakeholder groups, to identify future options for BMRC staff and facilities. The workgroup will have the following charge:

(1) To identify needed services for Eastern Oregon's most vulnerable people, including those with:
(a) acute and chronic mental illness who require special services to enable them to successfully function in society;

(b) substance abuse and subsequent involvement with the criminal justice system; and
(c) mental illness and additional complications arising from age-related conditions.

(2) To advise the legislature and the Oregon Health Authority on strategies to best retain the existing specialized mental health workforce in the region; and

(3) To advise the legislature and the Oregon Health Authority on the best utilization of the current facilities and identify additional capital improvements to provide the above-identified services.

Department of Human Services

The Subcommittee added $5 million General Fund and $9.7 million Federal Funds expenditure limitation ($14.7 total funds) to the Aging and People with Disabilities budget for the following purposes:

- $1,300,000 General Fund and $2,900,000 Federal Funds limitation to advance the implementation date for home and community based care rate increases from October 1, 2013 to July 1, 2013. Rates have been flat since July 2008 and were increased as part of the Department's budget as approved in Senate Bill 5529.
- $2,500,000 General Fund and $5,600,000 Federal Funds limitation to partially restore the instrumental activities of daily living (IADL) reduction that occurred in January 2012. Using available funding, the Department will develop and implement a plan to restore hours to the maximum extent possible. The plan may include a phased-in restoration as the Department conducts eligibility re-assessments for consumers served in long term care programs.
- $700,000 General Fund to augment $1.6 million General Fund already approved to support projects (innovations and pilots) to develop new approaches to long term care services. The additional amount includes $350,000 General Fund for a grant to the Neighborhood Housing and Care Program, which is implementing a model for serving people living with HIV/AIDS in their homes. The average age and acuity of these individuals is growing along with the baby boomer population.
- $500,000 General Fund and $1,200,000 Federal Funds limitation to help cover Homecare Worker compensation costs associated with nurse delegation duties.

The 2013-15 budget approved for the Department of Human Services (DHS) in Senate Bill 5529 continued some reductions in developmental disability program budgets for community programs and brokerages. These reductions left equity (parity) relative to state office costs at levels ranging from 85% to 95%, depending on the budget component (e.g., case management and brokerage options). After completion of the DHS budget in Senate Bill 5529, DHS discovered that, within the budgeted funding level for these programs and with some updated assumptions in the budget model, equity for both programs could be brought up to 94% across all components. The Subcommittee approved the Department's plan to realign the budgets for the programs and implement the revised parity level. DHS is currently developing workload-based models for both programs and plans to build those models into the agency's 2015-17 budget proposal.

The Subcommittee added $1,000,000 Other Funds expenditure limitation for the Employment Related Day Care (ERDC) program to help cover child care provider rate increases while providing subsidies to as many employed parents as possible. This funding is currently available due to lower than expected 2011-13 utilization of federal Child Care Development Fund dollars by the Child Care Division; General Fund may be needed in future biennia to cover these expenditures.

Long Term Care Ombudsman

The Subcommittee added $585,488 General Fund and seven permanent positions (2.81 FTE) to support work under Senate Bill 626, which expands duties of the Long Term Care Ombudsman to advocate for residents of care facilities who have mental illness or developmental disabilities. There are about 7,600 persons living in 1,816 licensed adult foster homes and group homes in Oregon serving persons with developmental disabilities and mental illness.

To provide the subject matter expertise needed to develop and refine the expanded program, the agency would add one permanent full-time Program Analyst 4 position. Five full-time deputy ombudsman positions (Program Analyst 2 classification) would ultimately be needed to work with approximately 125 new volunteers. Consistent with an expected gradual ramp-up for the new program, four of these positions would be phased in over the last 12 months of the 2013-15 biennium, with the fifth position phased-in at the start of the 2015-17 biennium. A half-time volunteer recruiter position (Program Analyst 1) would also be required to develop and maintain volunteer ranks and an Administrative Specialist would help support the new program, staff, volunteers, and an expanded advisory committee.

In addition, to help the agency better serve its existing clients, the Subcommittee increased the agency's budget by $200,000 General Fund, which covers salary and other costs associated with adding one full-time deputy ombudsman position (1.00 FTE). This position will supervise 25 to 30 additional volunteers, increasing facility coverage (visits) with an emphasis on adult foster homes.

## JUDICIAL BRANCH

Judicial Department

The Subcommittee increased the General Fund appropriation for judicial compensation by $634,980, to finance a second salary increase for judges during the 2013-15 biennium. Judicial salaries are established by statute. House Bill 2322 increases the salaries of judges by $5,000 per year beginning January 1, 2014, and by an additional $5,000 per year beginning January 1, 2015. The 2013-15 biennium cost of the House Bill 2322 salary increases totals $2,539,916. House Bill 5016, the budget bill for the Judicial Department, includes $1,934,859 General Fund to fund the first $5,000 salary increase. The General Fund increase included in this bill provides the additional funds needed the pay the second $5,000 salary increase for the six months that it will be in effect in the 2013-15 biennium.

An Other Funds expenditure limitation increase of $335,000 was approved for operations, for costs of issuing Article XI-Q bonds to finance a $4.4 million capital construction project to renovate the exterior of the Supreme Court Building, and to finance a $15 million grant to support development of a new courthouse for the Multnomah County Circuit Court in Portland. The cost of issuing the bonds will be financed from the proceeds of the bonds.

The Subcommittee also established a $1 Other Funds expenditure limitation for the Oregon Courthouse Capital Construction and Improvement Fund. This newly-established Fund will hold Article XI-Q bond proceeds and county matching funds, and will be used to fund the purchase, remodeling or construction of courthouses owned or operated by the State. Senate Bill 5506 authorizes $15 million of Article XI-Q bond proceeds that would be deposited into this Fund. The Legislative Assembly or the Emergency Board must increase the $1 expenditure limitation, before bond proceeds and county matching funds could be distributed to the county for a construction project.

The Subcommittee reduced the Other Funds expenditure limitation on expenditures of Article XI-Q bond proceeds for Oregon eCourt by $190,767, to correct an error in House Bill 5016, the Judicial Department's budget bill.

Public Defense Services Commission

The Subcommittee increased the General Fund appropriation for Professional Services by $2,409,367. This increase includes three components:

- General Fund is increased $2,400,000 to reduce trial-level juvenile dependency caseloads and improve the quality of legal services in juvenile dependency and termination of parental rights cases. The approved funding level will support an approximate 4.5% average reduction in the caseloads of attorneys providing these services, however, the agency may choose to distribute available funds on a pilot project basis. The agency will make caseload reductions conditional upon agreement to implement established best practices, and will evaluate the impacts of the caseload reductions.
- General Fund is increased $864,567 to increase compensation paid to public defender contractors, hourly-paid attorneys, and hourly-paid investigators. The funds provided by this action will be added to the $2,135,433 General Fund appropriated to the Commission for this same purpose in House Bill 5041, to provide a total of $3,000,000 for compensation increases. From the $3,000,000 available, the agency is to allocate $2,329,729 to reduce the average salary differential between public defender salaries and district attorney salaries, allocate $218,141 to increase the compensation rates for hourly-paid attorneys, and allocate $452,130 to increase the compensation rates for hourly-paid investigators.
- General Fund is reduced $855,200 as a result of passage of Senate Bill 40, which reduces crimes for the unlawful manufacture and possession of marijuana and marijuana products. The fiscal impact of these actions will reduce costs to the Commission by this amount.

## LEGISLATIVE BRANCH

Legislative Administration Committee

The Legislative Administration Committee has increased expenditures related to the Capitol Master Plan project. The bill establishes an Other Funds limitation of $615,000 for the cost of issuance of Article XI-Q bonds for the project. It also includes a General Fund appropriation of $1,421,341 for the debt service on the bonds issued for the 2013-15 biennium. Expenditure limitation for the project costs are provided in SB 5507 (the capital construction bill).

Legislative Fiscal Officer

House Bill 5008 establishes an Other Funds account called the Legislative Fiscal Office Operating Fund.

## NATURAL RESOURCES

State Department of Agriculture

The bill adds $34,060 General Fund to increase special payments to USDA-APHIS-Wildlife Services for predator control activities. $65,940 General Fund was also added to the Department of Fish and Wildlife for the same purpose. With these increases, state-support in both

departments for predator control activities will be equalized at $415,889 for the 2013-15 biennium. The Subcommittee added the following budget note related to this increase:

> **Budget Note:**
> The Department of Fish and Wildlife and the Department of Agriculture shall, using information provided by USDA-APHIS-Wildlife Services, report to the appropriate subcommittee of the Joint Committee on Ways and Means during the 2015 Regular Session on wildlife conflicts responded to by Wildlife Services agents by species, resource type, and methods used to address the conflict, summarized by county.

The Subcommittee reduced Lottery Funds by $21,380 to reflect the ending of the County Fair Commission, for which the Department had received funding for minimal administrative support. The moneys will now instead be added to the Lottery Funds support for County Fair payments by the Department of Administrative Services.

Columbia River Gorge Commission

The budget for the Columbia River Gorge Commission was reduced by $79,873 General Fund to match the lower appropriation made by the State of Washington for Columbia River Gorge Commission activities.

State Department of Energy

House Bill 5008 increases the Department's Other Funds expenditure limitation by $9,876,190 for home energy efficiency programs that will further the Ten Year Energy Plan goal of meeting new electric energy load growth through energy efficiency and conservation. This expenditure limitation includes $76,190 for cost of issuance associated with $5 million in Lottery Bond proceeds, as well as $4.8 million in unexpended public purpose charge single family weatherization funding transferred from the Housing and Community Services Department in House Bill 2322.

The bill clarifies the use of Lottery Funds by the Department of Energy, to be consistent with the agency's adopted budget.

Department of Environmental Quality

House Bill 5008 removes $17,140,248 Other Funds expenditure limitation for debt service payments mistakenly added in Senate Bill 5520. This subsection of Senate Bill 5520, the budget bill for the Department of Environmental Quality, is not necessary because the agency was also provided nonlimited Other Funds authority to pay debt service costs during the 2013-15 biennium in the same bill. This adjustment will prevent authorized Other Fund debt service payments from being erroneously doubled counted in 2013-15.

Department of Land Conservation and Development

The Subcommittee approved $116,000 General Fund to supplement grant funding for the Southern Oregon Regional Land Use Pilot Program. The bill also includes $80,000 General Fund for a grant to the Columbia River Gorge Commission for continuation of work on urban planning issues inside the Oregon portion of the National Scenic Area in the Columbia River Gorge. In addition, a reduction of $35,000 General Fund in the Planning Program was approved because rulemaking will not be required to implement provisions of House Bill 2202 to mining on land zoned for exclusive farm use.

State Department of Fish and Wildlife

The Subcommittee added a one-time $50,000 General Fund appropriation as state match for a study on the effects of cormorant predation on listed salmonids. The state support will be matched with $150,000 Federal Funds to hire seasonal positions to conduct population surveys, conduct diet studies to help verify the extent of salmonid predations, and assist in on-going hazing efforts.

The bill also adds $65,940 General Fund to increase special payments to USDA-APHIS-Wildlife Services for predator control activities. $34,060 General Fund was also added to the Department of Agriculture for the same purpose. With these increases, state-support in both departments for predator control activities will be equalized at $415,889 General Fund for the 2013-15 biennium. The Subcommittee added the following budget note for both agencies:

> **Budget Note:**
> The Department of Fish and Wildlife and the Department of Agriculture shall, using information provided by USDA-APHIS-Wildlife Services, report to the appropriate subcommittee of the Joint Committee on Ways and Means during the 2015 Regular Session on wildlife conflicts responded to by Wildlife Services agents by species, resource type, and methods used to address the conflict, summarized by county.

State Forestry Department

The Department has received a pre-award notice from the U.S. Department of Agriculture regarding the availability of $3 million of federal legacy dollars to be applied to the Gilchrist Forest acquisition. Limitation in that amount is added for the 2013-15 biennium. The total amount includes $600,000 for recently-available infill acreage.

To cover the expense of bond issuance for the Gilchrist Forest purchase and the East Lane construction, the Subcommittee increased Other Funds expenditure limitation by $120,000.

The Department is directed to use up to $200,000 of the Forest Revenue CSF account to supplement Policy Package 486, SB 5521 (2013), for the same purposes as Package 486. Package 486 provided $250,000 Other Funds expenditure limitation to meet statutory requirements for forest research and monitoring on the Elliott State Forest.

The following budget note was approved for the State Forestry Department:

> **Budget Note:**
> Senate Bill 5521 (2013) provided $2,885,000 Lottery Funds limitation to the Department of Forestry for the Governor's dry-side forest health collaboration effort for a new business model to improve federal forest project management and technical and scientific support. In administering the funding, the department is authorized to also consider a small grant program and a limited duration liaison position, from the $2,885,000, at the department's discretion. The small grants are to be administered by the Oregon Watershed Enhancement Board using the Board's existing expenditure limitation. The position, if created, is to be the state's point of contact for the US Forest Service, congressional delegation, local forest collaborative groups, and the state Legislative Assembly.

The Department is directed to report to the Legislature in February 2014 on specific plans, expected outcomes, progress, and the amount of federal funding and support provided to the collaboration.

Parks and Recreation Department

Other Funds expenditure limitation is increased by $5,000,000 for lottery bond proceeds designated to be passed through to a local recipient for the Willamette Falls project. In addition, the limitation is increased by $69,882 for cost of issuance expenses. There is no debt service in the 2013-15 biennium as the bonds will not be sold until the spring of 2015. Debt service in 2015-17 is $0.9 million Lottery Funds.

Department of State Lands

House Bill 5008 increases the Department's Other Funds expenditure limitation by $307,360. This is for unspent limitation associated with the conversion of 960 open rangeland acres currently leased for livestock grazing. The acreage will be converted to about 620 acres of irrigated agriculture land. The expenditure limitation was authorized by the Emergency Board in May, 2012. Due diligence review and determination of wetlands, required before undertaking the conversion, has taken longer than expected. After the final wetlands determination report in July 2013, the agency can move ahead to complete the project.

The Subcommittee increased the Federal Funds expenditure limitation in anticipation of two Environmental Protection Agency grants to develop scientifically based tools for functions-based, watershed-scale approach to wetlands mitigation in Oregon. The Department expects final notification on the grants in September 2013. The total amount is $135,000. The Department of Administrative Services (DAS) is requested to unschedule the limitation until such time as State Lands notifies DAS and the Legislative Fiscal Office that the funds are awarded.

Water Resources Department

The Subcommittee approved $10,242,513 Other Funds expenditure limitation from bond proceed resources for water supply projects including, but not limited to, statewide piping and lining open, rock or dirt-lined irrigation canal projects; implementation/completion of the Umatilla Basin Aquifer Recovery Project, repairing the Dam at Wallowa Lake and constructing a new reservoir in Juniper Canyon; the Willamette Basin Long-Term Water Allocation Study; the Deschutes Basin Study; and development of above and below ground water storage projects with partners in Oregon, Washington State, and Canada.

## PUBLIC SAFETY

Department of Corrections

If House Bill 3194 becomes law, the operational budget for the Department of Corrections (DOC), excluding Community Corrections, is reduced by $19.7 million General Fund and 197 positions (65.31 FTE). This reduction eliminates most of the mandated caseload estimate included in House Bill 5005, and reflects a decrease in projected bed utilization of approximately 700 in 2013-15 from the April 2013 corrections forecast. Although the operational budget is decreased overall, the Department did receive $168,302 General Fund and 0.79 FTE to support the transitional leave program requirements in House Bill 3194.

The Subcommittee made several modifications to DOC's Community Corrections budget. First, $9 million General Fund was approved to enhance baseline funding for community corrections programs. Further, if House Bill 3194 becomes law, baseline funding is increased to total

$215 million, or an additional $8.09 million General Fund. At $215 million, the Community Corrections baseline would be $33 million, or 18%, above the 2011-13 legislatively approved budget. Also, conditional on passage of House Bill 3194, $5 million General Fund was approved for jail support. DOC will distribute these dollars to counties based on each county's proportion of the baseline funding formula.

The following budget note was approved:

> **Budget Note**:
> As in the 2011-13 legislatively approved budget, the Department of Corrections is instructed to address the level of unspecified reductions in its budget without closure of existing facilities and without use of layoffs to reach the reduction goal. A report on what steps may be needed to reach reduction goals will be presented to the Joint Committee on Ways and Means during the February, 2014 legislative session.

Criminal Justice Commission

House Bill 3194 creates the Justice Reinvestment Account (Account) to support grants to counties for programs to reduce recidivism and decrease utilization of state prison capacity. However, the bill itself does not establish a funding level. The Subcommittee approved $10 million General Fund for the Account with the understanding that an additional $5 million would be approved during the February 2014 legislative session if the legislative assembly receives a 2013-15 General Fund forecast that is higher than the close of session forecast. This approval was made with the understanding that the Governor's Office will also direct the Criminal Justice Commission (CJC) to allocate $5 million in federal funds from the 2012 and 2013 Byrne/Justice Assistance Grants for similar grants to counties. In the 2015-17 biennium, the current service level is expected to total $20 million General Fund for the Account.

The Subcommittee also approved $190,000 General Fund and the phase-in of one Program Analyst 4 (0.88 FTE) to support grant administration and the Task Force on Public Safety as authorized in House Bill 3194.

The following budget note was approved:

> **Budget Note:**
> CJC is directed to administer the Justice Reinvestment Program and Specialty Courts Grant Programs during 2013-2015 using General, Other and Federal Funds. CJC will work with the Justice Reinvestment Grant Review Committee and the Criminal Justice Commission to distribute and allocate these different funds in an efficient and effective manner. CJC will report back on the results of this work during the February 2014 legislative session.

Department of Justice

The Subcommittee approved an increase in Other Funds (Criminal Fine Account) expenditure limitation by $700,000 to support an increased allocation to Child Abuse Multidisciplinary Intervention (CAMI). With this adjustment, CAMI would receive in total General Fund and Criminal Fine Account allocations, $10.7 million or 9.9% increase over the 2011-13 legislatively approved budget.

An increase of $1.8 million General Fund was approved for the Oregon Domestic and Sexual Violence Services Fund (ODSVS). If House Bill 3194 becomes law, an additional $2.2 million General Fund, for a total of $4 million, is approved. These adjustments augment the $4.4 million General Fund authorized in House Bill 5018.

This bill includes a reduction in the Other Funds expenditure limitation for the Department of Justice's Civil Enforcement Division of $471,040. During consideration of House Bill 5018, this amount was shifted from the Non-limited budget category. Upon further review, restitution and refund payments from the Protection and Education Revolving Account can correctly be categorized as Non-limited.

The Subcommittee approved the first phase of funding to replace the Child Support Enforcement Automated System (CSEAS). This approval included $1.6 million General Fund for debt service on XI-Q Bonds authorized in Senate Bill 5506, $14.1 million Other Funds expenditure limitation, and $27.4 million Federal Funds. In total, the CSEA projected is estimated to cost $109.4 million with federal funding supporting two-thirds of the project. The Subcommittee also approved the following budget note:

> **Budget Note:**
> The Department of Justice (DOJ) is directed to work with the Department of Administrative Services (DAS) in the development and implementation of the Child Support System Modernization project. DAS is to provide support to and collaborate with the DOJ in the information technology systems development lifecycle, procurement, quality assurance, and other support needed to successfully complete this project. DOJ and DAS are directed to report to the Legislative Fiscal Office at a minimum of every six months through the remainder of the biennium on the status of the project as well as provide copies of all Quality Assurance, Quality Control, and Independent Verification and Validation reports upon their receipt by the agency. DOJ is also to submit an update on the status of foundational project management documentation, including copies of completed documents, each accompanied by independent quality control review, to LFO by December 1, 2013.

The Subcommittee approved budget adjustments for an increase in the statutorily set salary for statewide elected officials as provided for in House Bill 2322. The statewide elected officials include the Governor, Secretary of State, State Treasurer, Attorney General, and the Commissioner of Labor and Industries. Each is to receive a salary increase of $5,000 per year, beginning on January 1, 2014. The impact on the Department of Justice is an increase of $9,174 Other Funds expenditure limitation, including other payroll expenses. Statewide elected officials last received a salary increase in July of 2009. Statutory Judgeships are also to receive a salary increase (see Judicial Branch program area narrative). The compensation for members of the Legislative Assembly remains unchanged.

The Subcommittee also authorized $75,000 General Fund to support the Oregon Crime Victims Law Center. Further, the Defense of Criminal Conviction program was reduced by $391,724 General Fund to reflect a smaller increase in mandated caseload.

Oregon Military Department

The Subcommittee appropriated $275,000 of General Fund for the Oregon Local Disaster Assistance Loan and Grant Account under the Emergency Management program for possible loans or grants from the account. The Department will need to request Other Funds expenditure limitation from either the Legislature or the Emergency Board specific to a local disaster in order to expend funds from the Account.

The bill includes $15,000 General Fund for the Oregon Youth Challenge program for the reimbursement of fuel costs of participating youths' parents or legal guardians who travel to the program to visit their children. The Oregon Youth Challenge program is to establish a reimbursement policy for such expenses and is to expend no more than the appropriation provided, unless federal matching funds are available for this purpose.

General Fund Debt Service of $314,523 was approved to support repayment of Article XI-Q General Obligation bond approved in Senate Bill 5506 for the 2013-15 biennium (Sharff Hall – Portland and Roseburg Armory). The appropriation reflects a reduction of $78,840 for General Fund Debt Service savings from previously issued bonds. The General Fund Debt Service for the 2015-17 biennium will total $2.2 million and will include Debt Service costs for Sharff Hall, the Medford Armory, the Roseburg Armory, and the Baker City Readiness Center. The Subcommittee also approved $237,345 Other Funds expenditure limitation for the cost of issuance for the four projects.

Oregon State Police

To address concerns for diminishing availability of public safety services in some Oregon counties, particularly in the areas of patrol and criminal investigations, the Subcommittee approved $1.16 million General Fund and 10 trooper positions (2.50 FTE) within the Patrol Division, $462,000 General Fund and four trooper positions (1.00 FTE) within the Criminal Division, $1.47 million General Fund for forensic equipment and one Forensic Scientist (0.88 FTE), and $300,000 General Fund to support contractual payments for medical examiner services in Southern Oregon.

Department of Public Safety Standards and Training

The Subcommittee approved $1 million Other Funds (Criminal Fine Account) expenditure limitation and four Public Safety Training Specialist 2 positions (3.52 FTE) to support the Oregon Center for Policing Excellence.

Oregon Youth Authority

The General Fund appropriation for the East Multnomah County gang funding is increased by $126,673 for extraordinary inflation associated with personnel costs. Total funding with this addition is $1,833,428.

Because of savings in capital projects, Oregon Youth Authority is able to redirect unspent funds to debt service, eliminating the need for the same amount of General Fund. The Subcommittee approved establishing a new other Funds expenditure limitation for $384,877 for debt service. The General Fund reduction is included in the omnibus adjustments.

## TRANSPORTATION

Department of Transportation

Senate Bill 665 proposed moving the Statewide Interoperability Coordinator (SWIC) position and support for the Statewide Interoperability Executive Council from the Department of Transportation to the Department of Administrative Services. The bill was not heard. The agencies are instructed to complete planning for the transfer and report to the February 2014 Legislature on status of the federal FirstNet grant funding and how the SWIC's salary and other expenses will be paid. Two positions that were eliminated from the Department of Transportation's Highway Maintenance unit budget in anticipation of Senate Bill 665's passage are restored. They are a Project Manager 3 and an Operations and Policy Analyst 4. Other Funds expenditure limitation is increased by $391,871 in Personal Services to support the positions.

House Bill 3137 permits an individual to submit to the Department of Transportation a voluntary odometer reading for a vehicle over ten years old as part of transfer of any interest in the motor vehicle. The measure has a fiscal impact to the Driver and Motor Vehicles Division. If the bill becomes law, it is understood that the Department may proceed with implementation if the National Highway Traffic Safety Administration odometer fraud grant in the same amount, for which the Department applied in May, 2013, is awarded. If the grant is not awarded and the Department can find no other funding to implement the measure, the Department may request funding from the Legislature in February 2014.

The Subcommittee approved an increase in Other Funds expenditure limitation in the Transportation Program Development section of $42,000,000 in lottery bond proceeds for ConnectOregon V. In addition, the limitation is increased by $691,683 for cost of issuance expenses. There is no debt service in the 2013-15 biennium as the bonds will not be sold until the spring of 2015. Debt service in 2015-17 is $7.6 million Lottery Funds. The following direction was provided:

> **Budget Note:**
> In order to ensure Connect Oregon Funds are used efficiently and effectively, the department shall take steps to ensure that projects are delivered on time and on budget. Actions shall include, but not be limited to, the withholding of five percent of the moneys awarded. Of the amount withheld under this section, the department shall release to the recipient:
>
> (a) Eighty percent when the recipient has completed the project.
>
> (b) Twenty percent when the recipient has submitted and the department has accepted the final performance measure report as established by agreement between the department and the recipient. The department may not pay and the recipient forfeits the amount withheld under this paragraph if the recipient does not submit a final performance measure report before the due date in a manner that is acceptable to the department as established by the agreement between the department and the recipient. Additionally, if the recipient does not submit the performance measure report before the due date, the recipient may not apply for another performance grant during the next application cycle.

Public Transit Other Funds expenditure limitation is increased by $3,500,000 lottery bond proceeds for the Salem Keizer Transit Center. In addition, the Other Funds limitation is increased by $62,986 for cost of issuance expenses. There is no debt service in the 2013-15 biennium as the bonds will not be sold until the spring of 2015. Debt service in 2015-17 is $0.6 million Lottery Funds.

General Fund debt service in Senate Bill 5544 for the Lane Transit EmX project is eliminated, for a reduction of $757,944. Lottery Funds debt service for this borrowing will begin in the 2015-17 biennium.

Other Funds expenditure limitation is increased in the Rail Division by $10,000,000 for lottery bond proceeds for Coos Bay Rail. In addition, the limitation is increased by $239,248 for cost of issuance expenses. There is no debt service in the 2013-15 biennium as the bonds will not be sold until the spring of 2015. Debt service in 2015-17 is $1.8 million Lottery Funds.

# Adjustments to 2011-13 Budgets

Emergency Board

House Bill 5008 disappropriates $50,447,306 General Fund from the Emergency Board. This represents all remaining appropriation in the Emergency Board for the 2011-13 biennium.

Oregon University System

The Subcommittee acted to align 2011-13 Other Funds expenditure limitations, adopted for the Oregon University System (OUS) before Senate Bill 242 (2011) was passed, with current budget reality, by removing a total of $2,329,480,585 Other Fund limited and $2,236,635,139 Other Funds non-limited authority approved by the Legislature during the 2011 Regular Session. With the passage of Senate Bill 242 (2011) the Oregon University System and its seven public universities were reorganized as non-state agencies. Part of this change involved removing OUS from being subject to Other Funds expenditure limitation by the Legislature.

Oregon Youth Authority

House Bill 5008 includes a supplemental General Fund appropriation of $200,000 for operations.

Military Department

The Subcommittee disappropriated $460,000 of General Fund for the Oregon Local Disaster Assistance Loan and Grant Account, which is in the Emergency Management program. There are currently no outstanding loan or grant requests for the account. The Subcommittee also disappropriated $26,748 of General Fund Debt Service savings from the Capital Debt Service and Related Costs program.

| Agency Name | Appropriation Description | Bill Number | Section/ Sub | Fund | General Fund | Lottery Funds | Other Funds | Federal Funds |
|---|---|---|---|---|---|---|---|---|
| **ADMINISTRATION** | | | | | | | | |
| ADVOCACY COMMISSIONS OFFICE | Operating Expenses | SB 5501 | 01 | GF | (8,301) | - | - | - |
| DEPT OF ADMIN SERVICES | Chief Operating Office | HB 5002 | 01-01 | GF | (5,511) | - | - | - |
| DEPT OF ADMIN SERVICES | Enterprise Asset Management | HB 5002 | 01-02 | GF | (25,298) | - | - | - |
| DEPT OF ADMIN SERVICES | Oregon Public Broadcasting | HB 5002 | 01-03 | GF | (10,000) | - | - | - |
| DEPT OF ADMIN SERVICES | Oregon Historical Society | HB 5002 | 01-04 | GF | (15,000) | - | - | - |
| SECRETARY OF STATE | Administrative Services | SB 5539 | 01-01 | GF | (39,053) | - | - | - |
| SECRETARY OF STATE | Elections Division | SB 5539 | 01-02 | GF | (136,133) | - | - | - |
| DEPT OF REVENUE | Administration | SB 5538 | 01 | GF | (1,121,210) | - | - | - |
| DEPT OF REVENUE | Property Tax | SB 5538 | 02 | GF | (289,008) | - | - | - |
| DEPT OF REVENUE | Personal Tax and Compliance | SB 5538 | 03 | GF | (1,329,932) | - | - | - |
| DEPT OF REVENUE | Business | SB 5538 | 04 | GF | (446,852) | - | - | - |
| DEPT OF REVENUE | Elderly Rental Assistance and Nonprofit Housing | SB 5538 | 05 | GF | (113,440) | - | - | - |
| EMPLOYMENT RELATIONS BOARD | Operating Expenses | HB 5010 | 01 | GF | (38,817) | - | - | - |
| OFFICE OF THE GOVERNOR | Operating Expenses | SB 5523 | 01 | GF | (205,081) | - | - | - |
| OFFICE OF THE GOVERNOR | Expenses for Duties | SB 5523 | 02 | GF | (1,000) | - | - | - |
| OREGON STATE LIBRARY | Operating Expenses | HB 5022 | 01 | GF | (32,951) | - | - | - |
| **CONSUMER AND BUSINESS SERVICES** | | | | | | | | |
| BUREAU OF LABOR AND INDUSTRIES | Operating Expenses | HB 5020 | 01 | GF | (234,674) | - | - | - |
| **ECONOMIC DEVELOPMENT** | | | | | | | | |
| ECONOMIC AND COMMUNITY DEVELOP | Arts Commission | HB 5028 | 01-01 | GF | (89,679) | - | - | - |
| ECONOMIC AND COMMUNITY DEVELOP | Business, Innovation and Trade | HB 5028 | 03-01 | LF | - | (1,178,533) | - | - |
| ECONOMIC AND COMMUNITY DEVELOP | Business, Innovation and Trade - Seismic Rehab | SB 813 | 18e-01 | LF | - | (3,208) | - | - |
| ECONOMIC AND COMMUNITY DEVELOP | Shared Services | HB 5028 | 03-02 | LF | - | (136,228) | - | - |
| ECONOMIC AND COMMUNITY DEVELOP | Shared Services - Seismic Rehab | SB 813 | 18e-02 | LF | - | (2,413) | - | - |
| ECONOMIC AND COMMUNITY DEVELOP | Oregon Film & Video Office | HB 5028 | 03-03 | LF | - | (23,072) | - | - |
| ECONOMIC AND COMMUNITY DEVELOP | Oregon Growth Board | HB 2323 | 14 | LF | - | (2,000) | - | - |
| ECONOMIC AND COMMUNITY DEVELOP | Oregon Industrial Site Readiness Program | SB 246 | 08 | LF | - | (3,585) | - | - |
| ECONOMIC AND COMMUNITY DEVELOP | Beginning and Expanding Farmer Loan Program | HB 2700 | 07 | LF | - | (4,552) | - | - |
| DEPT OF HOUSING/COMMUNITY SVCS | Operating Expenses | HB 5015 | 01 | GF | (169,304) | - | - | - |
| DEPT OF VETERANS AFFAIRS | Services Provided by ODVA | HB 5047 | 01-01 | GF | (82,086) | - | - | - |
| DEPT OF VETERANS AFFAIRS | County Payments | HB 5047 | 01-02 | GF | (91,535) | - | - | - |
| DEPT OF VETERANS AFFAIRS | Vet's Services Organizations Payments | HB 5047 | 01-03 | GF | (2,212) | - | - | - |
| **EDUCATION** | | | | | | | | |
| DEPT OF EDUCATION | Operations | SB 5518 | 01-01 | GF | (909,397) | - | - | - |
| DEPT OF EDUCATION | Oregon School for the Deaf | SB 5518 | 01-02 | GF | (222,340) | - | - | - |
| DEPT OF EDUCATION | Early intervention services and early childhood special education programs | SB 5518 | 02-01 | GF | (2,720,844) | - | - | - |
| DEPT OF EDUCATION | Other special education programs | SB 5518 | 02-02 | GF | (857,426) | - | - | - |
| DEPT OF EDUCATION | Blind and Visually Impaired Student Fund | SB 5518 | 02-03 | GF | (19,769) | - | - | - |
| DEPT OF EDUCATION | Breakfast and summer food programs | SB 5518 | 02-04 | GF | (46,375) | - | - | - |
| DEPT OF EDUCATION | Strategic investments | SB 5518 | 02-05 | GF | (436,976) | - | - | - |

| Agency Name | Appropriation Description | Bill Number | Section/ Sub | Fund | General Fund | Lottery Funds | Other Funds | Federal Funds |
|---|---|---|---|---|---|---|---|---|
| DEPT OF EDUCATION | Other grant-in-aid programs | SB 5518 | 02-06 | GF | (80,053) | - | - | - |
| DEPT OF EDUCATION | Oregon prekindergarten program | SB 5518 | 02-07 | GF | (2,548,483) | - | - | - |
| DEPT OF EDUCATION | Other early learning programs | SB 5518 | 02-08 | GF | (673,910) | - | - | - |
| DEPT OF EDUCATION | Youth development programs | SB 5518 | 02-09 | GF | (114,982) | - | - | - |
| OREGON EDUCATION INVESTMENT BRD | Operating Expenses | SB 5548 | 01 | GF | (123,176) | - | - | - |
| STUDENT ASSISTANCE COMMISSION | Oregon Opportunity Grants | HB 5032 | 01-01 | GF | (2,269,536) | - | - | - |
| STUDENT ASSISTANCE COMMISSION | OSAC operations | HB 5032 | 01-02 | GF | (63,076) | - | - | - |
| STUDENT ASSISTANCE COMMISSION | Other payments to individuals and institutions | HB 5032 | 01-03 | GF | (28,985) | - | - | - |
| COMMUNITY COLLEGES DEPARTMENT | Operations | HB 5019 | 01-01 | GF | (275,715) | - | - | - |
| COMMUNITY COLLEGES DEPARTMENT | Skills centers | HB 5019 | 01-03 | GF | (12,000) | - | - | - |
| HIGHER EDUCATION COORD. COMM. | Operations | HB 5033 | 01 | GF | (45,957) | - | - | - |
| **HUMAN SERVICES** | | | | | | | | |
| LONG TERM CARE OMBUDSMAN | Operating Expenses | HB 5024 | 01 | GF | (39,554) | - | - | - |
| COMMISSION FOR THE BLIND | Operating Expenses | HB 5003 | 01 | GF | (31,287) | - | - | - |
| PSYCHIATRIC REVIEW BOARD | Operating Expenses | HB 5040 | 01 | GF | (46,969) | - | - | - |
| DEPT OF HUMAN SERVICES | Central Services, Statewide Assessments & Enterprise-wide Costs | SB 5529 | 01-01 | GF | (3,618,994) | - | - | - |
| DEPT OF HUMAN SERVICES | Child Welfare, Self Sufficiency, and Vocational Rehabilitation Services | SB 5529 | 01-02 | GF | (16,897,531) | - | - | - |
| DEPT OF HUMAN SERVICES | Aging and People with Disabilities and Developmental Disabilities Programs | SB 5529 | 01-03 | GF | (25,049,879) | - | - | - |
| OREGON HEALTH AUTHORITY | Programs | HB 5030 | 01-01 | GF | (36,974,198) | - | - | - |
| OREGON HEALTH AUTHORITY | Central Services, Statewide Assessments and Enterprise-wide Costs | HB 5030 | 01-02 | GF | (1,834,762) | - | - | - |
| **JUDICIAL BRANCH** | | | | | | | | |
| JUDICIAL FIT OR DISABILITY COM | Administration | HB 5017 | 01-01 | GF | (3,849) | - | - | - |
| JUDICIAL FIT OR DISABILITY COM | Extraordinary expenses | HB 5017 | 01-02 | GF | (206) | - | - | - |
| JUDICIAL DEPARTMENT | Operations | HB 5016 | 01-02 | GF | (5,455,635) | - | - | - |
| JUDICIAL DEPARTMENT | Mandated Payments | HB 5016 | 01-03 | GF | (296,940) | - | - | - |
| JUDICIAL DEPARTMENT | Electronic Court | HB 5016 | 01-04 | GF | (37,415) | - | - | - |
| JUDICIAL DEPARTMENT | Third-party Debt Collections | HB 5016 | 01-06 | GF | (239,201) | - | - | - |
| JUDICIAL DEPARTMENT | Oregon Law Commission | HB 5016 | 05 | GF | (4,492) | - | - | - |
| JUDICIAL DEPARTMENT | Council on Court Procedures | HB 5016 | 06 | GF | (1,040) | - | - | - |
| JUDICIAL DEPARTMENT | Conciliation & mediation services in circuit courts | HB 5016 | 07-01 | GF | (144,248) | - | - | - |
| JUDICIAL DEPARTMENT | Operating law libraries or providing law library services | HB 5016 | 08-01 | GF | (144,248) | - | - | - |
| PUBLIC DEFENSE SERVICES | Appellate Division | HB 5041 | 01-01 | GF | (291,347) | - | - | - |
| PUBLIC DEFENSE SERVICES | Professional Services | HB 5041 | 01-02 | GF | (4,617,158) | - | - | - |
| PUBLIC DEFENSE SERVICES | Contract and Business Services Division | HB 5041 | 01-03 | GF | (65,418) | - | - | - |
| **LEGISLATIVE BRANCH** | | | | | | | | |
| LEGISLATIVE ADMIN COMMITTEE | General program | HB 5021 | 01-01 | GF | (533,335) | - | - | - |
| LEGISLATIVE ASSEMBLY | Biennial General Fund | HB 5021 | 04 | GF | (266,482) | - | - | - |
| LEGISLATIVE ASSEMBLY | 77th Leg Assembly | HB 5021 | 05-01 | GF | (338,307) | - | - | - |
| LEGISLATIVE ASSEMBLY | 78th Leg Assembly | HB 5021 | 05-02 | GF | (176,899) | - | - | - |
| LEGISLATIVE COUNSEL COMMITTEE | Operating Expenses | HB 5021 | 08 | GF | (200,172) | - | - | - |

| Agency Name | Appropriation Description | Bill Number | Section/ Sub | Fund | General Fund | Lottery Funds | Other Funds | Federal Funds |
|---|---|---|---|---|---|---|---|---|
| LEGISLATIVE FISCAL OFFICER | Operating Expenses | HB 5021 | 11-01 | GF | (73,889) | - | - | - |
| LEGISLATIVE REVENUE OFFICE | Operating Expenses | HB 5021 | 12 | GF | (51,399) | - | - | - |
| INDIAN SERVICES COMMISSION | Operating Expenses | HB 5021 | 13 | GF | (9,186) | - | - | - |
| **NATURAL RESOURCES** | | | | | | | | |
| DEPT OF GEOLOGY AND INDUSTRIES | General Fund | HB 5014 | 01 | GF | (51,519) | - | - | - |
| DEPT OF PARKS AND RECREATION | General Fund | HB 5034 | 01 | GF | (20,000) | - | - | - |
| LAND USE APPEALS BOARD | General Fund | SB 5531 | 01 | GF | (30,950) | - | - | - |
| DEPT OF WATER RESOURCES | Water resources program | SB 5547 | 01 | GF | (540,096) | - | - | - |
| DEPT OF AGRICULTURE | Administrative and Support Services | SB 5502 | 01-01 | GF | (33,821) | - | - | - |
| DEPT OF AGRICULTURE | Food Safety | SB 5502 | 01-02 | GF | (130,436) | - | - | - |
| DEPT OF AGRICULTURE | Natural Resources | SB 5502 | 01-03 | GF | (140,392) | - | - | - |
| DEPT OF AGRICULTURE | Agricultural Development | SB 5502 | 01-04 | GF | (79,760) | - | - | - |
| DEPT OF ENVIRONMENTAL QUALITY | Air quality | SB 5520 | 01-01 | GF | (114,865) | - | - | - |
| DEPT OF ENVIRONMENTAL QUALITY | Water quality | SB 5520 | 01-02 | GF | (392,845) | - | - | - |
| DEPT OF ENVIRONMENTAL QUALITY | Land quality | SB 5520 | 01-03 | GF | (15,194) | - | - | - |
| DEPT OF FISH AND WILDLIFE | Fish Division | HB 5013 | 01-01 | GF | (252,570) | - | - | - |
| DEPT OF FISH AND WILDLIFE | Wildlife Division | HB 5013 | 01-02 | GF | (9,787) | - | - | - |
| DEPT OF FISH AND WILDLIFE | Administrative Services Division | HB 5013 | 01-03 | GF | (29,412) | - | - | - |
| DEPT OF FISH AND WILDLIFE | Capital Improvement | HB 5013 | 01-05 | GF | (2,912) | - | - | - |
| DEPT OF FORESTRY | Agency administration | SB 5521 | 01-01 | GF | (4,000) | - | - | - |
| DEPT OF FORESTRY | Fire protection | SB 5521 | 01-02 | GF | (771,994) | - | - | - |
| DEPT OF FORESTRY | Private forests | SB 5521 | 01-03 | GF | (286,486) | - | - | - |
| DEPT OF LAND CONSERVTN/DEVELOP | Planning program | SB 5530 | 01-01 | GF | (211,684) | - | - | - |
| DEPT OF LAND CONSERVTN/DEVELOP | Grant Programs | SB 5530 | 01-02 | GF | (24,653) | - | - | - |
| COLUMBIA RIVER GORGE COMMISSION | Operating Expenses | SB 5511 | 01 | GF | (17,820) | - | - | - |
| **PUBLIC SAFETY** | | | | | | | | |
| BOARD OF PAROLE/POST PRISON | General Fund | HB 5035 | 01 | GF | (79,300) | - | - | - |
| OREGON STATE POLICE | Patrol services, criminal investigations and gaming enforcement | HB 5038 | 01-01 | GF | (2,965,500) | - | - | - |
| OREGON STATE POLICE | Fish and wildlife enforcement | HB 5038 | 01-02 | GF | (57,000) | - | - | - |
| OREGON STATE POLICE | Forensic services and State Medical Examiner | HB 5038 | 01-03 | GF | (742,545) | - | - | - |
| OREGON STATE POLICE | Administrative Services, information management and Office of the State Fire Marshal | HB 5038 | 01-04 | GF | (928,850) | - | - | - |
| DEPT OF CORRECTIONS | Operations and health services | HB 5005 | 01-01 | GF | (17,849,890) | - | - | - |
| DEPT OF CORRECTIONS | Administration, general services and human resources | HB 5005 | 01-02 | GF | (2,570,120) | - | - | - |
| DEPT OF CORRECTIONS | Offender management and rehabilitation | HB 5005 | 01-03 | GF | (1,281,735) | - | - | - |
| DEPT OF CORRECTIONS | Community corrections | HB 5005 | 01-04 | GF | (4,342,090) | - | - | - |
| DEPT OF CORRECTIONS | Capital Improvements | HB 5005 | 01-06 | GF | (53,975) | - | - | - |
| CRIMINAL JUSTICE COMMISSION | General Fund | HB 5007 | 01 | GF | (277,465) | - | - | - |
| DISTRICT ATTORNEYS/DEPUTIES | Department of Justice for District Attorneys | SB 5517 | 01 | GF | (209,190) | - | - | - |
| DEPT OF JUSTICE | Office of AG & adminstration | HB 5018 | 01-01 | GF | (6,000) | - | - | - |
| DEPT OF JUSTICE | Civil enforcement | HB 5018 | 01-02 | GF | (77,855) | - | - | - |
| DEPT OF JUSTICE | Criminal Justice | HB 5018 | 01-03 | GF | (168,930) | - | - | - |
| DEPT OF JUSTICE | Crime victims' services | HB 5018 | 01-04 | GF | (114,900) | - | - | - |
| DEPT OF JUSTICE | Defense of criminal conviction | HB 5018 | 01-05 | GF | (379,270) | - | - | - |

| Agency Name | Appropriation Description | Bill Number | Section/ Sub | Fund | General Fund | Lottery Funds | Other Funds | Federal Funds |
|---|---|---|---|---|---|---|---|---|
| DEPT OF JUSTICE | Child Support Division | HB 5018 | 01-06 | GF | (465,565) | - | - | - |
| DEPT OF MILITARY | Administration | SB 5534 | 01-01 | GF | (88,729) | - | - | - |
| DEPT OF MILITARY | Operations | SB 5534 | 01-02 | GF | (127,396) | - | - | - |
| DEPT OF MILITARY | Emergency Management | SB 5534 | 01-03 | GF | (37,768) | - | - | - |
| DEPT OF MILITARY | Community Support | SB 5534 | 01-04 | GF | (4,114) | - | - | - |
| OREGON YOUTH AUTHORITY | Operations | HB 5050 | 01-01 | GF | (5,017,373) | - | - | - |
| OREGON YOUTH AUTHORITY | Juvenile crime prevention/diversion | HB 5050 | 01-02 | GF | (351,862) | - | - | - |
| OREGON YOUTH AUTHORITY | East Multnomah County gang funding | HB 5050 | 01-03 | GF | (34,135) | - | - | - |
| OREGON YOUTH AUTHORITY | Multnomah County Gang Services | HB 5050 | 01-04 | GF | (67,542) | - | - | - |
| OREGON YOUTH AUTHORITY | Capital Improvements | HB 5050 | 01-06 | GF | (14,763) | - | - | - |
| **TRANSPORTATION** | | | | | | | | |
| OREGON DEPT OF TRANSPORTATION | PTD: Elderly & People w/Disabilities Transportation Pgm | SB 5544 | 02 | GF | (40,000) | - | - | - |
| | | | **TOTAL** | | **(154,895,175)** | **(1,353,591)** | **-** | **-** |

Oregon
John A. Kitzhaber, MD, Governor

**Department of Administrative Services**
Budget and Management Division
155 Cottage Street NE U10
Salem, OR 97301
PHONE: (503) 378-3106
FAX: (503) 373-7643

**DATE:** September 6, 2013

**TO:** George Naughton, Department of Administrative Services
Ken Rocco, Legislative Fiscal Office

**FROM:** Dustin Ball, Policy and Budget Analyst
Budget and Management Division

**SUBJECT:** 2013 Session Budget Report Correction: Senate Bill 728-B

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**ISSUE:** The budget report for SB 728 mistakenly placed $19,784 General Fund in SCR 010-45 Shared Services instead of SCR 010-50 Statewide Assessments and Enterprise-wide Costs.

The $19,784 General Fund is for facilities, information technology and human resources costs associated with the Operations and Policy Analyst 3 position (0.75 FTE) added by the bill. The purpose of this new position is to collect, analyze, and provide information to the newly created State Trauma Advisory Board.

The $19,784 was mistakenly placed in the wrong SCR.

**ACTION TO BE TAKEN:** Move the $19,784 General Fund from SCR 010-45 Shared Services to SCR 010-50 Statewide Assessments and Enterprise-wide Costs

**CLARIFICATION/CORRECTION ACKNOWLEDGED:**

George Naughton
Department of Administrative Services

Ken Rocco
Legislative Fiscal Office

Attachments

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**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **SB 728-B**

**Carrier – House:** **Rep. Freeman**
**Carrier – Senate:** **Sen. Bates**

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**Action:** Do Pass the A-Engrossed Measure as Amended and be Printed B-Engrossed

**Vote:** 26 – 0 – 0

House
Yeas: Barker, Buckley, Frederick, Freeman, Hanna, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson
Nays:
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Dustin Ball, Department of Administrative Services

**Reviewed By:** Linda Ames, Legislative Fiscal Office

**Meeting Date:** June 14, 2013

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**Agency**
Oregon Health Authority

**Biennium**
2013-15

## Budget Summary*

| | 2011-13 Legislatively Approved Budget (1) | 2013-15 Current Service Level | 2013-15 Committee Recommendation | Committee Change from 2011-13 Leg. Approved | |
|---|---|---|---|---|---|
| | | | | $$ Change | % Change |
| General Fund | $ 0 | $ 0 | $ 179,692 | $ 179,692 | 100.0% |
| Total | $ 0 | $ 0 | $ 179,692 | $ 179,692 | 100.0% |

## Position Summary

| | | | | |
|---|---|---|---|---|
| Authorized Positions | 0 | 0 | 1 | 1 |
| Full-time Equivalent (FTE) positions | 0.00 | 0.00 | 0.75 | 0.75 |

(1) Includes adjustments through December 2012.
* Excludes Capital Construction expenditures

## Summary of Revenue Changes

Senate Bill 728 makes no changes to the fees charged or revenue generated by the Oregon Health Authority. This bill is funded with a General Fund appropriation.

## Summary of Human Services Subcommittee Action

Senate Bill 728 establishes a 17-member State Trauma Advisory Board within the Oregon Health Authority to advise the authority with regards to the state emergency medical services and trauma system. The bill directs the Board to analyze data related to the state's emergency medical services and trauma system, and to make evidence-based decisions in suggesting improvements to the system. In order to gather and analyze emergency medical services and trauma system data that will enable the State Trauma Advisory Board to make evidence-based decisions, the Oregon Health Authority will need to establish a full-time Operations and Policy Analyst 3 position to collect and analyze the data to provide information to the board.

The bill includes $179,692 General Funds for one Operations and Policy Analyst 3 position (0.75 FTE) and related Services and Supplies.

## Summary of Performance Measure Action

There is no performance measures established for the State Trauma Advisory Board.

Corrected Copy

# DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

**SB 728-B**

**Oregon Health Authority**
**Dustin Ball -- 503-378-3119**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| 2011-13 Legislatively Approved Budget at Dec 2012 * | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | | |
| 2013-15 ORBITS printed Current Service Level (CSL)* | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | | |
| SUBCOMMITTEE ADJUSTMENTS (from CSL) | | | | | | | | | |
| **SCR 020-04 - Public Health** | | | | | | | | | |
| Personal Services | $129,057 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $129,057 | 1 | 0.75 |
| Services and Supplies | $30,851 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $30,851 | | |
| **SCR 010-50 - Statewise assessments and Enterprise-wide Costs** | | | | | | | | | |
| Services and Supplies | $19,784 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $19,784 | | |
| TOTAL ADJUSTMENTS | $179,692 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $179,692 | 1 | 0.75 |
| SUBCOMMITTEE RECOMMENDATION * | $179,692 | $ 0 | $ 0 | $ 0 | $ 0 | $ 0 | $179,692 | 1 | 0.75 |
| % Change from 2011-13 Leg Approved Budget | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| % Change from 2013-15 Current Service Level | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 1st Special Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON SPECIAL SESSION**

**MEASURE:** **HB 5101**

**Carrier – House:** **Rep. Buckley**
**Carrier – Senate:** **Sen. Winters**

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**Action:** Do Pass as Printed

**Vote:** 10 – 0 – 0

House
Yeas: Barnhart, Buckley, Kotek, McLane, Parrish
Nays: 0
Exc: 0
Senate
Yeas: Burdick, Courtney, Devlin, Ferrioli, Winters
Nays: 0
Exc: 0

**Prepared By:** Ken Rocco, Legislative Fiscal Office

**Reviewed By:** Legislative Fiscal Office Staff

**Meeting Date:** October 1, 2013

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| **Agency** | **Biennium** |
|---|---|
| Various Agencies | 2013-15 |

## Budget Summary

| | | 2013-15 Legislatively Adopted Budget | | Committee Recommendations | Percentage Change from Legislatively Adopted Spending Level |
|---|---|---|---|---|---|
| **Education Program Area** | | | | | |
| **Community Colleges and Workforce Development** | | | | | |
| General Fund | $ | 481,408,441 | $ | 15,000,000 | 3.12% |
| **Department of Education – State School Fund** | | | | | |
| General Fund | $ | 6,222,625,891 | $ | 100,000,000 | 1.61% |
| **Oregon University System** | | | | | |
| General Fund | $ | 727,927,876 | $ | 25,000,000 | 3.43% |
| **Human Services Program Area** | | | | | |
| **Department of Human Services** | | | | | |
| General Fund | $ | 2,247,307,600 | $ | 10,000,000 | 0.44% |
| **Oregon Health Authority** | | | | | |
| Other Funds | $ | 1,972,206,670 | $ | 10,000,000 | 0.51% |

| | | 2013-15 Legislatively Adopted Budget | | Committee Recommendations | Percentage Change from Legislatively Adopted Spending Level |
|---|---|---|---|---|---|
| **Transportation Program Area** | | | | | |
| **Department of Transportation** | | | | | |
| General Fund | $ | 2,060,000 | $ | 5,000,000 | 242.72% |
| **Miscellaneous Program Area** | | | | | |
| **Emergency Board** | | | | | |
| General Fund - Special Purpose Appropriations | | | | | |
| Senior Services | $ | 0 | $ | 26,000,000 | 100.00% |

## Summary of Revenue Changes

House Bill 5101 appropriates additional General Fund and provides additional Other Funds expenditure limitation for revenue increases that were approved during the 2013 1st Special Session. The sources of these revenues are included in HB 3601.

## Summary of Joint Committee on Special Session Action

House Bill 5101 is an omnibus budget reconciliation bill implementing actions needed to adjust legislatively adopted budgets due to the additional projected General Fund and Other Funds revenue provided to six agencies as part of an overall legislative plan for the 2013 1st Special Session.

# Education Program Area

### Department of Community Colleges and Workforce Development

The Committee approved an additional $15 million General Fund for the Community College Support Fund for community college districts. This additional funding brings the total amount of General Fund appropriated for general purpose payments to Community Colleges to $465 million and will be distributed to the 17 community colleges through the existing distribution mechanism. The Committee adopted the following budget note:

> **Budget Note**
>
> In adopting this appropriation for the Community College Support Fund for community college districts, the Legislature intends that the additional $15 million will be distributed to the 17 community colleges to freeze or limit the increases in tuition and fees for the second year of the biennium to the extent possible given the amount of additional funding available.

### Oregon Department of Education – State School Fund

An additional $100 million is recommended for the State School Fund bringing the total General Fund appropriation for the 2013-15 biennium to $6,322,625,891 and the total funds amount for the State School Fund to $6,650,400,826. This additional $100 million will be distributed to

school districts and Education Service Districts (ESDs) through the statutorily established education distribution formula for the fiscal year beginning July 1, 2014 (second school year of the biennium).

In adopting this additional funding for the State School Fund, the Legislature intends that the $100 million General Fund for the second school year of the biennium be used by school districts to hire additional teachers and/or other educational professionals in order to decrease class sizes and to add back days to the school year in order to increase instructional time for students.

### Oregon University System

The Committee increased the General Fund appropriation for public university support by $25,000,000 with direction that the money be used to reduce resident undergraduate tuition increases at the state's seven public universities. The Committee adopted the following budget note to limit tuition increases on resident undergraduate students:

> **Budget Note:**
>
> In adopting the additional funding for the Oregon University System, the Legislature intends that increases in the base rates per credit hour paid by resident undergraduate students for the Winter 2014 and Spring 2014 terms on all seven campuses and one branch campus (EOU, OIT, OSU, OSU-Cascades, PSU, SOU, UO, and WOU) may not exceed an average of 2%. For students choosing the Tuition Promise program at WOU, rates of increase over the prior cohort may not exceed 4.3% for the Winter and Spring 2014 terms. For the 2014-15 academic year, the Legislature intends that there be no increases in the base rates per credit hour paid by resident undergraduate students at all seven campuses and one branch campus (EOU, OIT, OSU, OSU-Cascades, PSU, SOU, UO, and WOU). For students choosing the Tuition Promise program at WOU, rates of increase over the prior cohort may not exceed 2.2% for the 2014-15 academic year.

## Human Services Program Area

### Department of Human Services

The Committee added a total of $10 million General Fund to the Department of Human Services' (DHS) 2013-15 legislatively adopted budget for Oregon Project Independence (OPI), which brings program funding up to just under $20 million General Fund and essentially doubles program capacity. OPI, which is entirely state-funded, helps seniors 60 years and over live independently and safely in their own homes by providing individualized personal care, housekeeping, and case management support. Program funding has either decreased or remained flat over the last three biennia, while costs associated with transportation, administration, and direct services increased during the same timeframe.

For future distribution to programs or activities supporting seniors, the Committee set aside $26 million General Fund in a special purpose appropriation to the Emergency Board. Potential investments include augmenting services to seniors administered by local Area Agencies on Aging, backfilling Quality of Care Fund dollars, improving services for older adults with mental illness, and supporting efforts associated with SB 21 (2013), which requires planning to improve and strengthen Oregon's publicly funded long term care system. Specific decisions regarding the programs or activities to be funded are anticipated to be made during the 2014 legislative session.

**Oregon Health Authority**

HB 3601 includes a graduated tax increase in the cigarette tax that is dedicated to funding community mental health services through the Oregon Health Authority. The increase is expected to provide at least $20 million of revenue during the 2013-15 biennium for these programs.

The Committee added a total of $10 million Other Funds expenditure limitation to the Oregon Health Authority's (OHA) 2013-15 legislatively adopted budget for the following investments in community mental health programs:

- $5.8 million Other Funds expenditure limitation to fully fund the mental health system for children and young adults for 18 months of the biennium, consistent with the agency proposal for that investment during the 2013 legislative session. This investment is expected to include funding for school access to mental health, system of care and wraparound, parent child interaction therapy, young adult co-occurring disorder treatment, Oregon Psychiatric Access Line for Kids, and a youth sex trafficking program.
- $4.2 million Other Funds expenditure limitation to provide additional community based, coordinated services to individuals in crisis with mental health and co-occurring mental health and substance abuse disorders, in order to avoid costlier levels of care such as hospitalization or the criminal justice system.

The remaining $10 million of revenue will be available for additional investments in adult community mental health services later in the biennium, such as supported housing and peer delivered services. The decisions regarding the specific programs to be funded are anticipated to be made during the 2014 legislative session. The agency will review options to provide housing and related necessary support services for people with mental illness, including a rental assistance/support model, and a model to build additional housing using public-private partnerships, and will report on options and recommendations to the Joint Committee on Ways and Means during the 2014 legislative session.

The agency will provide an update on the program activities and outcomes realized with the additional funding, as part of the anticipated report on the implementation of the program investments in the community mental health system included in the 2013-15 adopted budget. That report is required by September 2014 per a budget note in HB 5030 (2013).

## Transportation Program Area

### Department of Transportation

The agency's 2013-15 legislatively adopted budget includes $2 million General Fund for senior and disabled transportation. The program distributes funds to counties, transit districts, and tribes that provide transportation services for older adults and persons with disabilities. To help offset projected declines in program non-General Fund revenue sources (cigarette taxes and identification card fees) and to provide additional resources for the Department's Elderly and People with Disabilities Transportation Program, the Committee added $5 million General Fund. The additional resources are expected to be used to maintain the existing system and improve services wherever possible. Funding should also allow communities to match federal resources to help support local projects. Potential projects include continuing the current Veterans' Transportation Pilot project which is only funded through June 2014, developing small volunteer transportation programs to supplement area services, and allowing contractors to operate more hours providing more riding opportunities per day.

## Miscellaneous Program Area

### Emergency Board

The Committee appropriated $26 million General Fund to the Emergency Board, as a special purpose appropriation, for allocation to state agencies for senior services. Additional information regarding potential use of these dollars is in the DHS section of this budget report narrative.

**77th OREGON LEGISLATIVE ASSEMBLY – 2014 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 4108-A**

**Carrier – House:** **Rep. Keny-Guyer**
**Carrier – Senate:** **Sen. Hansell**

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**Action:** Do Pass the A-Engrossed Measure

**Vote:** 21 – 4 – 1

House
Yeas: Barker, Buckley, Frederick, Jenson, Komp, Nathanson, Read, Richardson, Tomei, Williamson
Nays: Freeman, Hanna, Huffman, McLane
Exc: Smith
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Kate Nass, Department of Administrative Services

**Reviewed By:** Kim To, Legislative Fiscal Office

**Meeting Date:** February 28, 2014

---

| **Agency** | **Biennium** |
|---|---|
| Oregon Health Authority | 2013-15 |

## Budget Summary[1]

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $ Change | % Change |
| General Fund | $ 0 | $ 75,000 | $ 75,000 | 100.0% |
| Total | $ 0 | $ 75,000 | $ 75,000 | 100.0% |

## Position Summary

| | | | |
|---|---|---|---|
| Authorized Positions | 0 | 0 | 0 |
| Full-time Equivalent (FTE) positions | 0.00 | 0.00 | 0.00 |

(1) Excludes Capital Construction expenditures
(2) Includes approved expenditures through November 2013 and administrative actions

## Revenue Summary

House Bill 4108 A adds $75,000 General Fund to the Oregon Health Authority's budget for the 2013-15 biennium.

## Summary of Subcommittee Action

House Bill 4108A requires the Oregon Health Authority (OHA) to contract with one or more non-profit organizations to operate a pilot project to test whether used durable medical equipment could be provided to medical assistance recipients in a safe, functionally appropriate and cost-effective manner. The pilot project shall serve medical assistance recipients who live in Washington, Multnomah, Clackamas, Umatilla, Marion and Polk counties and may be expanded as OHA sees appropriate. The bill appropriates $75,000 General Fund for providing grants to non-profit organizations operating the pilot project. OHA is required to assess the success of the pilot project and report back to the Legislative Assembly by February 1, 2016.

## DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

**HB 4108-A**

**Oregon Health Authority**
**Kate Nass - 503-378-3742**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| **SCR 020-01: Medical Assistance Programs** | | | | | | | | | |
| Personal Services | | | | | | | 0 | 0 | 0.00 |
| Services and Supplies | 75,000 | | | | | | 75,000 | | |
| Total | $75,000 | $0 | $0 | $0 | $0 | $0 | $75,000 | 0 | 0.00 |
| SUBCOMMITTEE RECOMMENDATION | $75,000 | $0 | $0 | $0 | $0 | $0 | $75,000 | 0 | 0.00 |

**77th OREGON LEGISLATIVE ASSEMBLY – 2014 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 4109-A**

**Carrier – House:** **Rep. Greenlick**
**Carrier – Senate:** **Sen. Bates**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 18 – 7 – 1

House
Yeas: Barker, Buckley, Frederick, Jenson, Komp, Nathanson, Read, Richardson, Tomei, Williamson
Nays: Freeman, Hanna, Huffman, McLane
Exc: Smith
Senate
Yeas: Bates, Devlin, Edwards, Hansell, Johnson, Monroe, Steiner Hayward, Winters
Nays: Girod, Thomsen, Whitsett
Exc:

**Prepared By:** Kate Nass, Department of Administrative Services

**Reviewed By:** Linda Ames, Legislative Fiscal Office

**Meeting Date:** February 28, 2014

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| **Agency** | **Biennium** |
|---|---|
| Oregon Health Authority | 2013-15 |

## Budget Summary[1]

| | 2013-15 Legislatively Approved Budget[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $ Change | % Change |
| General Fund | $ 0 | $ 60,000 | $ 60,000 | 100.0% |
| Total | $ 0 | $ 60,000 | $ 60,000 | 100.0% |

## Position Summary

| | | | |
|---|---|---|---|
| Authorized Positions | 0 | 0 | 0 |
| Full-time Equivalent (FTE) positions | 0.00 | 0.00 | 0.00 |

(1) Excludes Capital Construction expenditures

(2) Includes approved expenditures through November 2013 and administrative actions

## Revenue Summary

House Bill 4109-A adds $60,000 General Fund to the Oregon Health Authority's budget for the 2013-15 biennium.

## Summary of Subcommittee Action

House Bill 4109-A requires the Oregon Health Authority (OHA) to commission an independent study on the feasibility of establishing a basic health program in Oregon and report findings to the Legislative Assembly no later than November 30, 2014. A basic health program is an insurance affordability program, allowed in the federal Affordable Care Act, to make health insurance more accessible to lower income individuals who do not qualify for Medicaid. The study must estimate the affected population, available federal funding, state expenses and administrative costs, impact to Oregon's health insurance exchange, the rate of coverage of the basic health plan option compared to the non-basic health plan environment, and the additional impacts to affected population regarding affordability and continuity of coverage. The bill adds $60,000 General Fund to the OHA's budget to contract for this study.

## DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

**HB 4109-A**

**Oregon Health Authority**
**Kate Nass - 503-378-3742**

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS LIMITED | OTHER FUNDS NONLIMITED | FEDERAL FUNDS LIMITED | FEDERAL FUNDS NONLIMITED | TOTAL ALL FUNDS | POS | FTE |
|---|---|---|---|---|---|---|---|---|---|
| **SCR 020-08: Health Policy Programs** | | | | | | | | | |
| Services and Supplies | 60,000 | | | | | | 60,000 | | |
| Total | $60,000 | $0 | $0 | $0 | $0 | $0 | $60,000 | 0 | 0.00 |
| SUBCOMMITTEE RECOMMENDATION | $60,000 | $0 | $0 | $0 | $0 | $0 | $60,000 | 0 | 0.00 |

**77th OREGON LEGISLATIVE ASSEMBLY – 2014 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **HB 5201-A**

**Carrier – House:** **Rep. Buckley**
**Carrier – Senate:** **Sen. Devlin**

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**Action:** Do Pass as Amended and be Printed A-Engrossed

**Vote:** 21 – 5 – 0

House
Yeas: Barker, Buckley, Frederick, Huffman, Jenson, Komp, Nathanson, Read, Smith, Tomei, Williamson
Nays: Hanna, Freeman, McLane, Richardson
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Winters
Nays: Whitsett
Exc:

**Prepared By:** Linda Ames and Laurie Byerly, Legislative Fiscal Office

**Reviewed By:** Daron Hill, Legislative Fiscal Office

**Meeting Date:** March 6, 2014

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| **Agency** | **Biennium** |
|---|---|
| Various Agencies | |
| Emergency Board | 2013-15 |

## Budget Summary[1]

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Emergency Board** | | | | |
| General Fund - General Purpose | $ 30,000,000 | $ 30,000,000 | $ - | 0.0% |
| General Fund - Special Purpose Appropriations | | | | |
| State employee compensation changes | $ 86,500,000 | $ - | $ (86,500,000) | -100.0% |
| Home health care worker compensation | $ 12,900,000 | $ - | $ (12,900,000) | -100.0% |
| Department of Education - student assessments | $ 4,600,000 | $ - | $ (4,600,000) | -100.0% |
| Department of Education - youth development | $ 1,789,557 | $ - | $ (1,789,557) | -100.0% |
| Housing & Community Services - various | $ 9,215,066 | $ - | $ (9,215,066) | -100.0% |
| Oregon Health Authority - A&D rate increases | $ 3,300,000 | $ - | $ (3,300,000) | -100.0% |
| Oregon Health Authority - dental pilots | $ 100,000 | $ - | $ (100,000) | -100.0% |
| Seniors | $ 26,000,000 | $ 12,704,627 | $ (13,295,373) | -51.1% |
| Oregon Health Authority/Department of Human Services - future costs | $ - | $ 24,000,000 | $ 24,000,000 | 0.0% |
| Oregon University System/Higher Ed Coordinating Commission - future costs | $ - | $ 3,500,000 | $ 3,500,000 | 0.0% |
| Judicial Department - operations | $ - | $ 1,300,000 | $ 1,300,000 | 0.0% |
| Judicial Department - debt collection costs | $ - | $ 700,000 | $ 700,000 | 0.0% |
| **ADMINISTRATION PROGRAM AREA** | | | | |
| **Department of Administrative Services** | | | | |
| General Fund | $ 11,148,091 | $ 11,914,443 | $ 766,352 | 6.9% |
| Other Funds | $ 877,567,512 | $ 907,312,584 | $ 29,745,072 | 3.4% |
| **Oregon Advocacy Commissions Office** | | | | |
| General Fund | $ 399,995 | $ 425,525 | $ 25,530 | 6.4% |
| **Employment Relations Board** | | | | |
| General Fund | $ 1,894,849 | $ 2,061,040 | $ 166,191 | 8.8% |
| Other Funds | $ 1,901,273 | $ 2,140,264 | $ 238,991 | 12.6% |
| **Government Ethics Commission** | | | | |
| Other Funds | $ 1,935,994 | $ 1,976,802 | $ 40,808 | 2.1% |
| **Office of the Governor** | | | | |
| General Fund | $ 10,007,383 | $ 11,401,846 | $ 1,394,463 | 13.9% |
| Lottery Funds | $ 3,261,204 | $ 3,376,215 | $ 115,011 | 3.5% |
| Other Funds | $ 2,809,734 | $ 2,910,655 | $ 100,921 | 3.6% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Oregon State Library** | | | | |
| General Fund | $ 1,591,908 | $ 1,629,976 | $ 38,068 | 2.4% |
| Other Funds | $ 2,774,285 | $ 2,810,561 | $ 36,276 | 1.3% |
| Federal Funds | $ 2,463,398 | $ 2,478,210 | $ 14,812 | 0.6% |
| **Oregon Liquor Control Commission** | | | | |
| Other Funds | $ 150,626,250 | $ 151,875,699 | $ 1,249,449 | 0.8% |
| **Public Employees Retirement System** | | | | |
| Other Funds | $ 84,002,344 | $ 88,153,980 | $ 4,151,636 | 4.9% |
| **Racing Commission** | | | | |
| Other Funds | $ 5,821,294 | $ 5,905,502 | $ 84,208 | 1.4% |
| **Department of Revenue** | | | | |
| General Fund | $ 165,191,130 | $ 166,641,759 | $ 1,450,629 | 0.9% |
| Other Funds | $ 65,256,876 | $ 64,202,113 | $ (1,054,763) | -1.6% |
| **Secretary of State** | | | | |
| General Fund | $ 8,476,735 | $ 8,663,845 | $ 187,110 | 2.2% |
| Other Funds | $ 48,895,140 | $ 50,265,885 | $ 1,370,745 | 2.8% |
| Federal Funds | $ 7,710,474 | $ 5,045,103 | $ (2,665,371) | -34.6% |
| **Treasurer of State** | | | | |
| Other Funds | $ 45,149,748 | $ 46,683,387 | $ 1,533,639 | 3.4% |
| **CONSUMER AND BUSINESS SERVICES PROGRAM AREA** | | | | |
| **Board of Accountancy** | | | | |
| Other Funds | $ 2,073,326 | $ 2,104,122 | $ 30,796 | 1.5% |
| **Board of Chiropractic Examiners** | | | | |
| Other Funds | $ 1,454,717 | $ 1,480,033 | $ 25,316 | 1.7% |
| **Construction Contractors Board** | | | | |
| Other Funds | $ 15,874,620 | $ 16,287,732 | $ 413,112 | 2.6% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Department of Consumer and Business Services** | | | | |
| Other Funds | $ 210,350,105 | $ 215,686,144 | $ 5,336,039 | 2.5% |
| Federal Funds | $ 984,288 | $ 5,636,901 | $ 4,652,613 | 472.7% |
| **Board of Licensed Professional Counselors and Therapists** | | | | |
| Other Funds | $ 1,096,822 | $ 1,128,319 | $ 31,497 | 2.9% |
| **Board of Dentistry** | | | | |
| Other Funds | $ 2,581,266 | $ 2,606,916 | $ 25,650 | 1.0% |
| **Oregon Health Licensing Agency** | | | | |
| Other Funds | $ 7,642,521 | $ 3,882,241 | $ (3,760,280) | -49.2% |
| **Health Related Licensing Boards** | | | | |
| State Mortuary and Cemetery Board | | | | |
| Other Funds | $ 1,409,105 | $ 1,446,342 | $ 37,237 | 2.6% |
| Board of Naturopathic Medicine | | | | |
| Other Funds | $ 631,110 | $ 653,339 | $ 22,229 | 3.5% |
| Occupational Therapy Licensing Board | | | | |
| Other Funds | $ 367,857 | $ 380,132 | $ 12,275 | 3.3% |
| Board of Medical Imaging | | | | |
| Other Funds | $ 836,832 | $ 856,351 | $ 19,519 | 2.3% |
| State Board of Examiners for Speech-Language Pathology and Audiology | | | | |
| Other Funds | $ 529,895 | $ 544,232 | $ 14,337 | 2.7% |
| Oregon State Veterinary Medical Examining Board | | | | |
| Other Funds | $ 740,203 | $ 756,229 | $ 16,026 | 2.2% |
| **Bureau of Labor and Industries** | | | | |
| General Fund | $ 11,517,209 | $ 11,846,552 | $ 329,343 | 2.9% |
| Other Funds | $ 9,871,681 | $ 10,550,519 | $ 678,838 | 6.9% |
| Federal Funds | $ 1,495,043 | $ 1,683,613 | $ 188,570 | 12.6% |
| **Oregon Medical Board** | | | | |
| Other Funds | $ 10,453,997 | $ 10,729,843 | $ 275,846 | 2.6% |
| **Board of Nursing** | | | | |
| Other Funds | $ 14,196,228 | $ 14,493,701 | $ 297,473 | 2.1% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Board of Pharmacy** | | | | |
| Other Funds | $ 5,783,198 | $ 5,957,609 | $ 174,411 | 3.0% |
| **Board of Psychologist Examiners** | | | | |
| Other Funds | $ 1,005,553 | $ 1,038,215 | $ 32,662 | 3.2% |
| **Public Utility Commission** | | | | |
| Other Funds | $ 40,049,729 | $ 40,901,178 | $ 851,449 | 2.1% |
| Federal Funds | $ 2,444,367 | $ 2,474,000 | $ 29,633 | 1.2% |
| **Real Estate Agency** | | | | |
| Other Funds | $ 7,053,979 | $ 7,277,657 | $ 223,678 | 3.2% |
| **Board of Licensed Social Workers** | | | | |
| Other Funds | $ 1,350,215 | $ 1,395,325 | $ 45,110 | 3.3% |
| **Board of Tax Practitioners** | | | | |
| Other Funds | $ 1,157,125 | $ 1,183,845 | $ 26,720 | 2.3% |
| **ECONOMIC AND COMMUNITY DEVELOPMENT PROGRAM AREA** | | | | |
| **Oregon Business Development Department** | | | | |
| General Fund | $ 8,250,274 | $ 8,371,867 | $ 121,593 | 1.5% |
| Lottery Funds | $ 115,478,577 | $ 117,386,290 | $ 1,907,713 | 1.7% |
| Other Funds | $ 67,638,351 | $ 77,605,374 | $ 9,967,023 | 14.7% |
| Federal Funds | $ 38,781,008 | $ 38,841,307 | $ 60,299 | 0.2% |
| **Employment Department** | | | | |
| Other Funds | $ 120,522,794 | $ 127,704,596 | $ 7,181,802 | 6.0% |
| Federal Funds | $ 159,967,135 | $ 168,973,715 | $ 9,006,580 | 5.6% |
| **Housing and Community Services Department** | | | | |
| General Fund | $ 8,593,855 | $ 19,401,247 | $ 10,807,392 | 125.8% |
| Other Funds | $ 73,939,411 | $ 138,522,567 | $ 64,583,156 | 87.3% |
| Federal Funds | $ 77,993,813 | $ 117,493,813 | $ 39,500,000 | 50.6% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Department of Veterans' Affairs** | | | | |
| General Fund | $ 9,448,781 | $ 9,600,742 | $ 151,961 | 1.6% |
| Other Funds | $ 43,788,902 | $ 50,400,107 | $ 6,611,205 | 15.1% |
| Federal Funds | $ - | $ 300,000 | $ 300,000 | 0.0% |
| **EDUCATION PROGRAM AREA** | | | | |
| **Department of Community Colleges and Workforce Development** | | | | |
| General Fund | $ 496,408,441 | $ 496,718,644 | $ 310,203 | 0.1% |
| Other Funds | $ 115,912,543 | $ 116,212,901 | $ 300,358 | 0.3% |
| Federal Funds | $ 107,203,669 | $ 107,441,253 | $ 237,584 | 0.2% |
| **Department of Education** | | | | |
| General Fund | $ 436,954,079 | $ 453,469,907 | $ 16,515,828 | 3.8% |
| Other Funds | $ 134,359,784 | $ 134,784,035 | $ 424,251 | 0.3% |
| Federal Funds | $ 996,058,997 | $ 1,005,410,381 | $ 9,351,384 | 0.9% |
| **State School Fund** | | | | |
| Other Funds | $ 400,826 | $ 3,936,407 | $ 3,535,581 | 882.1% |
| **Higher Education Coordinating Commission** | | | | |
| General Fund | $ 3,111,497 | $ 2,903,360 | $ (208,137) | -6.7% |
| Other Funds | $ 1,732,885 | $ 1,757,474 | $ 24,589 | 1.4% |
| Federal Funds | $ 342,759 | $ 347,571 | $ 4,812 | 1.4% |
| **Oregon Education Investment Board** | | | | |
| General Fund | $ 6,035,608 | $ 6,202,578 | $ 166,970 | 2.8% |
| **Oregon Health and Science University** | | | | |
| Other Funds | $ 31,639,826 | $ 231,675,116 | $ 200,035,290 | 632.2% |
| **Oregon Student Access Commission** | | | | |
| General Fund | $ 115,718,916 | $ 115,806,520 | $ 87,604 | 0.1% |
| Lottery Funds | $ 246,223 | $ 2,546,223 | $ 2,300,000 | 934.1% |
| Other Funds | $ 20,094,896 | $ 20,173,727 | $ 78,831 | 0.4% |
| **Teachers Standards and Practices Commission** | | | | |
| Other Funds | $ 4,904,153 | $ 5,004,014 | $ 99,861 | 2.0% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Oregon University System** | | | | |
| General Fund | $ 752,677,876 | $ 751,177,876 | $ (1,500,000) | -0.2% |
| **HUMAN SERVICES PROGRAM AREA** | | | | |
| **Commission for the Blind** | | | | |
| General Fund | $ 1,522,942 | $ 1,598,027 | $ 75,085 | 4.9% |
| Other Funds | $ 3,052,021 | $ 2,025,381 | $ (1,026,640) | -33.6% |
| Federal Funds | $ 12,129,790 | $ 12,321,894 | $ 192,104 | 1.6% |
| **Oregon Health Authority** | | | | |
| General Fund | $ 1,972,206,670 | $ 1,959,774,729 | $ (12,431,941) | -0.6% |
| Lottery Funds | $ 10,545,822 | $ 10,592,532 | $ 46,710 | 0.4% |
| Other Funds | $ 3,771,761,551 | $ 3,814,407,180 | $ 42,645,629 | 1.1% |
| Federal Funds | $ 7,485,009,093 | $ 8,632,707,613 | $ 1,147,698,520 | 15.3% |
| **Department of Human Services** | | | | |
| General Fund | $ 2,257,307,600 | $ 2,324,079,188 | $ 66,771,588 | 3.0% |
| Other Funds | $ 474,879,587 | $ 501,842,250 | $ 26,962,663 | 5.7% |
| Federal Funds | $ 6,338,409,362 | $ 6,463,843,621 | $ 125,434,259 | 2.0% |
| **Long Term Care Ombudsman** | | | | |
| General Fund | $ 2,705,620 | $ 3,784,880 | $ 1,079,260 | 39.9% |
| Other Funds | $ 680,105 | $ 703,321 | $ 23,216 | 3.4% |
| **Psychiatric Security Review Board** | | | | |
| General Fund | $ 2,306,552 | $ 2,372,291 | $ 65,739 | 2.9% |
| Other Funds | $ 103,725 | $ 110,734 | $ 7,009 | 6.8% |
| **JUDICIAL BRANCH** | | | | |
| **Judicial Department** | | | | |
| General Fund | $ 394,466,850 | $ 400,423,412 | $ 5,956,562 | 1.5% |
| Other Funds | $ 61,809,554 | $ 65,078,242 | $ 3,268,688 | 5.3% |
| Federal Funds | $ 1,227,911 | $ 1,233,153 | $ 5,242 | 0.4% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Commission on Judicial Fitness and Disability** | | | | |
| General Fund | $ 193,140 | $ 197,316 | $ 4,176 | 2.2% |
| **Public Defense Services Commission** | | | | |
| General Fund | $ 244,280,071 | $ 249,451,095 | $ 5,171,024 | 2.1% |
| Other Funds | $ 4,467,042 | $ 4,474,644 | $ 7,602 | 0.2% |
| **LEGISLATIVE BRANCH** | | | | |
| **Legislative Administration Committee** | | | | |
| General Fund | $ 33,376,264 | $ 33,937,491 | $ 561,227 | 1.7% |
| Other Funds | $ 37,920,316 | $ 37,929,526 | $ 9,210 | 0.0% |
| **Legislative Assembly** | | | | |
| General Fund | $ 38,039,318 | $ 38,204,763 | $ 165,445 | 0.4% |
| Other Funds | $ 277,937 | $ 278,847 | $ 910 | 0.3% |
| **Commission on Indian Services** | | | | |
| General Fund | $ 444,063 | $ 450,369 | $ 6,306 | 1.4% |
| **Legislative Counsel** | | | | |
| General Fund | $ 9,784,658 | $ 9,952,041 | $ 167,383 | 1.7% |
| Other Funds | $ 1,658,313 | $ 1,681,068 | $ 22,755 | 1.4% |
| **Legislative Fiscal Office** | | | | |
| General Fund | $ 3,610,997 | $ 3,558,397 | $ (52,600) | -1.5% |
| Other Funds | $ 3,000,000 | $ 3,179,547 | $ 179,547 | 6.0% |
| **Legislative Revenue Office** | | | | |
| General Fund | $ 2,711,399 | $ 2,769,184 | $ 57,785 | 2.1% |
| **NATURAL RESOURCES PROGRAM AREA** | | | | |
| **Department of Agriculture** | | | | |
| General Fund | $ 18,720,616 | $ 19,460,351 | $ 739,735 | 4.0% |
| Lottery Funds | $ 6,333,815 | $ 6,473,272 | $ 139,457 | 2.2% |
| Other Funds | $ 53,980,931 | $ 55,589,067 | $ 1,608,136 | 3.0% |
| Federal Funds | $ 15,168,522 | $ 15,320,730 | $ 152,208 | 1.0% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Columbia River Gorge Commission** | | | | |
| General Fund | $ 873,180 | $ 891,000 | $ 17,820 | 2.0% |
| **Department of Environmental Quality** | | | | |
| General Fund | $ 29,936,112 | $ 30,961,259 | $ 1,025,147 | 3.4% |
| Lottery Funds | $ 3,824,782 | $ 3,873,265 | $ 48,483 | 1.3% |
| Other Funds | $ 139,956,679 | $ 142,862,396 | $ 2,905,717 | 2.1% |
| Federal Funds | $ 27,563,182 | $ 28,010,107 | $ 446,925 | 1.6% |
| **Department of Energy** | | | | |
| Other Funds | $ 49,447,398 | $ 50,489,645 | $ 1,042,247 | 2.1% |
| Federal Funds | $ 2,939,208 | $ 2,977,118 | $ 37,910 | 1.3% |
| **Department of Fish and Wildlife** | | | | |
| General Fund | $ 17,157,413 | $ 17,704,434 | $ 547,021 | 3.2% |
| Lottery Funds | $ 4,767,766 | $ 4,921,716 | $ 153,950 | 3.2% |
| Other Funds | $ 182,247,358 | $ 185,369,107 | $ 3,121,749 | 1.7% |
| Federal Funds | $ 131,933,605 | $ 134,778,425 | $ 2,844,820 | 2.2% |
| **State Forestry Department** | | | | |
| General Fund | $ 56,437,263 | $ 97,836,604 | $ 41,399,341 | 73.4% |
| Other Funds | $ 339,657,186 | $ 343,086,494 | $ 3,429,308 | 1.0% |
| Federal Funds | $ 33,853,011 | $ 34,108,167 | $ 255,156 | 0.8% |
| **Department of Geology and Mineral Industries** | | | | |
| General Fund | $ 2,505,043 | $ 2,582,015 | $ 76,972 | 3.1% |
| Other Funds | $ 7,835,292 | $ 7,955,725 | $ 120,433 | 1.5% |
| Federal Funds | $ 4,303,586 | $ 4,429,263 | $ 125,677 | 2.9% |
| **Department of Land Conservation and Development** | | | | |
| General Fund | $ 12,330,059 | $ 12,667,032 | $ 336,973 | 2.7% |
| Other Funds | $ 947,584 | $ 960,315 | $ 12,731 | 1.3% |
| Federal Funds | $ 5,891,950 | $ 6,014,070 | $ 122,120 | 2.1% |
| **Land Use Board of Appeals** | | | | |
| General Fund | $ 1,517,044 | $ 1,573,758 | $ 56,714 | 3.7% |
| Other Funds | $ 84,328 | $ 87,401 | $ 3,073 | 3.6% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Department of State Lands** | | | | |
| Other Funds | $ 29,740,188 | $ 30,563,139 | $ 822,951 | 2.8% |
| Federal Funds | $ 1,831,671 | $ 2,881,911 | $ 1,050,240 | 57.3% |
| **State Marine Board** | | | | |
| Other Funds | $ 25,981,329 | $ 26,214,465 | $ 233,136 | 0.9% |
| Federal Funds | $ 7,443,149 | $ 7,450,387 | $ 7,238 | 0.1% |
| **Parks and Recreation Department** | | | | |
| Lottery Funds | $ 84,614,432 | $ 85,843,436 | $ 1,229,004 | 1.5% |
| Other Funds | $ 111,999,304 | $ 113,690,281 | $ 1,690,977 | 1.5% |
| Federal Funds | $ 11,819,364 | $ 11,858,367 | $ 39,003 | 0.3% |
| **Water Resources Department** | | | | |
| General Fund | $ 26,504,946 | $ 27,284,614 | $ 779,668 | 2.9% |
| Other Funds | $ 34,547,011 | $ 34,736,737 | $ 189,726 | 0.5% |
| Federal Funds | $ 1,272,735 | $ 1,275,264 | $ 2,529 | 0.2% |
| **Oregon Watershed Enhancement Board** | | | | |
| Lottery Funds | $ 58,109,189 | $ 58,227,336 | $ 118,147 | 0.2% |
| Other Funds | $ 1,849,375 | $ 1,852,224 | $ 2,849 | 0.2% |
| Federal Funds | $ 32,732,090 | $ 32,817,029 | $ 84,939 | 0.3% |
| **PUBLIC SAFETY PROGRAM AREA** | | | | |
| **Department of Corrections** | | | | |
| General Fund | $ 1,396,990,504 | $ 1,448,294,183 | $ 51,303,679 | 3.7% |
| Other Funds | $ 39,599,876 | $ 39,926,693 | $ 326,817 | 0.8% |
| **Oregon Criminal Justice Commission** | | | | |
| General Fund | $ 23,745,288 | $ 23,851,046 | $ 105,758 | 0.4% |
| Other Funds | $ 483,422 | $ 479,680 | $ (3,742) | -0.8% |
| Federal Funds | $ 7,135,487 | $ 7,163,318 | $ 27,831 | 0.4% |
| **District Attorneys and Their Deputies** | | | | |
| General Fund | $ 10,239,592 | $ 10,849,009 | $ 609,417 | 6.0% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **Department of Justice** | | | | |
| General Fund | $ 64,380,931 | $ 66,289,084 | $ 1,908,153 | 3.0% |
| Other Funds | $ 259,697,319 | $ 265,469,616 | $ 5,772,297 | 2.2% |
| Federal Funds | $ 142,235,349 | $ 144,356,473 | $ 2,121,124 | 1.5% |
| **Oregon Military Department** | | | | |
| General Fund | $ 20,783,532 | $ 21,863,993 | $ 1,080,461 | 5.2% |
| Other Funds | $ 111,646,205 | $ 113,902,017 | $ 2,255,812 | 2.0% |
| Federal Funds | $ 284,930,096 | $ 287,230,606 | $ 2,300,510 | 0.8% |
| **Board of Parole and Post-Prison Supervision** | | | | |
| General Fund | $ 4,063,865 | $ 4,189,720 | $ 125,855 | 3.1% |
| **Department of State Police** | | | | |
| General Fund | $ 232,126,436 | $ 240,590,265 | $ 8,463,829 | 3.6% |
| Lottery Funds | $ 6,914,543 | $ 7,166,858 | $ 252,315 | 3.6% |
| Other Funds | $ 91,213,655 | $ 93,291,730 | $ 2,078,075 | 2.3% |
| Federal Funds | $ 9,411,098 | $ 9,446,084 | $ 34,986 | 0.4% |
| **Department of Public Safety Standards and Training** | | | | |
| Other Funds | $ 34,859,020 | $ 35,668,666 | $ 809,646 | 2.3% |
| **Oregon Youth Authority** | | | | |
| General Fund | $ 269,052,312 | $ 275,662,044 | $ 6,609,732 | 2.5% |
| Other Funds | $ 19,508,582 | $ 19,553,725 | $ 45,143 | 0.2% |
| Federal Funds | $ 34,496,051 | $ 34,680,918 | $ 184,867 | 0.5% |
| **TRANSPORTATION PROGRAM AREA** | | | | |
| **Department of Aviation** | | | | |
| Other Funds | $ 6,202,750 | $ 6,297,654 | $ 94,904 | 1.5% |
| Federal Funds | $ 4,769,741 | $ 4,778,379 | $ 8,638 | 0.2% |
| **Department of Transportation** | | | | |
| General Fund | $ 2,060,000 | $ 6,060,000 | $ 4,000,000 | 194.2% |
| Other Funds | $ 3,813,954,090 | $ 3,837,855,561 | $ 23,901,471 | 0.6% |
| Federal Funds | $ 119,483,481 | $ 119,553,108 | $ 69,627 | 0.1% |

| | 2013-15 Legislatively Approved Level[2] | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | $$ Change | % Change |
| **2013-15 Budget Summary** | | | | |
| **General Fund Total** | | | $ 115,394,418 | |
| **Lottery Funds Total** | | | $ 6,310,790 | |
| **Other Funds Total** | | | $ 457,732,914 | |
| **Federal Funds Total** | | | $ 1,343,927,389 | |

(1) Excludes Capital Construction

(2) Includes approved expenditures through November 2013 and administrative actions

## Position Summary

| | 2013-15 Legislatively Approved Level | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved Change | % Change |
|---|---|---|---|---|
| **Department of Administrative Services** | | | | |
| Authorized Positions | 790 | 806 | 16 | 2.0% |
| Full-time Equivalent (FTE) positions | 784.68 | 792.40 | 7.72 | 1.0% |
| **Oregon Liquor Control Commission** | | | | |
| Authorized Positions | 233 | 230 | (3) | -1.3% |
| Full-time Equivalent (FTE) positions | 227.63 | 225.13 | (2.50) | -1.1% |
| **Department of Revenue** | | | | |
| Authorized Positions | 1,081 | 1,074 | (7) | -0.6% |
| Full-time Equivalent (FTE) positions | 1,024.49 | 1,016.66 | (7.83) | -0.8% |
| **Construction Contractors Board** | | | | |
| Authorized Positions | 75 | 75 | - | 0.0% |
| Full-time Equivalent (FTE) positions | 75.00 | 74.50 | (0.50) | -0.7% |
| **Department of Consumer and Business Services** | | | | |
| Authorized Positions | 927 | 918 | (9) | -1.0% |
| Full-time Equivalent (FTE) positions | 919.97 | 911.97 | (8.00) | -0.9% |
| **Oregon Health Licensing Agency** | | | | |
| Authorized Positions | 35 | 35 | - | 0.0% |
| Full-time Equivalent (FTE) positions | 35.00 | 17.50 | (17.50) | -50.0% |
| **Bureau of Labor and Industries** | | | | |
| Authorized Positions | 100 | 101 | 1 | 1.0% |
| Full-time Equivalent (FTE) positions | 98.50 | 98.38 | (0.12) | -0.1% |
| **Oregon Medical Board** | | | | |
| Authorized Positions | 40 | 39 | (1) | -2.5% |
| Full-time Equivalent (FTE) positions | 38.79 | 38.33 | (0.46) | -1.2% |
| **Public Utility Commission** | | | | |
| Authorized Positions | 131 | 130 | (1) | -0.8% |
| Full-time Equivalent (FTE) positions | 128.25 | 127.25 | (1.00) | -0.8% |
| **Real Estate Agency** | | | | |
| Authorized Positions | 30 | 29 | (1) | -3.3% |
| Full-time Equivalent (FTE) positions | 30.00 | 29.50 | (0.50) | -1.7% |

| | 2013-15 Legislatively Approved Level | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved Change | % Change |
|---|---|---|---|---|
| **Department of Community Colleges and Workforce Development** | | | | |
| Authorized Positions | 59 | 58 | (1) | -1.7% |
| Full-time Equivalent (FTE) positions | 58.70 | 57.70 | (1.00) | -1.7% |
| **Department of Education** | | | | |
| Authorized Positions | 509 | 519 | 10 | 2.0% |
| Full-time Equivalent (FTE) positions | 480.03 | 485.39 | 5.36 | 1.1% |
| **Employment Department** | | | | |
| Authorized Positions | 1,345 | 1,347 | 2 | 0.1% |
| Full-time Equivalent (FTE) positions | 1,287.03 | 1,286.63 | (0.40) | 0.0% |
| **Housing and Community Services Department** | | | | |
| Authorized Positions | 169 | 169 | - | 0.0% |
| Full-time Equivalent (FTE) positions | 77.59 | 150.33 | 72.74 | 93.7% |
| **Department of Veterans' Affairs** | | | | |
| Authorized Positions | 82 | 83 | 1 | 1.2% |
| Full-time Equivalent (FTE) positions | 81.20 | 82.13 | 0.93 | 1.1% |
| **Commission for the Blind** | | | | |
| Authorized Positions | 51 | 51 | - | 0.0% |
| Full-time Equivalent (FTE) positions | 47.73 | 46.98 | (0.75) | -1.6% |
| **Oregon Health Authority** | | | | |
| Authorized Positions | 4,482 | 4,521 | 39 | 0.9% |
| Full-time Equivalent (FTE) positions | 4,119.23 | 4,139.97 | 20.74 | 0.5% |
| **Department of Human Services** | | | | |
| Authorized Positions | 7,630 | 7,631 | 1 | 0.0% |
| Full-time Equivalent (FTE) positions | 7,480.61 | 7,477.12 | (3.49) | 0.0% |
| **Long Term Care Ombudsman** | | | | |
| Authorized Positions | 19 | 24 | 5 | 26.3% |
| Full-time Equivalent (FTE) positions | 14.56 | 16.81 | 2.25 | 15.5% |
| **Department of Agriculture** | | | | |
| Authorized Positions | 477 | 480 | 3 | 0.6% |
| Full-time Equivalent (FTE) positions | 351.17 | 352.92 | 1.75 | 0.5% |

| | 2013-15 Legislatively Approved Level | 2014 Committee Recommendation | Committee Change from 2013-15 Leg. Approved | |
|---|---|---|---|---|
| | | | Change | % Change |
| **Department of Energy** | | | | |
| Authorized Positions | 115 | 114 | (1) | -0.9% |
| Full-time Equivalent (FTE) positions | 114.02 | 112.81 | (1.21) | -1.1% |
| **Department of Environmental Quality** | | | | |
| Authorized Positions | 719 | 720 | 1 | 0.1% |
| Full-time Equivalent (FTE) positions | 706.33 | 704.87 | (1.46) | -0.2% |
| **Department of Fish and Wildlife** | | | | |
| Authorized Positions | 1,523 | 1,520 | (3) | -0.2% |
| Full-time Equivalent (FTE) positions | 1,262.41 | 1,258.99 | (3.42) | -0.3% |
| **Department of Land Conservation and Development** | | | | |
| Authorized Positions | 61 | 60 | (1) | -1.6% |
| Full-time Equivalent (FTE) positions | 58.06 | 57.31 | (0.75) | -1.3% |
| **Water Resources Department** | | | | |
| Authorized Positions | 157 | 157 | - | 0.0% |
| Full-time Equivalent (FTE) positions | 154.80 | 154.55 | (0.25) | -0.2% |
| **Department of Corrections** | | | | |
| Authorized Positions | 4,490 | 4,488 | (2) | 0.0% |
| Full-time Equivalent (FTE) positions | 4,443.68 | 4,441.68 | (2.00) | 0.0% |
| **Department of Justice** | | | | |
| Authorized Positions | 1,282 | 1,285 | 3 | 0.2% |
| Full-time Equivalent (FTE) positions | 1,265.25 | 1,266.83 | 1.58 | 0.1% |
| **Oregon Military Department** | | | | |
| Authorized Positions | 523 | 522 | (1) | -0.2% |
| Full-time Equivalent (FTE) positions | 478.01 | 477.51 | (0.50) | -0.1% |
| **Department of State Police** | | | | |
| Authorized Positions | 1,260 | 1,259 | (1) | -0.1% |
| Full-time Equivalent (FTE) positions | 1,247.13 | 1,245.63 | (1.50) | -0.1% |
| **Oregon Youth Authority** | | | | |
| Authorized Positions | 1,030 | 1,025 | (5) | -0.5% |
| Full-time Equivalent (FTE) positions | 992.58 | 989.79 | (2.79) | -0.3% |

## Summary of Revenue Changes

The General Fund appropriations made in the bill are within resources available as projected in the February 2014 economic and revenue forecast by the Department of Administrative Services Office of Economic Analysis, supplemented by one-time savings identified in several agencies, as well as a transfer from Secretary of State's Corporate Division as authorized in House Bill 4157.

## Summary of Capital Construction Subcommittee Action

House Bill 5201 is the omnibus budget reconciliation bill for the 2014 legislative session, implementing the statewide rebalance plan that addresses changes in projected revenues and expenditures since the close of the 2013 session. The Subcommittee approved House Bill 5201 with amendments to reflect budget adjustments as described below.

## Statewide Adjustments

**EMPLOYEE COMPENSATION DISTRIBUTION**
The Subcommittee approved allocating $86.5 million General Fund to state agencies for employee compensation. This amount is 100% of the General Fund special purpose appropriation to the Emergency Board, made by House Bill 5008 (2013) for employee compensation. Also approved were associated expenditure limitation increases of $2.6 million Lottery Funds, $84.7 million Other Funds, and $44.2 million Federal Funds. The General Fund component is expected to cover about 90% of the statewide estimate of costs for compensation and benefit changes agreed to through collective bargaining or other salary agreements. Lottery Funds, Other Funds, and Federal Funds expenditure limitations are calculated at the full cost estimate level.

Section 105 of the budget bill reflects the employee compensation amounts approved for each agency; adjustments for agencies are not addressed in the agency narratives, although they are included in the table at the beginning of the budget report.

**2% HOLDBACK**
House Bill 5008 (2013) included a 2% supplemental ending balance holdback that was primarily applied to General Fund, and excluded debt service as well as selected programs. Agencies' 2013-15 legislatively adopted budgets were reduced by these amounts. With the current statewide economic conditions and revenue forecast, these budget reductions are being partially restored. Generally, 25% of the holdback is being restored to each affected agency, although there are a number of exceptions. Restorations include a total of $68.3 million General Fund and $0.3 million Lottery Funds. Section 104 of the budget bill reflects the restoration amounts for each agency. More detail is available in the narrative for each affected agency; agencies without General Fund or Lottery Funds in their budgets were not affected.

**PACKAGE 091**
Package 091 Statewide Administrative Savings is another 2013-15 adjustment affecting most agency budgets and captured in agency budget bills. The 2013 Legislature approved this package of $62.0 million total funds in permanent reductions as a placeholder for administrative efficiencies.

The placeholder, which carried forward from the Governor's budget, was simply a pro rata calculation across personal services and services and supplies expenditures in administrative budget structures. The Department of Administrative Services (DAS) committed to work out reduction details with agencies and report to the Joint Committee on Ways and Means during the 2014 session. The areas targeted for reduction were finance, information technology, human resources, accounting, payroll, and procurement expenditures.

The legislative expectation was that DAS would present a statewide plan identifying specific efficiencies and realigning placeholder reductions, if needed. However, DAS did not come forward with a plan, but instead reported that efforts to realize the savings in Package 091 met with unexpected challenges. These include actual savings taking longer than one biennium to materialize and some ideas requiring up front investments that would offset any near term savings. Since DAS failed to deliver a plan, the Legislative Fiscal Office worked with state agencies and their DAS budget analysts to replace placeholders with specific reduction actions. For some agencies, the updated Package 091 will deviate from placeholder expenditure categories or appropriations; these changes are described in the individual agency narratives. Agency narratives, where applicable, will also identify position count and full-time equivalent (FTE) decreases.

## Emergency Board

The Emergency Board allocates General Fund and provides Lottery Funds, Other Funds, and Federal Funds expenditure limitation to state agencies for unanticipated needs in approved agency budgets when the Legislature is not in session. As part of the 2013-15 biennium statewide rebalance plan, House Bill 5201 adjusts the Emergency Board's special purpose appropriations as described below:

- Eliminates a special purpose appropriation for state agencies of $86.5 million, with corresponding General Fund appropriations to various state agencies for state employee compensation changes.
- Eliminates a special purpose appropriation for state agencies of $12.9 million, with corresponding General Fund appropriations to the Department of Human Services ($11,038,678) and the Oregon Health Authority ($1,861,322) for compensation changes for home health care workers who are not state employees.
- Eliminates a special purpose appropriation for the Oregon Department of Education (ODE) of $4.6 million, with a General Fund appropriation of $4.4 million to ODE for assessments including those assessments required under the federal Elementary and Secondary Act and linked to Common Core Standards.
- Eliminates a special purpose appropriation for the Oregon Department of Education (ODE) of $1,789,557, with a corresponding General Fund appropriation to the ODE for program funding for the second year of the biennium for youth development grants, performance-based contracts, and services at the local level.
- Eliminates multiple special purpose appropriations for the Housing and Community Services Department totaling $9,215,066, with a General Fund appropriation of $8,540,066 to the Housing and Community Services Department for second year operations, and an appropriation of $225,000 to the agency for one-time supplemental funding to the Oregon Hunger Response Fund for fiscal year 2014.
- Eliminates a special purpose appropriation for the Oregon Health Authority of $3.3 million, with a General Fund appropriation of $2,942,895 to the Oregon Health Authority for adult residential rate increases within the alcohol and drug system.

- Eliminates a special purpose appropriation for the Oregon Health Authority of $100,000, with a corresponding General Fund appropriation to the Oregon Health Authority for staffing needs related to the Dental Pilot Projects.
- Reduces the special purpose appropriation of $26 million for seniors made by House Bill 5101 (2013 Special Session) to appropriate $13,295,373 General Fund to programs benefitting seniors in multiple agencies, but primarily in the Department of Human Services.
- Establishes a $24 million special purpose appropriation for the Oregon Health Authority or the Department of Human Services for caseload costs or other budget challenges that the agencies are unable to mitigate.
- Establishes a $3.5 million special purpose appropriation to be allocated for future costs associated with higher education governance changes; including costs incurred by the Higher Education Coordinating Commission as duties and responsibilities are transferred from the Chancellor's Office to the Commission and for impacts on the budgets of the four technical and regional universities.
- Establishes a $1.3 million special purpose appropriation to be allocated to the Judicial Department for Operations support. The Department may request funds to finance one-time operations investments and service increases.
- Establishes a $700,000 special purpose appropriation to be allocated to the Judicial Department for third-party debt collection costs.

If remaining special purpose appropriations are not allocated by the Emergency Board before December 1, 2014, any remaining funds become available to the Emergency Board for general purposes.

The Subcommittee established a reservation within the general purpose Emergency Fund of $350,000 for the Board of Parole and Post-Prison Supervision (BPPPS) for replacement of the Parole Board Management Information System (PBMIS). Allocation of the reservation is contingent upon the Board providing a project budget, project plan, and periodic status reports. BPPPS may request allocation of the reservation from the Emergency Board after the Legislative Fiscal Office approves the project budget and project plan.

A reservation was also established within the general purpose Emergency Fund of $3.5 million for the Statewide Longitudinal Data System proposed by the Oregon Education Investment Board (OEIB), Oregon Department of Education (ODE), and the Higher Education Coordinating Commission (HECC). Prior to the release of this reservation, the agencies must meet the requirements of the budget note included in this bill for OEIB relating to completing a refined business case, development of a comprehensive set of foundational project management documents, and completion of an assessment of the foundational project management documents and a project risk assessment by an independent Quality Assurance contractor.

## Adjustments to Agency Budgets

### ADMINISTRATION

**Department of Administrative Services**

The Subcommittee approved an $8,644,690 Other Funds expenditure limitation increase for Enterprise Technology Services (ETS) to begin a $40 million technology equipment lifecycle replacement plan. Due to continued use of equipment past its useful life, the state in now experiencing unacceptable levels of technology service outages that impact state government operations. DAS estimates there is now more than $40 million

worth of equipment and infrastructure past the 5 year useful life. DAS will enter into lease-to-own agreements to finance the equipment replacement. Existing ETS rates are estimated to be sufficient to generate enough revenue to pay the $8,644,690 Other Funds needed for leases-to-own agreements that will be entered into this biennium. Use of this additional revenue will likely preclude DAS from lowering ETS rates mid-biennium as was being contemplated by the ETS Customer Utility Board. Use of existing rate revenue will avoid costs associated with borrowing the money internally, as was initially recommended by the Department. The $8,644,690 Other Funds increase for 2013-15 rolls-up to $18,743,503 in 2015-17 for the second phase of the equipment replacement plan. DAS plans to incorporate a depreciation factor in future ETS rates, which will allow the state to accommodate lifecycle replacement costs on an ongoing basis. The Subcommittee also approved a $5,121,497 Other Funds expenditure limitation increase and the establishment of 19 positions (10.22 FTE) for ETS to meet the increasing demand for technology services from state and local governments, including implementation of IT projects approved in 2013-15 adopted budgets.

The Subcommittee adopted the following budget note to ensure proper oversight of state information technology assets and projects:

**Budget Note:**

The Oregon Department of Administrative Services (DAS), working with the State CIO, shall produce a comprehensive inventory report of all current information technology (IT) assets as required under current law with a special emphasis on the planned replacement or modernization status of information systems in use by all executive branch agencies. This inventory report shall include, but not be limited to:

- Agency name, Information System (Application) name, Agency Program owner, and business purpose of the Information System
- Age, Date of last upgrade, and planned changes/enhancements/retirement for the Information System (Application) in the future

In addition, DAS and the State CIO shall produce a comprehensive report of all IT projects underway in the 2013-15 biennium or planned for the 2015-17 biennium. The IT project report shall include, but not be limited to:

- Agency name, project title/name, project description, estimated budget (for all biennia), estimated start and completion date.

Both the IT inventory and project reports shall include:

- A narrative explanation of the methods, assumptions and tools used and any challenges DAS or the State CIO faced in collecting IT asset and/or IT project information;
- A narrative description of any statutory, rule or policy changes or resource allocations DAS or the State CIO believes are necessary to support continued or better IT asset inventory and/or IT project reporting.

The State CIO shall include a narrative section focused on planned statewide information systems modernization as well as the IT inventory and project reports outlined above, in the appendix of the State Information Technology and Telecommunications Plan (changed to Enterprise Information Resources Management Strategy in HB 4135) the State CIO is required to develop and update each biennium under ORS 291.039.

The IT inventory and project reports and a status report on the completion of the State IT and Telecommunications plan (changed to Enterprise Information Resources Management Strategy in HB 4135) shall be presented to the Joint Committee on Legislative Audits, Information Management and Technology and the General Government Subcommittee of the Emergency Board or the interim Joint Committee on Ways and Means before the start of the 2015 session. The State IT and Telecommunications Plan (changed to Enterprise Information Resources Management Strategy in HB 4135) shall be presented to the General Government Subcommittee of the Joint Committee on Ways and Means and other appropriate committees during the 2015 session.

The Subcommittee approved a $700,000 Other Funds expenditure limitation for the Chief Operating Office for two special projects that cross multiple agencies or require some independence. The first such project is a $350,000 limitation increase to purchase a statewide license for the Agora software program to be used by multiple state agencies. Agora offers tools to link economic and community development projects with project funders. The second special project involves the expenditure of $350,000 Other Funds to examine the Cover Oregon Website Project. At the request of the Governor, DAS contracted with First Data Government Solutions through the Enterprise Initiatives Master Price Agreement. First Data Government Solutions will complete a third party assessment of the Cover Oregon Website Project. Funding for the contract will be transferred from the Oregon Health Authority and Cover Oregon to pay the cost of this assessment and any applicable legal fees

The Subcommittee approved a $1,220,548 Other Funds expenditure limitation increase for Enterprise Asset Management (EAM) for the state motor pool. A $1,047,548 Other Funds increase is for the purchase of 47 new vehicles and for fuel and maintenance of these vehicles. The Subcommittee also increased the Other Funds expenditure limitation for EAM by $173,000 to accommodate the transfer of an auto mechanic position from the Oregon Department of Transportation to the state motor pool to consolidate light fleet maintenance in the Salem area.

House Bill 5201 includes one-time General Fund appropriations to the Department of Administrative Services for the following purposes:

- $345,000 for disbursement to the SW Oregon Assessment and Taxation Coalition involving the assessors of Coos, Curry, Douglas, Jackson, Josephine, Klamath, and Lane counties for a pilot project to form partnerships for the delivery of taxation and assessment services, to seek ways to deliver these services more efficiently.
- $200,000 for disbursement to Oregon Health and Science University Office of Rural Health for the Primary Health Care Loan Forgiveness Program.
- $100,000 for disbursement to the City of Forest Grove for initial planning costs associated with construction of a community center facility. The building could potentially house city hall, city offices, and a police station.
- $80,000 for disbursement to Sustainable Northwest for completion of a Western Juniper utilization and marketing project.
- $20,000 for disbursement to the Medford Senior Center for their Senior Nutrition Program.

The Subcommittee added $9,033,609 Other Funds expenditure limitation for one-time cost of issuance and special payments associated with the disbursement of proceeds from Lottery Bond sales; projects are detailed below and approved in in Senate Bill 5703. There is no debt service allocated in the 2013-15 biennium, as the bonds will not be sold until the spring of 2015. Debt service for 2015-17 is estimated at a total of $1,640,046 Lottery Funds: $588,086 for the Port of Morrow Community Revitalization Revolving Loan Fund; $270,707 for the Stayton

Stormwater project; $176,765 for the Beaverton Community Health Collaborative project; $176,765 for the Mid-Columbia Medical Center; and $427,723 for the Cornelius Library matching fund project.

- $2,958,304 Other Funds for disbursement to the Port of Morrow for a Community Revitalization Revolving Loan Fund that would be available to Malheur, Harney, Grant, Baker, Union, Wallowa, Umatilla, Morrow, Gilliam, Sherman, and Wheeler counties for energy conservation, renewable energy, and general business development projects.
- $2,451,194 Other Funds for disbursement to the City of Cornelius for a new public library with the stipulation that the City of Cornelius secures matching funds and commitments of no less than $10.4 million by the end of the 2013-15 biennium, prior to the distribution of the lottery revenue bond proceeds for the project.
- $1,544,053 Other Funds for disbursement to the City of Stayton to purchase property and develop a storm water detention facility in Stayton. The facility is expected to improve water quality for downstream agricultural users and manage peak storm flows into the Salem Ditch and Mill Creek.
- $1,040,029 Other Funds for disbursement to the Mid-Columbia Medical Center to support capital construction projects to expand or improve the medical center.
- $1,040,029 Other Funds for disbursement to the Beaverton Community Health Collaborative for the construction of a multi-service health and wellness facility.

The Subcommittee accepted technical adjustments to the revenue reductions assumed in the Department 2013-15 legislatively adopted budget by increasing the assumed Other Funds revenue by $1.5 million for the Chief Operating Office, $1.8 million for Enterprise Human Resource Services, and $437,180 for the Chief Information Office. In addition, the Subcommittee approved another technical adjustment to move a limited duration position to Enterprise Technology Services from the Chief Information Office where it was added by error in the legislatively adopted budget.

The Subcommittee approved and updated Package 091 Statewide Administrative Savings, eliminating 2 Custodian positions (2.00 FTE) and one Production Supervisor position (1.00 FTE). Because some of the administrative reductions were not achievable, particularly the $5,771,223 Other Funds in Enterprise Technology Services (ETS), Other Funds reductions were taken in the Chief Information Office ($3,515,338) to eliminate empty Other Funds expenditure limitation and Enterprise Asset Management ($130,906) to eliminate the two custodian positions. These reductions allowed restorations of $3,183,353 Other Funds in ETS and $462,891 Other Funds in Enterprise Human Resource Services. The Subcommittee also approved the standard 25% restoration rate for the supplemental ending balance reductions, which resulted in the restoration of $13,953 General Fund.

**Oregon Advocacy Commissions Office**

The Subcommittee approved a restoration of $8,301 General Fund for the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. The restoration fully restores the original holdback reduction.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings. A portion of the agency's reduction to personal services was moved to services and supplies.

**Employment Relations Board**
The Subcommittee increased the agency's General Fund appropriation by $116,236 and Other Funds expenditure limitation by $148,020 to fund employee pay differentials, unemployment benefits, payment of accrued employee vacation time, a temporary double-fill of an administrative law judge position, and mediator travel expenses. The source of Other Funds is from the agency's state agency assessment ending balance ($87,998) and fee revenue balance ($60,022). Projected fee revenue is higher than anticipated in the agency's legislatively adopted budget for 2013-15. With the exception of the employee pay differentials and mediator travel expense, the remaining items are considered one-time increases and are not to carry forward into the 2015-17 biennium.

With this General Fund appropriation, the agency did not require a restoration of its 2% ending balance holdback.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings. A portion of the agency's reduction to personal services was moved to services and supplies. The reduction to services and supplies now totals $7,162 and will come from savings achieved by a renegotiated facilities rental agreement.

An adjustment was made related to the agency's compensation plan that moved $11,645 of Other Funds expenditure limitation from the Employment Relations Board Administrative Account (i.e., state agency assessments) to expenditures supported by fee revenue.

**Government Ethics Commission**
The Subcommittee approved an updated Package 091 Statewide Administrative Savings. A portion of the agency's reduction to personal services was moved to services and supplies.

**Office of the Governor**
The Subcommittee approved a restoration of $51,520 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. That represents 25% of the initial holdback.

The Subcommittee appropriated $1,080,000 General Fund for the Public Infrastructure Commission and the West Coast Infrastructure Exchange. The governor's Office may transfer some, or all, of the funds to the State Treasurer for the implementation of House Bill 4111.

**Oregon State Library**
Of the agency's original $32,951 supplemental ending balance holdback reduction, the agency has achieved savings of $14,335 General Fund by reducing Fiscal Year 2014 Ready-to-Read grants. The remainder of the holdback, $18,616, was restored by the Subcommittee to be used for Fiscal Year 2015 Ready-to-Read grants. The restoration represents 57 % of the initial holdback.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings. The agency's personal services budget was reduced by $5,956 General Fund and $20,209 Other Funds by reducing pay differentials. The remaining personal services reduction was moved to services and supplies.

The Subcommittee adopted the following budget note related to the reorganization of the State Library.

**Budget Note:**
The Co-Chairs of the Joint Committee on Ways and Means will establish a workgroup to build upon previous State Library reorganization efforts, including the 2012 Joint Committee on Ways and Means report on the consolidation and improvement of library and archives services as well as subsequent proposals this biennium. The purpose of the workgroup is to modernize the delivery of state library services by improving access to public information, eliminating duplicative services and costs, increasing utilization of digital resources, and increasing collaborative partnerships across entities.

The workgroup shall recommend draft legislation for introduction in the 2015 legislative session for the reorganization of state library services, which should, where possible, incorporate national best practices pertaining to library reorganizations.

The workgroup will include Legislative members, and other members chosen to represent the interests of state library service users and employees.

It is the Co-Chairs' expectation that the workgroup will be staffed by the Legislative Fiscal Office with assistance from the State Librarian, State Archivist, State Law Librarian, Department of Administrative Services – Project Management Office, the Department of Administrative Services – Chief Financial Office, and Legislative Committee Services. Staff of the Oregon Historical Society is also invited to participate as staff to the workgroup.

**Oregon Liquor Control Commission**
The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating a total of three positions (2.50 FTE), and making shifts between personal services and services and supplies in various agency programs. As approved, Package 091 now consists of the following elements:

- Personal services reductions totaling $50,048 and 0.5 FTE (seasonal liquor distribution worker), services and supplies reductions totaling $137,000, and capital outlay reductions of $409 in the Distilled Spirits program;
- Personal services reductions of $239,002 and abolishment of one management position (1.00 FTE) in the Public Safety program; and
- Personal services reductions totaling $121,390 and 1.00 FTE (Office Specialist 2 position), services and supplies reductions totaling $85,000 and capital outlay reductions totaling $1,980 in the Support Services program.

**Public Employees Retirement System**
The Subcommittee approved an updated Package 091 Statewide Administrative Savings. The agency was able to reduce its personal services by only $45,651 due a reduction in temporary employees and overtime. The remaining personal services reduction of $1,041,400 was moved to services and supplies. The reduction to services and supplies now totals $1,393,957 and will come from savings to employee training, office expense, data processing, and professional services. The capital outlay reduction of $29,380 reduced the budget for data processing hardware.

The Subcommittee increased the Other Funds expenditure limitation for the Operations program by $1,022,945 for the implementation of Senate Bill 861, which was passed by the Legislature during the 2013 special session. This includes four limited duration Retirement Counselor 1 positions (3.33 FTE). Personal services total $392,428 and services and supplies total $630,517.

The four limited duration positions were established administratively on November 1, 2013; therefore, the agency does not require position or FTE authority, but does require the associated expenditure limitation. The revenue to fund these expenses is from a transfer from the Oregon Public Employees Retirement Fund from current year earnings. The Other Funds expenditure limitation was approved only as a one-time increase and does not carry forward into the 2015-17 biennium.

Other Funds expenditure limitation was increased for the Operations program by $718,750 in services and supplies for phase two of a three phase project to move the administration of the Individual Account Program from a third-party administrator to the agency. The Other Funds expenditure limitation was approved only as a one-time increase and is not carried forward into the 2015-17 biennium.

The Subcommittee requests that the Department of Administrative Services unschedule the entire amount of the Individual Account Program expenditure limitation, pending a Department of Administrative Services – Chief Information Office review and recommendation to approve the project being submitted to the Legislative Fiscal Office for its review and recommended approval.

**Department of Revenue**

The agency did not require a restoration of its 2% ending balance holdback that totaled $3.3 million General Fund, due to vacancy savings. The vacancy savings occurred in programs that did not require a rebalance of the agency's budget.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating eight permanent full-time positions and reducing one permanent full-time position to part-time (8.50 FTE). The alternative plan minimizes the impact to the agency's Information Technology Division so as to support its transition of legacy information technology systems to a new information system entitled the Core System Replacement project.

The positions eliminated include: Accountant 1 position (1.00 FTE); Office Specialist 1 positions (1.00 FTE); Office Assistant 2 position (1.00 FTE); Information System Specialist 4 position (1.00 FTE) and a Fiscal Analyst 2 position is reduced from permanent full time to permanent part-time (0.50 FTE) in the Administrative Services Division. Two Administrative Specialist 1 positions (2.00 FTE) are eliminated from the Personal Tax and Compliance Division. An Information System Specialist 3 position (1.00 FTE) and an Information System Specialist 5 position (1.00 FTE) are eliminated from the Property Tax Division. In addition, $71,904 of Other Funds for services and supplies is eliminated in the Property Tax Division in order to achieve the reduction target.

The Subcommittee disappropriated $2.1 million in one-time General Fund savings, which are in addition to the General Fund savings the agency set aside to compensate for its 2% ending balance holdback. The additional vacancy savings include: Administration ($990,828), Property Tax ($139,386), Personal and Compliance ($716,614), and Business Divisions ($253,172).

The Subcommittee also disappropriated $500,000 General Fund in one-time services and supplies savings from the Core System Replacement project due to lower than expected Department of Administrative Services – State Data Center charges.

A reduction of $352,716 in Other Funds personal services expenditure limitation was made to the Administration Division to adjust for an unneeded compensation plan increase. Two million dollars of Other Funds expenditure limitation in the Property Tax Division was reduced for

limitation that does not have a revenue source. The Property Tax Division has historically had Other Funds in its services and supplies expenditure limitation in excess of its actual need. Therefore, this is a permanent reduction.

The primary vendor contract for the Core Systems Replacement project was originally estimated to cost $29 million; however, the agency was able to negotiate a $27 million contract. The savings for the current biennium totals $2.3 million Other Funds, with $300,000 of the savings being needed in a future biennium.

HB 5008 (2013) requested that the Department of Administrative Services unschedule $12,994,327 of Other Funds expenditure limitation for the project that is related to the May 2014 Article XI-Q bond sale. The Subcommittee approved rescheduling $10,694,327 due to the $2.3 million in contract savings this biennium. The remaining $2.3 million is to remain unscheduled.

The Subcommittee provided the following instruction:

> The Department of Administrative Services is requested to reschedule $10,694,327 Other Funds expenditure limitation for the Core System Replacement project, pending the May 2014 Article XI-Q bond sale.
>
> Additionally, the Department of Revenue is directed to report to the Joint Interim Committee on Ways and Means during Legislative Days in May and September 2014 on the status of the project.

The Subcommittee added one permanent full-time Principle Executive Manager E position (0.67 FTE) for the Core System Replacement project to function as a business team/business process subject matter expert lead for the project. The requested position would be funded with previously authorized Article XI-Q bond proceeds. The agency has sufficient Other Funds expenditure limitation for this position due to initial vacancy savings within the program.

The Subcommittee approved the agency's request to make the following technical adjustments to align the agency's budget with its current operations and the organizational structure. These changes balance overall and result in no increase or decrease to the agency's budget. They include: (a) $1,892,699 and nine positions (9.00 FTE) to move the Research Section from the Business Division to the Agency Program Management Office Division; (b) $322,254 and one position (1.00 FTE) to move a Principle Executive Manager G and associated services and supplies from the Administrative Services Division to the Agency Program Management Office Division; (c) $1,109,718 and seven positions (7.00 FTE) to move the Special Services Section from the Administrative Services Division and the Property Tax Division to the Executive Division; (d) $219,538 and one position (1.00 FTE) to move a Principle Executive Manager E position from the Administrative Services Division to the Personal Tax and Compliance Division; (e) $112,092 to move one position (1.00 FTE), an Administrative Specialist position, from the Personal Tax and Compliance Division to the Business Division; (f) $161,411 to move one Information System Specialist 5 position (1.00 FTE) from the Property Tax Division to the Administrative Services Division; (g) $4,945,157 to move all operating program's Attorney General into the Administrative Services Division; and (h) $880,556 to move all the operating program's Information Technology Expendable Property into the Administrative Services Division.

**Secretary of State**

The Subcommittee increased the General Fund appropriation by $43,796 to restore 25% of the agency's 2% supplemental General Fund ending balance holdback. The entire amount of the restoration was directed to administrative services.

The Subcommittee also reduced the Federal Funds expenditure limitation by $2,700,000, to more closely align the limitation with the Secretary's revised plan of expenditure of federal Help America Vote Act (HAVA) moneys. The Secretary reported that HAVA monies, initially budgeted for county election tabulation systems upgrades, are being redirected to finance upgrades to the Oregon Centralized Voter Registration system instead. This redirection reduces current biennium Federal Funds expenditures and will allow HAVA monies to fully support program costs, without need for additional General Fund support, through the remainder of the 2013-15 biennium and through the 2015-17 biennium as well.

**Treasurer of State**

The Subcommittee increased the Other Funds expenditure limitation for services and supplies for the agency by $1,080,000 for the Public Infrastructure Commission and the West Coast Infrastructure Exchange. The revenue source is a transfer from the Governor's Office, which was appropriated General Fund for House Bill 4111 (2014), to satisfy the requirement under section 4(1) of the measure.

The Subcommittee adopted the following budget note:

> **Budget Note:**
> The State Treasurer is directed to spend a minimum of $100,000 on section 2(2) of House Bill 4111 from funding the agency received in House Bill 5201 for the Public Infrastructure Commission and the West Coast Infrastructure Exchange.

## CONSUMER AND BUSINESS SERVICES

**Board of Accountancy**

No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

**Board of Chiropractic Examiners**

No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

**Construction Contractors Board**

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating 0.50 FTE and moving a portion of the reduction from personal services to capital outlay.

**Department of Consumer and Business Services**

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating 9 positions and 8.00 FTE, and shifting $15,493 from services and supplies to personal services.

A one-time increase of $4,625,091 Federal Funds expenditure limitation was also approved for the Health Insurance Rate Review Grant Cycle II and III, to support state efforts to enhance the health insurance premium rate review process and increase the transparency of the review process.

**Board of Dentistry**
No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

**Oregon Health Licensing Agency**
No changes were made from the original placeholder budget categories to implement Package 091 Statewide Administrative Savings. However, the agency's budget is moved to the Oregon Health Authority beginning July 1, 2014, as directed by House Bill 2074 (2013). The associated budget change is a reduction of $3,760,280 Other Funds expenditure limitation and 17.50 FTE, which represents approximately one year of the biennial budget for the Oregon Health Licensing Agency. A corresponding increase is made in the Oregon Health Authority budget.

**Health Related Licensing Boards**
An updated Package 091 Statewide Administrative Savings was approved for each of the six licensing boards: Mortuary and Cemetery, Naturopathic Medicine, Occupational Therapy, Medical Imaging, Speech-Language Pathology and Audiology, and Veterinary Medical. The boards are shifting reductions from personal services to services and supplies to meet the savings targets.

**Bureau of Labor and Industries**
To restore a portion of the agency's 2% supplemental ending balance holdback, the Subcommittee added $67,522 General Fund. This amount represents 29% of the total holdback; to cover the remaining gap BOLI will continue to hold the Apprenticeship Representative position in its Portland office vacant for the rest of the biennium.

An updated Package 091 Statewide Administrative Savings was approved, eliminating a total of 0.75 FTE across 2 positions in accounting and office administration. A portion of the agency's reduction was also moved from personal services to services and supplies.

The Subcommittee approved an increase of $450,000 Other Funds expenditure limitation and the establishment of a limited duration full-time Office Specialist 2 position (0.63 FTE) to enhance the Support Services Program. The program is part of an interagency agreement with the Oregon Department of Transportation, which provides the funding, to help increase diversity in the highway construction workforce. The Bureau of Labor and Industries (BOLI) will utilize the additional funds to increase support to existing pre-apprenticeship preparation programs and establish new preparation programs in rural areas. The limited duration position will provide administrative support for the program, allowing the program coordinator to focus on development and implementation of the preparation programs.

To provide education and outreach on fair housing laws and to investigate fair housing cases, the Subcommittee approved a one-time increase of $146,584 Federal Funds expenditure limitation. In partnership with the U.S. Department of Housing and Urban Development (HUD), BOLI will use the funds to complete dated fair housing cases that have been dual-filed with BOLI and HUD, and to work with the Fair Housing Council of Oregon to provide education and outreach. Additionally, BOLI's Technical Assistance Program will provide training sessions throughout the state on changes to fair housing laws.

The Subcommittee approved the following budget note related to workforce needs of employees of Qualified Rehabilitation Facilities:

**Budget Note:**
The Bureau of Labor and Industries, in consultation with the Department of Administrative Services, will convene a stakeholder workgroup to include not more than four Qualified Rehabilitation Facilities (QRFs) or a representative representing a majority of the QRFs in the state; labor unions representing QRFs; at least one organization representing the disability rights community; and any other interest with employee or business interests related to QRFs that voluntarily participate, during the 2014 interim. The workgroup will meet at least four times to develop concepts to address the workforce support needs of employees with disabilities employed by QRFs. These concepts may be presented to the 2015 Legislative Assembly for possible introduction as a bill.

**Oregon Medical Board**
The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating one position and 0.46 FTE in administration and the remaining reductions taken in services and supplies.

**Board of Nursing**
No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

**Board of Pharmacy**
The Subcommittee approved an updated Package 091 Statewide Administrative Savings, reallocating among expenditure accounts to affect permanent administrative cost reductions. Other Funds personal services reductions of $3,693 and services and supplies reductions of $1,503 are reallocated entirely to agency services and supplies.

**Public Utility Commission**
The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating a mailroom assistant position (1.00 FTE). A portion of the reduction originally applied to services and supplies was shifted to personal services.

**Real Estate Agency**
The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating one position and 0.50 FTE.

**Board of Licensed Social Workers**
No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

**Board of Tax Practitioners**
No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

## ECONOMIC AND COMMUNITY DEVELOPMENT

**Oregon Business Development Department**

The Subcommittee increased the General Fund appropriation by $89,679 to fully restore the Arts Commission's 2% supplemental General Fund ending balance holdback. Lottery Funds expenditure limitations were increased by a total of $250,000 to partially restore the 2% supplemental Lottery Funds ending balance holdbacks to the Shared Services and Business, Innovation, and Trade Divisions. No additional Lottery Funds are allocated from the Administrative Services Economic Development Fund for this partial restoration of the holdback amounts, or for Lottery Funds expenditure limitation increases provided for compensation cost increases; the agency will finance these expenditures out of sufficient cash balances of Lottery Funds in the division budgets.

The Subcommittee established a $1,150,000 Lottery Funds expenditure limitation for the Business, Innovation and Trade Division for support of the Oregon Manufacturing Extension Partnership and for port property redevelopment. The expenditure limitation is established on a one-time basis and will be phased out in the development of the agency's 2015-17 biennium current service level. The expenditure limitation was established to provide a special payment of an additional $750,000 to support the Oregon Manufacturing Extension Partnership, and a special payment of $400,000 to the Port of Port Orford for redevelopment of the Cannery Building owned by the Port.

The Subcommittee increased the Regional Solutions Other Funds expenditure limitation in the Infrastructure Finance Authority program area by $9,349,999, and increased the general Infrastructure Finance Authority Other Funds expenditure limitation by $240,594, to authorize distribution of lottery revenue bond proceeds to support Regional Solutions projects, and to pay bond-related costs, respectively. These Other Funds expenditure limitation increases are approved on a one-time basis only, and will be phased out in the development of the agency's 2015-17 biennium current service level.

The 2013-15 biennium budget includes authorization of up to $10 million of lottery revenue bond proceeds for Regional Solutions projects. In the 2013 session, the Legislature established a $1 Other Funds limitation on Regional Solutions project expenditures, pending submission of specific projects for review. A total of $9,350,000 of Regional Solutions project expenditures were approved. The approved project names and associated funding levels are listed below:

- North Central Region – North Central Oregon Attainable Housing Revolving Loan Fund ($2 million)
- South Central Region – Removing Stringent Air Quality Permitting Requirements ($1.5 million)
- South Central Region – Innovation and Learning Center ($500,000)
- Mid-Valley Region – White's Rail Siding ($300,000)
- Mid-Valley Region – Carlton Water Infrastructure ($500,000)
- Mid-Valley Region – Job Growers Workforce Investment Board ($550,000)
- North Coast Region – Rainier Rail Corridor ($2 million)
- South Coast Region – Portable Dredge Purchase ($2 million).

The Subcommittee also approved a change in the use of $12 million of Other Funds lottery revenue bond proceeds previously authorized in the 2013 session for transfer to the Special Public Works Fund. Of this amount, $3 million of proceeds are redirected to the Marine Navigation Improvement Fund for coastal port dredging; with the remaining $9 million of proceeds to be transferred to the Special Public Works Fund.

Finally, the Subcommittee approved the implementation of the agency's Package 091 reductions. The implementation includes permanent fund shifts in the financing of two agency positions in the Shared Services/Central Pool program area. The two positions, formerly Lottery Fund-financed, will now have 22% of their personal services costs funded by Other Funds generated by agency programs. This action supports a $100,000 fund shift from Lottery Funds to Other Funds approved with Package 091 in the agency budget.

**Employment Department**

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating two positions in the Unemployment Insurance division (a Public Affairs Specialist at .75 FTE and an Information Support Specialist at 1.00 FTE), eliminating three positions in the Business and Employment Services division (an Executive Support Specialist, a Safety Specialist and an Office Specialist, 3.00 FTE), and eliminating one position in the Research division (an Economist, 1.00 FTE). A portion of the reduction was shifted from personal services to services and supplies.

House Bill 5201 includes an increase of eight positions (5.35 FTE) and additional expenditure limitation in the amount of $3,947,126 Other Funds and $4,757,762 Federal Funds for grant awards, supplemental federal funding for unemployment insurance programs, Office of Administrative Hearings caseload, and information technology needs.

**Housing and Community Services Department**

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, reducing number of months on three positions (two Information Systems Specialists with a reduction of 0.50 FTE each, and a Procurement and Contract Specialist reduced by 0.62 FTE). Over all, personal services were reduced by $227,189 Other Funds and $99,918 Federal Funds; services and supplies were reduced by a total of $113,376 Other Funds and $14,195 Federal Funds; and capital outlay was reduced by $1,825 Other Funds. All reductions are allocated to the Business Operations unit of the agency's Central Services program.

The Subcommittee approved a restoration of $42,326 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. That represents 25% of the initial holdback. The funding is restored to the agency's General Fund programs as follows:

- Foreclosure Counseling (SB 1552): $10,231
- Emergency Housing Assistance: $11,625
- State Homeless Assistance Program: $6,604
- Low Income Rental Assistance: $1,122
- Oregon Hunger Response Fund: $6,628
- Court Appointed Special Advocates: $5,755
- Housing Choice Landlord Guarantee Program: $361

The Transportation and Economic Development Subcommittee recommended operational funding for the 2014 state fiscal year for the Housing and Community Services Department after receiving a report on the results of the agency's review of services and delivery options. The goals of the review included identifying and eliminating duplication and fragmentation in service delivery, as well as efficiencies that could help to address an anticipated operating deficit of $8 million in 2015-17. Report recommendations include simplifying program rules and reporting requirements; implementing shared services arrangements for some administrative functions; redesigning policy and governance structure to provide clear guidance and priorities; moving local service delivery partners toward performance based contracting over time; and moving food and volunteer programs to other areas of state government. The Subcommittee discussed the importance and expectation of a more detailed implementation plan for the report recommendations. Subcommittee members also conveyed the expectation that legislative policy committees should be the venue in which changes to agency advisory bodies are discussed and determined, and that such policy discussions not be circumvented by the Executive or budget process.

Given that the majority of recommendations require additional work to develop specific implementation plans, the Subcommittee recommendation for 2014 funding is predicated on continuing programs for the remainder of the biennium within the existing agency structure. The agency will begin the process of administrative rule review immediately, to begin identifying changes that will simplify grant administration and remove unnecessary reporting and monitoring requirements. Recommendations with budget and staffing implications will be proposed for implementation in conjunction with the 2015-17 budget process, along with changes to Key Performance Measures.

House Bill 5201 repeals three special purpose appropriations related to HCSD, and instead appropriates a total of $8,765,066 million in General Fund to the agency; $8.5 million of this is for fiscal year 2014 operations and $225,000 is for a one-time increase in support for the Oregon Hunger Response Fund. Fiscal year 2014 agency operations costs amount to $60,528,131 Other Funds, $39,500,000 Federal Funds, $122,795,359 Other Funds Non-Limited, $54,000,000 Federal Funds Non-Limited, and 74.36 FTE. The above amounts include employee compensation distribution amounts, and are consistent with the 2014 operating expenditure limitation recommended by the Subcommittee on Transportation and Economic Development.

Additional one-time General Fund in the amount of $1.5 million for the Emergency Housing Account and $500,000 for the State Homeless Assistance Program was also approved (along with a corresponding increase of $1.5 million in Other Funds expenditure limitation for the Emergency Housing Account). As these programs are ongoing, the Housing and Community Services Department is not anticipated to incur or capture additional administrative expenses from this supplemental funding. Other Funds expenditure limitation of $2,555,025 is added to provide additional one-time support for affordable housing preservation, funded by lottery bonds authorized in Senate Bill 5703; $55,025 of this amount is attributable to bond-related costs.

**Department of Veterans' Affairs**

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, shifting the majority of personal services savings in the Loan Program to services and supplies, in consideration of previous position reductions taken in the legislatively adopted budget (elimination of 12.00 FTE in the Loan Program). As modified, Package 091 reductions eliminate one position (0.20 FTE) and total $16,426 in personal services, and $136,794 in services and supplies in the Loan Program, and $153,224 in services and supplies reductions in the Veterans' Home program.

The Subcommittee approved a restoration of $43,959 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. That represents 25% of the initial holdback. The funding is restored to the agency's General Fund programs in the following amounts:

- Counseling and Claims: $2,198
- County Veterans Service Officers special payments: $21,971
- Aid programs administered by the Oregon Department of Veterans' Affairs: $19,342
- Special Payments to National Service Organizations: $448

House Bill 5201 includes additional Other Funds expenditure limitation in the amount of $6,230,000 for two additional positions (1.13 FTE), and planning, marketing, start up and operating costs associated with the second Veterans' Home in Lebanon, Linn County. The home is scheduled to open in the fall of 2014.

Federal Funds expenditure limitation in the amount of $300,000 was established to accommodate the possible receipt of a federal grant for transportation services to veterans in highly rural areas of the state. Expenditure limitation was approved, with the understanding that the Department of Administrative Services would unschedule the limitation until the Oregon Department of Veterans' Affairs was notified that its grant application was successful. No additional matching funds or position authority is required to administer the funds, which will be passed through to Baker, Gilliam, Grant, Morrow, Sherman and Wheeler Counties. The agency anticipates a notice of award in April, 2014.

**Oregon State Lottery**

The Subcommittee approved a budget note requiring the Oregon State Lottery to submit a report to the Emergency Board on the feasibility of establishing a veterans-themed lottery raffle game.

> **Budget Note:**
> The Oregon State Lottery shall report to the Emergency Board, no later than September 2014, on the feasibility of establishing a dedicated lottery raffle game that has a veteran-oriented theme or indicates that the proceeds benefit veteran's education and economic development related to veterans. The report shall identify the impacts of establishing the game on the operations of the State Lottery, and include a projection of the level of net revenues available from a dedicated game for veteran's education and economic development, and a projection of the impact of the game on the level of net revenues otherwise available for transfer to the Administrative Services Economic Development Fund.

## EDUCATION

**Department of Community Colleges and Workforce Development**

Based on their budgeted amount for their agency Operations budget, the Department of Community Colleges and Workforce Development (CCWD) has funding for the equivalent of 8.65 FTE in the General Fund budget passed by the 2013 Legislature. Given the demand for the General Fund related workload, the General Fund staff compliment should be closer to 13.00 FTE. As a result, CCWD estimated their General

Fund budget is underfunded by $884,136. The agency identified almost $350,000 in savings by keeping three positions vacant and switching the funding on another position, reducing the remaining General Fund gap to $535,087. To fill this remaining shortfall, the Subcommittee approved the following one-time actions; (1) assumed additional revenue from work done by CCWD staff for the Higher Education Coordinating Commission (HECC) of $64,000 to offset General Fund need and increased the Other funds expenditure limitation by this amount, (2) assumed $100,000 of debt service savings from the delay of building projects at community colleges that is freed up to offset the Operations budget gap, (3) appropriated $250,000 General Fund, and (4) transferred $121,087 from the Strategic Fund of the Community College Support Fund to the agency Operations budget.

The Subcommittee approved the establishment of an Other Funds expenditure limitation in the amount of $46,469 for the payment of the issuance costs associated with the sale of Lottery bonds for the Central Oregon Community College's Student Success Center in the remodeled Cascades Hall.

The Subcommittee did not restore any of the $287,715 General Fund reduced in the Department of Community College and Workforce's legislatively adopted budget for the 2% supplemental ending balance holdback.

As a result of Package 091 relating to Statewide Administrative Savings in 2013, CCWD's Operations budget was reduced by $357,844 total funds ($39,363 General Fund, $55,892 Other Funds and $262,589 Federal Funds). These reductions will be made by eliminating one Accounting Technician position (1.00 FTE), reducing funding for hiring temporary employees, reducing in-state travel, and reducing the amount of resources for contracting out for services. The impact of these reductions will limit the agency's flexibility in addressing and completing short term projects that are requested of the agency or unanticipated needs like information server failures that might occur. These actions will also limit the agency's ability to hire people with specialized expertise that agency staff does not have.

**Department of Education**

The Department of Education's (ODE) current budget includes $9.4 million for the Career and Technical Education Revitalization Grant Program as authorized in ORS 344.075. This bill increases the amount available for the program by a one-time $2 million General Fund appropriation. Grants awarded through this program must be used to enhance the collaboration between education providers and employers by: (1) developing or enhancing career and technical education programs of study; (2) expanding the professional growth of and career opportunities for students through career and technical education programs; (3) assessing the ability of each career and technical education program to meet workforce needs and give students the skills required for jobs in this state that provide high wages and are in high demand; and (4) supporting the achievement of the high school diploma requirements

The Legislature in 2013 provided an additional $7.5 million General Fund for the Career and Technical Education (CTE) Revitalization Grant Program, and this bill adds a further $2 million General Fund to the program without adding any resources for the administration, monitoring and technical assistance related for the program. The Subcommittee approved a transfer of $230,537 General Fund from the Grant-in-Aid budget of the agency to the Operations budget, and the establishment of three positions (1.25 FTE) for these functions.

A special purpose appropriation to the Emergency Board of $4.6 million General Fund was made in 2013 for student assessments. The Subcommittee approved the repeal of this special purpose appropriation, and instead approved a $4.4 million General Fund direct appropriation

to ODE to augment the $16 million total funds currently in the agency's budget for student assessments. This additional funding is to be used to purchase a summative assessment system tied to the Common Core standards as well as providing resources to school districts for formative and interim assessment tools. The Department of Administrative Services was instructed to unschedule this additional $4.4 million until final negotiations with the assessment contractors are completed.

**Budget Note:**
The Department of Education is instructed to provide at least $2 million of the total amount in the budget for student assessments as payments to school districts for formative and interim assessment tools.

ODE's Early Learning Division received an additional $10.1 million Federal Funds in resources through the Race to the Top grant program of the U.S. Department of Education. The agency plans to spend $5.5 million of these funds during the 2013-15 biennium. The funds will be used for a variety of purposes including increasing the use of the Tiered Quality Rating and Improvement System (TQRIS), professional development of early learning providers, and for a statewide referral system for information on early childhood services. The Subcommittee approved Federal Funds expenditure limitation increases split between the Early Learning Grant-in-Aid budget for grants to organizations ($3,955,190 Federal Funds) and the agency's Operations budget ($1,547,971 Federal Funds) for contracts, staffing and other agency costs. Seven limited duration new positions (4.11 FTE) were approved for establishment. Five current positions will also be funded with these new resources.

The Subcommittee approved an additional one-time $1.0 million General Fund appropriation for the Long Term Care and Treatment programs which provide educational services to students in residential and day treatment centers as defined in ORS 343.961. In approving this additional funding, the Subcommittee expects all of the $1.0 million will be provided to the entities who directly provide educational services to these students.

**Budget Note:**
Prior to May 1, 2014, the Department of Education is instructed to provide the School Funding Task Force established by House Bill 2506 (2013) with information to assist the Task Force in formulating recommendations relating to the level and allocation of funding for the Long Term Care and Treatment program. This information must include: (1) the actual costs of providing adequate and comparable educational services to students who receive services under the program; (2) various alternatives for allocating and distributing funding to these programs in an equitable manner to maximize the amount that is used for direct educational services to these students; (3) alternatives of metrics for measuring the effectiveness of the programs and providers of these educational services; and (4) other information the Task Force requests. The Task Force, with the assistance of the Department, shall work with various stakeholders including providers, school districts and others in formulating recommendations to the 2015 Legislature regarding the level and distribution of funding for the program.

The 2013 Legislature provided $1,789,557 General Fund for a special purpose appropriation to the Emergency Board for program funding for the second year of the biennium for youth development grants, performance-based contracts, and services provided at the local level. The Subcommittee approved the repeal of this special purpose appropriation, and redirected those resources as a General Fund appropriation to the Department of Education. The agency's Youth Development Division submitted a plan for investing and distributing these funds to the Interim Joint Ways and Means Committee in January 2014 which approved the use of these funds for the Youth and Innovation Grant Fund. This Fund

provides resources for new innovative approaches to improve education and workforce success for those youth disconnected or at risk of disconnecting from the education system and labor market. Most of this funding ($1.6 million General Fund) will be for grants to local entities, while the remaining $189,557 General Fund will be used for the administration of the youth development programs.

The Subcommittee approved a total of $5,948,790 General Fund in restorations to the ODE budget, representing just under 70% of the amount reduced in the legislatively adopted budget for the 2% supplemental ending balance holdback. All of the 2% reductions were restored for the following programs: (1) Oregon Pre-Kindergarten program ($2,548,483); (2) other early learning programs ($673,910) including Early Learning Hub funding, Health Families Oregon, Relief Nurseries, and Early Learning Kindergarten Readiness grants; (3) youth development grant programs ($114,982); (4) staffing costs at the Oregon School for the Deaf ($222,340); and (5) the newly authorized strategic education initiatives ($436,976). Other restorations were $1,080,405 for the Early Childhood Special Education programs, and $562,775 for the Early Intervention program. Finally, a total of $308,919 in the agency Operations budget was restored for nursing program support to school districts, youth development program administration, and licensing of Head Start programs.

As a result of Package 091 relating to Statewide Administrative Savings in 2013, ODE's Operations budget was reduced by $814,374 total funds. The budget passed by the 2013 Legislature included over $75 million total funds in new or expanded initiatives without a corresponding increase of resources in administrative activities. Based on this, the Package 091 related reductions for ODE did not include any staff reductions; but included service and supply cuts to the budget, personnel, accounting and payroll, and procurement functions totaling $280,576 total funds. Another $533,798 total funds was reduced from the information technology budget, most of it coming from resources for assessment related contracts. Overall, $276,887 General Fund, $123,622 Other Funds, and $413,865 Federal Funds was reduced from the ODE Operations budget.

The Federal Funds expenditure limitation for early learning programs is increased by $2.2 million to reflect a larger carry-forward of child care related funds from 2011-13 and larger current biennium revenues from the federal Child Care Development Fund. These additional revenues will be used to increase funding for the Employment Related Day Care program administered by the Department of Human Services.

**State School Fund**

The State provides payments funded by the State School Fund for Local Option Equalization Grants authorized in ORS 327.339. The Department of Education (ODE) makes those grant payments to school districts as Other Funds, but the current budget does not have sufficient Other Funds expenditure limitation for the current biennium. The Subcommittee approved a $3,535,581 increase in Other Funds expenditure limitation which is the estimate at this time of the grants that must be paid during 2013-15.

**Higher Education Coordinating Commission**

In development of the 2013-15 budget for the Higher Education Coordinating Commission (HECC), there was an inadvertent double counting of funding of $249,175 General Fund in the costs of the Commission's meeting and operating costs. The Subcommittee reduced this amount from the HECC budget.

The Subcommittee did not restore any of the $45,957 General Fund reduced in the Higher Education Coordinating Commission's legislatively adopted budget for the 2% supplemental ending balance holdback.

**Oregon Education Investment Board**

The Subcommittee restored $30,794 General Fund to the Oregon Education Investment Board (OEIB) which represents 25% of the amount reduced in the OEIB's legislatively adopted budget for the 2% supplemental ending balance holdback. This restoration will allow the OEIB to fund data analysis and policy research on best practices, investment strategies, and polices relating to early learning and primary literacy.

The OEIB and other education agencies presented an initial business case and related project management materials for the Statewide Longitudinal Data System to the Education Subcommittee of the Joint Committee on Ways and Means. The Education Subcommittee recommended the project staff continue to refine the business case, provide more detailed planning and project management materials, and contract with an independent Quality Assurance contractor to move on to the next steps in the implementation of this project. This work is to be completed with existing resources in the Oregon Department of Education's budget.

> **Budget Note:**
> The Oregon Education Investment Board (OEIB) is directed to work with the Oregon Department of Education (ODE), the Higher Education Coordinating Council (HECC), other education related agencies, and the Office of the State Chief Information Officer to refine the business case and develop a comprehensive set of foundational project management documents including a detailed project budget and schedule for the design, development and implementation of the State Longitudinal Data System for P-20W Education. The OEIB, ODE, HECC and other agencies must follow State Chief Information Officer standards in the development of these materials; and submit them to the State Chief Information Officer for review in compliance with Department of Administrative Services and State Chief Information Officer rules, policies and standards for project review, approval and oversight. An independent Quality Assurance contractor must be selected to complete quality control reviews of the refined business case and foundational project management documents. In addition, the independent Quality Assurance contractor must complete a project risk assessment. Prior to requesting additional funding for the Statewide Longitudinal Data System, OEIB and other agencies must submit the quality control reviewed business case and foundational project management documents and the project risk assessment, along with a recommendation on the project from the State Chief Information Officer to the Legislative Fiscal Office. The OEIB and other agencies are directed to report to the Emergency Board during each of the Emergency Board's meetings prior to January 1, 2015 on the status of the project and/or to request the authority to proceed with the project once the above requirements have been met.

**Oregon Health and Science University**

The Subcommittee approved a total of $200,035,290 Other Funds expenditure limitation in the Department of Administrative Services for disbursement of bond proceeds to Oregon Health and Science University (OHSU) for the construction of research, clinical, other related facilities, and for bond related costs for the expansion of the OHSU Cancer Institute. Senate Bill 5703 contains authorization to issue up to $161,490,000 in Article XI-G general obligation bonds (debt service to be paid with General Funds) and $38,545,290 in lottery bonds (debt service to be repaid with Lottery Funds) for the Cancer Institute for disbursement to OHSU. Before these Article XI-G and Lottery bonds may be issued, OHSU must raise at least $800 million in gifts, grants and other revenues through OHSU's Cancer Challenge campaign. The bond proceeds will be used: (1) to construct a research building on the Schnitzer Campus which will include wet laboratory facilities, bio-computing space and research support facilities; and (2) for additional floors in the Center for Health and Healing II (CHH II) building already planned for construction for clinical trial space. Debt service payments on these bonds to be paid beginning in the 2015-17 biennium are estimated at $23,357,272 General

Fund and $6,669,787 Lottery Funds. A budget note is included in the Oregon Health Authority section of this budget report instructing OHSU, other health care providers and Coordinated Care Organizations to develop recommendations relating to access to services for Medicaid clients.

**Oregon Student Access Commission**

Funding for the Oregon Opportunity Grants is increased by $2.3 million in the Oregon Student Access Commission's (OSAC) budget by increasing the expenditure limitation for Lottery Funds funded by allocations from the Education Stability Fund. This amount is anticipated to provide an estimated 1,150 more grants to post-secondary students at an average of $2,000 during the 2013-15 biennium. These additional resources are from carry-forward funds from the 2011-13 biennium that are available for this purpose.

The Subcommittee did not restore any of the $2,332,612 General Fund reduced in the OSAC's legislatively adopted budget for the 2% supplemental ending balance holdback, almost all of which was reduced from the Oregon Opportunity Grant program. As noted above, $2.3 million of Lottery Funds was added to this program for the remainder of the biennium.

As a result of Package 091 relating to Statewide Administrative Savings in 2013, OSAC's Operations budget was reduced by $41,153 total funds ($17,284 General Fund and $23,869 Other Funds). The Commission plans to take $19,030 total funds of this reduction in State Government Service Charges since Commission staff has assumed some of the administrative responsibilities (without new resources) that the Department of Administrative Services (DAS) provided in the past. The remaining reductions will be taken from other services and supplies ($11,290 total funds), Office Expenses, and other services and supplies categories. Since the original 091 reduction was split between personal services and services and supplies, all of the original $31,666 total funds in the personal services reduction will have to be transferred to services and supplies category.

**Teacher Standards and Practices Commission**

The Teacher Standards and Practices Commission's (TSPC) budget was reduced by $13,537 Other Funds as a result of the passage of the Package 091 relating to Statewide Administrative Savings. The Commission will take these reductions by cutting $8,135 from the budget for overtime and related personal services, and $5,402 from other services and supplies.

**Oregon University System**

The Subcommittee approved a special one-time General Fund appropriation of $2,000,000 for the four technical and regional universities and Portland State University to help fund new compensation agreements for classified staff. Of the $2,000,000 appropriation, $850,000 is for Portland State University, with the remainder to be shared by Eastern Oregon University, Southern Oregon University, Western Oregon University, and the Oregon Institute of Technology. The Subcommittee also approved a one-time $500,000 General Fund appropriation to both Eastern Oregon University and Southern Oregon University as temporary bridge funding to assist the universities for the remainder of the biennium as they address budget shortfalls.

The Subcommittee also disappropriated $4,500,000 General Fund from the appropriation supporting the Chancellor's Office with direction the Chancellor's Office utilize existing fund balances to continue operations for the remainder of the 2013-15 biennium. A total of $3.5 million General Fund was appropriated to the Emergency Board as a special purpose appropriation to be allocated for future costs associated with higher

education governance changes, including costs incurred by the Higher Education Coordinating Commission as duties and responsibilities are transferred from the Chancellor's Office to the Commission and for impacts on the budgets of the four technical and regional universities.

The Subcommittee approved the following budget note related to the closure of Blue Mountain Recovery Center in Pendleton, and clarified that this work should be done within the existing Oregon Solutions General Fund budget of $2.2 million for 2013-15. The parties involved are expected to include a number of local and regional entities, as well as the Department of Administrative Services, Oregon Business Development Department, Department of Corrections, Oregon Health Authority, Department of Veterans' Affairs, and the Regional Solutions program within the Governor's Office. Other parties may be identified as the work proceeds.

> **Budget Note:**
> The closure of the Blue Mountain Recovery Center will have a major economic impact on the city of Pendleton and the surrounding region. In order to address the challenges associated with the closure, a state and local conversation needs to occur, and a strategy needs to be developed, to mitigate the effects and chart a path forward for the community. The strategy should address how the state can invest resources in the community to ensure the economic effects on the community as a whole are addressed, to the extent possible. The strategy should also seek to leverage investments from the federal government in addressing the challenge and engage other private and civic organizations to the extent they can be of assistance. In carrying out the development of a strategy, the Oregon Solutions program at Portland State University shall provide staffing to bring the needed parties together to develop a mutually supported plan. The Oregon Solutions program shall report in September 2014 to the Emergency Board on the plan.

## HUMAN SERVICES

### Commission for the Blind

The Subcommittee approved an updated Package 091 Statewide Administrative Savings in which a small portion of the agency's reduction was moved from services and supplies to personal services. The Subcommittee also approved the restoration of $31,287 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. This represents 100% of the initial holdback.

The agency's budget is reduced by $1,043,821 Other Funds expenditure limitation and 0.75 FTE to reflect the elimination of the Oregon Industries for the Blind program effective December 2013. This was an alternative work and vocational program specializing in serving clients with multiple disabilities who are both developmentally disabled and blind. Historically the program had served about 40 clients, and all clients have now been placed in other programs.

### Oregon Health Authority

The Oregon Health Authority (OHA) budget is organized into several program areas including Health Care Programs, Addictions and Mental Health, and Public Health, as well as Central and Shared Services. House Bill 5201 adjusts the OHA budget for updated pricing of program caseloads, costs, and revenues to help "rebalance" the budget. This information was presented at the January 2014 meeting of the Interim Joint

Committee on Ways and Means. Most notable are additional costs of $26.9 million General Fund related to loss of federal match for Alcohol & Drug residential facilities that have more than 16 beds, claims costs for hospital patients thought to be eligible for Medicaid but not yet through the formal eligibility process, and additional costs to keep Blue Mountain Recovery Center open through March 2014. The rebalance also includes $67.9 million General Fund savings, related to caseload changes, federal match rate changes, and one-time Other Funds revenues received above forecasted amounts.

The approved rebalance plan includes additional Federal Funds expenditure limitation of $1.1 billion mostly related to faster enrollment of new clients under the Affordable Care Act (ACA) expansion than was originally forecast. The plan also includes some agency restructuring. A new budget structure called Health Policy Programs is created, with budget and staff being transferred from Central Services and Medical Assistance Programs. A second budget structure is created for the transfer of the Oregon Health Licensing Agency into OHA effective July 1, 2014 per House Bill 2074 (2013).

The agency continues to face a number of budget risks that were not explicitly included in the rebalance plan. These include changes to caseloads, federal sequestration reductions, the Oregon State Hospital budget, and state expenditures required to meet the federal Designated State Health Programs (DSHP) waiver conditions. House Bill 5201 establishes a new special purpose appropriation of $24 million, to be allocated to OHA or the Department of Human Services for caseload costs or other budget challenges that the agencies are unable to mitigate.

In addition to the rebalance adjustments, the adjusted budget reflects a number of actions to be taken as a result of the $38.8 million General Fund withheld in the legislatively adopted budget for the 2% supplemental ending balance. The Subcommittee approved a restoration of $9.7 million as part of the statewide restoration. That represents 25% of the initial holdback. Other actions taken include program reductions of $4 million General Fund and the use of $25.1 million in one-time revenues.

With the addition of certain other actions beyond that already described, the approved adjustments result in an overall $1.1 billion increase in the agency's total funds budget, but a $27.2 million General Fund decrease. These actions also result in a $36.4 million increase in Other Funds expenditure limitation, a $1.1 billion increase in Federal Funds limitation, and an increase of 39 positions (20.74 FTE). These numbers do not include changes related to employee compensation cost changes.

A more detailed description of each program area follows.

Health Care Programs

The budget adjustments in House Bill 5201 reflect a net $62.6 million decrease in General Fund in the Medical Assistance Programs (MAP) budgets, with a $24.8 million increase in Other Funds limitation and a $1.2 billion increase in Federal Funds limitation.

The rebalance plan for MAP approved by the Subcommittee includes overall savings of $67.9 million General Fund, related to caseload changes, federal match rate changes, a reduction in the clawback payment to the federal government, and one-time Other Funds revenues received above forecasted amounts. Increased costs include a $1.8 million shortfall in tobacco tax revenue as a result of the latest forecast. The loss of federal match for Alcohol and Drug residential facilities that have more than 16 beds results in a $15.7 million General cost. The approved rebalance includes a cost of $7.0 million General Fund to cover claims costs for hospital patients thought to be eligible for Medicaid but not yet through the

formal eligibility process. During the period before the patient completes the formal eligibility process, the federal match for services already received is at the state's regular match rate. The rebalance changes also include an additional $1.2 billion in Federal Funds expenditure limitation, primarily because the state is enrolling new clients under the ACA expansion faster than originally forecast.

The MAP budget is also affected by two organizational changes included in the rebalance. A total of $34 million General Fund that was previously in the MAP budget is transferred to a new budget structure called Health Policy Programs. In addition, a total of $16.7 million General Fund and $50.2 million total funds is transferred from Addictions and Mental Health to MAP. This completes another component of the transfer that eventually moves these programs receiving Medicaid match into the CCOs, and so into the MAP budget.

Health Policy Programs will also include the transfer of the Transformation Center from Central Services. The final resulting budget for Health Policy Programs will be $44.1 million General Fund, $129.4 million total funds, and 128 positions (122.37 FTE). All these adjustments net to zero agency-wide. As a part of the approved rebalance, a second new budget structure is created for the Oregon Health Licensing Agency, which will transfer to OHA on July 1, 2014 as a result of House Bill 2074 (2013). This program will then be called the Health Licensing Office. The second year budget amount of $3.8 million Other Funds is added to OHA, as well as the 35 positions (17.50 FTE) in the current agency. There is a corresponding reduction to the budget of the Oregon Health Licensing Agency.

The Subcommittee approved a restoration of $20.8 million of the 2% supplemental ending balance holdback that was included in the MAP original legislatively adopted budget for 2013-15. This is funded with one-time revenues available in the budget. The agency expects to address the remaining $2.1 million shortfall by reducing the 2014-15 fee-for-service inflation adjustment for the Oregon Health Plan from 2.4% to 1.2%.

The final budget adjustments include additional General Fund savings of $24.4 million as a result of the Children's Health Insurance Reauthorization Act (CHIPRA) bonus that was announced in December. A total of $1.9 million General Fund and $5.0 million total funds is added to the MAP budget to cover compensation changes for home health care workers who are not state employees. A total of $12.9 million had been included in a special purpose appropriation for this purpose. That amount is fully distributed to OHA and the Department of Human Services to cover these costs.

The Subcommittee approved the following budget note relating to the health care system, and the role of Oregon Health and Science University within that system:

> **Budget Note:**
> The Oregon Health Authority will work with health systems, Coordinated Care Organizations, and health care providers to develop recommendations that ensure that Medicaid clients have access to medically appropriate and necessary inpatient or outpatient health services. Factors to be considered will include:
>
> (a) The acuity of the patient's condition and the urgency of the patient's need for treatment;
>
> (b) The role of Oregon Health and Science University as the state's only public academic health center;
>
> (c) The role of Oregon Health and Science University as a health care provider as well as its capacity relative to other hospitals, clinics or facilities in the community; and

(d) Advancing the goals of the triple aim, including factors such as:

(A) delivery of quality care

(B) cost

(C) convenience to the patient

(D) patient's access to care

OHA will report on the recommendations to the appropriate legislative policy committees before the 2015 session.

Addictions and Mental Health

The budget adjustments included in House Bill 5201 for Addiction and Mental Health (AMH) reflect a net decrease of $3.2 million General Fund, an increase of $9.2 million Other Funds limitation and a decrease of $36.6 million Federal Funds limitation.

The rebalance plan approved by the Subcommittee includes a cost of $2 million General Fund to keep Blue Mountain Recovery Center open until the end of March 2014. This is offset by savings of $0.2 million General Fund as a result of the increase in the federal match rate. In addition, the budget is decreased by $19.4 million General Fund as a result of transfers and technical adjustments. This is primarily the result of the transfer of another component of the remaining programs in AMH that will be moved to the CCOs during this biennium.

The Subcommittee approved a restoration of $11.4 million of the 2% supplemental ending balance holdback that was included in the AMH original legislatively adopted budget for 2013-15. The agency expects to address the remaining $1.9 million shortfall by eliminating the development of one 5-bed residential treatment home, and delaying the opening of two others by a few months.

If House Bill 4124 becomes law, the current youth suicide prevention coordinator in Public Health will move to AMH and take on a number of new responsibilities, including a new focus on intervention. AMH will coordinate with Public Health on funding to continue a position in Public Health that will continue to focus on prevention. Both these positions will have an important role to play as the new investments in children's mental health are implemented.

The Subcommittee approved a General Fund appropriation of $50,000 for the Buckley sobering center of Willamette Family Treatment Services. This is one-time funding to establish a pilot at the center, in order to determine the efficacy of allowing the sobering center to sign clients up for the Oregon Health Plan, if appropriate, as well as the effectiveness of including peer support navigators on staff to facilitate on-going treatment. AMH and the center will report the results of the pilot during the 2015 legislative session.

House Bill 5201 includes an additional $2.9 million General Fund to increase rates for Alcohol and Drug adult residential facilities. House Bill 5008 (2013) set aside a special purpose appropriation of $3.3 million for this purpose, and the agency was directed to do a study on both the youth and adult systems. The agency presented that study to the 2014 Legislature. The $2.9 million will allow the agency to increase the indigent (non-Medicaid) rate for adults from the current $105.50 per bed per day up to $120.00 (both rates include the current $20 rate for room and board). This increase will be for both years of the biennium. This brings the indigent rate up to the same level as the Medicaid fee-for-service and CCO rate. In addition, the room and board rate will be increased from $20.00 to $24.00 for indigent, fee-for-service and CCOs,

effective January 1, 2014. It is not ideal to increase the room and board rate, because that portion of the total rate cannot be matched. However, an increase in the treatment portion of the rate would flow through the CCO budgets, and they are capped at this time.

The Subcommittee approved the agency plan to spend the remaining $10 million Other Funds revenues from the increase in the cigarette tax that was dedicated to community mental health programs. AMH plans to invest $5 million of the funding in rental assistance programs through the current structures within the agency. For the other $5 million, AMH plans to partner with the National Alliance on Mental Illness (NAMI) and the Oregon Residential Provider Association (ORPA) for development of supported housing for individuals with mental illness, who will seek out grants, gifts and contributions to supplement the state money to support this development. The state funding will provide not more than 20% of the costs for the construction of the housing, and up to 50% of the start-up costs of the housing. The partners will convene a work group to advise in developing and prioritizing the list of projects. In order to ensure that the money available is invested this biennium, timelines will be established for funds to be committed. Funds that are not committed by those deadlines will be utilized for additional rental assistance funding. The partners have agreed on a Memorandum of Understanding that lays out these details. This $5 million funding is to be considered one-time for purposes of 2015-17 budget development. The Subcommittee approved the following budget note:

> **Budget Note:**
> Addictions and Mental Health, the National Alliance on Mental Illness, and the Oregon Residential Provider Association shall report back to each of the interim Emergency Board meetings on the status of all projects being considered or funded. The report should include information on each project, including location, timelines, various funding sources, number of housing units total, and number of housing units specifically for persons with mental illness. At least 10 days before a contract is signed by the Oregon Health Authority for any specific project, the agency shall notify Legislative Leadership and the Legislative Fiscal Office of their intent to sign a contract and the details of the contract.

Public Health

The budget adjustments included in House Bill 5201 for Public Health reflect a net increase of $1.2 million General Fund, and a decrease of $0.1 million Other Funds limitation and $0.5 million Federal Funds limitation.

The Subcommittee approved a restoration of $0.7 million, or all of the 2 % supplemental ending balance holdback that was included in the Public Health legislatively adopted budget. The special purpose appropriation for dental pilot projects of $100,000 was eliminated and placed in this budget. This will enable the agency to provide staff to implement the program originally envisioned in Senate Bill 738 (2011). The Subcommittee also approved additional funding of $270,000 for breast and cervical cancer screening services. About $100,000 of this was needed to backfill funding shortfalls recently communicated to the agency by the Komen Foundation.

Public Health programs had three fee schedules that were approved by the Department of Administrative Services and implemented administratively during the interim. These were ratified as a part of House Bill 5202, the statewide fee ratification bill. These include the fees related to the regulation of non-transplant anatomical research recovery organizations, registration of medical marijuana facilities, and the Oregon State Public Health Laboratory fees for communicable disease tests.

The Subcommittee approved the following budget note:

**Budget Note:**
The Oregon Health Authority will report to the Emergency Board in September 2014 on plans to appoint a state dental director as part of the agency's 2015-17 budget request. The report will include a position description outlining the duties of this position and the estimated costs to fill the position.

Central and Shared Services/Statewide Assessments and Enterprise-wide Costs
The budget adjustments included in House Bill 5201 reflect net decreases of $7.3 million General Fund, $3.6 million Other Funds limitation and $81.9 million Federal Funds limitation.

The reductions in these budgets are primarily the result of transferring the budget and staff from the Office of Health Policy and Research and the new Transformation Center, both currently in Central Services, over to the new Health Policy Programs. These actions are included in the rebalance. The Subcommittee approved a restoration of $1.8 million, or all of the 2% supplemental ending balance holdback that was included in the legislatively adopted budget.

An updated Package 091 Statewide Administrative Savings was approved in which a portion of the agency's reduction was moved from services and supplies to personal services. These reductions are all in Shared Services, which for OHA are the information technology services provided to both OHA and the Department of Human Services. One of the strategies for efficiencies is to do more projects with agency staff, rather than hiring contractors to perform the work at a higher price. The agency also expects to reduce expenditures related to mainframe printing by working with recipients to see which reports are still used. There are also opportunities to standardize software tools and reduce the amount of annual maintenance and support required.

**Department of Human Services**
The Department of Human Services (DHS) 2013-15 budget is organized through eight budget structures and five appropriations. The budget structures reflect five direct program areas (Self Sufficiency, Child Welfare, Vocational Rehabilitation, Aging and People with Disabilities, and Developmental Disabilities) and three program support functions (Central, Shared Services, and State Assessments and Enterprise-wide Costs). In addition, the agency's rebalance plan approved by the Subcommittee as part of House Bill 5201 adds a new program structure – Program Design Services – to consolidate and better capture cross-program work, such as licensing and regulatory oversight. The funding and position transfers from the programs to the new structure drive decreases in program budgets that net out across the agency; these should not be confused with program reductions.

Setting aside statewide budget issues, the agency's rebalance plan, which was presented at the January 2104 meeting of the Interim Joint Committee on Ways and Means, generates a net savings of $0.5 million General Fund. This net positive position reflects both costs and savings tied to agency caseloads, costs per case, and a slightly higher federal percentage for FMAP eligible costs. It also accounts for new program funding gaps identified since the 2013 session, including $2.2 million General Fund needed to avoid going to a wait list for Vocational Rehabilitation services and $1.9 million General Fund to cover a 6% interim rate increase for employment services providers in the Developmental Disabilities program.

The rebalance plan also contains several technical adjustments/transfers within the agency and between DHS and the Oregon Health Authority. Internal DHS changes, mostly tied to allocating costs and budget with more precision than was used during budget development, net to zero. There is a net increase of $1.8 million General Fund in the DHS budget due to the realignment of costs between DHS and OHA associated with mental health facility development and outreach services; changes between the two agencies net to zero.

In addition to rebalance adjustments, the Subcommittee approved a direct restoration of $11.4 million General Fund withheld in the legislatively adopted budget for the 2% supplemental ending balance; this represents 25% of the holdback amount. Through the use of one-time revenues in both OHA and DHS, including $3.3 million Federal Funds in bonus revenue received under the Supplemental Nutrition Assistance Program (SNAP) and $15.0 million Federal Funds in Temporary Assistance for Needy Families (TANF) carryforward revenue, the remaining holdback of $34.2 million General Fund was covered. The Subcommittee did not make any program reductions, but budget risks do remain. These include changes to caseloads, final sequestration actions, potential federal penalties, litigation and other legal costs, and federal rule changes affecting home care workers.

The Subcommittee approved several other changes (additions) beyond the rebalance and statewide actions. The most significant of these is a series of investments totaling $8.3 million General Fund ($9.4 million total funds), which is sourced by $26 million General Fund set aside side for senior programs during the 2013 Special Session. Overall, House Bill 5201 increased the agency's budget by $66.8 million General Fund, $27.0 million Other Funds, and $125.4 million total funds, or about 2.4% overall. The net change to positions is an increase of 1 position and a decrease of 3.49 FTE, which reflects a mix of phase-ins, position eliminations, and classification changes.

A more detailed description of each program area follows. For context regarding caseload changes, the 2013-15 legislatively adopted budget was based on the spring 2013 caseload forecast; the rebalance adjustments in House Bill 5201 factor in caseload and cost changes tied to the fall 2013 forecast, published in December 2013.

Self Sufficiency

The budget adjustments approved by the Subcommittee for the Self Sufficiency (SS) program reflect net decreases of $19.8 million General Fund, $13.1 million Other Funds limitation, $7.4 million Federal Funds limitation, and 77 positions (77.00 FTE).

Rebalance adjustments account for projected growth of about 3% in the overall 2013-15 SNAP caseload from the previous forecast. Embedded in the net increase is a decrease in the number of SS households receiving SNAP, while the number of Aging and People with Disabilities households receiving SNAP continues to grow. Caseloads in the TANF cash assistance programs are down 1% from the spring numbers, at a biennial average of 33,591 families. Overall caseload savings of $1.0 million General Fund are included in the agency's rebalance calculation. Budget and program risks for these economically-sensitive caseloads include final structure of the SNAP program upon federal reauthorization, growth in participating households associated with increased Medicaid enrollments under the Affordable Care Act, and possible extension of unemployment benefits.

To support implementation of a new program structure, Program and Design Services (PDS), $9.4 million total funds and 75 positions (75.00 FTE) are transferred out of SS and into PDS. Technical adjustments and transfers account for a decrease of $44.9 million total funds and 2

positions (2.00 FTE); the largest portion is $33.4 million totals funds associated with moving the budget for the Modernization project from SS to the Office of IT Business Supports, which is part of the new PDS budget structure.

The Subcommittee approved use of $18.3 million in one-time federal revenues (SNAP bonus and TANF carryforward) to help fully restore the 2% holdback. Another change outside the agency's rebalance plan is a one-time investment of $200,000 General Fund to support nutritional programs. Half of the money will help increase the number of summer and after-school food sites by at least 50 over the next year through offering technical assistance and implementing practices to help sustain programs into the futures. The other half, which will be matched with $100,000 in federal dollars, will be used to help seniors and other eligible groups or individuals with low participation rates access SNAP benefits, update online tools, and develop client education materials around protecting benefit cards.

An increase of $2,200,000 Other Funds expenditure limitation was also approved by the Subcommittee and should allow the Employment Related Day Care (ERDC) program to achieve an average monthly caseload of 8,500 over the last 12 months of the biennium. One-time funding to support this increase is available due to a higher than anticipated amount of Child Care Development Fund (CCDF) carryforward revenue from the 2011-13 biennium. While the budget continues to be inadequate to meet program needs – there are currently over 800 families on the waiting list – the Legislature is committed to improving program effectiveness and flexibility within available resources. With this goal in mind, the Subcommittee adopted the following budget note:

> **Budget Note:**
> The Oregon Department of Education - Early Learning Division's Office of Child Care and the Department of Human Services will convene a workgroup of stakeholders to develop a set of policy recommendations on how best to modify the ERDC program to provide child care subsidies to working parents enrolled in post-secondary higher education. The agencies will report these recommendations back to the Emergency Board in May 2014; the expectation is that rulemaking to implement legislatively approved changes would follow soon after. Program elements to address within the recommendations should include eligibility criteria, work hour requirements, school attendance verification, academic standing expectations, limitations on assistance, TANF leavers, families having children with special needs, program exit income limits, child care quality, data reporting, caseload priorities, and program evaluation.

Child Welfare

The budget adjustments approved by the Subcommittee for the Child Welfare (CW) program reflect an increase of $16.5 million General Fund, a decrease of $1.6 million Other Funds limitation, an increase of $5.2 million Federal Funds limitation, and a decrease of 18 positions (18.00 FTE).

While forecasts for individual CW caseloads have fluctuated between the spring and fall forecasts, these changes did not drive any budget adjustments. The rebalance does include savings from a change in the Federal Medical Assistance Percentage (FMAP), decreasing General Fund need by $0.9 million. Based on the latest federal estimates, the 2013-15 biennial average FMAP rate will increase from 63.06% to 63.40%, which reduces the state contribution percentage. This change also affects other agency programs.

The approved budget adjustments include $0.3 million General Fund to correct an error made in 2013-15 budget development. In the agency's 2011-13 budget, a one-time revenue source (federal grant) was used to sustain the post-adoptions program. However, the one-time action was not

reversed as planned. A portion of the FMAP General Fund savings is used to cover the oversight and maintain the program at the 2013-15 legislatively adopted level. Also included is spending authority and eight limited duration positions (8.00 FTE) to support work under a federal grant. DHS received legislative approval to apply for the grant, which supports recruiting resource families for foster children, in May 2013.

To support the new program structure, Program and Design Services (PDS), $4.0 million total funds and 26 positions (16.00 FTE) are transferred out of CW and into PDS. Technical adjustments and transfers account for an increase of $1.7 million total funds for this program.

The Subcommittee added $800,000 General Fund to establish necessary legal, financial, and administrative foundations to launch a Pay for Prevention effort in Oregon. The money will go to the Center for Evidence-Based Policy at OHSU for conducting economic research, developing provider capacity, and building a performance measurement, accounting and reporting system. The ultimate goal of the initiative is to identify young children most at-risk, implement evidence-based supports designed to achieve specific outcomes, and invest in performance-based contracting that links payment to outcomes through social impact financing. The Subcommittee approved the following budget note related to the project:

> **Budget Note:**
> By January 1, 2015, the Office of the Governor and the Center for Evidence-Based Policy at OHSU will jointly report to the Human Services Subcommittee of the Emergency Board on how the Pay for Prevention money is being spent and project progress. In addition, OHSU shall solicit independent review from a panel of experts on key components of project as it is developed. The report will include a summary of the independent panel's assessment of the project, including project design, research validity, and project viability.

Vocational Rehabilitation

The Subcommittee approved budget adjustments for Vocational Rehabilitation (VR) equating to increases of $3.6 million General Fund, $6,703 Other Funds limitation, $3.0 million Federal Funds limitation, and 10 positions (8.80 FTE).

The fall forecast projects caseload to be about 2% lower than the spring estimate. Any savings associated with fewer clients is masked by higher than expected costs per case, which have grown by about 5% from the spring 2013 forecast. Cost drivers include higher expenses for some services, such as technology devices for communication and mobility, and new referrals having needs more complex or costly to support than existing clients.

To maintain the program and cover these costs without moving to an Order of Selection (priority wait list), the budget approved by the Subcommittee includes an additional $2.2 million General Fund. The extra state dollars will have implications for future Maintenance of Effort (MOE) requirements. No additional federal dollars are available at this time; there is a slight possibility re-allotment funds could be accessed later in the biennium to reduce General Fund and MOE impacts.

Technical adjustments and transfers account for an increase of $2.7 million total funds and 10 positions (8.80 FTE); the bulk of the dollars and the positions are associated with moving work tied to the Governor's Executive Order 13-04 (employment services) from Developmental Disabilities to VR.

Aging and People with Disabilities

The budget adjustments approved by the Subcommittee in House Bill 5201 for the Aging and People with Disabilities (APD) program reflect an increase of $12.2 million General Fund, a decrease of $6.8 million Other Funds limitation, a decrease of $4.0 million Federal Funds limitation, and a decrease of 78 positions (82.25 FTE).

Overall caseloads in long-term care facilities are down about 3% from the level funded in the legislatively adopted budget. In-home caseloads are just over 8% higher than budgeted, while both community-based facilities' and nursing facilities' caseloads are lower, by about 10% and 2%, respectively. After costs associated with higher acuity levels and lower than expected provider tax revenues, these changes drive a net savings of $4.0 million General Fund. In addition to the caseload savings, APD also has $6.6 million General Fund in savings due to the FMAP change noted previously.

While the caseload trends are consistent with APD policy goals, the Department is concerned that it may be too early in the biennium to safely capture and repurpose the associated savings. Risks include the implementation of the K Plan and the initiative to reduce nursing facility bed capacity.

To support creation of the new program structure, $15.6 million total funds and 85 positions (85.00 FTE) are transferred out of APD and into PDS. Technical adjustments and transfers account for an increase of $4.8 million total funds for this program and mostly consist of a net $4.7 million total funds increase realigning budgets between APD and OHA (Addictions and Mental Health).

In addition to the rebalance changes and statewide adjustments, this program was significantly impacted by the Subcommittee's approval of funding for several senior programs or initiatives, including two pieces of legislation. While APD is not always the directed recipient or primarily participant in these projects, the bulk of the money does flow through DHS and the program has a key role in coordinating activities and reporting. The following section discusses the funding decisions and associated expectations.

Recommendations for 2013 Special Session $26 million Special Purpose Appropriation for Seniors

During the 2013 Special Session in September 2013, as part of HB 5101 (2013), $26.0 million General Fund was set aside in a Special Purpose Appropriation (SPA) to the Emergency Board for Board for future distribution to programs or activities supporting seniors. Over the four months between that action and the 2014 Legislative Session, the Department worked with a group of stakeholders to develop a list of proposals for funding allocation.

The Subcommittee approved most of the requested projects; the remaining unallocated General Fund will stay in the Senior SPA for future distribution by the Emergency Board. The legislative intent is that the proposals currently unfunded will have first priority (i.e., portions of the SPA are set aside for these) in the next round of SPA allocations, which is likely to occur as soon as May 2014. Projects are also funded with the caveat that, for ongoing initiatives, overall 2015-17 costs should not exceed the initial 2013 Special Session revenue allocation of $41 million plus standard inflation. This funding level restriction should be considered as the both the executive and legislative branches develop budgets for the 2015-17 biennium. In addition, some projects were approved as one-time expenditures and are generally not expected to roll forward; these programs have been identified as such in the following narrative. However, these programs' status as one-time expenditures may be evaluated for

ongoing status based on program development and the level of resources available in 2015-17. If included as ongoing, the program costs should be clearly identifiable within the 2015-17 budget build.

Funding recipients are expected to work with the Department of Human Services to provide to the Joint Committee on Ways and Means Subcommittee on Human Services during the 2015 Legislative Session a coordinated update on what was accomplished with these investments.

Projects or proposals brought forward for consideration for Senior SPA funding, along with the Subcommittee's recommendation are summarized in the table below. Subsequent narrative sections describe expectations regarding each project or proposal and next steps, including future allocations for projects for which funds are not being authorized at this time. For reference, summary item numbers 1 through 21 correspond in order to the consensus list developed by the Campaign for Oregon's Seniors & People with Disabilities; three other proposals were added as issues surfaced during the legislative session.

## 2013 Special Session $26M Appropriation Allocation Recommendations

| Item # | Item | Who | Request | Approved | Recommendation |
|---|---|---|---|---|---|
| 1 | Geriatric/disability/mental health and addiction specialists for each county | OHA - AMH | 2,800,000 | - | Hold; present plan in May |
| 2 | Senior mental health training | OHA - AMH | 700,000 | - | Hold; present plan in May |
| 3 | Enhance funding for elder and disabled transit services | ODOT | 4,000,000 | 4,000,000 | Fund |
| 4 | Geriatric medication competency training pilot | DHS - APD | 1,600,000 | 1,600,000 | Fund but require May report on RFP |
| 5 | Training on Alzheimer's/dementias | DHS - APD | 450,000 | 450,000 | Fund but require May report on RFP |
| 6 | Training for caregivers on challenging behaviors | DHS - APD | 400,000 | 400,000 | Fund but require May report on RFP |
| 7 | First responder training on Alzheimer's/dementias | DHS - APD | 500,000 | 500,000 | Fund but require May report on RFP |
| 8 | Increase access to caregiver training statewide | DHS - APD | 350,000 | 350,000 | Fund but require May report on RFP |
| 9 | Restoration of Quality Care Fund sweep | DHS - APD | 1,000,000 | 1,000,000 | Restore fund but report on spending plan |
| 10 | SB 1553 - Public Guardianship Program | LTCO | 949,183 | 949,183 | Fund |
| 11 | Re-establish annual reporting for long term care | DHS - APD | 750,000 | 100,000 | Partially fund; report back in May |
| 12 | Develop community based care capacity index | DHS - APD | 750,000 | 100,000 | Partially fund; report back in May |
| 13 | General Assistance study | DHS - APD | 112,000 | 112,000 | Fund |
| 14 | Collect/analyze data on via annual BRFSS report | OHA - PH | 30,000 | 30,000 | Fund |
| 15 | Cash Oregon Grant for technology upgrade | DHS - APD | 150,000 | 150,000 | Fund |
| 16 | Personal Incidental Fund (PIF) from $30 to $100 per month | DHS - APD | 1,300,000 | 539,728 | Fund at $60 and start indexing |
| 17 | Initiate SB 870 to expand coverage for younger persons with disabilities | DHS - APD | 3,000,000 | - | Hold; present pilot plan in May |
| 18 | HB 4151 - Elder Abuse Task Force Recommendation | DHS - APD | 149,365 | 149,365 | Fund |
| 19 | Adult Protection Services Technology Investment | DHS - APD | 1,000,000 | - | Hold; pending business case and plan |
| 20 | AAAs - Replace Older Americans Act lost due to sequestration cuts | DHS - APD | 2,500,000 | - | Hold; pending actual awards/need |
| 21 | AAAs - Evidence based health promotion/disease prevention | DHS - APD | 1,250,000 | 1,250,000 | Fund |
| 22 | Senior Property Tax Deferral Hardship Fund ($313,800) | DHS - APD | 313,800 | 313,800 | Fund |
| 23 | SB 1542 - Expand Home Care Registry to Private Payers | DHS - APD | 1,281,297 | 1,281,297 | Fund |
| 24 | Medford Senior Center Nutrition Program | DAS | 20,000 | 20,000 | Fund |
| | | Total | **25,355,645** | **13,295,373** | |

| | | |
|---|---|---|
| SPA Amount | 26,000,000 | 26,000,000 |
| SPA Remaining | 644,355 | 12,704,627 |

***Items #1 and #2*** were not funded by the Subcommittee, pending a report to the May meeting of the Emergency Board. The current $3.5 million General Fund ($7.0 million total funds) proposal places geriatric and disability mental health and addiction specialists in all 36 county mental health programs, in order to improve services for older adults with mental illness. Behavioral health services for older adults rest in systems of care that are often times disconnected. These systems include Aging and People with Disabilities long term care, home health, Medicare (including Medicare Advantage plans) and community mental health programs. The geriatric specialist would focus on the coordination of these systems within their community to meet the behavioral health needs of older adults. In its report, the Oregon Health Authority will provide a more detailed plan for both the services and training components of the proposal. The report should include how to structure the program and how to best work with Coordinated Care Organizations, in both the short and long run, considering that these staff would service Medicare clients as well as those eligible for Medicaid. The report should also address the appropriate level of staffing in different areas of the state.

***Item #3*** was funded, adding $4.0 million General Fund for senior and disabled transportation budgeted in the Department of Transportation (ODOT). The program distributes funds to counties, transit districts, and tribes that provide transportation services for older adults and persons with disabilities. This infusion brings total funding for the biennium to $11.0 million, which is about 30% of the estimated need as evaluated in a 2010 study by Portland State University.

***Items #4 through #8***, at a total of $3.3 million General Fund were approved on a ***one-time*** basis for the Department of Human Services to fund a broad scale Caregiver Training Initiative designed to provide consistent, standardized, and evidence-based training across all segments of the long term care services and supports (LTCSS) continuum. The growing population of seniors and people with disabilities requires a well-trained workforce and the development of additional community resources for both professional and family caregivers. Curriculum, trainings, and capacity development will be targeted in the following areas:

- Geriatric medication management and competency
- Alzheimer's disease and related dementias
- Challenging behaviors in non-dementia populations
- Specialized training for the public safety workforce on Alzheimer's and related dementias
- Enhanced training and access to caregiver trainings in underserved areas, populations and settings

DHS will issue a Request for Proposal (RFP) for organizations with the demonstrated experience, competency, expertise and ability to develop, coordinate and conduct trainings, curriculums and materials to reach all the intended audiences identified. Organizations will need to demonstrate the ability to work statewide with both private and public entities in the development, coordination and successful execution of this initiative. Programming should be aligned with current state policy initiatives.

The Subcommittee directed DHS to report back to the Emergency Board in May on the status of the RFP with the goal being for trainings to commence by July 2014.

For ***Item #9,*** the Subcommittee approved a ***one-time*** allocation of $1.0 million General Fund, which allows Quality Care Fund resources to be directed back from general program expenditures to training, technical assistance, quality improvement initiatives and licensing activities. The fund's revenue comes from long-term care facility licensing fees and civil penalties. Prior to formally allocating Quality Care Fund dollars to projects or initiatives, the Department will report to the Emergency Board on the spending plan, the process used to decide on fund allocations,

and the overall plan for managing the fund going forward. This report will be provided in May 2014 in conjunction with the training RFP report discussed above.

Funding for ***Item #10***, which was approved in the amount of $949,183 General Fund, will support a new Public Guardian and Conservator program within the office of the Long Term Care Ombudsman (LTCC). The program is created with passage of SB 1553 (2014); more information on the program is included in the LTCO narrative.

***Items #11 and #12*** take care of two data and information reporting gaps in the Department of Human Services. The approved initial allocation of $200,000 General Fund ($100,000 per project) will allow DHS to develop a work plan and more precise costing for these projects before returning to request the remaining funding. The first project will restart annual Nursing Facility and Community Based Care utilization reports, which were discontinued in 2009 due to budget reductions. The second effort is around improving availability of quality information on community based care facilities, through development of a website that will contain information on facility location, type of care, vacancies and customer satisfaction.

An allocation of $112,000 General Fund **(*Item #13*)** will allow the Department of Human Services, with stakeholder participation, to conduct a ***one-time*** study on and make recommendations for a program designed to provide temporary cash assistance to low-income, childless adults with disabilities (at a minimum). The Department will report the study results and program recommendations to the appropriate House and Senate policy committees and the Joint Committee on Ways and Means during the 2015 Legislative Session. The report should include, but not be limited to, data on special populations, such as homeless persons, veterans, and individuals nearing or on post-prison supervision, eligibility requirements, services offered, desired outcomes, cost avoidance, potential pilot projects, and a menu of program/funding options.

***Item #14***, funded at $30,000 will go to the Oregon Health Authority (Public Health) to pay for collecting specialized data on cognitive data and caregiving under the Behavioral Risk Factor Surveillance System. These data are particularly important for the Oregon Chapter of the Alzheimer's Association and its efforts to advance research, to provide and enhance care and support, and to reduce the risk of dementia through the promotion of brain health.

The Subcommittee approved ***Item #15***, at $150,000 General Fund, which supports a grant to Cash Oregon to support the one-time purchase of new laptops for the AARP Tax Aide program. This is a volunteer program that helps low-income seniors prepare tax returns.

Each Medicaid nursing facility resident has a monthly allowance to cover personal incidental needs ***(Item #16)***; this amount has been set at $30 per month for the last twenty years. The Subcommittee approved $539,728 General Fund and $952,472 Federal Funds for DHS to increase the amount to $60 per month, effective July 2014. Beginning with the 2015-17 biennium, the Department is directed to begin indexing personal incidental fund increases in nursing facilities to annual increase percentages published by the social security administration. (The approved recommendation was modified from the original proposal, which requested an increase to $100 without any indexing.)

For ***Item #17***, the Subcommittee retained $3.0 million General Fund within the SPA for distribution in May 2014, after DHS and stakeholders have brought forward a pilot project plan to make Oregon Project Independence services available to people with disabilities, as envisioned by SB 870 (2005). To provide more specific direction, the Subcommittee adopted the following budget note:

**Budget Note:**
The Department of Human Services shall develop a pilot project to expand Oregon Project Independence services to people with disabilities effective July 1, 2014. To meet this timeline, the Department will need to make emergency rules; that rulemaking will incorporate the feedback of appropriate stakeholders and advocates. Appropriate parties include, but are not limited to, the Oregon Association of Area Agencies on Aging and the Disability Services Advisory Councils. The pilot shall cover a regionally diverse area of Oregon, including both rural and metropolitan areas. The Department shall report on the pilot project plan, which should cover structure, outreach, evaluation, and budget components, and request release of SPA funds at the May 2014 meeting of the Emergency Board.

The Department of Human Services will study and report back to the appropriate 2015 legislative committees on the potential to transition Oregon Project Independence to a statewide, age neutral program that assesses and serves seniors and persons with physical disabilities based on need. This report will include cost projections for the expansion of services, projected caseloads and demographic distribution.

Funding for ***Item #18***, which was approved in the amount of $149,365 General Fund, $118,680 Federal Funds, and two positions (1.34 FTE) supports work under HB 4151 (2014). This bill sets consistent timelines for investigations of elder abuse cases by DHS and directs the agency to adopt policies and guidelines for the development of an electronic database of abuse reports and to standardize investigation and reporting practices. A registry of persons working or seeking to work in elder care facilities will be implemented by January 1, 2015; the registry will augment the existing criminal background check system. One of the new positions will work with the standardized practices and protocols related to the abuse reporting and investigating components of the bill. The other one will responsible for the registry work, including coordination with reporting facilities and development of registry rules.

Discussions regarding various systems and worker registries led the Subcommittee to adopt an associated budget note:

**Budget Note:**
The Department of Human Services will report to the Joint Committee on Ways and Means during the 2015 Legislative Session on the "ready to work" registry and associated rules required under HB 4151 (2014). The report should also include a section on the feasibility of developing a comprehensive (diverse care settings) and multipurpose (employment, referral, certification, background check, abuse) care worker registry, including potential efficiencies, benefits, costs, and barriers. Lastly, the report will provide an update on how administrative rule changes required by HB 3168 (2013) have reduced duplicative background check counts and decreased waiting times for applicants.

The Subcommittee did not fund ***Item #19***, which is a DHS request for funding to begin developing a new system to manage adult protective services activities. At a minimum, the Department plans to finalize a system business case and explore opportunities for federal funding before returning the Emergency Board to report on the project's progress and potentially request at least an initial allocation from the Senior SPA.

***Item #20*** is a request for $2.5 million in state funding to backfill anticipated federal reductions in federal Older Americans Act dollars. Several programs administered by the local Area Agencies on Aging (AAA) are potentially affected by sequestration reductions. However, federal funding award letters have not yet been received and more recent information indicates that the shortfall may be much less than expected, if not completely mitigated. Accordingly, the Subcommittee did not allocate funds, but welcomes a SPA allocation request during the interim, depending on final federal awards and demonstrated need. Not taking action on potential sequester reductions at this time is also consistent with the approach taken in other areas of the DHS budget, where sequester impacts continue to remain an unfunded risk.

The Subcommittee approved ***Item #21***, which provides $1,250,000 General Fund to support statewide AAA efforts in the areas of Evidence Based Health Promotion and Disease Prevention. These programs serve individuals with long-term services and supports needs regardless of eligibility for entitlement programs. The money will be distributed through formula, with each region putting together a plan for that will include anticipated numbers of individuals served and outcomes.

To address back taxes for certain seniors who were allowed back into the senior property tax deferral program after being disqualified in 2011, the Subcommittee added $313,800 General Fund (***Item #22***). The plan is for these ***one-time*** funds to flow through DHS to one or more Area Agencies on Aging to perform eligibility and authorize payments.

Funding for ***Item #23***, which was approved in the amount of $1,281,297 General Fund and five positions (1.41 FTE) supports work under SB 1542 (2014). This bill requires the Home Care Commission (budgeted within DHS) to administer a program enabling private payers to buy home care services from the Commission's home care registry. Currently, only individuals covered by Medicaid may hire caregivers through the registry. Under the program, the Commission will be responsible for a variety of tasks, including publicizing the registry, screening workers, setting standards, providing referrals, establishing rates, and paying wages. After General Fund pays for ***one-time*** start-up costs, the program will be self-supporting; the bill allows the Commission to set consumer rates at levels that support recovering up to 107% of program costs.

For ***Item #24***, the Subcommittee approved $20,000 General Fund in the DAS budget as a ***one-time*** pass-through to the Medford Senior Center to cover emergency need in its senior nutrition program. Last fiscal year, the program provided 17,159 meals for the elderly in Jackson County.

Developmental Disabilities

The budget changes approved by the Subcommittee in House Bill 5201 for the Developmental Disabilities (DD) program reflect net increases of $21.9 million General Fund, $1,291 Other Funds expenditure limitation, $41.8 million Federal Funds expenditure limitation, and a decrease of 80 positions (78.80 FTE).

Both caseloads and cost per case in DD programs are expected to be higher than the previous forecast, driving an increase of $10.8 General Fund ($22.0 million Federal Funds). Caseload counts are growing and clients are moving between service categories, primarily because under the K Plan services must be provided to all eligible applicants. Costs per case are also going up due to increasing client acuity. While the legislatively adopted budget attempted to build in K Plan impacts, some elements, such as significantly more children entering the DD system or side effects of brokerages being pushed to capacity, were not anticipated in the previous forecast. These and other issues will continue to be risks moving forward.

The caseload associated costs are partially offset by FMAP rate savings of $4.9 million General Fund and participation rate adjustments resulting in savings of $1.3 million General Fund.

Other program costs covered in the rebalance include $1.3 million General Fund ($2.6 million total funds) to keep funding for the Community Developmental Disability Programs (CCDP) at 94% equity; a budget estimate error resulted in the equity level being underfunded. Another budget change provides $1.9 million General Fund ($5.2 million total funds) to cover a 6% interim rate increase for DD employment services providers; this item was included after discussion during November 2013 Legislative Days.

To support implementation of a new program structure, Program and Design Services (PDS), $9.9 million total funds and 67 positions (67.00 FTE) are transferred out of APD and into PDS. Technical adjustments and transfers account for a decrease of $4.4 million total funds and 13 positions (11.80 FTE) for this program.

Another technical change in this program area is a title clarification. To better characterize program clients, DHS is now referring to this program as Intellectual and Developmental Disabilities (I/DD).

The Subcommittee added $490,000 General Fund ($1,136,368 total funds) to cover a gap in some CDDP budgets due funding formula changes that left 11 of the programs with budgets below 2011-13 levels. This action corrects that disparity and supports program stability while the Department and the CDDPs are transitioning to a workload, instead of caseload, model for 2015-17 budget development.

Program Design Services

The Subcommittee approved the following budget for Program Design Services (PDS): $20,225,454 General Fund, $11,026,715 Other Funds, $78,907,230 Federal Funds, and 253 positions (253.00 FTE). The idea behind establishing this new structure is to capture program design services and some direct services to DHS clients and programs that span across the Department's five major program areas. The budget is created primarily through budget transfers from other programs. The agency's plan is to increase both program utility and transparency by consolidating certain services, which include the following offices: Program Integrity, Licensing and Regulatory Oversight, IT Business Supports, Business Intelligence, and Continuous Improvement.

In addition to budget transfers, also included is an additional $38 million Federal Funds expenditure limitation to leverage and spend additional federal match received for the Modernization technology project. The project is now housed in IT Business Supports; previously it was under the Self Sufficiency program.

Initially, the resources for each office were expected to continue to be part of each relevant program budget. However, due to budget and accounting system limitations, DHS was having difficulty providing managers and office customers with meaningful, timely, and accurate budget reports. For each program area receiving services, the Department will still be able to report on the nature and cost of services provided.

Central Services

The budget adjustments included in House Bill 5201 reflect the following net changes for Central Services: an increase of $542,649 General Fund, a decrease of $115,287 Other Funds expenditure limitation, and an increase of $307,909 Federal Funds expenditure limitation. Embedded in these figures is a decrease of $276,548 total funds associated with technical adjustments and transfers.

Shared Services

The Subcommittee approved a net increase of $8.2 million Other Funds expenditure limitation and a net decrease of 9 positions (9.24 FTE). The changes are primarily due to technical adjustments and transfers, including five positions moving from APD and OHA-AMH to Shared Services. An increase of $1.8 million Other Funds expenditure limitation would allow the budget to support activities in DHS and OHA program affected by 2013-15 policy option packages.

An updated Package 091 Statewide Administrative Savings was approved by the Subcommittee, and includes eliminating fourteen permanent full-time positions. The revised plan also attributes $2 million in General Fund savings to lower leases, budgeted in Statewide Assessments and Enterprise-wide Costs, instead of Personal Services in Shared Services. The eliminated positions are as follows:

- Financial Services (3 positions, 3.00 FTE) – Principal Executive Manager D, Office Specialist 1, Administrative Specialist 2
- Shared Services Administration (1 position, 1.00 FTE) – Executive Support Specialist 2
- Performance Excellence (2 positions, 2.00 FTE) – Operations & Policy Analyst 3, Operations & Policy Analyst 4
- Human Resources (2 positions, 2.00 FTE) – Principal Executive Manger D, Human Resources Assistant
- Budget/Planning/Analysis (2 positions, 2.00 FTE) – Operations & Policy Analyst 3, Fiscal Analyst 2
- Communications (1 position, 1.00 FTE) – Operations & Policy Analyst 3
- Payment Accuracy & Recovery (3 positions, 3.00 FTE) – Revenue Agent 2, Administrative Specialist 2, Human Services Specialist 4

Statewide Assessments and Enterprise-wide Costs

The budget adjustments included in House Bill 5201 reflect the following net increases for this program unit: $11.6 million General Fund, $29.4 million Other Funds expenditure limitation, and $7.6 million Federal Funds expenditure limitation. Within these numbers, technical adjustments and transfers account for an increase of $6.0 million General Fund ($11.2 million total funds), primarily to align the assessment budget with policy package changes in program budget structures.

In addition, the approved rebalance plan includes a request for $30 million Other Funds expenditure limitation to support accessing a line of credit. For several biennia, the agency has used a line of credit and borrowed funds from the Treasury to finance prepayments and account for a lag in receipt of certain revenues, including provider taxes. As was done for the 2011-13 biennium, the Subcommittee requests that the Department of Administrative Services (DAS) unschedule the expenditure limitation to be accessed only as needed depending on cash flow issues. The DAS Chief Financial Office and the Legislative Fiscal Office will jointly approve any rescheduling.

An updated Package 091 Statewide Administrative Savings was approved by the Subcommittee, shifting $2 million in General Fund savings to account for lower leases, instead of Personal Services savings. The agency identified a list of 99 leases that are set to expire over the course of the biennium and will track and document the savings expected to be achieved through improved contracting and negotiating tools. The

Department and the DAS Chief Financial Office has committed to holding budgets associated with these leases at assumed savings level for 2015-17 budget development, regardless of lease negotiation outcomes.

**Long Term Care Ombudsman**

The Subcommittee approved an increase of $39,554 General Fund, which fully restores the agency's 2% supplemental ending balance holdback included in the legislatively adopted budget. The restoration will allow the agency to meet budget needs without jeopardizing implementation of Senate Bill 626 (2013), which expanded the duties of the Long Term Care Ombudsman to advocate for residents of care facilities who have mental illness or developmental disabilities.

No changes were needed to reconcile Package 091 Statewide Administrative Savings, leaving the placeholder budget category reductions intact.

To cover costs associated with Senate Bill 1553 (2104), which establishes the Public Guardian and Conservator within the office of the Long Term Care Ombudsman, the Subcommittee approved $949,183 General Fund, 5 positions, and 2.25 FTE. This new program helps persons without relatives or friends willing or able to serve as guardians or conservators; services range from making care decisions to handling financial issues. Along with providing direct services, the program will set professional standards for and certify guardians and conservators, produce training materials, develop a volunteer program to assist the guardians and conservators, establish an eligibility process for program services, and work with local programs and organizations to provide services.

The fiscal impact includes Personal Services and related Services and Supplies for the following five positions: a Principal Exec/Manager D (Deputy Long Term Care Ombudsman), a Program Analyst 4 (Public Guardian), an Administrative Specialist 2, and two Program Analyst 2 positions (Deputy Public Guardian). Since the program starts mid-biennium, costs for 2015-17 are projected to more than double due to the additional year and anticipated growth. The budget estimate assumes a caseload of 165 clients/wards per year.

## JUDICIAL BRANCH

**Commission on Judicial Fitness and Disability**

The Subcommittee increased General Fund appropriations by $2,028 to restore 50% of the agency's 2% supplemental General Fund ending balance holdback.

**Judicial Department**

The Subcommittee increased General Fund appropriations by $3,086,092 to restore approximately 49% of the agency's 2% supplemental General Fund ending balance holdback. The amounts of the holdback restored include a $2 million restoration to Operations (approximately 37%). The Judicial Department's 2% ending balance holdbacks on pass-through appropriations received 25% restorations. General Fund increases to Mandated payments and to Third-party debt collection exceeded the 2% holdbacks to those programs. The Subcommittee appropriated $762,585 General Fund to Mandated payments, to restore both the 2% supplemental General Fund ending balance holdback, and the 5% General Fund services and supplies reduction, included in the legislatively adopted budget. The Subcommittee appropriated $250,000 General Fund to the Department, and an additional $700,000 General Fund in a special purpose appropriation to the Emergency Board, for Third-party debt collection

costs. These costs vary with the amount of debt successfully collected. The special purpose appropriation serves as a set aside to provide additional funding if debt collection levels are high enough to make to the funds necessary. The Subcommittee also approved a $1,300,000 General Fund special purpose appropriation to the Emergency Board for Operations support. The Department may request funds to finance one-time operations investments and service increases.

The Subcommittee reduced the General Fund appropriation for Judicial compensation by $108,823, and the General Fund appropriation for Debt service by $144,201. The Judicial Department carries forward any General Fund appropriations from the prior biennium that remain unspent. Carry-forwards in these two areas were larger than anticipated when the budget was approved in the 2013 session, resulting in funding levels that are higher than needed to fund program costs. The two General Fund appropriation reductions reduce support to the levels needed, and will not require any reduction in judicial compensation or debt service payments. The Subcommittee also approved a one-time $50,000 General Fund appropriation for transfer to the Douglas County court facilities security account, to assist the county in providing needed security protection at the county courthouse. This appropriation will be phased out in the development of the Department's 2015-17 biennium current service level.

Finally, the Subcommittee approved a $2,565,968 Other Funds expenditure limitation increase for grant-funded programs. The expenditure limitation increase will accommodate expenditure of both currently awarded grants, and of projected renewals of expiring grants at current levels, that support drug and specialty courts, pretrial release programs, and other initiatives. The grant funds are provided by the Criminal Justice Commission and non-state sources.

**Public Defense Services Commission**

The Subcommittee increased General Fund appropriations by $4,868,381 to restore most of the agency's 2% supplemental General Fund ending balance holdback. The amounts of the holdback restored include a 75% restoration to the Appellate Division; 50% restoration to the Contract and Business Services Division; and a full restoration to Professional Services, the portion of the agency's General Fund that finances the Commission's purchases of trial-level public defense services provided by independent contractors and hourly-paid private sector attorneys.

The Subcommittee also increased the General Fund appropriation to the Contract and Business Services Division by $48,631, to correct the support level for state government services charges in the agency budget. An error in the 2013-15 biennium Governor's recommended budget underfunded support for charges for payroll services.

## LEGISLATIVE BRANCH

The Legislative Branch retains its General Fund reversions from the previous biennium. The legislatively adopted budget included anticipated reversions but adjustments are now needed after the actual amounts are known. The following adjustments are included in the bill:

- The Legislative Administration Committee is increased by $251,000.
- The Legislative Assembly (Biennial Offices) is decreased by $251,000.
- The Legislative Assembly (Members – Interim) is increased by $275,000.
- The Legislative Assembly (Members – Session) is decreased by $275,000.

- The Legislative Revenue Office is increased by $13,000.
- The Legislative Fiscal Office is decreased by $13,000.

The bill includes a restoration of 25% of the 2% supplemental ending balance holdback for all of the Legislative Branch agencies.

## NATURAL RESOURCES

### Department of Agriculture

The Subcommittee approved a one-time $125,000 General Fund appropriation for use by Portland State University's Consensus Center to support a balanced task force to begin examining labeling requirements, liability, compensation, budgetary requirements and any other areas relating to genetically engineered agricultural products and recommend if new authorities or statutory changes are needed. The Subcommittee also approved a one-time $65,000 General Fund appropriation to pay the costs necessary to establish administrative rules and put the program processes in place to be able to issue licenses and permits for industrial hemp production.

Other Funds expenditure limitation was increased by $430,590 for the food safety program. These funds will be used to hire three new inspector positions (1.75 FTE), which will allow the Department to conduct food safety inspections of facilities on a shorter interval than is currently possible.

The Subcommittee approved the standard 25% restoration rate for the supplemental ending balance reductions, which resulted in the restoration of $96,102 General Fund. The Subcommittee also approved an updated Package 091 Statewide Administrative Savings, which moved some of the Other Funds reductions from administrative support services to agency programs.

### Columbia River Gorge Commission

The Subcommittee restored the full 2% supplemental ending balance holdback of $17,820 General Fund for the Columbia River Gorge Commission. This action brings the Commission's 2013-15 budget back to parity with the budget adopted by the State of Washington for the agency.

### Department of Energy

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating a part time Office Specialist 1 position (0.63 FTE) and reducing FTE on an Accounting Technician 3 position (-0.58 FTE).

House Bill 5201 includes a net of $276,190 in additional Other Funds expenditure limitation, to facilitate the expenditure of lottery bond proceeds totaling $10,152,380. Ten million dollars is intended to be deposited into the Jobs and Energy and Schools Fund and granted by the Oregon Department of Energy to Clean Energy Works Oregon, which will utilize the funding to facilitate the delivery of energy efficiency projects; $152,380 is for bond-related costs.

**Department of Environmental Quality**

The Subcommittee approved a $375,000 General Fund appropriation and three limited duration positions (1.04 FTE) to conduct air toxics monitoring in the Swan Island area. The funds will be used to operate a new air toxics monitor to better understand what air toxics people are exposed to in the vicinity of Swan Island. The monitoring work will continue into the first few months of the 2015-17 biennium, with a roll-up cost of $120,389 General Fund and three limited duration positions (0.48 FTE).

The Subcommittee approved the standard 25% restoration rate for the supplemental ending balance reductions, which resulted in the restoration of $130,726 General Fund. The Subcommittee also approved an updated Package 091 Statewide Administrative Savings, which moved some of the reductions from Agency Management to the Air, Water, and Land Quality programs and eliminated 2 positions and 2.50 FTE in Land Quality.

**Department of Fish and Wildlife**

The Subcommittee approved the transfer of $613,000 Federal Funds from the Fish Division to Capital Improvement to comply with new federal rules that require states to hold title to any land acquired using federal funds from the Sportfish Restoration Fund. The Department has traditionally used a portion of these funds to partner with the Oregon State Marine Board to improve public boating access. In the past the funds were awarded and a local government held title to any land purchased as part of these projects. The Department will now have to hold title, but local governments will continue to manage and maintain these facilities. The Subcommittee also approved the transfer of $183,000 Other Funds from the Fish Division to Capital Improvement to replace a condemned residence at the Cedar Creek Hatchery.

The Subcommittee approved the transfer of $1,104,325 Federal Funds and $359,548 Other Funds from the Wildlife Division to Capital Improvement to reflect plans to use these monies for land acquisition to increase hunter access, habitat improvement, and for capital improvements to existing facilities. The Federal Funds are from the Pittman Roberts Program (PR) which distributes revenue from a federal excise tax on sporting arms and ammunition. The Other Funds are necessary to meet PR match requirements.

The Subcommittee approved the standard 25% restoration rate for the supplemental ending balance reductions, which resulted in the restoration of $73,671 General Fund. The Subcommittee also approved an updated Package 091 Statewide Administrative Savings, which eliminated 3 positions and 3.42 FTE in the Administrative Services Division.

**State Forestry Department**

The Subcommittee approved a restoration of $457,618 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. That represents 50% of the initial holdback for Fire Protection and 25% of the initial holdback for Private Forests. No revisions were needed to implement Package 091 Administrative Savings, consistent with the original placeholder reduction.

The Subcommittee approved $40 million General Fund to supplement the 2013-15 Department's Fire Protection Division appropriation. The funding covers the estimated state's share of $75 million in net costs for the 2013 fire season. $10 million is the deductible on the state's and landowners' insurance policy. The additional $30 million covers costs that exceeded the state's $10 million deductible, the landowners' $10

million deductible, and the insurance policy $25 million payout. The Department will reconcile the 2013 and 2014 fire seasons and report on total costs during the 2015 Legislative Session.

**Department of Geology and Mineral Industries**

The Subcommittee approved a restoration of $12,880 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. That represents 25% of the initial holdback.

The Subcommittee approved an updated Package 091 Administrative Savings, moving a portion, primarily in Other Funds expenditure limitation, from personal services to services and supplies.

**Department of Land Conservation and Development**

The Subcommittee approved a restoration of $59,087 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. That represents 25% of the initial holdback. Funds restored include $24,653 in the grants program for grants, and $34,434 in the operations and planning program for personal services.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating one position (0.75 FTE) and moving a portion of the reduction from personal services to services and supplies.

**Land Use Board of Appeals**

The Subcommittee approved a restoration of $7,738 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. That represents 25% of the initial holdback.

**Department of State Lands**

No revisions were needed to implement Package 091 Administrative Savings, consistent with the original placeholder reduction.

The Department is planning an agency-wide reorganization. The Subcommittee approved increasing the Department's Other Funds expenditure limitation by $135,684. The purpose is securing professional services for business process mapping, review, and improvement recommendations, in support of the reorganization.

The South Slough National Estuarine Research Reserve has received a $1 million grant award notice from the U.S. Fish and Wildlife Services. The grant will enable the Department to add 240 acres to Reserve property. The Subcommittee recommended increasing Federal Funds expenditure limitation by $1 million and Other Funds expenditure limitation by $58,700. The Other Funds provide part of the required match. The remaining match will be provided by in-kind work and contributions from supportive local entities.

**State Marine Board**

The Subcommittee approved an updated Package 091 Statewide Administrative Savings by taking all of the administrative savings in services and supplies. The Board did not have sufficient vacant positions to take additional cuts to personal services.

**Parks and Recreation Department**
The General Fund reduction taken for the 2% supplemental ending balance holdback was not restored.

No revisions were needed to implement Package 091 Administrative Savings, consistent with the original placeholder reduction.

**Water Resources Department**
House Bill 5201 includes a 25% restoration of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. The restoration will allow the Water Resources Department to fill a position in the Field Services Division that was held vacant in order to generate the required savings.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, reallocating among expenditure accounts to affect permanent administrative cost reductions. To accommodate the General Fund personal services reduction of $58,076, a 0.50 FTE grant administration position was reduced to 0.25 FTE. Other Funds personal services reductions of $28,742 and services and supplies reductions of $39,053 are allocated entirely to services and supplies.

The Subcommittee included the following budget note:

> **Budget Note:**
> The Water Resources Department will dedicate up to $2.25 million of the $10 million net proceeds from the lottery bond sale as authorized by Senate Bill 5533 (2013) or utilize authority under Package 204 in Senate Bill 5547 (2013) for the purposes of matching federal funds for ongoing studies conducted by the United States Army Corps of Engineers to allocate stored water in the Willamette Basin Project Reservoirs and to conduct a comprehensive basin study by the United States Bureau of Reclamation in the Deschutes River Basin. Of the up to $2.25 million, up to $1.5 million shall be reserved for the Willamette Basin Project Reallocation and $750,000 shall be reserved for the Deschutes Basin Comprehensive Basin Study. Any reserved funds remaining after the completion of these two studies shall be made available for other purposes of the Water Supply Development Account as authorized under Senate Bill 839 (2013).

**Oregon Watershed Enhancement Board**
The Subcommittee increased the Measure 76 Lottery Funds allocations from the Watershed Conservation Operating Fund to the Department of State Police by $183,060 and the allocation to the Department of Agriculture by $141,485 to fund state employee compensation changes. The Department of Fish and Wildlife and the Department of Environmental Quality will use existing Measure 76 fund balances to pay for state employee compensation changes and therefore did not need an increase in Lottery Funds allocation.

No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

## PUBLIC SAFETY

### Department of Corrections

The Subcommittee restored $26,043,835 General Fund, nearly the full 2% supplemental ending balance holdback. The funding allocation by division is unchanged from the legislatively adopted budget for 2013-15.

- $17,849,890 in Operations and Health services
- $4,342,090 in Community Corrections
- $2,570,120 in Administration, general services, and human resources
- $1,281,735 in Offender management and rehabilitation

The Capital Improvements 2% holdback was not restored.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating 2 positions and 2.00 FTE in information technology. A portion of the agency's reduction was also moved from personal services to services and supplies.

### Criminal Justice Commission

The Subcommittee restored $69,366 General Fund of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15; this amount represents 25% of the holdback. The Commission will utilize the restored funds for Attorney General costs related to rule-making implementation for the Justice Reinvestment Grant Program established in HB 3194 (2013).

An updated Package 091 Statewide Administrative Savings was approved, that included shifting the full amount of the reduction to services and supplies. This action was taken primarily because the agency's budget is 93% special payments and contains only 9 positions.

### District Attorneys and Their Deputies

The Subcommittee restored the full 2% supplemental ending balance holdback of $209,190 General Fund for the District Attorneys. This action was taken primarily because the agency's budget is 96% personal services and without the restoration the agency would need to reduce district attorney working days.

The Subcommittee added $240,000 General Fund to the budget of the District Attorneys to provide a one-time $5,000 compensation increase to the salary plan for each District Attorney effective July 1, 2014. It is the intent of the Subcommittee that this appropriation be used to increase the salary of each District Attorney by the $5,000 amount regardless of what tier each District Attorney is currently in within the compensation plan.

### Department of Justice

The Subcommittee restored the full 2% supplemental ending balance holdback of $1,212,520 General Fund for the Department of Justice. The Subcommittee, however, repurposed the restoration by restoring the $1.2 million in the following amounts for the following purposes:

- $6,000 to the Office of the Attorney General and administration for Project Clean Slate

- $87,865 to the Crime Victims' Services Division for Oregon Domestic and Sexual Violence Services
- $25,043 to the Crime Victims' Services Division for Multidisciplinary Teams and Child Abuse Intervention
- $1,992 to the Crime Victims' Services Division for the Address Confidentiality Program
- $1,091,620 to the Criminal Justice Division for support of the Internet Crimes Against Children program, the Fusion Center, and district attorneys and law enforcement partners.

The addition of the General Fund to the Criminal Justice Division ($793,340 personal services and $298,271 services and supplies) will allow the Department to retain an existing forensic investigator position assigned to the Internet Crimes Against Children program, establish four limited duration positions (3.46 FTE; one PEM D and three Research Analysts) in support of Fusion Center operations once grant funding ends this biennium, and reestablish two permanent senior assistant attorney general positions (1.16 FTE) and establish one permanent criminal investigator position (0.50 FTE) to provide support to district attorneys and law enforcement partners.

In addition, the Subcommittee approved an increase of $586,354 Other Funds ($485,702 personal services and $100,652 services and supplies) in the Criminal Justice Division for support of the Fusion Center. When the 2013-15 legislatively adopted budget was developed, the Department anticipated receiving grant funding through the Oregon Military Department, Office of Emergency Management, to partially support the Fusion Center. However, the grant award had not been made and the corresponding Other Funds expenditure limitation and position authority were not included in the budget. The Fusion Center continued to maintain operations and the agency now has received the first year grant award and is anticipating a second year award.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating a total of four positions and 3.54 FTE and making shifts between personal services and services and supplies. To better align the permanent administrative savings reductions, the Subcommittee made the following adjustments to the original placeholder reduction:

- Office of the Attorney General and administration – added a net $87,993 Other Funds expenditure limitation increase (increased personal services by $314,581 and decreased services and supplies by $226,588) and eliminated 2 positions (2.00 FTE)
- General Counsel Division – reduced the Other Funds expenditure limitation by $87,993 (personal services) and eliminated 1 position (0.54 FTE)
- Crime Victims' Services Division – no net dollar change, but increased personal services by $3,400 Other Funds and decreased services and supplies by $3,400 Other Funds, plus eliminated 1 position (1.00 FTE)

The Subcommittee also authorized $135,000 General Fund to support the Oregon Crime Victims Law Center.

In addition, to gain a better understanding of work currently underway to identify and evaluate alternative funding models for the agency's programs providing legal support to state agencies, the Subcommittee approved the following budget note:

> **Budget Note:**
> Costs of legal representation in an increasingly complex modern legal system continue to drive agency budget and policy decisions. The Department of Justice has begun working with the Department of Administrative Services and agencies to

identify and evaluate alternative funding models to improve the stability, affordability, and effectiveness of legal costs for agencies and to encourage pro-active consultation with the Department by agencies.

As the Department of Justice continues with this effort, it shall prioritize developing options for legal services for the Child Welfare program and report on these efforts to the Joint Committee on Ways and Means during the 2015 legislative session. In addition, no later than September 1, 2014, the Department of Justice and the Department of Human Services will also provide a joint written status report to the Legislative Fiscal Office on the specific options under consideration and progress being made on the development of those options.

**Oregon Military Department**

The agency received a partial restoration of its 2% ending balance holdback that totaled $151,000 General Fund. The funds are appropriated to the Operations program to support operations and maintenance costs at the Portland and Kingsley Field airbases. The restoration represents 59% of the initial holdback.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings. The agency was able to reduce its personal services by $259,280 by eliminating one vacant permanent part-time Fiscal Analyst 3 position in the Administration program (0.50 FTE) and reducing overtime and temporaries. The remaining personal services reduction of $164,517 was moved to services and supplies and capital outlay. The agency has a plan to find efficiencies in various services and supplies line-items, including in-state travel, office expense, employee training, publications, facilities maintenance, expendable property, as well as other line-items. The capital outlay reduction will be to the automotive and aircraft line-item where the agency has identified savings.

The Subcommittee established a $385,000 Other Funds Capital Improvement expenditure limitation for the purchase of 1.46 acres of commercial property contiguous to the Department's Forest Grove Readiness Center compound. The property and building will be used as a field maintenance shop for Washington County's National Guard contingent. The source of the Other Funds is the agency's Capital Construction Account. This is a one-time expenditure limitation increase and will not continue into future biennia.

The Other Funds expenditure limitation for the Community Support program was increased by $1,404,971 for the reimbursement of firefighting expenditures related to the 2013 fire season. This is a one-time expenditure limitation that is not to continue into future biennia.

As part of the agency's compensation plan funding, the Subcommittee approved $734,800 in General Fund for the Operations program. This adjustment is to partially fund the difference between what the federal government will reimburse the state and recently concluded contract negotiations with the Portland and Kingsley Field airbase firefighter unions. This is a one-time appropriation and will not continue into future biennia.

**Board of Parole and Post-Prison Supervision**

The Subcommittee approved a restoration of $19,825 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. That represents 25% of the initial holdback.

The Subcommittee established a reservation within the general purpose Emergency Fund of $350,000 for the Board of Parole and Post-Prison Supervision (BPPPS) for replacement of the Parole Board Management Information System (PBMIS). Allocation of the reservation is contingent upon the Board providing a project budget, project plan, and periodic status reports. BPPPS may request allocation of the reservation from the Emergency Board after the Legislative Fiscal Office approves the project budget and project plan.

**Department of State Police**

The Subcommittee restored $2,351,854 General Fund of the 2% supplemental ending balance holdback for the Department of State Police. This represents 50% of the total 2% holdback. The agency will use the restored amount to fund the hire of 20 troopers and the purchase of 18 vehicles and associated equipment in September 2014.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating a total of one position and 1.50 FTE, permanently shifting funding for three administrative positions from General Fund to Other Funds, and reducing capital outlay for the Dispatch Centers and Information Technology Services.

**Department of Public Safety Standards and Training**

The Subcommittee approved an updated Package 091 Statewide Administrative Savings by taking all of the administrative savings in services and supplies.

**Oregon Youth Authority**

The Subcommittee approved a restoration of $1,367,729 of the 2% supplemental ending balance holdback that was included in the agency's original legislatively adopted budget for 2013-15. That represents 25% of the initial holdback.

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating 5 positions and 2.79 FTE; these are administrative support type positions. A very small portion of the agency's reduction was also moved from personal services to services and supplies.

## TRANSPORTATION

**Department of Aviation**

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, moving a small amount from personal services to services and supplies.

**Department of Transportation**

The General Fund reduction taken for the 2% supplemental ending balance holdback was not restored. The Subcommittee approved an updated Package 091 Statewide Administrative Savings. A portion of the agency's reduction was moved from personal services to services and supplies.

The Seniors special purpose appropriation, described on page 47, provides $4 million General Fund to the Department for additional Elderly and Disabled Transit support.

**77th OREGON LEGISLATIVE ASSEMBLY – 2014 Session**
**BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE:** **SB 5701-A**

**Carrier – House:** **Rep. Smith**
**Carrier – Senate:** **Sen. Johnson**

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**Action:** Do Pass as Amended and be Printed A-Engrossed

**Vote:** 26 – 0 – 0

House
Yeas: Barker, Buckley, Frederick, Freeman, Hanna, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson
Nays:
Exc:
Senate
Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters
Nays:
Exc:

**Prepared By:** Janet Savarro, Department of Administrative Services

**Reviewed By:** Steve Bender, Legislative Fiscal Office

**Meeting Date:** March 6, 2014

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| **Agency** | **Biennium** |
|---|---|
| Various Agencies – Lottery and Criminal Fine Account Allocation Changes | 2013-15 |

## Revenue Summary

The Oregon Lottery collects revenues from traditional and video lottery gaming. It pays player prizes and its operating expenses out of these revenues and then transfers the balance (net profits) to the Administrative Services Economic Development Fund (EDF). The Department of Administrative Services then distributes funds from the EDF in adherence with constitutional and statutory funding priorities, including specific Legislative allocations.

The March 2014 revenue forecast of 2013-15 biennium lottery resources is $6,421,394 (or 0.6%) below the level of revenues assumed in the 2013-15 legislatively adopted budget, and $10,697,667 above the approved allocations in the 2013-15 legislatively adopted budget. The legislatively approved budget allocations, including the allocations specified in the constitution, and in statutory allocations approved in the 2013 and 2014 sessions, total $1,042,422,873, compared to the $1,053,052,830 of total lottery resources projected for the biennium in the March 2014 revenue forecast. The forecasted 2013-15 biennium EDF ending balance is $10,629,957, a reduction of $4,370,043 (or 29.1%) from the ending balance in the 2013 session legislatively adopted budget.

## Summary of Subcommittee Action

### Allocation of Lottery Revenue

Two allocations are specified in the constitution. The Education Stability Fund receives 18 percent of net lottery proceeds and the Parks and Natural Resources Fund receives 15 percent of net lottery proceeds. The lottery funds transferred to these two Funds change in direct proportion to revenue changes. The March 2014 lottery revenue forecast translates into a $1,155,851 reduction in revenue to the Education Stability Fund, and a $963,210 reduction in revenue to the Parks and Natural Resources Fund, from the levels anticipated in the 2013-15 legislatively adopted budget. Each change is equivalent to a 0.6% reduction.

Program Allocation Changes

Senate Bill 5701 adjusts two existing allocations of lottery revenues from the Administrative Services Economic Development Fund, and establishes one new allocation, as follows:

- The allocation established to the Department of Administrative Services for payment of the debt service costs on lottery revenue bonds is reduced by $1,379,000. The existing allocation exceeds the allocation amount needed to pay 2013-15 biennium debt service costs by this amount. The allocation level remaining after the reduction, combined with other revenues available for that purpose, fully funds debt service costs on all outstanding lottery revenue bonds. The reduction is composed of allocation reductions for debt services expenses budgeted to the following agencies and systems of the following amounts: a) Department of Education - $543,000, b) Oregon University System - $105,000, c) Oregon Business Development Department - $565,000; d) Department of Transportation - $87,000, and e) Department of Administrative Services - $79,000.

- The allocation established to the Problem Gambling Treatment Fund is increased by $46,710. The increased allocation is provided to address the costs of compensation plan changes as they affect employees paid by Lottery Funds in the Oregon Health Authority's (OHA) Problem Gambling Service Program in the Addiction and Mental Health Division. House Bill 5201 increases the OHA gambling addiction treatment and prevention Lottery Funds expenditure limitation by the same amount, for expenditure of the allocated funds.

- A new $1,150,000 allocation to the Oregon Business Development Department is established for support of the Oregon Manufacturing Extension Partnership and for port property redevelopment. This allocation to the agency's Business, Innovation, and Trade Division is established to provide $750,000 of additional support to the Oregon Manufacturing Extension Partnership, and $400,000 to the Port of Port Orford for redevelopment of the Cannery Building owned by the Port. House Bill 5201 establishes a Lottery Funds expenditure limitation for the Department of the same amount, for expenditure of the allocated funds.

**Allocation of Criminal Fine Account Funds**

The Committee approved a $41,347 increase in the allocation of Criminal Fine Account (CFA) revenues to the State Court Facilities and Security Account in the Oregon Judicial Department. The increased allocation is provided to address the costs of compensation plan changes as they affect employees supported by CFA funds in the Department's Security and Emergency Preparedness Office. House Bill 5201 increases the Judicial Department State Court Facilities and Security Account Other Funds expenditure limitation by the same amount, for expenditure of the allocated funds. This allocation increase from the CFA decreases 2013-15 biennium revenue to the General Fund by the same amount.

**Summary Tables**

The attached tables summarize Lottery Funds allocations and cash flows in the 2013-15 legislatively approved budget. The figures in these tables not restricted to the impacts of SB 5701, but also include the impact of a $250,000 allocation from the EDF to the Oregon Business Development Department for a Dislocated Worker Training Program established in SB 1527, and the impacts of changes in the Lottery Revenue forecast since the close of the 2013 session.

# Administrative Services Economic Development Fund (Lottery Funds) 2013-15 Biennium

| | 2013 Session 2013-15 Lottery Allocations | March 2014 Lottery Revenue Forecast | Debt Service Allocation Adjustments | 2013-15 Program Allocation Adjustments | 2014 Session Lottery Allocation Adjustments | Revised 2013-15 Lottery Allocations |
|---|---|---|---|---|---|---|
| **Lottery Resources** | | | | | | |
| Beginning Balance | 3,491,087 | 3,491,087 | | | | 3,491,087 |
| Other Revenues | 1,400,000 | 1,400,000 | | | | 1,400,000 |
| Net Lottery Proceeds | 1,054,583,137 | 1,048,161,743 | | | | 1,048,161,743 |
| **Total Lottery Resources** | 1,059,474,224 | 1,053,052,830 | - | - | - | 1,053,052,830 |
| **Transfers and Allocations** | | | | | | |
| **Dedicated Transfers** | | | | | | |
| Ed Stability Fund 18% | 189,824,965 | 188,669,114 | | | | 188,669,114 |
| Parks & Natural Resources 15% | 158,187,471 | 157,224,261 | | | | 157,224,261 |
| County Video Lottery | 33,849,166 | 33,849,166 | | - | - | 33,849,166 |
| OUS Sports Lottery Account | 8,000,000 | 8,000,000 | | - | - | 8,000,000 |
| Gambling Addiction Treatment | 10,545,832 | 10,545,832 | | 46,710 | 46,710 | 10,592,542 |
| County Fairs Distribution | 3,669,380 | 3,669,380 | | | - | 3,669,380 |
| **Total Dedicated Transfers** | **404,076,814** | **401,957,753** | **-** | **46,710** | **46,710** | **402,004,463** |
| **Program Allocations** | | | | | | |
| Business Development Dept. - Shared services | 7,819,653 | 7,819,653 | | | - | 7,819,653 |
| Business Development Dept. - BIT | 54,432,117 | 54,432,117 | | 1,400,000 | 1,400,000 | 55,832,117 |
| Business Development Dept. - Film & Video | 1,130,544 | 1,130,544 | | | - | 1,130,544 |
| Oregon Growth Fund | 1,900,000 | 1,900,000 | | | - | 1,900,000 |
| Forestry - Eastern Oregon Forest Collaboration | 2,885,000 | 2,885,000 | | | - | 2,885,000 |
| Dept. of Education - State School Fund | 327,374,109 | 327,374,109 | | | - | 327,374,109 |
| Governor's Office - Econ. Revitalization Team | 3,261,204 | 3,261,204 | | | - | 3,261,204 |
| **Total Program Allocations** | **398,802,627** | **398,802,627** | **-** | **1,400,000** | **1,400,000** | **400,202,627** |
| **Debt Service** | | | | | | |
| Department of Education | 41,488,406 | 41,488,406 | (543,000) | | (543,000) | 40,945,406 |
| Oregon University System | 25,550,980 | 25,550,980 | (105,000) | | (105,000) | 25,445,980 |
| Oregon Business Development Department | 47,857,951 | 47,857,951 | (565,000) | | (565,000) | 47,292,951 |
| Housing and Community Services Dept. | 9,411,695 | 9,411,695 | | | - | 9,411,695 |
| Department of Transportation | 93,841,992 | 93,841,992 | (87,000) | | (87,000) | 93,754,992 |
| Department of Administrative Services | 8,808,024 | 8,808,024 | (79,000) | | (79,000) | 8,729,024 |
| Community Colleges & Workforce Development | 9,380,970 | 9,380,970 | | | - | 9,380,970 |
| Department of Forestry | 2,519,440 | 2,519,440 | | | - | 2,519,440 |
| Department of Energy | 2,162,153 | 2,162,153 | | | - | 2,162,153 |
| Water Resources Department | 573,172 | 573,172 | | | - | 573,172 |
| **Total Debt Service Allocations** | **241,594,783** | **241,594,783** | **(1,379,000)** | **-** | **(1,379,000)** | **240,215,783** |
| **Total Resources** | **1,059,474,224** | **1,053,052,830** | **-** | **-** | | **1,053,052,830** |
| **Total Transfers and Allocations** | **1,044,474,224** | **1,042,355,163** | **(1,379,000)** | **1,446,710** | **67,710** | **1,042,422,873** |
| **Ending Balance** | **15,000,000** | **10,697,667** | | | | **10,629,957** |

LFO: 3/5/14

# LOTTERY FUNDS CASH FLOW SUMMARY

| | 2013-15 Legislatively Adopted Budget[1] | 2013-15 Legislatively Approved Budget[2] | 2014 Session Changes |
|---|---|---|---|
| **ECONOMIC DEVELOPMENT FUND** | | | |
| RESOURCES | | | |
| Beginning Balance | $3,491,087 | $3,491,087 | $0 |
| REVENUES | | | |
| Transfers from Lottery | | | |
| Net Proceeds | 1,054,583,137 | 1,048,161,743 | (6,421,394) |
| Administrative Savings | | | |
| Other Revenues | 1,400,000 | 1,400,000 | 0 |
| Total Revenue | 1,055,983,137 | 1,049,561,743 | (6,421,394) |
| TOTAL RESOURCES | 1,059,474,224 | 1,053,052,830 | (6,421,394) |
| DISTRIBUTIONS / ALLOCATIONS | | | |
| Allocation of Video Lottery Revenues to Counties | (33,849,166) | (33,849,166) | 0 |
| Allocation to OUS for Sports Lottery Account | (8,000,000) | (8,000,000) | 0 |
| Distribution to the Education Stability Fund | (189,824,965) | (188,669,114) | 1,155,851 |
| Distribution to the Parks and Natural Resources Fund | (158,187,471) | (157,224,261) | 963,210 |
| Allocation to the Problem Gambling Treatment Fund | (10,545,832) | (10,592,542) | (46,710) |
| Allocation to the State School Fund | (327,374,109) | (327,374,109) | 0 |
| Debt Service Allocations | (241,594,783) | (240,215,783) | 1,379,000 |
| Other Agency Allocations | (75,097,898) | (76,497,898) | (1,400,000) |
| TOTAL DISTRIBUTIONS / ALLOCATIONS | (1,044,474,224) | (1,042,422,873) | 2,051,351 |
| ENDING BALANCE | $15,000,000 | $10,629,957 | ($4,370,043) |
| **EDUCATION STABILITY FUND** | | | |
| (not including Oregon Growth Account) | | | |
| RESOURCES | | | |
| Beginning Balance | 7,584,467 | 7,402,401 | (182,066) |
| Revenues | | | |
| Transfer from the Economic Development Fund | 170,842,469 | 169,544,396 | (1,298,073) |
| Interest Earnings | 984,890 | 1,033,934 | 49,044 |
| Total Revenue | 171,827,358 | 170,578,330 | (1,249,028) |
| TOTAL RESOURCES | 179,411,825 | 177,980,731 | (1,431,094) |
| DISTRIBUTIONS | | | |
| Oregon Education Fund - Lottery Bond Debt Service | (738,668) | (775,451) | (36,783) |
| Oregon Student Access Commission - Opportunity Grants | (246,222) | (258,484) | (12,262) |
| State School Fund | 0 | 0 | 0 |
| TOTAL DISTRIBUTIONS | (984,890) | (1,033,934) | (49,044) |
| ENDING BALANCE | $178,426,935 | $176,946,797 | ($1,480,138) |

**Legislative Fiscal Office**

900 Court St. NE, Rm. H-178
Salem, OR 97301
503-986-1828

**Ken Rocco**
**Legislative Fiscal Officer**

**Daron Hill**
**Deputy Legislative Fiscal Officer**

# Summary of Emergency Board Action

## May 2014

The Legislative Emergency Board met on May 30, 2014 and considered an agenda of 52 items. The agenda included two requests for allocations from the general purpose appropriation made to the Emergency Board; one of which was approved. There were also six agency requests on the agenda for allocations from special purpose appropriations made to the Emergency Board, totaling $13.7 million, $10.6 million of which were allocated. Additional details on these allocations are described below. After the Emergency Board actions, the unallocated, unreserved balance of the general purpose Emergency Fund is $26.1 million with a $36.3 million balance in special purpose appropriations.

The agenda included 17 items that requested additional 2013-15 biennium authority to spend Lottery Funds, Other Funds, and Federal Funds (including two adjusting 2011-13 capital construction expenditure authority). The Emergency Board approved expenditure limitation increases of approximately $44.4 million Other Funds (including $38.1 million for the Department of Administrative Services to make payments to counties under the Strategic Investment Program) and $7.7 million Federal Funds. The Emergency Board also authorized the establishment of three permanent positions (1.25 FTE), six limited duration positions (2.71 FTE), and an increase of 19.63 FTE to existing positions.

The agenda also included 14 agency reports which the Emergency Board acknowledged receiving (three of which were on consent – from the Departments of Human Services, Transportation, and Administrative Services). The Emergency Board heard 19 requests for the submission of federal grant applications (eleven of which were on consent – from the Oregon Health Authority, Criminal Justice Commission, and Departments of Justice, Parks and Recreation, Agriculture, and Transportation). Two of the grant application requests included approvals of associated expenditure limitation increases.

The following is a summary of significant Emergency Board actions taken at the May 2014 meeting:

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### Education

- Allocated $700,000 to the Higher Education Coordinating Commission and $2,299,999 to the Department of Administrative Services for the four Technical and Regional Universities from a special purpose appropriation made to the Emergency Board for costs associated with changes in the higher education system governance.
- Acknowledged receipt of a report by the Oregon Education Investment Board on the status of the P-20 Education State Longitudinal Data System project.
- Approved the submission of two five-year federal grant applications by the Department of Education to the U.S. Department of Health and Human Services in the amount of $9.75 million and to the U.S. Department of Education for up to $3.75 million to address mental health issues in schools.

### Human Services

- Allocated $390,000 to the Oregon Health Authority from a special purpose appropriation made to the Emergency Board for senior services to cover senior mental health services and planning costs; the agency was also directed to return to the Emergency Board with a more detailed program plan.

- Allocated $500,000 to the Department of Human Services from a special purpose appropriation made to the Emergency Board for senior services to cover adult abuse data system planning costs and directed the agency to report back to the Emergency Board on planning progress.
- Allocated $3,000,000 to the Department of Human Services from a special purpose appropriation made to the Emergency Board for senior services to cover costs of a pilot project expanding Oregon Project Independence services to people with disabilities.
- Allocated $2,016,628 to the Department of Human Services from a special purpose appropriation made to the Emergency Board for senior services to restore federal funding lost due to sequestration.
- Acknowledged receipt of a report from the Department of Human Services and the Department of Education on policy recommendations on how best to modify the Employment Related Day Care program to provide child care subsidies to working parents enrolled in post-secondary higher education.
- Approved, retroactively, the submission of a federal grant application by the Department of Human Services to the U.S. Department of Agriculture in the amount of up to $750,308 to expand employment services to certain Supplemental Nutrition Assistance Program participants.
- Acknowledged receipt of a report by the Department of Human Services on caregiver training and the Quality Care Fund.
- Acknowledged receipt of a report by the Oregon Health Authority on mental health housing investments.

**Public Safety and Judicial Branch**

- Deferred a request for an allocation from the general purpose Emergency Fund by the Criminal Justice Commission of $142,000 to fund a vacant position until later in the biennium, and approved increases in the Other Funds expenditure limitation of $212,000 and the Federal Funds expenditure limitation of $1,107,000 for Specialty Court grants.
- Acknowledged receipt of a report by the Judicial Department on compensation plan changes for the agency resulting from a classification study that reviewed 29 existing job classifications covering 151 positions.
- Acknowledged receipt of a report by the Military Department on Next Generation 9-1-1 and directed the agency to report back to the Emergency Board on the status of the project.

**Natural Resources**

- Increased the Other Funds expenditure limitation of the Department of State Lands by $223,145 for fire suppression and recovery costs incurred in 2013.
- Increased the Other Funds expenditure limitation of the Department of State Lands by $750,000 for remodeling the State Lands Building for consolidation of agency staff.
- Increased the Other Funds expenditure limitation of the Department of State Lands by $235,000 for capital improvements to enhance Common School Fund lands revenue for two Harney County projects related to conversion of grazing land to agricultural land, with the understanding that $195,000 of the limitation increase will be unscheduled until a water right is obtained.
- Approved the submission of a federal grant application by the Parks and Recreation Department to the U.S. Fish and Wildlife Service in the amount of $970,000 to acquire 357 acres of coastal property in the Sand Lake area of Tillamook County.
- Approved, retroactively, the submission of three federal grant applications by the Oregon Watershed Enhancement Board to the U.S. Fish and Wildlife Service in the combined amount of $3,000,000 for acquisition and restoration of coastal wetlands (China Camp Creek, Scholfield Creek, and Kilchis River).
- Approved, retroactively, the submission of a federal grant application by the Department of Fish and Wildlife to the U.S. Fish and Wildlife Service in the amount of $1,000,000 for acquisition of 10,000 acres near the Lower Deschutes Wildlife Area to provide enhanced public access to hunting, fishing, and wildlife viewing.

**Economic and Community Development**

- Allocated $98,700 from the general purpose Emergency Fund to the Department of Veterans' Affairs and authorized the establishment of one limited duration position to facilitate timely training and accreditation of County Veteran Service Officers.

- Approved, retroactively, the submission of a federal grant application by the Department of Housing and Community Services to the U.S. Department of Housing and Urban Development in the amount of $2,335,000 for project-based rental assistance to make 80 units of affordable housing available to extremely low-income Oregonians with mental illness.
- Approved, retroactively, the submission of a federal grant application by the Oregon Business Development Department to the U.S. Small Business Administration in the amount of $300,000 for funds available under the State Trade and Export Promotion program and increased the Federal Funds expenditure limitation by $210,000, with the understanding the limitation increase will be unscheduled until the grant is received.

**Transportation**

- Established a $278,841 Other Funds Capital Construction expenditure limitation and a $1,590,307 Federal Funds Capital Construction expenditure limitation for the Department of Transportation to renovate the Salem baggage depot located adjacent to the Amtrak passenger rail station; the new limitations will expire at the end of the 2013-15 biennium.
- Increased the 2011-13 Other Funds Capital Construction expenditure limitation for the Department of Transportation by $332,391 to complete the Bend Driver and Motor Vehicle Division field office project.
- Approved the submission of a federal grant application by the Department of Aviation to the Federal Aviation Administration in the amount of $2,385,000, increased the Other Funds Capital Construction expenditure limitation by $265,000, and increased the Federal Funds Capital Construction expenditure limitation by $2,385,000 for improvements at the Cottage Grove State Airport.
- Increased the 2011-13 Other Funds Capital Construction expenditure limitation for the Department of Aviation by $204,454 to cover unbudgeted administrative, legal, engineering, and well drilling expenses at the Aurora State Airport.

**Consumer and Business Services**

- Increased the Other Funds expenditure limitation for the Department of Consumer and Business Services by $402,411, authorized the reclassification of two existing permanent positions, and authorized the establishment of five limited duration positions (2.21 FTE) to support increases in workload driven by changes in the health insurance market and in construction inspection and permitting services.
- Acknowledged receipt of a report by the Construction Contractors Board on agency operations and directed the agency to report back to the Emergency Board in September.

**Administration**

- Acknowledged receipt of a report by the Secretary of State on costs associated with a data breach of the agency's web applications.
- Increased the Other Funds expenditure limitation of the Treasurer of State by $580,732 and authorized the establishment of two permanent full-time positions (0.83 FTE) for the creation of an Information Security Management program within the agency.
- Increased the Other Funds expenditure limitation of the Treasurer of State by $111,967 and authorized the establishment of one permanent full-time position (0.42 FTE) to support the Oregon 529 College Savings Network program.
- Increased the Other Funds expenditure limitation for the Department of Administrative Services by $38,110,951 for distributions to counties of funds from the Shared Services Fund related to the Strategic Investment Program; $37.8 million of the amount is to be provided to Washington County.
- Increased the Other Funds expenditure limitation for the Government Ethics Commission by $133,560 for costs associated with development of an electronic reporting system for statements of economic interest.
- Acknowledged receipt of a report by the Governor's Office on positions loaned from other agencies, funded with resources from other agencies, or currently vacant.
- Allocated $1,684,947 from a special purpose appropriation made to the Emergency Board for the Oregon State Library, increased the Other Funds expenditure limitation by $264,471 for endowment and donation funds and by $2,857,191 for state agency assessments, increased the Federal Funds expenditure limitation by $2,409,329, and authorized an

increase of 19.63 FTE for existing positions for second fiscal year operational costs of the agency.

- Acknowledged receipt of a report from the Department of Revenue on the Core System Replacement project and directed the agency to report back to the Emergency Board in September on its readiness to proceed with implementation of Phase I of the project.
- Acknowledged receipt of a report by the Department of Administrative Services on uniform rent rates and approved the rates for the 2015-17 biennium.

| Emergency Fund Balance Summary | | | |
|---|---|---|---|
| | | Agency Requests | Full Board Action |
| **General Purpose Emergency Fund** | | | |
| | Appropriation (after 2014 Session adjustments) | 30,000,000 | 30,000,000 |
| | Allocations to date | 0 | 0 |
| **Unallocated Balance** | | 30,000,000 | 30,000,000 |
| | Reservations (within General Purpose) | 3,850,000 | 3,850,000 |
| | Reservations allocated to date | 0 | 0 |
| **Unallocated Reservations** | | 3,850,000 | 3,850,000 |
| **General Purpose Unallocated/Unreserved Balance** | | 26,150,000 | 26,150,000 |
| # | ***May 2014 Requests - General Purpose*** | | |
| 25 | Criminal Justice Commission - Restore funding for Economist position | (142,000) | 0 |
| 28 | Department of Veterans' Affairs - Funding for position to assist training CVSOs | (98,700) | (98,700) |
| | Total Requests - General Purpose | (240,700) | (98,700) |
| | **General Purpose Unallocated/Unreserved Balance after 5/2014** | 25,909,300 | 26,051,300 |
| **Special Purpose Appropriations - Agency Specific (after 2014 Session actions)** | | 46,906,819 | 46,906,819 |
| | Allocations/Transfers to Date | 0 | 0 |
| **Unallocated Balance** | | 46,906,819 | 46,906,819 |
| # | ***May 2014 Requests - Special Purpose Appropriations - Agency Specific*** | | |
| 8 | Higher Education Coordinating Commission - Costs related to changes in governance of universities | (2,999,999) | (2,999,999) |
| 10 | Oregon Health Authority - Senior mental health specialists | (3,500,000) | (390,000) |
| 20 | Department of Human Services - Adult abuse prevention technology project | (500,000) | (500,000) |
| 21 | Department of Human Services - Oregon Project Independence pilot for people with disabilities | (3,000,000) | (3,000,000) |
| 22 | Department of Human Services - Older Americans Act backfill due to sequestration cuts | (2,016,628) | (2,016,628) |
| 46 | Oregon State Library - Second year operational costs | (1,702,192) | (1,684,947) |
| | Total Requests - Special Purpose - Agency Specific | (13,718,819) | (10,591,574) |
| | **Special Purpose - Agency Specific - Unallocated Balance after 5/2014** | 33,188,000 | 36,315,245 |

Emergency Board materials for the May 2014 meeting are available at
https://olis.leg.state.or.us/liz/2013I1/Committees/EB/2014-05-30-08-30/Agenda

This summary is available on the Legislative Fiscal Office website at www.oregonlegislature.gov/lfo

# Oregon Legislative Emergency Board
# Certificate

## May 30, 2014

Pursuant to the provisions of ORS 291.328, and acting under the authority of ORS 291.326(1)(a), (b), (c), and (d); ORS 276.390; ORS 291.371; and ORS 291.375; this hereby certifies that the Emergency Board, meeting on May 30, 2014, took the following actions:

1. **Secretary of State**
   Acknowledged receipt of a report from the Secretary of State on the costs associated with a data breach of the agency's web applications.

2. **Treasurer of State**
   Increased the Other Funds expenditure limitation for the Treasurer of State established by section 1(1), chapter 558, Oregon Laws 2013, Administrative expenses of State Treasury operations, by $580,732 and authorized the establishment of two permanent full-time positions (0.83 FTE) for an Information Security Management Program.

3. **Treasurer of State**
   Increased the Other Funds expenditure limitation for the Treasurer of the State established by section 1(2), chapter 558, Oregon Laws 2013, Administrative expenses of the Oregon 529 College Savings Network, by $111,967 and authorized the establishment of one permanent full-time position (0.42 FTE).

4. **Office of the Governor**
   Acknowledged receipt of a report from the Office of the Governor on "loaned," "other funded," and vacant positions.

5. **Judicial Department**
   Acknowledged receipt of a report from the Judicial Department on compensation plan changes, with the understanding that the fiscal impact of the compensation plan changes will be separately identified in the 2015-17 biennium Chief Justice's recommended budget.

6. **Oregon Education Investment Board**
   Acknowledged receipt of a report from the Oregon Education Investment Board and the Department of Education on the status of the P-20 Education State Longitudinal Data System project.

7. **Department of Education**
   Approved the submission of two federal grant applications by the Department of Education: to the U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, in the amount of up to $9,750,000 for a Project AWARE grant to address mental health issues; and to the U.S Department of Education,

Office of Elementary and Secondary Education, in the amount of up to $3,750,000 for a School Climate Transformation grant.

8. **Higher Education Coordinating Commission**
Allocated $700,000 from the special purpose appropriation made to the Emergency Board by section 37(1), chapter 118, Oregon Laws 2014, to supplement the appropriation made to the Higher Education Coordinating Commission by section 1, chapter 634, Oregon Laws 2013, for costs associated with the Commission's statutory responsibilities, with the understanding that $92,000 of the amount would be unscheduled by the Department of Administrative Services; and allocated $2,299,999 from the special purpose appropriation made to the Emergency Board by section 37(1), chapter 118, Oregon Laws 2014, to supplement the appropriation made to the Department of Administrative Services for use by the Oregon University System by section 1(1), chapter 564, Oregon Laws 2013, Public university support, for payments to the four Technical and Regional Universities for increased costs of Shared Services provided centrally and for cost of services previously provided by the Chancellor's Office.

9. **Oregon Health Authority**
Acknowledged receipt of a report from the Oregon Health Authority on the $5 million investment in mental health housing.

10. **Oregon Health Authority**
Allocated $390,000 from the special purpose appropriation made to the Emergency Board by section 6(1), chapter 1, Oregon Laws 2013 Special Session, to supplement the appropriation made to the Oregon Health Authority by section 1(1), chapter 668, Oregon Laws 2013, Programs, for senior mental health services planning and training.

11. **Oregon Health Authority**
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, in the amount of up to $3 million a year for three years to continue a referral and voucher system that allows individuals with substance use disorders to select preferred providers for recovery support services.

12. **Oregon Health Authority**
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention in the amount of up to $575,000 a year for five years, to fund infrastructure and strategic partnerships to support school-, home-, and health systems-based efforts to ensure access to comprehensive asthma control services for Oregonians.

13. **Oregon Health Authority**
Approved the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention, National Center for Injury Prevention and Control, in the amount of up to $400,000 a year for three years, to enhance the Prescription Drug Monitoring Program; and to evaluate state level laws, policies, and regulations to prevent prescription drug misuse, abuse, and overdose.

**14. Oregon Health Authority**

Approved the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention, National Center for Injury Prevention and Control, in the amount of up to $270,000 a year for five years, to work with the Department of State Police and the State Medical Examiner to collect and study data on violent deaths in order to better support violence prevention efforts.

**15. Oregon Health Authority**

Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Department of Health and Human Services, Substance Abuse and Mental Health Services, in the amount of up to $736,000 a year for five years, to continue statewide and tribal youth suicide prevention and early intervention strategies grounded in public-private collaboration.

**16. Department of Human Services**
**Department of Education**

Acknowledged receipt of a report from the Department of Human Services and the Department of Education on policy recommendations for how best to modify the Employment Related Day Care program to provide child care subsidies to working parents enrolled in post-secondary higher education.

**17. Department of Human Services**

Approved, retroactively, the submission of a federal grant application by the Department of Human Services to the U.S. Department of Agriculture in the amount of up to $750,308 to expand employment services to certain Supplemental Nutrition Assistance Program participants.

**18. Department of Human Services**

Acknowledged receipt of a report from the Department of Human Services on Nursing Facility and Community Based Care.

**19. Department of Human Services**

Acknowledged receipt of a report from the Department of Human Services on caregiver training and the Quality Care Fund.

**20. Department of Human Services**

Allocated $500,000 from the special purpose appropriation made to the Emergency Board by section 6(1), chapter 1, Oregon Laws 2013 Special Session, to supplement the appropriation made to the Department of Human Services by section 1(3), chapter 675, Oregon Laws 2013, Aging and people with disabilities and developmental disabilities programs, for adult abuse data system planning costs, with the understanding that $300,000 of the amount will be unscheduled by the Department of Administrative Services, with instructions that the agency to report to the Emergency Board in September 2014 on planning progress.

**21. Department of Human Services**

Allocated $3,000,000 from the special purpose appropriation made to the Emergency Board by section 6(1), chapter 1, Oregon Laws 2013 Special Session, to supplement the

appropriation made to the Department of Human Services by section 1(3), chapter 675, Oregon Laws 2013, Aging and people with disabilities and developmental disabilities programs, for a pilot project expanding Oregon Project Independence services to people with disabilities.

**22. Department of Human Services**

Allocated $2,016,628 from the special purpose appropriation made to the Emergency Board by section 6(1), chapter 1, Oregon Laws 2013 Special Session, to supplement the appropriation made to the Department of Human Services by section 1(3), chapter 675, Oregon Laws 2013, Aging and people with disabilities and developmental disabilities programs, to restore Older Americans Act federal funding lost due to sequestration.

**23. Military Department**

Acknowledged receipt of a report from the Military Department on the status of the Next Generation 9-1-1 Project, with instructions that the agency report to the Emergency Board in September 2014 on the status of the project.

**24. Criminal Justice Commission**

Approved, retroactively, the submission of a federal grant application by the Criminal Justice Commission to the U.S. Department of Justice, Office of Justice Programs, in the amount of up to $1.75 million for justice reinvestment initiative programs.

**25. Criminal Justice Commission**

Increased the Other Funds expenditure limitation established for the Criminal Justice Commission by section 2, chapter 497, Oregon Laws 2013, by $212,000 for specialty court grants, and increased the Federal Funds expenditure limitation established for the Criminal Justice Commission by section 3, chapter 497, Oregon Laws 2013, by $1,107,000 for specialty court grants.

**26. Department of Justice**

Approved, retroactively, the submission of a federal grant application by the Department of Justice in an amount not to exceed $250,000 to conduct a statewide survey of current recipients of Victims of Crime Act funding and to identify small scale technology projects to improve services for victims.

**27. Housing and Community Services Department**

Approved, retroactively, the submission of a federal grant application by the Housing and Community Services Department to the U.S. Housing and Urban Development Department in the amount of $2,335,000 for Section 811 Project Rental Assistance for extremely low-income Oregonians with mental illness or disability.

**28. Department of Veterans' Affairs**

Allocated $98,700 from the Emergency Fund established by section 1, chapter 723, Oregon Laws 2013, to supplement the appropriation made to the Department of Veterans' Affairs by section 1(1), chapter 509, Oregon Laws 2013, services provided by the Department of Veterans' Affairs, and authorized the establishment of one limited duration position (0.50 FTE) for training and certification of county veteran service officers.

**29. Oregon Business Development Department**

Approved, retroactively, the submission of a federal grant application by the Oregon Business Development Department to the U.S. Small Business Administration in the amount of $300,000 for funds available under the State Trade and Export Promotion program, and increased the Federal Funds expenditure limitation established for the Oregon Business Development Department by section 4(1), chapter 622, Oregon Laws 2013, Business, innovation and trade, by $210,000 with the understanding that the Department of Administrative Services will unschedule the expenditure limitation pending award of the grant.

**30. Department of Consumer and Business Services**

Increased the Other Funds expenditure limitation established for the Department of Consumer and Business Services by section 1, chapter 452, Oregon Laws 2013, by $402,411; and authorized the reclassification of two existing permanent positions and the establishment of five limited duration positions (2.21 FTE) to support the increase in workload driven by changes in the health insurance market and to manage the increase in construction inspection and permitting services; with instructions.

**31. Parks and Recreation Department**

Approved, retroactively, the submission of a federal grant application by the Parks and Recreation Department to the U.S. Department of Transportation in the amount $9.5 million to continue work on portions of the Historic Columbia River Highway State Trail.

**32. Parks and Recreation Department**

Approved the submission of a federal grant application by the Parks and Recreation Department to the U.S. Fish and Wildlife Service, National Coastal Wetlands Conservation Grant Program in the amount of $970,000 for coastal land acquisition.

**33. Parks and Recreation Department**

Approved the submission of a federal grant application by the Parks and Recreation Department to the National Park Service Historic Preservation Fund in the amount of $25,000 to document historical places associated with populations that have been underrepresented in traditional historical narratives.

**34. Department of State Lands**

Increased the Other Funds expenditure limitation established for the Department of State Lands by section 1(1), chapter 449, Oregon Laws 2013, Common School Fund programs, by $223,145 for 2013 fire suppression costs.

**35. Department of State Lands**

Increased the Other Funds expenditure limitation established for the Department of State Lands by section 1(4), chapter 449, Oregon Laws 2013, Capital improvements, by $750,000 for capital improvements to the State Lands Building.

**36. Department of State Lands**

Increased the Other Funds expenditure limitation established for the Department of State Lands by section 1(4), chapter 449, Oregon Laws 2013, Capital improvements, by $235,000 for capital improvements on state rangeland, with the understanding that the

Department of Administrative Services will unschedule $195,000 of the expenditure limitation pending notification of the agency receiving the required water right for the proposed project.

**37. Department of Agriculture**

Approved the submission of a federal grant application by the Department of Agriculture to the U.S. Fish and Wildlife Service in an amount not to exceed $200,000 to assist livestock producers in undertaking proactive, nonlethal actives to reduce the risk of livestock losses and to compensate for livestock losses due to wolf predation.

**38. Department of Fish and Wildlife**

Approved, retroactively, the submission of a federal grant application by the Department of Fish and Wildlife to the U.S. Fish and Wildlife Service, Cooperative Endangered Species Conservation Fund, in the amount of $1,000,000 for acquisition of 10,000 acres near the Lower Deschutes Wildlife Area.

**39. Oregon Watershed Enhancement Board**

Approved the submission of three federal grant applications by the Oregon Watershed Enhancement Board to the U.S. Fish and Wildlife Service, National Coastal Wetlands Conservation Grant Program, totaling $3,000,000 for wetlands acquisition and restoration.

**40. Department of Transportation**

Approved the submission of a federal grant application by the Department of Transportation to the Federal Highway Administration in an amount not to exceed $250,000 for a fuels tax evasion grant.

**41. Department of Transportation**

Established for the 2013-15 biennium a Federal Funds Capital Construction expenditure limitation for the Department of Transportation in the amount of $1,590,307 and established for the 2013-15 biennium an Other Funds Capital Construction expenditure limitation for the Department of Transportation in the amount of $278,841 for renovating the Salem Baggage Depot located adjacent to the Amtrak passenger rail station in Salem, Oregon.

**42. Department of Transportation**

Acknowledged receipt of a report from the Department of Transportation on the Oregon Innovative Partnerships Program.

**43. Department of Transportation**

Increased the Other Funds Capital Construction expenditure limitation established for the Department of Transportation by section 3, chapter 79, Oregon Laws 2012, by $332,391 to complete the Bend Driver and Motor Vehicle Division field office project.

**44. Department of Aviation**

Approved the submission of a federal grant application by the Department of Aviation to the Federal Aviation Administration in the amount of $2,385,000 for improvement to the Cottage Grove State Airport; and increased the Federal Funds Capital Construction

expenditure limitation established for the Department of Aviation by section 2(2), chapter 727, Oregon Laws 2013, Oregon Department of Aviation, Cottage Grove State Airport runway rehabilitation, by $2,385,000 to expend grant funds on the project and increased the Other Funds Capital Construction expenditure limitation established for the Department of Aviation by section 1(9), chapter 727, Oregon Laws 2013, Oregon Department of Aviation, Cottage Grove State Airport runway rehabilitation, by $265,000 to expend matching state funds on the project.

**45. Department of Aviation**

Increased the Other Funds Capital Construction expenditure limitation established for the Department of Aviation by section 2, chapter 5, Oregon Laws 2011, by $204,454 for the Aurora Air Traffic Control Tower project.

**46. Oregon State Library**

Allocated $1,684,947 from the special purpose appropriation made to the Emergency Board by section 4(1), chapter 723, Oregon Laws 2013, to supplement the appropriation made to the Oregon State Library by section 1, chapter 500, Oregon Laws 2013, for operations in the state fiscal year 2015; increased the Other Funds expenditure limitation established for the Oregon State Library by section 2, chapter 500, Oregon Laws 2013, by $264,471 for operations in the state fiscal year 2015; increased the Other Funds expenditure limitation established for the Oregon State Library by section 3, chapter 500, Oregon Laws 2013, by $2,857,191 for operations paid by revenues from assessments on other state agencies in the state fiscal year 2015; increased the Federal Funds expenditure limitation established for the Oregon State Library by section 4, chapter 500, Oregon Laws 2013, by $2,409,329 for operations in the state fiscal year 2015; and authorized an increase in full-time positions (19.63FTE).

**47. Department of Revenue**

Acknowledged receipt of a report from the Department of Revenue on the Core System Replacement Project, with instructions that the agency report to the Emergency Board in September 2014 documenting its readiness to proceed with a successful implementation of Phase-I of the project.

**48. Construction Contractors Board**

Acknowledged receipt of a report from the Construction Contractors Board on agency operations, with instructions that the agency to report back to the Emergency Board in September 2014.

**49. Department of Administrative Services**

Acknowledged receipt of a report from the Department of Administrative Services on compensation plan changes.

**50. Department of Administrative Services**

Approved the 2015-17 uniform rent rates as proposed by the Department of Administrative Services.

**51. Department of Administrative Services**

Increased the Other Funds expenditure limitation established for the Department of Administrative Services by section 2(10), chapter 627, Oregon Laws 2013, Shared Services Fund, by $38,110,951 for payments to counties from the Strategic Investment Program Shared Services Fund.

**52. Oregon Government Ethics Commission**

Increased the Other Funds expenditure limitation established for the Oregon Government Ethics Commission by section 1(2), chapter 453, Oregon Laws 2013, Electronic reporting system, by $133,560, with the understanding that the Department of Administrative Services will unschedule the expenditure limitation pending finalization of contracts for the project and with instructions that the Commission report to the Emergency Board in September 2014 on the status of the project.

Ken Rocco, Legislative Fiscal Officer

**Legislative Fiscal Office**

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**Ken Rocco**
**Legislative Fiscal Officer**

**Daron Hill**
**Deputy Legislative Fiscal Officer**

# Summary of Emergency Board Action

## September 2014

The Legislative Emergency Board met on September 17, 2014 and considered an agenda of 58 items. The agenda included four requests for allocations from the general purpose appropriation made to the Emergency Board, including one from a reservation; all of which were approved. There were also two agency requests on the agenda for allocations from special purpose appropriations made to the Emergency Board, totaling $3.4 million, all of which was allocated. Additional details on these allocations are described below. After the Emergency Board actions, the unallocated balance of the general purpose Emergency Fund is $28.3 million (including $3.5 million in reservations) with a $32.9 million balance in special purpose appropriations.

The agenda included 13 items that requested additional 2013-15 biennium authority to spend Other Funds and Federal Funds and one item requesting a transfer of expenditure limitation between programs within an agency. The Emergency Board approved expenditure limitation increases of approximately $6.2 million Other Funds (including $4.7 million for the Department of Justice) and $0.8 million Federal Funds. The Emergency Board also authorized the establishment of one permanent position (0.38 FTE) and three limited duration positions (0.75 FTE).

The agenda also included 20 agency reports which the Emergency Board acknowledged receiving. The Emergency Board heard 20 requests for the submission of federal grant applications (thirteen of which were on consent – from the Oregon Health Authority [7], the Judicial Department, and the Departments of Justice, Parks and Recreation, Human Services [2], and Consumer and Business Services). One of the grant application requests included approvals of associated expenditure limitation increases.

The following is a summary of significant Emergency Board actions taken at the September 2014 meeting:

### Education

- Acknowledged receipt of a report by the Oregon Education Investment Board on the status of the P-20 Education State Longitudinal Data System project.
- Approved, retroactively, the submission of a federal grant application by the Office of Student Access and Completion to the U.S. Department of Education in the amount of $1.4 million for a College Access Challenge Grant.
- Approved, retroactively, the submission of a federal grant application by the Department of Community Colleges and Workforce Development to the U.S. Department of Labor in the amount of $6 million for a five year Workforce Innovation Grant.

### Human Services

- Allocated $3,110,000 to the Oregon Health Authority from a special purpose appropriation made to the Emergency Board for senior services for older adult mental health and addictions coordinators, and authorized the establishment of one permanent, full-time position (0.38 FTE).
- Increased the Other Funds expenditure limitation for the Oregon Health Authority by $496,541 and authorized the establishment of three, full-time limited duration positions (0.75 FTE) to support the current workload in the Oregon Medical Marijuana Dispensary Program.
- Acknowledged receipt of a report by the Oregon Health Authority on mental health housing investments.

- Allocated $267,400 to the Department of Human Services from a special purpose appropriation made to the Emergency Board for senior services to produce Nursing Facility and Community Based Care utilization reports.
- Acknowledged receipt of reports by the Department of Human Services on U.S. Department of Labor rule changes affecting direct care workers who assist older adults and people with disabilities and on development of a new adult abuse data system.
- Acknowledged receipt of a report by the Department of Human Services on recommended Employment Related Day Care program changes, with direction to the agency to postpone any policy changes pending additional discussion during the 2015 legislative session.

**Public Safety and Judicial Branch**

- Increased the Other Funds expenditure limitation of the Department of Justice by $4.7 million and approved the transfer of Other Funds and Federal Funds expenditure limitations between programs to rebalance the agency's 2013-15 legislatively approved budget, with the understanding that the Department of Administrative Services will unschedule $1.5 million General Fund, $12.2 million Other Funds, and $21.6 million Federal Funds.
- Acknowledged receipt of a report by the Military Department on Next Generation 9-1-1 and directed the agency to report back to the Emergency Board on the status of the project.
- Acknowledged receipt of a report by the Oregon Youth Authority on a 10-year Plan for Close Custody Facilities, with the expectation that the agency will report on facilities planning and the Youth Reformation System during the 2015 legislative session.
- Allocated $318,019 from a reservation established within the general purpose Emergency Fund to the Board of Parole and Post-Prison Supervision for the replacement of the Parole Board Management Information System.
- Increased the Federal Funds expenditure limitation of the Department of Public Safety Standards and Training by $123,721 for the expenditure of a grant award from the federal Assistance to Firefighters grant program for the purchase of two Mobile Firefighter Ventilation Training props.
- Acknowledged receipt of a report by the Judicial Department on compensation plan changes for the agency resulting from the advanced date of a scheduled 2% cost of living adjustment by three months.
- Acknowledged receipt of a report by the Commission on Judicial Fitness and Disability on compensation plan changes that increased the Executive Director's salary.

**Natural Resources**

- Increased the Other Funds expenditure limitation of the Department of State Lands by $190,000 to rehabilitate 2014 rangeland fire damage; by $87,300 to continue a business process mapping, review, and improvement project; and by $140,000 for a business model study for the Elliott State Forest.
- Transferred $900,000 of Federal Funds expenditure limitation for the Department of Fish and Wildlife from the Fish Division to the Administration Division to increase hunter education classes and improve awareness of hunting opportunities.
- Approved, retroactively, the submission of two federal grant applications by the Department of Energy to the U.S. Department of Energy's Office of Energy Efficiency and Renewable Energy totaling $442,648 for the 2014 State Energy Program competitive awards.
- Acknowledged receipt of a preliminary report by the Department of Forestry on the 2014 fire season, with the expectation that the agency will report again in December 2014 on the fire season and potentially request allocation of resources to cover forest fire response costs.

**Economic and Community Development**

- Allocated $659,300 from the general purpose Emergency Fund to the Housing and Community Services Department to meet demand for counseling services associated with the Oregon Foreclosure Avoidance Program; the allocation is expected to continue payments to counseling agencies at least through March 2015 when the program needs will be reevaluated.
- Increased the Federal Funds expenditure limitation of the Department of Veterans' Affairs by $100,000 due to receipt of additional grant funds from the U.S. Department of Veterans' Affairs for transportation of veterans in highly rural areas.

- Approved the submission of a federal grant application by the Employment Department to U.S. Department of Labor in the amount of $396,437 to improve the Short-Time Compensation Program.
- Acknowledged receipt of a report by the Oregon Business Development Department on proposed replacement Key Performance Measures to measure the effectiveness of the agency's small business assistance programs; and approved the new Key Performance Measures and targets.

**Consumer and Business Services**

- Increased the Other Funds expenditure limitation for the Board of Accountancy by $200,000 to cover contract investigator and Attorney General costs.
- Increased the Other Funds expenditure limitation of the Board of Chiropractic Examiners by $120,868 to cover costs associated with increased legal expenses, IT projects, and personal services.
- Increased the Federal Funds expenditure limitation for the Public Utility Commission by $309,200 in order to spend the remainder of an American Recovery and Reinvestment Act grant.
- Approved, retroactively, the submission of a federal grant application by the Department of Consumer and Business Services for the Cycle IV Health Insurance Review Grant, and increased the Federal Funds expenditure limitation for the agency by $300,000 for the grant award expected to be spent during the 2013-15 biennium.
- Acknowledged receipt of a report by the Construction Contractors Board on agency operations and directed the agency to report back to the Legislature during the 2015 session.

**Administration**

- Acknowledged receipt of a report by the Office of the Governor on a plan to mitigate the economic impact of the closure of the Blue Mountain Recovery Center in Pendleton.
- Approved, retroactively, the submission of a federal grant application by the Secretary of State to the National Historic Publications and Records Commission in the amount of $43,696 for two years for funds available through the State and National Archival Partnership Program.
- Acknowledged receipt of a report by the Department of Administrative Services on the implementation of compensation plan changes, primarily due to the advance in date of a scheduled cost of living adjustment by three months.
- Acknowledged receipt of reports by the Department of Administrative Services on the state's Certificates of Participation debt service savings and Lottery Bond debt service savings as a result of refinancing the debt.
- Acknowledged receipt of a report by the Department of Administrative Services on unanticipated expenditures the Department plans to include in a future Other Funds rebalance request for the Emergency Board in December or Legislature next session.
- Acknowledged receipt of a report by the Government Ethics Commission on its development of an electronic reporting system for statements of economic interest and directed the agency to report again in December 2014.
- Acknowledged receipt of a report by the Department of Revenue on the Core System Replacement project and the agency's readiness to proceed with the project's planned phase-1 implementation in November 2014.
- Acknowledged receipt of a report by the Oregon State Lottery on the feasibility of establishing a dedicated lottery raffle game to support veterans' programs.

**Legislative Branch**

- Established a General Fund appropriation for the Department of Administrative Services, based on a request by the Legislative Fiscal Office, and allocated $511,996 from the general purpose Emergency Fund to the newly established appropriation for payment as a grant to TrackTown USA for costs associated with public safety at the International Association of Athletics Federations World Junior Championships held in Eugene during the summer of 2014.
- Increased the Other Funds expenditure limitation for the Military Department, Office of Emergency Management by $212,500, based on a request by the Legislative Fiscal Office, to grant funds from the Oregon Local Disaster Assistance Loan and Grant Account to the Vernonia School District to assist with payments on debt obligations due to the construction of a replacement school facility.
- Increased the Other Funds expenditure limitation for the Military Department, Office of Emergency Management by $62,500, based on a request by the Legislative Fiscal Office, to grant funds from the Oregon Local Disaster Assistance Loan and

Grant Account to the City of Westfir for deepening of a water intake pipe.

- Allocated $100,000 from the general purpose Emergency Fund to the Department of Administrative Services for use by Oregon State University's Endophyte Services Laboratory, based on a request by the Legislative Fiscal Office, for research involving the export of straw for forage to the Middle East, with a recommendation that any future state funding require a minimum dollar for dollar match with non-public funds.

| Emergency Fund Balance Summary | | | |
|---|---|---|---|
| | | Agency Requests | Emergency Board Action |
| **General Purpose Emergency Fund** | | | |
| | Appropriation (after 2014 Session adjustments) | 30,000,000 | 30,000,000 |
| | Allocations to date | (98,700) | (98,700) |
| **Unallocated Balance** | | 29,901,300 | 29,901,300 |
| | Reservations (within General Purpose) | 3,850,000 | 3,850,000 |
| | Reservations allocated to date | 0 | 0 |
| **Unallocated Reservations** | | 3,850,000 | 3,850,000 |
| # | ***September 2014 Requests - General Purpose*** | | |
| 29 | Board of Parole and Post-Prison Supervision - Parole Board Management Information System (from reservation) | (318,017) | (318,019) |
| 33 | Housing and Community Services Department - Oregon Foreclosure Avoidance Program | (1,181,300) | (659,300) |
| 56 | Legislative Fiscal Office - TrackTown USA Public Safety funding | (600,000) | (511,996) |
| 59 | Legislative Fiscal Office - OSU Agricultural Experiment Station Endophyte research | (100,000) | (100,000) |
| | Total Requests - General Purpose | (2,199,317) | (1,589,315) |
| | **General Purpose Unallocated Balance (including Reservations) after 9/2014 *(if requests approved)*** | 27,701,983 | 28,311,985 |
| **Special Purpose Appropriations - Agency Specific (after 2014 Session actions)** | | 48,906,819 | 48,906,819 |
| | Allocations/Transfers to Date | (12,591,574) | (12,591,574) |
| **Unallocated Balance** | | 36,315,245 | 36,315,245 |
| # | ***September 2014 Requests - Special Purpose Appropriations - Agency Specific*** | | |
| 10 | Oregon Health Authority - Senior mental health program | (3,110,000) | (3,110,000) |
| 20 | Department of Human Services - Senior services | (267,400) | (267,400) |
| | Total Requests - Special Purpose - Agency Specific | (3,377,400) | (3,377,400) |
| | **Special Purpose - Agency Specific - Unallocated Balance after 9/2014 *(if requests approved)*** | 32,937,845 | 32,937,845 |

Emergency Board materials for the September 2014 meeting are available at https://olis.leg.state.or.us/liz/2013I1/Committees/EB/2014-09-17-08-30/Agenda

This summary is available on the Legislative Fiscal Office website at www.oregonlegislature.gov/lfo

# Oregon Legislative Emergency Board
# Certificate

## September 17, 2014

Pursuant to the provisions of ORS 291.328, and acting under the authority of ORS 286A.160(3); ORS 291.326(1)(a), (b), (c), and (d); ORS 291.371; and ORS 291.375; this hereby certifies that the Emergency Board, meeting on September 17, 2014, took the following actions:

1. **Office of the Governor**
   Acknowledged receipt of a report from the Office of the Governor on a plan to mitigate the economic impact of the closure of the Blue Mountain Recovery Center.

2. **Secretary of State**
   Approved, retroactively, the submission of a federal grant application by the Secretary of State to the National Historic Publications and Records Commission in the amount of $43,696 for two years, for funds available under the State and National Archival Partnership program.

3. **Judicial Department**
   Approved, retroactively, the submission of a federal grant application by the Judicial Department to the U.S. Department of Justice, Office on Violence Against Women in the amount of up to $400,000 for the Family Court of the Multnomah County Circuit Court.

4. **Judicial Department**
   Acknowledged receipt of a report from the Judicial Department on compensation plan changes.

5. **Commission on Judicial Fitness and Disability**
   Acknowledged receipt of a report from the Commission on Judicial Fitness and Disability on compensation plan changes.

6. **Department of Community Colleges and Workforce Development**
   Approved, retroactively, the submission of a federal grant application by the Department of Community Colleges and Workforce Development to the U.S. Department of Labor in the amount of $6,000,000 for a five year Workforce Innovation Grant.

7. **Oregon Education Investment Board**
   Acknowledged receipt of a report from the Oregon Education Investment Board on the status of the P-20 Education State Longitudinal Data System project.

8. **Office of Student Access and Completion**
Approved, retroactively, the submission of a federal grant application by the Office of Student Access and Completion to the U.S. Department of Education in the amount of $1,400,000 for a College Access Challenge Grant.

9. **Oregon Health Authority**
Acknowledged receipt of a report from the Oregon Health Authority on the $5 million mental health housing investment.

10. **Oregon Health Authority**
Allocated $3,110,000 from the special purpose appropriation made to the Emergency Board by section 6(1), chapter 1, Oregon Laws 2013 Special Session, to supplement the appropriation made to the Oregon Health Authority by section 1(1), chapter 668, Oregon Laws 2013, Programs, for older adult mental health and addictions coordinators, and authorized the establishment of one permanent full-time position (0.38 FTE) for Addictions and Mental Health.

11. **Oregon Health Authority**
Increased the Other Funds expenditure limitation established for the Oregon Health Authority by section 2(1), chapter 668, Oregon Laws 2013, Programs, by $496,541, and authorized the establishment of three full-time limited duration positions (0.75 FTE) for the Oregon Medical Marijuana Dispensary Program.

12. **Oregon Health Authority**
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention in the amount of up to $350,000 a year for five years to improve identification, screening, and treatment of individuals at high risk of developing hereditary cancers.

13. **Oregon Health Authority**
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention in the amount of up to $4 million a year for four years to support implementation of approaches to prevent obesity, diabetes, heart disease, and stroke and to reduce health disparities among adults.

14. **Oregon Health Authority**
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to U.S. Administration for Community Living, Administration on Aging, in the amount of up to $250,000 a year for two years to increase the number of older adults who participate in evidence-based community programs to reduce falls.

15. **Oregon Health Authority**
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention in an amount of up to $350,000 a year for two years to improve and expand Oregon's vaccine management system's interface with the CDC.

**16. Oregon Health Authority**

Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention in the amount of up to $412,000 a year for three years to reduce childhood lead poisoning.

**17. Oregon Health Authority**

Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention in the amount of up to $1 million a year for two years to improve interoperability with Electronic Health Record systems.

**18. Oregon Health Authority**

Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention in the amount of up to $397,764 a year for five years to monitor and guide efforts to prevent work-related injuries, illnesses, and fatalities.

**19. Department of Human Services**

Acknowledged receipt of a report from the Department of Human Services on U.S. Department of Labor rule changes affecting direct care workers who assist older adults and people with disabilities.

**20. Department of Human Services**

Allocated $267,400 from the special purpose appropriation made to the Emergency Board by section 6(1), chapter 1, Oregon Laws 2013 Special Session, to supplement the appropriation made to the Department of Human Services by section 1(3), chapter 675, Oregon Laws 2013, Aging and people with disabilities and developmental disabilities programs, for reporting and information projects.

**21. Department of Human Services**

Acknowledged receipt of a report from the Department of Human Services on progress made in developing a new adult abuse data system, and directed the agency to report on planning progress to the Emergency Board in December 2014.

**22. Department of Human Services**

Approved, retroactively, the submission of a federal grant application by the Department of Human Services to the U.S. Department of Agriculture in the amount of up to $160,000 a year for two years to address trafficking of benefits received by individuals participating in the Supplemental Nutrition Assistance Program.

**23. Department of Human Services**

Approved retroactively, the submission of a federal grant application by the Department of Human Services to the U.S. Department of Health and Human Services, Administration on Community Living, in the annual amount of $229,655 to provide outreach to older Americans with limited income to help them access programs assisting with Medicare costs and benefits.

**24. Department of Human Services**
**Department of Education**
Acknowledged receipt of a report from the Department of Human Services and the Department of Education on recommended Employment Related Day Care program changes, and directed the agencies to postpone implementation until changes can be further vetted during the 2015 legislative session.

**25. Department of Justice**
Approved, retroactively, the submission of a federal grant application by the Department of Justice to the U.S. Department of Justice, Federal Office for the Victims of Crime, in the amount of $500,000 to provide a regional victims' legal services network.

**26. Department of Justice**
Increased the Other Funds expenditure limitation established for the Department of Justice by $4,700,000, and transferred Other Funds and Federal Funds expenditure limitations between programs, with the understanding that the Department of Administrative Services will unschedule $1,519,270 General Fund, $12,147,981 Other Funds, and $21,600,000 Federal Funds, to rebalance the Department of Justice legislatively approved budget; per the attached table.

**27. Military Department**
Acknowledged receipt of a report from the Military Department on the Next Generation 9-1-1 project, and directed the agency to report on the project to the Emergency Board in December 2014.

**28. Oregon Youth Authority**
Acknowledged receipt of a report from the Oregon Youth Authority on the 10-Year Plan for Close Custody Facilities, with the expectation that the agency will report on facilities planning and the Youth Reformation System during the 2015 legislative session.

**29. Board of Parole and Post-Prison Supervision**
Allocated $318,019 from the Emergency Fund established by section 1, chapter 723, Oregon Laws 2013, to supplement the appropriation made to the Board of Parole and Post-Prison Supervision by section 1, chapter 502, Oregon Laws 2013, for the replacement of the Parole Board Management Information System.

**30. Department of Public Safety Standards and Training**
Increased the Federal Funds expenditure limitation established for the Department of Public Safety Standards and Training by section 3, chapter 508, Oregon Laws 2013, by $123,721 for expenditure of a grant award from the federal Assistance to Firefighters Grant Program.

**31. Oregon Business Development Department**
Acknowledged receipt of a report from the Oregon Business Development Department on proposed replacement Key Performance Measures to measure the effectiveness of the agency's small business assistance programs, and approved the proposed Key Performance Measures and Key Performance Measure targets.

**32. Employment Department**

Approved the submission of a federal grant application by the Employment Department to the U.S. Department of Labor in the amount of $396,437 for improvements to the Short-Time Compensation program.

**33. Housing and Community Services Department**

Allocated $659,300 from the Emergency Fund established by section 1, chapter 723, Oregon Laws 2013, to supplement the appropriation made to the Housing and Community Services Department by section 1, chapter 561, Oregon Laws 2013, for higher than anticipated housing counseling caseload associated with the Oregon Foreclosure Avoidance Program.

**34. Department of Veterans' Affairs**

Increased the Federal Funds expenditure limitation established for the Department of Veterans' Affairs by section 17, chapter 118, Oregon Laws 2014, by $100,000 to support county efforts to provide transportation services in connection with the provision of U.S. Department of Veterans' Affairs medical care to veterans in highly rural areas.

**35. Department of Energy**

Approved, retroactively, the submission of two federal grant applications by the Department of Energy to the U.S. Department of Energy, Office of Energy Efficiency and Renewable Energy, in the amounts of $207,445 and $215,203 for the 2014 State Energy Program competitive awards.

**36. Department of Fish and Wildlife**

Transferred $900,000 of Federal Funds expenditure limitation from the Federal Funds expenditure limitation established for the Department of Fish and Wildlife by section 4(1), chapter 615, Oregon Laws 2013, Fish Division, to section 4(3), chapter 615, Oregon Laws 2013, Administrative Services Division, to increase hunter education offerings and improve awareness of hunting opportunities.

**37. Department of Forestry**

Acknowledged receipt of a preliminary report from the Department of Forestry on the 2014 fire season.

**38. Department of State Lands**

Increased the Other Funds expenditure limitation established for the Department of State Lands by section 1(4), chapter 449, Oregon Laws 2013, Capital improvements, by $190,000 to rehabilitate 2014 rangeland fire damage.

**39. Department of State Lands**

Increased the Other Funds expenditure limitation established for the Department of State Lands by section 1(1), chapter 449, Oregon Laws 2013, Common School Fund programs, by $87,300 to continue a business process mapping, review, and improvement project.

**40. Department of State Lands**

Increased the Other Funds expenditure limitation established for the Department of State Lands by section 1(1), chapter 449, Oregon Laws 2013, Common School Fund programs, by $140,000 for a business model study for the Elliott State Forest.

**41. Parks and Recreation Department**

Approved the submission of a federal grant application by the Parks and Recreation Department to the National Parks Service in the amount of $35,000 for Port Orford Heads boat house historic preservation.

**42. Board of Accountancy**

Increased the Other Funds expenditure limitation established for the Board of Accountancy by section 1, chapter 393, Oregon Laws 2013, by $200,000 to allow the Board to cover contract investigations and Attorney General fees.

**43. Board of Chiropractic Examiners**

Increased the Other Funds expenditure limitation established for the Board of Chiropractic Examiners by section 1, chapter 543, Oregon Laws 2013, by $120,868 for expenses associated with legal costs, IT project implementation, and personal services.

**44. Construction Contractors Board**

Acknowledged receipt of a report from the Construction Contractors Board on agency operations, with the expectation that the agency will report on additional progress during the 2015 legislative session.

**45. Department of Consumer and Business Services**

Approved, retroactively, the submission of a federal grant application by the Department of Consumer and Business Services to the U.S. Department of Health and Human Services in the amount of $1,179,000 for funds available under the Cycle IV Health Insurance Rate Review Grant Program, and increased the Federal Funds expenditure limitation established for the Department of Consumer and Business services by section 2, chapter 452, Oregon Laws 2013, by $300,000.

**46. Department of Consumer and Business Services**

Approved, retroactively, the submission of a federal grant application by the Department of Consumer and Business Services to the U.S. Department of Health and Human Services in the amount of $117,502 for funds available under the State Health Insurance Assistance Program.

**47. Public Utility Commission**

Increased the Federal Funds expenditure limitation established for the Public Utility Commission by section 2, chapter 291, Oregon Laws 2013, by $309,200 for unspent American Recovery and Reinvestment Act grant funds so the agency may complete broadband mapping and planning projects pursuant to the federal Broadband Data Improvement Act.

**48. Department of Administrative Services**
Acknowledged receipt of a report from the Department of Administrative Services on implementation of compensation plan changes and position allocations.

**49. Department of Administrative Services**
Acknowledged receipt of a report from the Department of Administrative Services on the state's Certificate of Participation debt service savings as a result of refinancing.

**50. Department of Administrative Services**
Acknowledged receipt of a report from the Department of Administrative Services on the state's Lottery Bond debt service savings as a result of refinancing.

**51. Department of Administrative Services**
Acknowledged receipt of a report from the Department of Administrative Services on current unanticipated expenditures that will be addressed in an Other Funds rebalance request that the agency intends to make to the Emergency Board in December 2014.

**52. Government Ethics Commission**
Acknowledged receipt of a report from the Government Ethics Commission on the Statement of Economic Interest project, and directed the agency to report on the project status to the Emergency Board in December 2014.

**53. Department of Revenue**
Acknowledged receipt of a report from the Department of Revenue on the Core System Replacement Project.

**55. Oregon State Lottery**
Acknowledged receipt of a report from the Oregon State Lottery on the feasibility of establishing a dedicated Lottery raffle game to support veterans' programs.

**56. Legislative Fiscal Office for Department of Administrative Services**
Established a General Fund appropriation for the Department of Administrative Services and allocated $511,996 from the Emergency Fund established by section 1, chapter 723, Oregon Laws 2013, to the newly established appropriation for payment as a grant to TrackTown USA for costs associated with public safety at the International Association of Athletics Federations World Junior Championships, Oregon 2014.

**57. Legislative Fiscal Office for Military Department**
Increased the Other Funds expenditure limitation established for the Military Department by section 2(3), chapter 555, Oregon Laws 2013, Emergency management, by $212,500 to grant funds from the Oregon Local Disaster Assistance Loan and Grant Account to the Vernonia School District.

**58. Legislative Fiscal Office for Military Department**
Increased the Other Funds expenditure limitation established for the Military Department by section 2(3), chapter 555, Oregon Laws 2013, Emergency management, by $62,500 to grant funds from the Oregon Local Disaster Assistance Loan and Grant Account to the City of Westfir.

**59. Legislative Fiscal Office for Department of Administrative Services**

Allocated $100,000 from the Emergency Fund established by section 1, chapter 723, Oregon Laws 2013, to supplement the General Fund appropriation established for the Department of Administrative Services for use by the Oregon University System established by section 1(3), chapter 564, Oregon Laws 2013, Agricultural Experiment Station and the branch extension stations of Oregon State University, for research by the Endophyte Laboratory at Oregon State University into export of forage straw as feed to the Middle East, and that any future state dollars for research at the lab require a similar minimum dollar for dollar match of non-public funds.

Ken Rocco, Legislative Fiscal Officer

# Department of Justice

| Department of Justice Division | Oregon Law Reference | Fund-Type | Adjustment to Leg Approved Budget |
|---|---|---|---|
| Appellate Division | Section 2(2), chapter 499, O.L.2013 | Other Funds | ($850,000) |
| Civil Enforcement Division | Section 2(3), chapter 499, O.L.2013 | Other Funds | $5,000,000 |
| Criminal Justice Division | Section 2(4), chapter 499, O.L.2013 | Other Funds | $1,250,000 |
| Crime Victims' Services Division | Section 2(5), chapter 499, O.L.2013 | Other Funds | ($1,250,000) |
| General Counsel Division | Section 2(6), chapter 499, O.L.2013 | Other Funds | ($2,500,000) |
| Trial Division | Section 2(7), chapter 499, O.L.2013 | Other Funds | $1,300,000 |
| Child Support Division | Section 2(8), chapter 499, O.L.2013 | Other Funds | $1,750,000 |
| Criminal Justice Division | Section 3(2), chapter 499, O.L.2013 | Federal Funds | ($450,000) |
| Crime Victims' Services Division | Section 3(3), chapter 499, O.L.2013 | Federal Funds | $750,000 |
| Child Support Division | Section 3(4), chapter 499, O.L.2013 | Federal Funds | ($300,000) |
| Agency-wide | | Other Funds | $4,700,000 |
| Agency-wide | | Federal Funds | -- |
| | | | |
| **Department of Justice Division/Program** | **Oregon Law Reference** | **Fund-Type** | **Unschedule** |
| Civil Enforcement Division (Mortgage Mediation) | Section 2(3), chapter 499, O.L.2013 | Other Funds | $747,981 |
| Criminal Justice Division | Section 1(3), chapter 499, O.L.2013 | General Fund | $379,270 |
| Child Support Enforcement Automated System – Debt Service | Section 36, chapter 723, O.L.2013 | General Fund | $1,140,000 |
| Child Support Enforcement Automated System | Section 37, chapter 723, O.L.2013, | Other Funds | $11,400,000 |
| Child Support Enforcement Automated System | Section 38, chapter 723, O.L.2013 | Federal Funds | $21,600,000 |
| Agency-wide Unscheduling | | General Fund | $1,519,270 |
| Agency-wide Unscheduling | | Other Funds | $12,147,981 |
| Agency-wide Unscheduling | | Federal Funds | $21,600,000 |
| Agency-wide Unscheduling | | All Funds | $35,267,251 |

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# Summary of Emergency Board Action

## December 2014

The Legislative Emergency Board met on December 10, 2014 and considered an agenda of 33 items. The agenda included three requests for allocations from the general purpose appropriation made to the Emergency Board, including one from a reservation. Two of these requests were approved. There were also three agency requests on the agenda for allocations from special purpose appropriations made to the Emergency Board, totaling $20.8 million; a total of $17.6 million was allocated. Additional details on these allocations are described below. After the Emergency Board actions, which included a Legislative Fiscal Office request to transfer the unused special purpose appropriation in the amount of $15.4 million to the general purpose Emergency Fund citation, the balance in the Emergency Fund is $28.7 million. This amount can either be used during the 2015 session or, if unused, will revert to the General Fund ending balance at the conclusion of the 2013-15 biennium.

The agenda included 12 items that requested additional 2013-15 biennium authority to spend Other Funds and Federal Funds and one item requesting a transfer of expenditure limitation between programs within an agency. The Emergency Board approved expenditure limitation increases of approximately $479.9 million Other Funds (including $370.4 million for the Oregon Health Authority rebalance) and $913.9 million Federal Funds (including $865 million for the Oregon Health Authority rebalance). The Emergency Board also authorized the establishment of five permanent positions (1.24 FTE) and eleven limited duration positions (3.83 FTE).

The agenda also included 13 agency reports which the Emergency Board acknowledged receiving. The Emergency Board heard six requests for the submission of federal grant applications (three of which were on consent – from the Oregon Health Authority [2] and the Department of Human Services [1].

The following is a summary of significant Emergency Board actions taken at the December 2014 meeting:

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### Education

- Acknowledged receipt of a report by the Oregon Education Investment Board on the status of the P-20 Education State Longitudinal Data System project and authorized the project to hire or contract for a project director, but delayed the request for additional funds from an Emergency Fund reservation for related costs until the 2015 session.
- Approved, retroactively, the submission of a federal grant application by the Department of Education, Early Learning Division, for a Preschool Development Expansion grant; it was announced at the Emergency Board meeting that the agency did not get selected for a grant award.

### Human Services

- Allocated $11,737,047 to the Oregon Health Authority from a special purpose appropriation made to the Emergency Board for human services caseload, approved the transfer of other General Fund appropriations, increased the Other Funds expenditure limitation by $370,364,749, increased the Federal Funds expenditure limitation by $864,972,885, and authorized the establishment of 7 limited duration positions (2.31 FTE) to rebalance the agency's 2013-15 budget.
- Approved the transfer of General Fund appropriations within the Department of Human Services, increased the Other Funds expenditure

limitation by $9,775,157, increased the Federal Funds expenditure limitation by $45,759,930, and authorized the establishment of 4 limited duration positions (1.52 FTE) to rebalance the agency's 2013-15 budget, with the understanding that the Department of Administrative Services will reschedule $30 million Other Funds expenditure limitation.

- Allocated $3,420,599 from a special purpose appropriation made to the Emergency Board for senior services to the Department of Human Services ($1,680,000 for Oregon Project Independence and $40,000 to increase awareness of resources for people impacted by dementia), to the Oregon Health Authority ($20,599 to collect and analyze data related to cognitive decline and caregiving), and to the Department of Transportation ($1,680,000 for special needs transportation). This allocation fully used the $26 million special purpose appropriation established during the September 2013 special session.
- Approved the submission of federal grant applications for the Oregon Health Authority (Coastal Communities Assessment of Natural Hazards and National State-Based Tobacco Control Programs) and for the Department of Human Services (Improving Employment and Training Program Outcomes).
- Approved the submission of a federal grant application by the Oregon Health Authority to the Substance Abuse and Mental Health Services Administration in the amount of $10 million to enhance state and community efforts to prevent substance abuse.
- Acknowledged receipt of a report by the Office of the Governor on the Pay for Prevention initiative, which is focused on the foster care system and its associated risk factors, outcomes, and effective interventions.
- Acknowledged receipt of reports by the Oregon Health Authority on new investments in community mental health, on mental health housing investments, and on agency efforts to hire a dental director.
- Acknowledged receipt of reports by the Department of Human Services on nursing facility capacity and on planning for a new adult abuse data and report writing system.
- Increased the Federal Funds expenditure limitation for the Commission for the Blind by $372,000 to increase the Vocational and Rehabilitation and Independent Living programs.

**Public Safety and Judicial Branch**

- Increased the Other Funds expenditure limitation of the Judicial Department by $37,999,999 for the Oregon Courthouse Capital Construction and Improvement Fund to support the Multnomah County and Jefferson County courthouse capital construction projects, with the understanding that the action did not establish or imply any legislative commitment to provide any additional state support to either project.
- Acknowledged receipt of a report by the Judicial Department on capital improvement project changes at the Curry, Gilliam, Malheur, and Wallowa county courthouses.
- Acknowledged receipt of a report by the Public Defense Services Commission on compensation plan changes.
- Increased the Other Funds expenditure limitation of the Department of Corrections by $3,018,692 for the Inmate Work Program.
- Established a Federal Funds Capital Construction expenditure limitation for the Military Department in the amount of $2,722,900 for the Roseburg Armory Service Life Extension Project, with the understanding that this expenditure limitation expires on June 30, 2015.
- Increased the Other Funds expenditure limitation of the Military Department by $337,000 and the Federal Funds expenditure limitation by $106,000 for the Oregon Youth Challenge Program.
- Increased the Other Funds expenditure limitation of the Military Department by $600,000 for reimbursement of firefighting expenditures related to the 2014 wildfire season.

**Natural Resources**

- Allocated $2,400,000 from a special purpose appropriation made to the Emergency Board for fire severity resources and rate mitigation to the Department of Forestry, allocated $14,002,407 from the Emergency Fund, and increased the Other Funds expenditure limitation by $56,647,505 for 2014 fire season large-fire costs, 2013-15 biennium budget shortfalls due to large-fire activities, and for reimbursable activities related to the 2014 wildfire season.
- Approved, retroactively, the submission of a federal grant application by the Department of State Lands to the National Oceanic and Atmospheric Administration for a Bay Watershed Education and Training Program grant for the

South Slough National Estuarine Research Reserve in the amount of $60,000.

**Administration**

- Increased the Other Funds expenditure limitation of the State Treasurer by $70,424 and authorized the establishment of one permanent, full-time Senior Private Equity Investment Officer position (0.16 FTE) to help manage the growth in value and complexity of the state's investment portfolio, with the expectation that the remainder of the Treasurer's request will be dealt with during the 2015 session after review and approval of the investment project's foundation project management documentation.
- Increased the Other Funds expenditure limitation of the State Treasurer by $550,000 to contract for bond counsel and financial advisory services related to general obligation bond sales for state universities.
- Established a General Fund appropriation for the Department of Administrative Services and allocated $1,035,000 from the Emergency Fund to the newly established appropriation to demolish the former Blue Mountain Recovery Center building and eleven buildings on the former Eastern Oregon Training Center campus, with direction to the Department to return during the 2015 session to seek permission to convey the land to the City of Pendleton and to report on actual costs of the demolition.
- Increased the Other Funds expenditure limitation of the Oregon Liquor Control Commission by $583,000 and authorized the establishment of four positions (1.08 FTE) to provide for staffing, Department of Justice advice, professional services, and outreach efforts associated with planning for the implementation of Ballot Measure 91 that legalized recreational marijuana.
- Acknowledged receipt of a report by the Department of Revenue on the Core System Replacement Project.
- Approved the transfer of Other Funds expenditure limitations within the Department of Administrative Services totaling $9,807,820 to rebalance the 2013-15 budget, with several instructions related to the approved information management technology expenditures.
- Acknowledged receipt of a report by the Government Ethics Commission on the development of an electronic reporting system for Statements of Economic Interest.

**Legislative Branch**

- Approved the transfer of specified unallocated balances from special purpose appropriations made to the Emergency Board to the general purpose Emergency Fund in the amount of $15,380,199. This action results in a general purpose Emergency Fund ending balance of $28,654,777.

## Emergency Fund Balance Summary

| | | Agency Requests | Emergency Board Action |
|---|---|---|---|
| **General Purpose Emergency Fund** | | | |
| | Appropriation (after 2014 Session adjustments) | 30,000,000 | 30,000,000 |
| | Allocations to date | (1,688,015) | (1,688,015) |
| | **Unallocated Balance** | 28,311,985 | 28,311,985 |
| | Reservations (within General Purpose) | 3,850,000 | 3,850,000 |
| | Reservations allocated to date | (318,019) | (318,019) |
| | **Unallocated Reservations** | 3,531,981 | 3,531,981 |
| # | ***December 2014 Requests - General Purpose*** | | |
| 8 | Oregon Education Investment Board - Statewide Longitudinal Data System | (95,000) | 0 |
| 27 | Department of Forestry - Fire Severity and 2014 Fire Season Costs | (16,503,877) | (14,002,407) |
| 29 | Department of Administrative Services - Blue Mountain Recovery Center Demolition Costs | (1,035,000) | (1,035,000) |
| 33 | Legislative Fiscal Office - Transfer of Unused Special Purpose Appropriations to General Purpose Citation | | 15,380,199 |
| | Total Requests - General Purpose | (17,633,877) | 342,792 |
| | **General Purpose Unallocated Balance (including Reservations) after 12/2014 *(if requests approved)*** | 10,678,108 | 28,654,777 |
| **Special Purpose Appropriations - Agency Specific (after 2014 Session actions)** | | 48,906,819 | 48,906,819 |
| | Allocations/Transfers to Date | (15,968,974) | (15,968,974) |
| | **Unallocated Balance** | 32,937,845 | 32,937,845 |
| # | ***December 2014 Requests - Special Purpose Appropriations - Agency Specific*** | | |
| 12 | Oregon Health Authority - Caseload and Cost Per Case Rebalance | (14,593,198) | (11,737,047) |
| 18 | Department of Human Services - Senior Services | (3,184,855) | (3,420,599) |
| 27 | Department of Forestry - Fire Severity and 2014 Fire Season Costs | (3,000,000) | (2,400,000) |
| 33 | Legislative Fiscal Office - Transfer of Unused Special Purpose Appropriations to General Purpose Citation | | (15,380,199) |
| | Total Requests - Special Purpose - Agency Specific | (20,778,053) | (32,937,845) |
| | **Special Purpose - Agency Specific - Unallocated Balance after 12/2014 *(if requests approved)*** | 12,159,792 | 0 |

Emergency Board materials for the December 2014 meeting are available at
https://olis.leg.state.or.us/liz/2013I1/Committees/EB/2014-12-10-08-30/Agenda

This summary is available on the Legislative Fiscal Office website at www.oregonlegislature.gov/lfo

# *Letter from the Director*

Through a time of great change for the Oregon Health Authority and the people we serve, Oregon continues on the path toward a health system that is more sustainable and a population that enjoys better quality health care at an affordable cost. We are seeing the first indications of success of the state Medicaid program's coordinated care system created by the Legislature in 2012. That system is based on the "triple aim," three goals that have guided the work of the Oregon Healthy Authority since its creation in 2009:

- Improve the lifelong health of all Oregonians;
- Increase the quality, reliability and availability of care for all Oregonians; and
- Lower or contain the cost of care so it is affordable to everyone.

At the same time, Oregon is beginning the job of folding behavioral health care for people with addictions and mental health needs into the coordinated care model, and expanding this care in local communities through the statewide network of coordinated care organizations (CCOs). And our public health system's plan for the future is in the beginning stages of modernization as a vital component of health transformation.

***Coordinated care – the way forward***

As we prepare for the coming biennium, we are seeing the initial results of health system transformation. In 2013 under the first year of coordinated care, emergency department visits by people served by CCOs decreased, hospital admissions for indicator chronic conditions decreased, and CCO members made more visits to health care providers for primary care, indicating that Oregon Health Plan members are increasingly getting the right care in the right place at the right time.

Oregon will continue to face challenges as we go forward with Medicaid expansion. Such rapid growth is bound to put strain on any system, and that strain is being felt, particularly by the CCOs' primary care system. Our progress

may not be linear, but we do anticipate that the trend will continue in a positive direction. Here are some of the reasons for this optimism:

**Incentives for better care –** Both patients and coordinated care organizations are starting to see the benefits of Oregon's coordinated care model. In June 2014, a portion of the payments going to Oregon Health Plan coordinated care organizations were based on how well they did to improve care last year. This is the first time Oregon has paid CCOs for better care, rather than only the number or type of services delivered.

**Budget/ rate of growth –** Oregon is staying within the budget that meets its commitment to the Centers for Medicare and Medicaid Services to reduce the growth in spending by 2 percentage points per member.

**Health system transformation key findings –** In 2013, Oregon's coordinated care organizations reported the following metrics:

- **Decreased emergency department visits.** Emergency department visits by people served by CCOs has decreased 17 percent since 2011 baseline data. The corresponding cost of providing services in emergency departments decreased by 19 percent during the same time period.
- **Increased primary care.** Outpatient primary care visits for CCO members increased by 11 percent and spending for primary care and preventive services are up more than 20 percent. Enrollment in patient-centered primary care homes has also increased by 52 percent since 2012, the baseline year for that program.
- **Decreased hospitalization for chronic conditions.** Hospital admissions for congestive heart failure have been reduced by 27 percent, chronic obstructive pulmonary disease by 32 percent and adult asthma by 18 percent.
- **All-cause readmission decreased.** The percentage adults who had a hospital stay and were readmitted for any reason within 30 days of discharge dropped from a 2011 baseline of 12.3 percent to 11.7 percent in 2013, a reduction of 5 percent.
- **Overall hospital utilization decreased.** Total hospital patient days dropped by nearly 10 percent from the 2011 baseline period to 2013. The corresponding cost of inpatient hospital services decreased by 7 percent during the same time period.

As we move forward, the challenge will be to transform all of Oregon's health system including further integration of behavioral health and physical health, promoting community health and wellness, supporting children's health, and helping adults with mental illness live successfully in the community. We will also collaborate on the future modernization of Oregon's public health system and continue to focus on health equity in the interest of lifelong health for all Oregonians.

The numbers, and the stories we hear from grateful Oregonians, confirm that we are on a path toward a healthier Oregon. We have made significant gains toward a health care system that is better coordinated and patient-focused, and that puts the energies of the system toward making people and communities healthier.

Sincerely,

Suzanne Hoffman
Interim Director, Oregon Health Authority

# Oregon Health Authority

## OHA MISSION STATEMENT

The mission of the Oregon Health Authority is helping people and communities achieve optimum physical, mental and social well-being through partnerships, prevention and access to high-quality, affordable health care.

The Oregon Health Authority will transform the health care system of Oregon by:

- Improving the lifelong health of Oregonians;
- Increasing the quality, reliability, and availability of care for all Oregonians;
- Lowering or containing the cost of care so it's affordable to everyone.

Each program area of the Oregon Health Authority also has a specific area of focus to support the agency mission.

## OHA CENTRAL SERVICES

OHA Central Services supports the OHA mission by providing leadership in several dedicated key policy and business areas. This service area contains the following key areas:

### OHA Office of the Director and Policy

The Office of the Director and Policy is responsible for overall leadership, policy development and administrative oversight for the Oregon Health Authority. This office coordinates with the Governor's Office, the Legislature, other state and federal agencies, partners and stakeholders, local governments, advocacy and client groups, and the private sector.

The OHA Director's Office provides leadership in achieving the agency's mission. The clear direction of OHA is to innovate, improve and rework the state health care system to meet three goals:

- Improve the lifelong health of all Oregonians;
- Increase the quality, reliability and availability of care for all Oregonians; and
- Lower or contain the cost of care so it is affordable to everyone.

### OHA Office of Human Resources

The human resources department for OHA is charged with delivering services to internal customers with quality and timeliness. In serving these internal customers, it focuses on developing people, meeting the agency's business needs with workforce strategic planning, and developing and maintaining human resource policies.

### OHA Office of Budget, Planning and Analysis

The Office of Budget, Planning and Analysis (BPA) supports OHA's mission by providing leadership and collaboration for program decisions through its in-depth knowledge of OHA financial processes, federal program and fiscal policy, business line funding streams, and state budget processes.

### OHA Office of Communications

The OHA Office of Communications (OC) supports the OHA mission by providing information to employees, clients, legislators, stakeholders and interest groups, providers and partners, local governments, other state and federal agencies, policymakers, the news media, targeted audiences, and the general public. The office also provides support to the department's priority projects as defined by the agency's director and cabinet. The staff ensures that OHA complies with all statutory and legal requirements pertaining to public records requests and other communication issues.

### The Office of the Chief of Health Policy

The Office of the Chief of Health Policy coordinates with the Governor's Office, the Legislature, other state and federal agencies, partners and stakeholders, local governments, advocacy and client groups, and the private sector to achieve the triple aim of better health, better care, and lower costs. This office provides staff to the Oregon Health Policy Board, which is charged with determining health policy priorities for Oregon's health system transformation. Staff persons in this office also conduct analysis and comparison of performance and cost data, stakeholder engagement, and performance monitoring for all OHA strategic planning efforts. The Chief of Policy is also responsible for leadership and administrative oversight of multiple sub-offices:

**The Office for Oregon Health Policy and Research** (OHPR) provides policy analysis, development, and evaluation services to support the work of the Oregon Health Policy Board, the Medicaid Advisory Council, OHA programs, and other stakeholders engaged in the design of Oregon's health system transformation. OHPR provides technical assistance on topics such as primary care workforce development, resource leveraging, and grant development for health system transformation projects.

**The Office of the Chief Medical Officer-Clinical Services Improvement** (CMO-CSI) is designed to align and integrate clinical resources and policies to support the implementation of the coordinated care model throughout all provider and payer organizations, including OHA. The Chief Medical Officer's focus is to direct and guide implementation of clinical services so they support quality improvement outcomes and integrate delivery of physical, behavioral, and oral health care. This role includes oversight of the Patient Centered Primary Care Home program, the Health Evidence Review Commission, and the Quality Council.

**The Office of Health Analytics** conducts data collection and statistical analysis of utilization, quality, and financial data to evaluate OHA program performance, to provide data to support health system and program planning and implementation, and to analyze trends across all payers and claims data. In addition, this office performs actuarial analysis to support rate development and benefit design. This research is used by policy makers, practitioners, consumers, and researchers to make data-driven decisions.

**The Office of Health Information Technology** is responsible for providing coordination across programs, departments, and agencies in developing policies and procedures that:

- Accelerate state and federal health reform goals through organized support for adoption, implementation and integration of health information technologies;
- Leverage health IT funding opportunities from federal agencies, philanthropic organizations, and the private sector to improve Oregon's health IT capacity; and
- Increase collaboration and communication between state agencies and across programs for enhanced planning and shared decision making, leveraged IT purchases, and coordination of service delivery.

**The Office of Equity and Inclusion** (formerly the Office of Multicultural Health and Services) promotes good health and wellness for all Oregonians through policy development, trainings and consultation, and community and organizational capacity building. OEI works with diverse stakeholders both outside and within the Oregon Health Authority to ensure the elimination of avoidable health disparities and to promote optimal health outcomes for everyone in Oregon, as well as to integrate and use diversity development best practices in recruitment, hiring, retention, performance management, contracting and procurement, and employee development within OHA and Oregon's health promoting systems.

**The OHA Transformation Center**'s purpose is to promote effective and innovative practices among Oregon's existing coordinated care organizations (CCOs) and to encourage the adoption of the coordinated care model of patient care among all health plans and payers. The Transformation Center serves as a catalyst to identify and share evidence-based and emerging best practice information. It does this by establishing learning collaboratives, assigning innovator agents to assist each CCO to implement quality improvement efforts, supporting the Council of Clinical Innovators, providing technical assistance and infrastructure support, and disseminating outcome and best practice information to providers and plans across Oregon.

## MEDICAL ASSISTANCE PROGRAMS (MAP)

### Vision

Improved access to effective, high-quality services for low-income and vulnerable citizens through innovation, collaboration, integration and shared responsibility.

### Goals

- Support effective and efficient systems that directly promote access to health care for low-income Oregonians.
- Support the entire health care provider system in Oregon by paying for needed services using federal matching funds to the extent appropriate.
- Maintain managed care or coordinated care enrollment at no less than 80 percent to promote access and to control health care costs.
- Improve the quality of health care for all Oregonians, especially for low-income Oregonians.

- Collaborate with legislators, advocacy groups, business partners, health care providers and the general public to improve health outcomes.
- Promote the use of prevention and chronic disease management services by all Oregonians, especially those with low incomes and special medical needs.
- Work with other insurers to improve health outcomes for all Oregonians.

**Oregon Health Plan (OHP)**

The Oregon Health Plan (OHP) is not a federally mandated program, but supported by Medicaid and the Children's Health Insurance Program (CHIP). Title XIX and Title XXI of Social Security Act, respectively, provide the federal authorization. Oregon administers the program under the authority of the federally approved Medicaid State Plan, CHIP State Plan, and Oregon Health Plan Medicaid demonstration waiver.

The Oregon Health Plan is established and authorized in Oregon Revised Statute (ORS) 414.018 through 414.760.

**Non-OHP Programs**

**Citizen Alien Waived Emergent Medical (CAWEM):** The federal government authorizes the CAWEM program under section 1903(v) of the Social Security Act. The Legislature provides the authority for covering the program under Oregon Revised Statutes (ORS) 414.025.

**Breast and Cervical Cancer Medical:** The federal government authorizes the Breast and Cervical Cancer Program under section 1902(z)(1)(aa) of the Social Security Act. The Legislature established the program at ORS 414.532 through 414.540.

**Qualified Medicare Beneficiaries:** The federal government authorizes the Qualified Medicare Beneficiaries program under section 1902(a)(10)(E) of the Social Security Act. Under state law, the Legislature authorizes the program at ORS 414.033 and 414.075.

**Limited drug coverage program for transplant clients:** There are no federal matching funds in this program. The Legislature created this program with a budget note to Senate Bill 5548 in during the 2003 legislative session.

**Payments to the federal government for Medicare Part D:** The federal government requires states to pay the federal government for Medicare Part D drug coverage provided to dual-eligible Medicaid clients under section 1935(c) of the Social Security Act.

**Other programs and support**

**Pharmacy Programs:** The Oregon Prescription Drug Program (OPDP) was authorized by Senate Bill (SB) 875 (2003). Ballot Measure 44 of 2006 opened the uninsured discount program to all residents. SB 362 of 2007 extended the discount program to underinsured and group business to the private sector. Also in 2007, SB 735 authorized Group Purchasing Organizations for all groups in OPDP.

**CAREAssist** is authorized by the federal Ryan White Act. This act provides funds to states to purchase drugs or health care insurance that provides a drug benefit for HIV positive individuals.

**Law Enforcement Medical Liability Account (LEMLA):** The Legislature authorizes the program under Oregon Revised Statutes (ORS) 414.805 through 414.815.

## PUBLIC EMPLOYEES' BENEFIT BOARD (PEBB)

### Vision

PEBB seeks optimal health for its members through a system of care that is patient-centered, focused on wellness, coordinated, efficient, effective, accessible, and affordable. The system emphasizes the relationship among patients, providers, and their community; is focused on primary care; and takes an integrated approach to health by treating the whole person.

Key components of the PEBB program are:

- Benefits that are affordable to the state and employees;
- Accessible and understandable information about costs, outcomes, and other health data that is available for informed decision-making;
- An innovative delivery system in communities statewide that uses evidence-based medicine to maximize health and spend money wisely;

- A focus on improving quality and outcomes, not just providing health care;
- Promotion of health and wellness through consumer education, healthy behaviors, and informed choices; and
- Appropriate provider, health plan, and consumer incentives that encourage the right care at the right time and place.

**Statutory Authority**
The Public Employees' Benefit Board authority lies in ORS 243.061 through ORS 243.302.

## OREGON EDUCATORS BENEFIT BOARD (OEBB)

**Vision**

OEBB will work collaboratively with districts, members, carriers and providers to offer value-added benefit plans that support improvement in members' health status, hold carriers and providers accountable for outcomes, and provide affordable benefits and services.

Key components of the OEBB program are:

- Value-added plans that provide high-quality care and services at an affordable cost to members;
- Collaboration with districts, members, carriers and providers that ensures a synergistic approach to the design and delivery of benefit plans and services;
- Support for improvement in members' health status through a variety of measurable programs and services;
- Measurable goals and programs that hold carriers and providers accountable for health outcomes; and
- Encouragement for members to take responsibility for their own health outcomes.

**Statutory Authority**
OEBB was established under Senate Bill 426 in 2007. The OEBB Board, functions and responsibilities are authorized under ORS 243.860 to .886.

# OFFICE OF PRIVATE HEALTH PARTNERSHIPS (OPHP)

## Vision

The Office of Private Health Partnerships (OPHP) provided access to health insurance for low-income, high-risk, and uninsured Oregonians. OPHP encouraged and assisted Oregon small businesses and consumers in making informed health insurance choices by providing outreach, education, and referral services.

Starting January 1, 2014, thousands of additional Oregonians gained access to health insurance in the individual insurance market due to the passage of the federal Affordable Care Act. This market will serve anyone not covered through an employer-sponsored health plan. Among the ramifications of this:

- Plans will have increased benefits and cover more services.
- Insurers can no longer deny coverage to anyone based on their health ("pre-existing conditions").
- Thousands of Oregonians who previously bought insurance through state programs for people with pre-existing conditions, and those who are enrolled in portability policies in the commercial market, have moved to the individual market.

HB 3458 (2013 regular session) established the Oregon Transitional Reinsurance Pool (OTRP) program for about 2,100 high-risk Oregonians. This is a temporary program that will cover only claims incurred through December 31, 2016. The program will close once these claims reimbursements are complete. The program is funded by an annual assessment on all insurers for calendar years 2014, 2015, and 2016. This is the only remaining active program in the Office of Private Health Partnerships (OPHP) structure in 2015-2017.

## Statutory Authority

HB 3458 tasks the Oregon Medical Insurance Pool (OMIP) Board, part of the Oregon Health Authority, with the administration of the program in collaboration with the Department of Consumer and Business Services Insurance Division.

# ADDICTIONS AND MENTAL HEALTH (AMH)

## Vision

Addictions and Mental Health (AMH), as part of the Oregon Health Authority, envisions a healthy Oregon where mental health disorders and addictions are prevented and treated through education, early intervention and access to appropriate health care.

## Goals

- Improve the lifelong health of all Oregonians.
- Improve the quality of life for the people served.
- Increase the availability, utilization, and quality of community-based, integrated health care services.
- Reduce overall health care and societal costs through appropriate investments.
- Increase the effectiveness of the integrated health care delivery system.
- Increase the involvement of individuals and family members in all aspects of health care delivery and planning.
- Increase accountability of the integrated health care system.
- Increase the efficiency and effectiveness of the state administrative infrastructure for health care.

## Statutory Authority

### Community Mental Health and Addiction Services

- ORS 430 provides OHA the statutory framework for the development, implementation and continuous operation of the community treatment programs to serve people with addiction disorders and mental health disorders, subject to the availability of funds.
- Alcohol and drug programs operate under the authority of Oregon Revised Statutes (ORS) 430.254 through 430.426 and ORS 430.450- 430.590 and federal PL 102-321 (1992) Sections 202 and 1926.
- Problem gambling treatment and prevention services are mandated by ORS 413.520, which directs the Oregon Health Authority to develop and administer statewide gambling addiction programs and ensure delivery of program services.

**Block Grant**
Federal legislation 1992 PL 102-321 authorized community mental health services funded in small part by the Substance Abuse and Mental Health Services Block Grant.

**Facilities**
Statutory or legislative provision for Oregon State Hospital and state-delivered secure residential treatment falls under ORS 179, which covers general powers, duties and responsibilities to supervise state institutions. ORS 443.465 provides oversight for secure residential treatment homes and facilities.

**Commitment types**

- Civil commitments: Oregon Revised Statute (ORS) 426 provides OHA the statutory framework to deliver mandated treatment to persons who, because of a mental illness, are a danger to themselves or others.
- Guilty except for insanity:
    - Under ORS 161.390, AMH provides treatment services in OSH and in the community for individuals who have been found guilty of a crime except for insanity.
    - Under ORS 419C.532, AMH provides treatment services for youth who have been found responsible except for insanity. Treatment is provided in the Secure Adolescent Inpatient Program, OSH and in the community.

**Mental Health evaluations**
Under ORS 161.370, AMH is delegated to provide the evaluation services to determine if an allegedly mentally ill individual who is accused of a crime is fit to proceed through the judicial processes.

**Oregon State Hospital Replacement Project**
The Oregon State Hospital Replacement Project was initially authorized by the Legislative Emergency Board in September 2006. The project was fully authorized during the 2007 session by House Bill 5005 and House Bill 5006. It was reauthorized in 2009 by Senate Bill 5505 and Senate Bill 5506. The 2011 session reauthorized the project in House Bill 5005 and House Bill 5006. Additionally, all capital improvements beyond the replacement project follow federal requirements under the Americans with Disabilities Act, which requires that

people be served in a safe, accessible environment. The Oregon State Hospital Replacement Project is expected to open the Junction City facility in the spring of 2015. There are no capital construction expenditures planned for the 2015-2017 biennium.

## PUBLIC HEALTH (PH)

### Vision

Lifelong health for all people in Oregon

### Goals

- **Making Oregon one of the healthiest states in the nation**
  Public Health aims to make Oregon one of the top 10 healthiest states in the U.S. by 2017. To achieve this goal, Oregon must address the state's three leading causes of death: tobacco use, obesity and overweight, and heart disease and stroke. Oregon also must reduce family violence. Increasing Oregon communities' resilience to emergencies of all kinds also will help to make Oregon one of the healthiest states.
- **Making Oregon's public health system into a national model of excellence**
  To fully achieve its vision of lifelong health for all people, Oregon's public health system must transform itself into a national model of excellence. A system that is a model of excellence will work with emerging health care partners such as coordinated care organizations (CCOs) in new ways; ensure appropriate consideration of health issues in all policy making; partner with the private sector and other agencies to perform health impact assessments; and maintain disease investigation and data collection capabilities. Public health accreditation, which recognizes health departments that perform all of the core functions, is one mechanism Oregon will use to ensure the system conforms to national standards.

### Public Health Statutory Authority

The Oregon Health Authority plays a central role in ensuring the health of all people in Oregon.

Chapters 431 and 433 of Oregon Revised Statutes set forth hundreds of code sections enabling and mandating a wide range of public health activities carried out by Public Health and its county partners.

The power and duty to promote and protect the public's health is reserved to the states under amendment X of the U.S. Constitution. Title 42, among other titles, of the U.S. Code authorizes federal funding for numerous public health programs carried out at the state level.

## HEALTH LICENSING OFFICE (HLO)

The Oregon Health Licensing Agency (OHLA) merged with the Oregon Health Authority (OHA) in July 2014, and is now an office within OHA titled the Health Licensing Office **(HLO).** Following the transition, HLO retains the same function, purpose, and funding structure, where it still operates solely as a 100% other funded structure.

### HLO Goals

HLO works to achieve the following goals:

- Actively promote consumer protection through education, enforcement and partnerships
- Promote a positive business environment by reducing barriers to professional practice
- Provide excellent customer service to all agency clients and stakeholders

### HLO Mission

The Health Licensing Office (HLO) protects the health, safety and rights of Oregon consumers by ensuring only qualified applicants are authorized to practice. OHLA establishes, communicates and ensures compliance of regulatory standards for multiple health and related professions.

HLO regulates over 70,000 authorizations among 12 boards and councils, including over 4,800 facilities. HLO provides services to program clients on a daily basis and sets, communicates, licenses and enforces regulatory standards for the multiple health and related professions it oversees.

HLO achieves its mission by collecting revenues from applications, examinations, authorizations, authorization renewals, charges for services, fines and forfeitures, sales income and interagency agreements. Revenues collected from each board and council fund the Administrative Services, Fiscal Services, Licensing, and the Regulatory Operations units in HLO. Each board and council is required to pay

part of the overall HLO budget determined by the allocation service costs attributed to each board and council.

### HLO Statutory Authority

The primary purpose of HLO is to ensure effective coordination of administrative and regulatory functions related to protecting the public as mandated by ORS 676.605. Public protection relates to the regulatory oversight HLO provides over health and related professions it regulates as mandated by ORS 676.606. HLO measures it success by ensuring all complaints are investigated within 120 days as described in ORS 676.165(4) and that Oregonians providing services are licensed by the HLO as described in 676.607. The HLO is 100% other funded and funds are solely invested into protecting Oregonians and fulfilling all of HLO's and the boards/council's statutory requirements.

## OHA SHARED SERVICES

**Office of Information Services (OIS)** is a shared service provider for DHS and OHA. It provides information technology (IT) systems and services for nearly 16,000 agency and partner staff at 350 local offices, Oregon State Hospital locations, public health laboratories and testing services for county health departments, medical and military facilities, and other locations statewide.

OIS provides support for more than 12,000 desktop computers and 2,000 printers. The Service Desk responds to more than 12,000 service requests each month.

OIS provides information systems and services to DHS and OHA staff and partners statewide in support of programs that:

- Determine client eligibility;
- Provide medical, housing, food and job assistance;
- Provide addiction, mental health, and vocational and rehabilitative services;
- Protect children, seniors and people with physical and developmental disabilities;
- Process claims and benefits;
- Manage provider licensing and state hospital facilities;
- Promote and protect public health;
- Respond to and coordinate statewide disasters and health emergencies, and support the Health Alert Network and emergency preparedness activities.

OIS also supports partners around the state that use DHS and OHA systems. These include:

- State agencies including the Oregon Department of Justice Division of Child Support, the Oregon Employment Department and others;
- Cities and counties;
- District attorney's offices;
- Private hospitals;
- Other computer centers.

Many of the IT systems used by DHS, OHA and agency partners are needed 24 hours a day, seven days a week.

**Information Security and Privacy Office (ISPO)** is a shared service office providing information security services for DHS and OHA. ISPO uses business risk management practices to protect confidential information assets and educate staff, volunteers and partners on how to protect this information and report incidents when they occur.

The ISPO drivers include federal and state security regulations and audit findings, contractual and grant obligations, DHS security policies and procedures, legislative mandates and the Oregon Consumer Identity Theft Protection Act.

## OHA STRATEGIC AND BUSINESS PLANS

**The Office of the Chief of Policy** is integrally involved in most of the department's strategic planning efforts and initiatives. The Office of Health Policy and Research provides staff support to the Oregon Health Policy Board, which is charged with determining policy priorities for Oregon's health system transformation. The Office of Health Information Technology recently worked with coordinated care organizations to complete a draft of *Oregon's Business Plan Framework for Health Information Technology and Health Information Exchange.*

**Medical Assistance Programs** will continue its focus on health system transformation through coordinated care organizations to bring better health, better care and lower costs to Oregonians. The state is tracking 17 incentive metrics and 16 additional state performance metrics along with financial and utilization data to determine whether CCOs are effectively and adequately improving care, making quality care accessible, eliminating health disparities, and controlling costs for the populations they serve.

**Addictions and Mental Health** adopted its strategic plan adopted in the Fall 2014, providing a map for their work into the next 3 years. AMH is also working on a Federal Block Grant Plan – which would combine mental health and substance abuse prevention and treatment.
AMH has planned a transition to a business solution unit focused on delivering diverse integrated technology solutions to AMH through:

- Measurement and Outcomes Tracking System (MOTS); and
- Oregon Web Infrastructure for Treatment Services (OWITS) contract management system.

Lastly, AMH plans to expand the functional scope of its licensing and quality improvement unit to include:

- Outpatient mental health facility-based certifications and regulatory oversight;
- Administration and management of the statewide behavioral health provider credentialing data bank; and
- Implementation of a data-driven performance management program to enhance the overall effectiveness and performance of Oregon's behavioral health care system in meeting OHA's triple aim.

**Public Health's** 2015-2019 Strategic Plan sets out the vision, mission, values and goals, objectives and key strategies for building on current accomplishments to further progress toward achieving the vision of a healthy Oregon.

- Vision: Lifelong health for all people in Oregon
- Mission: Promoting health and preventing the leading causes of death, disease and injury in Oregon
- Values: Service Excellence, Leadership, Integrity, Partnership, Innovation, Health Equity
- Goals: Improve quality of life and increase years of healthy life, promote and protect safe, healthy and resilient environments, strengthen public health capacity to improve health outcomes

Work began in March 2014, and the plan was completed in July 2014. Implementation has begun, and will guide the work of Public Health over the next five years.

**The Public Employees Benefit Board** (PEBB) is implementing contracts as part of Oregon's health care transformation to create high-quality, financially sustainable

health plans that emphasize coordinated care. Through this implementation, PEBB is leading the way on health plan accountability in commercial insurance in Oregon. The board will request proposals in 2016 for other elements of the benefits program.

**The Oregon Educators Benefit Board** (OEBB) strategic plan for the next two years will focus on continuing to expand availability of, access to and participation in patient-centered primary care homes (PCPCHs) and coordinated care model plan options throughout Oregon. It also calls for:

- Continuing to work with cities, counties and special districts that want to participate in OEBB benefits; and
- Continuing development of the "MyOEBB" benefit management system, which allows OEBB members to manage their benefits online and OEBB staff and participating entities to access enrollment information.

## OHA PROCESS IMPROVEMENT EFFORTS

**Medical Assistance Programs** will continue to evaluate Oregon Health Plan eligibility and enrollment activities for process improvement and system automation. During the 2013-2015 biennium, the agency transitioned eligibility determination functions back to the state from Cover Oregon. In doing so, the state leveraged automated solutions that were developed by Cover Oregon, but those solutions were highly supported by manual business processes and not fully integrated with other systems. Process improvement work will continue during the 2015-2017 biennium as OHA identifies opportunities for system automation and business process efficiencies.

**Public Health** is actively engaged in developing its performance management system, which involves the strategic use of performance measures and standards to establish performance targets and goals that align with the OHA mission. It also allows Public Health to create data-driven strategies to improve the public's health.

### National Public Health Accreditation

The division applied for recognition by the National Public Health Accreditation Board in March 2014. A site visit review was conducted in October 2014. The Division did not receive an accreditation by the Board, but was given a list of additional items that needed corrective action before accreditation can occur.

National public health accreditation includes:

- Measurement of health department performance against a set of nationally recognized, practice-focused and evidenced-based standards;
- Issuance of recognition of achievement of accreditation with a specified time frame by a nationally recognized entity; and
- Continual development, revision, and distribution of public health standards.

**Addictions and Mental Health** will continue executing its business plans to transition the COMPASS unit to a business solution unit and expanding the functional scope of the quality unit.

## OHA SHORT TERM PLANS, ENVIRONMENTAL FACTORS AND INITIATIVES

The **Medical Assistance Programs** are directly affected by the following environmental factors that are constant risks to the agency's budget:

- Economic changes affecting Oregon Health Plan caseload growth;
- Federal policy and funding changes affecting state funding needs (e.g., Medicaid match rates); and
- Medical inflation and utilization affecting the cost for covering Oregon Health Plan members.

**Medical Assistance Programs** will continue to evaluate Oregon Health Plan eligibility, enrollment and redetermination activities going into the 15-17 biennium. The Affordable Care Act (ACA) brought in larger numbers and an accelerated rate for enrollment into the OHP. Additionally, the annual redetermination processes for renewing all OHP enrollment, had to be handled through a hybrid process developed once it was known that the Exchange functionality would not be available to handle this process. A technology transition project approved by the Centers for Medicare and Medicaid Services (CMS) through the Spring and Summer of 2014 will move the eligibility and enrollment technology from Cover Oregon to the federally facilitated marketplace (FFM) and move the MAGI Medicaid eligibility determination back to OHA with a new system. With the beginning of open enrollment in November 2014, Cover Oregon's technology transition to the FFM was completed and Oregonians began enrolling in private coverage through the federal marketplace. In addition, the

FFM began accepting and processing Medicaid applications and will continue to be the primary place for people to apply for Medicaid until Oregon has its own fully automated system. Transferring an existing ACA-compliant MAGI Medicaid eligibility and enrollment system from another state will be treated as a separate project effort, which has been termed as the **"MAGI Medicaid System Transfer Project."**

The project will report within OHA. It will be led by a project sponsor, and a new steering committee that is authorized by, and reports to the OHA Director. A project director and the state Medicaid Director will be the project's Medicaid business lead.

The project team has evaluated other state's systems and has selected the Kentucky system for MAGI Medicaid eligibility and enrollment, which is called KYNECT. (KYNECT also supports Kentucky's state health insurance exchange, but Oregon will use only the MAGI Medicaid system.) The project will be planned to have a self-service, online Medicaid eligibility portal completed in the late fall of 2015. Additionally, a system integrator vendor for the project is working on an analysis that will define the scope necessary to implement the KYNECT system to meet Oregon's needs. This analysis will be completed in early February. In addition, a Request for Proposal (RFP) was issued for a quality assurance vendor to be selected in January 2015.

With additional aspects of the Affordable Care Act set to go into effect by 2018, **PEBB** will consider changes to its premium tiering strategy and its strategy for continuing coverage for such self-pay participants as early retirees and COBRA participants. Additional focus may include changes to plan design to meet ongoing and new coverage mandates and caps on rate increases established through caps on the PEBB biennial budget limitation.

**AMH** will continue working on proposed rate increases for residential alcohol and drug addiction treatment providers based on the Mercer rate study. That study addresses support to these facilities to downsize to 16 or fewer beds (cost offset). CMS rules define any facility with more than 16 beds as an "institute for mental disease," which makes them ineligible for Medicaid reimbursement.

AMH will continue to monitor and review the USDOJ Olmstead investigation.

AMH also will monitor the November 2014 ballot measure that would legalize use of marijuana regarding its potential impact on Oregon's treatment and prevention efforts.

AMH will continue working toward the transition of adult mental health Medicaid-covered services to CCOs, and on continued implementation of mental health investments.

With the proposed policy option package, AMH expects to address the growth in the "aid and assist" population at Oregon State Hospital.

Approximately 70 percent of the **Public Health's** funds come through categorical federal grants. During 2013-2015, funding has decreased for several federal grants. This decline in federal funding is expected to continue during 2015-2017, and may result in adjustments or elimination of program services.

Public Health has statutory authority over the Oregon Medical Marijuana Program (OMMP), which has more than 60,000 persons registered to use marijuana for medical reasons. With the voter approval of **Ballot Measure 91,** impact to the OMMP may be unknown for the first year. However any significant reduction in OMMP card holders would jeopardize the program itself, as well as other core public health programs that currently receive approximately $9+ million in revenue per biennium from it.

The Legislature in 2015 will consider and respond to recommendations from the task force created by **HB 2348 (The Future of Public Health Services)**. This task force was charged with studying the regionalization and consolidation of local public services. The report that the Task Force issued can be found at: http://public.health.oregon.gov/About/TaskForce/Documents/hb2348-task-force-report.pdf

The Office of the State Public Health Director will lead the work to implement any changes approved by the Legislature.

## PERFORMANCE MEASURES

The 2015-17 OHA Key Performance Measures (KPMs) are intended to represent key quality and access metrics for healthcare-related services for individuals across the state. They are framed around the triple aim of better care, better health and lower cost and OHA's Quality Improvement Focus Areas as defined in Oregon's Medicaid 1115 waiver agreement with the Centers for Medicare and

Medicaid Services (CMS). The goal is to align KPMs closely with Health System Transformation metrics, both statewide and for Coordinated Care Organizations (CCOs). The full KPM progress report containing the measures and metrics are included in this Governor's Budget.

## MAJOR IT PROJECTS INITIATIVES

### OHA Shared Services (Office of Information Services)

The Office of Information Services expects to have several IT projects underway during the 2015 – 2017 biennium. The details of these projects are outlined in the Information Technology Report of projects expecting to exceed $150,000.

OIS has implemented the gate review process for all projects in alignment with the state Chief Information Officer's requirements. Projects that are either partially or fully aligned with Policy Option Packages in the OHA or DHS Governor's Budget include:

**The Race Ethnicity and Language + Disabilities (REAL+D)** Policy Option Package supports the establishment of uniform standards and practices in the Oregon Health Authority (OHA) and Department of Human Services (DHS) for the collection of data on race, ethnicity, preferred spoken or signed language, preferred written language, and disability status.

It supports designing, building and implementing a tool to collect, report and analyze this data, which the agencies need to comply with new health and service equity standards for all Oregonians.

Based on various requirements of federal law and rules, DHS and OHA have developed administrative rules and policies for collecting, analyzing, and reporting meaningful data about client race, ethnicity, language and disabilities.

For the remainder of the 2013-2015 biennium DHS and OHA will inventory and analyze all of their business processes, systems and reports that capture, update and use REAL+D data. It will tell the agencies what we need to do to fully implement HB 2134.

Funding for this POP will create a system that would ultimately allow workers and clients to view, update and maintain their own profile including REAL+D information. Appropriate analytics units in DHS and OHA would use REAL+D to collect, analyze and report on services related to various demographic groups to

help reduce health and human services disparities. Better data would increase the state's understanding of the causes of disparities, support the design of effective responses, and enable evaluation of improvements over time.

The Department of Human Services (DHS) will seek funding to implement a planning effort to prepare for the implementation of an eligibility system for its non-MAGI (Modified Adjusted Gross Income) Medicaid programs. DHS is committed to completing thorough planning to provide a framework for phased delivery of functionality that demonstrates meaningful progress in short increments of time. The recent decision by the Center for Medicaid and Medicare Services (CMS) to extend 90/10 funding for Medicaid eligibility systems provides substantial resources to help the Department of Human Services proceed with this planning work. A recent CMS site visit provided Oregon with an understanding of CMS' expectation that it proceed with automation of the eligibility and case management for the non-MAGI Medicaid population as soon as possible after successful completion of the MAGI Medicaid Transition Project.

**Integrated Statewide Adult Abuse and Reporting System -** As Oregon faces an aging population, the Aging and People with Disabilities Program (APD) and the Office of Adult Abuse Prevention and Investigations (OAAPI) have seen an annual increase of 5-8% in abuse referrals and an increased need for services across all demographics. Given the nature of the services provided to these vulnerable populations, the current assortment of disconnected legacy data systems do not provide the information needed to protect victims of abuse and develop prevention efforts proactively.

OIS will have on-going work and effort for existing projects including:

MMIS- ICD 10 Enhancements to the MMIS system to ensure HIPPA compliance/requirements are met for updated medical code set standards.

The Women, Infant and Children Program (WIC) continues its work with OIS on an Electronic Benefit Transaction system (EBT) that is similar to the EBT system used for Supplemental Nutrition Assistance Program (SNAP) benefits.

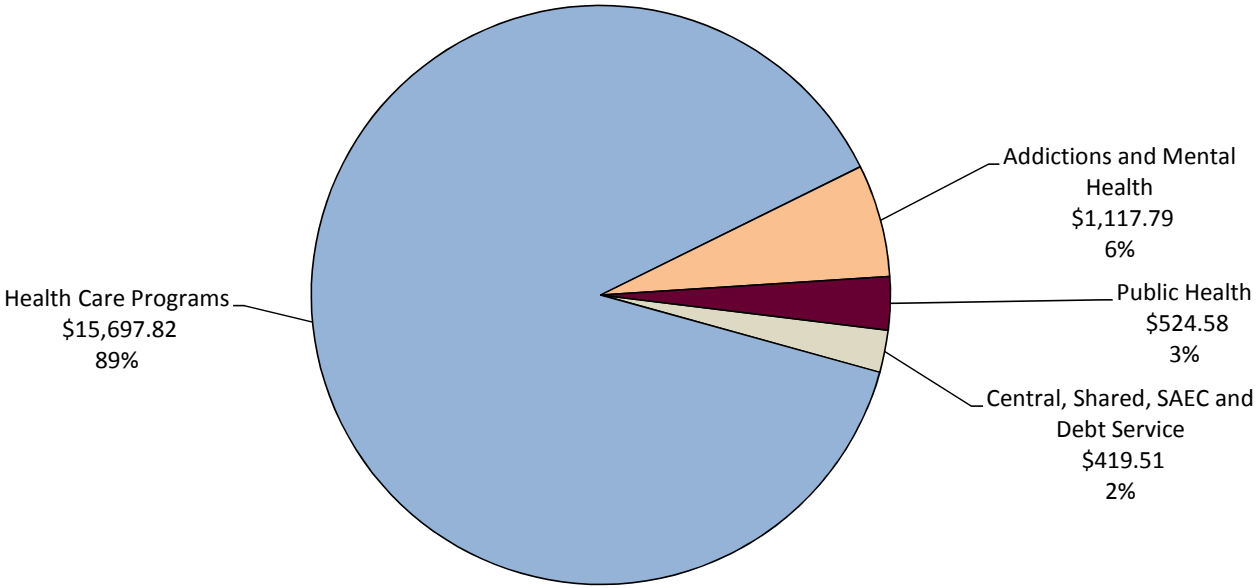
A project scope for a new web application system is being initiated for the PH CAREAssist. The HIV Care and Treatment Program provides high quality, cost effective services for people with HIV/AIDS in Oregon. A new web application is

needed to replace the current legacy system in an effort to improve workflow and increase productivity.

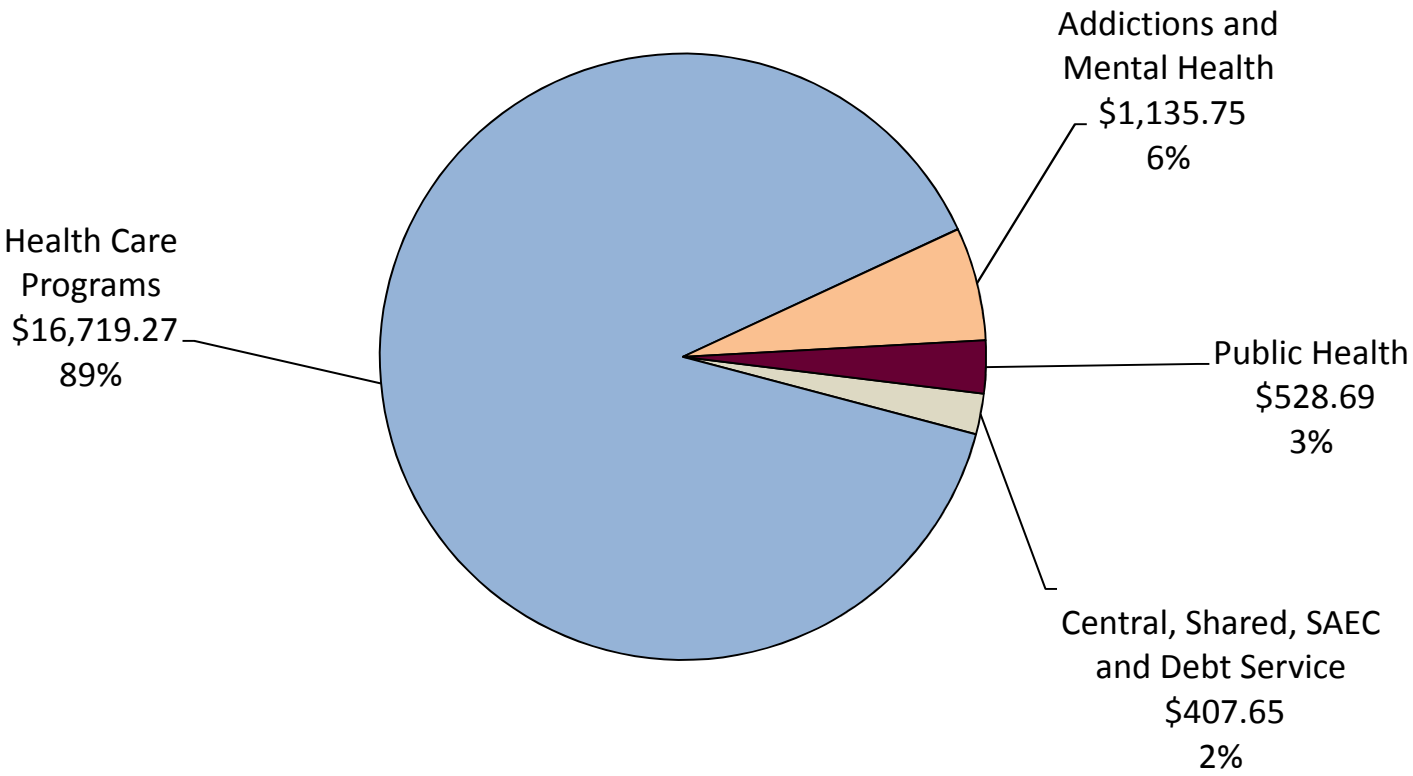
Environmental Health Tracking and Licensing information in Public Health are in the pre-initiation stages.

Lastly, OHA-OIS served as a primarily resource in a technology transition project approved by the Centers for Medicare and Medicaid Services (CMS) through the Spring and Summer of 2014 moved the eligibility and enrollment technology from Cover Oregon to the federally facilitated marketplace (FFM) and move the MAGI Medicaid eligibility determination back to OHA with a new system. With the beginning of open enrollment in November 2014, Cover Oregon's technology transition to the FFM was completed and Oregonians began enrolling in private coverage through the federal marketplace. In addition, the FFM began accepting and processing Medicaid applications and will continue to be the primary place for people to apply for Medicaid until Oregon has its own fully automated system. Transferring an existing ACA-compliant MAGI Medicaid eligibility and enrollment system from another state will be treated as a separate project effort, which has been termed as the **"MAGI Medicaid System Transfer Project."** OHA-OIS will continue working closely with the state's CIO Office on the business plan of this project.

# Oregon Health Authority
# 2013-2015 Legislately Approved Budget
# Total Fund by Program Area
# $17,759.70 million



**Oregon Health Authority**
**2015-17 Governor's Budget**
**Total Fund by Program Area**
**$18,791.36 million**



# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Oregon Health Authority**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-000-00-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 4,482 | 4,119.23 | 15,320,516,298 | 1,972,206,670 | 10,545,822 | 3,841,163,081 | 7,485,009,093 | 1,904,711,565 | 106,880,067 |
| 2013-15 Emergency Boards | 39 | 20.74 | 1,188,093,918 | (12,296,941) | 46,710 | 52,645,629 | 1,147,698,520 | - | - |
| **2013-15 Leg Approved Budget** | **4,521** | **4,139.97** | **16,508,610,216** | **1,959,909,729** | **10,592,532** | **3,893,808,710** | 8,632,707,613 | **1,904,711,565** | **106,880,067** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (313) | 31.54 | 19,692,466 | 26,902,709 | 46,864 | 1,156,793 | (8,413,900) | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | (7,812,998) | (1,263,522) | - | (6,322,432) | - | - | (227,044) |
| Base Nonlimited Adjustment | | | (45,424,477) | - | - | - | - | (45,424,477) | - |
| Capital Construction | | | (79,401,530) | - | - | (79,401,530) | - | - | - |
| **Subtotal 2015-17 Base Budget** | **4,208** | **4,171.51** | **16,395,663,677** | **1,985,548,916** | **10,639,396** | **3,809,241,541** | 8,624,293,713 | **1,859,287,088** | **106,653,023** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | 7,737,057 | 7,308,045 | (10,946) | (350,764) | 790,722 | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 7,291,581 | 3,335,117 | 4,776 | 1,778,466 | 2,173,222 | - | - |
| **Subtotal** | **-** | **-** | **15,028,638** | **10,643,162** | **(6,170)** | **1,427,702** | 2,963,944 | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | 226 | 211.94 | 119,994,160 | 77,986,469 | - | 8,275,054 | 33,732,637 | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (1,892,606,856) | (61,625,335) | - | (469,148,527) | (1,361,832,994) | - | - |
| **Subtotal** | **226** | **211.94** | **(1,772,612,696)** | **16,361,134** | **-** | **(460,873,473)** | (1,328,100,357) | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 761,120,698 | 84,575,548 | 289,928 | 210,536,370 | 465,718,852 | - | - |
| State Gov''t & Services Charges Increase/(Decrease) | | | 26,286,893 | 13,240,525 | - | 3,251,617 | 9,794,751 | - | - |

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal** | **-** | **-** | **787,407,591** | **97,816,073** | **289,928** | **213,787,987** | 475,513,603 | **-** | **-** |
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | 43 | 43.00 | 1,883,707,792 | 99,563,339 | - | 244,428,502 | 1,539,715,951 | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | 652,983,976 | - | (938,068,738) | 285,084,762 | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | 53 | 53.00 | - | - | - | - | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **4,530** | **4,479.45** | **17,309,195,002** | **2,862,916,600** | **10,923,154** | **2,869,943,521** | 9,599,471,616 | **1,859,287,088** | **106,653,023** |

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **4,530** | **4,479.45** | **17,309,195,002** | **2,862,916,600** | **10,923,154** | **2,869,943,521** | 9,599,471,616 | **1,859,287,088** | **106,653,023** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | (6) | (6.00) | (2,224,715) | - | - | (2,073,416) | (151,299) | - | - |
| **Modified 2015-17 Current Service Level** | **4,524** | **4,473.45** | **17,306,970,287** | **2,862,916,600** | **10,923,154** | **2,867,870,105** | 9,599,320,317 | **1,859,287,088** | **106,653,023** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | 5 | 5.00 | 7,616,905 | 6,153,914 | - | 1,462,991 | - | - | - |
| **Subtotal Emergency Board Packages** | **5** | **5.00** | **7,616,905** | **6,153,914** | **-** | **1,462,991** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | (200) | (200.00) | 1,468,451,601 | (696,341,554) | (570,033) | 2,735,912,399 | 1,145,237,877 | (1,715,787,088) | - |
| 091 - December 2014 Rebalance | 40 | 37.43 | (8,335,173) | 27,770,326 | - | (9,164,567) | (26,940,932) | - | - |
| 501 - Measure 91 Implementation | 4 | 4.00 | 2,696,521 | - | - | 2,696,521 | - | - | - |
| 201 - REaL-D | 9 | 8.52 | 1,771,152 | 1,771,152 | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | 5 | 4.83 | 4,916,521 | 4,916,521 | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | 21 | 7.98 | 4,801,423 | 2,402,928 | - | - | 2,398,495 | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | 3 | 3.00 | 593,755 | - | - | 593,755 | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | 3 | 3.00 | 1,436,336 | - | - | 1,436,336 | - | - | - |
| 409 - Transparency and Engagement | - | - | 450,000 | - | - | 450,000 | - | - | - |
| **Subtotal Policy Packages** | **(115)** | **(131.24)** | **1,476,782,136** | **(659,480,627)** | **(570,033)** | **2,731,924,444** | 1,120,695,440 | **(1,715,787,088)** | **-** |

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **4,414** | **4,347.21** | **18,791,369,328** | **2,209,589,887** | **10,353,121** | **5,601,257,540** | 10,720,015,757 | **143,500,000** | **106,653,023** |
| Percentage Change From 2013-15 Leg Approved Budget | -2.37% | 5.01% | 13.83% | 12.74% | -2.26% | 43.85% | 24.18% | -92.47% | -0.21% |
| Percentage Change From 2015-17 Current Service Level | -2.56% | -2.95% | 8.56% | -22.82% | -5.22% | 95.17% | 11.67% | -92.28% | - |

# PROGRAM PRIORITIZATION FOR 2015-17

***Agency Name: Oregon Health Authority***

**2015-17 Biennium**

| | | | | | Agency-Wide Priorities for 2015 -2017 Biennium | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | 4 | | | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| **Priority** (ranked with highest priority first) | **Program or Activity Initials** | **ORBITS DCR Title** | **Is Program leveraged for the DSHP Waiver?** | **Program Unit/Activity Description** | **Identify Key Performance Measure(s)** | **Primary Purpose Program-Activity Code** | **GF** | **LF** | **OF** | **NL-OF** | **FF** | **NL-FF** | **TOTAL FUNDS** |
| **Agcy** | | | | | | | | | | | | | |
| 1 | Medical Assistance Prgms-OHP Payment | OHP & CHIP | No | The Oregon Health Plan (OHP) provides physical health, mental health and dental services to qualifying low-income and vulnerable Oregonians. Medical Assistance Programs pays Coordinated Care Organizations to provide most of the care on a per capita basis with rates that are set by an independent actuary to reflect the cost of providing services. Some services are paid on a fee-for-service basis with rates that are typically less than cost. The Children's Health Insurance Program (CHIP) provides physical health, mental health and dental services to uninsured Oregon children. Medical Assistance Programs pays Coordinated Care Organizations to provide most of the care on a per capita basis with rates that are set by an independent actuary to reflect the cost of providing services. Some services are paid on a fee-for-service basis with rates that are typically less than cost. | Preventive services for OHP youth and adults, Preventive services for OHP children, Appropriate prenatal care for OHP clients, PQI Hospitalizations of OHP clients | 12 | 790,620,363 | - | 1,885,394,144 | - | 9,552,040,142 | - | 12,228,054,649 |
| 2 | Medical Assistance Prgms-OHP Payment | Non-OHP | Small amount | The Non-OHP budget includes the following: the Citizen/Alien Waived Emergency Medical (CAWEM) program, which provides emergency medical services to children and adults who are ineligible for medical assistance solely because they do not meet the Medicaid citizenship or immigration status requirements; the Health Insurance Premium program, which reimburses clients for employer-sponsored insurance premiums; "clawback" payments to the federal government to help pay for the Medicare Prescription Drug (Part D) program; and, the Qualified Medicare Beneficiaries (QMB) program that pays Medicare premiums, deductibles, and copayments for low-income clients. | Preventive services for OHP youth and adults, Preventive services for OHP children, Appropriate prenatal care for OHP clients, PQI Hospitalizations of OHP clients | 12 | 341,181,408 | - | 4,646,577 | - | 318,770,826 | - | 664,598,811 |
| 3 | Medical Assistance Prgms-OHP Payment | Pharmacy Programs | No | Pharmacy Programs provide all Oregonians access to reduced priced drugs through the Oregon Prescription Drug Program (OPDP). OPDP also provides consolidated purchasing power for the Oregon Education Benefit Board by jointly purchasing prescription drugs with the state of Washington through the NW Drug Consortium. Pharmacy Programs also provides health insurance to persons who are HIV positive through CAREAssist, Oregon's version of the Ryan White AIDS Drug Assistance Program. | Reduced cost of prescription drugs by consolidating all OHA drug purchasing in one. Provide drug assistance to individuals with the state who are HIV positive. | 12 | 7,830,221 | - | 58,103,882 | - | 9,728,128 | - | 75,662,231 |
| 4 | Addictions and Mental Health Program - | Alcohol and Drug Treatment | Small amount | Addiction clinical and recovery support services provide an array of medically necessary services tailored to individual clinical needs. These services are for individuals who are not eligible for Medicaid and who have no or sub-standard insurance benefits and financial resources to cover care. Treatment includes: standard and intensive outpatient treatment, 24-hour residential treatment, detoxification and withdrawal management, medications aimed to assist people stop using alcohol and opioids. Within each level or intensity of service, clients receive clinical assessments, individual, group and family counseling, case management and toxicology testing for presence of various substances of abuse. Recovery Services include, but are not limited to: Peer to peer mentoring, recovery coaching, life skills training, child care, and housing barrier removal. | Completion of alcohol & drug treatment, Alcohol & drug treatment effectiveness: Employment, Child reunification, School performance | 12 | 32,269,709 | - | 14,310,379 | - | 46,846,112 | - | 93,426,200 |

| Priority (ranked with highest priority first) | Program or Activity Initials | ORBITS DCR Title | Is Program leveraged for the DSHP Waiver? | Program Unit/Activity Description | Identify Key Performance Measure(s) | Primary Purpose Program-Activity Code | GF | LF | OF | NL-OF | FF | NL-FF | TOTAL FUNDS |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 5 | Addictions and Mental Health Program | Community Mental Health | Partially | Community programs provide a range of services tailored to the consumer's needs, including community/outpatient intervention and therapy, case management, residential and foster care, supported education, acute hospital care, and crisis and pre-commitment services. The community also provides supervision and treatment for persons under the jurisdiction of the Psychiatric Security Review Board. | Mental health client level of functioning, Child & Adult Mental Health Services | 12 | 275,850,912 | - | 39,140,505 | - | 161,635,950 | - | 476,627,367 |
| 6 | Public Health Programs | Center for Prevention and Health Promotion | Yes | Responsible for chronic disease prevention and health promotion, injury prevention, Prescription Drug Monitoring program, Women, Infants and children (WIC) Nutrition program, family planning, oral health, prenatal care, newborn hearing screening, and school-based health centers. | Teen suicide, Tobacco use, Cigarette packs sold, Teen pregnancy, Early prenatal care | 10 | 9,431,507 | - | 8,654,245 | 40,000,000 | 89,482,469 | 101,929,051 | 249,497,272 |
| 7 | Public Health Programs | State Public Health Director | No | Responsible for state emergency preparedness, planning, and response. | | 8, 10 | 10,404,666 | - | - | - | 6,247,419 | - | 16,652,085 |
| 8 | Public Health Programs | Center for Public Health Practice | Yes | Responsible for state support to local health departments core capacity in disease control and surveillance, HIV/STD/TB, immunization, statewide communicable disease control and testing, maintaining vital records and health statistics. | HIV rate, child immunizations, Influenza vaccinations for seniors | 8,10 | 3,664,021 | - | 286,093 | - | 22,445,446 | - | 26,395,560 |
| 9 | Public Health Programs | Center for Health Protection | Yes | Responsible for the State Drinking Water Program (Primacy) and EPA Revolving Loan Fund which provides approx. $12M annually to local water systems for capital improvement initiatives. Also identifying and preventing environmental and occupational safety hazards, and initiatives such as the health facilities licensure, quality improvement and regulation, medical marijuana, and Patient Safety Commission. | | 9,10 | 2,148,000 | - | 958,209 | - | 2,939,890 | - | 6,046,099 |
| 10 | Addictions and Mental Health Program | State Hospital System | 3 Non-Medicaid Gero units | The State Hospital System - with locations in Salem and Junction City provide 24-hour supervised care to people with the most severe mental health disorders, many of whom have either been civilly committed to the Department as a danger to themselves or others, or have been found guilty except for insanity, or require hospital care to restore competency in order that they may aid and assist in their own defense during a criminal proceeding. | OSH restraint rate, OSH length of stay (others to consider might be ratio of # served/# of budgeted beds, and/or recidivism/revocation rates. These new measures should be vetted a bit with Cabinet and or AMH, in light of the fact that KPMs are part of a larger OHA/DHS picture) | 12 | 424,486,507 | - | 22,339,740 | - | 46,580,657 | - | 493,406,904 |

| Priority (ranked with highest priority first) | Program or Activity Initials | ORBITS DCR Title | Is Program leveraged for the DSHP Waiver? | Program Unit/Activity Description | Identify Key Performance Measure(s) | Primary Purpose Program-Activity Code | GF | LF | OF | NL-OF | FF | NL-FF | TOTAL FUNDS |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 11 | Addictions and Mental Health Program | Alcohol and Drug Prevention | | Prevention programs help people make smarter life choices and reduce risk factors associated with alcohol and drug abuse. These services reduce the rate of underage drinking and the development of substance use disorders and associated health and social problems. Prevention services are available in every Oregon county. Community mental health programs (CMHPs), tribes and statewide contractors provide evidence-based services to prevent the problematic use of addictive substances and activities including alcohol and drugs. | | 12 | 433,927 | - | 1,151,370 | - | 10,736,202 | - | 12,321,499 |
| 12 | Addictions and Mental Health Program | Gambling Treatment and Prevention | No | Gambling treatment and prevention programs provide an array of services tailored to the clients' needs. These include: assessment; individual, group and family counseling; and residential treatment. | Gambling Treatment Effectiveness | 12 | - | 7,528,018 | 618,180 | - | - | - | 8,146,198 |
| 13 | Addictions and Mental Health Program | State Delivered SRTF's | No | The state operated 16-bed facilities permit the safe movement of persons from the State Hospital(s) into the community that current providers choose not to serve. | | 12 | 5,518,675 | - | 509,163 | - | 2,085,627 | - | 8,113,465 |
| 14 | Public Employee's Benefit Board | PEBB/Stabilization, Self Insurance, Flex Benefit, Fully insured Plans, and Optional Benefits | No | (1) There is created the Public Employees' Revolving Fund The balances of the Public Employees' Revolving Fund are continuously appropriated to cover expenses incurred in connection with the administration of ORS 243.105 to 243.285 and 292.051. Among other purposes, the board may retain the funds to control expenditures, stabilize benefit premium rates and self-insure. The board may establish subaccounts within the Public Employees' Revolving Fund. (2) There is appropriated to the Public Employees' Revolving Fund all unused employer contributions for employee benefits and all refunds, dividends, unused premiums and other payments attributable to any employee contribution or employer contribution made from any carrier or contractor that has provided employee benefits administered by the board, and all interest earned on such moneys. Fully insured premiums are treated as a pass-through account and funds are sent directly to the Fully Insured provider. (1) In addition to the powers and duties otherwise provided by law to provide employee benefits, the Public Employees' Benefit Board may provide, administer and maintain flexible benefit plans under which eligible employees of this state may choose among taxable and nontaxable benefits as provided in the federal Internal Revenue Code. (2) In providing flexible benefit plans, the board may offer:<br>(a) Health or dental benefits as provided in ORS 243.125 and 243.135.<br>(b) Other insurance benefits as provided in Optional benefits are insurance premiums paid by members and are treated as pass-through account and funds are sent directly to the Optional Benefit provider. | 243.167 Public Employees' Revolving Fund; continuing appropriation to fund, 243.221 Options that may be offered under flexible benefit plan. | 10 | | | 1,635,837,724 | | | | 1,635,837,724 |
| 15 | Oregon Educators Benefit Board (OEBB) | OEBB Stabilization | No | There is created the Oregon Educators Revolving Fund, separate and distinct from the General Fund. Moneys in the Oregon Educators Revolving Fund are continuously appropriated to the Oregon Educators Benefit Board to cover the board's expenses incurred in connection with the administration of ORS 243.860 to 243.886. Moneys in the Oregon Educators Revolving Fund may be retained for limited periods of time as established by the board by rule. Among other purposes, the board may retain the funds to pay premiums, control expenditures, stabilize premiums and self-insure. | 243.884 Oregon Educators Revolving Fund; continuous appropriation to board; purposes; rules; moneys paid into fund | 10 | - | - | 1,633,487,088 | - | - | - | 1,633,487,088 |

| Priority (ranked with highest priority first) | Program or Activity Initials | ORBITS DCR Title | Is Program leveraged for the DSHP Waiver? | Program Unit/Activity Description | Identify Key Performance Measure(s) | Primary Purpose Program-Activity Code | GF | LF | OF | NL-OF | FF | NL-FF | TOTAL FUNDS |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 16 | Private Health Partnerships | Oregon Transitional Reinsurance Pool (OTRP) program | | The OTRP is a temporary measure to help stabilize individual market premiums during the transition to "guaranteed issue" health insurance coverage required by the ACA by covering a portion of exceptional claims costs for roughly 2,100 high-risk Oregonians. This program is scheduled in statute to close by the end of the 2015-2017 biennium, and at that point the OPHP budget structure also is expected to close. | | 10 | - | - | 363,651 | 103,500,000 | - | - | 103,863,651 |
| 17 | Medical Assistance Prgms-OHP Payment | Law Enforcement Medical Account | Small amount | Law Enforcement Medical Account (LEMLA): The program pays medical claims for individuals who are injured in interactions with law enforcement. Law enforcement agencies submit claims to OHA when efforts to recover costs from the individuals or their insurance companies fail. | | 12 | 39,014 | - | 1,354,360 | - | - | - | 1,393,374 |
| 18 | Health Policy Programs | OHIT Incentive Payments | No | The Medicaid Electronic Health Records Incentive Payment provide incentive payments to eligible professionals, eligible hospitals, and critical access hospitals (CAHs) as they adopt, implement, upgrade or demonstrate meaningful use of certified EHR technology. | | | - | - | - | - | 61,610,160 | - | 61,610,160 |
| | | | | | | | 1,903,878,930 | 7,528,018 | 5,307,155,310 | 143,500,000 | 10,331,149,028 | 101,929,051 | $ 17,795,140,337 |

# Oregon Health Authority 2015 – 2017 Governor's Budget

## 10% General Fund Reduction Options

A large proportion of the Oregon Health Authority's (OHA) budget is expended for services directly provided to clients.

General criteria and principles applied to the prioritized reduction list included:

- Identifying reductions that do the least harm to the fewest number of clients
- Applying the OHA goals of containing costs, improving quality and increasing access to health care
- Avoiding reductions that will shift people to a more costly service model within OHA or DHS
- Minimizing affect to OHA Health Systems Transformation efforts in 15-17
- Identifying where the Affordable Care Act (ACA) provide an opportunity to minimize impact on OHA clients/customers
- Minimizing potential for the reduction to impact OHA's ability to meet its obligations/commitments to Centers for Medicare and Medicaid Services (CMS) for the Designated State Health Programs Waiver (DSHP)
- Mitigating any impact for OHA's ability to meet its obligation to CMS for the 2% test

Any reductions necessary in General Fund would potentially affect the OHA programs in the following areas:

## Central Office and Shared Services

Most of the Central Office and Shared Services General Fund is necessary for on-going commitments for which OHA does not materially have the option to reduce. Central, Shared, State Government Service Charges, and Debt Service on Capital Construction authorized in prior biennium, account for less than 6 % of this budget. Administrative cuts through staff reductions or vacancies, or cuts to professional service contracts have been implemented in prior biennia. As OHA continues with its' Health Systems Transformation efforts, any further reductions in these areas would have a direct impact for the Director's Office, as well as many of the OHA dedicated services (Budget Planning and Analysis, Communications, and Human Resources).

## Medical Assistance Programs (Oregon Health Plan-OHP)

Reductions in the Medical Assistance Program (MAP) budget may jeopardize most of the $1.9 Billion in federal investment approved under the Designated State Health Programs (DHSP) Waiver in July 2012.

The first program reduction includes the option to make the mental health preferred drug list enforceable. ***This reduction was included as part of the 2015-17 Governor's Budget.***

The next items on the list are reductions that would eliminate Indirect and Direct Medical Education payments to teaching hospitals for graduate medical education; at the very time we need more trained medical professionals to serve our growing population. This reduction is not included in the Governor's Budget.

Services that would be reduced or eliminated include specific dental services, non-emergent dental coverage for OHP non-pregnant clients, therapy services, prosthetic devices, hearing aids, and chiropractic and podiatry services. Reducing the OHP list of prioritized services by 25 fewer lines, and eliminating outpatient mental health benefits under OHP for non-pregnant adults would be last. Obviously, some individuals could have immediate adverse impact to their health without these services; others could see their health deteriorate.

All reductions to OHP would require approval by the Center for Medicaid and Medicare Services (CMS) and most would be prohibited under the Special Terms and Conditions (STC) previously agreed upon by OHA and CMS. This reduction is not included in the Governor's Budget.

**Public Health**

Targeted reductions for 15-17 impact the public health system at both the local and the state level. None of the below reductions are included in the Governor's Budget.

The base support to local health departments (LHDs) would reduce the per capita support to less than 98 cents per year. Additionally, the position responsible for the biweekly CD Summary publication of public health recommendations and data for physicians and the medical community would be eliminated.

The State Public Health Lab would stop conducting antibiotic susceptibility testing. This may lead to more cases of Tuberculosis and advanced stages of other diseases.

Eliminating state support to LHDs for Sexually Transmitted Disease (STD) investigation services, could lead to an increase of disease transmission in communities of risk.

A contracted service for the follow up telephone survey of the Pregnancy Risk Assessment Monitoring (PRAMS) would be eliminated.

Lastly, reductions to both the WIC Senior Food Market and WIC Farmer's Market would cut food vouchers to thousands of seniors and families who rely upon the subsidy for fresh fruit and vegetables. This also reduces income for the vendors who promote and provide the healthy food options to local communities.

**Addictions and Mental Health**

Reductions specifically affecting the Oregon State Hospital system and Community Mental Health would include:

A longer phase-in to the reopening of one Gero-Neuro ward at the Oregon State Hospital, no cost of living increases to Community Mental Health (CMH) and Alcohol and Drug (A&D) treatment service contracts, and defer the capital improvement budget for the state hospitals. ***The Gero-Neuro ward reduction was included as part of the 2015-17 Governor's Budget.***

Amending the planned phase-in of residential treatment facility cottages at the Junction City facility would inhibit the ability of moving patients that are ready to move from the Secured Residential Treatment Facilities. By delaying or removing cottages as a phased in reduction, this will ultimately affect the ability to move patients to a community setting. ***This reduction was included as part of the 2015-17 Governor's Budget.***

Elimination of community mental health caseload funding will inhibit the ability for AMH to assist with discharging civilly committed individuals from the state hospital system, to community programs. This may also jeopardize Oregon's compliance with the Olmstead ruling. This reduction is not included in the Governor's Budget.

Elimination of the CMH Mental Health Services Fund for residential development may result in some facilities deteriorating and potentially becoming unsafe. This could affect the environment and livability of residential programs. This reduction is not included in the Governor's Budget.

Reductions to CMH direct contracts and flexible spending provisions will significantly affect those who are not covered by Medicaid. This too could result in increased lengths of stay in the OSH and increase the number of persons waiting in acute care for state hospitalization. This reduction is not included in the Governor's Budget.

Lastly, amending the planned phase in for Secured Residential Treatment Facilities by removing units would reduce overall capacity from 6 units to 4, and limits the available number of beds for patients. This reduction is not included in the Governor's Budget.

## 10% General Fund / 10% Other & Federal Fund Reduction Options for the 2015-2017 Biennium

| | | | | |
|---|---|---|---|---|
| **Oregon Health Authority 2015 - 2017 Current Service Level Budget at Governor's Budget** | **2,873,839,754** | **4,729,230,609** | **9,706,124,639** | **17,309,195,002** |
| **10% Target** | **287,383,975** | **472,923,061** | **970,612,464** | **1,730,919,500** |

| Does this affect DSHP Yes or No | Accumulative % Reduction of CSL GF | Agency Priority | Program Area | Reduction Description | Federal Approval required? (Y/N) | GF & LF | OF | FF | TF | # of Employees Affected | Employee FTE Affected | Impact of Reduction on Services and Outcomes |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| ~~No~~ | ~~-0.37%~~ | ~~1~~ | ~~MAP~~ | ~~Make the mental health preferred drug list (PDL) enforceable. Prescribers of mental health medications would be required to adhere to the PDL. Exceptions to the PDL would be administered by prior authorization. An enforceable PDL for mental health medications would increase usage of preferred drugs. There would be no limitation on access to prescriptions under this reduction. Before being placed on the PDL, drugs are subjected to rigorous evidence review. LEGISLATIVE ACTION REQUIRED. CMS APPROVAL REQUIRED.~~ | ~~Y~~ | ~~(10,676,583)~~ | ~~(7,605,257)~~ | ~~(33,008,289)~~ | ~~(51,290,129)~~ | ~~0~~ | ~~0.00~~ | ~~Many mental health organizations, including the National Alliance of Mental Illness (NAMI), strongly oppose putting mental health drugs on an enforceable PDL stating that many drugs have little research or outcome data to be evaluated properly.~~ |
| **No** | -0.56% | 2 | MAP | Eliminate the Indirect Medical Education (IME) component of the Graduate Medical Education (GME) program. The agency would eliminate Medicaid payments to teaching hospitals that help offset indirect costs associated with their GME programs. IME includes indirect costs that arise from the inexperience of residents such as extra medical tests and reduced productivity. CMS APPROVAL REQUIRED. | Y | (5,404,000) | - | (9,358,000) | (14,762,000) | 0 | 0.00 | This reduction would mean that hospitals would have less incentive to train new physicians. The impact on the provider workforce may limit access to quality health care for all Oregonians. |
| **No** | -1.04% | 3 | MAP | Eliminate the Direct Medical Education (DME) component of the Graduate Medical Education (GME) program. The agency would eliminate Medicaid payments to teaching hospitals that help offset costs associated with their graduate medical education programs. GME includes costs associated with stipends or salaries for residents, payments to supervising physicians, and direct program administration costs. CMS APPROVAL REQUIRED. | Y | (13,897,000) | - | (24,063,000) | (37,960,000) | 0 | 0.00 | This reduction would mean that hospitals would have less incentive to train new physicians. The impact on the provider workforce may limit access to quality health care for all Oregonians. |
| ~~Yes~~ | ~~-1.35%~~ | ~~4~~ | ~~AMH-OSH~~ | ~~Remove phase-in to reopen one Neuro Gero unit that was closed July 2013. The 2015-17 Current Service Level (CSL) included funding to re-open this unit, however this unit may remain vacant if the volume of aid and assist (370) caseload does not grow to the level that another unit is required to accommodate this caseload.~~ | ~~N~~ | ~~(8,839,080)~~ | | | ~~(8,839,080)~~ | ~~(43)~~ | ~~(43.00)~~ | ~~The closure of the Gero unit in 2013-15 removed 24 beds and moved patients formerly served at OSH into less restrictive community based programs that are a more appropriate level of care for these patients. The 2015-17 CSL included reopening this unit to add capacity at OSH in response to the growth in aid and assist 370 caseload growth. Leaving this unit vacant in 2015-17 would have little impact on services and outcomes, however if 370 caseload growth continues, OSH would have to reopen this unit to accommodate increased caseload in order to avoid more patients on the wait list for OSH services and longer wait times.~~ |
| **Yes** | -1.39% | 5 | AMH-A&D Tx | Remove COLA for A&D Treatment | N | (1,071,396) | (578,313) | (1,664,164) | (3,313,873) | 0 | 0.00 | This action would eliminate the COLA planned for A&D treatment services. This is the first increase that service providers have had in years. Reducing the rate of funding paid to treatment providers as costs of doing business increase makes it more difficult to attract and retain qualified staff and to offer quality services to Oregonians in need. |
| **Partially** | -1.67% | 6 | AMH-CMH | Remove COLA for CMH | N | (8,096,927) | (639,151) | (4,022,480) | (12,758,558) | 0 | 0.00 | As actual costs do increase, this means there would be less ability to provide the same level of service to clients in the community programs. There is the possibility of reductions in workforce in community providers and the potential loss of some smaller providers due to the inability to secure funding through other sources. |
| **No** | -1.67% | 7 | AMH-Cap Imp | Reduce Capital Improvement Budget by 15% | N | (104,942) | - | - | (104,942) | 0 | 0.00 | This action defers the capital improvement budget for the fourth biennium in a row. Due to new construction for the Salem campus of the hospital system, and the planned construction of a new facility in Junction City, it is anticipated that the need for remodel or improvement projects is low, which will allow this move without great risk to the agency. |

## 10% General Fund / 10% Other & Federal Fund Reduction Options for the 2015-2017 Biennium

| | | | | |
|---|---|---|---|---|
| **Oregon Health Authority 2015 - 2017 Current Service Level Budget at Governor's Budget** | **2,873,839,754** | **4,729,230,609** | **9,706,124,639** | **17,309,195,002** |
| **10% Target** | **287,383,975** | **472,923,061** | **970,612,464** | **1,730,919,500** |

| Does this affect DSHP Yes or No | Accumulative % Reduction of CSL GF | Agency Priority | Program Area | Reduction Description | Federal Approval required? (Y/N) | GF & LF | OF | FF | TF | # of Employees Affected | Employee FTE Affected | Impact of Reduction on Services and Outcomes |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **No** | -2.08% | 8 | MAP | Eliminate coverage for specific dental services for Oregon Health Plan (OHP) Plus adult clients. The agency would no longer cover the following dental services for adults (including pregnant adults) receiving the OHP benefit package: root canals for permanent teeth and retreatment of root canals (i.e., endodontics); full and partial dentures; and crowns. Oregon Health Plan coverage is based on the Prioritized List of Health Services. The dental services eliminated for OHP Plus adults under this reduction are those found on lines 414, 436,468, 477, 480 and 494 of the prioritized list. CMS NEGOTIATION AND APPROVAL IS REQUIRED - The Health System Transformation waiver Special Terms and Conditions (STCs) prohibit the state from reducing eligibility or benefits. | Y | (11,608,334) | - | (35,194,521) | (46,802,855) | 0 | 0.00 | Adults receiving the OHP benefit package could end up requiring more teeth extracted if they cannot be restored. Loss of denture coverage would prevent these clients from getting dentures to replace missing teeth, which can result in difficulty eating and finding employment. With reduced dental benefits, clients may access the emergency department more often because of unmet dental needs. |
| **No** | -3.06% | 9 | MAP | Eliminate non-emergent dental coverage for OHP non-pregnant clients. OHP non-pregnant adults would have a reduced dental coverage package, which is limited to emergency dental services (e.g., acute infection or abscess, severe tooth pain, tooth re-implantation and extraction of symptomatic teeth). LEGISLATIVE ACTION REQUIRED. CMS NEGOTIATION AND APPROVAL IS REQUIRED - The Health System Transformation waiver Special Terms and Conditions (STCs) prohibit the state from reducing eligibility or benefits. | Y | (28,162,526) | - | (182,784,206) | (210,946,732) | 0 | 0.00 | Non-pregnant adults who receive the OHP benefit package would receive a limited coverage dental package. A reduced dental coverage package would be limited to services requiring immediate treatment and are not intended to restore teeth. Services provided include treatment for the following: acute infection; acute abscesses; severe tooth pain; tooth re-implantation when clinically appropriate; and extraction of teeth, limited to those teeth that are symptomatic. Lack of comprehensive dental benefits and untreated oral health conditions can cause disfiguring tooth loss and decay that can limit employment options and lower self-esteem. Problems with oral health can exacerbate and cause other serious health conditions. |
| **No** | -3.09% | 10 | MAP | Eliminate coverage for therapy services for Oregon Health Plan (OHP) non-pregnant adults. The agency would eliminate physical therapy, occupational therapy, and speech therapy from the OHP benefit package for non-pregnant adults. LEGISLATIVE ACTION REQUIRED. CMS NEGOTIATION AND APPROVAL IS REQUIRED - The Health System Transformation waiver Special Terms and Conditions (STCs) prohibit the state from reducing eligibility or benefits. | Y | (854,667) | - | (3,830,174) | (4,684,841) | 0 | 0.00 | Non-pregnant adult Oregon Health Plan clients needing these services would experience prolonged health care issues affecting their ability to become self-sufficient. Hospital stays and the length of time for recovery from orthopedic surgery would increase. This reduction would negatively impact the health system transformation work as fewer services and dollars would be available. |
| **No** | -3.13% | 11 | MAP | Eliminate coverage for prosthetic devices, hearing aids, chiropractic services and podiatry services for Oregon Health Plan (OHP) non-pregnant adults. The agency would eliminate coverage for prosthetic devices, hearing aids, chiropractic services, and podiatry services from the OHP benefit package for non-pregnant adults. LEGISLATIVE ACTION REQUIRED. CMS NEGOTIATION AND APPROVAL IS REQUIRED - The Health System Transformation waiver Special Terms and Conditions (STCs) prohibit the state from reducing eligibility or benefits. | Y | (1,114,449) | - | (3,006,236) | (4,120,685) | 0 | 0.00 | Health care needs for a significant number of non-pregnant adult Oregon Health Plan clients, especially seniors and people with disabilities would go unmet. For example, individuals would live without prosthetic devices for amputated limbs; individuals with hearing impairments would go without necessary aids; and, individuals with diabetic or neuropathic conditions would go without foot care treatment. In some instances, other agency programs would have to fund these services. This reduction would negatively impact the health system transformation work as fewer services and dollars would be available. |
| **No** | -3.38% | 12 | MAP | Eliminate dental coverage for Oregon Health Plan (OHP) non-pregnant adults. The agency would eliminate the remaining non-pregnant adult dental coverage for the OHP benefit package. LEGISLATIVE ACTION REQUIRED. CMS NEGOTIATION AND APPROVAL IS REQUIRED - The Health System Transformation waiver Special Terms and Conditions (STCs) prohibit the state from reducing eligibility or benefits. | Y | (7,318,868) | - | (39,585,128) | (46,903,996) | 0 | 0.00 | The lack of a dental benefit for non-pregnant adults on the Oregon Health Plan (OHP) would cause adverse effects on their physical health, such as diabetes and cardiovascular disease. Emergency room visits would increase. The OHP dental care organization infrastructure would be threatened with the loss of the adult population. This reduction would negatively impact the health system transformation work as fewer services and dollars would be available. |
| ~~**No**~~ | ~~-3.43%~~ | ~~13~~ | ~~AMH JC~~ | ~~Amend JC Phase-in - remove one of three Residential Treatment Facility (RTF) cottages phased in in 2015-17 CSL Assumes a 24 month reduction of funding for this cottage from the 2015-17 CSL and a reduction of CSL funded capacity of eight beds. Because most staffing for these cottages serve all three cottages, the staff and dollar reduction for each cottage phase-in removal is not equivalent, rather it increases with each cottage phase-in removal.~~ | ~~N~~ | ~~(1,467,758)~~ | ~~(76,783)~~ | ~~(41,882)~~ | ~~(1,586,423)~~ | ~~(6)~~ | ~~(6.00)~~ | ~~Holding the phase in of this Junction City cottage will reduce the RTF transitional treatment capacity of the Junction City hospital by eight beds. Patients in the Secured Residential Treatment Facility (SRTF) units of the Junction City facility, that have recovered to the point that they are ready for an RTF transitional treatment setting may not be able to move into this setting due to limited capacity. This may result increased length of stay within the Junction City facility treatment units as patients ready for transition to community settings must wait until an opening becomes available.~~ |

## 10% General Fund / 10% Other & Federal Fund Reduction Options for the 2015-2017 Biennium

| | | | | |
|---|---|---|---|---|
| **Oregon Health Authority 2015 - 2017 Current Service Level Budget at Governor's Budget** | **2,873,839,754** | **4,729,230,609** | **9,706,124,639** | **17,309,195,002** |
| **10% Target** | **287,383,975** | **472,923,061** | **970,612,464** | **1,730,919,500** |

| Does this affect DSHP Yes or No | Accumulative % Reduction of CSL GF | Agency Priority | Program Area | Reduction Description | Federal Approval required? (Y/N) | GF & LF | OF | FF | TF | # of Employees Affected | Employee FTE Affected | Impact of Reduction on Services and Outcomes |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| ~~No~~ | ~~-3.54%~~ | ~~14~~ | ~~AMH-JC~~ | ~~Amend JC Phase-in - remove second of three RTF cottages phased-in in 2015-17 CS. Assumes a 24 month reduction of funding for this cottage from the 2015-17 CSL and an additional eight bed reduction of CSL funded capacity (a total of 16 beds). Because most staffing for these cottages serve all three cottages, the staff and dollar reduction for each cottage phase-in removal is not equivalent, rather it increases with each cottage phase-in removal.~~ | ~~N~~ | ~~(3,180,142)~~ | ~~(166,363)~~ | ~~(90,743)~~ | ~~(3,437,248)~~ | ~~(13)~~ | ~~(13.00)~~ | ~~Holding the phase in of this second Junction City cottage will reduce the RTF transitional treatment capacity of the Junction City hospital by an additional eight beds, total of 16. Patients in the Secured Residential Treatment Facility (SRTF) units of the Junction City facility, that have recovered to the point that they are ready for an RTF transitional treatment setting, are very likely to not be able to move into this setting due to limited capacity. This is likely to result increased length of stay within the Junction City facility treatment units as patients ready for transition to community settings must wait until an opening becomes available.~~ |
| ~~No~~ | ~~-3.70%~~ | ~~15~~ | ~~AMH-JC~~ | ~~Amend JC Phase-in - remove third of three RTF cottages phased-in in 2015-17 CSL. Assumes a 24 month reduction of funding for this cottage from the 2015-17 CSL and an additional eight bed reduction of CSL funded capacity (a total of 24 beds). Because most staffing for these cottages serve all three cottages, the staff and dollar reduction for each cottage phase-in removal is not equivalent, rather it increases with each cottage phase-in removal.~~ | ~~N~~ | ~~(4,403,274)~~ | ~~(230,349)~~ | ~~(125,645)~~ | ~~(4,759,268)~~ | ~~(18)~~ | ~~(18.00)~~ | ~~This action will put the phase-in of all Junction City cottages on hold and will reduce the RTF transitional treatment capacity of the Junction City hospital by an additional eight beds, total of 24. Patients in the Secured Residential Treatment Facility (SRTF) units of the Junction City facility, that have recovered to the point that they are ready for an RTF transitional treatment setting, will not have any availability to the Junction City RTF Cottage setting. This will result increased length of stay within the Junction City facility treatment units as patients ready for transition to community settings must wait until an opening becomes available.~~ |
| **No** | -3.95% | 16 | AMH-CMH | Eliminate CMH Caseload Growth | N | (7,174,398) | - | (4,586,567) | (11,760,965) | 0 | 0.00 | This funding provides for the development of 4 Residential Treatment Homes for adults with special needs, 15 beds for geriatric patients with challenging needs at the state hospital and 20 additional persons to be served through the AMHI program. These programs will assist with discharge civilly committed individuals from the state hospital to community programs. The reduction will increase the length of time that adults with special needs reside in the state hospital beyond the time that they are deemed ready for discharge. This jeopardizes Oregon's compliance with Olmstead Act (Supreme Court Ruling) |
| **No** | -3.98% | 17 | AMH-CMH | Eliminate CMH's MHSF (Mental Health Services Fund) Residential Development | N | (1,000,000) | - | - | (1,000,000) | 0 | 0.00 | This fund is used to update and remodel existing residential programs to maintain safe and healthy environments for residents. Elimination of this funding will result in some program facilities deteriorating and potentially resulting in unsafe environments. This will erode the livability of residential programs for adults with a serious mental illness. |
| **Yes** | -4.00% | 18 | PH | State Support to Local Health Departments, 1st cut | N | (560,950) | - | - | (560,950) | 0 | 0.00 | Local County Public Health Departments (LPH) would receive $.98 per capita per year for public health services rather than $1.13 per capita per year. The impact by county would vary. These state funds are to conduct early detection, epidemiological investigations, and prevention activities to help report, monitor, and control communicable diseases, like influenza and foodborne illnesses. In addition, because these state dollars are used to provide the required match on federal funding sources including the Public Health Preparedness Program and Designated State Health Programs (DSHP), millions of dollars of other federal grant funds may be jeopardized. |
| **No** | -4.00% | 19 | PH | CD Summary & ACDP PH publishing (ACDP) | N | (137,501) | - | - | (137,501) | (1) | (1.00) | Loss of biweekly CD Summary publication of public health recommendation and data for physicians; and of posting of communicable disease data to web. The CD Summary has a cachet within the medical community; it has been called OPHD's flagship publication. Its loss may result in fewer physicians being attuned to public health priorities and recommendations. These are also means by which many OPHD programs "feed back" data to stakeholders -- a key component of disease surveillance and one expected by CDC funders; a reduction in such feedback may ultimately imperil federal funding. This action includes elimination of one position/FTE. |

## 10% General Fund / 10% Other & Federal Fund Reduction Options for the 2015-2017 Biennium

| | | | | |
|---|---|---|---|---|
| **Oregon Health Authority 2015 - 2017 Current Service Level Budget at Governor's Budget** | **2,873,839,754** | **4,729,230,609** | **9,706,124,639** | **17,309,195,002** |
| **10% Target** | **287,383,975** | **472,923,061** | **970,612,464** | **1,730,919,500** |

| Does this affect DSHP Yes or No | Accumulative % Reduction of CSL GF | Agency Priority | Program Area | Reduction Description | Federal Approval required? (Y/N) | GF & LF | OF | FF | TF | # of Employees Affected | Employee FTE Affected | Impact of Reduction on Services and Outcomes |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **No** | -5.54% | 20 | MAP | Cover 25 fewer lines on Prioritized List of Health Services. Oregon Health Plan (OHP) coverage is based on the Prioritized List of Health Services, which ranks treatment and condition pairs in order of effectiveness. Starting January 1, 2013, OHP would cover lines 1 through 473. The agency would seek federal approval to no longer cover lines 474 through 498 for the OHP benefit package. LEGISLATIVE ACTION REQUIRED. CMS NEGOTIATION AND APPROVAL IS REQUIRED - The Health System Transformation waiver Special Terms and Conditions (STCs) prohibit the state from reducing eligibility or benefits. | Y | (44,044,000) | - | (135,453,000) | (179,497,000) | 0 | 0.00 | This action would have a dramatic impact on health care services that are covered for all OHP clients, including pregnant women, children, and other groups. Coverage for treatments of conditions such as collapsed structure of a lung, hearing loss and neonatal eye infections would end. Conditions that may cause significant functional disability would no longer be covered, including urinary incontinence and osteoarthritis and uterine prolapse. Several mental health conditions would no longer be covered, including social phobias and obsessive compulsive disorders which would likely result in broader family and community impacts. In addition, coverage of many basic dental treatments, such as missing teeth, dental caries and dentures, would be eliminated for all eligibility groups. Elimination of coverage of this magnitude would make it very difficult for physical, dental, and mental health providers to deliver high quality, comprehensive care. This proposal would significantly increase administrative burden for providers and for the department. |
| **No** | -8.73% | 21 | MAP | Eliminate outpatient mental health benefits for Oregon Health Plan (OHP) non-pregnant adults. The agency would eliminate outpatient mental health coverage from the OHP benefit package for non-pregnant adults. LEGISLATIVE ACTION REQUIRED. CMS NEGOTIATION AND APPROVAL IS REQUIRED - The Health System Transformation waiver Special Terms and Conditions (STCs) prohibit the state from reducing eligibility or benefits. | Y | (91,866,912) | - | (372,007,195) | (463,874,107) | 0 | 0.00 | This reduction would eliminate outpatient mental health services and community-based rehabilitative service provided to non-pregnant adults who receive the OHP Plus benefit package. While these services are optional, inpatient mental health services are not optional and not being eliminated. Mental health drugs would remain part of the benefit package for OHP Plus non-pregnant adults. This reduction would negatively impact the health of many OHP clients; hurt the community mental health delivery system; and, hurt the health system transformation efforts. |
| **No** | -8.76% | 22 | AMH-CMH | Reduce CMH Direct Contracts 50% | N | (862,000) | - | - | (862,000) | 0 | 0.00 | AMH has contracts with entities to provide essential services and supports. These include culturally specific services, supports to families with family members with a mental illness and crisis line services. The reduction will reduce the availability of a statewide suicide crisis line. Culturally specific services for the African American community will be reduced and families will increase the use of crisis services for their family member. |
| **Yes** | -8.77% | 23 | PH | STD Special Payment to Counties (HST) | N | (180,000) | - | - | (180,000) | 0 | 0.00 | Eliminated direct support to the only two counties receiving payment for STD investigation services. Jackson and Multnomah receive $45,000 per year. Could impact staffing at the Jackson and Multnomah Counties. |
| **Yes** | -8.78% | 24 | PH | Tuberculosis - Eliminate antibiotic susceptibility testing. (PHL) | N | (240,000) | - | - | (240,000) | (1) | (1.00) | M. tuberculosis from patients in local health depts, hospitals, and clinics may no longer be tested to see which antibiotic(s) may be effective for treatment. Multidrug-resistant (MDR) and extensively drug resistant (XDR) TB may not be detected and may spread in the population. More cases of TB and more advanced stages of disease may occur in Oregon. This action includes elimination of one position/FTE. |
| **No** | -8.78% | 25 | PH | MCH Monitoring (PRAMS-2) - supports staff Fed Grant for PRAMS | N | (106,977) | - | - | (106,977) | 0 | 0.00 | Eliminate contract for conducting key telephone surveys for PRAMS-2; follow up survey for 2 years of service. |
| **Yes** | -8.80% | 26 | PH | State Support to Local Health Departments, 2nd cut | N | (560,950) | - | - | (560,950) | 0 | 0.00 | Local County Public Health Departments (LPHD) would receive $.84 per capita per year for public health services rather than $.98 per capita per year. The impact by county would vary. These state funds are to conduct early detection, epidemiological investigations, and prevention activities to help report, monitor, and control communicable diseases, like influenza and foodborne illnesses. In addition, because these state dollars are used to provide the required match on federal funding sources including the Public Health Preparedness Program, and Designated State Health Programs (DSHP), millions of dollars of other federal grant funds may be jeopardized. |

## 10% General Fund / 10% Other & Federal Fund Reduction Options for the 2015-2017 Biennium

| | | | | |
|---|---|---|---|---|
| **Oregon Health Authority 2015 - 2017 Current Service Level Budget at Governor's Budget** | **2,873,839,754** | **4,729,230,609** | **9,706,124,639** | **17,309,195,002** |
| **10% Target** | **287,383,975** | **472,923,061** | **970,612,464** | **1,730,919,500** |

| Does this affect DSHP Yes or No | Accumulative % Reduction of CSL GF | Agency Priority | Program Area | Reduction Description | Federal Approval required? (Y/N) | GF & LF | OF | FF | TF | # of Employees Affected | Employee FTE Affected | Impact of Reduction on Services and Outcomes |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **No** | -8.81% | 27 | PH | Senior Farmer Market - vouchers for Seniors to buy fruits/veggies | N | (239,090) | - | - | (239,090) | 0 | 0.00 | Provides $20 vouchers to low income seniors to purchase fresh locally grown fruits and vegetables This reduction may result in roughly 11,950 fewer vouchers to low income seniors. This also results in reduced income for vendors; and a modest reduction of access to healthy choices. No state match required. |
| **Yes** | -9.23% | 28 | AMH-CMH | Reduce CMH Flex Funding Contracts 20% | N | (11,939,125) | - | (9,782,810) | (21,721,935) | 0 | 0.00 | This reduction will significantly affect Oregonians with mental illness without Medicaid coverage. Access to crisis services, acute psychiatric treatment (in a hospital setting), medications and case management services will be reduced by this reduction. This will likely result in people becoming more ill, doing poorly in school, experiencing strained family relationships and in some instances people will become homeless or may be jailed. There will be increased demands on the crowded state hospital. These reductions could jeopardize the Maintenance of Effort requirements for the Mental Health Block Grant. |
| **No** | -9.70% | 29 | AMH-CMH | Reduce CMH's AMHI (Adult Mental Health Initiative) Program 41% | N | (13,739,354) | - | - | (13,739,354) | 0 | 0.00 | These funds are used to facilitate the transition of civilly committed adults from the state hospital to the community when they no longer need hospital level of care. These funds have resulted in the length of time waiting for discharge being reduced by 50%. Reduction of these funds will increase the length of stay in the hospital and increase the number of people waiting in acute care for state hospitalization which results in reduced access to acute care services. This jeopardizes Oregon's compliance with Olmstead. |
| ~~**No**~~ | ~~-10.09%~~ | ~~30~~ | ~~AMH-JC~~ | ~~Amend JC Phase-in - remove one Secured Residential Treatment Facility (SRTF) unit (Forest 3) of the six units phased-in in 2015-17 CSL. Results in five units open within the JC facility rather than the planned capacity of six units.~~ | ~~N~~ | ~~(11,079,468)~~ | ~~(437,786)~~ | ~~(316,146)~~ | ~~(11,833,400)~~ | ~~(53)~~ | ~~(53.00)~~ | ~~Holding the phase-in of this SRTF unit will reduce capacity of the Junction City state Hospital by 25 beds and would further limit the availability of treatment of patients from the southern part of the state at a facility that is closer to their community and family. Less SRTF capacity will slow the transition of patient recovery from treatment units to SRTF settings to prepare patients for RTF settings and then community placement. This would result in more patients on wait lists for longer periods. In addition, this phase-in adjustment would be contingent upon mandated state hospital facility caseload growth. While Guilty Except for Insanity (GEI) caseload growth currently is not growing at a pace to require another hospital unit be opened, growth in aid and assist 370 caseload does pose a significant risk to the need for additional capacity.~~ |
| ~~**No**~~ | ~~-10.40%~~ | ~~31~~ | ~~AMH-JC~~ | ~~Amend JC Phase-in - remove second SRTF unit (Mountain 3) of the six units phased-in in 2015-17 CSL. Results in four units open within the JC facility rather than the planned capacity of six units.~~ | ~~N~~ | ~~(8,923,095)~~ | | ~~(254,310)~~ | ~~(9,177,405)~~ | ~~(50)~~ | ~~(49.70)~~ | ~~Holding the phase-in of this unit by 70% will reduce capacity of the Junction City state Hospital by an additional 25 beds and would further limit the availability of treatment of patients from the southern part of the state at a facility closer to their community and family. This phase-in adjustment would be contingent upon mandated state hospital facility caseload growth. While Guilty Except for Insanity (GEI) caseload growth currently is not growing at a pace to require another hospital unit be opened, growth in aid and assist 370 caseload does pose a significant risk to the need for additional capacity.~~ |
| **No** | -10.40% | 32 | AMH-CMH | Reduce rental assistance planned with Tobacco Tax investments. | N | - | (4,678,156) | - | (4,678,156) | 0 | 0.00 | This reduction would impact the rental assistance program It would mean discontinuing many programs funded by TTX. This would have an adverse impact to those individuals who are dependent upon assistance for housing. |

## 10% General Fund / 10% Other & Federal Fund Reduction Options for the 2015-2017 Biennium

| | | | | |
|---|---|---|---|---|
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| **10% Target** | **287,383,975** | **472,923,061** | **970,612,464** | **1,730,919,500** |

| Does this affect DSHP Yes or No | Accumulative % Reduction of CSL GF | Agency Priority | Program Area | Reduction Description | Federal Approval required? (Y/N) | GF & LF | OF | FF | TF | # of Employees Affected | Employee FTE Affected | Impact of Reduction on Services and Outcomes |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **No** | -10.41% | 33 | PH | WIC Farmers Market - vouchers for WIC participants to buy fruits/veggies | N | (306,900) | - | - | (306,900) | 0 | 0.00 | Provides vouchers in various denominations for low income families and WIC eligible families to purchase fruits and vegetables. This reduction would result in the loss of federal funding for this purpose as well. Additionally, there would be reduced income to vendors; and reduced access to healthy choices. |
| **Yes** | -10.41% | 34 | PH | Eliminate STD Program purchase of condoms (HST) | N | (50,000) | - | - | (50,000) | 0 | 0.00 | Loss of this funding will jeopardize the purchase of roughly 877,000 condoms. Condoms are an Evidence-Based cost effective intervention for both HIV and other STDs. Eliminating the ability to purchase and distribute to agencies serving at -risk populations could lead to increased disease transmission in populations that are already impacted by other health disparities. |
| **Yes** | -10.42% | 35 | PH | Tuberculosis - Eliminate rapid molecular detection. (PHL) | N | (145,000) | - | - | (145,000) | (1) | (1.00) | Confirmation of M. tuberculosis in patient samples or cultures may take 6-8 weeks instead of hours or days. TB may not be detected quickly enough to prevent community transmission. More cases of TB and more advanced stages of disease may occur in Oregon. This action includes elimination of one position/FTE. |
| **No** | -10.42% | 36 | PH | Cut County payments (Immunization) | N | (200,000) | - | - | (200,000) | 0 | 0.00 | The county payments are a significant portion of our GF dollars (59%). PH believes there is precedent in other states to reduce or cut these payments, yet the impact of the cuts may be felt across the counties who are doing significant work on behalf of Oregon citizens. PH is proposing an 15% cut to the County payments from our GF equaling $100,000 annually ($200,000/biennium).<br><br>For every dollar cut from our GF, PH looses Medicaid match funding. Thus this cut would actually cost the counties $400,000 in funding that in turn significantly reduces their ability to deliver services and program activities to their county population. More cases of vaccine-preventable diseases may occur in Oregon. |
| **No** | -10.42% | 37 | OEBB | OEBB contracts with insurance carriers for employee benefit plans. The Non-Limited Budget is dedicated funding for payment of insurance benefit plans. OEBB is contractually obligated to pass-through to Insurance Carriers for claims and other taxes and fees at the state and federal level. | N | - | (172,786,565) | - | (172,786,565) | 0 | 0.00 | Taking reductions at 10% would potentially default OEBB in its contractual obligations with carriers. Major Plan Design changes could possibly hit the reduction targets but it would take a major reduction in the coverage OEBB provides to it members, and must also comply with the new federal health care reform requirements related to the total maximum individual and family out of pocket expenses allowable. OEBB benefits are funded through OF received from educational and local government entities, who are funded through various revenue streams that make up each of their total budgets. A significant portion of these entities revenue is received from state agencies and programs like the Department of Education. OEBB's goal is to provide high quality benefits for eligible employees and their dependents at the lowest possible cost, reducing the OEBB Non-limited budget will make providing high quality, low cost benefits difficult. |
| **No** | -10.42% | 38 | PEBB | PEBB contracts with insurance carriers for employee benefit plans. The Operating Budget for PEBB is 0.5%. The remaining 99.5% is Program Budget which is dedicated funding for payment of fully-insured benefit plans that PEBB is contractually obligated to pass-through or for self-insured plans' claims payments, and other taxes and fees at the state and federal level. | N | - | (164,413,249) | - | (164,413,249) | 0 | 0.00 | Taking reductions at any level may potentially default PEBB in its contractual obligations with carriers. Major Plan Design changes could possibly hit the reduction targets but it would take a major reduction in medical plan coverage and would jeopardize the stabilization of the statewide risk pool. A major shift in cost sharing between employee and employer could also potentially hit the reduction target but the reductions would have to be taken at the state agency budget level, as it passes employee benefit dedicated dollars through to PEBB. |
| **No** | -10.42% | 39 | MAP | Reduce program funding that is reliant upon Tobacco Tax revenues, reduce leveraged programs. | Y | - | (106,000,000) | (60,339,900) | (166,339,900) | 0 | 0.00 | To comply with 10% reductions in Other Fund and Federal Fund limitation, specified by HB 3182, MAP would have to reduce all programs receiving tobacco tax or Master Settlement Agreement revenues, and reduces or eliminates all leveraged program funding (including Federal Funds) effective 7/1/15 |

## 10% General Fund / 10% Other & Federal Fund Reduction Options for the 2015-2017 Biennium

| | | | | |
|---|---|---|---|---|
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| **10% Target** | **287,383,975** | **472,923,061** | **970,612,464** | **1,730,919,500** |

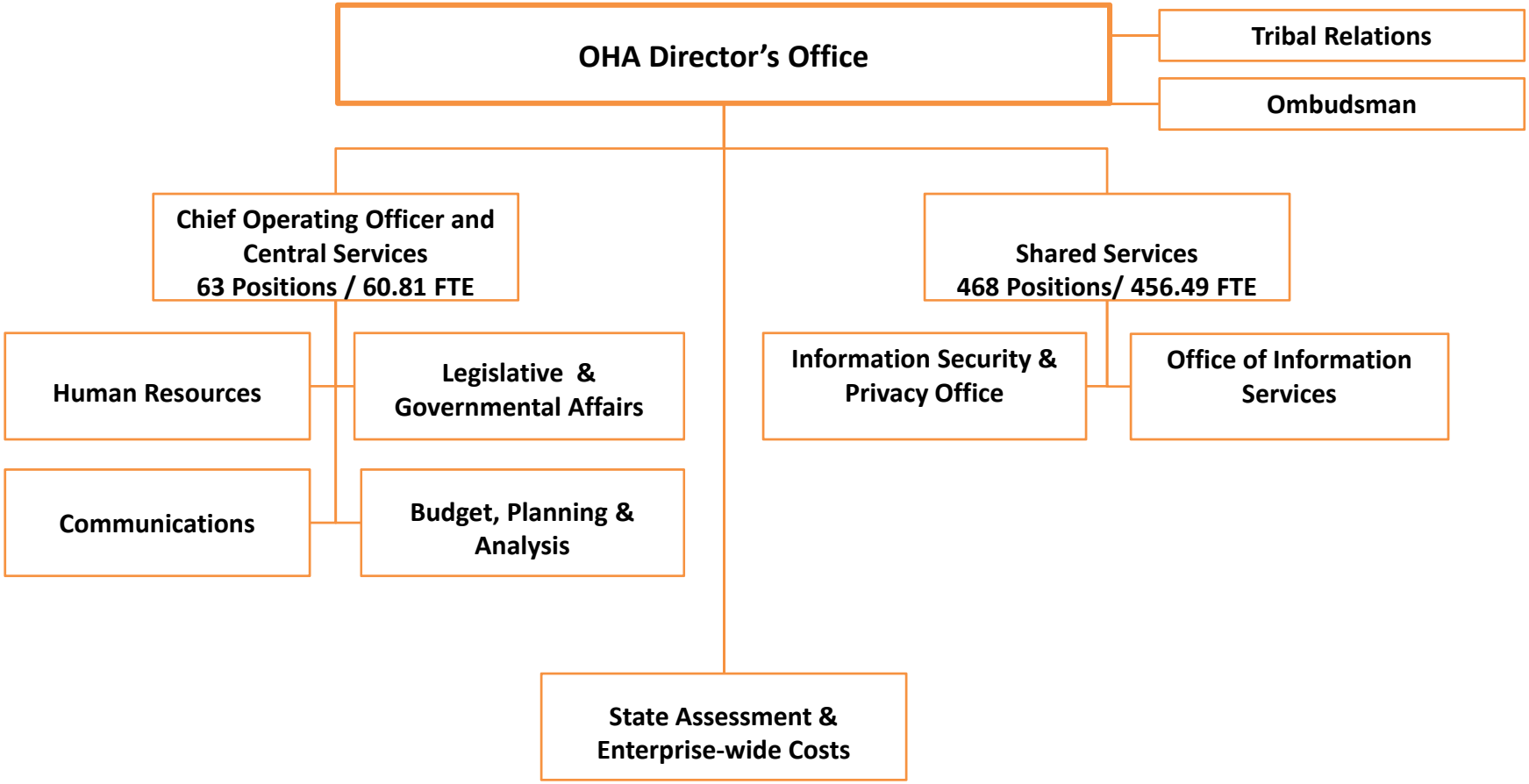
| Does this affect DSHP Yes or No | Accumulative % Reduction of CSL GF | Agency Priority | Program Area | Reduction Description | Federal Approval required? (Y/N) | GF & LF | OF | FF | TF | # of Employees Affected | Employee FTE Affected | Impact of Reduction on Services and Outcomes |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **No** | -10.42% | 40 | PH/HLO | Reduce or eliminate various PH Other Fund Programs and/or expenditures within the Health Licensing Office | N | - | (14,649,422) | - | (14,649,422) | (54) | (54.00) | As a compliance with requirements of House Bill 3182, Public Health and the Health Licensing Office would have to offer up unspecified O/F reductions in limitation. This reduction could significantly reduce or eliminate many of the PH programs funded through fees, or assessments and significantly affect the core PH infrastructure. Any O/F reductions in the Health Licensing Office would also have a material impact on their operations and ability to administer the multiple Boards they are responsible. HLO sets, communicates, licenses and enforces regulatory standards for multiple health and related professions. |
| | | | | **OHA TOTAL 10% REDUCTION OPTIONS** | | **(299,555,666)** | **(472,261,394)** | **(919,514,396)** | **(1,691,331,456)** | **(240)** | **(239.70)** | |

# 2013-15 OHA Organization Structure

4,532 Positions / 4,143.41 FTE

Oregon Health Authority

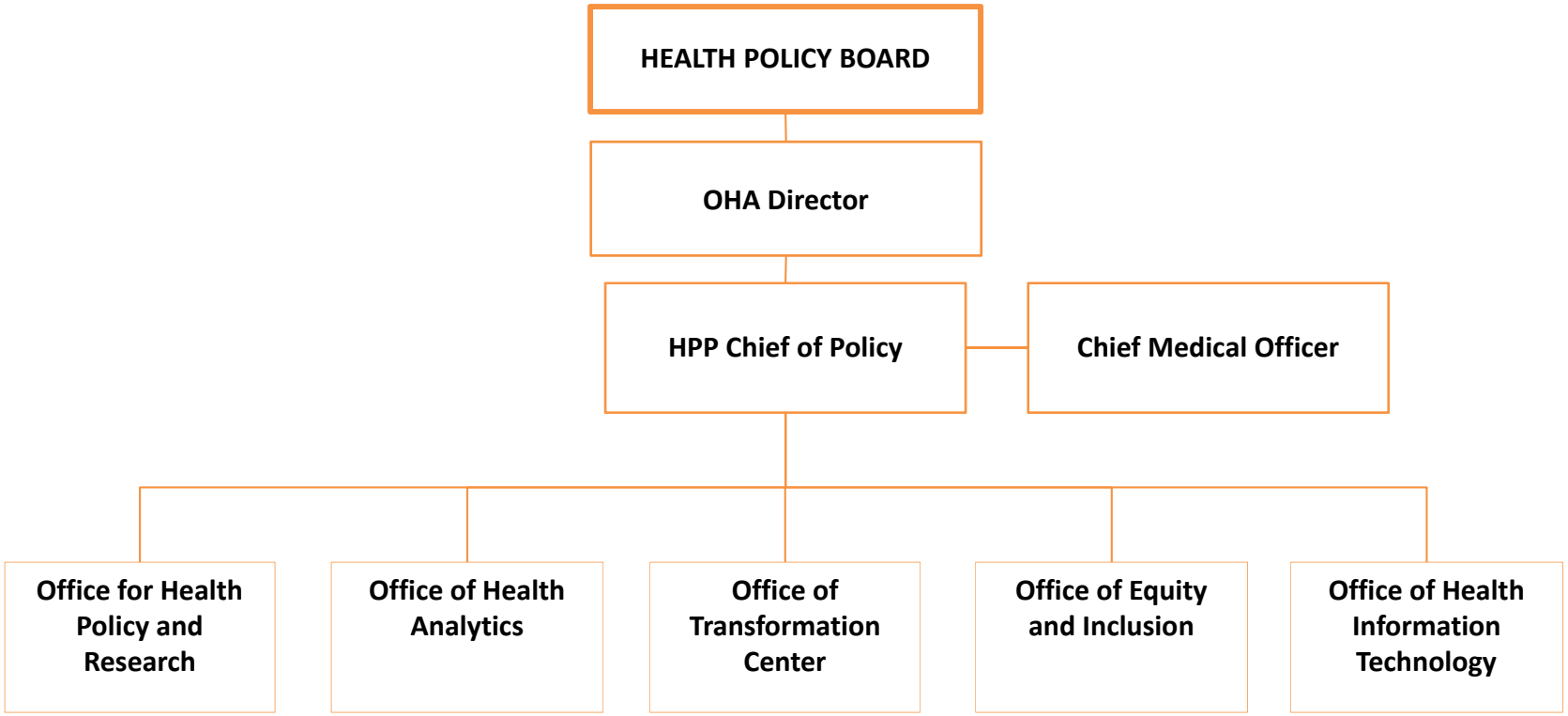
# 2013-15 Central and Shared Services Organization Structure




531 Positions / 517.30 FTE

Oregon Health Authority

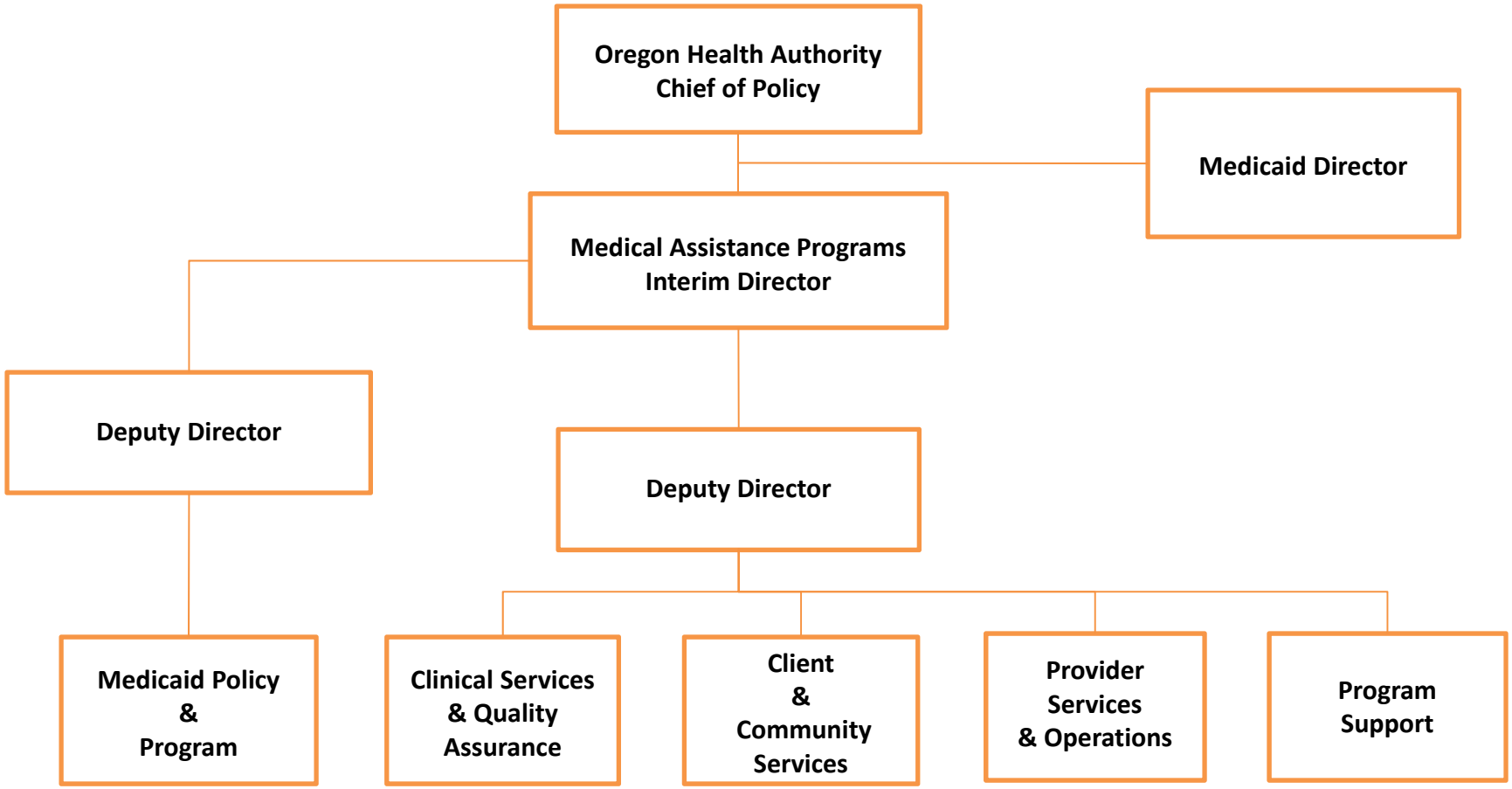
# 2013-15 Health Policy Programs Organization Structure




130 Positions / 122.87 FTE

Oregon Health Authority

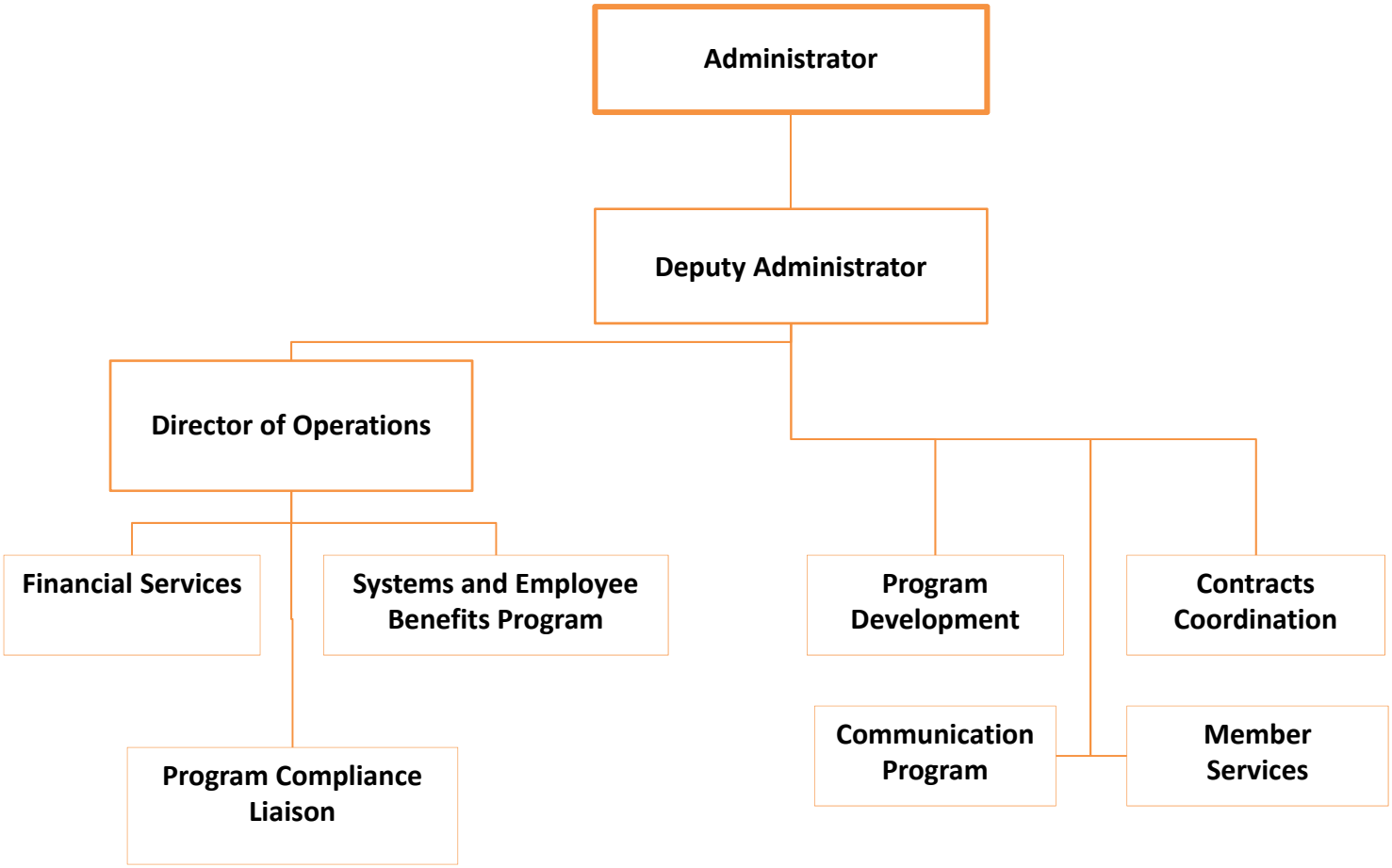
# 2013-15 MAP Organization Structure




498 Positions / 489.85 FTE

Oregon Health Authority

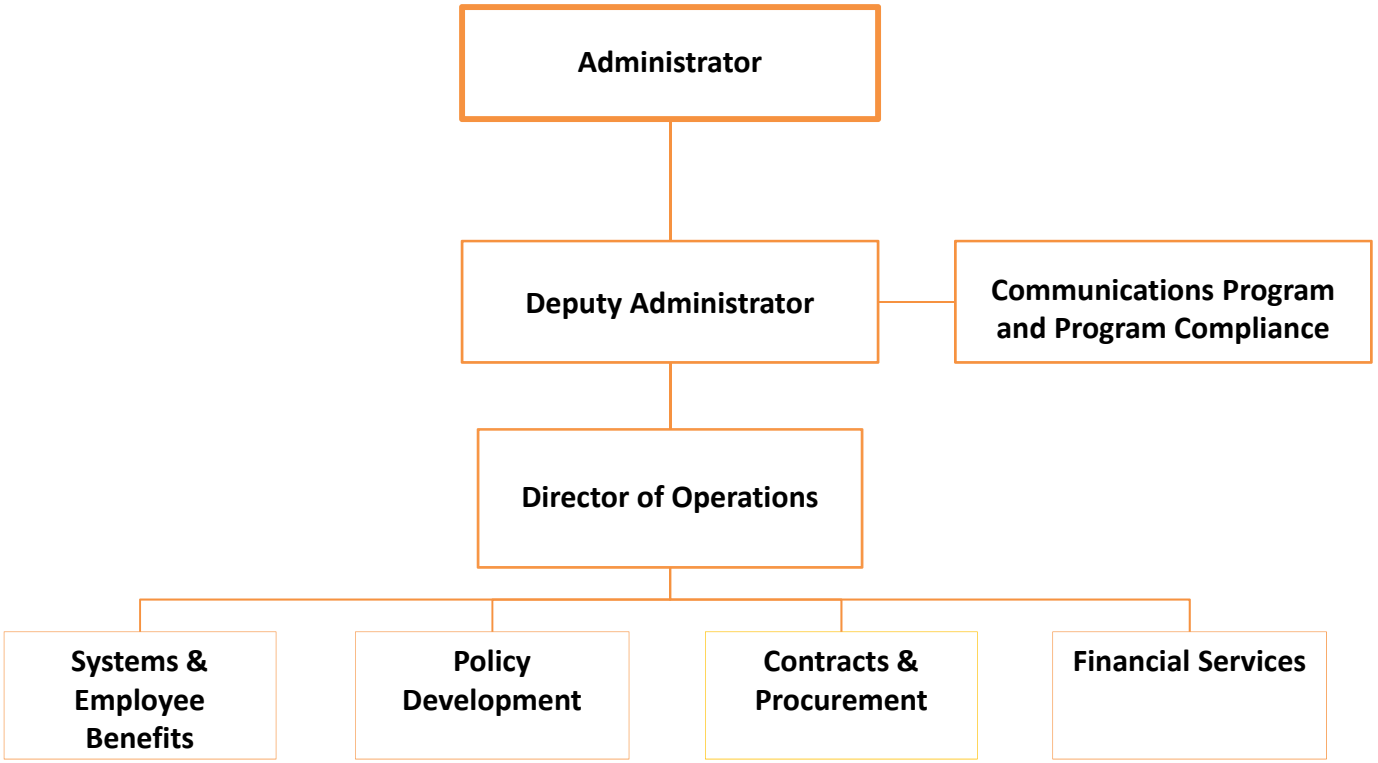
# 2013-15 PEBB Organization Structure




20 Positions / 19.50 FTE

Oregon Health Authority

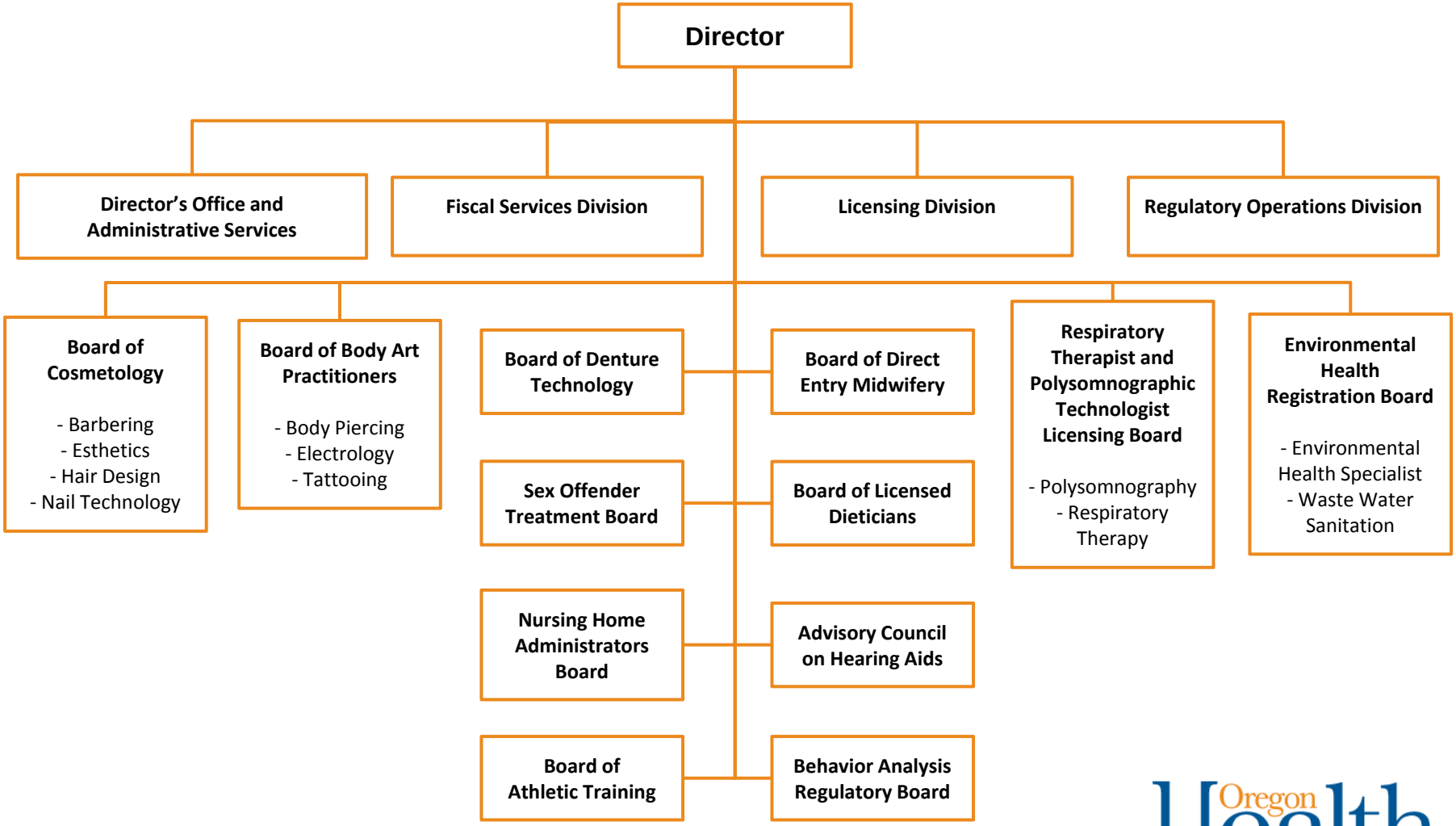
# 2013-15 OEBB Organization Structure




24 Positions / 24.00 FTE

Oregon Health Authority

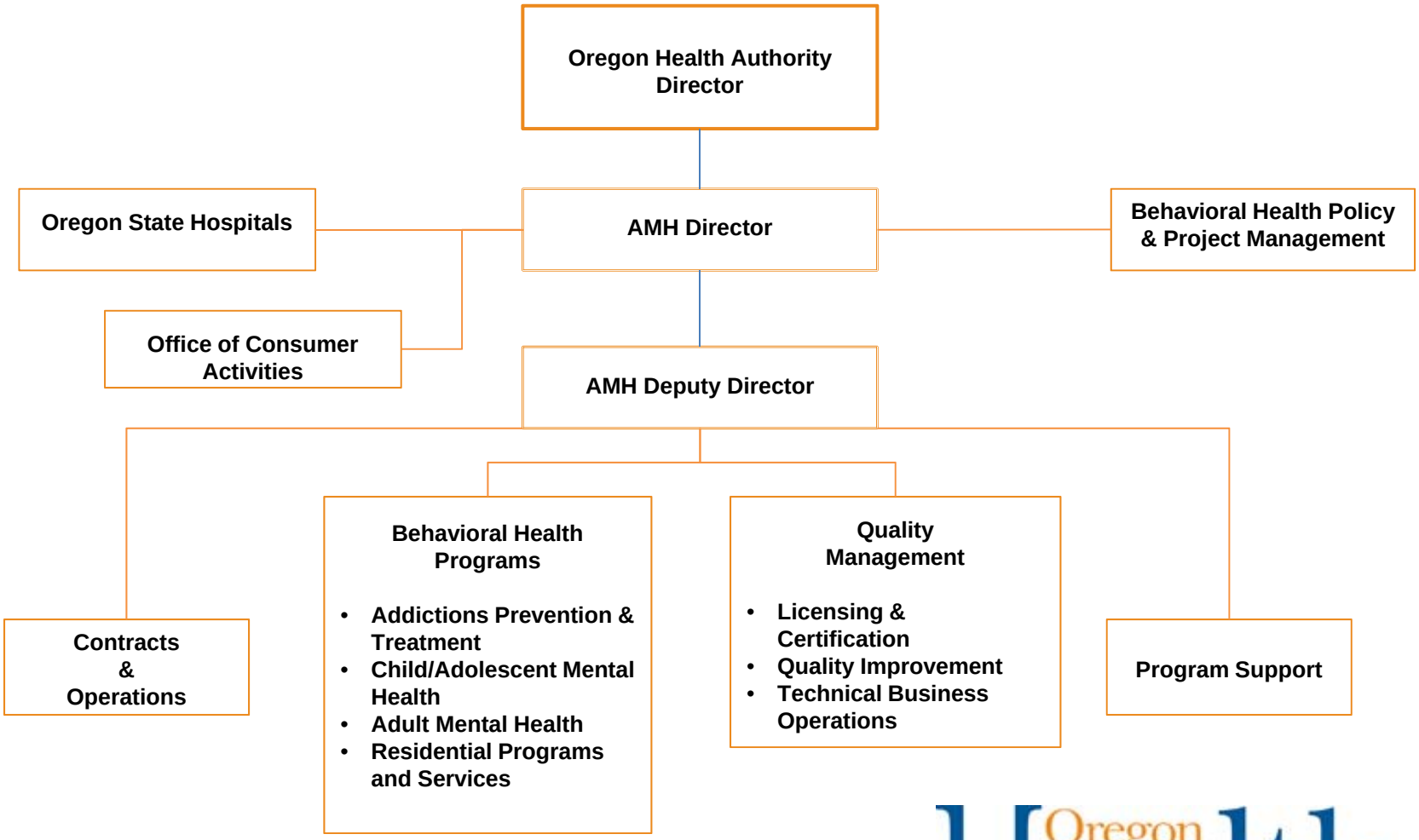
# 2013-15 Health Licensing Office Organization Chart




35 Positions / 17.50 FTE

Oregon Health Authority

# 2013-15 AMH Organization Structure




2,506 Positions / 2,236.55 FTE

Oregon Health Authority

# 2013-15 PH Organization Structure

**Office of State Public Health Director**
**State Health Officer**
**and**
**Deputy Director**

- **Science and Evaluation**
  **State Epidemiologist**
- **Policy and Planning**
- **Communications**
- **Community Liaison**
- **Fiscal Support**
- **Program Support**

**Center for Health Protection**

- Health Care Regulation and Quality Improvement
- Oregon Medical Marijuana Program
- Radiation Protection
- Environmental Public Health
- Drinking Water

**Center for Public Health Practice**

- Acute and Communicable Disease Epidemiology
- Immunization
- HIV, STD, TB Prevention
- Center for Health Statistics
- Oregon State Public Health Laboratory
- Health Security Preparedness and Response

**Center for Prevention and Health Promotion**

- Adolescent, Genetics and Reproductive Health
- Maternal and Child Health
- Women, Infants and Children (WIC)
- Health Promotion and Chronic Disease Prevention
- Injury and Violence Prevention

**726 Positions / 700.17 FTE**

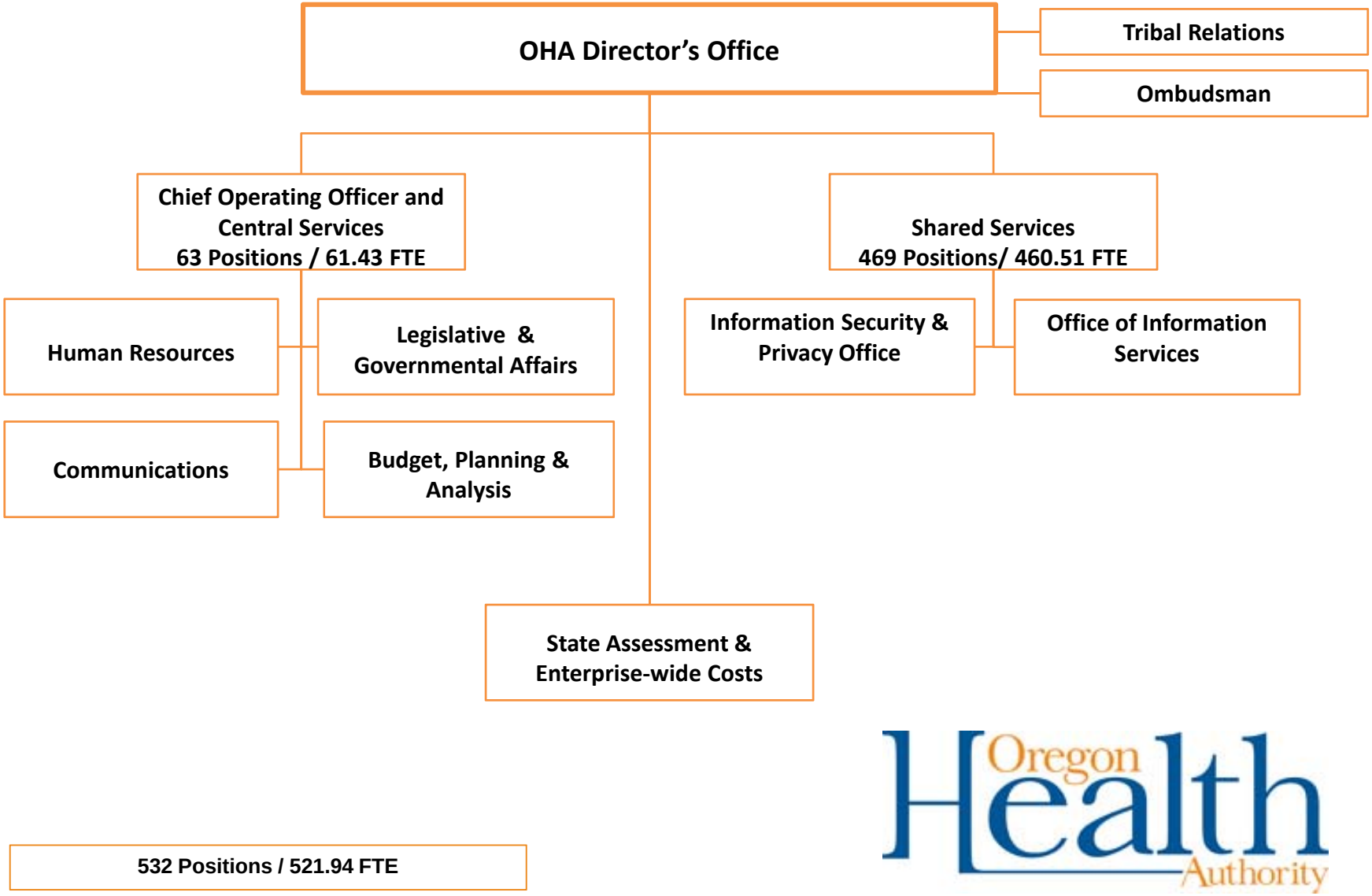
Oregon Health Authority

# 2015-17 OHA Organization Structure

4,414 Positions / 4,347.21 FTE

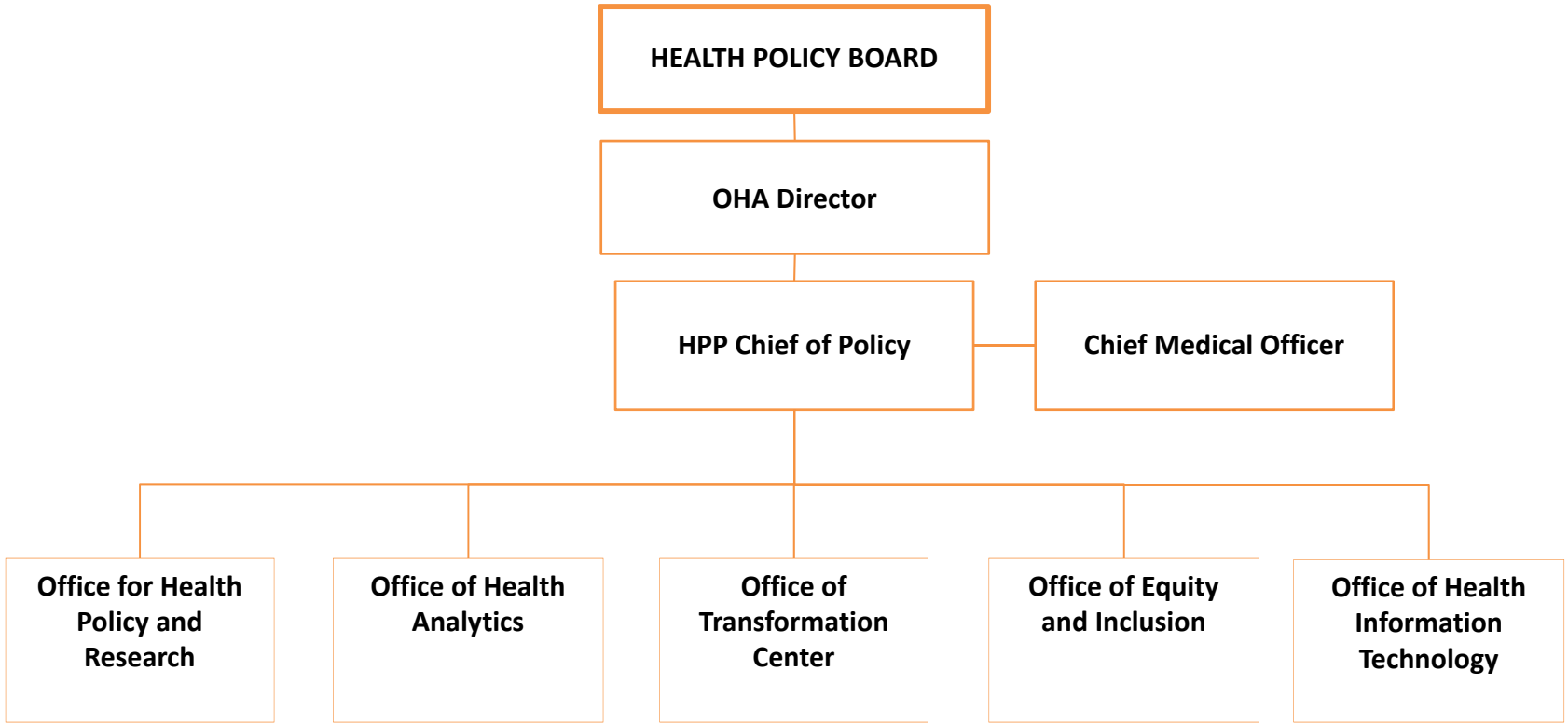
Oregon Health Authority

# 2015-17 Central and Shared Services Organization Structure




Oregon Health Authority

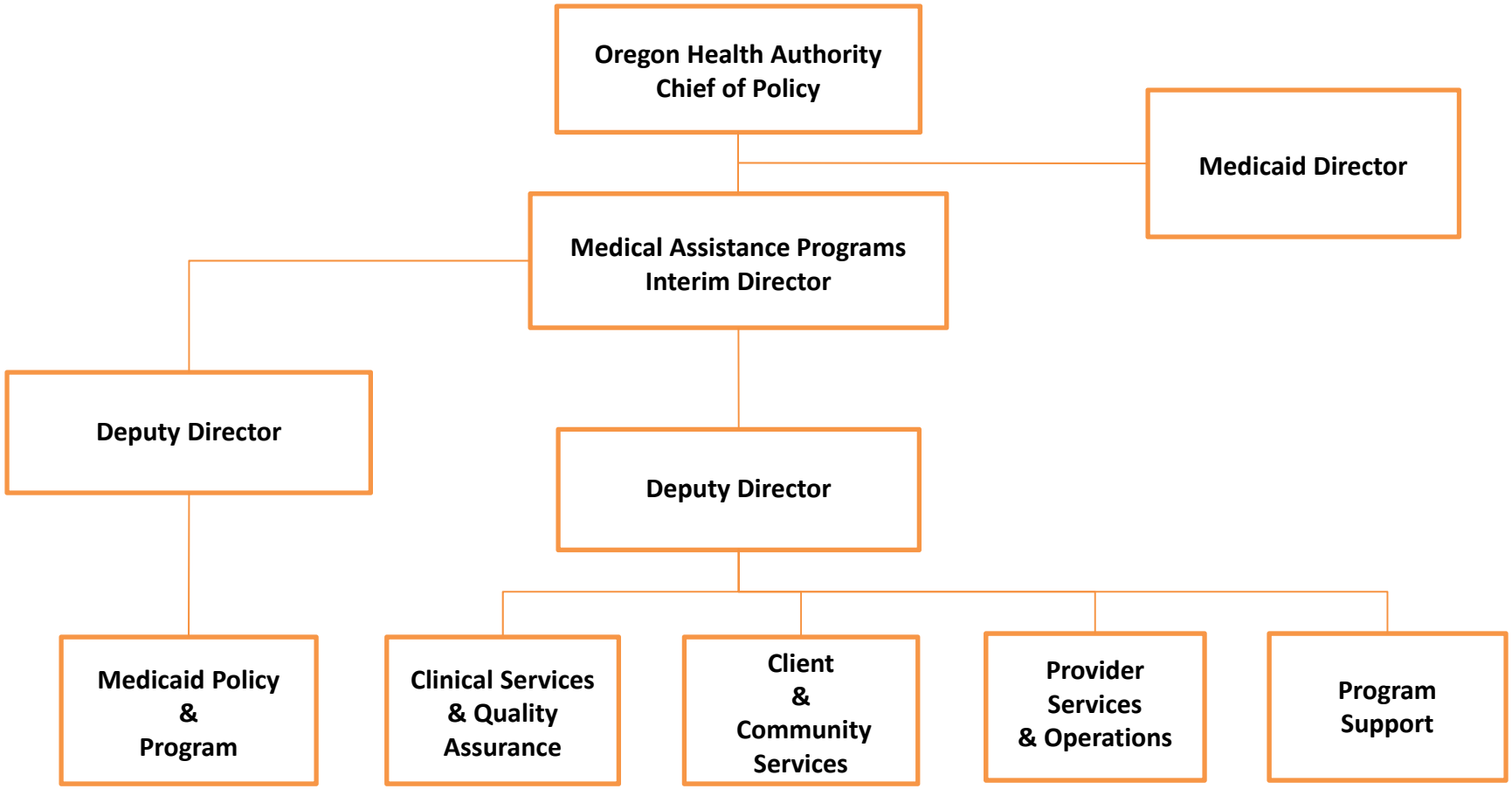
# 2015-17 Health Policy Programs Organization Structure




162 Positions / 135.18 FTE

Oregon Health Authority

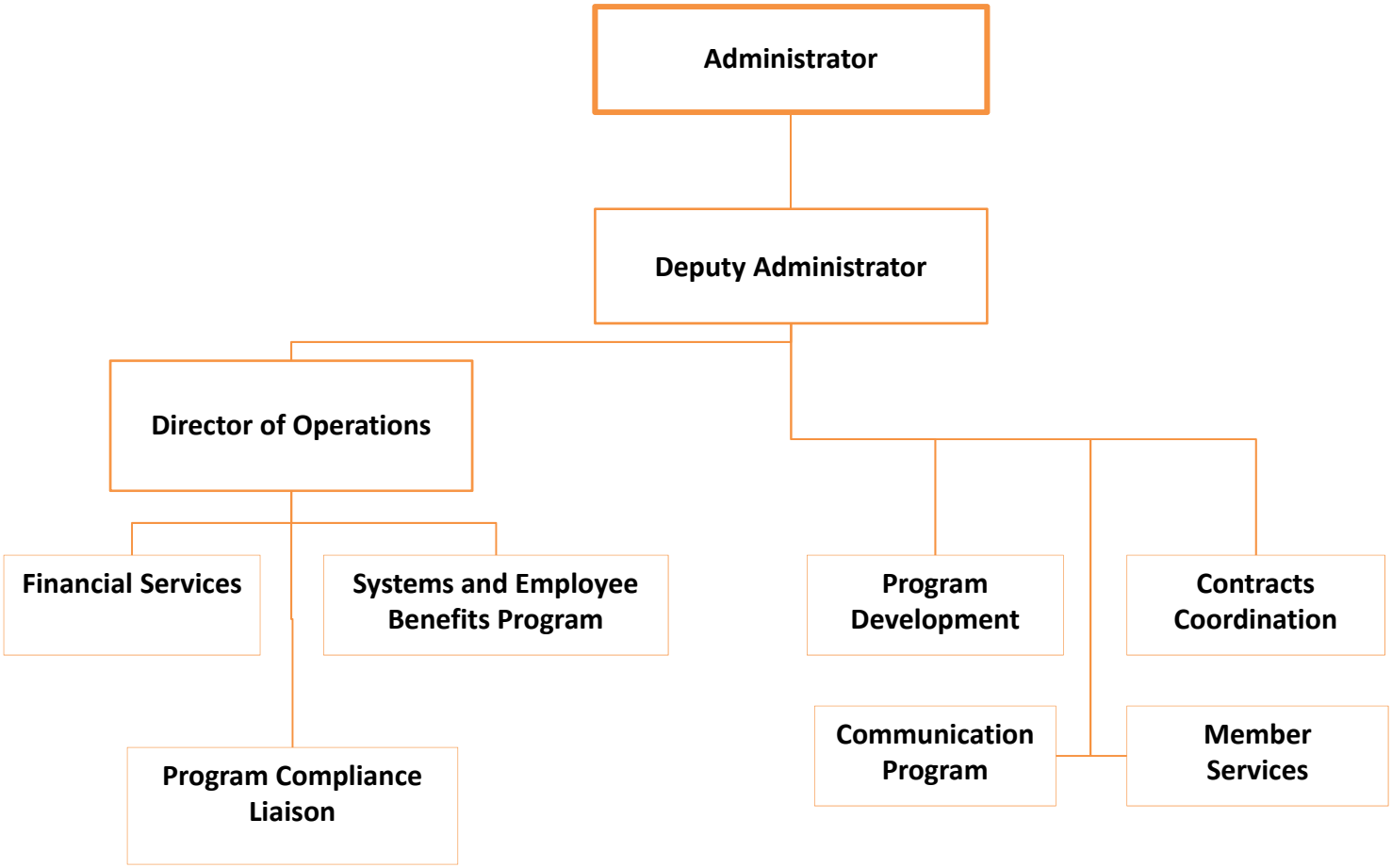
# 2015-17 MAP Organization Structure




527 Positions / 518.85 FTE

Oregon Health Authority

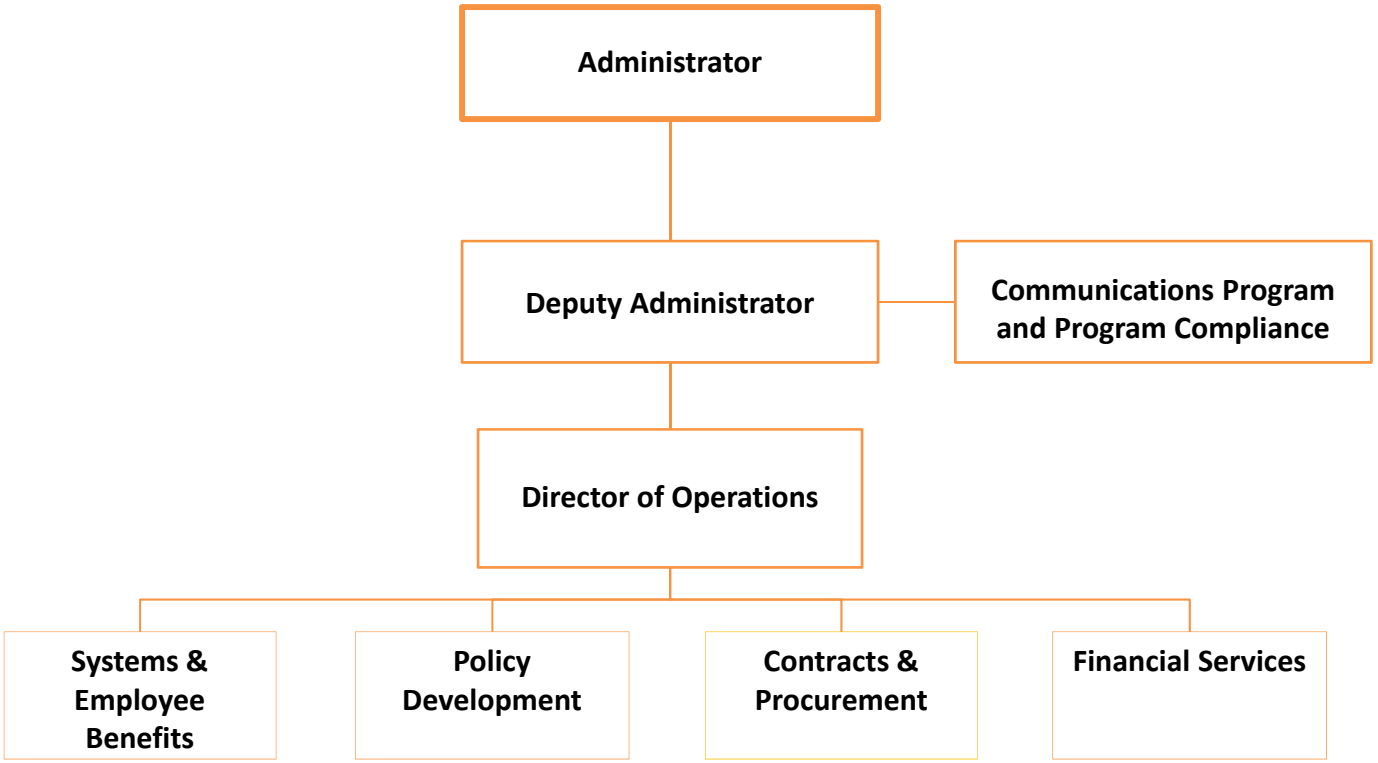
# 2015-17 PEBB Organization Structure




22 Positions / 21.50 FTE

Oregon Health Authority

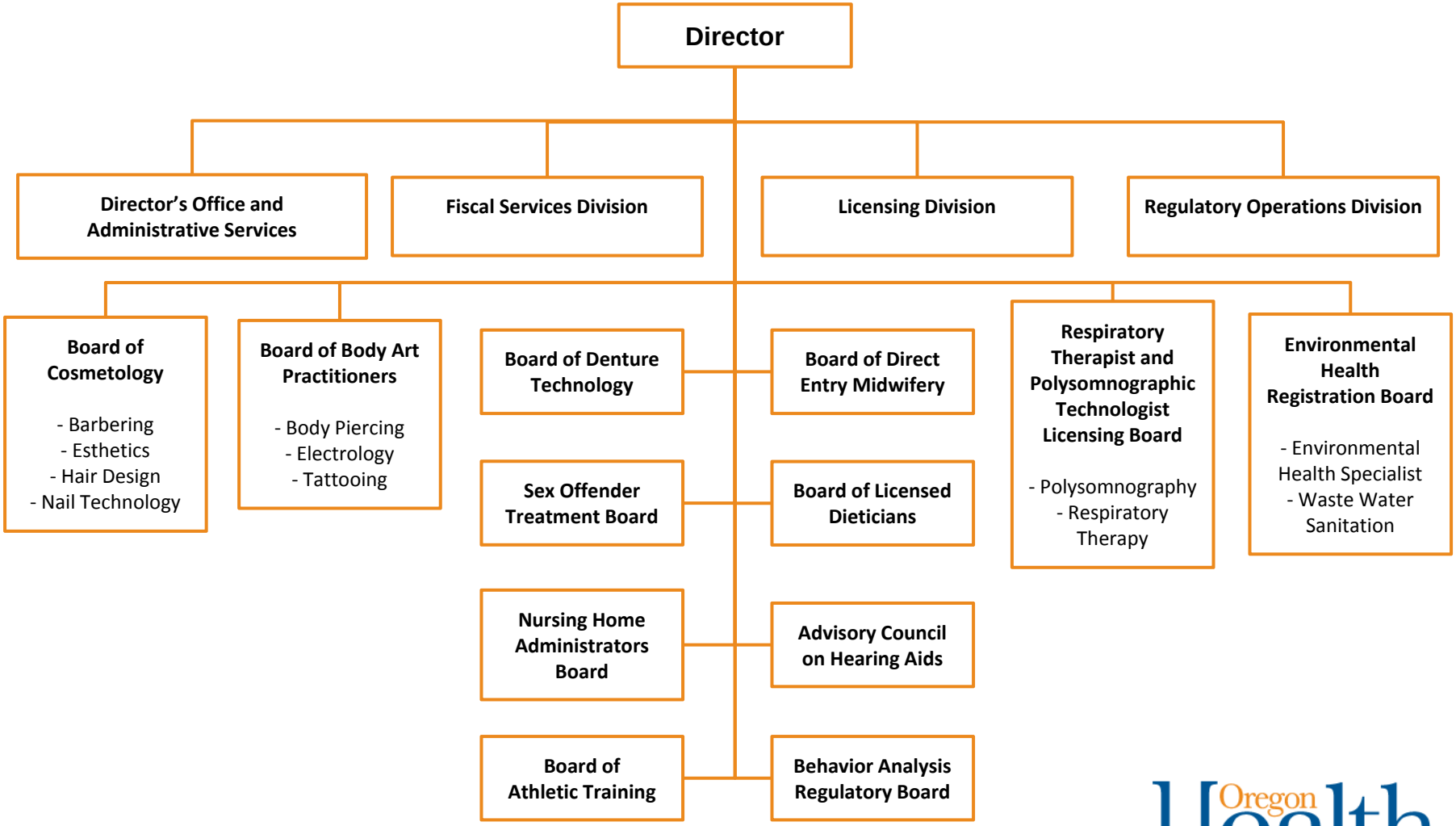
# 2015-17 OEBB Organization Structure




23 Positions / 23.00 FTE

Oregon Health Authority

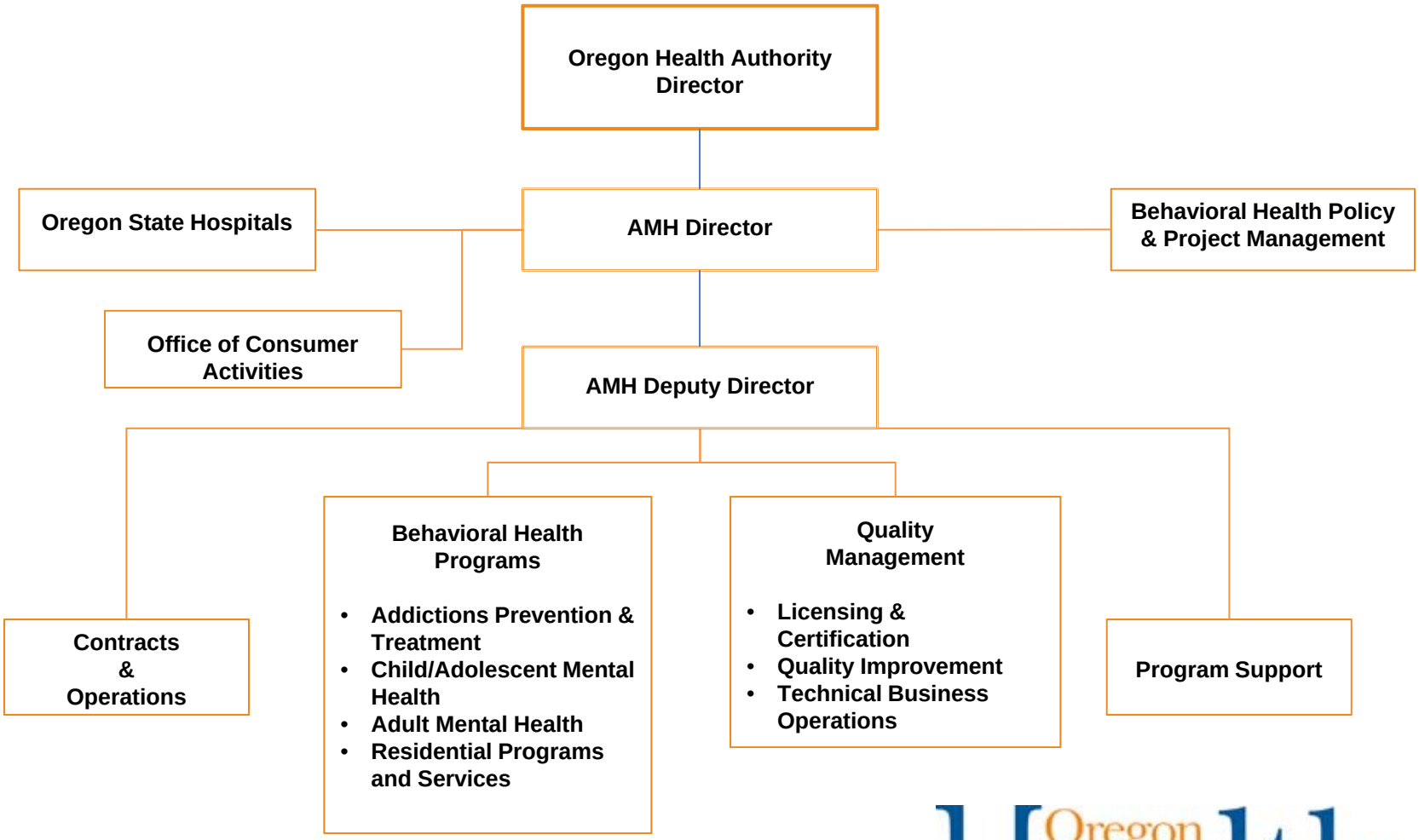
# 2015-17 Health Licensing Office Organization Chart




35 Positions / 35.00 FTE

Oregon Health Authority

# 2015-17 AMH Organization Structure




2,398 Positions / 2,396.39 FTE

Oregon Health Authority

# 2015-17 PH Organization Structure

**Office of State Public Health Director**
**State Health Officer**
**and**
**Deputy Director**

- **Science and Evaluation**
  **State Epidemiologist**
- **Policy and Planning**
- **Communications**
- **Community Liaison**
- **Fiscal Support**
- **Program Support**

**Center for Health Protection**

- Health Care Regulation and Quality Improvement
- Oregon Medical Marijuana Program
- Radiation Protection
- Environmental Public Health
- Drinking Water

**Center for Public Health Practice**

- Acute and Communicable Disease Epidemiology
- Immunization
- HIV, STD, TB Prevention
- Center for Health Statistics
- Oregon State Public Health Laboratory
- Health Security Preparedness and Response

**Center for Prevention and Health Promotion**

- Adolescent, Genetics and Reproductive Health
- Maternal and Child Health
- Women, Infants and Children (WIC)
- Health Promotion and Chronic Disease Prevention
- Injury and Violence Prevention

**715 Positions / 695.35 FTE**

Oregon Health Authority

| *Summary Cross Reference Number* | *Cross Reference Description* | *2011-13 Actuals* | *2013-15 Leg Adopted Budget* | *2013-15 Leg Approved Budget* | *2015-17 Agency Request Budget* | *2015-17 Governor's Budget* | *2015-17 Leg Adopted Budget* |
|---|---|---|---|---|---|---|---|
| **010-40-00-00000** | **OHA Central Services** | | | | | | |
| | General Fund | 11,465,747 | 22,936,111 | 15,410,100 | 12,682,712 | 12,915,771 | - |
| | Other Funds | 2,209,229 | 4,169,069 | 2,713,067 | 888,918 | 884,815 | - |
| | Federal Funds | 66,140,162 | 41,441,803 | 15,648,098 | 6,841,864 | 6,884,588 | - |
| | All Funds | 79,815,138 | 68,546,983 | 33,771,265 | 20,413,494 | 20,685,174 | - |
| **010-45-00-00000** | **OHA Shared Services** | | | | | | |
| | Other Funds | 112,962,041 | 134,121,253 | 136,360,270 | 136,729,481 | 136,728,884 | - |
| **010-50-00-00000** | **State Assessments and Enterprise-wide Costs** | | | | | | |
| | General Fund | 114,973,734 | 135,501,354 | 138,981,391 | 155,971,616 | 156,624,623 | - |
| | Other Funds | 28,839,405 | 26,839,757 | 27,202,162 | 24,402,217 | 24,586,124 | - |
| | Federal Funds | 42,864,520 | 55,060,630 | 56,999,020 | 68,684,356 | 69,032,643 | - |
| | All Funds | 186,677,659 | 217,401,741 | 223,182,573 | 249,058,189 | 250,243,390 | - |
| **020-01-00-00000** | **Medical Assistance Programs** | | | | | | |
| | General Fund | 850,961,311 | 1,117,691,566 | 1,056,182,581 | 1,988,408,406 | 1,217,143,114 | - |
| | Other Funds | 1,878,229,292 | 1,892,868,931 | 1,917,819,608 | 876,616,631 | 1,956,882,370 | - |
| | Federal Funds | 4,096,613,488 | 6,673,563,689 | 7,851,117,274 | 8,455,547,751 | 10,003,650,030 | - |
| | All Funds | 6,825,804,091 | 9,684,124,186 | 10,825,119,463 | 11,320,572,788 | 13,177,675,514 | - |
| **020-02-00-00000** | **Public Employees Benefit Board (PEBB)** | | | | | | |
| | General Fund | 7,553 | - | - | - | - | - |

| Summary Cross Reference Number | Cross Reference Description | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|---|
| **020-02-00-00000** | **Public Employees Benefit Board (PEBB)** | | | | | | |
| | Other Funds | 1,193,351,552 | 1,541,141,592 | 1,541,297,901 | 1,644,132,490 | 1,645,718,505 | - |
| | All Funds | 1,193,359,105 | 1,541,141,592 | 1,541,297,901 | 1,644,132,490 | 1,645,718,505 | - |
| **020-03-00-00000** | **Oregon Educators Benefit Board (OEBB)** | | | | | | |
| | General Fund | 9,015 | - | - | - | - | - |
| | Other Funds | 1,448,412,038 | 1,639,816,448 | 1,639,986,737 | 1,727,865,648 | 1,645,739,370 | - |
| | All Funds | 1,448,421,053 | 1,639,816,448 | 1,639,986,737 | 1,727,865,648 | 1,645,739,370 | - |
| **020-04-00-00000** | **Private Health Partnerships** | | | | | | |
| | General Fund | 2,609,493 | 1,710,302 | 1,744,317 | - | - | - |
| | Other Funds | 310,821,304 | 259,119,596 | 259,153,692 | 104,201,884 | 104,201,884 | - |
| | Federal Funds | 244,150,444 | 116,408,573 | 116,408,573 | - | - | - |
| | All Funds | 557,581,241 | 377,238,471 | 377,306,582 | 104,201,884 | 104,201,884 | - |
| **020-05-00-00000** | **Addictions and Mental Health Program** | | | | | | |
| | General Fund | 669,455,397 | 652,699,969 | 661,409,625 | 789,168,439 | 760,008,713 | - |
| | Lottery Funds | 10,098,261 | 10,545,822 | 10,592,532 | 10,927,879 | 10,353,121 | - |
| | Other Funds | 41,513,056 | 40,815,902 | 60,064,693 | 75,904,611 | 87,148,265 | - |
| | Federal Funds | 296,107,878 | 278,945,275 | 242,569,579 | 256,308,769 | 276,840,698 | - |
| | All Funds | 1,017,174,592 | 983,006,968 | 974,636,429 | 1,132,309,698 | 1,134,350,797 | - |

| Summary Cross Reference Number | Cross Reference Description | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|---|
| **020-06-00-00000** | **Public Health Program** | | | | | | |
| | General Fund | 35,946,937 | 38,638,376 | 40,196,834 | 43,765,398 | 41,882,993 | - |
| | Other Funds | 100,332,067 | 126,803,487 | 128,163,776 | 138,097,679 | 131,672,688 | - |
| | Federal Funds | 323,432,265 | 351,382,973 | 354,718,740 | 353,459,382 | 355,136,513 | - |
| | All Funds | 459,711,269 | 516,824,836 | 523,079,350 | 535,322,459 | 528,692,194 | - |
| **020-07-00-00000** | **Health Licensing Office** | | | | | | |
| | Other Funds | - | - | 3,957,176 | 7,398,011 | 7,374,784 | - |
| **020-08-00-00000** | **Health Policy Programs** | | | | | | |
| | General Fund | 6,628,926 | 2,349,754 | 45,305,643 | 22,066,396 | 20,315,058 | - |
| | Other Funds | 4,554,366 | 777,081 | 2,399,663 | 12,744,490 | 3,120,236 | - |
| | Federal Funds | 101,868,534 | 75,086,217 | 102,126,396 | 118,248,980 | 115,124,308 | - |
| | All Funds | 113,051,826 | 78,213,052 | 149,831,702 | 153,059,866 | 138,559,602 | - |
| **088-00-00-00000** | **Capital Improvements** | | | | | | |
| | General Fund | - | 679,238 | 679,238 | 699,615 | 699,615 | - |
| | Other Funds | - | - | - | - | 699,615 | - |
| | All Funds | - | 679,238 | 679,238 | 699,615 | 1,399,230 | - |
| **089-00-00-00000** | **Capital Construction** | | | | | | |
| | Other Funds | 59,900,000 | 79,401,530 | 79,401,530 | - | - | - |

| Summary Cross Reference Number | Cross Reference Description | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|---|
| **TOTAL AGENCY** | | | | | | | |
| | General Fund | 1,692,058,113 | 1,972,206,670 | 1,959,909,729 | 3,012,762,582 | 2,209,589,887 | - |
| | Lottery Funds | 10,098,261 | 10,545,822 | 10,592,532 | 10,927,879 | 10,353,121 | - |
| | Other Funds | 5,181,124,350 | 5,745,874,646 | 5,798,520,275 | 4,748,982,060 | 5,744,757,540 | - |
| | Federal Funds | 5,171,177,291 | 7,591,889,160 | 8,739,587,680 | 9,259,091,102 | 10,826,668,780 | - |
| | All Funds | 12,054,458,015 | 15,320,516,298 | 16,508,610,216 | 17,031,763,623 | 18,791,369,328 | - |

# Oregon Health Authority
# Governor's Budget
# Revenue Narrative

## Forecast methods and assumptions

Revenue for the Oregon Health Authority (OHA) comes from multiple funding sources classified as the state General Fund, Other Funds, Lottery Funds and Federal Funds. There are four major methodologies used to project revenues for the Authority:

The category of expenditures based on estimated Average Daily Populations (ADP) and Cost per Case (CPC) is mainly used for federal entitlement grants. Grant cycles and where they fall within the biennium are considered for block grants. Assumptions are made to project the amount of funds that will be received. These assumptions consist of prior grant averaging and the anticipated effect of federal budget changes. The historical receipt trends method is used for Other Funds sources such as collections of overpayments and fees, unless the agency has additional information such as anticipated special projects that would increase revenue or a temporary need for additional staff. Where appropriate, reports from the Office of Economic Analysis are used – Lottery Funds, for example – and analysis from other state agencies that collect revenues for distribution to OHA – Beer and Wine Tax, for example.

OHA projects revenues based on assumptions that take into account:

- Essential packages that adjust the existing base budget to the 2015-17 modified current service level (MCSL) for all legislatively approved programs, where those adjustments would have an impact on revenues. Essential packages include phasing in or out of program changes, one-time costs, Department of Administrative Services inflation factor, mandated caseload changes, and any needed fund shifts;

- Applicable federal funding limits and requirements, including the availability of state funds to meet matching or maintenance of effort (MOE) requirements;
- Changes in federal policies that affect federal revenues available for OHA programs;
- Policy Option Packages which generate new sources of revenue;
- Expected non-mandated program caseload changes; and
- Any recent changes in state or federal statutes and regulations that will affect the availability or timing of revenue receipts.

**Fee schedules and proposed increases**

The Authority utilizes many fees as funding sources. The majority of these fees are in OHA Public Health. See Fee Listing Report for details.

**Significant known federal revenue changes or risk factors**

**American Recovery and Reinvestment Act of 2009 (ARRA)**

Before the ARRA extension expired June 30, 2011, it provided nearly $1.4 billion in one time federal funds (approximately $1.1 billion in the 2009-11 biennium and $0.3 billion in the 2007-09 biennium). These funds helped Oregon support an unprecedented increase in caseloads in a number of our health and human services programs. The largest part of this funding came from the Act's increase in the federal match rate (FMAP) for Medicaid, which directed an additional $938.1 million to the Oregon Health Plan.

There are still a few grants that are funded with ARRA funds. Most of the grants (such as Title XIX, SNAP, 4-E) no longer have funds available past June 2013. We will continue to do collections of overpayments that involve ARRA funds.

Programs that previously received increased funding through ARRA:

| | |
|---|---|
| Temporary Assistance to Needy Families (TANF) in Medical Assistance Programs | $ 1.9 million |
| Drinking Water Revolving Fund in Public Health | $28.5 million |
| Health Information Technology in Medical Assistance Programs | $ 8.6 million |
| Assorted Public Health competitive grants | $18.9 million |

Without a rapid recovery of Oregon's economy (and tax receipts), or a significant reduction in demand for services, Oregon is likely to face benefit reductions and eligibility restrictions in a number of these health and human services program areas.

**Designated State Health Programs (DSHP)**

In July 2012, CMS approved a comprehensive amendment to Oregon Health Plan waiver to implement health system transformation. In support of health system transformation, the federal government agreed to additional Medicaid funding under the Designated State Health Programs (DSHP). The program authorizes the state to claim federal matching funds for certain state health-related expenditures and program costs that are not otherwise eligible for Medicaid matching funds.

**MSA (Master Settlement Agreement)**

The Department of Justice administers the settlement funds paid to the state by tobacco manufacturers. Although not dedicated to medical assistance programs, OHA receives a portion of the settlement for health care programs.

Tobacco settlement revenues are currently undetermined. The Master Settlement Agreement (MSA) allows the tobacco companies to withhold funds if they can show that states have not properly enforced the escrow provision of the agreement. The companies have satisfied two of the three provisions for withholding funds. Monies from the MSA fund OHA Medical Assistance Programs and Public Health Tobacco Prevention and Education efforts.

- Revenue estimated for 2013-15 LAB was originally at $116.1 million revised at Reshoot to $120.6 million OHA Medical Assistance received $116.1 million in the Legislatively Adopted Budget, and $4 million was awarded to Public Health for tobacco cessation efforts.
- Revenue estimate for 2015-17 at GB is $137 million for Medical Assistance Programs.

**Major funding sources**

The following section identifies the major funding sources for OHA. All references to a grant "Title" are referencing the originating statute in the federal Social Security Act.

**Federal funds**

**Center for Mental Health Services block grant (CMHS)**

Federal CMHS funds are granted to states to carry out activities in the Addictions and Mental Health (AMH) plan for adults with serious mental illness and children with serious emotional disturbances. At least 35 percent of the service funding of each grant must be expended for mental health services for children. Funds for children are contracted in all counties throughout the state. At least 5 percent of the funding must support evidence-based programs that address the needs of individuals with early serious mental illness.

This grant currently funds OHA Addictions and Mental Health programs. The funding projection is based on the grant cycle.

- Revenue budgeted for 2013-15 LAB is $10.4 million
- Revenue estimated for 2015-17 GB is $12.0 million

**Maternal and Child Health Grant (Title V)**

The Maternal and Child Health Grant (MCHG) enables states to maintain and strengthen their leadership in planning, promoting, coordinating and evaluating health care for pregnant women, mothers, infants, and children, including children with special health care needs. Grant funds also promote leadership in providing health services for mothers and children who do not have access to adequate health care. MCHG is a formula grant partially based on the state's population of children in poverty. States must expend $3 for every $4 of federal funds they receive.

This grant currently funds OHA Public Health programs. The Maternal and Child Health (MCH) Block Grant will request approximately $11.8 million federal funds for the 2015-17 fiscal years. Presently 30% ($3.5 million) of the award is transferred to the Oregon Health Science's University- Children's Developmental Rehabilitation Center (CDRC) as an earmark requirement for health services for children with special health care needs.

- Revenue budgeted for 2013-15 LAB is $11.8 million
- Revenue estimated in 2015-17 GB is $11.8 million

**Medicaid (Title XIX)**

Medicaid provides reimbursement to states for medical care and related services to low income and other medically needy individuals. This includes financing for:

- Health care services provided under the Oregon Health Plan;
- Private insurance premium;
- Long-term care in institutional and community-based care settings;
- Some client care provided in state hospitals;

- Residential treatment services to adults and youth;
- Central administration of alcohol and drug programs;
- Medical and non-medical transportation for Medicaid eligible individuals;
- Family planning services for individuals not enrolled in the Oregon Health Plan;
- Uncompensated care provided by hospitals serving a high proportion of Medicaid and uninsured individuals.

State General Funds or Other Funds must be used to match federal Medicaid dollars for administration and direct service payments. The administration match rate is primarily 50 percent. A 75 percent federal fund match is available for skilled professional medical personnel, certification of nursing facilities, and related information systems activities, including the Medicaid Management Information System (MMIS) computer system support and Preadmission Screening and Resident Review (PASRR) activities. The current average federal Title XIX match rate for service payments to providers for the 2013-15 biennium is 63.40 percent and 63.90 percent for 2015-17. The cost of services and supplies for Family Planning is matched at 90 percent. The Breast and Cervical Cancer **(**Treatment**)** program which is an optional Medicaid program receives the CHIP Title XXI match rate of 74.38 percent in the 2013-15 biennium and an enhanced rate of 94.86 percent in the 2015-17 biennium.

Most of these services in Oregon are provided through Medicaid programs that require waivers of federal requirements. The Oregon Health Plan is the largest of these waiver programs under Section 1115 of the SSA, followed by six waivers operated under Section 1915(c) authority. OHA must obtain approval from the federal Centers for Medicare and Medicaid Services (CMS) to make changes to its Medicaid program whether the changes are Medicaid state plan services or waiver services. This approval process can be lengthy, sometimes affecting the timing of program changes and the receipt of associated federal revenues.

In July 2012, CMS approved a comprehensive amendment to Oregon Health Plan waiver to implement health system transformation. In support of health system transformation, the federal government agreed to additional

Medicaid funding under the Designated State Health Programs (DSHP). The program authorizes the state to claim federal matching funds for certain state health-related expenditures and program costs that are not otherwise eligible for Medicaid matching funds.

Medicaid currently funds services in all OHA program areas. Projection methods for service expenditures include the use of estimated Average Daily Populations (ADP) and Cost per Case (CPC) for administrative charges, use of time and effort, and other measures. Title XIX currently provides funding to programs in all sectors of OHA. The projection method used to calculate funding is expenditures based on estimated ADP and CPC.

- Revenue budgeted in 2013-15 LAB is $4.10 billion
- Revenue estimated for 2015-17 GB is $9.4 billion
- These funds require a state funding match

**Public Health federal fund grants**

Public Health receives over 90 categorical federal fund grants targeting specific activities. The variety of programs administered by Public Health using federal funds include, but are not limited to, Cancer Prevention, Emerging Infections, Immunization, HIV prevention and care, Water System Revolving Fund, Beach Safety Assessment and Monitoring, Diabetes Reduction and Disaster Preparedness.

Public Health federal fund grants currently finance programs in OHA Public Health. Public Health projects federal fund grant revenue using applicable federal funding limits and requirements, including the availability of state funds to meet matching or maintenance of effort (MOE) requirements.

- Revenue budgeted in 2013-15 LAB is $ 253.0 million
- Revenue estimated for 2015-17 GB is $252.4 million*
  *Excluding $102.7 million Non-limited WIC funds

**Nutrition and Health Screening (WIC) program**

The Nutrition and Health Screening – Woman, Infants & Children (WIC) program is a fully federally funded program that provides individual assessment of growth and health as well as education and counseling on nutrition and physical activity. This includes promoting a healthy lifestyle and preventing chronic diseases such as obesity. The program also provides breastfeeding education and support and referrals to other preventive health and social services.

Services are provided to lower-income women who are pregnant or postpartum and breastfeeding, and children under the age of 5 who have a health or nutrition risk. During 2013, local programs served 167,368 women, infants and children. This includes 45 percent of all infants born in the state; 57 percent of all infants born in rural counties; and one in three Oregon children under the age of 5. More than 69 percent of those served are from working families.

- Revenue budgeted in 2013-15 LAB is $ 147.2 million
- Revenue estimated for 2015-17 GB is $147.7 million

**Children's Health Insurance Program (Title XXI)**

The Children's Health Insurance Program (CHIP) provides federal matching funds to the state for medical care of children through age 18 who do not have insurance but whose parents earn too much for traditional Medicaid. These services are covered through the Oregon Health Plan through OHA Medical Assistance Programs. Average enhanced federal Title XXI match rate for the 2015-17 biennium is 94.86 percent.

Effective August 2013, OHA increased CHIP eligibility under the Oregon Health Plan from 200 percent of the federal poverty level (FPL) to 300 percent of the FPL. During the 2013-15 biennium, OHA transitioned children from Office of Private Health Partnerships (OPHP) private insurance premium assistance to Oregon Health Plan CHIP coverage.

The projection method used to calculate available funds are expenditures based on estimated Average Daily Populations (ADP) and Cost per Case (CPC).

- Revenue budgeted for 2013-15 LAB is $364.4 million.
- Revenue budgeted for 2015-17 GB is $457.0 million
- Unlimited federal funds: Federal Entitlement Program

**Substance Abuse Prevention Treatment grant (SAPT)**

The Substance Abuse Prevention Treatment grant (SAPT) provides monies to fund most alcohol and drug programs and some administrative costs. States that receive the funds must meet federal requirements: 20 percent of the grant must be spent on prevention, and service levels must be maintained for specified populations, such as women and women with children. The one qualifying factor for this grant is that the state must expend a minimum of state and local revenues on SAPT-related services to meet the maintenance of effort requirement. The grant is 100 percent federal funds.

This grant currently funds programs in OHA Addictions and Mental Health. The fund projection is based on grant cycle methodology.

- Revenue estimated for 2013-15 LAB is $42.0 million
- Revenue estimated for 2015-17 GB is $40.0 million

**Temporary Assistance for Needy Families (TANF; Title IV-A)**

Under the Personal Responsibility and Work Act of 1996 (PRWOA), Oregon is eligible to receive an annual Temporary Assistance for Needy Families (TANF) federal block grant. In order to qualify for this grant, the state must expend a minimum of state and local revenues on TANF related services to meet federal maintenance of effort requirements (MOE).

Some of these state and federal revenues fund Temporary Assistance to Needy Families (TANF) eligible services. In Oregon, these services are Cash Assistance for single and two parent families, DV Emergency Assistance, and Employment and Training (JOBS) services that are part of the Department of Human Services (DHS). OHA and other agencies also use TANF revenue to fund related programs such as alcohol and drug treatment services, transportation, and housing assistance for homeless persons. Administrative and direct service costs can also be reimbursed using TANF revenues. Administrative costs are limited to no more than 15 percent of total TANF expenditures, with certain limited exceptions.

The block grant concept, under which TANF operates, places restraints on service delivery. Federal funds are capped, which means no federal revenue is available for increasing program costs. This limitation on revenue requires Oregon to essentially self-fund any program increases.

This grant currently funds programs in OHA Addictions and Mental Health. The method used to project revenue is the grant cycle.

- Revenue budgeted in 2011-13 LAB is $1.9 million
- Revenue estimated for 2013-15 LAB is $1.9 million
- Revenue estimated for 2015-17 GB is $1.9 million

**Oregon Strategic Prevention Framework-Partnership for Success (OSPF-PFS)**

OSPF-PFS is a five-year grant (ending Sep 29, 2019). Oregon is one of 21 sub-recipients to receive the 2014 Partnership for Success-Strategic Prevention Framework grant from SAMHSA. The goal of the grant is to address two of the nation's top substance abuse prevention priorities: (1) underage drinking among persons aged 12 to 20; and (2) prescription drug misuse and abuse among persons aged 12 to 25. Oregon will also include high risk drinking for ages 18-25 as a priority to maintain the work to continue the work started with the Strategic Prevention Framework-State Incentive Grant.

This program will be funded in OHA Addictions and Mental Health. The length of funding is up to five years for $2,016,000 each year for a total of $10,080,000.

- Revenue estimated for 2015-17 GB is $4.0 million

**Health Policy Programs federal grants**

Health Policy Programs has successfully applied for and has been awarded a number of federal grants. The primary grants are from the US Department of Health and Human Services Health Resources and Services Administration (HRSA) that help support a variety of health reform and transformation activities across several areas of the OHA, including an ongoing cooperative agreement with HRSA's Primary Care Office for supporting state efforts to increase access to primary care including designation of workforce shortage areas that assist communities to recruit providers and/or sustain clinical resources, and funding from the Center for Medicare and Medicare Innovation (CMMI) for a three year grant (ending September 2016) to support the Oregon State Innovation Model (SIM) Project. SIM resources are used to support capacity building for health transformation by supporting the transformation center, building a robust data analysis capability, and other projects that are designed to develop innovative approaches to achieve the triple aim and spread the Oregon coordinated care model to other populations and payers.

- Revenue budgeted in 2013-15 LAB is $35.0 million
- Revenue estimated for 2015-17 GB is $28.9 million

**Other Funds**

**Public Employees' Benefit Board (PEBB)**

Public Employees' Benefit Board (PEBB) designs, purchases and administers the benefit program for benefit-eligible state employees. By statute, PEBB has two revenue sources. ORS 243.165 appropriates to the Public

Employees' Benefit Account an amount not to exceed 2 percent of the monthly employer and employee contributions to benefits. The amount is currently 0.4 percent. Revenues from this account pay administrative expenses that are PEBB's operating costs. ORS 243.167 continuously appropriates to the Public Employees' Revolving Fund balances to cover expenses incurred in connection with the administration of employee benefits. Revenues from this account pay premiums and premium equivalents for medical, dental, and optional benefits.

This revenue currently funds OHA Public Employees' Benefit Board operating costs and premium payment for employee medical, dental, and optional benefits. The budget amount is based on actuarial projection of premium composite.

- Revenue budgeted in 2013-15 LAB is $1.5 billion
- Revenue estimated for 2015-17 GB is $1.6 billion

**Oregon Educators Benefits Board (OEBB)**

The Oregon Education Benefit Board has two sources of revenue authorized in statute for funding operating expenses and establishing a stabilization fund. ORS 243.880 established the Oregon Educators Benefit Account to cover administration expenses. The revenue source authorized for deposit in this account is generated through an administrative assessment built into benefit premiums. The assessment is capped at 2 percent of total monthly premiums. By statute (ORS 243.882), the balance in the account cannot exceed 5 percent of the monthly total of employer and employee contributions for more than 120 days.

ORS 243.884 establishes the Oregon Educators Revolving Fund to pay premiums, control expenditures, provide self-insurance and subsidize premiums. The revenue source is the monthly premium collections which are reconciled and passed-through to the insurance carriers for payment of premiums. The interest earnings retained on the premium collection pass-through enable OEBB to generate a reserve fund for stabilizing premiums.

This revenue currently funds the OHA Oregon Educators Benefit Board (OEBB).

- Revenue budgeted in 2013-15 LAB: $1.6 billion
- Revenue estimated for 2015-17 GB: $1.6 billion

**Health Licensing Office (HLO)**

The Health Licensing Office (HLO) operates solely as a 100% Other Funded office. HLO regulates over 70,000 authorizations among 12 boards and councils, including over 4,800 facilities. HLO provides services to office clients on a daily basis and sets, communicates, licenses and enforces regulatory standards for the multiple health and related professions it oversees. HLO achieves its mission by collecting revenues from applications, examinations, authorizations, authorization renewals, charges for services, fines and forfeitures, sales income and interagency agreements.

Revenues collected from each board and council fund the Administrative Services, Fiscal Services, Licensing, and the Regulatory Operations units in HLO. Each board and council is required to pay part of the overall office budget determined by the allocation of service costs attributed to each board and council. Estimated revenues are based on projected trends in the 2015-17 biennium.

- Revenue budgeted in 2013-15 LAB is $4.0 million*
- Revenue estimated for 2015-17 GB is $7.4 million
  ***HLO was a separate agency (Agency 831 – Oregon Health Licensing Agency [OHLA]) for the first year of the 2013-15 biennium, and the 2013-15 LAB amount above only reflects HLO revenues budgeted in OHA.***

**Beer and wine revenue**

Beer and wine revenue is collected by the Oregon State Liquor Commission (OLCC) based on a set percentage of tax revenues. Revenue is used for all alcohol and drug programs. OLCC provides an estimate of anticipated beer

and wine tax revenue. These revenues currently fund programs in OHA Addictions and Mental Health.

- Revenue budgeted in 2011-13 LAB was $18.6 million.
- Revenue estimated for 2013-15 LAB is $17.8 million
- Revenue estimated for 2015-17 GB is $18.0 million

**Drug rebates**

The Omnibus Budget Reconciliation Act of 1990 requires drug manufacturers to provide rebates from drugs purchased by state Medicaid programs. The Medical Assistance Programs projects these rebates using past expenditure history and expected future trends. Rebates are collected quarterly for the previous quarter's drug claims and based upon rates that are transmitted to the states by Centers for Medicare and Medicaid Services. The state's rebate contractor generates and mails invoices for each manufacturer based on the number of units dispensed for each drug product made by that manufacturer. Prior period adjustment invoices are also generated quarterly for any previous invoices not paid or necessary adjustments based upon dispute resolution. Checks from manufacturers are received by accounting; the rebate contractor receives a copy of the accompanying "Reconciliation of State Invoice" indicating what payments are being made by line item. This information is tracked for future invoicing. If there are disputes on payment, that information is tracked and worked toward resolution by the rebate contractor. The drug rebate revenue received is based on the OHP fee-for-service drug expenditures forecast and uses the historical percentage of revenue compared to expenditures.

The Affordable Care Act (ACA) affects the drug rebates received. ACA requires CMS to revise the calculations it uses as determinants of the unit rebate amount. ACA also requires that a portion of these rebates be returned to the federal government, resulting in a reduction in the rebate amount previously received by OHA. In addition, effective March 23, 2010, ACA required that states collect rebates from drug manufacturers on all prescription drugs paid for by Medicaid managed care organizations. The Authority began to invoice manufacturers late in the

2009-2011 biennium retroactive to the effective date. These funds currently support OHA Medical Assistance Programs.

- Revenue budgeted in 2013-15 LAB: $79.3 million
- Revenue estimated for 2015-17 GB: $84.0 million

**Fees and premiums**

Public Health generates Other Funds revenue from fees for activities in such areas as licensing of facilities, including hospital and special inpatient care facilities; registration inspection and testing of X-ray equipment; and testing and certification of Emergency Medical Technicians.

Mental Health uses licensing fees to finance the cost of certifying private mental health agencies that wish to bill private insurance companies.

Fees and premiums currently fund programs in OHA Addictions and Mental Health, Public Health and Medical Assistance Programs. The projection method used is historical receipt trends.

**Law Enforcement Medical Liability Account (LEMLA)**

This program was a pilot project during the 1991-93 biennium. The 1993 Legislature permanently approved continuing the program commencing with the 1993-95 biennium. The program is funded with Other Funds revenue from assessments added to fines and bail forfeitures paid into the courts system. LEMLA makes payments to medical providers for services to persons injured as a result of efforts by law enforcement. A small portion of this fund is used to administer the program.

This fund currently supports OHA Medical Assistance Programs. The projection method is based on estimates

from Department of Revenue and Justice.

- Revenue estimated in 2013-15 LAB: $1.3 million
- Revenue estimated in 2015-17 GB: $1.3 million

**Provider assessments**

During the 2003 Oregon Legislative session, HB 2747 was passed imposing assessments on four types of businesses that provide health services to many of Oregon's Medicaid clients, including hospitals and Medicaid managed health care plans. Effective September 30, 2009, the hospital assessment and the Medicaid Managed Care Organization (MCO) assessment ended. In HB 2116 (2009 Legislative Session), the Oregon Legislature re-established the hospital assessment and instituted a new health insurer's tax to support the Oregon Health Plan. The insurer's assessment is one percent of health premiums. HB 2116 specifies that certain Medicaid MCO types are subject to the insurer's assessment. The Oregon Legislature did not extend the one-percent insurer's tax and it expired on September 20, 2013, providing one quarter of revenue for the 2013-15 biennium and is not a revenue source in the 2015-17 biennium.

**Hospitals**

Under HB 2216 (2013 Legislative Session), the Oregon Legislature extended the hospital assessment to September 30, 2015. As required by Oregon law, the Director of OHA sets the hospital assessment rate after consulting with hospital representative. The assessment is imposed on both inpatient and outpatient net revenues from diagnosis-related group (DRG) hospitals. Currently, the assessment rate is set at 5.30 percent, but is continually evaluated to determine if any adjustment to the rate is needed to meet funding targets. The hospital assessment provides funding for the Oregon Health Plan, enhanced reimbursement to hospitals, and, once approved by the federal government, incentive payments to hospitals meeting performance metrics. Absent legislative action, the Hospital Assessment will expire September 30, 2015. The Governor's Budget proposes extending the hospital assessment.

- Revenue estimated in 2013-15 LAB: $863.0 million
- Revenue for one quarter in 2015-17 GB is estimated to be: $111.2 million

**MCO**

The one-percent assessment on Medicaid managed care organization expired September 30, 2013, providing one quarter of revenue for the 2013-15 biennium and is not a revenue source in the 2015-17 biennium.

- Revenue estimated in 2013-15 LAB: $10.7 million

**Insurers Assessment**

The one-percent assessment on Medicaid managed care organization expired September 30, 2013, providing one quarter of revenue for the 2013-15 biennium and is not a revenue source in the 2015-17 biennium.

- Revenue estimated in 2013-15 LAB: $21.3 million
- Revenue estimated in 2015-17 GB: $0

**Public Health Other Funds sources**

Public Health has more than 130 sources of Other Funds revenue. These revenue sources include negotiated agreements to provide services, lab fees, inspection fees, certification fees, grant awards, client co-pays and other charges. The large number of revenue streams reflects the variety of programs and services administered by Public Health. These diverse programs include: Cavity Prevention, Tobacco Prevention, Juvenile Violence Prevention, Medical Marijuana Certification, Environmental Laboratory Accreditation, Coordinated School Health, Breast Cancer Screening, Radiation Control, Drinking Water Operator Certification, Health Records and Statistics, Newborn Screening, and Cross Connection and Backflow Inspection. The Governor's budget includes the addition of $0.4 million OF fee revenue for the Public Health Lab for certification of laboratories to test marijuana and marijuana products associated with the passage of Measure 91, the legalization of marijuana. See Fee Listing

Report for details.

The largest other fund revenue source supporting Public Health programs is the non-limited Women, Infants, and Children (WIC) infant formula rebate. Public Health projects other fund revenue sources using historic data, contract agreements, anticipated levels of service and changes to fees.

- Revenue budgeted for 2013-15 LAB is $ 112.9 million
- Revenue estimated in 2015-17 GB is $116.6 million*
  *Including $40.0 million non-limited WIC Infant Formula rebate

**Tobacco tax**

Tobacco tax revenues approved in 1996 Ballot Measure 44 were appropriated to the Department of Human Services, and subsequently to Oregon Health Authority (OHA) at its inception in 2011. The revenues support additional program delivery positions to perform eligibility determinations for the Oregon Health Plan (OHP) and also support OHP caseloads. The Office of Economic Analysis forecasts Tobacco tax revenue using a 12-month moving average consumption level developed from the Department of Revenue's tax distribution record data. Price effects and per capita consumption effects are applied, as well as the forecast for the 18-year-old and older population.

The tobacco tax currently provides revenue for OHA Medical Assistance Programs, Addictions and Mental Health, and Public Health.

- Revenue estimated in 2013-15 LAB is $330.7 million
- Revenue estimated in 2015-17 GB is $342.8 million

**Third party recoveries**

The Third Party Recovery Program recovers medical portions of the collections from insurance companies, providers, and clients, and cash assistance by filing liens on personal injury settlements when clients are involved in accidents. The state's share of the recovery becomes Other Fund revenue used in the Medical Assistance Programs (MAP) to offset Medicaid expenditures.

The Office of Payment Accuracy and Recovery (OPAR) includes five units that recover Medicaid related funds: Overpayment Recovery Unit, Estates Administration Unit, Medical Payment Recovery Unit, Personal Injury Liens Unit and the Provider Audits Unit.

A number of factors will affect recoveries in the coming two biennia, including OPAR's efforts to increase cost avoidance efforts through provider education and an emphasis on up-front payment accuracy and coordination of benefits. Increased cost avoidance results in fewer dollars being paid out by the program and directly impacts the amount of recovery to be expected.

Recovery program funds currently support OHA Medical Assistance Programs.

**Lottery funds**

The Legislature has the authority to allot funds to OHA. ORS 461.549 reserves 1 percent of the state's lottery proceeds for OHA. For the 2013-2015 biennium, HB 5030 sets Lottery proceeds allotted to OHA at a fixed amount of $10.5 million. Lottery funds may be used only for problem gambling treatment and prevention services.

Lottery funds currently support programs in OHA Addictions and Mental Health. Projections are based on amounts provided by the Department of Administrative Services Office of Economic Analysis.

- Revenue budgeted in 2013-15 LAB is $10.5 million
- Revenue estimated for 2015-17 GB is $10.8 million

**Marijuana Tax**

The Oregon Health Authority is expected to receive 25% of the Marijuana taxes that will be collected by the Oregon Liquor Control Commission in 2015-17 after the passage of Measure 91 in February 2014.

Marijuana tax revenue will support the "Mental Health Alcoholism and Drug Services Account" and the "Alcohol and Drug Abuse Prevention" program within Addictions and Mental Health. Projections are based on amounts provided by the Oregon Liquor Control Commission.

- Revenue budgeted for 2015-17 GB is $2.3 million

| Oregon Health Authority<br>Agency Level<br>44300-000-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND** | | | | | | |
| **REVENUES** | | | | | | |
| General Fund Appropriation | 0050 | GF | 1,692,058,124 | 1,959,909,729 | 3,012,762,582 | 2,209,589,887 |
| **TOTAL REVENUES** | | **GF** | **1,692,058,124** | **1,959,909,729** | **3,012,762,582** | **2,209,589,887** |
| **TOTAL GENERAL FUNDS** | | **GF** | **1,692,058,124** | **1,959,909,729** | **3,012,762,582** | **2,209,589,887** |
| **LOTTERY FUNDS** | | | | | | |
| **TRANSFERS OUT** | | | | | | |
| Beginning Balance | 0025 | LF | - | 765,978 | - | - |
| Beginning Balance Adjustment | 0030 | LF | - | (765,978) | - | - |
| Transfer in Other | 1050 | LF | 90,723 | - | - | - |
| Transfers from Administrative Services | 1107 | LF | 10,350,984 | 10,592,542 | 11,397,648 | 10,827,615 |
| **TOTAL TRANSFERS OUT** | | **LF** | **10,441,707** | **10,592,542** | **11,397,648** | **10,827,615** |
| **TOTAL LOTTERY FUNDS** | | **LF** | **10,441,707** | **10,592,542** | **11,397,648** | **10,827,615** |
| **OTHER FUNDS** | | | | | | |
| **REVENUES** | | | | | | |
| Beginning Balance | 0025 | OF | 390,819,109 | 303,984,075 | 16,736,254 | 16,736,254 |
| Beginning Balance Adjustment | 0030 | OF | 46,178,716 | 55,427,171 | - | 476,705,682 |
| Other Selective Taxes | 0190 | OF | 765,632,153 | 899,362,146 | 111,277,327 | 948,852,010 |
| Business License & Fees | 0205 | OF | 12,828,325 | 8,955,937 | 12,945,952 | 12,922,725 |

| Oregon Health Authority<br>Agency Level<br>44300-000-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Non-Business License & Fees | 0210 | OF | 13,124,184 | 14,909,812 | 14,909,812 | 16,747,558 |
| Charges for Services | 0410 | OF | 162,233,239 | 29,304,245 | 24,641,444 | 24,641,444 |
| Administrative Service Charges | 0415 | OF | 1,240,019,056 | 3,152,446,699 | 3,343,448,253 | 3,261,907,990 |
| Care of State Wards | 0420 | OF | 13,804,386 | 2,369,042 | 2,369,042 | 2,369,042 |
| Fines and Forfeitures | 0505 | OF | 36,343 | 112,152 | 112,152 | 112,152 |
| Rents and Royalties | 0510 | OF | 55,581 | - | - | - |
| General Fund Obligation Bonds | 0555 | OF | 10,718,826 | 86,860,000 | 32,665 | 32,665 |
| Certificates of Participation | 0580 | OF | 59,900,000 | 1,324,073 | 17,335 | 17,335 |
| Interest Income | 0605 | OF | 2,629,187 | 8,116,332 | 5,021,172 | 5,021,172 |
| Sales Income | 0705 | OF | 148,631 | 7,924,013 | 7,924,013 | 7,924,013 |
| Donations | 0905 | OF | 4,053,930 | 283,030 | 283,030 | 283,030 |
| Grants (Non-FED) | 0910 | OF | - | 1,343,201 | 1,343,201 | 1,343,201 |
| Loan Repayment | 0925 | OF | 90,558 | - | - | - |
| Insurance Premiums | 0965 | OF | - | 116,712,922 | - | - |
| Other Revenues | 0975 | OF | 1,799,325,441 | 943,280,517 | 738,895,101 | 2,164,523,418 |
| Loan Proceeds | 0980 | OF | 215,000,000 | - | - | - |
| **TOTAL REVENUES** | | **OF** | **4,736,597,665** | **5,632,715,367** | **4,279,956,753** | **6,940,139,691** |

| Oregon Health Authority<br>Agency Level<br>44300-000-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **TRANSFER IN** | | | | | | |
| Transfer in Intrafund | 1010 | OF | 25,325,199 | - | - | - |
| Transfer in Other | 1050 | OF | - | 124,267,000 | 124,000,000 | 124,000,000 |
| Transfer in Administrative Services | 1107 | OF | 313,307,287 | - | - | 137,000,000 |
| Transfer in Governor, Office of the | 1121 | OF | 1,084,798 | - | - | - |
| Transfer in Revenue Department | 1150 | OF | 360,272,153 | 346,734,482 | 346,192,079 | 346,192,079 |
| Transfer in Judicial | 1198 | OF | 288,540 | - | - | - |
| Transfer in Military Department | 1248 | OF | 403,922 | - | - | - |
| Transfer in Department of Energy | 1330 | OF | 82,345 | 49,924 | 49,924 | 49,924 |
| Transfer from Environmental Quality | 1340 | OF | 120,000 | - | - | - |
| Transfer from Oregon Youth Authority | 1415 | OF | 159,314 | 225,280 | 232,038 | 232,038 |
| Transfer in Commission & Families | 1423 | OF | 561,353 | - | - | - |
| Transfer in Consumer Business Services | 1440 | OF | 132,350,072 | 29,004,800 | - | - |
| Tsfr From Oregon Health Authority | 1443 | OF | 64,874,279 | - | - | - |
| Transfer in Education | 1581 | OF | - | 1,195,516 | 1,322,340 | 1,322,340 |
| Transfer in Agriculture | 1603 | OF | 110,470 | 103,783 | 106,897 | 106,897 |
| Transfer in Department of Transportation | 1730 | OF | 38,558 | - | - | - |

| Oregon Health Authority<br>Agency Level<br>44300-000-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Transfers in Health Lic Agency | 1831 | OF | 1,050 | - | - | - |
| Transfer in Health Relations Licensing Board | 1833 | OF | 45,928 | 44,450 | 45,400 | 45,400 |
| Transfer in Board of Dentistry | 1834 | OF | 380,008 | 445,716 | 401,128 | 401,128 |
| Transfer in Liquor Control Commission | 1845 | OF | 16,350,877 | 17,823,000 | 17,996,500 | 20,273,736 |
| Transfer in Oregon Medical Board | 1847 | OF | 1,551,707 | 1,553,049 | 1,645,210 | 1,645,210 |
| Transfer in Board of Nursing | 1851 | OF | 1,884,420 | 2,095,628 | 2,146,431 | 2,146,431 |
| Transfer in Board of Pharmacy | 1855 | OF | 460,791 | 496,674 | 526,344 | 526,344 |
| Transfer in Housing and Commercial Services | 1914 | OF | 38,168 | - | - | - |
| **TOTAL TRANSFERS IN** | | **OF** | **919,691,239** | **524,039,302** | **494,664,291** | **634,641,142** |
| **TRANSFER OUT** | | | | | | |
| Transfer to Intrafund | 2010 | OF | (25,325,199) | - | - | - |
| Transfer to General Fund | 2060 | OF | (7,226,586) | - | - | (120,000,000) |
| Transfer to Counties | 2080 | OF | (7,926,444) | (7,129,200) | (7,476,020) | (7,476,020) |
| Transfer to Department of Human Services | 2100 | OF | (1,887,485) | (687,500) | - | - |
| Transfer to Police, Dept of State | 2257 | OF | (201,000) | - | - | - |
| Transfer to Oregon Health Authority | 2443 | OF | (17,925) | - | - | - |
| Transfer to Oregon Health and Science University | 2590 | OF | (3,500) | - | - | - |

| Oregon Health Authority<br>Agency Level<br>44300-000-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Transfer to Health Relations Licensing Board | 2833 | OF | - | (987,107) | (987,107) | (987,107) |
| **TOTAL TRANSFERS OUT** | | **OF** | **(42,588,139)** | **(8,803,807)** | **(8,463,127)** | **(128,463,127)** |
| **TOTAL OTHER FUNDS** | | **OF** | **5,613,700,765** | **6,147,950,862** | **4,766,157,917** | **7,446,317,706** |
| **FEDERAL FUNDS** | | | | | | |
| **REVENUES** | | | | | | |
| Beginning Balance | 0025 | FF | - | 184,602 | - | - |
| Federal Funds Revenue | 0995 | FF | 5,203,433,165 | 8,758,508,857 | 9,278,806,978 | 10,846,384,656 |
| **TOTAL REVENUES** | | **FF** | **5,203,433,165** | **8,758,693,459** | **9,278,806,978** | **10,846,384,656** |
| **TRANSFERS OUT** | | | | | | |
| Transfer to Economic & Community Development | 2123 | FF | (27,190,201) | (17,430,791) | (18,284,000) | (18,284,000) |
| Tsfr To Energy, Dept of | 2330 | FF | (100,000) | - | - | - |
| Transfer to Environmental Quality | 2340 | FF | (1,291,183) | (1,566,805) | (1,431,876) | (1,431,876) |
| Transfer to Oregon Health and Science University | 2590 | FF | (3,674,490) | - | - | - |
| **TOTAL TRANSFERS OUT** | | **FF** | **(32,255,874)** | **(18,997,596)** | **(19,715,876)** | **(19,715,876)** |
| **TOTAL FEDERAL FUNDS** | | **FF** | **5,171,177,291** | **8,739,695,863** | **9,259,091,102** | **10,826,668,780** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **12,487,377,887** | **16,858,148,996** | **17,049,409,249** | **20,493,403,988** |

# DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE INCREASE

## Proposed for Increase/Establishment

| Purpose or Type of Fee, License or Assessment | Who Pays | 2013-15 Estimated Revenue | 2015-17 Agency Request | 2015-17 Governor's Budget | 2015-17 Legislatively Adopted | Explanation |
|---|---|---|---|---|---|---|
| RML Analytical/Leak Test | Laboratories | 2,484 | 2,760 | 2,760 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Basic License | Service Vendors | 92,232 | 102,480 | 102,480 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Brachytherapy | Medical Clinics | 84,303 | 93,670 | 93,670 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Broad Scope A | Academia | 12,000 | 21,000 | 21,000 | | No Change |
| RML Broad Scope B | Academia | 4,959 | 5,510 | 5,510 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Broad Scope C | Academia | 7,398 | 8,220 | 8,220 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Distribution | Industry | 7,398 | 8,220 | 8,220 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Fixed Gauge | Industry | 206,172 | 229,080 | 229,080 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML HDR Brachytherapy | Medical Clinics | 63,316 | 66,000 | 66,000 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Imaging and Localization | Medical Clinics | 180,018 | 200,020 | 200,020 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML In Vitro Laboratory | Pathology Labs | 2,457 | 2,730 | 2,730 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Instrument Calibration | Calibration Services | 3,726 | 4,140 | 4,140 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |

# DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE INCREASE

## Proposed for Increase/Establishment

| Purpose or Type of Fee, License or Assessment | Who Pays | 2013-15 Estimated Revenue | 2015-17 Agency Request | 2015-17 Governor's Budget | 2015-17 Legislatively Adopted | Explanation |
|---|---|---|---|---|---|---|
| RML Investigational New Drug | Researchers | 3,717 | 4,130 | 4,130 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Irradiator Self-Shielded | Researchers | 17,262 | 19,180 | 19,180 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Manufacturing/Compound | Manufacturers | 5,936 | 6,000 | 6,000 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Mobile Nuclear Medicine | Manufacturers | 23,744 | 24,000 | 24,000 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML NORM | Industry | 4,968 | 5,520 | 5,520 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Nuclear Pharmacy | Medical Clinics | 6,000 | 12,000 | 12,000 | | No Change |
| RML Other Measuring Device | Industry | 51,840 | 57,600 | 57,600 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Portable Gauge XRF | Industry | 24,840 | 27,600 | 27,600 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Portable Gauge | Industry | 488,520 | 542,800 | 542,800 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Radiopharmaceutical | Medical Clinics | 126,378 | 140,420 | 140,420 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML RAM/NOS Facility | Industry | 0 | 0 | 0 | | No Change |
| RML Research and Develop. | Researchers | 22,302 | 24,780 | 24,780 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |

# DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE INCREASE

## Proposed for Increase/Establishment

| Purpose or Type of Fee, License or Assessment | Who Pays | 2013-15 Estimated Revenue | 2015-17 Agency Request | 2015-17 Governor's Budget | 2015-17 Legislatively Adopted | Explanation |
|---|---|---|---|---|---|---|
| RML Sealed Sources for Diagnosis | Medical Clinics | 1,242 | 1,380 | 1,380 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Source Material | Medical Clinics | 3,000 | 6,000 | 6,000 | | No Change |
| RML Nuclear Material Sealed | Medical Clinics/Industry | 2,466 | 2,740 | 2,740 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Nuclear Material Unsealed | Medical Clinics/Industry | 5,766 | 6,000 | 6,000 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Teletherapy | Medical Clinics | 3,000 | 6,000 | 6,000 | | No Change |
| RML Uptake and Dilution | Medical Clinics | 62,928 | 69,920 | 69,920 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Xenon Gas | Medical Clinics | 18,216 | 20,240 | 20,240 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Well Logging | Industry | 14,868 | 16,520 | 16,520 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Sealed Sources | Medical Clinics/Industry | 0 | 0 | 0 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML General In -Vitro | Academia | 2,160 | 2,400 | 2,400 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML General Material | Industry | 26,280 | 29,200 | 29,200 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Producing Light | Medical Clinics/Industry | 0 | 0 | 0 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |

# DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE INCREASE

## Proposed for Increase/Establishment

| Purpose or Type of Fee, License or Assessment | Who Pays | 2013-15 Estimated Revenue | 2015-17 Agency Request | 2015-17 Governor's Budget | 2015-17 Legislatively Adopted | Explanation |
|---|---|---|---|---|---|---|
| RML General Depleted UA | Industry | 0 | 0 | 0 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML General Source Material | Researchers | 1,080 | 1,200 | 1,200 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Gamma Isotopic Liquid | Analyses | 0 | 0 | 0 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| RML Gamma Isotopic Solid | Analyses | 60,066 | 66,740 | 66,740 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| Low Level Iodine-131 | Analyses | 0 | 0 | 0 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| Tritium (H-3) | Analyses | 0 | 0 | 0 | | Increase the Radioactive Materials Licensing (RML) fees. Due to an increase in budgetary demands |
| Newborn Screening one specimen kit | submitters | 143,600 | 153,600 | 153,600 | | Add severe combined immunodeficiency (SCID) to the newborn screening test panel. No change in number of fee payers. OAR change only; no statutory change needed. |
| Newborn Screening two specimen kit | submitters | 4,948,488 | 5,196,800 | 5,196,800 | | Add SCID to the newborn screening test panel. No change in number of fee payers. OAR change only; no statutory change needed. |
| Newborn Screening three specimen kit | submitters | 149,312 | 256,000 | 256,000 | | Add SCID to the newborn screening test panel. No change in number of fee payers. OAR change only; no statutory change needed. |

___Agency Request _X_Governor's Budget _____Legislatively Adopted Budget Page _____

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-000-00-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Lottery Funds** | | | | | | |
| Transfer In Other | 90,723 | - | - | - | - | - |
| Tsfr From Administrative Svcs | 10,350,984 | 10,545,832 | 10,592,542 | 11,397,648 | 10,827,615 | - |
| **Total Lottery Funds** | **$10,441,707** | **$10,545,832** | **$10,592,542** | **$11,397,648** | **$10,827,615** | **-** |
| **Other Funds** | | | | | | |
| Other Selective Taxes | 765,632,153 | 846,173,190 | 899,362,146 | 111,277,327 | 948,852,010 | - |
| Business Lic and Fees | 12,828,325 | 7,379,041 | 8,955,937 | 12,945,952 | 12,922,725 | - |
| Non-business Lic. and Fees | 13,124,184 | 16,139,349 | 14,909,812 | 14,909,812 | 16,747,558 | - |
| Charges for Services | 43,827,116 | 29,303,045 | 29,304,245 | 24,641,444 | 24,641,444 | - |
| Admin and Service Charges | 126,094,163 | 1,523,826,101 | 1,524,152,699 | 1,627,661,165 | 3,261,907,990 | - |
| Care of State Wards | 13,804,386 | 2,369,042 | 2,369,042 | 2,369,042 | 2,369,042 | - |
| Fines and Forfeitures | 36,343 | - | 112,152 | 112,152 | 112,152 | - |
| Rents and Royalties | 55,581 | - | - | - | - | - |
| General Fund Obligation Bonds | 10,718,826 | 86,860,000 | 86,860,000 | 32,665 | 32,665 | - |
| Cert of Participation | 59,900,000 | 1,324,073 | 1,324,073 | 17,335 | 17,335 | - |
| Interest Income | 1,254,373 | 5,116,332 | 5,116,332 | 5,021,172 | 5,021,172 | - |
| Sales Income | 148,631 | 7,922,213 | 7,924,013 | 7,924,013 | 7,924,013 | - |
| Donations | 2,279,645 | 283,030 | 283,030 | 283,030 | 283,030 | - |
| Grants (Non-Fed) | - | 1,188,283 | 1,343,201 | 1,343,201 | 1,343,201 | - |
| Loan Repayments | 90,558 | - | - | - | - | - |
| Insurance Premiums | - | 13,931,687 | 13,931,687 | - | - | - |
| Other Revenues | 359,232,042 | 792,457,906 | 772,644,187 | 595,395,101 | 2,021,023,418 | - |
| Loan Proceeds | 215,000,000 | - | - | - | - | - |
| Transfer In - Intrafund | 25,325,199 | - | - | - | - | - |
| Transfer In Other | - | 116,100,000 | 124,267,000 | 124,000,000 | 124,000,000 | - |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** | **Agency Number: 44300**
**2015-17 Biennium** | **Cross Reference Number: 44300-000-00-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Transfer from General Fund | - | - | - | - | 699,615 | - |
| Tsfr From Administrative Svcs | 203,041,905 | - | - | - | 137,000,000 | - |
| Tsfr From Governor, Office of the | 1,084,798 | - | - | - | - | - |
| Tsfr From Revenue, Dept of | 360,272,153 | 336,734,482 | 346,734,482 | 346,192,079 | 346,192,079 | - |
| Tsfr From Judicial Dept | 288,540 | - | - | - | - | - |
| Tsfr From Military Dept, Or | 403,922 | - | - | - | - | - |
| Tsfr From Energy, Dept of | 82,345 | 49,924 | 49,924 | 49,924 | 49,924 | - |
| Tsfr From Environmental Quality | 120,000 | - | - | - | - | - |
| Tsfr From Or Youth Authority | 159,314 | 225,280 | 225,280 | 232,038 | 232,038 | - |
| Tsfr From Child/Fam, Comm on | 561,353 | - | - | - | - | - |
| Tsfr From Consumer/Bus Svcs | 122,734,678 | 29,004,800 | 29,004,800 | - | - | - |
| Tsfr From Oregon Health Authority | 64,874,279 | - | - | - | - | - |
| Tsfr From Education, Dept of | - | 1,191,316 | 1,195,516 | 1,322,340 | 1,322,340 | - |
| Tsfr From Agriculture, Dept of | 110,470 | 103,783 | 103,783 | 106,897 | 106,897 | - |
| Tsfr From Transportation, Dept | 38,558 | - | - | - | - | - |
| Tsfr From Health Lic Agency | 1,050 | - | - | - | - | - |
| Tsfr From Health Rel Lic Bds | 45,928 | 44,450 | 44,450 | 45,400 | 45,400 | - |
| Tsfr From Board of Dentistry | 380,008 | 445,716 | 445,716 | 401,128 | 401,128 | - |
| Tsfr From Or Liquor Cntrl Comm | 16,350,877 | 17,823,000 | 17,823,000 | 17,996,500 | 20,273,736 | - |
| Tsfr From Oregon Medical Board | 1,551,707 | 1,553,049 | 1,553,049 | 1,645,210 | 1,645,210 | - |
| Tsfr From Nursing, Bd of | 1,884,420 | 2,095,628 | 2,095,628 | 2,146,431 | 2,146,431 | - |
| Tsfr From Board of Pharmacy | 460,791 | 496,674 | 496,674 | 526,344 | 526,344 | - |
| Tsfr From Housing and Com Svcs | 38,168 | - | - | - | - | - |
| Transfer Out - Intrafund | (25,325,199) | - | - | - | - | - |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-000-00-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Transfer to General Fund | (7,226,586) | - | - | - | (120,000,000) | - |
| Transfer to Counties | (7,926,444) | (7,129,200) | (7,129,200) | (7,476,020) | (7,476,020) | - |
| Tsfr To Human Svcs, Dept of | (1,887,485) | (687,500) | (687,500) | - | - | - |
| Tsfr To Police, Dept of State | (201,000) | - | - | - | - | - |
| Tsfr To Oregon Health Authority | (17,925) | - | - | - | - | - |
| Tsfr To Or Health & Science U | (3,500) | - | - | - | - | - |
| Tsfr To Health Rel Lic Bds | - | (987,107) | (987,107) | (987,107) | (987,107) | - |
| **Total Other Funds** | **$2,381,248,650** | **$3,831,337,587** | **$3,883,828,051** | **$2,890,134,575** | **$6,809,375,770** | **-** |
| **Federal Funds** | | | | | | |
| Federal Funds | 5,095,072,567 | 7,503,930,270 | 8,651,628,790 | 9,172,153,955 | 10,739,731,633 | - |
| Tsfr To OR Business Development | (27,190,201) | (17,430,791) | (17,430,791) | (18,284,000) | (18,284,000) | - |
| Tsfr To Energy, Dept of | (100,000) | - | - | - | - | - |
| Tsfr To Environmental Quality | (1,291,183) | (1,566,805) | (1,566,805) | (1,431,876) | (1,431,876) | - |
| Tsfr To Or Health & Science U | (3,674,490) | - | - | - | - | - |
| **Total Federal Funds** | **$5,062,816,693** | **$7,484,932,674** | **$8,632,631,194** | **$9,152,438,079** | **$10,720,015,757** | **-** |
| **Nonlimited Other Funds** | | | | | | |
| Charges for Services | 118,406,123 | - | - | - | - | - |
| Admin and Service Charges | 1,113,924,893 | 1,628,294,000 | 1,628,294,000 | 1,715,787,088 | - | - |
| Interest Income | 1,374,814 | 3,000,000 | 3,000,000 | - | - | - |
| Donations | 1,774,285 | - | - | - | - | - |
| Insurance Premiums | - | 102,781,235 | 102,781,235 | - | - | - |
| Other Revenues | 1,440,093,399 | 170,636,330 | 170,636,330 | 143,500,000 | 143,500,000 | - |
| Tsfr From Administrative Svcs | 110,265,382 | - | - | - | - | - |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

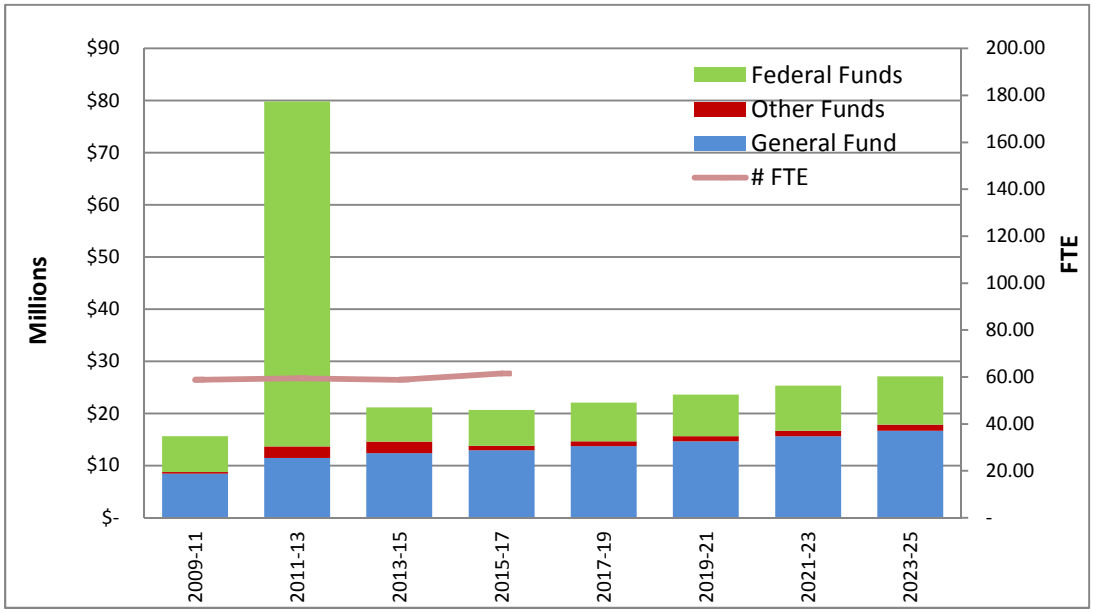
**Oregon Health Authority** — **Agency Number: 44300**

**2015-17 Biennium** — **Cross Reference Number: 44300-000-00-00-00000**

| *Source* | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Nonlimited Other Funds** | | | | | | |
| Tsfr From Consumer/Bus Svcs | 9,615,394 | - | - | - | - | - |
| **Total Nonlimited Other Funds** | **$2,795,454,290** | **$1,904,711,565** | **$1,904,711,565** | **$1,859,287,088** | **$143,500,000** | **-** |
| **Nonlimited Federal Funds** | | | | | | |
| Federal Funds | 108,360,598 | 106,880,067 | 106,880,067 | 106,653,023 | 106,653,023 | - |
| **Total Nonlimited Federal Funds** | **$108,360,598** | **$106,880,067** | **$106,880,067** | **$106,653,023** | **$106,653,023** | **-** |

# Oregon Health Authority: Central Services

Primary Outcome Area: Improving Government
Program contact: Bill Coulombe, Budget Director
503-947-5196




## PROGRAM OVERVIEW

OHA Central Services supports the OHA mission by providing leadership in key policy and business areas, including:

- Office of the Director and Policy
- OHA Dedicated Services
  - Office of Human Resources
  - Office of Budget Planning and Analysis
  - Office of Communications

## PROGRAM FUNDING REQUEST

For the 2015-2017 biennium, the Oregon Health Authority requests the following budget (in millions) for Central Services:

- 2015-2017 Request: $20.7 TF ($12.9 GF, $0.9 OF and $6.9 FF)

From this investment, OHA Central Services will provide critical business support necessary to achieve the agency's mission: helping people and communities achieve optimum physical, mental and social well-being through partnerships, prevention and access to high-quality, affordable health care.

The Oregon Health Authority estimates the following costs (in millions) for OHA Central Services through the 2021-2023 biennium:

- 2017-2019 Projected Costs: $22.1 TF ($13.8 GF, $0.9 OF and $7.4 FF)
- 2019-2021 Projected Costs: $23.6 TF ($14.6 GF, $1.0 OF and $8.0 FF)
- 2021-2023 Projected Costs: $25.3 TF ($15.6 GF, $1.1 OF and $8.6 FF)

## PROGRAM DESCRIPTION

***Office of the Director and Policy*** is responsible for overall leadership, policy development and administrative oversight for the Oregon Health Authority. This office coordinates with the Governor's Office, the Legislature, other state and federal agencies, partners and stakeholders, local governments, advocacy and client groups, and the private sector.

The OHA Director's Office provides leadership in achieving the agency's mission. OHA's clear direction is to innovate, improve and transform the state health care system to meet three goals:

- Improve the lifelong health of all Oregonians;
- Increase the quality, reliability and availability of care for all Oregonians; and
- Lower or contain the cost of care so it is affordable to everyone.

***The Office of Human Resources*** supports OHA's mission by developing and delivering innovative human resource programs and services. These services and competencies include recruitment and staffing, employee relations, organizational and employee development, risk management, HR information management and regulatory compliance.

***The Office of Budget, Planning and Analysis*** supports OHA's mission with leadership and collaboration for the strategic decisions of the programs by

providing an in-depth knowledge of OHA financial processes, federal program and fiscal policy, business line funding streams, and state budget processes.

***The Office of Communications*** supports the mission of the Oregon Health Authority by providing information to employees, clients, legislators, stakeholders and interest groups, providers and partners, local governments, other state and federal agencies, policymakers, the news media, targeted audiences, and the general public. The office also supports the department's priority projects as defined by the agency's director and cabinet. The staff ensures that OHA complies with all statutory and legal requirements pertaining to public records requests, and other communication issues.

## PROGRAM JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

OHA Central Services provides critical business support necessary to achieve the agency's mission: helping people and communities achieve optimum physical, mental and social well-being through partnerships, prevention and access to quality affordable health care.

## PROGRAM PERFORMANCE

The Office of Human Resources activities include but aren't limited to the following average yearly metrics.

- 200 position descriptions
- 400 requisitions
- 25,000 applications
- 700 new hires and promotions
- 400 differentials for new determinations and extensions

The Office of Budget, Planning and Analysis implements and monitors the budget for 61 program units. This office also develops and updates the budget during the statewide budget processes of Agency Request, Governor's Balanced Budget, the Legislatively Adopted Budget, Rebalance reports to the legislative assembly twice a year, and various Emergency Board requests.
Office of Communications –The Office of Communications (OC) works to ensure the Oregon Health Authority agency is responsive and transparent to the media

and the public. OC also strives to ensure information produced by the agency for employees, clients, partners, the media and the public is useful, accurate and timely.

OC works on a wide variety of communications, including special direct client communications, media requests, and employee and stakeholder communications.

OC key activities include but aren't limited to the following average yearly metrics.

- 600 requests media queries
- 100 public record requests
- 120 news releases
- 55 Weekly messages from the OHA director to 12,000 subscribers and employees.
- Seven monthly newsletters to staff and employees.
- Letters to more than 270,000 Oregonians eligible for fast-track enrollment into the Oregon Health Plan and supporting communications.
- Creation of the *OHP.Oregon.gov* to provide information to newly eligible OHP members on how to apply for and use benefits, and their rights and responsibilities under OHP.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

The Oregon Health Authority was created and authorized under House Bill 2009, during the 2009 Legislative session. All OHA program areas have accompanying federal and state legislative authority for the operations of the respective programs. See program narrative details for specific enabling legislation by program area.

## FUNDING STREAMS

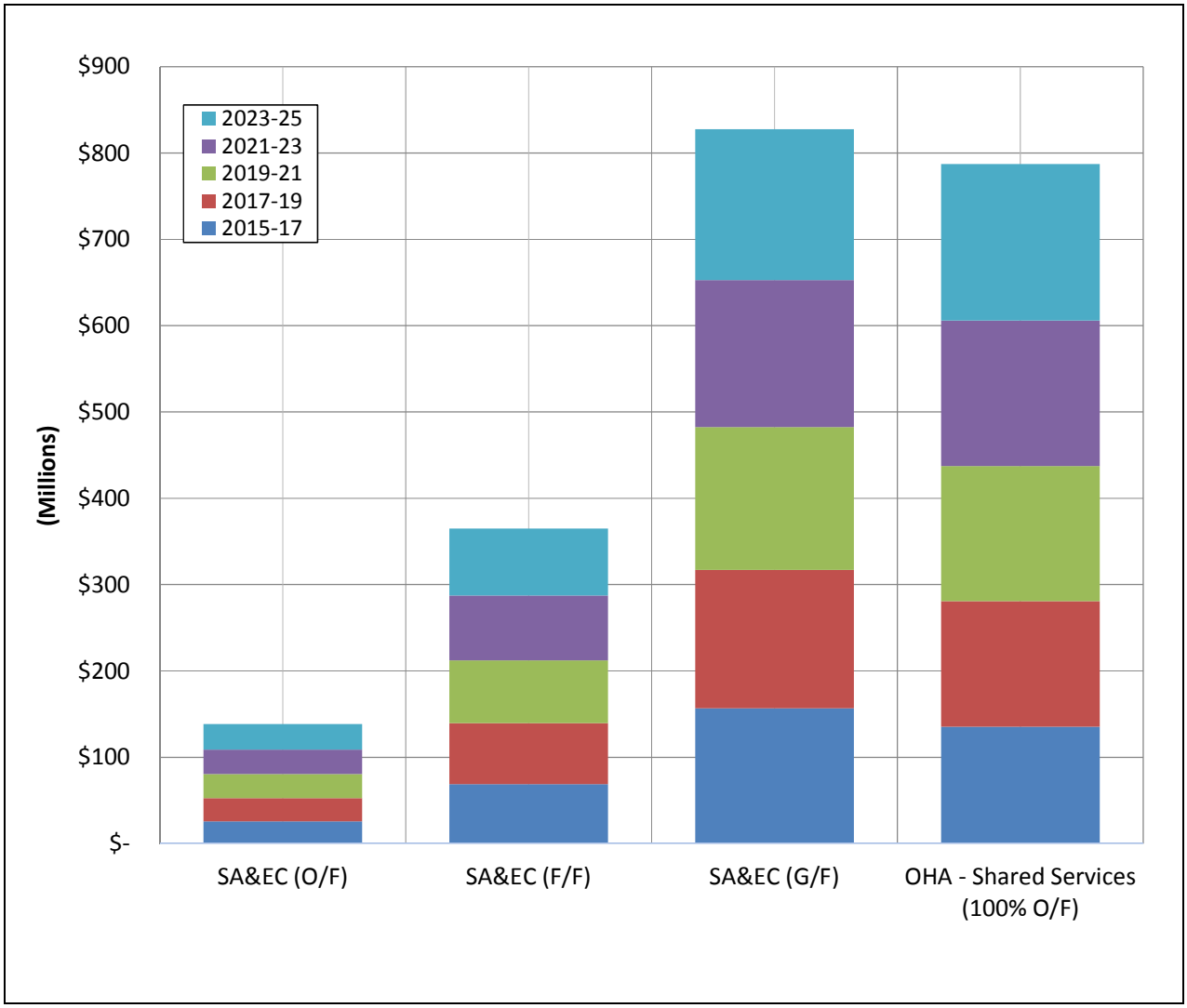
Funding streams in support of Central Services are allocated through a federally approved cost allocation plan. A grant allocation module aggregates costs on a monthly basis, as outlined in the federally approved plan, to its respective state and federal funding sources.

## SIGNIFICANT PROPOSED PROGRAM CHANGES FROM 2013-2015

During the 2013-2015 biennium, OHA created the Health Policy Programs structure to achieve the needed accountability and alignment for the health transformation efforts. In this re-alignment, several programs moved from Central Services to Health Policy Programs. This included the Office of the Health Policy Director, Office of Health Policy and Research, Office of Health Analytics, OHA Transformation Center, Office of Equity and Inclusion, and Office of Health Information Technology. As a result, the Central Services 2015-2017 base budget is significantly less than the 2013-2015 Legislatively Approved Budget.

# Oregon Health Authority: Shared Services and State Assessments & Enterprise-wide Costs

Primary Outcome Area: Improving Government
Program contact: Bill Coulombe, Budget Director
503-947-5196



# EXECUTIVE SUMMARY

OHA Shared Services supports both DHS and OHA by providing leadership in the delivery of efficient, consistent and coordinated administrative services to all programs within both departments. OHA Shared Services contains the following programs:

- Office of Information Services
- Information Security and Privacy Office

OHA state assessments and enterprise-wide costs (SA&EC) includes the budget for costs that affect the entire agency.

## State government service charges, price list:

DAS charges a mandatory assessment to all state agencies (SGSC) and an estimated fee for service charge provided by the following programs and others not listed here:

- DAS - Chief Financial Office (CFO)
- DAS - E-Government Program
- DAS - Enterprise Security Office
- DAS - Chief Human Resources Office
- Secretary of State Audits Division
- State Controllers Division
- Enterprise Goods and Services (EGS) - procurement
- Oregon State Library
- Chief Operating Office
- All others

## Risk Management Program, price list:

Under ORS 278.405, the Department of Administrative Services (DAS) manages risk management and insurance programs of state government. It has responsibility to:

- Provide insurance coverage for tort liability, state property, and workers' compensation;

- Purchase insurance policies, develop and administer self-insurance programs;
- Purchase risk management, actuarial and other required professional services;
- Provide technical services in risk management and insurance;
- Adopt rules and policies governing the administration of the state's insurance and risk management activities.

## State Data Center (SDC), price list:

The State Data Center provides and manages a common computing and network infrastructure for state agencies and local governments. The SDC provides services in the following service areas:

- Mainframe
- Distributed services
- Midrange
- Disaster recovery
- Storage
- Network
- Voice

## Telecom, price list:

Telecommunications provides access to data and technology necessary to do business.

## Facilities:

Provides coordination for DHS and OHA offices. The facilities expenditures include:

- Rent or lease work space for staff (includes escalations and reconciliation costs);
- Lease building maintenance management (janitorial, repair and maintenance);
- Fuels and utilities (includes rate increases);

- DAS leasing fees and building rent;
- Copier maintenance;
- Professional services for furniture movers, installers and emergency repairs;
- Attorney General cost for legal sufficiency reviews for leases, negotiations related to legal issues for facility related matters, and legal opinions;
- Inventory replenishment;
- Costs of systems furniture reconfigurations, building remodels, facilities relocations and staff moves.

## IT direct – internal computer replacement:

This funding represents the lifecycle replacement, repairs, and new computers for new positions. If the agency requests an upgrade or purchase that is not considered replacement, repair or a new computer for an existing employee, the purchase is charged to the program.

## Shared Services funding:

Funding is based on cost allocation statistics as applied to Shared Services office expenditures. The allocation method determines distribution of expenditures to OHA vs. DHS, and the revenue distribution by General Fund, Other Fund or Federal Fund.

## Debt service:

Debt service is the obligation to repay principal and interest on funds borrowed through the sale of certificates of participation (COPs) and bonds. The state uses proceeds of COPs and bonds to build and improve correctional facilities. They also are used to provide staff support for related activities including project management, community development coordination and fiscal services support. Repayment periods range from six to 26 years depending on the nature and value of the project. The Department of Administrative Services Capital Investment Section provides schedules of debt service obligations for each sale; these are the

values used to develop the budget. Occasionally, the Capital Investment Section is able to refinance existing debt, which can reduce or delay debt obligations.

## Mass transit:

Transit taxes are employer taxes used to fund a mass transit district. These are not deducted from employee pay. The transit tax is imposed directly on the employer. The tax is figured only on the amount of gross payroll for services performed within the TriMet or Lane Transit Districts. This includes traveling sales representatives and employees working from home. The Oregon Department of Revenue administers tax programs. Nearly every employer who pays wages for services performed in these districts must pay transit payroll tax. Based on state-only (General Funds) funding.

## Unemployment insurance:

Benefits provide temporary financial assistance to workers unemployed through no fault of their own who meet Oregon's eligibility requirements. Invoiced and paid quarterly.

## Treasury:

This budget component was established to capture the Other Fund loan limitation and the GF appropriation for the loan interest payment for each agency sometime during the second year of the biennium. Loan and interest estimates are provided by Financial Services Cash Management Accountant.

## OHA SHARED SERVICES PROGRAM FUNDING REQUEST

| Shared Services | GF | OF | FF | TF |
|---|---|---|---|---|
| Personal Services | - | 102,435,257 | - | 102,435,257 |
| Services & Supplies | - | 34,293,627 | - | 34,293,627 |
| Capital Outlay | - | - | - | - |
| Special Payments | - | - | - | - |
| Governer's Budget (2015-17 ) | - | 136,728,884 | - | 136,728,884 |

| SA&EC | GF | OF | FF | TF |
|---|---|---|---|---|
| Personal Services | 2,077,588 | 788,187 | 80,416 | 2,946,191 |
| Services & Supplies | 46,796,842 | 13,849,572 | 34,018,055 | 94,664,469 |
| Capital Outlay | - | - | - | - |
| Special Payments | 38,071,935 | 9,898,365 | 31,010,200 | 78,980,500 |
| Governer's Budget (2015-17 ) | 86,946,365 | 24,536,124 | 65,108,671 | 176,591,160 |

*Note: Personal Services budget in SAEC represents Mass Transit and Unemployment Insurance payments*

## PROGRAM DESCRIPTION

***Office of Information Services (OIS)*** is a shared service provider for DHS and OHA. It provides information technology (IT) systems and services for nearly 16,000 agency and partner staff at 350 local offices, Oregon State Hospital locations, public health laboratories and testing services for county health departments, medical and military facilities, and other locations statewide.

OIS provides support for more than 12,000 desktop computers and 2,000 printers. The Service Desk responds to more than 12,000 service requests each month.

OIS provides information systems and services to DHS and OHA staff and partners statewide in support of programs that:

- Determine client eligibility;
- Provide medical, housing, food and job assistance;
- Provide addiction, mental health, and vocational and rehabilitative services;
- Protect children, seniors and people with physical and developmental disabilities;
- Process claims and benefits;
- Manage provider licensing and state hospital facilities;
- Promote and protect public health;
- Respond to and coordinate statewide disasters and health emergencies, and support the Health Alert Network and emergency preparedness activities.

OIS also supports partners around the state that use DHS and OHA systems. These include:

- State agencies including the Oregon Department of Justice Division of Child Support, the Oregon Employment Department and others;
- Cities and counties;
- District attorney's offices;
- Private hospitals;
- Other computer centers.

Many of the IT systems used by DHS, OHA and agency partners are needed 24 hours a day, seven days a week.

***Information Security and Privacy Office (ISPO)*** is a shared service office providing information security services for DHS and OHA. ISPO uses business risk management practices to protect confidential information assets and educate staff, volunteers and partners on how to protect this information and report incidents when they occur.

The ISPO drivers include federal and state security regulations and audit findings, contractual and grant obligations, DHS security policies and procedures, legislative mandates and the Oregon Consumer Identity Theft Protection Act.

***OHA State Assessments and Enterprise-wide Costs budget*** includes central government assessments and charges. This includes state government service charges, risk assessments, State Data Center charges, Secretary of State audit charges, mass transit charges, and information technology direct charges. This budget also includes all facilities costs including rent, maintenance, and utilities. Debt service is now included in this section as well.

In addition, the funding to pay for shared services received from both OHA and DHS is included in this budget. Funding streams in support of Shared Services are billed through a federally approved cost allocation plan. The model contains a billing allocation module and a grant allocation module.

## PROGRAM JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

OHA Shared Services provide critical business supports necessary for OHA programs to achieve the agency's mission

Its budget is structured and administered according to the following principles:

***Control over major costs.*** OHA centrally manages many major costs. Some, such as many DAS charges, are essentially fixed to the agency. Others, such as facility rents, are managed centrally to control the costs. OHA Shared Services supports both the Department of Human Services (DHS) and OHA by providing leadership in the delivery of efficient, consistent and coordinated administrative services to all programs within both departments.

***Customer-driven shared services.*** With the creation of separate agencies, DHS and OHA agreed to maintain many administrative functions as shared services to minimize costs, avoid duplication of effort, maintain centers of excellence, and preserve standards that help the agencies work together.

DHS and OHA govern their shared services through a governing board of the two agencies' operational leaders. This approach ensures that shared services are prioritized and managed to support program needs. The board and its chartered subgroups have:

- Established service level agreements and performance measures for each service;

- Selectively implemented mandated budget cuts;
- Managed staff within the shared services deliver services in a rational way; and
- Begun implementing more integrated systems to support the performance of all our employees.

## PROGRAM PERFORMANCE

OIS and ISPO performance measures focus on customer service, system performance, responsiveness and information security. Other support areas have their own performance measures based on their systems and the services they provide. The following table provides an overview of OIS and ISPO customer measures.

| Measure Name | RANGE Red | Yellow | Green | STATUS |
|---|---|---|---|---|
| **Customer Service and Support** | | | | |
| Customer ticket resolution: Customer tickets resolved in first contact with the Service Desk. | <50% | 50 - 69% | ≥70% | 70% |
| Call response: Calls to the Service Desk are answered less than 4 minutes. | <70% | 70 - 79% | ≥80% | 100% |
| IT acquisition/purchase request response: Respond to IT acquisition/purchase requests within one (1) business week (five (5) working days), pending parts and availability. | <80% | 80 - 89% | ≥90% | 90% |
| DHS/OHA network availability: The DHS/OHA network is available. | <80% | 80 - 89% | ≥90% | 81% |
| **Systems Applications Maintenance and Support** | | | | |
| Meeting project objectives: Established cost, scope and schedule objectives on operations and maintenance portfolio projects are met for major change or new information systems development. | <85% | 85 - 90% | >90% | 95 - 100% |
| System interruption restoration: System interruptions are restored as soon as possible within 24 hours. | <80 - 85% | 80 - 90% | >90% | 90 - 100% |
| Prioritization of new requests: New development and enhancement requests are prioritized through regularly scheduled meetings and processes with customer groups. | <80% | 85 - 90% | >90% | 100% |
| **Information Security and Privacy** | | | | |
| Agreement/Grant Reviews: Total number of agreements/grants reviewed within the one day response time. | <85% | 85 - 94% | ≥95% | 100% |
| Assessments Completed: Total number of application, network & physical assessments completed. | <10 | 10 - 14 | >14 | 17 |
| SPAM Filters: Total number of SPAM filters added within 24 hour response time. | <80% | 80 - 89% | ≥90% | 100% |
| Employee Required Training | <70% | 70 - 89% | ≥90% | 85% - Info Security<br>83% - General Privacy |

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

HB 2009 created the Oregon Health Authority in 2009.

## FUNDING STREAMS

Funding streams in support of Shared Services are billed to through a federally approved cost allocation plan. The model contains a billing allocation module and a grant allocation module.

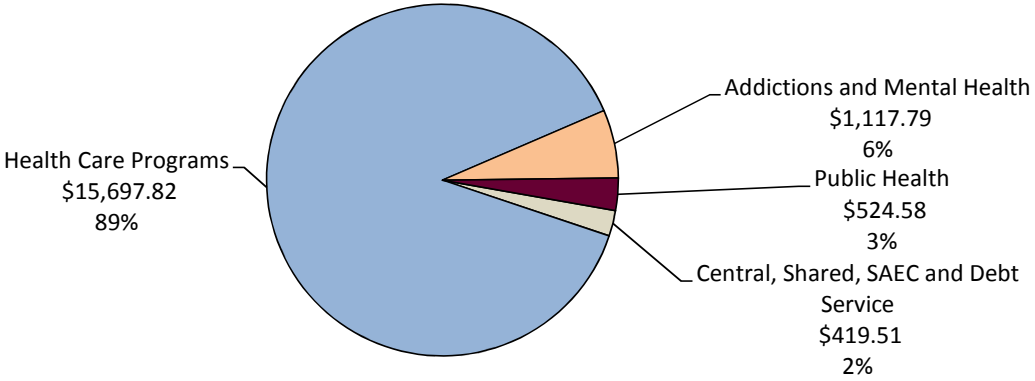
The billing allocation module first allocates Shared Services costs to the two agencies. The billing module then allocates the costs to customers within each agency. The grant allocation module allocates those costs to their respective state and federal funding sources.

Both modules allocate aggregated costs on a monthly basis as outlined in the federally approved plan.

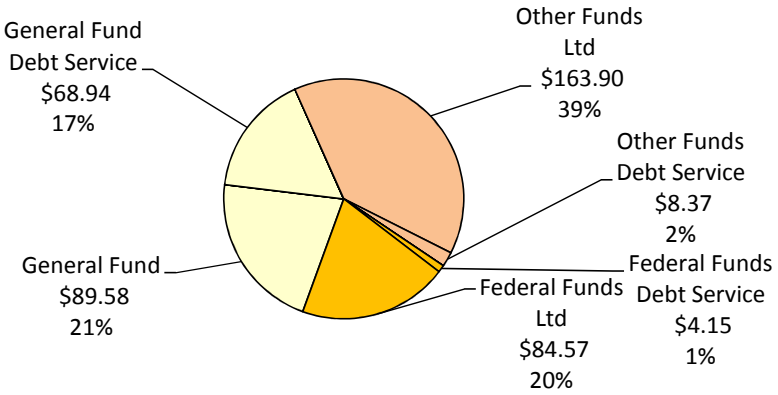
## SIGNIFICANT PROPOSED PROGRAM CHANGES FROM 2013-2015

None

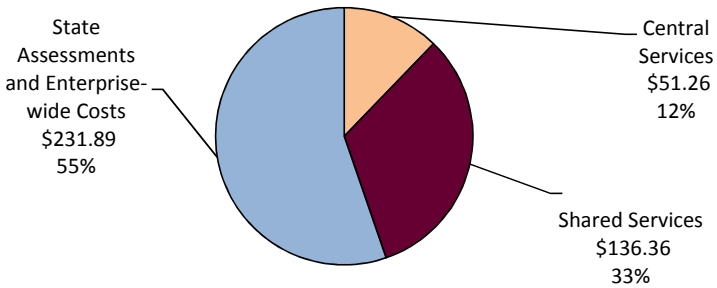
**Oregon Health Authority**
**2013-2015 Legislately Approved Budget**
**Total Fund by Program Area**
**$17,759.70 million**



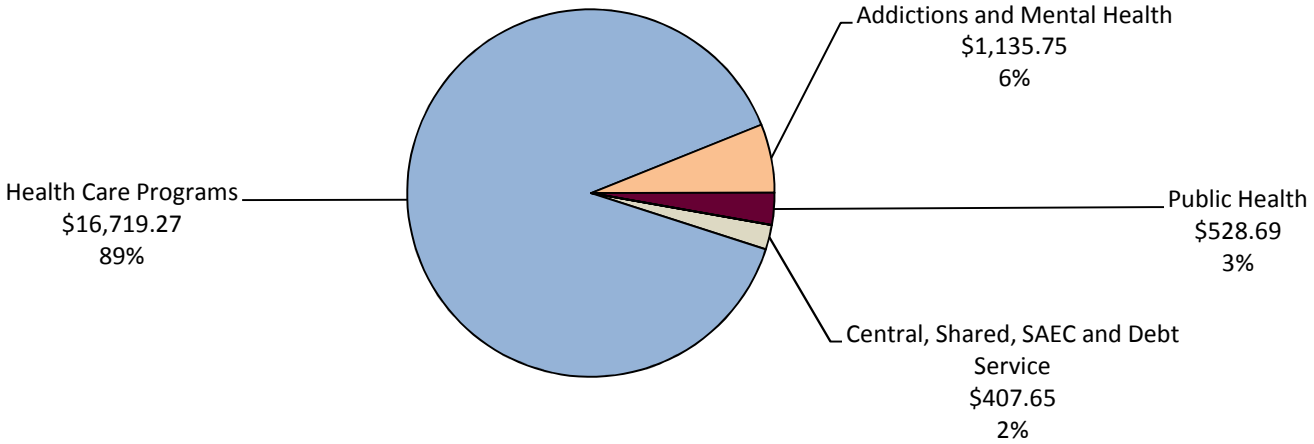

**Central Services, Shared Service, State Assessments and Enterprise-wide Costs**
**Total by Fund Type**
**$419.51 million**



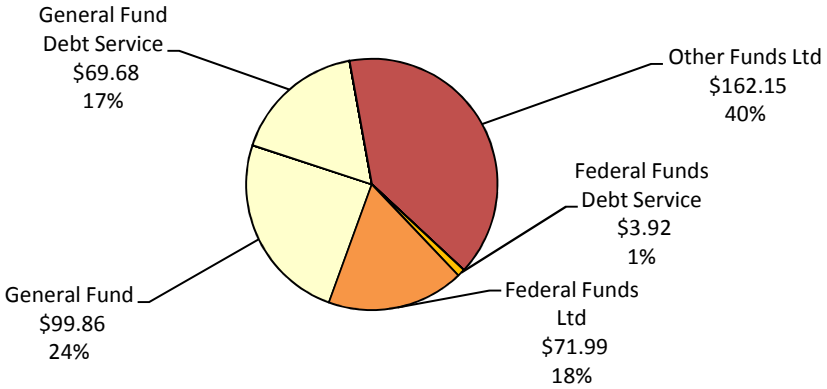

**Central Services, Shared Service, State Assessments and Enterprise-Wide Costs**
**Total by Program**
**$419.51 million**



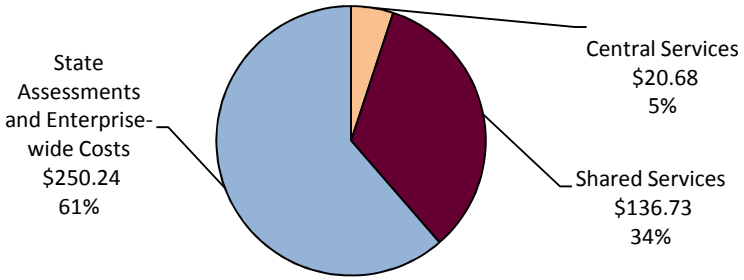
**Oregon Health Authority**
**2015-17 Governor's Budget**
**Total Fund by Program Area**
**$18,791.36 million**




**Central Services, Shared Service, State Assessments and Enterprise-wide Costs**
**Total by Fund Type**
**$407.65 million**




**Central Services, Shared Service, State Assessments and Enterprise-Wide Costs**
**Total by Program**
**$407.65 million**



# Summary of 2015-17 Biennium Budget

**Oregon Health Authority** | **Governor's Budget**
**OHA Central & Shared Services** | **Cross Reference Number: 44300-010-00-00-00000**
**2015-17 Biennium**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 636 | 618.95 | 420,069,977 | 158,437,465 | - | 165,130,079 | 92,351,417 | - | 4,151,016 |
| 2013-15 Emergency Boards | (107) | (103.65) | (26,755,869) | (4,045,974) | - | 1,145,420 | (23,855,315) | - | - |
| **2013-15 Leg Approved Budget** | **529** | **515.30** | **393,314,108** | **154,391,491** | **-** | **166,275,499** | 68,496,102 | **-** | **4,151,016** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | - | 3.64 | (7,000,936) | (1,984,693) | - | (1,427,020) | (3,589,223) | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | (7,812,998) | (1,263,522) | - | (6,322,432) | - | - | (227,044) |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **529** | **518.94** | **378,500,174** | **151,143,276** | **-** | **158,526,047** | 64,906,879 | **-** | **3,923,972** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | 1,175,351 | 821,295 | - | (339,961) | 694,017 | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 895,710 | (14,456) | - | 1,012,319 | (102,153) | - | - |
| **Subtotal** | **-** | **-** | **2,071,061** | **806,839** | **-** | **672,358** | 591,864 | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | 1 | 1.00 | 2,366,530 | 2,178,746 | - | 187,447 | 337 | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (2,743,377) | - | - | (2,743,377) | - | - | - |
| **Subtotal** | **1** | **1.00** | **(376,847)** | **2,178,746** | **-** | **(2,555,930)** | 337 | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 8,566,744 | 3,790,999 | - | 1,920,788 | 2,854,957 | - | - |
| State Gov''t & Services Charges Increase/(Decrease) | | | 26,286,893 | 13,240,525 | - | 3,251,617 | 9,794,751 | - | - |

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal** | **-** | **-** | **34,853,637** | **17,031,524** | **-** | **5,172,405** | 12,649,708 | **-** | **-** |
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | (1) | - | 1 | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | (8,102,499) | (2,127,361) | - | 310,480 | (6,285,618) | - | - |
| **Subtotal: 2015-17 Current Service Level** | **530** | **519.94** | **406,945,526** | **169,033,023** | **-** | **162,125,361** | 71,863,170 | **-** | **3,923,972** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**OHA Central & Shared Services**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-010-00-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **530** | **519.94** | **406,945,526** | **169,033,023** | **-** | **162,125,361** | 71,863,170 | **-** | **3,923,972** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **530** | **519.94** | **406,945,526** | **169,033,023** | **-** | **162,125,361** | 71,863,170 | **-** | **3,923,972** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | (784,716) | (784,716) | - | - | - | - | - |
| 091 - December 2014 Rebalance | 2 | 2.00 | 1,496,638 | 1,292,087 | - | 74,462 | 130,089 | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **2** | **2.00** | **711,922** | **507,371** | **-** | **74,462** | 130,089 | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**OHA Central & Shared Services**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-010-00-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **532** | **521.94** | **407,657,448** | **169,540,394** | **-** | **162,199,823** | 71,993,259 | **-** | **3,923,972** |
| Percentage Change From 2013-15 Leg Approved Budget | 0.57% | 1.29% | 3.65% | 9.81% | - | -2.45% | 5.11% | - | -5.47% |
| Percentage Change From 2015-17 Current Service Level | 0.38% | 0.38% | 0.17% | 0.30% | - | 0.05% | 0.18% | - | - |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**OHA Central Services**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-010-40-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 170 | 164.46 | 68,546,983 | 22,936,111 | - | 4,169,069 | 41,441,803 | - | - |
| 2013-15 Emergency Boards | (109) | (105.65) | (34,775,718) | (7,526,011) | - | (1,456,002) | (25,793,705) | - | - |
| **2013-15 Leg Approved Budget** | **61** | **58.81** | **33,771,265** | **15,410,100** | **-** | **2,713,067** | 15,648,098 | **-** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | - | 0.62 | (5,765,351) | (1,984,694) | - | (191,434) | (3,589,223) | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **61** | **59.43** | **28,005,914** | **13,425,406** | **-** | **2,521,633** | 12,058,875 | **-** | **-** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | 1,536,543 | 821,295 | - | 21,231 | 694,017 | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | (125,331) | (19,738) | - | (1,098) | (104,495) | - | - |
| **Subtotal** | **-** | **-** | **1,411,212** | **801,557** | **-** | **20,133** | 589,522 | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | 23,629 | 23,629 | - | - | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (1,338,100) | - | - | (1,338,100) | - | - | - |
| **Subtotal** | **-** | **-** | **(1,314,471)** | **23,629** | **-** | **(1,338,100)** | - | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 874,802 | 445,441 | - | 24,707 | 404,654 | - | - |
| **Subtotal** | **-** | **-** | **874,802** | **445,441** | **-** | **24,707** | 404,654 | **-** | **-** |

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | (8,812,179) | (2,170,069) | - | (343,558) | (6,298,552) | - | - |
| **Subtotal: 2015-17 Current Service Level** | **61** | **59.43** | **20,165,278** | **12,525,964** | **-** | **884,815** | 6,754,499 | **-** | **-** |

**Oregon Health Authority**
**OHA Central Services**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-010-40-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **61** | **59.43** | **20,165,278** | **12,525,964** | **-** | **884,815** | 6,754,499 | **-** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **61** | **59.43** | **20,165,278** | **12,525,964** | **-** | **884,815** | 6,754,499 | **-** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | - | - | - | - | - | - | - |
| 091 - December 2014 Rebalance | 2 | 2.00 | 519,896 | 389,807 | - | - | 130,089 | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **2** | **2.00** | **519,896** | **389,807** | **-** | **-** | 130,089 | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**OHA Central Services**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-010-40-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **63** | **61.43** | **20,685,174** | **12,915,771** | **-** | **884,815** | 6,884,588 | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | 3.28% | 4.46% | -38.75% | -16.19% | - | -67.39% | -56.00% | - | - |
| Percentage Change From 2015-17 Current Service Level | 3.28% | 3.37% | 2.58% | 3.11% | - | - | 1.93% | - | - |

**Oregon Health Authority**
**OHA Shared Services**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-010-45-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 466 | 454.49 | 134,121,253 | - | - | 134,121,253 | - | - | - |
| 2013-15 Emergency Boards | 2 | 2.00 | 2,239,017 | - | - | 2,239,017 | - | - | - |
| **2013-15 Leg Approved Budget** | **468** | **456.49** | **136,360,270** | **-** | **-** | **136,360,270** | - | **-** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | - | 3.02 | (1,235,585) | 1 | - | (1,235,586) | - | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **468** | **459.51** | **135,124,685** | **1** | **-** | **135,124,684** | - | **-** | **-** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | (361,192) | - | - | (361,192) | - | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 1,022,452 | - | - | 1,022,452 | - | - | - |
| **Subtotal** | **-** | **-** | **661,260** | **-** | **-** | **661,260** | - | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | 1 | 1.00 | 185,863 | - | - | 185,863 | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (301,904) | - | - | (301,904) | - | - | - |
| **Subtotal** | **1** | **1.00** | **(116,041)** | **-** | **-** | **(116,041)** | - | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 1,058,980 | - | - | 1,058,980 | - | - | - |
| **Subtotal** | **-** | **-** | **1,058,980** | **-** | **-** | **1,058,980** | - | **-** | **-** |

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | (1) | - | 1 | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | - | - | - | - | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **469** | **460.51** | **136,728,884** | **-** | **-** | **136,728,884** | - | **-** | **-** |

**Oregon Health Authority**
**OHA Shared Services**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-010-45-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **469** | **460.51** | **136,728,884** | **-** | **-** | **136,728,884** | - | **-** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **469** | **460.51** | **136,728,884** | **-** | **-** | **136,728,884** | - | **-** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | - | - | - | - | - | - | - |
| 091 - December 2014 Rebalance | - | - | - | - | - | - | - | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**OHA Shared Services**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-010-45-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **469** | **460.51** | **136,728,884** | **-** | **-** | **136,728,884** | - | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | 0.21% | 0.88% | 0.27% | - | - | 0.27% | - | - | - |
| Percentage Change From 2015-17 Current Service Level | - | - | - | - | - | - | - | - | - |

**Oregon Health Authority** | **Governor's Budget**
**State Assessments and Enterprise-wide Costs** | **Cross Reference Number: 44300-010-50-00-00000**
**2015-17 Biennium**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | - | - | 217,401,741 | 135,501,354 | - | 26,839,757 | 50,909,614 | - | 4,151,016 |
| 2013-15 Emergency Boards | - | - | 5,780,832 | 3,480,037 | - | 362,405 | 1,938,390 | - | - |
| **2013-15 Leg Approved Budget** | **-** | **-** | **223,182,573** | **138,981,391** | **-** | **27,202,162** | 52,848,004 | **-** | **4,151,016** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | - | - | - | - | - | - | - | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | (7,812,998) | (1,263,522) | - | (6,322,432) | - | - | (227,044) |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **-** | **-** | **215,369,575** | **137,717,869** | **-** | **20,879,730** | 52,848,004 | **-** | **3,923,972** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Non-PICS Personal Service Increase/(Decrease) | - | - | (1,411) | 5,282 | - | (9,035) | 2,342 | - | - |
| **Subtotal** | **-** | **-** | **(1,411)** | **5,282** | **-** | **(9,035)** | 2,342 | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | 2,157,038 | 2,155,117 | - | 1,584 | 337 | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (1,103,373) | - | - | (1,103,373) | - | - | - |
| **Subtotal** | **-** | **-** | **1,053,665** | **2,155,117** | **-** | **(1,101,789)** | 337 | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 6,632,962 | 3,345,558 | - | 837,101 | 2,450,303 | - | - |
| State Gov"t & Services Charges Increase/(Decrease) | | | 26,286,893 | 13,240,525 | - | 3,251,617 | 9,794,751 | - | - |
| **Subtotal** | **-** | **-** | **32,919,855** | **16,586,083** | **-** | **4,088,718** | 12,245,054 | **-** | **-** |

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | 709,680 | 42,708 | - | 654,038 | 12,934 | - | - |
| **Subtotal: 2015-17 Current Service Level** | **-** | **-** | **250,051,364** | **156,507,059** | **-** | **24,511,662** | 65,108,671 | **-** | **3,923,972** |

**Oregon Health Authority** **Governor's Budget**
**State Assessments and Enterprise-wide Costs** **Cross Reference Number: 44300-010-50-00-00000**
**2015-17 Biennium**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **-** | **-** | **250,051,364** | **156,507,059** | **-** | **24,511,662** | 65,108,671 | **-** | **3,923,972** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **-** | **-** | **250,051,364** | **156,507,059** | **-** | **24,511,662** | 65,108,671 | **-** | **3,923,972** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | (784,716) | (784,716) | - | - | - | - | - |
| 091 - December 2014 Rebalance | - | - | 976,742 | 902,280 | - | 74,462 | - | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **-** | **-** | **192,026** | **117,564** | **-** | **74,462** | - | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority** | **Governor's Budget**
**State Assessments and Enterprise-wide Costs** | **Cross Reference Number: 44300-010-50-00-00000**
**2015-17 Biennium**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **-** | **-** | **250,243,390** | **156,624,623** | **-** | **24,586,124** | 65,108,671 | **-** | **3,923,972** |
| Percentage Change From 2013-15 Leg Approved Budget | - | - | 12.12% | 12.69% | - | -9.62% | 23.20% | - | -5.47% |
| Percentage Change From 2015-17 Current Service Level | - | - | 0.08% | 0.08% | - | 0.30% | - | - | - |

| Oregon Health Authority<br>OHA Central & Shared Services/State Assessments & Enterprise-wide Costs<br>44300-010-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND**<br>**REVENUES** | | | | | | |
| General Fund Appropriation | 0050 | GF | 126,439,493 | 155,509,108 | 168,654,328 | 169,540,394 |
| **TOTAL REVENUES** | | **GF** | **126,439,493** | **155,509,108** | **168,654,328** | **169,540,394** |
| **TOTAL GENERAL FUNDS** | | **GF** | **126,439,493** | **155,509,108** | **168,654,328** | **169,540,394** |
| **OTHER FUNDS**<br>**REVENUES** | | | | | | |
| Beginning Balance | 0025 | OF | 1,386,217 | - | - | - |
| Other Selective Taxes | 0190 | OF | 71,930 | 93,870 | - | - |
| Non-Business License & Fees | 0210 | OF | 923 | - | - | - |
| Charges for Services | 0410 | OF | 2,632,237 | - | - | - |
| Administrative Service Charges | 0415 | OF | 114,581,710 | - | - | - |
| Fines and Forfeitures | 0505 | OF | 153 | - | - | - |
| General Fund Obligation Bonds | 0555 | OF | 10,718,826 | 7,458,470 | 32,665 | 32,665 |
| Certificates of Participation | 0580 | OF | - | 1,324,073 | 17,335 | 17,335 |
| Interest Income | 0605 | OF | 62,757 | - | - | - |
| Donations | 0905 | OF | 17 | - | - | - |
| Other Revenues | 0975 | OF | 15,941,622 | 157,631,182 | 161,970,616 | 162,149,823 |
| **TOTAL REVENUES** | | **OF** | **145,396,392** | **166,507,595** | **162,020,616** | **162,199,823** |

| Oregon Health Authority<br>OHA Central & Shared Services/State Assessments & Enterprise-wide Costs<br>44300-010-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **TRANSFER IN** | | | | | | |
| Transfer in Administrative Services | 1107 | OF | 500 | - | - | - |
| **TOTAL TRANSFERS IN** | | **OF** | **500** | **-** | **-** | **-** |
| **TOTAL OTHER FUNDS** | | **OF** | **145,396,892** | **166,507,595** | **162,020,616** | **162,199,823** |
| **FEDERAL FUNDS REVENUES** | | | | | | |
| Federal Funds Revenue | 0995 | FF | 109,004,682 | 91,650,302 | 75,526,220 | 75,917,231 |
| **TOTAL REVENUES** | | **FF** | **109,004,682** | **91,650,302** | **75,526,220** | **75,917,231** |
| **TOTAL FEDERAL FUNDS** | | **FF** | **109,004,682** | **91,650,302** | **75,526,220** | **75,917,231** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **380,841,067** | **413,667,005** | **406,201,164** | **407,657,448** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-010-00-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Other Selective Taxes | 71,930 | 93,870 | 93,870 | - | - | - |
| Non-business Lic. and Fees | 923 | - | - | - | - | - |
| Charges for Services | 2,632,237 | - | - | - | - | - |
| Admin and Service Charges | 114,581,710 | - | - | - | - | - |
| Fines and Forfeitures | 153 | - | - | - | - | - |
| General Fund Obligation Bonds | 10,718,826 | 7,458,470 | 7,458,470 | 32,665 | 32,665 | - |
| Cert of Participation | - | 1,324,073 | 1,324,073 | 17,335 | 17,335 | - |
| Interest Income | 62,757 | - | - | - | - | - |
| Donations | 17 | - | - | - | - | - |
| Other Revenues | 15,941,622 | 155,709,758 | 157,399,086 | 161,970,616 | 162,149,823 | - |
| Tsfr From Administrative Svcs | 500 | - | - | - | - | - |
| Tsfr From Health Rel Lic Bds | - | 8,000 | - | - | - | - |
| Tsfr From Board of Dentistry | - | 40,000 | - | - | - | - |
| Tsfr From Oregon Medical Board | - | 90,988 | - | - | - | - |
| Tsfr From Nursing, Bd of | - | 345,290 | - | - | - | - |
| Tsfr From Board of Pharmacy | - | 59,630 | - | - | - | - |
| **Total Other Funds** | **$144,010,675** | **$165,130,079** | **$166,275,499** | **$162,020,616** | **$162,199,823** | **-** |
| **Federal Funds** | | | | | | |
| Federal Funds | 104,730,274 | 92,351,417 | 68,496,102 | 71,602,248 | 71,993,259 | - |
| **Total Federal Funds** | **$104,730,274** | **$92,351,417** | **$68,496,102** | **$71,602,248** | **$71,993,259** | **-** |
| **Nonlimited Federal Funds** | | | | | | |
| Federal Funds | 4,274,408 | 4,151,016 | 4,151,016 | 3,923,972 | 3,923,972 | - |
| **Total Nonlimited Federal Funds** | **$4,274,408** | **$4,151,016** | **$4,151,016** | **$3,923,972** | **$3,923,972** | **-** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-010-40-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Other Selective Taxes | 67,195 | 93,870 | 93,870 | - | - | - |
| Admin and Service Charges | 302,917 | - | - | - | - | - |
| Fines and Forfeitures | 153 | - | - | - | - | - |
| General Fund Obligation Bonds | 450,000 | - | - | - | - | - |
| Cert of Participation | - | 1,306,738 | 1,306,738 | - | - | - |
| Interest Income | 36,311 | - | - | - | - | - |
| Donations | 17 | - | - | - | - | - |
| Other Revenues | 1,352,636 | 2,224,553 | 1,312,459 | 888,918 | 884,815 | - |
| Tsfr From Health Rel Lic Bds | - | 8,000 | - | - | - | - |
| Tsfr From Board of Dentistry | - | 40,000 | - | - | - | - |
| Tsfr From Oregon Medical Board | - | 90,988 | - | - | - | - |
| Tsfr From Nursing, Bd of | - | 345,290 | - | - | - | - |
| Tsfr From Board of Pharmacy | - | 59,630 | - | - | - | - |
| **Total Other Funds** | **$2,209,229** | **$4,169,069** | **$2,713,067** | **$888,918** | **$884,815** | **-** |
| **Federal Funds** | | | | | | |
| Federal Funds | 66,140,162 | 41,441,803 | 15,648,098 | 6,841,864 | 6,884,588 | - |
| **Total Federal Funds** | **$66,140,162** | **$41,441,803** | **$15,648,098** | **$6,841,864** | **$6,884,588** | **-** |

## *DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE*

**Oregon Health Authority** **Agency Number: 44300**
**2015-17 Biennium** **Cross Reference Number: 44300-010-45-00-00000**

| *Source* | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Admin and Service Charges | 112,859,711 | - | - | - | - | - |
| Other Revenues | 102,330 | 134,121,253 | 136,360,270 | 136,729,481 | 136,728,884 | - |
| **Total Other Funds** | **$112,962,041** | **$134,121,253** | **$136,360,270** | **$136,729,481** | **$136,728,884** | **-** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**

**2015-17 Biennium** — **Cross Reference Number: 44300-010-50-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Other Selective Taxes | 4,735 | - | - | - | - | - |
| Non-business Lic. and Fees | 923 | - | - | - | - | - |
| Charges for Services | 2,632,237 | - | - | - | - | - |
| Admin and Service Charges | 1,419,082 | - | - | - | - | - |
| General Fund Obligation Bonds | 10,268,826 | 7,458,470 | 7,458,470 | 32,665 | 32,665 | - |
| Cert of Participation | - | 17,335 | 17,335 | 17,335 | 17,335 | - |
| Interest Income | 26,446 | - | - | - | - | - |
| Other Revenues | 14,486,656 | 19,363,952 | 19,726,357 | 24,352,217 | 24,536,124 | - |
| Tsfr From Administrative Svcs | 500 | - | - | - | - | - |
| **Total Other Funds** | **$28,839,405** | **$26,839,757** | **$27,202,162** | **$24,402,217** | **$24,586,124** | **-** |
| **Federal Funds** | | | | | | |
| Federal Funds | 38,590,112 | 50,909,614 | 52,848,004 | 64,760,384 | 65,108,671 | - |
| **Total Federal Funds** | **$38,590,112** | **$50,909,614** | **$52,848,004** | **$64,760,384** | **$65,108,671** | **-** |
| **Nonlimited Federal Funds** | | | | | | |
| Federal Funds | 4,274,408 | 4,151,016 | 4,151,016 | 3,923,972 | 3,923,972 | - |
| **Total Nonlimited Federal Funds** | **$4,274,408** | **$4,151,016** | **$4,151,016** | **$3,923,972** | **$3,923,972** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central & Shared Services**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-010-00-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Beginning Balance** | | | | | | | |
| Beginning Balance | - | - | - | - | - | - | - |
| **Total Beginning Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Revenues** | | | | | | | |
| General Fund Appropriation | 806,839 | - | - | - | - | - | 806,839 |
| Other Revenues | - | - | 672,358 | - | - | - | 672,358 |
| Federal Funds | - | - | - | 591,864 | - | - | 591,864 |
| **Total Revenues** | **$806,839** | **-** | **$672,358** | **$591,864** | **-** | **-** | **$2,071,061** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | 539 | - | 27,731 | 5,982 | - | - | 34,252 |
| Overtime Payments | 177 | - | 2,502 | 191 | - | - | 2,870 |
| Shift Differential | - | - | 5,721 | 2,746 | - | - | 8,467 |
| All Other Differential | 948 | - | 22,028 | 745 | - | - | 23,721 |
| Public Employees' Retire Cont | 179 | - | 4,778 | 581 | - | - | 5,538 |
| Pension Obligation Bond | (21,707) | - | 954,157 | (115,480) | - | - | 816,970 |
| Social Security Taxes | 126 | - | 4,437 | 740 | - | - | 5,303 |
| Unemployment Assessments | 13,035 | - | 2,360 | 2,342 | - | - | 17,737 |
| Mass Transit Tax | (7,753) | - | (11,395) | - | - | - | (19,148) |
| Vacancy Savings | 821,295 | - | (339,961) | 694,017 | - | - | 1,175,351 |
| **Total Personal Services** | **$806,839** | **-** | **$672,358** | **$591,864** | **-** | **-** | **$2,071,061** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central & Shared Services**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-010-00-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Spc Pmt to Public Def Svcs Comm | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 806,839 | - | 672,358 | 591,864 | - | - | 2,071,061 |
| **Total Expenditures** | **$806,839** | **-** | **$672,358** | **$591,864** | **-** | **-** | **$2,071,061** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 2,178,746 | - | - | - | - | - | 2,178,746 |
| Other Revenues | - | - | 187,447 | - | - | - | 187,447 |
| Federal Funds | - | - | - | 337 | - | - | 337 |
| **Total Revenues** | **$2,178,746** | **-** | **$187,447** | **$337** | **-** | **-** | **$2,366,530** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 94,704 | - | - | - | 94,704 |
| Empl. Rel. Bd. Assessments | - | - | 44 | - | - | - | 44 |
| Public Employees' Retire Cont | - | - | 14,954 | - | - | - | 14,954 |
| Social Security Taxes | - | - | 7,245 | - | - | - | 7,245 |
| Worker's Comp. Assess. (WCD) | - | - | 69 | - | - | - | 69 |
| Mass Transit Tax | 80,512 | - | - | - | - | - | 80,512 |
| Flexible Benefits | - | - | 30,528 | - | - | - | 30,528 |
| **Total Personal Services** | **$80,512** | **-** | **$147,544** | **-** | **-** | **-** | **$228,056** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 2,025 | - | 8,715 | - | - | - | 10,740 |
| Employee Training | 558 | - | 4,046 | - | - | - | 4,604 |
| Office Expenses | 224,637 | - | 16,581 | - | - | - | 241,218 |
| Telecommunications | 1,632 | - | 7,012 | - | - | - | 8,644 |
| Data Processing | 181,198 | - | - | - | - | - | 181,198 |
| Facilities Rental and Taxes | 48,207 | - | - | - | - | - | 48,207 |
| Other Services and Supplies | 350 | - | 1,506 | - | - | - | 1,856 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Expendable Prop 250 - 5000 | 1,044,153 | - | 459 | - | - | - | 1,044,612 |
| **Total Services & Supplies** | **$1,502,760** | **-** | **$38,319** | **-** | **-** | **-** | **$1,541,079** |
| **Special Payments** | | | | | | | |
| Other Special Payments | 595,474 | - | 1,584 | 337 | - | - | 597,395 |
| **Total Special Payments** | **$595,474** | **-** | **$1,584** | **$337** | **-** | **-** | **$597,395** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 2,178,746 | - | 187,447 | 337 | - | - | 2,366,530 |
| **Total Expenditures** | **$2,178,746** | **-** | **$187,447** | **$337** | **-** | **-** | **$2,366,530** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 1 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **1** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 1.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **1.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central & Shared Services**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-010-00-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | - | - | - | - | - | - | - |
| General Fund Obligation Bonds | - | - | (1,103,373) | - | - | - | (1,103,373) |
| Cert of Participation | - | - | (1,338,100) | - | - | - | (1,338,100) |
| Other Revenues | - | - | (301,904) | - | - | - | (301,904) |
| **Total Revenues** | **-** | **-** | **($2,743,377)** | **-** | **-** | **-** | **($2,743,377)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | (70,070) | - | - | - | (70,070) |
| Employee Training | - | - | (19,292) | - | - | - | (19,292) |
| Office Expenses | - | - | (133,328) | - | - | - | (133,328) |
| Telecommunications | - | - | (56,394) | - | - | - | (56,394) |
| Data Processing | - | - | - | - | - | - | - |
| Facilities Rental and Taxes | - | - | - | - | - | - | - |
| Other COP Costs | - | - | (2,441,473) | - | - | - | (2,441,473) |
| Other Services and Supplies | - | - | (12,116) | - | - | - | (12,116) |
| Expendable Prop 250 - 5000 | - | - | (10,704) | - | - | - | (10,704) |
| **Total Services & Supplies** | **-** | **-** | **($2,743,377)** | **-** | **-** | **-** | **($2,743,377)** |
| **Special Payments** | | | | | | | |
| Other Special Payments | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 022 - Phase-out Pgm & One-time Costs**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (2,743,377) | - | - | - | (2,743,377) |
| **Total Expenditures** | **-** | **-** | **($2,743,377)** | **-** | **-** | **-** | **($2,743,377)** |
| | | | | | | | |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 14,241,974 | - | - | - | - | - | 14,241,974 |
| Other Revenues | - | - | 4,471,556 | - | - | - | 4,471,556 |
| Federal Funds | - | - | - | 10,428,856 | - | - | 10,428,856 |
| Tsfr From Human Svcs, Dept of | - | - | - | - | - | - | - |
| **Total Revenues** | **$14,241,974** | **-** | **$4,471,556** | **$10,428,856** | **-** | **-** | **$29,142,386** |
| | | | | | | | |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 490 | - | 26,168 | 1,706 | - | - | 28,364 |
| Out of State Travel | 321 | - | 2,766 | 450 | - | - | 3,537 |
| Employee Training | 797 | - | 12,829 | 1,177 | - | - | 14,803 |
| Office Expenses | 23,772 | - | 35,831 | 20,069 | - | - | 79,672 |
| Telecommunications | 621 | - | 15,892 | 1,550 | - | - | 18,063 |
| State Gov. Service Charges | 13,240,525 | - | 3,251,617 | 9,794,751 | - | - | 26,286,893 |
| Data Processing | 6,607 | - | 253,414 | 6,521 | - | - | 266,542 |
| Publicity and Publications | 807 | - | 16 | 1,038 | - | - | 1,861 |
| Professional Services | 108,979 | - | 34,533 | 27,150 | - | - | 170,662 |
| IT Professional Services | 122 | - | 602,975 | 4,501 | - | - | 607,598 |
| Attorney General | 12,335 | - | 3,111 | 8,920 | - | - | 24,366 |
| Employee Recruitment and Develop | 939 | - | 273 | 797 | - | - | 2,009 |
| Dues and Subscriptions | 201 | - | 3,501 | 3,242 | - | - | 6,944 |
| Facilities Rental and Taxes | 276,564 | - | 155,427 | 270,519 | - | - | 702,510 |
| Fuels and Utilities | 6,121 | - | 1,210 | 10,924 | - | - | 18,255 |
| Facilities Maintenance | 12,016 | - | 2,753 | 12,530 | - | - | 27,299 |
| Food and Kitchen Supplies | 646 | - | 1,316 | 1,187 | - | - | 3,149 |
| Agency Program Related S and S | 136 | - | 291 | 184 | - | - | 611 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Other Services and Supplies | 150,637 | - | (61,851) | (171,765) | - | - | (82,979) |
| Expendable Prop 250 - 5000 | 33,710 | - | 12,475 | 29,811 | - | - | 75,996 |
| IT Expendable Property | 8,948 | - | 45,967 | 10,764 | - | - | 65,679 |
| **Total Services & Supplies** | **$13,885,294** | **-** | **$4,400,514** | **$10,036,026** | **-** | **-** | **$28,321,834** |
| **Capital Outlay** | | | | | | | |
| Office Furniture and Fixtures | - | - | - | - | - | - | - |
| Data Processing Software | - | - | 57 | - | - | - | 57 |
| **Total Capital Outlay** | **-** | **-** | **$57** | **-** | **-** | **-** | **$57** |
| **Special Payments** | | | | | | | |
| Dist to Other Gov Unit | 48,000 | - | - | - | - | - | 48,000 |
| Dist to Non-Gov Units | - | - | 2,054 | 9,466 | - | - | 11,520 |
| Dist to Individuals | 5,852 | - | - | 1,951 | - | - | 7,803 |
| Other Special Payments | 302,828 | - | 68,931 | 381,413 | - | - | 753,172 |
| **Total Special Payments** | **$356,680** | **-** | **$70,985** | **$392,830** | **-** | **-** | **$820,495** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 14,241,974 | - | 4,471,556 | 10,428,856 | - | - | 29,142,386 |
| **Total Expenditures** | **$14,241,974** | **-** | **$4,471,556** | **$10,428,856** | **-** | **-** | **$29,142,386** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| | | | | | | | |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | - |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 224,861 | - | - | - | - | - | 224,861 |
| Other Revenues | - | - | 63,750 | - | - | - | 63,750 |
| Federal Funds | - | - | - | 178,744 | - | - | 178,744 |
| **Total Revenues** | **$224,861** | **-** | **$63,750** | **$178,744** | **-** | **-** | **$467,355** |
| **Services & Supplies** | | | | | | | |
| Professional Services | 10,898 | - | 3,453 | 2,715 | - | - | 17,066 |
| IT Professional Services | 12 | - | 60,297 | 450 | - | - | 60,759 |
| **Total Services & Supplies** | **$10,910** | **-** | **$63,750** | **$3,165** | **-** | **-** | **$77,825** |
| **Special Payments** | | | | | | | |
| Other Special Payments | 213,951 | - | - | 175,579 | - | - | 389,530 |
| **Total Special Payments** | **$213,951** | **-** | **-** | **$175,579** | **-** | **-** | **$389,530** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 224,861 | - | 63,750 | 178,744 | - | - | 467,355 |
| **Total Expenditures** | **$224,861** | **-** | **$63,750** | **$178,744** | **-** | **-** | **$467,355** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 033 - Exceptional Inflation**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 2,564,689 | - | - | - | - | - | 2,564,689 |
| Other Revenues | - | - | 637,099 | - | - | - | 637,099 |
| Federal Funds | - | - | - | 2,042,108 | - | - | 2,042,108 |
| **Total Revenues** | **$2,564,689** | **-** | **$637,099** | **$2,042,108** | **-** | **-** | **$5,243,896** |
| **Special Payments** | | | | | | | |
| Other Special Payments | 2,564,689 | - | 637,099 | 2,042,108 | - | - | 5,243,896 |
| **Total Special Payments** | **$2,564,689** | **-** | **$637,099** | **$2,042,108** | **-** | **-** | **$5,243,896** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 2,564,689 | - | 637,099 | 2,042,108 | - | - | 5,243,896 |
| **Total Expenditures** | **$2,564,689** | **-** | **$637,099** | **$2,042,108** | **-** | **-** | **$5,243,896** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 050 - Fundshifts**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (1) | - | - | - | - | - | (1) |
| Other Revenues | - | - | 1 | - | - | - | 1 |
| **Total Revenues** | **($1)** | **-** | **$1** | **-** | **-** | **-** | **-** |
| **Personal Services** | | | | | | | |
| Unemployment Assessments | (1) | - | 1 | - | - | - | - |
| **Total Personal Services** | **($1)** | **-** | **$1** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (1) | - | 1 | - | - | - | - |
| **Total Expenditures** | **($1)** | **-** | **$1** | **-** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central & Shared Services**
**Pkg: 060 - Technical Adjustments** | **Cross Reference Number: 44300-010-00-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (2,127,361) | - | - | - | - | - | (2,127,361) |
| Other Selective Taxes | - | - | (93,870) | - | - | - | (93,870) |
| Other Revenues | - | - | 404,350 | - | - | - | 404,350 |
| Federal Funds | - | - | - | (6,285,618) | - | - | (6,285,618) |
| **Total Revenues** | **($2,127,361)** | **-** | **$310,480** | **($6,285,618)** | **-** | **-** | **($8,102,499)** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | (1,334) | - | (10,673) | (1,332) | - | - | (13,339) |
| Overtime Payments | (1,549) | - | - | (1,574) | - | - | (3,123) |
| Shift Differential | - | - | - | (23,421) | - | - | (23,421) |
| All Other Differential | (9,481) | - | (9,303) | (9,877) | - | - | (28,661) |
| Public Employees' Retire Cont | (1,742) | - | (1,469) | (5,507) | - | - | (8,718) |
| Social Security Taxes | (945) | - | (1,528) | (2,770) | - | - | (5,243) |
| Unemployment Assessments | - | - | 274 | - | - | - | 274 |
| Mass Transit Tax | 14,699 | - | 53,628 | - | - | - | 68,327 |
| **Total Personal Services** | **($352)** | **-** | **$30,929** | **($44,481)** | **-** | **-** | **($13,904)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | (3,810) | - | (1,159) | (46,971) | - | - | (51,940) |
| Out of State Travel | (3,053) | - | (6) | (5,525) | - | - | (8,584) |
| Employee Training | 232 | - | 115 | (6,887) | - | - | (6,540) |
| Office Expenses | (9,514) | - | 3,444 | (40,609) | - | - | (46,679) |
| Telecommunications | 1,712,539 | - | 515,928 | 1,667,507 | - | - | 3,895,974 |
| State Gov. Service Charges | (7,257,777) | - | (1,645,511) | (6,851,940) | - | - | (15,755,228) |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central & Shared Services**
**Pkg: 060 - Technical Adjustments** | **Cross Reference Number: 44300-010-00-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Data Processing | 4,699,579 | - | 944,557 | 4,514,986 | - | - | 10,159,122 |
| Publicity and Publications | (71) | - | (2) | (137) | - | - | (210) |
| Professional Services | (420,405) | - | (11,355) | (539,766) | - | - | (971,526) |
| IT Professional Services | - | - | (231,708) | (151,697) | - | - | (383,405) |
| Attorney General | (943) | - | (21) | (1,046) | - | - | (2,010) |
| Employee Recruitment and Develop | 23,854 | - | 6,374 | 18,735 | - | - | 48,963 |
| Dues and Subscriptions | (175) | - | (9) | (386) | - | - | (570) |
| Facilities Rental and Taxes | 10,498 | - | 272,284 | 10,500 | - | - | 293,282 |
| Food and Kitchen Supplies | (22,186) | - | (45,183) | (40,754) | - | - | (108,123) |
| Agency Program Related S and S | (124) | - | (9) | (287) | - | - | (420) |
| Other Services and Supplies | 794,177 | - | 234,450 | 635,961 | - | - | 1,664,588 |
| Expendable Prop 250 - 5000 | 8 | - | 309,851 | (320) | - | - | 309,539 |
| IT Expendable Property | (1,838) | - | (9) | (3,607) | - | - | (5,454) |
| **Total Services & Supplies** | **($479,009)** | **-** | **$352,031** | **($842,243)** | **-** | **-** | **($969,221)** |
| **Capital Outlay** | | | | | | | |
| Data Processing Software | - | - | (1,944) | - | - | - | (1,944) |
| **Total Capital Outlay** | **-** | **-** | **($1,944)** | **-** | **-** | **-** | **($1,944)** |
| **Special Payments** | | | | | | | |
| Dist to Other Gov Unit | (1,648,000) | - | - | - | - | - | (1,648,000) |
| Dist to Non-Gov Units | - | - | (70,536) | (324,984) | - | - | (395,520) |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Other Special Payments | - | - | - | (5,073,910) | - | - | (5,073,910) |
| **Total Special Payments** | **($1,648,000)** | **-** | **($70,536)** | **($5,398,894)** | **-** | **-** | **($7,117,430)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (2,127,361) | - | 310,480 | (6,285,618) | - | - | (8,102,499) |
| **Total Expenditures** | **($2,127,361)** | **-** | **$310,480** | **($6,285,618)** | **-** | **-** | **($8,102,499)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (784,716) | - | - | - | - | - | (784,716) |
| **Total Revenues** | **($784,716)** | **-** | **-** | **-** | **-** | **-** | **($784,716)** |
| **Personal Services** | | | | | | | |
| Mass Transit Tax | (39,408) | - | - | - | - | - | (39,408) |
| **Total Personal Services** | **($39,408)** | **-** | **-** | **-** | **-** | **-** | **($39,408)** |
| **Services & Supplies** | | | | | | | |
| Office Expenses | (107,401) | - | - | - | - | - | (107,401) |
| Telecommunications | (2,613) | - | - | - | - | - | (2,613) |
| Data Processing | (85,827) | - | - | - | - | - | (85,827) |
| Expendable Prop 250 - 5000 | (508,865) | - | - | - | - | - | (508,865) |
| **Total Services & Supplies** | **($704,706)** | **-** | **-** | **-** | **-** | **-** | **($704,706)** |
| **Special Payments** | | | | | | | |
| Other Special Payments | (40,602) | - | - | - | - | - | (40,602) |
| **Total Special Payments** | **($40,602)** | **-** | **-** | **-** | **-** | **-** | **($40,602)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (784,716) | - | - | - | - | - | (784,716) |
| **Total Expenditures** | **($784,716)** | **-** | **-** | **-** | **-** | **-** | **($784,716)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 1,292,087 | - | - | - | - | - | 1,292,087 |
| Other Revenues | - | - | 74,462 | - | - | - | 74,462 |
| Federal Funds | - | - | - | 130,089 | - | - | 130,089 |
| **Total Revenues** | **$1,292,087** | **-** | **$74,462** | **$130,089** | **-** | **-** | **$1,496,638** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 264,348 | - | - | 88,116 | - | - | 352,464 |
| Temporary Appointments | 1 | - | - | (1) | - | - | - |
| Empl. Rel. Bd. Assessments | 66 | - | - | 22 | - | - | 88 |
| Public Employees' Retire Cont | 41,740 | - | - | 13,914 | - | - | 55,654 |
| Social Security Taxes | 20,223 | - | - | 6,741 | - | - | 26,964 |
| Worker's Comp. Assess. (WCD) | 103 | - | - | 35 | - | - | 138 |
| Flexible Benefits | 45,792 | - | - | 15,264 | - | - | 61,056 |
| **Total Personal Services** | **$372,273** | **-** | **-** | **$124,091** | **-** | **-** | **$496,364** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 4,164 | - | - | 1,388 | - | - | 5,552 |
| Employee Training | 1,146 | - | - | 382 | - | - | 1,528 |
| Office Expenses | 7,923 | - | - | 2,641 | - | - | 10,564 |
| Telecommunications | 3,351 | - | - | 1,117 | - | - | 4,468 |
| State Gov. Service Charges | 902,280 | - | 74,462 | - | - | - | 976,742 |
| Other Services and Supplies | 720 | - | - | 240 | - | - | 960 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: OHA Central & Shared Services**
**Cross Reference Number: 44300-010-00-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Expendable Prop 250 - 5000 | 230 | - | - | 230 | - | - | 460 |
| **Total Services & Supplies** | **$919,814** | **-** | **$74,462** | **$5,998** | **-** | **-** | **$1,000,274** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 1,292,087 | - | 74,462 | 130,089 | - | - | 1,496,638 |
| **Total Expenditures** | **$1,292,087** | **-** | **$74,462** | **$130,089** | **-** | **-** | **$1,496,638** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 2 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **2** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 2.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **2.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central Services**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-010-40-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Beginning Balance** | | | | | | | |
| Beginning Balance | - | - | - | - | - | - | - |
| **Total Beginning Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Revenues** | | | | | | | |
| General Fund Appropriation | 801,557 | - | - | - | - | - | 801,557 |
| Other Revenues | - | - | 20,133 | - | - | - | 20,133 |
| Federal Funds | - | - | - | 589,522 | - | - | 589,522 |
| **Total Revenues** | **$801,557** | **-** | **$20,133** | **$589,522** | **-** | **-** | **$1,411,212** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | 539 | - | 463 | 5,982 | - | - | 6,984 |
| Overtime Payments | 177 | - | - | 191 | - | - | 368 |
| Shift Differential | - | - | - | 2,746 | - | - | 2,746 |
| All Other Differential | 948 | - | 280 | 745 | - | - | 1,973 |
| Public Employees' Retire Cont | 179 | - | 44 | 581 | - | - | 804 |
| Pension Obligation Bond | (21,707) | - | (1,943) | (115,480) | - | - | (139,130) |
| Social Security Taxes | 126 | - | 58 | 740 | - | - | 924 |
| Vacancy Savings | 821,295 | - | 21,231 | 694,017 | - | - | 1,536,543 |
| **Total Personal Services** | **$801,557** | **-** | **$20,133** | **$589,522** | **-** | **-** | **$1,411,212** |
| **Special Payments** | | | | | | | |
| Spc Pmt to Public Def Svcs Comm | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central Services**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-010-40-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 801,557 | - | 20,133 | 589,522 | - | - | 1,411,212 |
| **Total Expenditures** | **$801,557** | **-** | **$20,133** | **$589,522** | **-** | **-** | **$1,411,212** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 23,629 | - | - | - | - | - | 23,629 |
| **Total Revenues** | **$23,629** | **-** | **-** | **-** | **-** | **-** | **$23,629** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 2,025 | - | - | - | - | - | 2,025 |
| Employee Training | 558 | - | - | - | - | - | 558 |
| Office Expenses | 5,026 | - | - | - | - | - | 5,026 |
| Telecommunications | 1,632 | - | - | - | - | - | 1,632 |
| Data Processing | 358 | - | - | - | - | - | 358 |
| Facilities Rental and Taxes | 9,435 | - | - | - | - | - | 9,435 |
| Other Services and Supplies | 350 | - | - | - | - | - | 350 |
| Expendable Prop 250 - 5000 | 3,638 | - | - | - | - | - | 3,638 |
| **Total Services & Supplies** | **$23,022** | **-** | **-** | **-** | **-** | **-** | **$23,022** |
| **Special Payments** | | | | | | | |
| Other Special Payments | 607 | - | - | - | - | - | 607 |
| **Total Special Payments** | **$607** | **-** | **-** | **-** | **-** | **-** | **$607** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 23,629 | - | - | - | - | - | 23,629 |
| **Total Expenditures** | **$23,629** | **-** | **-** | **-** | **-** | **-** | **$23,629** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central Services**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-010-40-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | - | - | - | - | - | - | - |
| Cert of Participation | - | - | (1,338,100) | - | - | - | (1,338,100) |
| **Total Revenues** | **-** | **-** | **($1,338,100)** | **-** | **-** | **-** | **($1,338,100)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | - | - | - | - | - |
| Employee Training | - | - | - | - | - | - | - |
| Office Expenses | - | - | - | - | - | - | - |
| Telecommunications | - | - | - | - | - | - | - |
| Data Processing | - | - | - | - | - | - | - |
| Facilities Rental and Taxes | - | - | - | - | - | - | - |
| Other COP Costs | - | - | (1,338,100) | - | - | - | (1,338,100) |
| Other Services and Supplies | - | - | - | - | - | - | - |
| Expendable Prop 250 - 5000 | - | - | - | - | - | - | - |
| **Total Services & Supplies** | **-** | **-** | **($1,338,100)** | **-** | **-** | **-** | **($1,338,100)** |
| **Special Payments** | | | | | | | |
| Other Special Payments | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (1,338,100) | - | - | - | (1,338,100) |
| **Total Expenditures** | **-** | **-** | **($1,338,100)** | **-** | **-** | **-** | **($1,338,100)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central Services**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-010-40-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 221,135 | - | - | - | - | - | 221,135 |
| Other Revenues | - | - | 23,027 | - | - | - | 23,027 |
| Federal Funds | - | - | - | 226,425 | - | - | 226,425 |
| Tsfr From Human Svcs, Dept of | - | - | - | - | - | - | - |
| **Total Revenues** | **$221,135** | **-** | **$23,027** | **$226,425** | **-** | **-** | **$470,587** |
| | | | | | | | |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 490 | - | 157 | 1,706 | - | - | 2,353 |
| Out of State Travel | 321 | - | 12 | 450 | - | - | 783 |
| Employee Training | 623 | - | 135 | 1,040 | - | - | 1,798 |
| Office Expenses | 1,882 | - | 327 | 3,213 | - | - | 5,422 |
| Telecommunications | 620 | - | 228 | 1,550 | - | - | 2,398 |
| Data Processing | 157 | - | 14 | 206 | - | - | 377 |
| Publicity and Publications | 807 | - | 12 | 1,038 | - | - | 1,857 |
| Professional Services | 103,429 | - | 10,038 | 22,000 | - | - | 135,467 |
| IT Professional Services | 122 | - | 6,762 | 4,501 | - | - | 11,385 |
| Attorney General | 12,335 | - | 1,658 | 8,920 | - | - | 22,913 |
| Employee Recruitment and Develop | 94 | - | 47 | 134 | - | - | 275 |
| Dues and Subscriptions | 201 | - | 5 | 278 | - | - | 484 |
| Facilities Maintenance | 2 | - | 1 | 3 | - | - | 6 |
| Food and Kitchen Supplies | 646 | - | 1,316 | 1,187 | - | - | 3,149 |
| Agency Program Related S and S | 136 | - | 5 | 184 | - | - | 325 |
| Other Services and Supplies | 1,384 | - | 117 | 982 | - | - | 2,483 |
| Expendable Prop 250 - 5000 | 882 | - | 7 | 1,024 | - | - | 1,913 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| IT Expendable Property | 515 | - | 75 | 820 | - | - | 1,410 |
| **Total Services & Supplies** | **$124,646** | **-** | **$20,916** | **$49,236** | **-** | **-** | **$194,798** |
| **Capital Outlay** | | | | | | | |
| Office Furniture and Fixtures | - | - | - | - | - | - | - |
| Data Processing Software | - | - | 57 | - | - | - | 57 |
| **Total Capital Outlay** | **-** | **-** | **$57** | **-** | **-** | **-** | **$57** |
| **Special Payments** | | | | | | | |
| Dist to Other Gov Unit | 48,000 | - | - | - | - | - | 48,000 |
| Dist to Non-Gov Units | - | - | 2,054 | 9,466 | - | - | 11,520 |
| Dist to Individuals | 5,852 | - | - | 1,951 | - | - | 7,803 |
| Other Special Payments | 42,637 | - | - | 165,772 | - | - | 208,409 |
| **Total Special Payments** | **$96,489** | **-** | **$2,054** | **$177,189** | **-** | **-** | **$275,732** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 221,135 | - | 23,027 | 226,425 | - | - | 470,587 |
| **Total Expenditures** | **$221,135** | **-** | **$23,027** | **$226,425** | **-** | **-** | **$470,587** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | - |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 224,306 | - | - | - | - | - | 224,306 |
| Other Revenues | - | - | 1,680 | - | - | - | 1,680 |
| Federal Funds | - | - | - | 178,229 | - | - | 178,229 |
| **Total Revenues** | **$224,306** | **-** | **$1,680** | **$178,229** | **-** | **-** | **$404,215** |
| **Services & Supplies** | | | | | | | |
| Professional Services | 10,343 | - | 1,004 | 2,200 | - | - | 13,547 |
| IT Professional Services | 12 | - | 676 | 450 | - | - | 1,138 |
| **Total Services & Supplies** | **$10,355** | **-** | **$1,680** | **$2,650** | **-** | **-** | **$14,685** |
| **Special Payments** | | | | | | | |
| Other Special Payments | 213,951 | - | - | 175,579 | - | - | 389,530 |
| **Total Special Payments** | **$213,951** | **-** | **-** | **$175,579** | **-** | **-** | **$389,530** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 224,306 | - | 1,680 | 178,229 | - | - | 404,215 |
| **Total Expenditures** | **$224,306** | **-** | **$1,680** | **$178,229** | **-** | **-** | **$404,215** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Central Services**
**Pkg: 060 - Technical Adjustments** | **Cross Reference Number: 44300-010-40-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (2,170,069) | - | - | - | - | - | (2,170,069) |
| Other Selective Taxes | - | - | (93,870) | - | - | - | (93,870) |
| Other Revenues | - | - | (249,688) | - | - | - | (249,688) |
| Federal Funds | - | - | - | (6,298,552) | - | - | (6,298,552) |
| **Total Revenues** | **($2,170,069)** | **-** | **($343,558)** | **($6,298,552)** | **-** | **-** | **($8,812,179)** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | (1,334) | - | (10,673) | (1,332) | - | - | (13,339) |
| Overtime Payments | (1,549) | - | - | (1,574) | - | - | (3,123) |
| Shift Differential | - | - | - | (23,421) | - | - | (23,421) |
| All Other Differential | (9,481) | - | (9,303) | (9,877) | - | - | (28,661) |
| Public Employees' Retire Cont | (1,742) | - | (1,469) | (5,507) | - | - | (8,718) |
| Social Security Taxes | (945) | - | (1,528) | (2,770) | - | - | (5,243) |
| **Total Personal Services** | **($15,051)** | **-** | **($22,973)** | **($44,481)** | **-** | **-** | **($82,505)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | (3,810) | - | (1,159) | (46,971) | - | - | (51,940) |
| Out of State Travel | (3,053) | - | (6) | (5,525) | - | - | (8,584) |
| Employee Training | (1,495) | - | (347) | (8,243) | - | - | (10,085) |
| Office Expenses | (11,983) | - | (2,134) | (41,910) | - | - | (56,027) |
| Telecommunications | 13,226 | - | 44,275 | 5,822 | - | - | 63,323 |
| Data Processing | (1,038) | - | - | (1,317) | - | - | (2,355) |
| Publicity and Publications | (71) | - | (2) | (137) | - | - | (210) |
| Professional Services | (420,405) | - | (11,355) | (539,766) | - | - | (971,526) |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| IT Professional Services | - | - | (231,708) | (151,697) | - | - | (383,405) |
| Attorney General | (943) | - | (21) | (1,046) | - | - | (2,010) |
| Dues and Subscriptions | (175) | - | (9) | (386) | - | - | (570) |
| Facilities Rental and Taxes | (9,435) | - | - | - | - | - | (9,435) |
| Food and Kitchen Supplies | (22,186) | - | (45,183) | (40,754) | - | - | (108,123) |
| Agency Program Related S and S | (124) | - | (9) | (287) | - | - | (420) |
| Other Services and Supplies | (38,447) | - | (203) | (17,900) | - | - | (56,550) |
| Expendable Prop 250 - 5000 | (4,634) | - | (235) | (1,453) | - | - | (6,322) |
| IT Expendable Property | (1,838) | - | (9) | (3,607) | - | - | (5,454) |
| **Total Services & Supplies** | **($506,411)** | **-** | **($248,105)** | **($855,177)** | **-** | **-** | **($1,609,693)** |
| **Capital Outlay** | | | | | | | |
| Data Processing Software | - | - | (1,944) | - | - | - | (1,944) |
| **Total Capital Outlay** | **-** | **-** | **($1,944)** | **-** | **-** | **-** | **($1,944)** |
| **Special Payments** | | | | | | | |
| Dist to Other Gov Unit | (1,648,000) | - | - | - | - | - | (1,648,000) |
| Dist to Non-Gov Units | - | - | (70,536) | (324,984) | - | - | (395,520) |
| Other Special Payments | (607) | - | - | (5,073,910) | - | - | (5,074,517) |
| **Total Special Payments** | **($1,648,607)** | **-** | **($70,536)** | **($5,398,894)** | **-** | **-** | **($7,118,037)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (2,170,069) | - | (343,558) | (6,298,552) | - | - | (8,812,179) |
| **Total Expenditures** | **($2,170,069)** | **-** | **($343,558)** | **($6,298,552)** | **-** | **-** | **($8,812,179)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 389,807 | - | - | - | - | - | 389,807 |
| Federal Funds | - | - | - | 130,089 | - | - | 130,089 |
| **Total Revenues** | **$389,807** | **-** | **-** | **$130,089** | **-** | **-** | **$519,896** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 264,348 | - | - | 88,116 | - | - | 352,464 |
| Temporary Appointments | 1 | - | - | (1) | - | - | - |
| Empl. Rel. Bd. Assessments | 66 | - | - | 22 | - | - | 88 |
| Public Employees' Retire Cont | 41,740 | - | - | 13,914 | - | - | 55,654 |
| Social Security Taxes | 20,223 | - | - | 6,741 | - | - | 26,964 |
| Worker's Comp. Assess. (WCD) | 103 | - | - | 35 | - | - | 138 |
| Flexible Benefits | 45,792 | - | - | 15,264 | - | - | 61,056 |
| **Total Personal Services** | **$372,273** | **-** | **-** | **$124,091** | **-** | **-** | **$496,364** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 4,164 | - | - | 1,388 | - | - | 5,552 |
| Employee Training | 1,146 | - | - | 382 | - | - | 1,528 |
| Office Expenses | 7,923 | - | - | 2,641 | - | - | 10,564 |
| Telecommunications | 3,351 | - | - | 1,117 | - | - | 4,468 |
| Other Services and Supplies | 720 | - | - | 240 | - | - | 960 |
| Expendable Prop 250 - 5000 | 230 | - | - | 230 | - | - | 460 |
| **Total Services & Supplies** | **$17,534** | **-** | **-** | **$5,998** | **-** | **-** | **$23,532** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: OHA Central Services**
**Cross Reference Number: 44300-010-40-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 389,807 | - | - | 130,089 | - | - | 519,896 |
| **Total Expenditures** | **$389,807** | **-** | **-** | **$130,089** | **-** | **-** | **$519,896** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 2 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **2** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 2.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **2.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: OHA Shared Services**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-010-45-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | 661,260 | - | - | - | 661,260 |
| **Total Revenues** | **-** | **-** | **$661,260** | **-** | **-** | **-** | **$661,260** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | - | - | 27,268 | - | - | - | 27,268 |
| Overtime Payments | - | - | 2,502 | - | - | - | 2,502 |
| Shift Differential | - | - | 5,721 | - | - | - | 5,721 |
| All Other Differential | - | - | 21,748 | - | - | - | 21,748 |
| Public Employees' Retire Cont | - | - | 4,734 | - | - | - | 4,734 |
| Pension Obligation Bond | - | - | 956,100 | - | - | - | 956,100 |
| Social Security Taxes | - | - | 4,379 | - | - | - | 4,379 |
| Vacancy Savings | - | - | (361,192) | - | - | - | (361,192) |
| **Total Personal Services** | **-** | **-** | **$661,260** | **-** | **-** | **-** | **$661,260** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 661,260 | - | - | - | 661,260 |
| **Total Expenditures** | **-** | **-** | **$661,260** | **-** | **-** | **-** | **$661,260** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: OHA Shared Services**
**Cross Reference Number: 44300-010-45-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | - | - | - | - | - | - | - |
| Other Revenues | - | - | 185,863 | - | - | - | 185,863 |
| **Total Revenues** | **-** | **-** | **$185,863** | **-** | **-** | **-** | **$185,863** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 94,704 | - | - | - | 94,704 |
| Empl. Rel. Bd. Assessments | - | - | 44 | - | - | - | 44 |
| Public Employees' Retire Cont | - | - | 14,954 | - | - | - | 14,954 |
| Social Security Taxes | - | - | 7,245 | - | - | - | 7,245 |
| Worker's Comp. Assess. (WCD) | - | - | 69 | - | - | - | 69 |
| Flexible Benefits | - | - | 30,528 | - | - | - | 30,528 |
| **Total Personal Services** | **-** | **-** | **$147,544** | **-** | **-** | **-** | **$147,544** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 8,715 | - | - | - | 8,715 |
| Employee Training | - | - | 4,046 | - | - | - | 4,046 |
| Office Expenses | - | - | 16,581 | - | - | - | 16,581 |
| Telecommunications | - | - | 7,012 | - | - | - | 7,012 |
| Other Services and Supplies | - | - | 1,506 | - | - | - | 1,506 |
| Expendable Prop 250 - 5000 | - | - | 459 | - | - | - | 459 |
| **Total Services & Supplies** | **-** | **-** | **$38,319** | **-** | **-** | **-** | **$38,319** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: OHA Shared Services**
**Cross Reference Number: 44300-010-45-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 185,863 | - | - | - | 185,863 |
| **Total Expenditures** | **-** | **-** | **$185,863** | **-** | **-** | **-** | **$185,863** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 1 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **1** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 1.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **1.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 022 - Phase-out Pgm & One-time Costs**

**Cross Reference Name: OHA Shared Services**
**Cross Reference Number: 44300-010-45-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | (301,904) | - | - | - | (301,904) |
| **Total Revenues** | **-** | **-** | **($301,904)** | **-** | **-** | **-** | **($301,904)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | (70,070) | - | - | - | (70,070) |
| Employee Training | - | - | (19,292) | - | - | - | (19,292) |
| Office Expenses | - | - | (133,328) | - | - | - | (133,328) |
| Telecommunications | - | - | (56,394) | - | - | - | (56,394) |
| Other Services and Supplies | - | - | (12,116) | - | - | - | (12,116) |
| Expendable Prop 250 - 5000 | - | - | (10,704) | - | - | - | (10,704) |
| **Total Services & Supplies** | **-** | **-** | **($301,904)** | **-** | **-** | **-** | **($301,904)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (301,904) | - | - | - | (301,904) |
| **Total Expenditures** | **-** | **-** | **($301,904)** | **-** | **-** | **-** | **($301,904)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: OHA Shared Services**
**Cross Reference Number: 44300-010-45-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | 997,024 | - | - | - | 997,024 |
| **Total Revenues** | **-** | **-** | **$997,024** | **-** | **-** | **-** | **$997,024** |
| | | | | | | | |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 26,011 | - | - | - | 26,011 |
| Out of State Travel | - | - | 2,754 | - | - | - | 2,754 |
| Employee Training | - | - | 12,648 | - | - | - | 12,648 |
| Office Expenses | - | - | 31,860 | - | - | - | 31,860 |
| Telecommunications | - | - | 15,664 | - | - | - | 15,664 |
| Data Processing | - | - | 228,414 | - | - | - | 228,414 |
| Publicity and Publications | - | - | 4 | - | - | - | 4 |
| Professional Services | - | - | 23,356 | - | - | - | 23,356 |
| IT Professional Services | - | - | 596,213 | - | - | - | 596,213 |
| Attorney General | - | - | 1,453 | - | - | - | 1,453 |
| Dues and Subscriptions | - | - | 3,496 | - | - | - | 3,496 |
| Facilities Rental and Taxes | - | - | 264 | - | - | - | 264 |
| Facilities Maintenance | - | - | 262 | - | - | - | 262 |
| Agency Program Related S and S | - | - | 286 | - | - | - | 286 |
| Other Services and Supplies | - | - | 3,467 | - | - | - | 3,467 |
| Expendable Prop 250 - 5000 | - | - | 5,355 | - | - | - | 5,355 |
| IT Expendable Property | - | - | 45,517 | - | - | - | 45,517 |
| **Total Services & Supplies** | **-** | **-** | **$997,024** | **-** | **-** | **-** | **$997,024** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: OHA Shared Services**
**Cross Reference Number: 44300-010-45-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Capital Outlay** | | | | | | | |
| Office Furniture and Fixtures | - | - | - | - | - | - | - |
| **Total Capital Outlay** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 997,024 | - | - | - | 997,024 |
| **Total Expenditures** | **-** | **-** | **$997,024** | **-** | **-** | **-** | **$997,024** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: OHA Shared Services**
**Cross Reference Number: 44300-010-45-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | 61,956 | - | - | - | 61,956 |
| **Total Revenues** | **-** | **-** | **$61,956** | **-** | **-** | **-** | **$61,956** |
| **Services & Supplies** | | | | | | | |
| Professional Services | - | - | 2,335 | - | - | - | 2,335 |
| IT Professional Services | - | - | 59,621 | - | - | - | 59,621 |
| **Total Services & Supplies** | **-** | **-** | **$61,956** | **-** | **-** | **-** | **$61,956** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 61,956 | - | - | - | 61,956 |
| **Total Expenditures** | **-** | **-** | **$61,956** | **-** | **-** | **-** | **$61,956** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 050 - Fundshifts**

**Cross Reference Name: OHA Shared Services**
**Cross Reference Number: 44300-010-45-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (1) | - | - | - | - | - | (1) |
| Other Revenues | - | - | 1 | - | - | - | 1 |
| **Total Revenues** | **($1)** | **-** | **$1** | **-** | **-** | **-** | **-** |
| **Personal Services** | | | | | | | |
| Unemployment Assessments | (1) | - | 1 | - | - | - | - |
| **Total Personal Services** | **($1)** | **-** | **$1** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (1) | - | 1 | - | - | - | - |
| **Total Expenditures** | **($1)** | **-** | **$1** | **-** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: State Assessments and Enterprise-wide Costs**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-010-50-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 5,282 | - | - | - | - | - | 5,282 |
| Other Revenues | - | - | (9,035) | - | - | - | (9,035) |
| Federal Funds | - | - | - | 2,342 | - | - | 2,342 |
| **Total Revenues** | **$5,282** | **-** | **($9,035)** | **$2,342** | **-** | **-** | **($1,411)** |
| **Personal Services** | | | | | | | |
| Unemployment Assessments | 13,035 | - | 2,360 | 2,342 | - | - | 17,737 |
| Mass Transit Tax | (7,753) | - | (11,395) | - | - | - | (19,148) |
| **Total Personal Services** | **$5,282** | **-** | **($9,035)** | **$2,342** | **-** | **-** | **($1,411)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 5,282 | - | (9,035) | 2,342 | - | - | (1,411) |
| **Total Expenditures** | **$5,282** | **-** | **($9,035)** | **$2,342** | **-** | **-** | **($1,411)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Cross Reference Number: 44300-010-50-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 2,155,117 | - | - | - | - | - | 2,155,117 |
| Other Revenues | - | - | 1,584 | - | - | - | 1,584 |
| Federal Funds | - | - | - | 337 | - | - | 337 |
| **Total Revenues** | **$2,155,117** | **-** | **$1,584** | **$337** | **-** | **-** | **$2,157,038** |
| **Personal Services** | | | | | | | |
| Mass Transit Tax | 80,512 | - | - | - | - | - | 80,512 |
| **Total Personal Services** | **$80,512** | **-** | **-** | **-** | **-** | **-** | **$80,512** |
| **Services & Supplies** | | | | | | | |
| Office Expenses | 219,611 | - | - | - | - | - | 219,611 |
| Data Processing | 180,840 | - | - | - | - | - | 180,840 |
| Facilities Rental and Taxes | 38,772 | - | - | - | - | - | 38,772 |
| Expendable Prop 250 - 5000 | 1,040,515 | - | - | - | - | - | 1,040,515 |
| **Total Services & Supplies** | **$1,479,738** | **-** | **-** | **-** | **-** | **-** | **$1,479,738** |
| **Special Payments** | | | | | | | |
| Other Special Payments | 594,867 | - | 1,584 | 337 | - | - | 596,788 |
| **Total Special Payments** | **$594,867** | **-** | **$1,584** | **$337** | **-** | **-** | **$596,788** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 2,155,117 | - | 1,584 | 337 | - | - | 2,157,038 |
| **Total Expenditures** | **$2,155,117** | **-** | **$1,584** | **$337** | **-** | **-** | **$2,157,038** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Cross Reference Number: 44300-010-50-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: State Assessments and Enterprise-wide Costs**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-010-50-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Obligation Bonds | - | - | (1,103,373) | - | - | - | (1,103,373) |
| **Total Revenues** | **-** | **-** | **($1,103,373)** | **-** | **-** | **-** | **($1,103,373)** |
| **Services & Supplies** | | | | | | | |
| Other COP Costs | - | - | (1,103,373) | - | - | - | (1,103,373) |
| **Total Services & Supplies** | **-** | **-** | **($1,103,373)** | **-** | **-** | **-** | **($1,103,373)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (1,103,373) | - | - | - | (1,103,373) |
| **Total Expenditures** | **-** | **-** | **($1,103,373)** | **-** | **-** | **-** | **($1,103,373)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Cross Reference Number: 44300-010-50-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 14,020,839 | - | - | - | - | - | 14,020,839 |
| Other Revenues | - | - | 3,451,505 | - | - | - | 3,451,505 |
| Federal Funds | - | - | - | 10,202,431 | - | - | 10,202,431 |
| **Total Revenues** | **$14,020,839** | **-** | **$3,451,505** | **$10,202,431** | **-** | **-** | **$27,674,775** |
| **Services & Supplies** | | | | | | | |
| Employee Training | 174 | - | 46 | 137 | - | - | 357 |
| Office Expenses | 21,890 | - | 3,644 | 16,856 | - | - | 42,390 |
| Telecommunications | 1 | - | - | - | - | - | 1 |
| State Gov. Service Charges | 13,240,525 | - | 3,251,617 | 9,794,751 | - | - | 26,286,893 |
| Data Processing | 6,450 | - | 24,986 | 6,315 | - | - | 37,751 |
| Professional Services | 5,550 | - | 1,139 | 5,150 | - | - | 11,839 |
| Employee Recruitment and Develop | 845 | - | 226 | 663 | - | - | 1,734 |
| Dues and Subscriptions | - | - | - | 2,964 | - | - | 2,964 |
| Facilities Rental and Taxes | 276,564 | - | 155,163 | 270,519 | - | - | 702,246 |
| Fuels and Utilities | 6,121 | - | 1,210 | 10,924 | - | - | 18,255 |
| Facilities Maintenance | 12,014 | - | 2,490 | 12,527 | - | - | 27,031 |
| Other Services and Supplies | 149,253 | - | (65,435) | (172,747) | - | - | (88,929) |
| Expendable Prop 250 - 5000 | 32,828 | - | 7,113 | 28,787 | - | - | 68,728 |
| IT Expendable Property | 8,433 | - | 375 | 9,944 | - | - | 18,752 |
| **Total Services & Supplies** | **$13,760,648** | **-** | **$3,382,574** | **$9,986,790** | **-** | **-** | **$27,130,012** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Cross Reference Number: 44300-010-50-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Capital Outlay** | | | | | | | |
| Office Furniture and Fixtures | - | - | - | - | - | - | - |
| **Total Capital Outlay** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Other Special Payments | 260,191 | - | 68,931 | 215,641 | - | - | 544,763 |
| **Total Special Payments** | **$260,191** | **-** | **$68,931** | **$215,641** | **-** | **-** | **$544,763** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 14,020,839 | - | 3,451,505 | 10,202,431 | - | - | 27,674,775 |
| **Total Expenditures** | **$14,020,839** | **-** | **$3,451,505** | **$10,202,431** | **-** | **-** | **$27,674,775** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Cross Reference Number: 44300-010-50-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 555 | - | - | - | - | - | 555 |
| Other Revenues | - | - | 114 | - | - | - | 114 |
| Federal Funds | - | - | - | 515 | - | - | 515 |
| **Total Revenues** | **$555** | **-** | **$114** | **$515** | **-** | **-** | **$1,184** |
| **Services & Supplies** | | | | | | | |
| Professional Services | 555 | - | 114 | 515 | - | - | 1,184 |
| **Total Services & Supplies** | **$555** | **-** | **$114** | **$515** | **-** | **-** | **$1,184** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 555 | - | 114 | 515 | - | - | 1,184 |
| **Total Expenditures** | **$555** | **-** | **$114** | **$515** | **-** | **-** | **$1,184** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 033 - Exceptional Inflation**

**Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Cross Reference Number: 44300-010-50-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 2,564,689 | - | - | - | - | - | 2,564,689 |
| Other Revenues | - | - | 637,099 | - | - | - | 637,099 |
| Federal Funds | - | - | - | 2,042,108 | - | - | 2,042,108 |
| **Total Revenues** | **$2,564,689** | **-** | **$637,099** | **$2,042,108** | **-** | **-** | **$5,243,896** |
| **Special Payments** | | | | | | | |
| Other Special Payments | 2,564,689 | - | 637,099 | 2,042,108 | - | - | 5,243,896 |
| **Total Special Payments** | **$2,564,689** | **-** | **$637,099** | **$2,042,108** | **-** | **-** | **$5,243,896** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 2,564,689 | - | 637,099 | 2,042,108 | - | - | 5,243,896 |
| **Total Expenditures** | **$2,564,689** | **-** | **$637,099** | **$2,042,108** | **-** | **-** | **$5,243,896** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Pkg: 060 - Technical Adjustments** | **Cross Reference Number: 44300-010-50-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 42,708 | - | - | - | - | - | 42,708 |
| Other Revenues | - | - | 654,038 | - | - | - | 654,038 |
| Federal Funds | - | - | - | 12,934 | - | - | 12,934 |
| **Total Revenues** | **$42,708** | **-** | **$654,038** | **$12,934** | **-** | **-** | **$709,680** |
| **Personal Services** | | | | | | | |
| Unemployment Assessments | - | - | 274 | - | - | - | 274 |
| Mass Transit Tax | 14,699 | - | 53,628 | - | - | - | 68,327 |
| **Total Personal Services** | **$14,699** | **-** | **$53,902** | **-** | **-** | **-** | **$68,601** |
| **Services & Supplies** | | | | | | | |
| Employee Training | 1,727 | - | 462 | 1,356 | - | - | 3,545 |
| Office Expenses | 2,469 | - | 5,578 | 1,301 | - | - | 9,348 |
| Telecommunications | 1,699,313 | - | 471,653 | 1,661,685 | - | - | 3,832,651 |
| State Gov. Service Charges | (7,257,777) | - | (1,645,511) | (6,851,940) | - | - | (15,755,228) |
| Data Processing | 4,700,617 | - | 944,557 | 4,516,303 | - | - | 10,161,477 |
| Employee Recruitment and Develop | 23,854 | - | 6,374 | 18,735 | - | - | 48,963 |
| Facilities Rental and Taxes | 19,933 | - | 272,284 | 10,500 | - | - | 302,717 |
| Other Services and Supplies | 832,624 | - | 234,653 | 653,861 | - | - | 1,721,138 |
| Expendable Prop 250 - 5000 | 4,642 | - | 310,086 | 1,133 | - | - | 315,861 |
| **Total Services & Supplies** | **$27,402** | **-** | **$600,136** | **$12,934** | **-** | **-** | **$640,472** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Pkg: 060 - Technical Adjustments** | **Cross Reference Number: 44300-010-50-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Other Special Payments | 607 | - | - | - | - | - | 607 |
| **Total Special Payments** | **$607** | **-** | **-** | **-** | **-** | **-** | **$607** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 42,708 | - | 654,038 | 12,934 | - | - | 709,680 |
| **Total Expenditures** | **$42,708** | **-** | **$654,038** | **$12,934** | **-** | **-** | **$709,680** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Pkg: 090 - Analyst Adjustments** | **Cross Reference Number: 44300-010-50-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (784,716) | - | - | - | - | - | (784,716) |
| **Total Revenues** | **($784,716)** | **-** | **-** | **-** | **-** | **-** | **($784,716)** |
| **Personal Services** | | | | | | | |
| Mass Transit Tax | (39,408) | - | - | - | - | - | (39,408) |
| **Total Personal Services** | **($39,408)** | **-** | **-** | **-** | **-** | **-** | **($39,408)** |
| **Services & Supplies** | | | | | | | |
| Office Expenses | (107,401) | - | - | - | - | - | (107,401) |
| Telecommunications | (2,613) | - | - | - | - | - | (2,613) |
| Data Processing | (85,827) | - | - | - | - | - | (85,827) |
| Expendable Prop 250 - 5000 | (508,865) | - | - | - | - | - | (508,865) |
| **Total Services & Supplies** | **($704,706)** | **-** | **-** | **-** | **-** | **-** | **($704,706)** |
| **Special Payments** | | | | | | | |
| Other Special Payments | (40,602) | - | - | - | - | - | (40,602) |
| **Total Special Payments** | **($40,602)** | **-** | **-** | **-** | **-** | **-** | **($40,602)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (784,716) | - | - | - | - | - | (784,716) |
| **Total Expenditures** | **($784,716)** | **-** | **-** | **-** | **-** | **-** | **($784,716)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: State Assessments and Enterprise-wide Costs**
**Cross Reference Number: 44300-010-50-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: State Assessments and Enterprise-wide Costs**

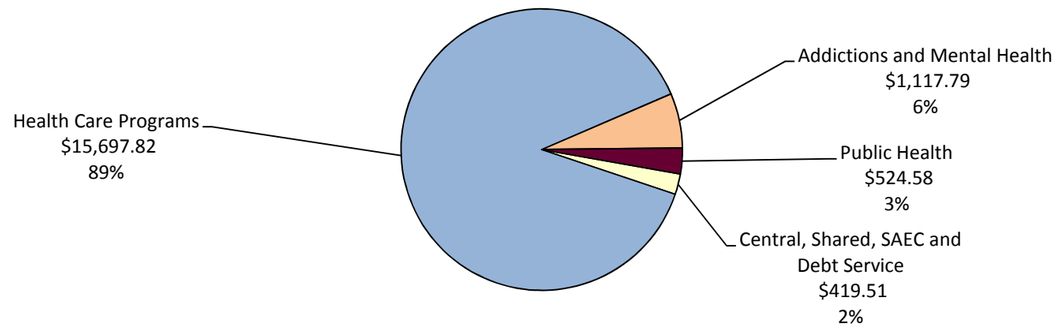
**Pkg: 091 - December 2014 Rebalance** | **Cross Reference Number: 44300-010-50-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 902,280 | - | - | - | - | - | 902,280 |
| Other Revenues | - | - | 74,462 | - | - | - | 74,462 |
| **Total Revenues** | **$902,280** | **-** | **$74,462** | **-** | **-** | **-** | **$976,742** |
| **Services & Supplies** | | | | | | | |
| State Gov. Service Charges | 902,280 | - | 74,462 | - | - | - | 976,742 |
| **Total Services & Supplies** | **$902,280** | **-** | **$74,462** | **-** | **-** | **-** | **$976,742** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 902,280 | - | 74,462 | - | - | - | 976,742 |
| **Total Expenditures** | **$902,280** | **-** | **$74,462** | **-** | **-** | **-** | **$976,742** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

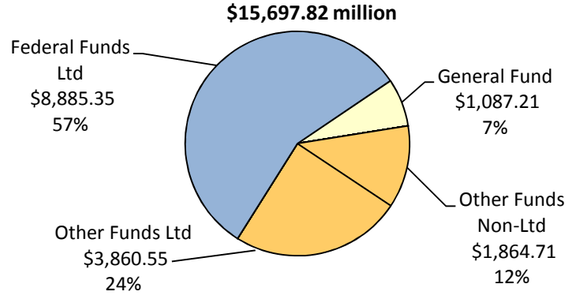
| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012593 | MMN X0866 AA | PUBLIC AFFAIRS SPECIALIST 3 | 1 | 1.00 | 24.00 | 08 | 7,343.00 | 88,116 | | 88,116 | | 176,232 |
| | | | | | | | | 35,974 | | 35,976 | | 71,950 |
| 3100706 | MMN X0866 AA | PUBLIC AFFAIRS SPECIALIST 3 | 1 | 1.00 | 24.00 | 08 | 7,343.00 | 176,232 | | | | 176,232 |
| | | | | | | | | 71,950 | | | | 71,950 |
| | | TOTAL PICS SALARY | | | | | | 264,348 | | 88,116 | | 352,464 |
| | | TOTAL PICS OPE | | | | | | 107,924 | | 35,976 | | 143,900 |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | 2 | 2.00 | 48.00 | | | 372,272 | | 124,092 | | 496,364 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013094 | OA C1484 | IA INFO SYSTEMS SPECIALIST 4 | 1 | 1.00 | 24.00 | 02 | 3,946.00 | | 94,704 | | | 94,704 |
| | | | | | | | | | 52,840 | | | 52,840 |
| | | TOTAL PICS SALARY | | | | | | | 94,704 | | | 94,704 |
| | | TOTAL PICS OPE | | | | | | | 52,840 | | | 52,840 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 1 | 1.00 | 24.00 | | | | 147,544 | | | 147,544 |

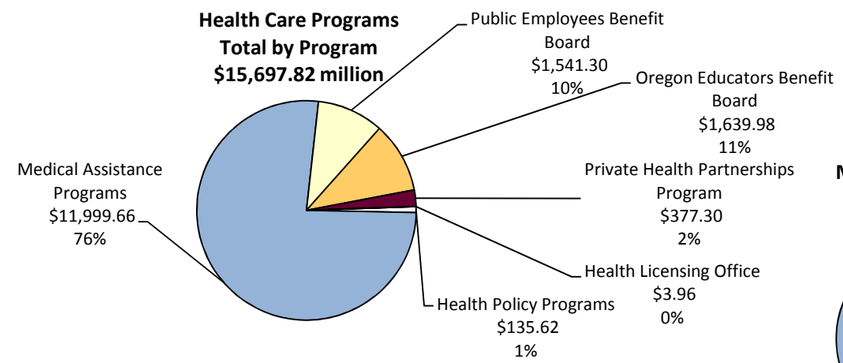
**Oregon Health Authority**
**2013-2015 Legislately Approved Budget**
**Total Fund by Program Area**
**$17,759.70 million**



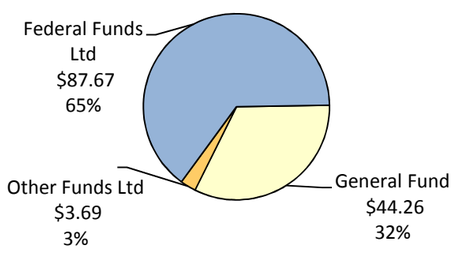

**Health Care Programs**
**Total by Fund**
**$15,697.82 million**



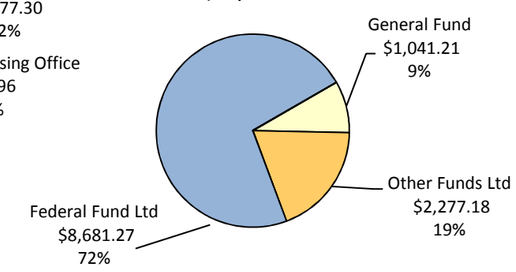

**Health Care Programs**
**Total by Program**
**$15,697.82 million**



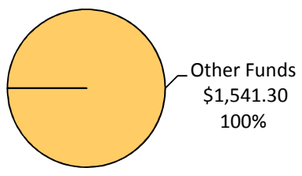

**Health Policy Programs**
**$135.62 million**



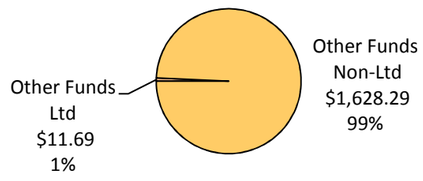

**Medical Assistance Programs**
**$11,999.66 million**



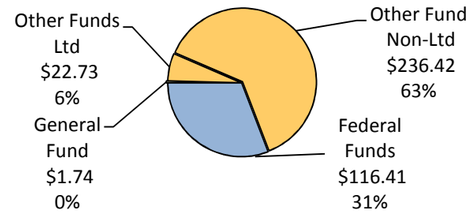

**Public Employees Benefit Board**
**$ 1,541.30 million**



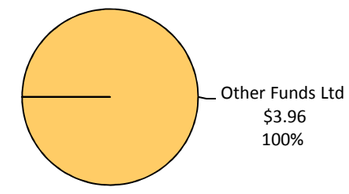

**Oregon Educators Benefit Board**
**$1,639.98 million**



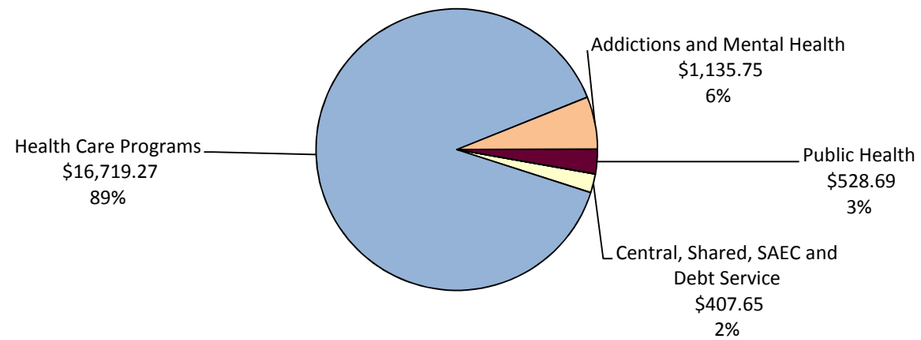

**Private Health Partnerships Programs**
**$377.30 million**



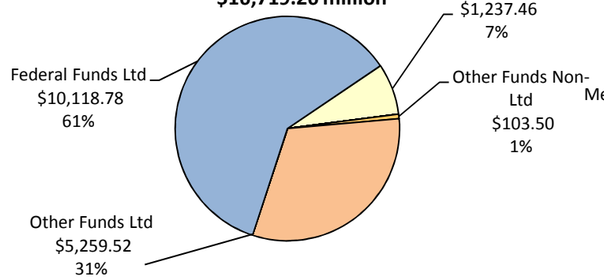

**Health Licensing Office**
**$3.96 million**



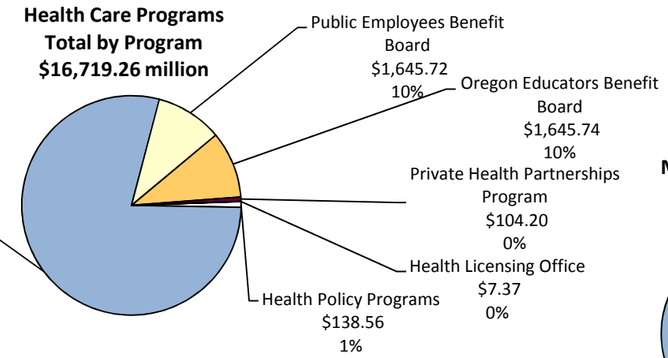
**Oregon Health Authority**
**2015-17 Governor's Budget**
**Total Fund by Program Area**
**$18,791.36 million**



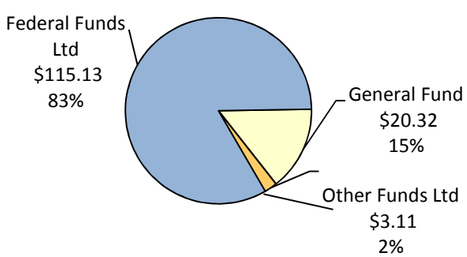

**Health Care Programs**
**Total by Fund**
**$16,719.26 million**



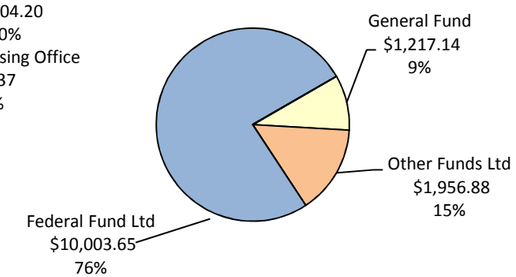

**Health Care Programs**
**Total by Program**
**$16,719.26 million**



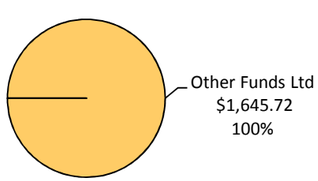

**Health Policy Programs**
**$138.56 million**



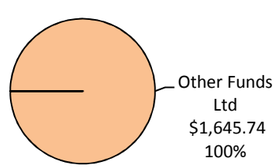

**Medical Assistance Programs**
**$13,177.67 million**



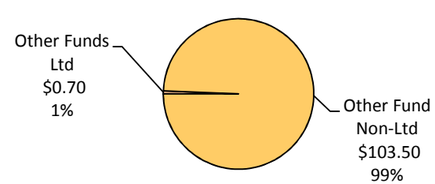

**Public Employees Benefit Board**
**$ 1,645.72 million**



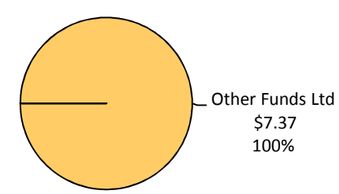

**Oregon Educators Benefit Board**
**$1,645.74 million**




**Private Health Partnerships Programs**
**$104.20 million**




**Health Licensing Office**
**$7.37 million**



| Oregon Health Authority<br>Health Care Programs<br>44300-020-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND**<br>**REVENUES** | | | | | | |
| General Fund Appropriation | 0050 | GF | 1,565,618,631 | 1,803,721,383 | 2,843,408,639 | 2,039,349,878 |
| **TOTAL REVENUES** | | **GF** | **1,565,618,631** | **1,803,721,383** | **2,843,408,639** | **2,039,349,878** |
| **TOTAL GENERAL FUNDS** | | **GF** | **1,565,618,631** | **1,803,721,383** | **2,843,408,639** | **2,039,349,878** |
| **LOTTERY FUNDS**<br>**TRANSFERS OUT** | | | | | | |
| Beginning Balance | 0025 | LF | - | 765,978 | - | - |
| Beginning Balance Adjustment | 0030 | LF | - | (765,978) | - | - |
| Transfer in Other | 1050 | LF | 90,723 | - | - | - |
| Transfers from Administrative Services | 1107 | LF | 10,350,984 | 10,592,542 | 11,397,648 | 10,827,615 |
| **TOTAL TRANSFERS OUT** | | **LF** | **10,441,707** | **10,592,542** | **11,397,648** | **10,827,615** |
| **TOTAL LOTTERY FUNDS** | | **LF** | **10,441,707** | **10,592,542** | **11,397,648** | **10,827,615** |
| **OTHER FUNDS**<br>**REVENUES** | | | | | | |
| Beginning Balance | 0025 | OF | 389,432,892 | 303,984,075 | 16,736,254 | 16,736,254 |
| Beginning Balance Adjustment | 0030 | OF | 46,178,716 | 55,427,171 | - | 476,705,682 |
| Other Selective Taxes | 0190 | OF | 765,560,223 | 899,268,276 | 111,277,327 | 948,852,010 |
| Business License & Fees | 0205 | OF | 12,828,325 | 8,955,937 | 12,945,952 | 12,922,725 |
| Non-Business License & Fees | 0210 | OF | 13,123,261 | 14,909,812 | 14,909,812 | 16,747,558 |

| Oregon Health Authority<br>Health Care Programs<br>44300-020-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Charges for Services | 0410 | OF | 159,601,002 | 29,304,245 | 24,641,444 | 24,641,444 |
| Administrative Service Charges | 0415 | OF | 1,125,437,346 | 3,152,446,699 | 3,343,448,253 | 3,261,907,990 |
| Care of State Wards | 0420 | OF | 13,804,386 | 2,369,042 | 2,369,042 | 2,369,042 |
| Fines and Forfeitures | 0505 | OF | 36,190 | 112,152 | 112,152 | 112,152 |
| Rents and Royalties | 0510 | OF | 55,581 | - | - | - |
| Interest Income | 0605 | OF | 2,566,430 | 8,116,332 | 5,021,172 | 5,021,172 |
| Sales Income | 0705 | OF | 148,631 | 7,924,013 | 7,924,013 | 7,924,013 |
| Donations | 0905 | OF | 4,053,913 | 283,030 | 283,030 | 283,030 |
| Grants (Non-FED) | 0910 | OF | - | 1,343,201 | 1,343,201 | 1,343,201 |
| Loan Repayment | 0925 | OF | 90,558 | - | - | - |
| Insurance Premiums | 0965 | OF | - | 116,712,922 | - | - |
| Other Revenues | 0975 | OF | 1,783,383,819 | 785,649,335 | 576,924,485 | 2,002,373,595 |
| Loan Proceeds | 0980 | OF | 215,000,000 | - | - | - |
| **TOTAL REVENUES** | | **OF** | **4,531,301,273** | **5,386,806,242** | **4,117,936,137** | **6,777,939,868** |
| **TRANSFER IN** | | | | | | |
| Transfer in Intrafund | 1010 | OF | 25,325,199 | - | - | - |
| Transfer in Other | 1050 | OF | - | 124,267,000 | 124,000,000 | 124,000,000 |
| Transfer in Administrative Services | 1107 | OF | 313,306,787 | - | - | 137,000,000 |

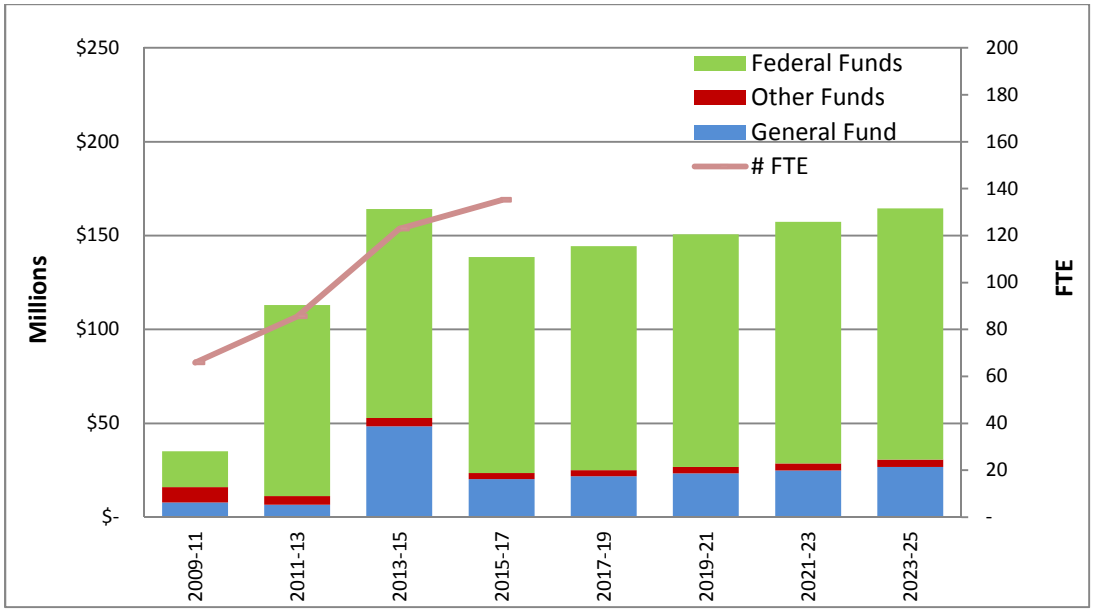
| Oregon Health Authority<br>Health Care Programs<br>44300-020-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| SOURCE | COMP SOURCE GROUP | FUND | 2011-13 Actuals | 2013-15 Legislatively Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget |
| Transfer in Governor, Office of the | 1121 | OF | 1,084,798 | - | - | - |
| Transfer in Revenue Department | 1150 | OF | 360,272,153 | 346,734,482 | 346,192,079 | 346,192,079 |
| Transfer in Judicial | 1198 | OF | 288,540 | - | - | - |
| Transfer in Military Department | 1248 | OF | 403,922 | - | - | - |
| Transfer in Department of Energy | 1330 | OF | 82,345 | 49,924 | 49,924 | 49,924 |
| Transfer from Environmental Quality | 1340 | OF | 120,000 | - | - | - |
| Transfer from Oregon Youth Authority | 1415 | OF | 159,314 | 225,280 | 232,038 | 232,038 |
| Transfer in Commission & Families | 1423 | OF | 561,353 | - | - | - |
| Transfer in Consumer Business Services | 1440 | OF | 132,350,072 | 29,004,800 | - | - |
| Tsfr From Oregon Health Authority | 1443 | OF | 64,874,279 | - | - | - |
| Transfer in Education | 1581 | OF | - | 1,195,516 | 1,322,340 | 1,322,340 |
| Transfer in Agriculture | 1603 | OF | 110,470 | 103,783 | 106,897 | 106,897 |
| Transfer in Department of Transportation | 1730 | OF | 38,558 | - | - | - |
| Transfers in Health Lic Agency | 1831 | OF | 1,050 | - | - | - |
| Transfer in Health Relations Licensing Board | 1833 | OF | 45,928 | 44,450 | 45,400 | 45,400 |
| Transfer in Board of Dentistry | 1834 | OF | 380,008 | 445,716 | 401,128 | 401,128 |
| Transfer in Liquor Control Commission | 1845 | OF | 16,350,877 | 17,823,000 | 17,996,500 | 20,273,736 |
| Transfer in Oregon Medical Board | 1847 | OF | 1,551,707 | 1,553,049 | 1,645,210 | 1,645,210 |

| Oregon Health Authority<br>Health Care Programs<br>44300-020-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Transfer in Board of Nursing | 1851 | OF | 1,884,420 | 2,095,628 | 2,146,431 | 2,146,431 |
| Transfer in Board of Pharmacy | 1855 | OF | 460,791 | 496,674 | 526,344 | 526,344 |
| Transfer in Housing and Commercial Services | 1914 | OF | 38,168 | - | - | - |
| **TOTAL TRANSFERS IN** | | **OF** | **919,690,739** | **524,039,302** | **494,664,291** | **633,941,527** |
| **TRANSFER OUT** | | | | | | |
| Transfer to Intrafund | 2010 | OF | (25,325,199) | - | - | - |
| Transfer to General Fund | 2060 | OF | (7,226,586) | - | - | (120,000,000) |
| Transfer to Counties | 2080 | OF | (7,926,444) | (7,129,200) | (7,476,020) | (7,476,020) |
| Transfer to Department of Human Services | 2100 | OF | (1,887,485) | (687,500) | - | - |
| Transfer to Police, Dept of State | 2257 | OF | (201,000) | - | - | - |
| Transfer to Oregon Health Authority | 2443 | OF | (17,925) | - | - | - |
| Transfer to Oregon Health and Science University | 2590 | OF | (3,500) | - | - | - |
| Transfer to Health Relations Licensing Board | 2833 | OF | - | (987,107) | (987,107) | (987,107) |
| **TOTAL TRANSFERS OUT** | | **OF** | **(42,588,139)** | **(8,803,807)** | **(8,463,127)** | **(128,463,127)** |
| **TOTAL OTHER FUNDS** | | **OF** | **5,408,403,873** | **5,902,041,737** | **4,604,137,301** | **7,283,418,268** |
| **FEDERAL FUNDS REVENUES** | | | | | | |
| Beginning Balance | 0025 | FF | - | 184,602 | - | - |

| Oregon Health Authority<br>Health Care Programs<br>44300-020-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Federal Funds Revenue | 0995 | FF | 5,094,428,483 | 8,666,858,555 | 9,203,280,758 | 10,770,467,425 |
| **TOTAL REVENUES** | | **FF** | **5,094,428,483** | **8,666,858,555** | **9,203,280,758** | **10,770,467,425** |
| **TRANSFERS OUT** | | | | | | |
| Transfer to Economic & Community Development | 2123 | FF | (27,190,201) | (17,430,791) | (18,284,000) | (18,284,000) |
| Tsfr To Energy, Dept of | 2330 | FF | (100,000) | - | - | - |
| Transfer to Environmental Quality | 2340 | FF | (1,291,183) | (1,566,805) | (1,431,876) | (1,431,876) |
| Transfer to Oregon Health and Science University | 2590 | FF | (3,674,490) | - | - | - |
| **TOTAL TRANSFERS OUT** | | **FF** | **(32,255,874)** | **(18,997,596)** | **(19,715,876)** | **(19,715,876)** |
| **TOTAL FEDERAL FUNDS** | | **FF** | **5,062,172,609** | **8,647,860,959** | **9,183,564,882** | **10,750,751,549** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **12,046,636,820** | **16,364,216,621** | **16,642,508,470** | **20,084,347,310** |

# Oregon Health Authority: Health Policy Programs

Primary Outcome Area: Healthy People
Program contact: Leslie Clement, Chief of Policy
503-945-9718




## EXECUTIVE SUMMARY

The budget for Health Policy Programs (HPP) includes the:

- Office of the Chief of Health Policy
- Office of the Chief Medical Officer – Clinical Services Improvement;
- Office for Oregon Health Policy and Research;
- Office of Health Analytics;
- Office of Health Information Technology;
- Office of Equity and Inclusion; and
- Transformation Center.

These offices provide policy support, technical assistance, and access to health information statistics and tools to all organizations and providers participating in Oregon's Health System Transformation, including programs within the Oregon

Health Authority. Together, these offices provide services and support focused on achieving the triple aim of better health, better care, and lower costs.

## PROGRAM FUNDING REQUEST

For the 2015-2017 biennium, the Oregon Health Authority requests the following budget (in millions) for Health Policy Programs:

- 2015-2017 Request: $138.5 TF ($20.3 GF, $3.1 OF and $115.1 FF)

From this investment, Health Policy Programs will provide the Oregon Health Authority, the Oregon Health Policy Board (OHPB), and organizations and providers participating in Oregon's Health System Transformation with:

1. Health policy analysis and development, stakeholder engagement, and strategic and implementation planning services;
2. Clinical leadership across OHA to translate medical evidence into practice and coverage guidelines that improve the quality of health outcomes for patients;
3. Financial and performance data, consistent rate-setting methods, and analysis to support key management and budget decisions;
4. Development of an electronic health information network and related tools to securely share patient information and to optimize delivery of patient health care;
5. Strategies to deliver culturally competent services that address health disparities, promote prevention, and enhance patient-centered care; and,
6. Promotion of the coordinated care model across all populations and payers through technical assistance and the sharing and dissemination of evidence-based best practices.

The Oregon Health Authority estimates the following costs for Health Policy Programs through the 2021-2023 biennium:

- 2017-2019 projected costs: $144.4 TF ($21.7 GF, $3.3 OF and $119.4 FF)
- 2019-2021 projected costs: $150.6 TF ($23.2 GF, $3.5 OF and $123.9 FF)
- 2021-2023 projected costs: $157.4 TF ($24.9 GF, $3.7 OF and $128.8 FF)

Health Policy Programs provide services to all Oregon Health Authority programs and units including PEBB/OEBB and its provider networks, 16 coordinated care organizations, and an estimated 55,000 health care practitioners statewide.

## PROGRAM DESCRIPTION

The **Office of the Chief of Health Policy** coordinates with the Governor's Office, the Legislature, other state and federal agencies, partners and stakeholders, local governments, advocacy and client groups, and the private sector to achieve the triple aim of better health, better care, and lower costs.

The **Office of the Chief Medical Officer – Clinical Services Improvement** (CMO-CSI) is designed to align and integrate clinical resources and policies to support the implementation of the coordinated care model throughout all provider and payer organizations, including the health authority. The chief medical officer's focus is to direct and guide implementation of clinical services so they support quality improvement outcomes and integrate delivery of behavioral, physical, and oral health care. This role includes oversight of the Patient Centered Primary Care Home program, the Health Evidence Review Commission, and the Quality Council.

The **Office for Oregon Health Policy and Research** (OHPR) provides policy analysis, development, and evaluation services to support the work of the Oregon Health Policy Board, the Medicaid Advisory Council, OHA programs, and other stakeholders engaged in the design of Oregon's health system transformation. OHPR provides technical assistance on topics such as primary care workforce development, resource leveraging, and grant development for health system transformation projects.

The **Office of Health Analytics** collects and statistically analyzes utilization, quality, and financial data. It does this in order to:

- Evaluate OHA program performance;
- Provide data to support health system and program planning and implementation; and
- Analyze trends across all payers and claims data.

In addition, this office performs actuarial analysis to support rate development and benefit design. This research is used by policy makers, practitioners, consumers, and researchers to make data-driven decisions.
The **Office of Health Information Technology** (OHIT) is responsible for providing coordination across programs, departments, and agencies in developing policies and procedures that:

- Accelerate state and federal health reform goals through organized support for adoption, implementation and integration of health information technologies;
- Leverage health IT funding opportunities from federal agencies, philanthropic organizations and the private sector to improve Oregon's health IT capacity; and,
- Increase collaboration and communication among state agencies and across programs for enhanced planning and shared decision making, leveraged IT purchases, and coordination of service delivery.

The **Office of Equity and Inclusion** (OEI- formerly the Office of Multicultural Health and Services) promotes good health and wellness for all Oregonians through policy development, training and consultation, and community and organizational capacity building. OEI works with diverse stakeholders both outside and within the Oregon Health Authority to ensure the elimination of avoidable health disparities and to promote optimal health outcomes for everyone in Oregon. It also encourages best practices for diversity development in recruitment, hiring, retention, performance management, contracting and procurement, and employee development within OHA and Oregon's health promoting systems.

The OHA **Transformation Center**'s purpose is to promote effective and innovative practices among Oregon's existing coordinated care organizations (CCOs) and to encourage the adoption of the coordinated care model of patient care among all health plans and payers. The Transformation Center serves as a catalyst to identify and share evidence-based and emerging best practice information. It does this by:

- Establishing learning collaboratives;
- Assigning innovator agents to help CCOs by supporting transformation efforts and by acting as liaisons to OHA;

- Supporting the Council of Clinical Innovators;
- Providing technical assistance and infrastructure support; and
- Disseminating outcome and best practice information to providers and plans across Oregon.

## PROGRAM JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

All of the programs within Health Policy Programs directly support the 10-Year Outcome of Healthy People. Together, the offices help to establish the common vision, define the outcomes, measure fiscal accountability, measure the effects of investment in various health care strategies, and inform all aspects of Oregon's health care decision- and policy-making efforts. In essence, these offices recommend the policy direction, measure the results, and suggest strategies for improving all of Healthy People outcomes. Recent focus has been on tracking:

- Reducing per capita costs;
- Reducing the number of uninsured Oregonians; and
- Improving specific health measures tracked by the CCOs.

## PROGRAM PERFORMANCE

Health Policy and Program offices provide technical and subject matter expertise, analytic capacity, technical assistance, and the ability to secure funding and support of federal and national agency partners. They do not deliver program-specific services.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

Program authorization legislation and applicable federal and state mandates are listed by office in the Program Unit narratives.

## DESCRIBE THE VARIOUS FUNDING STREAMS THAT SUPPORT THE PROGRAM

Health Policy and Programs is supported primarily by General Funds that are matched with Medicaid Administrative Federal Funds. The match rates vary depending upon the type of work being performed. The office also receives 100 percent Federal Funds from the CMS Children's Health Insurance Program Reauthorization Act (CHIPRA) grant, the Center for Medicare and Medicaid

Innovation (CMMI) State Innovation Model grant, the CMS Adult Medicaid Quality grant, the Health Resources and Services Administration (HRSA) Primary Care grant, and Health Information Technology Electronic Health Record funds. It receives Other Funds from various grants (American Cancer Society, Robert Wood Johnson), fees (workforce, inpatient data, ambulatory surgical data, All Payer All Claim [APAC], and J1 Visa), and loan repayment programs (Primary Care Provider Loan).

## DESCRIBE HOW THE 2015-2017 FUNDING PROPOSAL ADVANCED BY THE AGENCY COMPARES TO THE PROGRAM AUTHORIZATION FOR THE AGENCY IN 2013-2015

The following is a comparison of Health Policy Programs 2015-2017 budget request to its 2013-2015 budget:

| | General | Other | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 48,376,685 | 4,472,435 | 111,251,869 | 164,100,989 | 130 | 122.87 |
| **Governor's Budget** | 20,315,058 | 3,120,236 | 115,124,308 | 138,559,602 | 162 | 135.18 |
| **Difference** | -28,061,627 | -1,352,199 | 3,872,439 | -25,541,387 | 32 | 12.31 |
| **Percent Change** | -58% | -30% | 3% | -16% | 25% | 10% |

The 2015-2017 Current Service Level total funds budget is $130,744,594. The Governor's Budget is increased by $1,771,152 for policy option package 201 Race, Ethnicity, Language and Disability Collection, $4,801,423 for policy option package 402 Promote Innovative Health System Solutions, and $1,242,433 for package 091 December 2014 Rebalance. The significant drop in General Fund between biennium accounts for the "one-time" $30M General Fund Transformation Investment authorized by the 2013 legislative session.

# Oregon Health Authority: Health Policy Programs

# Office of the Chief of Health Policy and Chief Medical Officer

Program contact: Leslie Clement, Chief of Policy
503-945-9718
Jeanene Smith, M.D., Chief Medical Officer
503-373-1625

## EXPENDITURES BY FUND TYPE, POSITIONS AND FULL-TIME EQUIVALENTS

The following is the 2015-2017 budget request for the Office of the Chief of Health Policy and Chief Medical Officer (CMO) by fund type, positions, and full-time equivalents:

| | General | Other | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 4,166,542 | 0 | 106,475 | 4,273,017 | 1 | 1.00 |
| **Governor's Budget** | 180,981 | 0 | 115,947 | 296,928 | 1 | 1.00 |
| **Difference** | -3,985,561 | 0 | 9,472 | -3,976,089 | 0 | 0.00 |
| **Percent Change** | -96% | 0% | 9% | -93% | 0% | 0% |

The 2015-2017 Current Service Level total funds budget is $296,928 and no additional increases are requested. The significant drop in General Fund is attributed to the one time $4M General Fund investment in 13-15 associated with the Primary Care Loan Repayment from Senate Bill 440.

## ACTIVITIES, PROGRAMS, AND ISSUES IN THE PROGRAM UNIT BASE BUDGET THAT MAY REQUIRE FURTHER EXPLANATION THAN ALLOWED IN THE PROGRAM UNIT EXECUTIVE SUMMARY.

The vision set forth by the Governor, the Oregon Health Policy Board and the Legislature is of one integrated, statewide health system that achieves better

health, better care, and lower health care costs for all Oregonians. Achieving this vision requires the current system to focus on:

- Improving care coordination;
- Integrating behavioral, physical, and oral health care;
- Incorporating community-based and public health resources toward improved population health;
- Implementing payment methods that focus on value and pay for improved outcomes
- Establishing standards and accountability for safe, accessible and effective care; and
- Spreading best practices and innovations.

The Office of the Chief of Health Policy coordinates with the Governor's Office, the Legislature, other state and federal agencies, partners and stakeholders, local governments, advocacy and client groups, and the private sector to achieve the triple aim of better health, better care, and lower costs.

OHA has shifted existing clinical staff, programs, and resources into a new unit under the direction of the chief medical officer (CMO). The purpose of this shift is to better align medical management practices and coordinate clinical policies across the Coordinated Care Organizations, other plans and payers, and all OHA departments.

The goals of the Chief Medical Officer-Clinical Services Improvements Office are to:

- Integrate clinical policies and resources to support the coordinated care model;
- Align and coordinate health care delivery strategies and systems throughout the Oregon Health Authority;
- Pursue further integration of behavioral, physical, and oral health care;
- Establish and maintain effective working relationships with Oregon's providers and health care delivery system representatives; and
- Coordinate quality improvement efforts across OHA, PEBB- and OEBB-contracted plans, the CCOs, and other entities involved in quality improvement.

One role of the CMO is to focus the agency's medical knowledge and expertise on achieving performance, quality, and cost containment goals. It will accomplish this in part by assuming direct supervision of several existing positions within the Oregon Health Authority that have historically reported through a variety of chains of command. These include:

- OHA Dental Director;
- Child Health Director;
- The Transformation Center's director of clinical innovation;
- The Health Evidence Review Commission (HERC) clinical director; and
- OHA quality improvement director.

This unit will coordinate with Addictions and Mental Health, Public Health, and the Medical Assistance Programs medical directors and clinical experts to align OHA's policies and strategies.

The CMO also oversees the Health Evidence Review Commission (HERC). Among other responsibilities, HERC:

- Conducts comparative effectiveness and benefit design research to inform public and private sector transformation efforts;
- Performs medical technology reviews;
- Develops clinical and coverage guidelines based on clinical evidence;
- Maintains the OHP Prioritized List of Health Services; and
- Disseminates information on the effectiveness and costs of medical treatments and technologies.

A key strategy for the CMO staff will be applying HERC research to advance policy development, implementation, and evaluation for OHA, the CCOs, and PEBB/OEBB contracted plans.

The Office of the Chief Medical Officer houses the Patient Centered Primary Care Home (PCPCH) program. The standards for care in a PCPCH include accessibility, accountability, and comprehensive, continuous, coordinated and patient- and family-centered care. To recognize additional PCPCH clinics, it will be important to focus the work on developing provider relationships and supporting family- and patient-centered practice changes.

The CMO has a key role in developing and staffing OHA's internal, cross-agency Quality Council. The Quality Council brings together OHA leadership to coordinate

and lead quality improvement efforts for the agency. It provides the structure for: (1) the clinical, behavioral, and population health leadership of OHA to analyze clinical trends in quality, compliance, and system performance; and, (2) the development of integrated strategies to improve quality. The CMO's office is responsible for making sure the Quality Council's work is integrated and shared with the CCO medical directors, PEBB/OEBB boards and their contracted plans, and other OHA programs.

The Office of the Chief Medical Officer may also sponsor performance improvement projects and work closely with the Transformation Center to coordinate and support quality efforts based on the Quality Council's recommendations. Identifying key health care trends will generate valuable information to share with our partner agencies, DHS, the DCBS Insurance Division, the Governor's Office, and the Legislature.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

The Office of the Chief Medical Officer – Clinical Services Improvement supports the following state mandates:

- Health Evidence Review Commission (HERC) (ORS 414.688-704);
- Pain Management Commission (PMC) (ORS 413.570-599); and,
- Patient-Centered Primary Care Home Program (ORS 442.210, 414.655) and 414.655 adds CCOs under PCPCH program.

## FUNDING STREAMS

Existing OHA resources were redistributed to create the Office of the Chief Medical Officer – Clinical Services Improvement. Although staff reporting relationships have changed, no permanent positions or funding were added to the budget for this purpose. The primary source of funding is Medicaid Administrative match; however, the State Innovation Model grant from the Center for Medicare and Medicaid Innovation (CMMI) supports Limited Duration positions within the HERC, PCPCH, and CMO programs through September 2016.

The significant drop in General Fund for 15-17 is attributed to the one-time $4M General Fund investment in 13-15 associated with the Primary Care Loan Repayment from Senate Bill 440.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT.

None.

# Oregon Health Authority: Health Policy Programs

# Office for Health Policy and Research

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## EXPENDITURES BY FUND TYPE, POSITIONS AND FULL-TIME EQUIVALENTS

The following is the 2015-2017 budget request for the Office for Health Policy and Research by fund type, positions, and full-time equivalents:

| | General | Other | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 6,361,639 | 1,459,332 | 27,189,225 | 35,010,196 | 34 | 31.65 |
| **Governor's Budget** | 8,007,913 | 1,497,320 | 31,921,145 | 41,426,378 | 55 | 42.65 |
| **Difference** | 1,646,274 | 37,988 | 4,731,920 | 6,416,182 | 21 | 11.00 |
| **Percent Change** | 26% | 3% | 17% | 18% | 62% | 35% |

The 2015-2017 Current Service Level total funds budget is $38,993,904 and is increased by $2,432,474 for policy option package 402 Promote Innovative Health System Solutions.

## ACTIVITIES, PROGRAMS, AND ISSUES IN THE PROGRAM UNIT BASE BUDGET THAT MAY REQUIRE FURTHER EXPLANATION THAN ALLOWED IN THE PROGRAM UNIT EXECUTIVE SUMMARY

The Office for Oregon Health Policy and Research (OHPR) analyzes and develops policy options, facilitates stakeholder discussions, coordinates strategic and implementation planning efforts, and conducts health services research and policy evaluation for the Governor's Office, the Legislature, the Oregon Health Policy Board (OHPB), the Oregon Health Authority, and other participants in

Oregon's health system transformation work. These services help Oregon identify opportunities, articulate program options, implement policy, and assess its progress toward achieving the triple aim of better health, better care, and lower costs.

Focused areas of work include:

- Developing and analyzing policy on priority topics such as Medicaid transformation and spread of the Coordinated Care Model, "churn" between different insurance programs and alternative coverage options, and improvements in health care value;
- Negotiating agreements with and securing funding from federal partners that advance state health policy goals;
- Providing technical assistance and coordination for implementation of health system transformation, primary care workforce development, Oregon's Common Credentialing System, and other programs;
- Analyzing emerging health policy issues and regulations, working with national and other state experts to bring best practices and new ideas to Oregon for its strategic planning and decision-making;
- Supporting the Oregon Health Policy Board, the Medicaid Advisory Committee, the Coordinated Care Model Alignment Workgroup, the Healthcare Workforce Committee, the Common Credentialing Advisory Committee, and other standing and ad hoc work groups with project management, staff support and policy coordination; and,
- Monitoring and evaluating the progress of health system transformation in Oregon.

## PROGRAM PERFORMANCE

The office has played a pivotal role in health care coverage, access, quality, and cost containment initiatives. These have become cornerstones of the state's strategy to achieve its goals of Healthy People and achieving the triple aim. For example, OHPR conducted much of the research and development work for the Healthy Kids program, health system transformation, and coordinated care organizations. This included staff support and materials for a broad range of public meetings for stakeholder engagement. The office also has provided technical assistance to various OHA programs to implement these key policy initiatives.

## PARTNERS

The offices' state partners include other OHA programs, the Department of Human Services, the Department of Consumer and Business Services Insurance Division and Cover Oregon. Other key partners include federal agencies within the Department of Health and Human Services such as the Centers for Medicare and Medicaid Services, coordinated care organizations, health plans and health care providers in the state, and local and national health care organizations, foundations and researchers. Because the office supports stakeholder consultation on health issues, partners also include the full range of health care associations and advocates.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

*Federal mandates:* Federal law requires each state to establish a committee to advise the Medicaid agency about health and medical care services (42 CFR 431.12). In Oregon, the Medicaid Advisory Committee (MAC) is convened and staffed by OHPR.

*State mandates:* The Office for Health Policy and Research was created by the Oregon Legislature in 1993. Much of the policy development and stakeholder engagement work performed by OHPR is mandated by Oregon law, including:

- Advisory Committee on Physician Credentialing Information (ORS 441.221-223)
- Community-based Health Care Initiatives (ORS 735.721-727)
- Credentialing, Electronic Information (Sections 1 – 11, Ch. 603, OL 2013)
- Credentialing, Telemedicine Providers (ORS 441.056)
- Medicaid Advisory Committee (MAC) (ORS 414.211-227)
- Physician Visa Waiver Program (ORS 413.248)
- Primary Care Provider Loan Repayment (ORS 413.233, 413.127)
- Student Clinical Training Requirements (ORS 413.435)

## FUNDING STREAMS

OHPR leverages Medicaid administrative match for eligible programs and activities, including Medicaid-related health system transformation, the Medicaid Advisory Committee, research and evaluation, and staffing.

The office has received grant awards from a variety of federal and private grants such as:

- The Centers for Medicare and Medicaid Services Children's Health Insurance Program Reauthorization Act;
- The Center for Medicare and Medicaid Innovation (CMMI) State Innovation Model program;
- The American Cancer Society, Northwest Health Foundation, Robert Wood Johnson Foundation, and others.

These grants are used to fund efforts that fit within the strategic vision of health care reform in Oregon.

The office also has fee-supported programs:

- Health care Workforce Data Collection and Reporting (ORS 442.468); and Conrad J-1 Visa Program (HB 2151; ORS 409.745).

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

None.

# Oregon Health Authority: Health Policy Programs

# Office of Health Analytics

Program contacts: Lori Coyner, Director
503-947-2340
Matt Betts, Deputy Director
503-884-7013

## EXPENDITURES BY FUND TYPE, POSITIONS AND FULL-TIME EQUIVALENTS

The following is the 2015-2017 budget request for the Office of Health Analytics by fund type, positions, and full-time equivalents:

| | General | Other | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 5,160,563 | 705,445 | 4,149,176 | 10,015,184 | 48 | 48.00 |
| **Governor's Budget** | 5,569,635 | 923,643 | 3,811,207 | 10,304,485 | 48 | 45.64 |
| **Difference** | 409,072 | 218,198 | -337,969 | 289,301 | 0 | -2.36 |
| **Percent Change** | 8% | 31% | -8% | 3% | 0% | -5% |

The 2015-2017 Current Service Level total funds budget is $10,018,486 and is increased by $285,999 for policy option package 402 Promote Innovative Health System Solutions.

## ACTIVITIES, PROGRAMS, AND ISSUES IN THE PROGRAM UNIT BASE BUDGET THAT MAY REQUIRE FURTHER EXPLANATION THAN ALLOWED IN THE PROGRAM UNIT EXECUTIVE SUMMARY.

The Office of Health Analytics coordinates and produces financial, quality, and performance data, develops and implements rate-setting methods, and analyzes these data for the Oregon Health Authority (OHA) and the Oregon Health Policy Board (OHPB). The office supports OHA and OHPB's management and budget decisions and evaluates the impact of those decisions.

Briefly, the office's work includes:

- Providing professional actuarial services, analysis and advice to leadership regarding of the biennial budget, Medicaid rates, and global CCO budgets;
- Collecting and analyzing data on the performance of Oregon's health care system (e.g., hospital utilization, quality and costs, health care workforce capacity, and rate of insurance coverage) and of OHA programs (e.g., evaluation of the Healthy Kids program and CCO Incentive Metrics). Data collected and analyzed includes unique datasets such as the All Payer All Claims Database, which is used to make policies and decisions that are based on solid research and data.
- Partnering with the Department of Consumer and Business Services Insurance Division and Cover Oregon on health reform and with the Office of Equity and Inclusion to advance health equity.

## PROGRAM PERFORMANCE

This office is responsible for the ongoing evaluation of statistical health trends and monitoring the impact of policy and program changes. It plays a vital quality control function, and its work leads to the refinement of policies that improve Oregonians' health, improve the health care system, and contain costs.

## PARTNERS

The offices' state agency partners include other OHA programs, the Department of Human Services, and the Department of Consumer and Business Services. External partners include federal agencies, health plans and health care providers in the state, CCOs, Cover Oregon, and local and national health care expert organizations, foundations, and researchers.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION:

**State mandates include:**

- Ambulatory Surgery Reporting (ORS 442.120)
- Capitol Project Reporting (ORS 442.361-362, 442.991)
- Community Benefit Reporting (ORs 442.200-205)
- Health Care Cost Review (ORS 442.400-463)
- Health Care Data Reporting (ORS 442.464, 442.466, 442.993)
- Health Care Workforce Database (ORS 442.468, 676.410)

## FUNDING STREAMS

The Office of Health Analytics leverages Medicaid administrative match for eligible programs and activities, including Medicaid-related health system transformation, research and evaluation, and staffing.

The office has received grant awards from a two main federal grants: the Centers for Medicare and Medicaid Innovations' State Innovation Model grant and the Centers for Medicare and Medicaid Services' Adult Medicaid Quality grant.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

None.

# Oregon Health Authority: Health Policy Programs

# Transformation Center

Program contacts: Chris DeMars, Director of Systems Innovations
971- 673-1279

## EXPENDITURES BY FUND TYPE, POSITIONS AND FULL-TIME EQUIVALENTS

The following is the 2015-2017 budget request for the OHA Transformation Center by fund type, positions, and full-time equivalents:

| | General | Other | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 27,024,487 | 3,212 | 3,438,960 | 30,466,659 | 24 | 22.91 |
| **Governor's Budget** | 1,090,247 | 4,414 | 2,281,736 | 3,376,397 | 22 | 10.98 |
| **Difference** | -25,955,652 | 131 | -2,228,908 | -28,184,429 | -2 | 11.93 |
| **Percent Change** | -96% | 3% | -49% | -89% | -8% | -52% |

The 2015-2017 Current Service Level total funds budget is $1,293,447 and is increased by $2,082,950 for policy option package 402 Promote Innovative Health System Solutions.

## ACTIVITIES, PROGRAMS, AND ISSUES IN THE PROGRAM UNIT BASE BUDGET THAT MAY REQUIRE FURTHER EXPLANATION THAN ALLOWED IN THE PROGRAM UNIT EXECUTIVE SUMMARY.

The Oregon Health Authority's Transformation Center is the state's hub for health system innovation and improvement. It is key to encouraging the widespread adoption of the coordinated care model across populations and payers. The center's goal is to increase the rate and spread of innovation necessary to deliver better health care at lower costs and to improve the health of Oregonians.

The center supports Coordinated Care Organizations and other plans and payers to adopt and spread the coordinated care model by:

- Establishing and fostering learning collaboratives;
- Providing immediate system and performance feedback;
- Offering technical assistance; and,
- Disseminating best practices among Coordinated Care Organizations (CCOs), as well as other health plans and payers.

The center's strategies include:

***Learning collaboratives.*** The center supports CCOs – and other plans and payers – learning from each other and from recognized experts. For the most part, the learning collaboratives are open to all payers. They create opportunities for peer-to-peer learning and networking, the identification and sharing of evidence-based care and emerging best practices, and the advancement of innovative strategies for promoting health.

Initial topic areas include:

- Complex care;
- CCO Community Advisory Council support;
- Quality improvement ;
- New payment methods;
- Physical and behavioral health care integration;
- Dental integration;
- Coordination of public health, community mental health, and long-term care supports and services;
- Provider and patient engagement;
- Health literacy; and,
- Reducing health disparities.

***Clinical standards and supports.*** The center will disseminate clinical standards and supports by working with: (1) the Health Evidence Review Commission to share evidence-based decision tools to assist providers and CCO clinical advisory panels to deliver effective and efficient care; and, (2) provider associations to share tools from the *Choosing Wisely* campaign that encourages providers to have conversations with patients about evidence-based care, the costs of duplicative tests, and defining necessary services.

***Innovator agents.*** In accordance with Oregon's Medicaid waiver agreement with the Centers for Medicare and Medicaid Services, each CCO is assigned an innovator agent, who acts as a liaison between the CCO and OHA. Innovator agents facilitate communications, disseminate best practices, provide monthly data-driven feedback, and promote quality improvement techniques. The innovator agents are also key leaders in identifying areas for internal agency transformation and helping to lead agency improvement efforts.

***Council of Clinical Innovators.*** The Transformation Center supports innovation leaders, consultants and mentors actively working with project teams to implement health system transformation projects in their communities. Through innovation projects and a year-long learning experience, a select group of Clinical Innovation Fellows will develop and refine skills to create a network of experts that can support the coordinated care model.

***Community and stakeholder engagement.*** The Transformation Center is developing strategies for effective community and stakeholder engagement focused on health system transformation and implementing and spreading the coordinated care model. Strategies include conferences and workshops, providing research and policy materials and practice guidelines, and providing outreach to promote the coordinated care model.

***Technical assistance and infrastructure support.*** The Transformation Center connects CCOs, other payers adopting elements of the coordinated care model, and providers to expertise and technology resources that can assist in effective delivery system reforms. Examples of supports include the use of health information technology, delivering quality data, and aligning financial incentives.

## PROGRAM PERFORMANCE AND PARTNERS:

The Transformation Center serves Oregon's 16 Coordinated Care Organizations, as well as other health plans, providers, and participants in Oregon's health system transformation. Research on the quality and timeliness of services is scheduled to be provided by an independent evaluator.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION:

Last session's SB 1580 provided legislative approval for OHA's proposals for Coordinated Care Organizations. It also required the Health Authority to report

quarterly to legislative committees on implementation of Coordinated Care Organization model of health care delivery and other specified matters.

## FUNDING STREAMS:

A portion of the Transformation Center's program is supported by Medicaid Transformation Funds. Additional support is provided by a State Innovation Model grant from the Center for Medicare and Medicaid Innovation. The significant drop in General Fund between biennium is accounted for by the "one-time" $30M Transformation Investment authorized by the 2013 legislative session.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT.

None.

# Oregon Health Authority: Health Policy Programs

# Office of Equity and Inclusion

Program contact: Leann Johnson, Interim Director
971-673-1284

## EXPENDITURES BY FUND TYPE, POSITIONS AND FULL-TIME EQUIVALENTS

The following is the 2015-2017 budget request for the Office of Equity and Inclusion (OEI) by fund type, positions, and full-time equivalents:

| | General | Other | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 1,070,597 | 543,330 | 2,238,021 | 3,851,948 | 11 | 8.89 |
| **Governor's Budget** | 3,608,025 | 694,859 | 2,856,702 | 7,159,586 | 24 | 22.91 |
| **Difference** | 2,537,428 | 151,529 | 618,681 | 3,307,638 | 13 | 14.02 |
| **Percent Change** | 237% | 28% | 28% | 86% | 118% | 158% |

The 2015-2017 Current Service Level total funds budget is $4,146,001. The Agency Request Budget is increased by $1,771,152 for policy option package 201 Race, Ethnicity, Language and Disability Collection (a joint Policy Option Package request with DHS Office of Multicultural Health and Services).

## ACTIVITIES, PROGRAMS, AND ISSUES IN THE PROGRAM UNIT BASE BUDGET THAT MAY REQUIRE FURTHER EXPLANATION THAN ALLOWED IN THE PROGRAM UNIT EXECUTIVE SUMMARY

The programs of the Office of Equity and Inclusion work on behalf of the Oregon Health Authority to address and eliminate avoidable health gaps and promote optimal health in Oregon for everyone. The work is carried out in two major work streams: (1) Equity, Policy and Community Engagement; and (2) Diversity, Inclusion and Civil Rights.

**Equity, Policy and Community Engagement Unit**
This unit's primary initiative is to identify inequities in health and service outcomes and target resources to reduce these inequities. It does this by working across OHA systems to collect and analyze population and client data that are broken down by race, ethnicity, language and disability. The unit reaches out to and engages members of communities historically affected by health inequities, providing them with resources and helping build their ability to advocate for themselves. It also advances legislation and rule-making focused on health equity.

Lack of economic self-determination, oppression and lack of equitable access all contribute to ill health and health disparities. This is part of what is known as the social determinants of health. The unit has implemented three signature programs that support health system transformation that increases access to high-quality, appropriate care, and to identify opportunities to prevent poor health by addressing the social determinants. These signature programs are:

*Health Care Interpreter and Traditional Health Worker program* – Establishes and monitors a process and system to certify and qualify health care interpreters and certify traditional health workers (community health workers, peer support and peer wellness specialists, personal health navigators and doulas) and integrate them into health care teams to improve access to culturally and linguistically appropriate care.

*Developing Equity Leadership through Training and Action (DELTA) program* – Provides a training program for health policy leaders and OHA staff, coordinated care organizations, clinicians, and community-based organizations across the state. It provides education, tools and technical assistance to increase the capacity to promote health equity and inclusion within these organizations, systems and programs.

*Regional Health Equity Coalitions*-- A program that increases the capacity of local or regional cross-sector organizations to work in partnership to identify policy, system, and environmental changes that improve social conditions and improve health equity in regions experiencing health inequities.

**Diversity, Inclusion and Civil Rights Unit**
Primary initiatives of this unit include:

- Recruitment and retention of a diverse and qualified workforce;
- Increasing OHA interaction and business with women, minority and emerging small businesses;
- Facilitating reasonable accommodations, modifications and access for OHA employees and the public as required by the Americans with Disabilities Act;
- Investigating allegations of discrimination and harassment in the workplace;
- Tracking and responding to systemic issues and trends; and
- Developing a system to address the needs of our diverse clients, service recipients and intended service recipients as they relate to civil rights protections under federal law.

The Office of Equity and Inclusion's work aims to provide better health and better care at lower costs for *everyone.* Health inequities strain the health delivery system. Findings from a study by the Joint Center for Political and Economic Studies found that between 2003 and 2006, 30.6 percent of medical care expenditures for African-Americans, Asians, and Hispanics were excess costs that were the result of inequities in the health of these groups. *(Joint Center for Political and Economic Studies, September 2009, David Satcher, et al. Health Affairs, 24, no. 2 (2005): 459-464.)* Lack of culturally and linguistically appropriate care is a key driver of health inequities.

Additionally, historical and ongoing inequities in the social determinants of health, (educational achievement, employment and financial opportunities, access to healthy food, and public safety and mobility) create worse outcomes for these populations.

As it relates to other trends, it is important to note that both the discrimination and harassment investigations internal to OHA and civil rights protections for the public have and will continue to increase workload for OEI staff, particularly as it relates to investigation caseload.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION:

Various aspects of the work of the Office of Equity and Inclusion are mandated by federal laws regarding language access, reasonable accommodations and access for people with disabilities, equal employment opportunity and protected class civil rights. The Governor's Executive Order 12-03 mandates that state agencies develop strategies to remove barriers for women, minority and emerging small business in contracting and procurement. The federal Affordable Care Act requires programs receiving federal funds to provide competent language services to clients and patients. The act also establishes the role of community health workers in health care teams.

Oregon legislative actions and the rules making process have mandated the creation of systems or processes that directly affect OEI and its programs:

- ORS 413.550: Requires the state to establish a process and standards to qualify and certify health care interpreters
- ORS 414.665: Requires the creation of training and standards of practice for community health workers, peer wellness specialists, personal health navigators and doulas.
- OAR 943-005-0000 – OAR 943-005-0070: Prohibits discrimination against members of the public based on protected class.
- HB 2134: Creates standards for the collection of data by OHA and DHS broken down by race, ethnicity, language and disability.
- HB 2611: Requires OHA to develop standards for cultural competency continuing education for licensed providers.

## FUNDING STREAMS

OEI's primary funding stream comes from cost allocation and federal Medicaid matching dollars. In addition to cost allocation, the office seeks and has received funds from federal grant programs such as the Office of Minority Health and the Center for Medicare and Medicaid Innovation State Innovation Model grant, as well as private foundation and hospital community benefit grants. The office has been able to leverage funds from the OHA Public Health Program for its regional work addressing social determinants of health. Minimal fees are collected by the program through the Health Care Interpreter registry.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

None.

# Oregon Health Authority: Health Policy Programs

# Office of Health Information Technology

Program contact: Susan Otter, Director
503-428-4751

## EXPENDITURES BY FUND TYPE, POSITIONS AND FULL-TIME EQUIVALENTS

The following is the 2015-2017 budget request for the Office of Health Information Technology (OHIT) by fund type, positions, and full-time equivalents:

| | General | Other | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 4,571,445 | 1,760,045 | 73,058,328 | 79,389,818 | 12 | 10.42 |
| **Governor's Budget** | 1,858,257 | 0 | 74,137,571 | 75,995,828 | 12 | 12.00 |
| **Difference** | -2,713,188 | -1,760,045 | 1,079,243 | -3,393,990 | 0 | 1.58 |
| **Percent Change** | -59% | -100% | 1% | -4% | 0% | 15% |

The 2015-2017 Current Service Level total funds budget is $75,995,828. Any adjustments to other fund limitation will be dependent upon the timing of when OHIT may be able to proceed with the Common Credentialing authorized by Senate Bill 604 in the 2013 legislative session.

## ACTIVITIES, PROGRAMS, AND ISSUES IN THE PROGRAM UNIT BASE BUDGET THAT MAY REQUIRE FURTHER EXPLANATION THAN ALLOWED IN THE PROGRAM UNIT EXECUTIVE SUMMARY

The Office of Health Information Technology (OHIT) develops and supports effective Health Information Technology (HIT) policies, programs, and partnerships that enable improved health for all Oregonians. Health information technology includes technology that stores, retrieves, or shares health information and data, such as electronic health records used by hospitals and providers. Health information exchange is the electronic sharing of health

information between two or more HIT systems, and can sometimes refer to the organization that provides health information exchange technology services.

OHIT is working with Oregon's health care community to improve health by making it possible to securely share patient information within the state and nationally. An electronic health information network connecting providers, health plans and individuals will make care more efficient and effective.

In addition to OHIT's policy and strategy development efforts, significant HIT and Health Information Exchange (HIE) programs are in place and new projects are in development now:

- More than half of OHIT's budget ($49.5M in 13-15) comes from 100% FF to pay incentives to Oregon providers and hospitals for adopting and using certified electronic health records in a meaningful way. Oregon's Medicaid Electronic Health Record Incentive Program began in 2011 and ends 2022.
- CareAccord, Oregon's statewide health information exchange program, provides a service that supports care coordination and sharing health information via Direct secure messaging for healthcare organizations and state agency programs across the state.
- Implementing Phase 1.5 HIT services for Oregon healthcare stakeholders, such as state agency programs, providers, health plans, CCOs, and hospitals. This is the next step in using health information technology to transform Oregon's health care system. This effort includes bringing real-time hospital event information to CCOs, health plans, and providers so they can follow up and coordinate care for their patients or members. It also includes a provider directory, the Common Credentialing program, technical assistance to Medicaid practices, a registry of clinical quality metrics, and more.

Oregon is in the top tier of states for providers receiving federal incentive payments (either from Medicare or Medicaid) for electronic health records, with more than $300M coming to nearly all Oregon hospitals and more than 6,000 Oregon providers. Oregon's Medicaid Electronic Health Record Incentive Program has dispersed more than $110M in 100% federal incentive payments to hospitals and health care providers since its inception in 2011. More than half of OHIT's budget is comprised by these incentive payments at 100% FF. The federal

incentive program is funded by the HITECH Act of 2009 (within the ARRA stimulus Act), which also includes significant federal matching funds for staff and health information technology infrastructure for states.

## PROGRAM PERFORMANCE

In the past biennium, OHIT made significant progress in supporting Oregon's Triple Aim of improved healthcare, lower costs, and better patient outcomes through HIT and health information exchange efforts.

- In 2013, OHIT worked closely with state leadership, CCOs, health plans, providers, and other health care stakeholders to develop and set a vision and strategic plan for Oregon HIT and health information exchange for 2014-2017.
- Also in 2013, OHIT's CareAccord program was the first state health information exchange to become nationally accredited for Direct secure messaging, ensuring that Oregon providers can securely share patient information and coordinate care across organizations and geographies.
- OHIT received support from all 16 CCOs on the next phase of statewide HIT services needed to support new expectations for care coordination, accountability, and quality. OHIT began development and implementation of this next phase of HIT services in 2014.
- OHIT partnered with the Oregon Health Leadership Council to launch one such service – the Emergency Department Information Exchange, connecting all Oregon hospitals and providing emergency room providers with critical, concise information about patients who are high-utilizers of emergency department services. Before the end of the 13-15 biennium, participating CCOs, health plans, and providers will subscribe to receive real-time information when their patient or member has a hospital event in any hospital in Oregon or Washington states.

## PARTNERS

OHIT is working closely with CCOs, providers, health plans, state partners, and patients to improve coordination of patient care and improve the accessibility of health care information in a secure environment.

## ENABLING LEGISLATION

In the 2009 regular session, House Bill 2009 established the Health Information Technology Oversight Council (HITOC), which coordinates Oregon's public and private statewide efforts in electronic health record adoption, HIT and health information exchange. Since its creation, HITOC has created strategic and operational plans for the development of a statewide system for electronic health information exchange. HITOC also assists Oregon to meet the federal requirements for providers to become eligible to receive EHR Incentive payments available under the ARRA/HITECH Act.

In the same session, House Bill 3650, which defined health care transformation in Oregon, included significant health information technology requirements, including requirements that coordinated care organizations use health information technology for care coordination, and the OHA shall ensure the appropriate use of electronic health information by CCOs to improve health and health care.

Senate Bill 604 (2013) required OHA to establish a Common Credentialing program and database and provides the enabling legislation to establish fees. OHIT is currently in the process of finalizing their technology plan and anticipates securing a quality assurance vendor in the early Spring 2015. If a vendor is secured, OHIT will have completed the necessary steps of the DAS "stage-gate review" and anticipates setting the fee schedule necessary for implementation.

## FUNDING STREAMS

The Office of Health Information Technology (OHIT) is funded through state General Funds along with federal HITECH and Medicaid Management Information System (MMIS) matching dollars. More than half of OHIT's budget is derived from 100% federal HITECH Act funding to provide federal incentive payments to Oregon hospitals and providers under Oregon's Medicaid Electronic Health Record Incentive Program. OHIT's General Fund dollars are used as a match to acquire HITECH and MMIS funds at mostly 90:10 (FF to GF) matching rate; OHIT has a small amount of additional funding at 75:25 (FF to GF) and 50:50 (FF to GF). The funding percentage depends on several factors including whether the money is spent on planning, implementation or operations. This means that for every state dollar invested, five to nine matching dollars are drawn into the Oregon economy.

Senate Bill 604 (2013) required OHA to establish a Common Credentialing program and database and provides the enabling legislation to establish fees. The Office of HIT (OHIT) is currently in the process of finalizing their technology requirements and securing a quality assurance (QA) vendor to review requirements as part of the DAS "Stage-Gate review" process. With DAS approval of the project, OHIT anticipates procuring a credentialing vendor in late April and setting the fee schedule necessary for implementation. If O/F limitation adjustments are necessary, OHIT will request this from the legislature at the next opportunity.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

LC 482 for statewide Health Information Technology services and oversight.

**Oregon Health Authority**
**Health Policy Programs**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-08-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 21 | 18.81 | 78,213,052 | 2,349,754 | - | 777,081 | 75,086,217 | - | - |
| 2013-15 Emergency Boards | 107 | 103.56 | 71,618,650 | 42,955,889 | - | 1,622,582 | 27,040,179 | - | - |
| **2013-15 Leg Approved Budget** | **128** | **122.37** | **149,831,702** | **45,305,643** | **-** | **2,399,663** | 102,126,396 | **-** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (30) | (27.33) | 1,415,129 | 2,944,164 | - | 390,078 | (1,919,113) | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **98** | **95.04** | **151,246,831** | **48,249,807** | **-** | **2,789,741** | 100,207,283 | **-** | **-** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | 699,588 | 276,295 | - | 40,278 | 383,015 | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 563,070 | 275,853 | - | 18,097 | 269,120 | - | - |
| **Subtotal** | **-** | **-** | **1,262,658** | **552,148** | **-** | **58,375** | 652,135 | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | 28 | 17.64 | 3,845,959 | 58,526 | - | - | 3,787,433 | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (35,652,991) | (34,060,000) | - | (261,264) | (1,331,727) | - | - |
| **Subtotal** | **28** | **17.64** | **(31,807,032)** | **(34,001,474)** | **-** | **(261,264)** | 2,455,706 | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 2,918,901 | 142,151 | - | 41,028 | 2,735,722 | - | - |
| **Subtotal** | **-** | **-** | **2,918,901** | **142,151** | **-** | **41,028** | 2,735,722 | **-** | **-** |

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | 7,123,236 | 494,060 | - | 343,558 | 6,285,618 | - | - |
| **Subtotal: 2015-17 Current Service Level** | **126** | **112.68** | **130,744,594** | **15,436,692** | **-** | **2,971,438** | 112,336,464 | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Health Policy Programs**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-08-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **126** | **112.68** | **130,744,594** | **15,436,692** | **-** | **2,971,438** | 112,336,464 | **-** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **126** | **112.68** | **130,744,594** | **15,436,692** | **-** | **2,971,438** | 112,336,464 | **-** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | - | - | - | - | - | - | - |
| 091 - December 2014 Rebalance | 6 | 6.00 | 1,242,433 | 704,286 | - | 148,798 | 389,349 | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | 9 | 8.52 | 1,771,152 | 1,771,152 | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | 21 | 7.98 | 4,801,423 | 2,402,928 | - | - | 2,398,495 | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **36** | **22.50** | **7,815,008** | **4,878,366** | **-** | **148,798** | 2,787,844 | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Health Policy Programs**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-08-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **162** | **135.18** | **138,559,602** | **20,315,058** | **-** | **3,120,236** | 115,124,308 | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | 26.56% | 10.47% | -7.52% | -55.16% | - | 30.03% | 12.73% | - | - |
| Percentage Change From 2015-17 Current Service Level | 28.57% | 19.97% | 5.98% | 31.60% | - | 5.01% | 2.48% | - | - |

| Oregon Health Authority<br>Health Policy Programs<br>44300-020-08-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND**<br>**REVENUES**<br>General Fund Appropriation | 0050 | GF | 6,628,926 | 44,188,026 | 22,066,396 | 20,315,058 |
| **TOTAL REVENUES** | | **GF** | **6,628,926** | **44,188,026** | **22,066,396** | **20,315,058** |
| **TOTAL GENERAL FUNDS** | | **GF** | **6,628,926** | **44,188,026** | **22,066,396** | **20,315,058** |
| **OTHER FUNDS**<br>**REVENUES**<br>Other Selective Taxes | 0190 | OF | 2,212,496 | - | 93,870 | 93,870 |
| Non-Business License & Fees | 0210 | OF | 100,791 | - | - | - |
| Administrative Service Charges | 0415 | OF | 59,363 | - | - | - |
| Sales Income | 0705 | OF | 113 | - | - | - |
| Donations | 0905 | OF | 662,408 | - | - | - |
| Other Revenues | 0975 | OF | 998,415 | 1,623,659 | 12,069,920 | 2,445,666 |
| **TOTAL REVENUES** | | **OF** | **4,033,586** | **1,623,659** | **12,163,790** | **2,539,536** |
| **TRANSFER IN**<br>Transfer in Intrafund | 1010 | OF | 48,069 | - | - | - |
| Transfers in Health Lic Agency | 1831 | OF | 1,050 | - | - | - |
| Transfer in Health Relations Licensing Board | 1833 | OF | 8,105 | 8,000 | 8,950 | 8,950 |
| Transfer in Board of Dentistry | 1834 | OF | 18,505 | 40,000 | 40,000 | 40,000 |
| Transfer in Oregon Medical Board | 1847 | OF | 90,210 | 90,988 | 98,381 | 98,381 |

| Oregon Health Authority<br>Health Policy Programs<br>44300-020-08-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Transfer in Board of Nursing | 1851 | OF | 348,975 | 345,290 | 367,548 | 367,548 |
| Transfer in Board of Pharmacy | 1855 | OF | 53,935 | 59,630 | 65,855 | 65,855 |
| **TOTAL TRANSFERS IN** | | **OF** | **568,849** | **543,908** | **580,734** | **580,734** |
| **TRANSFER OUT** | | | | | | |
| Transfer to Intrafund | 2010 | OF | (48,069) | - | - | - |
| **TOTAL TRANSFERS OUT** | | **OF** | **(48,069)** | **-** | **-** | - |
| **TOTAL OTHER FUNDS** | | **OF** | **4,554,366** | **2,167,567** | **12,744,524** | **3,120,270** |
| **FEDERAL FUNDS REVENUES** | | | | | | |
| Federal Funds Revenue | 0995 | FF | 101,868,534 | 83,123,212 | 118,248,980 | 115,124,308 |
| **TOTAL REVENUES** | | **FF** | **101,868,534** | **83,123,212** | **118,248,980** | **115,124,308** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **113,051,826** | **129,478,805** | **153,059,900** | **138,559,636** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-020-08-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Other Selective Taxes | 2,212,496 | - | - | 93,870 | 93,870 | - |
| Non-business Lic. and Fees | 100,791 | - | - | - | - | - |
| Admin and Service Charges | 59,363 | - | - | - | - | - |
| Sales Income | 113 | - | - | - | - | - |
| Donations | 662,408 | - | - | - | - | - |
| Other Revenues | 998,415 | 777,081 | 1,855,755 | 12,069,920 | 2,445,666 | - |
| Transfer In - Intrafund | 48,069 | - | - | - | - | - |
| Tsfr From Health Lic Agency | 1,050 | - | - | - | - | - |
| Tsfr From Health Rel Lic Bds | 8,105 | - | 8,000 | 8,950 | 8,950 | - |
| Tsfr From Board of Dentistry | 18,505 | - | 40,000 | 40,000 | 40,000 | - |
| Tsfr From Oregon Medical Board | 90,210 | - | 90,988 | 98,381 | 98,381 | - |
| Tsfr From Nursing, Bd of | 348,975 | - | 345,290 | 367,548 | 367,548 | - |
| Tsfr From Board of Pharmacy | 53,935 | - | 59,630 | 65,855 | 65,855 | - |
| Transfer Out - Intrafund | (48,069) | - | - | - | - | - |
| **Total Other Funds** | **$4,554,366** | **$777,081** | **$2,399,663** | **$12,744,524** | **$3,120,270** | **-** |
| **Federal Funds** | | | | | | |
| Federal Funds | 101,868,534 | 75,086,217 | 102,126,396 | 118,248,980 | 115,124,308 | - |
| **Total Federal Funds** | **$101,868,534** | **$75,086,217** | **$102,126,396** | **$118,248,980** | **$115,124,308** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 552,148 | - | - | - | - | - | 552,148 |
| Other Revenues | - | - | 58,375 | - | - | - | 58,375 |
| Federal Funds | - | - | - | 652,135 | - | - | 652,135 |
| **Total Revenues** | **$552,148** | **-** | **$58,375** | **$652,135** | **-** | **-** | **$1,262,658** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | 117 | - | 940 | 11,468 | - | - | 12,525 |
| Overtime Payments | 144 | - | - | 1,073 | - | - | 1,217 |
| Shift Differential | - | - | - | 2,047 | - | - | 2,047 |
| All Other Differential | 7,623 | - | 813 | 1,616 | - | - | 10,052 |
| Public Employees' Retire Cont | 1,226 | - | 128 | 748 | - | - | 2,102 |
| Pension Obligation Bond | 266,140 | - | 16,082 | 250,929 | - | - | 533,151 |
| Social Security Taxes | 603 | - | 134 | 1,239 | - | - | 1,976 |
| Vacancy Savings | 276,295 | - | 40,278 | 383,015 | - | - | 699,588 |
| **Total Personal Services** | **$552,148** | **-** | **$58,375** | **$652,135** | **-** | **-** | **$1,262,658** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 552,148 | - | 58,375 | 652,135 | - | - | 1,262,658 |
| **Total Expenditures** | **$552,148** | **-** | **$58,375** | **$652,135** | **-** | **-** | **$1,262,658** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 58,526 | - | - | - | - | - | 58,526 |
| Federal Funds | - | - | - | 3,787,433 | - | - | 3,787,433 |
| **Total Revenues** | **$58,526** | **-** | **-** | **$3,787,433** | **-** | **-** | **$3,845,959** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | - | 2,588,640 | - | - | 2,588,640 |
| Empl. Rel. Bd. Assessments | - | - | - | 784 | - | - | 784 |
| Public Employees' Retire Cont | - | - | - | 408,748 | - | - | 408,748 |
| Social Security Taxes | - | - | - | 197,195 | - | - | 197,195 |
| Worker's Comp. Assess. (WCD) | - | - | - | 1,204 | - | - | 1,204 |
| Flexible Benefits | - | - | - | 534,240 | - | - | 534,240 |
| **Total Personal Services** | **-** | **-** | **-** | **$3,730,811** | **-** | **-** | **$3,730,811** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 9,169 | - | - | 7,276 | - | - | 16,445 |
| Employee Training | 697 | - | - | 708 | - | - | 1,405 |
| Office Expenses | 27,708 | - | - | 27,710 | - | - | 55,418 |
| Telecommunications | 6,681 | - | - | 6,655 | - | - | 13,336 |
| Facilities Rental and Taxes | 10,498 | - | - | 10,500 | - | - | 20,998 |
| Other Services and Supplies | 2,640 | - | - | 2,640 | - | - | 5,280 |
| Expendable Prop 250 - 5000 | 1,133 | - | - | 1,133 | - | - | 2,266 |
| **Total Services & Supplies** | **$58,526** | **-** | **-** | **$56,622** | **-** | **-** | **$115,148** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 58,526 | - | - | 3,787,433 | - | - | 3,845,959 |
| **Total Expenditures** | **$58,526** | **-** | **-** | **$3,787,433** | **-** | **-** | **$3,845,959** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 28 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **28** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 17.64 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **17.64** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 022 - Phase-out Pgm & One-time Costs**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (34,060,000) | - | - | - | - | - | (34,060,000) |
| Other Revenues | - | - | (261,264) | - | - | - | (261,264) |
| Federal Funds | - | - | - | (1,331,727) | - | - | (1,331,727) |
| **Total Revenues** | **($34,060,000)** | **-** | **($261,264)** | **($1,331,727)** | **-** | **-** | **($35,652,991)** |
| **Services & Supplies** | | | | | | | |
| Professional Services | (30,060,000) | - | (261,264) | - | - | - | (30,321,264) |
| **Total Services & Supplies** | **($30,060,000)** | **-** | **($261,264)** | **-** | **-** | **-** | **($30,321,264)** |
| **Special Payments** | | | | | | | |
| Other Special Payments | (4,000,000) | - | - | (1,331,727) | - | - | (5,331,727) |
| **Total Special Payments** | **($4,000,000)** | **-** | **-** | **($1,331,727)** | **-** | **-** | **($5,331,727)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (34,060,000) | - | (261,264) | (1,331,727) | - | - | (35,652,991) |
| **Total Expenditures** | **($34,060,000)** | **-** | **($261,264)** | **($1,331,727)** | **-** | **-** | **($35,652,991)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 130,125 | - | - | - | - | - | 130,125 |
| Other Revenues | - | - | 37,976 | - | - | - | 37,976 |
| Federal Funds | - | - | - | 2,697,301 | - | - | 2,697,301 |
| **Total Revenues** | **$130,125** | **-** | **$37,976** | **$2,697,301** | **-** | **-** | **$2,865,402** |
| | | | | | | | |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 579 | - | 108 | 5,552 | - | - | 6,239 |
| Out of State Travel | 320 | - | 5 | 2,054 | - | - | 2,379 |
| Employee Training | 301 | - | 36 | 3,566 | - | - | 3,903 |
| Office Expenses | 1,332 | - | 219 | 7,031 | - | - | 8,582 |
| Telecommunications | 1,048 | - | 93 | 4,792 | - | - | 5,933 |
| Data Processing | 64 | - | - | 2,655 | - | - | 2,719 |
| Publicity and Publications | 23 | - | 2 | 756 | - | - | 781 |
| Professional Services | 120,262 | - | 10,325 | 168,854 | - | - | 299,441 |
| IT Professional Services | - | - | 20,188 | 215,352 | - | - | 235,540 |
| Attorney General | 565 | - | 33 | 551 | - | - | 1,149 |
| Dues and Subscriptions | 16 | - | - | 400 | - | - | 416 |
| Facilities Maintenance | 1 | - | - | 3 | - | - | 4 |
| Food and Kitchen Supplies | 1,794 | - | 275 | 3,296 | - | - | 5,365 |
| Agency Program Related S and S | 516 | - | 71 | 1,405 | - | - | 1,992 |
| Other Services and Supplies | 1,312 | - | 51 | 2,801 | - | - | 4,164 |
| Expendable Prop 250 - 5000 | 1,746 | - | 227 | 8,520 | - | - | 10,493 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| IT Expendable Property | 246 | - | 10 | 3,494 | - | - | 3,750 |
| **Total Services & Supplies** | **$130,125** | **-** | **$31,643** | **$431,082** | **-** | **-** | **$592,850** |
| **Capital Outlay** | | | | | | | |
| Data Processing Software | - | - | 170 | - | - | - | 170 |
| **Total Capital Outlay** | **-** | **-** | **$170** | **-** | **-** | **-** | **$170** |
| **Special Payments** | | | | | | | |
| Dist to Non-Gov Units | - | - | 6,163 | 28,397 | - | - | 34,560 |
| Other Special Payments | - | - | - | 2,237,822 | - | - | 2,237,822 |
| **Total Special Payments** | **-** | **-** | **$6,163** | **$2,266,219** | **-** | **-** | **$2,272,382** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 130,125 | - | 37,976 | 2,697,301 | - | - | 2,865,402 |
| **Total Expenditures** | **$130,125** | **-** | **$37,976** | **$2,697,301** | **-** | **-** | **$2,865,402** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 12,026 | - | - | - | - | - | 12,026 |
| Other Revenues | - | - | 3,052 | - | - | - | 3,052 |
| Federal Funds | - | - | - | 38,421 | - | - | 38,421 |
| **Total Revenues** | **$12,026** | **-** | **$3,052** | **$38,421** | **-** | **-** | **$53,499** |
| **Services & Supplies** | | | | | | | |
| Professional Services | 12,026 | - | 1,033 | 16,886 | - | - | 29,945 |
| IT Professional Services | - | - | 2,019 | 21,535 | - | - | 23,554 |
| **Total Services & Supplies** | **$12,026** | **-** | **$3,052** | **$38,421** | **-** | **-** | **$53,499** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 12,026 | - | 3,052 | 38,421 | - | - | 53,499 |
| **Total Expenditures** | **$12,026** | **-** | **$3,052** | **$38,421** | **-** | **-** | **$53,499** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 494,060 | - | - | - | - | - | 494,060 |
| Other Selective Taxes | - | - | 93,870 | - | - | - | 93,870 |
| Other Revenues | - | - | 249,688 | - | - | - | 249,688 |
| Federal Funds | - | - | - | 6,285,618 | - | - | 6,285,618 |
| **Total Revenues** | **$494,060** | **-** | **$343,558** | **$6,285,618** | **-** | **-** | **$7,123,236** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | 1,334 | - | 10,673 | 1,332 | - | - | 13,339 |
| Overtime Payments | 1,549 | - | - | 1,574 | - | - | 3,123 |
| Shift Differential | - | - | - | 23,421 | - | - | 23,421 |
| All Other Differential | 9,481 | - | 9,303 | 9,877 | - | - | 28,661 |
| Public Employees' Retire Cont | 1,742 | - | 1,469 | 5,507 | - | - | 8,718 |
| Social Security Taxes | 945 | - | 1,528 | 2,770 | - | - | 5,243 |
| **Total Personal Services** | **$15,051** | **-** | **$22,973** | **$44,481** | **-** | **-** | **$82,505** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 21,642 | - | 3,781 | 78,964 | - | - | 104,387 |
| Out of State Travel | 3,053 | - | 6 | 5,525 | - | - | 8,584 |
| Employee Training | 24,404 | - | 3,716 | 49,344 | - | - | 77,464 |
| Office Expenses | 9,514 | - | 2,134 | 40,609 | - | - | 52,257 |
| Telecommunications | 17,479 | - | 2,161 | 50,216 | - | - | 69,856 |
| Data Processing | 680 | - | - | 1,317 | - | - | 1,997 |
| Publicity and Publications | 71 | - | 2 | 137 | - | - | 210 |
| Professional Services | 420,405 | - | 11,355 | 539,766 | - | - | 971,526 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| IT Professional Services | - | - | 231,708 | 151,697 | - | - | 383,405 |
| Attorney General | 943 | - | 21 | 1,046 | - | - | 2,010 |
| Dues and Subscriptions | 175 | - | 9 | 386 | - | - | 570 |
| Facilities Rental and Taxes | (10,498) | - | - | (10,500) | - | - | (20,998) |
| Food and Kitchen Supplies | (61,602) | - | (9,431) | (113,157) | - | - | (184,190) |
| Agency Program Related S and S | 124 | - | 9 | 287 | - | - | 420 |
| Other Services and Supplies | 50,789 | - | 2,390 | 42,679 | - | - | 95,858 |
| Expendable Prop 250 - 5000 | (8) | - | 235 | 320 | - | - | 547 |
| IT Expendable Property | 1,838 | - | 9 | 3,607 | - | - | 5,454 |
| **Total Services & Supplies** | **$479,009** | **-** | **$248,105** | **$842,243** | **-** | **-** | **$1,569,357** |
| **Capital Outlay** | | | | | | | |
| Data Processing Software | - | - | 1,944 | - | - | - | 1,944 |
| **Total Capital Outlay** | **-** | **-** | **$1,944** | **-** | **-** | **-** | **$1,944** |
| **Special Payments** | | | | | | | |
| Dist to Non-Gov Units | - | - | 70,536 | 324,984 | - | - | 395,520 |
| Other Special Payments | - | - | - | 5,073,910 | - | - | 5,073,910 |
| **Total Special Payments** | **-** | **-** | **$70,536** | **$5,398,894** | **-** | **-** | **$5,469,430** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 494,060 | - | 343,558 | 6,285,618 | - | - | 7,123,236 |
| **Total Expenditures** | **$494,060** | **-** | **$343,558** | **$6,285,618** | **-** | **-** | **$7,123,236** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 704,286 | - | - | - | - | - | 704,286 |
| Other Revenues | - | - | 148,798 | - | - | - | 148,798 |
| Federal Funds | - | - | - | 389,349 | - | - | 389,349 |
| **Total Revenues** | **$704,286** | **-** | **$148,798** | **$389,349** | **-** | **-** | **$1,242,433** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 385,552 | - | 81,246 | 213,314 | - | - | 680,112 |
| Temporary Appointments | 3 | - | (1) | - | - | - | 2 |
| Empl. Rel. Bd. Assessments | 148 | - | 33 | 83 | - | - | 264 |
| Public Employees' Retire Cont | 60,876 | - | 12,829 | 33,683 | - | - | 107,388 |
| Social Security Taxes | 29,494 | - | 6,217 | 16,317 | - | - | 52,028 |
| Worker's Comp. Assess. (WCD) | 236 | - | 50 | 128 | - | - | 414 |
| Flexible Benefits | 103,486 | - | 21,982 | 57,700 | - | - | 183,168 |
| **Total Personal Services** | **$579,795** | **-** | **$122,356** | **$321,225** | **-** | **-** | **$1,023,376** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 9,409 | - | 1,999 | 5,248 | - | - | 16,656 |
| Employee Training | 2,590 | - | 548 | 1,446 | - | - | 4,584 |
| Office Expenses | 17,904 | - | 3,805 | 9,983 | - | - | 31,692 |
| Telecommunications | 7,573 | - | 1,606 | 4,225 | - | - | 13,404 |
| Agency Program Related S and S | 83,835 | - | 17,808 | 45,444 | - | - | 147,087 |
| Other Services and Supplies | 1,625 | - | 344 | 911 | - | - | 2,880 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Expendable Prop 250 - 5000 | 1,555 | - | 332 | 867 | - | - | 2,754 |
| **Total Services & Supplies** | **$124,491** | **-** | **$26,442** | **$68,124** | **-** | **-** | **$219,057** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 704,286 | - | 148,798 | 389,349 | - | - | 1,242,433 |
| **Total Expenditures** | **$704,286** | **-** | **$148,798** | **$389,349** | **-** | **-** | **$1,242,433** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 6 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **6** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 6.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **6.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 201 - REaL-D**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 1,771,152 | - | - | - | - | - | 1,771,152 |
| **Total Revenues** | **$1,771,152** | **-** | **-** | **-** | **-** | **-** | **$1,771,152** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 1,036,622 | - | - | - | - | - | 1,036,622 |
| All Other Differential | 48 | - | - | - | - | - | 48 |
| Empl. Rel. Bd. Assessments | 372 | - | - | - | - | - | 372 |
| Public Employees' Retire Cont | 163,689 | - | - | - | - | - | 163,689 |
| Social Security Taxes | 79,307 | - | - | - | - | - | 79,307 |
| Worker's Comp. Assess. (WCD) | 585 | - | - | - | - | - | 585 |
| Flexible Benefits | 259,488 | - | - | - | - | - | 259,488 |
| **Total Personal Services** | **$1,540,111** | **-** | **-** | **-** | **-** | **-** | **$1,540,111** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 23,598 | - | - | - | - | - | 23,598 |
| Employee Training | 13,822 | - | - | - | - | - | 13,822 |
| Office Expenses | 44,898 | - | - | - | - | - | 44,898 |
| Telecommunications | 18,990 | - | - | - | - | - | 18,990 |
| Agency Program Related S and S | 121,522 | - | - | - | - | - | 121,522 |
| Other Services and Supplies | 4,080 | - | - | - | - | - | 4,080 |
| Expendable Prop 250 - 5000 | 4,131 | - | - | - | - | - | 4,131 |
| **Total Services & Supplies** | **$231,041** | **-** | **-** | **-** | **-** | **-** | **$231,041** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 201 - REaL-D**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 1,771,152 | - | - | - | - | - | 1,771,152 |
| **Total Expenditures** | **$1,771,152** | **-** | **-** | **-** | **-** | **-** | **$1,771,152** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 9 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **9** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 8.52 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **8.52** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Health Policy Programs**

**Pkg: 402 - Promote Innovative Health Sys Solutions - HP** | **Cross Reference Number: 44300-020-08-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 2,402,928 | - | - | - | - | - | 2,402,928 |
| Other Revenues | - | - | - | - | - | - | - |
| Federal Funds | - | - | - | 2,398,495 | - | - | 2,398,495 |
| **Total Revenues** | **$2,402,928** | **-** | **-** | **$2,398,495** | **-** | **-** | **$4,801,423** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 625,372 | - | - | 625,385 | - | - | 1,250,757 |
| All Other Differential | 631 | - | - | 585 | - | - | 1,216 |
| Empl. Rel. Bd. Assessments | 168 | - | - | 189 | - | - | 357 |
| Public Employees' Retire Cont | 98,841 | - | - | 98,847 | - | - | 197,688 |
| Social Security Taxes | 47,886 | - | - | 47,892 | - | - | 95,778 |
| Unemployment Assessments | 1 | - | - | 1 | - | - | 2 |
| Worker's Comp. Assess. (WCD) | 273 | - | - | 273 | - | - | 546 |
| Flexible Benefits | 120,204 | - | - | 120,204 | - | - | 240,408 |
| **Total Personal Services** | **$893,376** | **-** | **-** | **$893,376** | **-** | **-** | **$1,786,752** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 35,275 | - | - | 35,255 | - | - | 70,530 |
| Out of State Travel | 14,316 | - | - | 14,316 | - | - | 28,632 |
| Employee Training | 3,024 | - | - | 3,003 | - | - | 6,027 |
| Office Expenses | 53,215 | - | - | 53,194 | - | - | 106,409 |
| Telecommunications | 8,799 | - | - | 8,799 | - | - | 17,598 |
| Professional Services | 1,331,311 | - | - | 1,331,311 | - | - | 2,662,622 |
| IT Professional Services | - | - | - | - | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Health Policy Programs**

**Pkg: 402 - Promote Innovative Health Sys Solutions - HP** | **Cross Reference Number: 44300-020-08-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Agency Program Related S and S | 61,722 | - | - | 57,351 | - | - | 119,073 |
| Other Services and Supplies | 1,890 | - | - | 1,890 | - | - | 3,780 |
| Expendable Prop 250 - 5000 | - | - | - | - | - | - | - |
| **Total Services & Supplies** | **$1,509,552** | **-** | **-** | **$1,505,119** | **-** | **-** | **$3,014,671** |
| **Special Payments** | | | | | | | |
| Dist to Non-Gov Units | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 2,402,928 | - | - | 2,398,495 | - | - | 4,801,423 |
| **Total Expenditures** | **$2,402,928** | **-** | **-** | **$2,398,495** | **-** | **-** | **$4,801,423** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 21 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **21** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 402 - Promote Innovative Health Sys Solutions - HP**

**Cross Reference Name: Health Policy Programs**
**Cross Reference Number: 44300-020-08-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 7.98 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **7.98** |

| POSITION NUMBER | CLASS | COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012667 | OA | C0108 | AA ADMINISTRATIVE SPECIALIST 2 | 1 | .63 | 15.00 | 04 | 3,139.00 | | | 47,085 | | 47,085 |
| | | | | | | | | | | | 30,188 | | 30,188 |
| 1012668 | OA | C0870 | AA OPERATIONS & POLICY ANALYST 1 | 1 | .63 | 15.00 | 02 | 3,450.00 | | | 51,750 | | 51,750 |
| | | | | | | | | | | | 31,281 | | 31,281 |
| 1012669 | OA | C0861 | AA PROGRAM ANALYST 2 | 1 | .63 | 15.00 | 07 | 5,277.00 | | | 79,155 | | 79,155 |
| | | | | | | | | | | | 37,705 | | 37,705 |
| 1012670 | MMN | X0119 | AA EXECUTIVE SUPPORT SPECIALIST 2 | 1 | .63 | 15.00 | 03 | 3,222.00 | | | 48,330 | | 48,330 |
| | | | | | | | | | | | 30,479 | | 30,479 |
| 1012671 | OA | C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1 | .63 | 15.00 | 05 | 4,791.00 | | | 71,865 | | 71,865 |
| | | | | | | | | | | | 35,997 | | 35,997 |
| 1012672 | MMN | X0873 | AA OPERATIONS & POLICY ANALYST 4 | 1 | .63 | 15.00 | 08 | 7,701.00 | | | 115,515 | | 115,515 |
| | | | | | | | | | | | 46,228 | | 46,228 |
| 1012673 | OA | C1118 | AA RESEARCH ANALYST 4 | 1 | .63 | 15.00 | 09 | 6,691.00 | | | 100,365 | | 100,365 |
| | | | | | | | | | | | 42,677 | | 42,677 |
| 1012674 | MMN | X0862 | AA PROGRAM ANALYST 3 | 1 | .63 | 15.00 | 02 | 4,979.00 | | | 74,685 | | 74,685 |
| | | | | | | | | | | | 36,657 | | 36,657 |
| 1012675 | OA | C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1 | .63 | 15.00 | 03 | 4,358.00 | | | 65,370 | | 65,370 |
| | | | | | | | | | | | 34,474 | | 34,474 |
| 1012676 | MMN | X7008 | AA PRINCIPAL EXECUTIVE/MANAGER E | 1 | .63 | 15.00 | 09 | 8,087.00 | | | 121,305 | | 121,305 |
| | | | | | | | | | | | 47,585 | | 47,585 |
| 1012677 | MMN | X0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | .63 | 15.00 | 04 | 5,764.00 | | | 86,460 | | 86,460 |
| | | | | | | | | | | | 39,417 | | 39,417 |
| 1012678 | MMN | X0873 | AA OPERATIONS & POLICY ANALYST 4 | 1 | .63 | 15.00 | 07 | 7,343.00 | | | 110,145 | | 110,145 |
| | | | | | | | | | | | 44,969 | | 44,969 |
| 1012679 | MMN | X0873 | AA OPERATIONS & POLICY ANALYST 4 | 1 | .63 | 15.00 | 08 | 7,701.00 | | | 115,515 | | 115,515 |
| | | | | | | | | | | | 46,228 | | 46,228 |
| 1012680 | MMN | X0873 | AA OPERATIONS & POLICY ANALYST 4 | 1 | .63 | 15.00 | 02 | 5,764.00 | | | 86,460 | | 86,460 |
| | | | | | | | | | | | 39,417 | | 39,417 |
| 1012681 | MMS | X7008 | AA PRINCIPAL EXECUTIVE/MANAGER E | 1 | .63 | 15.00 | 07 | 7,343.00 | | | 110,145 | | 110,145 |
| | | | | | | | | | | | 44,969 | | 44,969 |
| 1012682 | MMS | X7012 | AA PRINCIPAL EXECUTIVE/MANAGER G | 1 | .63 | 15.00 | 09 | 10,306.00 | | | 154,590 | | 154,590 |
| | | | | | | | | | | | 55,387 | | 55,387 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012683 | MESNZ7014 AA | PRINCIPAL EXECUTIVE/MANAGER H | 1 | .63 | 15.00 | 09 | 11,362.00 | | | 170,430 | | 170,430 |
| | | | | | | | | | | 58,263 | | 58,263 |
| 1012684 | MMS X7008 AA | PRINCIPAL EXECUTIVE/MANAGER E | 1 | .63 | 15.00 | 07 | 7,343.00 | | | 110,145 | | 110,145 |
| | | | | | | | | | | 44,969 | | 44,969 |
| 1012685 | OA C1118 AA | RESEARCH ANALYST 4 | 1 | .63 | 15.00 | 05 | 5,529.00 | | | 82,935 | | 82,935 |
| | | | | | | | | | | 38,591 | | 38,591 |
| 1012686 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | .63 | 15.00 | 02 | 5,231.00 | | | 78,465 | | 78,465 |
| | | | | | | | | | | 37,544 | | 37,544 |
| 1012687 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | .63 | 15.00 | 02 | 5,231.00 | | | 78,465 | | 78,465 |
| | | | | | | | | | | 37,544 | | 37,544 |
| 1012688 | MMN X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | .63 | 15.00 | 02 | 5,764.00 | | | 86,460 | | 86,460 |
| | | | | | | | | | | 39,417 | | 39,417 |
| 1012689 | MMN X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | .63 | 15.00 | 02 | 5,764.00 | | | 86,460 | | 86,460 |
| | | | | | | | | | | 39,417 | | 39,417 |
| 1012690 | OA C0861 AA | PROGRAM ANALYST 2 | 1 | .63 | 15.00 | 02 | 4,161.00 | | | 62,415 | | 62,415 |
| | | | | | | | | | | 33,781 | | 33,781 |
| 1012691 | UA C0862 AA | PROGRAM ANALYST 3 | 1 | .63 | 15.00 | 09 | 6,381.00 | | | 95,715 | | 95,715 |
| | | | | | | | | | | 41,586 | | 41,586 |
| 1012692 | UA C0862 AA | PROGRAM ANALYST 3 | 1 | .63 | 15.00 | 06 | 5,530.00 | | | 82,950 | | 82,950 |
| | | | | | | | | | | 38,595 | | 38,595 |
| 1012693 | MMN X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | .63 | 15.00 | 08 | 7,701.00 | | | 115,515 | | 115,515 |
| | | | | | | | | | | 46,228 | | 46,228 |
| 1012694 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | .63 | 15.00 | 07 | 6,663.00 | | | 99,945 | | 99,945 |
| | | | | | | | | | | 42,578 | | 42,578 |
| | | TOTAL PICS SALARY | | | | | | | | 2,588,640 | | 2,588,640 |
| | | TOTAL PICS OPE | | | | | | | | 1,142,171 | | 1,142,171 |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | 28 | 17.64 | 420.00 | | | | | 3,730,811 | | 3,730,811 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013317 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 5,231.00 | 66,538 | 16,321 | 42,685 | | 125,544 |
| | | | | | | | | 31,835 | 7,810 | 20,423 | | 60,068 |
| 1013318 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 5,231.00 | 66,538 | 16,321 | 42,685 | | 125,544 |
| | | | | | | | | 31,835 | 7,810 | 20,423 | | 60,068 |
| 1013440 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 5,231.00 | 66,538 | 16,321 | 42,685 | | 125,544 |
| | | | | | | | | 31,835 | 7,810 | 20,423 | | 60,068 |
| 1013441 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 30,973 | 7,597 | 19,870 | | 58,440 |
| | | | | | | | | 23,499 | 5,765 | 15,076 | | 44,340 |
| 1013442 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 5,231.00 | 66,538 | 16,321 | 42,685 | | 125,544 |
| | | | | | | | | 31,835 | 7,810 | 20,423 | | 60,068 |
| 1013443 | MMN X1322 AA | HUMAN RESOURCE ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,979.00 | 88,427 | 8,365 | 22,704 | | 119,496 |
| | | | | | | | | 43,401 | 4,106 | 11,143 | | 58,650 |
| | | TOTAL PICS SALARY | | | | | | 385,552 | 81,246 | 213,314 | | 680,112 |
| | | TOTAL PICS OPE | | | | | | 194,240 | 41,111 | 107,911 | | 343,262 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 6 | 6.00 | 144.00 | | | 579,792 | 122,357 | 321,225 | | 1,023,374 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013117 | OA C1488 | IA INFO SYSTEMS SPECIALIST 8 | 1 | 1.00 | 24.00 | 02 | 5,684.00 | 136,416 | | | | 136,416 |
| | | | | | | | | 62,617 | | | | 62,617 |
| 1013118 | OA C1488 | IA INFO SYSTEMS SPECIALIST 8 | 1 | 1.00 | 24.00 | 02 | 5,684.00 | 136,416 | | | | 136,416 |
| | | | | | | | | 62,617 | | | | 62,617 |
| 1013119 | OA C0873 | AA OPERATIONS & POLICY ANALYST 4 | 1 | 1.00 | 24.00 | 02 | 5,277.00 | 126,648 | | | | 126,648 |
| | | | | | | | | 60,328 | | | | 60,328 |
| 1013120 | MMN X0856 | AA PROJECT MANAGER 3 | 1 | .92 | 22.00 | 02 | 5,492.00 | 120,824 | | | | 120,824 |
| | | | | | | | | 56,408 | | | | 56,408 |
| 1013121 | OA C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1 | .92 | 22.00 | 02 | 4,161.00 | 91,542 | | | | 91,542 |
| | | | | | | | | 49,544 | | | | 49,544 |
| 1013122 | OA C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1 | .92 | 22.00 | 02 | 4,161.00 | 91,542 | | | | 91,542 |
| | | | | | | | | 49,544 | | | | 49,544 |
| 1013123 | OA C1487 | IA INFO SYSTEMS SPECIALIST 7 | 1 | .92 | 22.00 | 02 | 5,218.00 | 114,796 | | | | 114,796 |
| | | | | | | | | 54,995 | | | | 54,995 |
| 1013124 | OA C1487 | IA INFO SYSTEMS SPECIALIST 7 | 1 | .92 | 22.00 | 02 | 5,218.00 | 114,796 | | | | 114,796 |
| | | | | | | | | 54,995 | | | | 54,995 |
| 1013125 | OA C1486 | IA INFO SYSTEMS SPECIALIST 6 | 1 | .92 | 22.00 | 02 | 4,711.00 | 103,642 | | | | 103,642 |
| | | | | | | | | 52,381 | | | | 52,381 |
| | | TOTAL PICS SALARY | | | | | | 1,036,622 | | | | 1,036,622 |
| | | TOTAL PICS OPE | | | | | | 503,429 | | | | 503,429 |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | 9 | 8.52 | 204.00 | | | 1,540,051 | | | | 1,540,051 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013129 | MMN X0119 AA | EXECUTIVE SUPPORT SPECIALIST 2 | 1 | .38 | 9.00 | 03 | 3,222.00 | 14,499 | | 14,499 | | 28,998 |
| | | | | | | | | 9,143 | | 9,145 | | 18,288 |
| 1013130 | MMN X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | .38 | 9.00 | 08 | 7,701.00 | 34,654 | | 34,655 | | 69,309 |
| | | | | | | | | 13,868 | | 13,869 | | 27,737 |
| 1013131 | MMN X7008 AA | PRINCIPAL EXECUTIVE/MANAGER E | 1 | .38 | 9.00 | 09 | 8,087.00 | 36,391 | | 36,392 | | 72,783 |
| | | | | | | | | 14,275 | | 14,276 | | 28,551 |
| 1013132 | MMN X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | .38 | 9.00 | 07 | 7,343.00 | 33,043 | | 33,044 | | 66,087 |
| | | | | | | | | 13,490 | | 13,492 | | 26,982 |
| 1013133 | MMN X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | .38 | 9.00 | 08 | 7,701.00 | 34,654 | | 34,655 | | 69,309 |
| | | | | | | | | 13,868 | | 13,869 | | 27,737 |
| 1013134 | MMS X7008 AA | PRINCIPAL EXECUTIVE/MANAGER E | 1 | .38 | 9.00 | 07 | 7,343.00 | 33,043 | | 33,044 | | 66,087 |
| | | | | | | | | 13,490 | | 13,492 | | 26,982 |
| 1013135 | MMS X7012 AA | PRINCIPAL EXECUTIVE/MANAGER G | 1 | .38 | 9.00 | 09 | 10,306.00 | 46,377 | | 46,377 | | 92,754 |
| | | | | | | | | 16,616 | | 16,617 | | 33,233 |
| 1013136 | MESNZ7014 AA | PRINCIPAL EXECUTIVE/MANAGER H | 1 | .38 | 9.00 | 09 | 11,362.00 | 51,129 | | 51,129 | | 102,258 |
| | | | | | | | | 17,729 | | 17,732 | | 35,461 |
| 1013137 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | .38 | 9.00 | 02 | 5,231.00 | 23,539 | | 23,540 | | 47,079 |
| | | | | | | | | 11,263 | | 11,264 | | 22,527 |
| 1013138 | OA C0871 AA | OPERATIONS & POLICY ANALYST 2 | 1 | .38 | 9.00 | 05 | 4,791.00 | 21,559 | | 21,560 | | 43,119 |
| | | | | | | | | 10,798 | | 10,801 | | 21,599 |
| 1013139 | OA C0871 AA | OPERATIONS & POLICY ANALYST 2 | 1 | .38 | 9.00 | 03 | 4,358.00 | 19,611 | | 19,611 | | 39,222 |
| | | | | | | | | 10,341 | | 10,344 | | 20,685 |
| 1013140 | MMN X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | .38 | 9.00 | 02 | 5,764.00 | 25,938 | | 25,938 | | 51,876 |
| | | | | | | | | 11,824 | | 11,827 | | 23,651 |
| 1013141 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | .38 | 9.00 | 07 | 6,663.00 | 29,983 | | 29,984 | | 59,967 |
| | | | | | | | | 12,772 | | 12,775 | | 25,547 |
| 1013142 | MMN X0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | .38 | 9.00 | 04 | 5,764.00 | 25,938 | | 25,938 | | 51,876 |
| | | | | | | | | 11,824 | | 11,827 | | 23,651 |
| 1013143 | MMS X7008 AA | PRINCIPAL EXECUTIVE/MANAGER E | 1 | .38 | 9.00 | 07 | 7,343.00 | 33,043 | | 33,044 | | 66,087 |
| | | | | | | | | 13,490 | | 13,492 | | 26,982 |
| 1013144 | UA C0862 AA | PROGRAM ANALYST 3 | 1 | .38 | 9.00 | 09 | 6,381.00 | 28,714 | | 28,715 | | 57,429 |
| | | | | | | | | 12,475 | | 12,477 | | 24,952 |

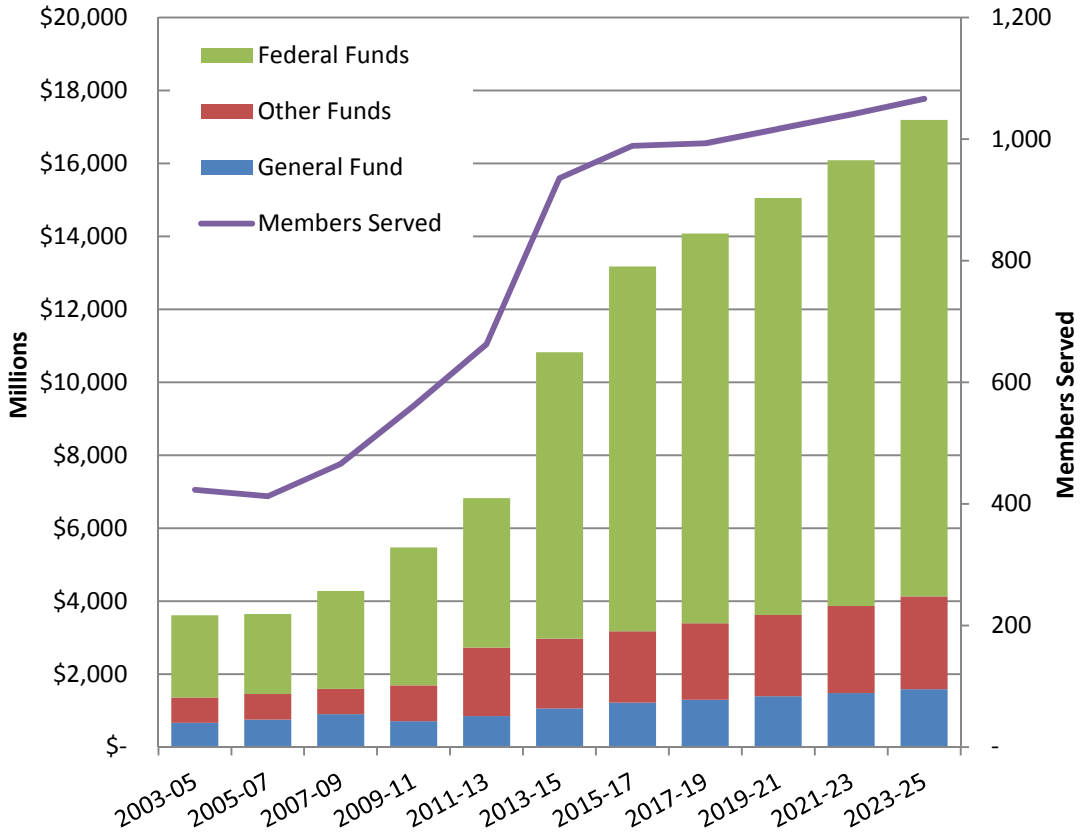
| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013145 | UA C0862 | AA PROGRAM ANALYST 3 | 1 | .38 | 9.00 | 06 | 5,530.00 | 24,885 | | 24,885 | | 49,770 |
| | | | | | | | | 11,577 | | 11,580 | | 23,157 |
| 1013146 | MMN X0873 | AA OPERATIONS & POLICY ANALYST 4 | 1 | .38 | 9.00 | 08 | 7,701.00 | 34,654 | | 34,655 | | 69,309 |
| | | | | | | | | 13,868 | | 13,869 | | 27,737 |
| 1013147 | OA C1118 | AA RESEARCH ANALYST 4 | 1 | .38 | 9.00 | 05 | 5,529.00 | 24,880 | | 24,881 | | 49,761 |
| | | | | | | | | 11,576 | | 11,579 | | 23,155 |
| 1013148 | UA C0861 | AA PROGRAM ANALYST 2 | 1 | .38 | 9.00 | 02 | 4,162.00 | 18,729 | | 18,729 | | 37,458 |
| | | | | | | | | 10,135 | | 10,137 | | 20,272 |
| 1013149 | OA C1118 | AA RESEARCH ANALYST 4 | 1 | .38 | 9.00 | 09 | 6,691.00 | 30,109 | | 30,110 | | 60,219 |
| | | | | | | | | 12,802 | | 12,805 | | 25,607 |
| | | TOTAL PICS SALARY | | | | | | 625,372 | | 625,385 | | 1,250,757 |
| | | TOTAL PICS OPE | | | | | | 267,224 | | 267,269 | | 534,493 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 21 | 7.98 | 189.00 | | | 892,596 | | 892,654 | | 1,785,250 |

# Oregon Health Authority: Medical Assistance Programs

## PROGRAM UNIT EXECUTIVE SUMMARY

Primary outcome area: Healthy People

Program Contact: Judy Mohr Peterson, Medicaid Director
503-945-5768
Rhonda Busek, Interim Director of Medical Assistance Programs, is the secondary program contact.
503-945-6552



## PROGRAM OVERVIEW

The budget for Medical Assistance Programs (MAP) includes:

- The Oregon Health Plan;
- Non-Oregon Health Plan programs, and;
- Other health-related programs and MAP Program Support.

These programs provide health care coverage to approximately one million Oregonians each month.

## PROGRAM FUNDING REQUEST

For the 2015-2017 biennium, the Oregon Health Authority requests the following budget (in millions) for Medical Assistance Programs:

- 2015-2017 request: $13,178 TF ($1,217 GF, $1,957 OF and $10,004 FF)

The Oregon Health Authority estimates the following costs for Medical Assistance Programs through the 2021-2023 biennium (in millions):

- 2017-2019 projected costs: $14,084 TF ($1,300 GF, $2,090 OF and $10,694 FF)
- 2019-2021 projected costs: $15,052 TF ($1,389 GF, $2,232 OF and $11,431 FF)
- 2021-2023 projected costs: $16,088 TF ($1,484 GF, $2,384 OF and $12,220 FF)

Medical Assistance Programs is projected to provide health care coverage to approximately:

- 993,323 Oregonians on average per month for the 2017-2019 biennium
- 1,016,952 Oregonians on average per month for the 2019-2021 biennium
- 1,040,735 Oregonians on average per month for the 2021-2023 biennium

## PROGRAM DESCRIPTION

The Medical Assistance Programs budget includes:

- ***The Oregon Health Plan***, which includes medical assistance coverage for Medicaid under Title XIX of the Social Security Act, and the Children's Health Insurance Program (CHIP) under Title XXI of the Social Security Act. OHP traditionally provided health care coverage to low-income seniors, people with disabilities, children, and pregnant women. Since January 2014, it has covered all Oregon adults with income at or below 138 percent of the federal poverty level.
    - As provided under the Affordable Care Act (ACA), the Oregon Legislature approved the budget for Medical Assistance Programs to expand Medicaid coverage to all adults under 138 percent of the federal poverty level. This expansion is entirely federally funded through 2016.
    - From December 2013 to May 2014, the OHP caseload increased by almost 350,000, primarily driven by the Medicaid expansion.
    - For more than 90 percent of those on OHP, care is provided by one of 16 coordinated care organizations designed to bring better health, better care, and lower costs.

- ***Non-Oregon Health Plan programs***, which includes:
    - Citizen/Alien-Waived Emergency Medical (CAWEM) program. This is a mandatory Medicaid program. People who are ineligible for Medicaid solely because they do not meet the Medicaid citizenship or immigration status requirements are eligible for limited medical assistance under CAWEM. The program provides emergency medical services including labor and delivery services for pregnant women. Most expenditures are for labor and delivery. Clients receive services from medical providers who accept Medicaid fee-for-service payments. For the 2013-2015 biennium, the program has a budget of $84 million and serves more than 25,000 clients.
    - Qualified Medicare Beneficiaries program. This program pays the Medicare premiums, deductibles, co-insurance and co-payments for clients. To be eligible, a person must be receiving Medicare Part A (hospital insurance benefits). Income and resources must fall within certain limits. Eligibility extends up to 135 percent of the federal

poverty level. For the 2013-2015 biennium, the program has a budget of $27 million and serves almost 19,000 people.

- A limited drug coverage program for transplant clients formerly covered by the Medically Needy program. Medical Assistance Programs provides limited drug coverage to transplant clients formerly covered by the Medically Needy program, which the Oregon Legislature ended in early 2003. Since spring 2003, the Legislature has appropriated General Funds to provide coverage for the drugs necessary for the direct support of their transplants, which were originally paid for by Medicaid. Clients remain eligible unless they qualify for OHP coverage or move out of state. There are no federal matching funds in this program. Clients receive their prescription drugs from pharmacies that accept Medicaid fee-for-service payments. For the 2013-2015 biennium, the program has a budget of $110,000 and serves about 20 people.
- Payments to the federal government for Medicare Part D coverage for dual-eligible clients: The Medicare Modernization Act of 2003 created Medicare Part D under which Medicare beneficiaries became eligible for Medicare prescription drug benefits beginning Jan. 1, 2006. This was a change for dual-eligible clients (i.e., clients who are eligible for both Medicare and full Medicaid coverage). These clients previously received their prescription drug coverage under Medicaid. The law requires states to pay the federal government for a large portion of the cost of what the state would have paid as the state share for drug costs for dual-eligible clients. When states started paying in 2006, they paid 90 percent of the cost. For the 2013-2015 biennium, the program has a budget of $152 million based on an average monthly caseload of 66,000 dual-eligible clients.

- ***Other health-related programs and MAP program support***, which include:
  - Law Enforcement Medical Account (LEMLA): The program pays medical claims for individuals who are injured during interactions with law enforcement. Law enforcement agencies submit claims to OHA when efforts fail to recover costs directly from the individuals or their insurance companies. For the 2013-2015 biennium, the LEMLA budget is $1.393 million.

- Pharmacy programs:
  - The Oregon Prescription Drug Program (OPDP) is a drug pool that allows Oregon to bargain for prescription drugs for approximately 850,000 members. The program provides drugs for OEBB, SAIF, and OHSU, as well as Salem Hospital and other private groups. OPDP also joined with Washington's WPDP to form the Northwest Prescription Drug Consortium.
  - CAREAssist program purchases health insurance for 2,700 persons with HIV who earn less than 300 percent of the federal poverty level. Its intent is to keep clients healthy and reduce the risk of spreading the disease. It also uses revenues earned from drug rebates to purchase drugs through a network of pharmacies providing the lowest price.
- MAP program support: This budget includes the Medicaid director, program and policy staff, and the OHP Central Processing Center. Staff manage all aspects of health care operations for medical assistance programs, such as processing client applications, enrolling providers, operating client and provider call centers, monitoring coordinated care organizations, and overseeing the budget and federal financing processes. This budget also includes costs for professional services such as contracts, including the contract with Hewlett-Packard for operation of the state's Medicaid Management Information System (MMIS).

## PROGRAM JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

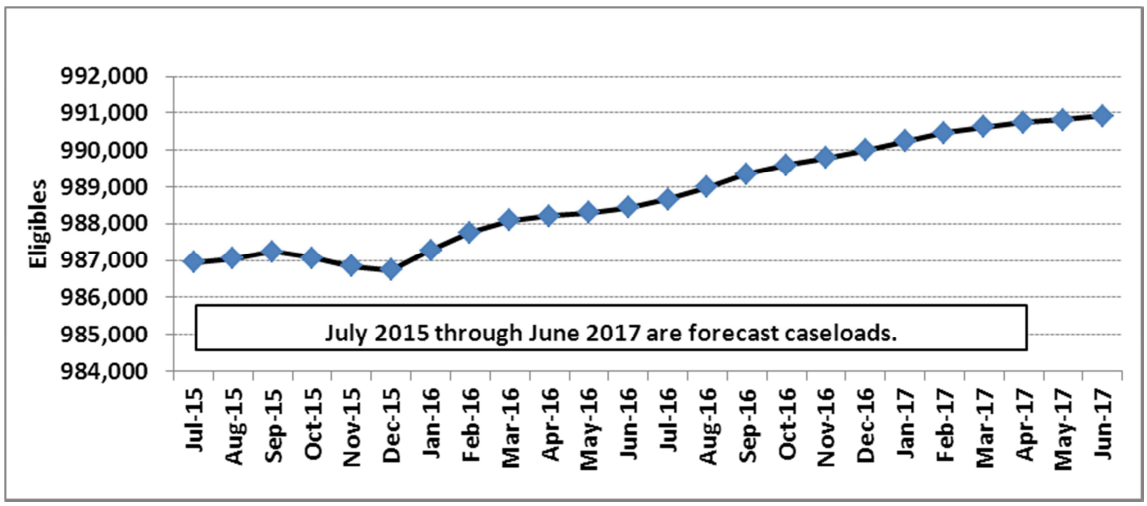
The innovations happening in the Oregon Health Plan delivery system are at the center of the Healthy Oregon 10-year Outcomes. The implementation of coordinated care organizations provides a direct connection to Oregon's 10-year goals for achieving:

- Improved the health of those on the Oregon Health Plan;
- Reduced per capita cost;
- Improved patient outcomes, safety and satisfaction.

Research shows that some 30-50 percent of health care spending is due to waste and inefficiency and some 80 percent of health care costs are driven by 20 percent of the population. Under an agreement with the federal government, Oregon will reduce the projected growth in health care spending by two percentage points in two years through improved health outcomes and reduced waste and inefficiency. During the 2015-2017 biennium, the Oregon Health Plan will need to hold its per-member-per-month expenditures to no more that 3.4 percent annual growth. The projected total state and federal savings are $11 billion over 10 years. Oregon will achieve this by providing comprehensive physical, mental and dental health services through coordinated care organizations. To ensure costs are reduced by improving quality and not withholding care, the federal agreement requires coordinated care organizations and the state to meet quality and access standards.

## PROGRAM PERFORMANCE

The vision of Medical Assistance Programs is to improve access to effective, high-quality health services for eligible Oregonians through innovation, collaboration, integration, and shared responsibility. The following table forecasts the average monthly caseload for Oregonians covered by Medical Assistance Programs (Oregon Health Plan and Non-Oregon Health Plan programs) for the 2015-2017 biennium. It is based on the Fall 2014 Caseload Forecast.




For the 2015-2017 biennium, the average cost of covering a person on the Oregon Health Plan is about $543 per month.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

**Oregon Health Plan:**
The Oregon Health Plan is not a federally mandated program, but supported by Medicaid and the Children's Health Insurance Program (CHIP). Title XIX and Title XXI of the Social Security Act, respectively, provide the federal authorization. Oregon administers the program under the authority of the federally approved Medicaid State Plan, CHIP State Plan, and Oregon Health Plan Medicaid demonstration waiver. The Legislature authorizes the Oregon Health Plan, including coordinated care organizations, under Oregon Revised Statutes 414.018 through 414.760.

**Non-Oregon Health Plan programs:**
Non-Oregon Health Plan programs are not federally mandated with the exception of the requirement to pay the federal government for Medicare Part D coverage. Non-Oregon Health Plan programs are authorized under the Social Security Act with the exception of the program that provides limited drug coverage for transplant clients formerly covered by the Medically Needy program. There are no federal matching funds in this program. The Legislature created this program with a budget note to Senate Bill 5548 in the 2003 legislative session.

**Other health-related programs and MAP Program Support:**
The Legislature authorizes the Law Enforcement Medical Account (LEMLA) under Oregon Revised Statutes 414.805 through 414.815. For pharmacy programs, the Legislature authorizes the Oregon Prescription Drug Program under Oregon Revised Statutes 414.312 through 414.318. The federal government authorizes CAREAssist under the Ryan White Act.

## FUNDING STREAMS

Federal matching funds (Medicaid and CHIP) are the primary funding streams supporting the program. Oregon qualifies for these federal dollars under its federally approved Medicaid and CHIP State Plans and the OHP Medicaid demonstration waiver. The federal match rate for Medicaid program expenditures and for CHIP program expenditures changes each fiscal year. Oregon funds the state's share of the program with General Fund dollars and a variety of Other Fund sources, (e.g., hospital assessment, insurers' tax, tobacco tax, tobacco

settlement payments, drug rebates,) and leveraged funds from a variety of sources such as counties and the Oregon Health & Science University. The insurers' tax expired September 30, 2013.

The Legislature established the hospital assessment in 2003 (Chapter 736, Oregon Laws 2003) to fund the OHP Standard program and enhanced hospital reimbursement. In 2011, the Legislature expanded the use of the assessment to support reimbursement rates for other providers, not just those for hospitals. In 2013, the Legislature extended the assessment two more years (with the passage of HB 2216) to provide continued support for the Oregon Health Plan and to fund a hospital transformation performance program. OHA, in consultation with hospital representatives, sets the assessment rate by administrative rule (OAR 410-050-0861) to generate the projected revenue needed to meet budget and program objectives. As of October 1, 2014, the assessment rate is 5.8 percent.

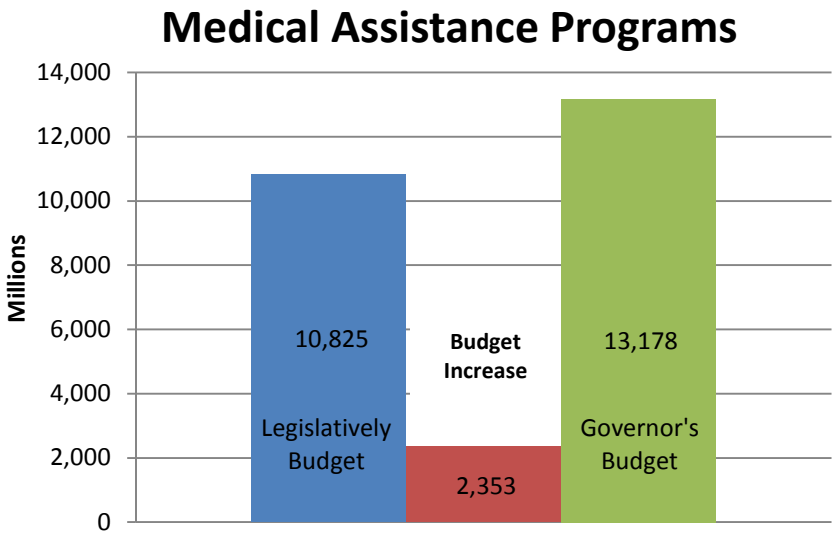
The Legislature budgets General Fund dollars for the following MAP programs:

- Payments to the federal government for Medicare Part D coverage for dual-eligible clients. Because of General Fund reductions, Medical Assistance Programs in the past used Children's Health Insurance Program Reauthorization Act (CHIPRA) performance bonuses, which are no longer available, to make these payments.

Limited drug coverage for transplant clients formerly covered by the Medically Needy program.

## SIGNIFICANT PROPOSED PROGRAM CHANGES FROM 2013-2015

The following is a comparison (in millions) of the Medical Assistance Programs 2015-2017 budget request to its 2013-2015 budget:


Medical Assistance Programs
14,000
12,000
10,000
8,000
6,000
4,000
2,000
0
Millions
10,825
Legislatively Budget
Budget Increase
2,353
13,178
Governor's Budget

# Oregon Health Authority: Medical Assistance Programs

## PROGRAM UNIT NARRATIVE

## I. OREGON HEALTH PLAN

## EXPENDITURES BY FUND TYPE, POSITIONS AND FULL-TIME EQUIVALENTS

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 738,813,957 | 1,838,904,332 | 7,423,589,539 | 10,001,307,828 | | |
| **Governor's Budget** | 790,620,363 | 1,885,394,144 | 9,552,040,142 | 12,228,054,649 | | |
| **Difference** | 51,806,406 | 46,489,812 | 2,128,450,603 | 2,226,746,821 | | |
| **Percent Change** | 7% | 2% | 22% | 18% | | |

The Oregon Health Plan programs section has no dedicated positions or full-time equivalents. All positions that are dedicated to doing the work that serves the Oregon Health Plan are housed in Program Support. This information will be reflected below in detail in other health-related programs and MAP Program Support.

## ACTIVITIES, PROGRAMS, AND ISSUES IN THE PROGRAM UNIT BASE BUDGET THAT MAY REQUIRE FURTHER EXPLANATION THAN ALLOWED IN THE PROGRAM UNIT EXECUTIVE SUMMARY.

The Oregon Health Plan budget is partially driven by the Oregon Health Plan demonstration waiver. The waiver requires that per-member-per-month health care expenditures hold to no more than 3.4 percent annual growth for the 2015-2017 biennium. Failure to stay within the growth test will result in federal funding penalties. Meeting this requirement is highly dependent on the capitation rates paid to coordinated care organizations for Oregon Health Plan enrollees. The federal government, in approving the hospital transformation performance program (authorized by Senate Bill 2216) also requires that the hospital incentive payments be included in the 3.4-percent growth rate. Projections indicate that

2015-2017 expenditures, including the hospital incentive payments, will remain within the required growth rate.

The Oregon Health Plan budget is based on caseload forecasts and cost estimates that are projected for the coming two years. Because of the size of the budget, even the slightest variance from the original forecast can result in a significant budget shortfall or windfall. The caseload forecast used for the 2015-2017 Oregon Health Plan budget is especially risky because of the expansion of Medicaid eligibility. New eligibility systems and new methods of eligibility determination (including "fast track") and redetermination add to this risk.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES.

The Oregon Health Plan has two primary federal fund revenue sources: Medicaid and the Children's Health Insurance Program (CHIP). The Medicaid match rate used for the 2015-2017 biennial budget is approximately 63 percent for most services. (The rate generally is 50 percent for Medicaid staffing and administrative expenditures.) The CHIP match rate will change significantly during the 2015-2017 biennium. The CHIP match rate for the first quarter of the biennium will be approximately 74 percent. Effective October 1, 2015, the Affordable Care Act increases the CHIP match rate by 23 percentage points to about 97 percent federal funding.

As part of the Medicaid waiver, the federal government is investing $1.9 billion in Oregon's health system transformation over five years under a Designated State Health Programs (DSHP) provision. The waiver allows Oregon to claim federal matching funds for health services and programs not traditionally allowed by the Medicaid program. The 2015-2017 Oregon Health Plan budget includes about $272 million ($136 million Other Funds and $136 Federal Funds) in resources as the result of DSHP. Any reduction to the projected expenditures for those health services and programs that now receive federal matching funds under DSHP will cause a funding problem for the Oregon Health Plan.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT.

The Affordable Care Act, passed in March 2010, is the basis for federal health care reform and continues to support Oregon's health system transformation through the Oregon Health Plan.

## II. NON-OREGON HEALTH PLAN PROGRAMS EXPENDITURES BY FUND TYPE, POSITIONS AND FULL-TIME EQUIVALENTS

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 245,723,991 | 3,754,076 | 306,874,873 | 556,352,940 | | |
| **Governor's Budget** | 341,181,408 | 4,646,577 | 318,770,826 | 664,598,811 | | |
| **Difference** | 95,457,417 | 892,501 | 11,895,953 | 108,245,871 | | |
| **Percent Change** | 28% | 0% | 4% | 16% | | |

The Non-Oregon Health Plan programs section of the budget has no dedicated positions or full-time equivalents. All positions that are dedicated to doing the work that serves the Non-Oregon Health Plan are housed in Program Support. This information will be reflected below in detail for other health-related programs and MAP Program Support.

## ACTIVITIES, PROGRAMS, AND ISSUES IN THE PROGRAM UNIT BASE BUDGET THAT MAY REQUIRE FURTHER EXPLANATION THAN ALLOWED IN THE PROGRAM UNIT EXECUTIVE SUMMARY.

None identified.

The budget for Citizen/Alien-Waived Emergency Medical (CAWEM) program is driven by the caseload forecast. The caseload forecast for 2015-2017 is especially risky because of the expansion of Medicaid eligibility. New eligibility systems and new methods of eligibility determination (including “fast track”) and redetermination add to this risk.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES.

Revenue sources for Non-Oregon Health Plan programs continue unchanged from 2013-2015 biennium with a mix of Medicaid and state funding.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT.

No new laws directly affect Non-Oregon Health Plan programs.

# III. OTHER HEALTH-RELATED PROGRAMS AND MAP PROGRAM SUPPORT
# EXPENDITURES BY FUND TYPE, POSITIONS AND FULL-TIME EQUIVALENTS

The following is the 2015-2017 budget request for the **Law Enforcement Medical Account** (LEMLA) by fund type, positions, and full-time equivalents:

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 39,014 | 1,354,360 | - | 1,393,374 | | |
| **Governor's Budget** | 39,014 | 1,354,360 | - | 1,393,374 | | |
| **Difference** | - | - | - | - | | |
| **Percent Change** | 0% | 0% | | 0% | | |

The **Law Enforcement Medical Account** (LEMLA) program section of the budget has no dedicated positions or full-time equivalents. All positions that are dedicated to doing the work that serves the Law Enforcement Medical Account (LEMLA) are housed in Program Support. This information will be reflected below in detail for other health-plan related programs and MAP Program Support.

The following is the 2015-2017 budget request for **Pharmacy Programs** by fund type, positions, and full-time equivalents:

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 3,798,513 | 58,747,377 | 10,591,075 | 73,136,965 | 12 | 12.00 |
| **Governor's Budget** | 7,959,314 | 58,748,945 | 11,207,421 | 77,915,680 | 12 | 12.00 |
| **Difference** | 4,160,801 | 1,568 | 616,346 | 4,778,715 | | |
| **Percent Change** | 52% | 0% | 5% | 6% | 0% | 0% |

The following is the 2015-2017 budget request for **other health-plan related programs and MAP Program Support** by fund type, positions, and full-time equivalents:

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg. Approved 13-15** | 67,807,106 | 15,059,463 | 110,061,787 | 192,928,356 | 487 | 478.85 |
| **Governor's Budget** | 77,343,015 | 6,738,344 | 121,631,641 | 205,713,000 | 515 | 506.85 |
| **Difference** | 9,535,909 | (8,321,119) | 11,569,854 | 12,784,644 | 28 | 28 |
| **Percent Change** | 12% | -123% | 10% | 6% | 5% | 6% |

## ACTIVITIES, PROGRAMS, AND ISSUES IN THE PROGRAM UNIT BASE BUDGET THAT MAY REQUIRE FURTHER EXPLANATION THAN ALLOWED IN THE PROGRAM UNIT EXECUTIVE SUMMARY.

None identified.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES.

Revenue sources for Non-Oregon Health Plan programs continue unchanged from 2013-2015 biennium with a mix of Medicaid, CHIP, and state funding.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT.

No new laws directly affect the budgets for other health-related programs and MAP Program Support.

**Oregon Health Authority**
**Medical Assistance Programs**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 498 | 489.85 | 9,684,124,186 | 1,117,691,566 | - | 1,892,868,931 | 6,673,563,689 | - | - |
| 2013-15 Emergency Boards | 1 | 1.00 | 1,140,995,277 | (61,508,985) | - | 24,950,677 | 1,177,553,585 | - | - |
| **2013-15 Leg Approved Budget** | **499** | **490.85** | **10,825,119,463** | **1,056,182,581** | **-** | **1,917,819,608** | 7,851,117,274 | **-** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (16) | (16.00) | (559,271) | 858,718 | - | (1,864,130) | 446,141 | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **483** | **474.85** | **10,824,560,192** | **1,057,041,299** | **-** | **1,915,955,478** | 7,851,563,415 | **-** | **-** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | (422,440) | (224,897) | - | 7,654 | (205,197) | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 565,938 | 325,746 | - | (29,073) | 269,265 | - | - |
| **Subtotal** | **-** | **-** | **143,498** | **100,849** | **-** | **(21,419)** | 64,068 | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | 2 | 2.00 | 28,808,327 | 1,501,401 | - | 1,151,544 | 26,155,382 | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (1,692,132,709) | (12,886,790) | - | (442,105,116) | (1,237,140,803) | - | - |
| **Subtotal** | **2** | **2.00** | **(1,663,324,382)** | **(11,385,389)** | **-** | **(440,953,572)** | (1,210,985,421) | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 624,203,849 | 69,176,021 | - | 101,394,791 | 453,633,037 | - | - |
| **Subtotal** | **-** | **-** | **624,203,849** | **69,176,021** | **-** | **101,394,791** | 453,633,037 | **-** | **-** |

**Oregon Health Authority**
**Medical Assistance Programs**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | 43 | 43.00 | 1,862,455,544 | 85,983,776 | - | 244,428,502 | 1,532,043,266 | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | 651,410,473 | - | (934,785,483) | 283,375,010 | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | (2,846) | - | - | (2,846) | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **528** | **519.85** | **11,648,035,855** | **1,852,327,029** | **-** | **886,015,451** | 8,909,693,375 | **-** | **-** |

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **528** | **519.85** | **11,648,035,855** | **1,852,327,029** | **-** | **886,015,451** | 8,909,693,375 | **-** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **528** | **519.85** | **11,648,035,855** | **1,852,327,029** | **-** | **886,015,451** | 8,909,693,375 | **-** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | (23) | (23.00) | 1,597,983,382 | (637,432,785) | - | 1,088,524,950 | 1,146,891,217 | - | - |
| 091 - December 2014 Rebalance | 22 | 22.00 | (68,343,723) | 2,248,870 | - | (17,658,031) | (52,934,562) | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **(1)** | **(1.00)** | **1,529,639,659** | **(635,183,915)** | **-** | **1,070,866,919** | 1,093,956,655 | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Medical Assistance Programs**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **527** | **518.85** | **13,177,675,514** | **1,217,143,114** | **-** | **1,956,882,370** | 10,003,650,030 | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | 5.61% | 5.70% | 21.73% | 15.24% | - | 2.04% | 27.42% | - | - |
| Percentage Change From 2015-17 Current Service Level | -0.19% | -0.19% | 13.13% | -34.29% | - | 120.86% | 12.28% | - | - |

| Oregon Health Authority<br>Medical Assistance Programs<br>44300-020-01-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND** | | | | | | |
| **REVENUES** | | | | | | |
| General Fund Appropriation | 0050 | GF | 850,961,310 | 1,056,182,581 | 1,988,408,406 | 1,217,143,114 |
| **TOTAL REVENUES** | | **GF** | **850,961,310** | **1,056,182,581** | **1,988,408,406** | **1,217,143,114** |
| **TOTAL GENERAL FUNDS** | | **GF** | **850,961,310** | **1,056,182,581** | **1,988,408,406** | **1,217,143,114** |
| **OTHER FUNDS** | | | | | | |
| **REVENUES** | | | | | | |
| Beginning Balance | 0025 | OF | 105,457,958 | 1,392,708 | - | - |
| Beginning Balance Adjustment | 0030 | OF | 1,808,226 | (1,392,708) | - | 125,000,000 |
| Other Selective Taxes | 0190 | OF | 727,106,028 | 899,268,276 | 111,183,457 | 948,758,140 |
| Charges for Services | 0410 | OF | 3,486,532 | 4,251,313 | - | - |
| Administrative Service Charges | 0415 | OF | 565,994 | - | - | - |
| Interest Income | 0605 | OF | 813,710 | 79,412 | 54,360 | 54,360 |
| Donations | 0905 | OF | 86,471 | - | - | - |
| Other Revenues | 0975 | OF | 330,032,099 | 562,283,350 | 348,532,855 | 1,789,608,220 |
| Loan Proceeds | 0980 | OF | 215,000,000 | - | - | - |
| **TOTAL REVENUES** | | **OF** | **1,384,357,018** | **1,465,882,351** | **459,770,672** | **2,863,420,720** |
| **TRANSFER IN** | | | | | | |
| Transfer in Other | 1050 | OF | - | 114,267,000 | 124,000,000 | 124,000,000 |
| Transfer in General Fund | 1060 | OF | - | - | - | - |

| Oregon Health Authority<br>Medical Assistance Programs<br>44300-020-01-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Transfer in from Department of Human Services | 1100 | OF | - | - | - | - |
| Transfer in Administrative Services | 1107 | OF | 30,000,000 | - | - | 137,000,000 |
| Transfer in Governor, Office of the | 1121 | OF | 1,084,798 | - | - | - |
| Transfer in Revenue Department | 1150 | OF | 355,388,305 | 317,208,507 | 291,422,605 | 291,422,605 |
| Transfer in Judicial | 1198 | OF | 151,020 | - | - | - |
| Transfer from Oregon Youth Authority | 1415 | OF | 70,923 | - | 232,038 | 232,038 |
| Transfer in Commission & Families | 1423 | OF | 561,353 | - | - | - |
| Transfer in Consumer Business Services | 1440 | OF | 121,925,083 | 21,350,642 | - | - |
| Tsfr From Oregon Health Authority | 1443 | OF | 64,874,279 | - | - | - |
| Transfer in Education | 1581 | OF | - | 1,191,316 | 1,322,340 | 1,322,340 |
| **TOTAL TRANSFERS IN** | | **OF** | **574,055,761** | **454,017,465** | **416,976,983** | **553,976,983** |
| **TRANSFER OUT** | | | | | | |
| Transfer to Intrafund | 2010 | OF | (16,587,270) | - | - | - |
| Transfer to Department of Human Services | 2100 | OF | - | (687,500) | - | - |
| **TOTAL TRANSFERS OUT** | | **OF** | **(16,587,270)** | **(687,500)** | - | **-** |
| **TOTAL OTHER FUNDS** | | **OF** | **1,941,825,509** | **1,919,212,316** | **876,747,655** | **3,417,397,703** |
| **FEDERAL FUNDS REVENUES** | | | | | | |

| Oregon Health Authority<br>Medical Assistance Programs<br>44300-020-01-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Federal Funds Revenue | 0995 | FF | 4,096,613,488 | 7,851,117,274 | 8,455,547,751 | 10,003,650,030 |
| **TOTAL REVENUES** | | **FF** | **4,096,613,488** | **7,851,117,274** | **8,455,547,751** | **10,003,650,030** |
| **TOTAL FEDERAL FUNDS** | | **FF** | **4,096,613,488** | **7,851,117,274** | **8,455,547,751** | **10,003,650,030** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **6,889,400,307** | **10,826,512,171** | **11,320,703,812** | **14,638,190,847** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-020-01-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Other Selective Taxes | 727,106,028 | 846,079,320 | 899,268,276 | 111,183,457 | 948,758,140 | - |
| Charges for Services | 3,486,532 | 4,251,313 | 4,251,313 | - | - | - |
| Admin and Service Charges | 565,994 | - | - | - | - | - |
| Interest Income | 813,710 | 79,412 | 79,412 | 54,360 | 54,360 | - |
| Donations | 86,471 | - | - | - | - | - |
| Other Revenues | 330,032,099 | 589,892,569 | 562,283,350 | 348,532,855 | 1,789,608,220 | - |
| Loan Proceeds | 215,000,000 | - | - | - | - | - |
| Transfer In Other | - | 116,100,000 | 114,267,000 | 124,000,000 | 124,000,000 | - |
| Tsfr From Administrative Svcs | 30,000,000 | - | - | - | 137,000,000 | - |
| Tsfr From Governor, Office of the | 1,084,798 | - | - | - | - | - |
| Tsfr From Revenue, Dept of | 355,388,305 | 316,208,507 | 317,208,507 | 291,422,605 | 291,422,605 | - |
| Tsfr From Judicial Dept | 151,020 | - | - | - | - | - |
| Tsfr From Or Youth Authority | 70,923 | - | - | 232,038 | 232,038 | - |
| Tsfr From Child/Fam, Comm on | 561,353 | - | - | - | - | - |
| Tsfr From Consumer/Bus Svcs | 121,925,083 | 21,350,642 | 21,350,642 | - | - | - |
| Tsfr From Oregon Health Authority | 64,874,279 | - | - | - | - | - |
| Tsfr From Education, Dept of | - | 1,191,316 | 1,191,316 | 1,322,340 | 1,322,340 | - |
| Transfer Out - Intrafund | (16,587,270) | - | - | - | - | - |
| Tsfr To Human Svcs, Dept of | - | (687,500) | (687,500) | - | - | - |
| **Total Other Funds** | **$1,834,559,325** | **$1,894,465,579** | **$1,919,212,316** | **$876,747,655** | **$3,292,397,703** | **-** |
| **Federal Funds** | | | | | | |
| Federal Funds | 4,096,613,488 | 6,673,563,689 | 7,851,117,274 | 8,455,547,751 | 10,003,650,030 | - |
| **Total Federal Funds** | **$4,096,613,488** | **$6,673,563,689** | **$7,851,117,274** | **$8,455,547,751** | **$10,003,650,030** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 100,849 | - | - | - | - | - | 100,849 |
| Other Revenues | - | - | (21,419) | - | - | - | (21,419) |
| Federal Funds | - | - | - | 64,068 | - | - | 64,068 |
| **Total Revenues** | **$100,849** | **-** | **($21,419)** | **$64,068** | **-** | **-** | **$143,498** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | 1,535 | - | - | 1,877 | - | - | 3,412 |
| Overtime Payments | 439 | - | 1 | 436 | - | - | 876 |
| Shift Differential | - | - | 2 | 254 | - | - | 256 |
| All Other Differential | 4,571 | - | 3,354 | 12,784 | - | - | 20,709 |
| Public Employees' Retire Cont | 791 | - | 530 | 2,128 | - | - | 3,449 |
| Pension Obligation Bond | 317,909 | - | (33,217) | 250,612 | - | - | 535,304 |
| Social Security Taxes | 501 | - | 257 | 1,174 | - | - | 1,932 |
| Vacancy Savings | (224,897) | - | 7,654 | (205,197) | - | - | (422,440) |
| **Total Personal Services** | **$100,849** | **-** | **($21,419)** | **$64,068** | **-** | **-** | **$143,498** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 100,849 | - | (21,419) | 64,068 | - | - | 143,498 |
| **Total Expenditures** | **$100,849** | **-** | **($21,419)** | **$64,068** | **-** | **-** | **$143,498** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 1,501,401 | - | - | - | - | - | 1,501,401 |
| Other Selective Taxes | - | - | 541,903 | - | - | - | 541,903 |
| Other Revenues | - | - | 338,689 | - | - | - | 338,689 |
| Federal Funds | - | - | - | 26,155,382 | - | - | 26,155,382 |
| Transfer In Other | - | - | 67,738 | - | - | - | 67,738 |
| Tsfr From Revenue, Dept of | - | - | 203,214 | - | - | - | 203,214 |
| **Total Revenues** | **$1,501,401** | **-** | **$1,151,544** | **$26,155,382** | **-** | **-** | **$28,808,327** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 94,812 | - | - | 94,812 | - | - | 189,624 |
| Empl. Rel. Bd. Assessments | 44 | - | - | 44 | - | - | 88 |
| Public Employees' Retire Cont | 14,971 | - | - | 14,971 | - | - | 29,942 |
| Social Security Taxes | 7,252 | - | - | 7,254 | - | - | 14,506 |
| Worker's Comp. Assess. (WCD) | 68 | - | - | 70 | - | - | 138 |
| Flexible Benefits | 30,528 | - | - | 30,528 | - | - | 61,056 |
| **Total Personal Services** | **$147,675** | **-** | **-** | **$147,679** | **-** | **-** | **$295,354** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 2,776 | - | - | 2,776 | - | - | 5,552 |
| Employee Training | 764 | - | - | 764 | - | - | 1,528 |
| Office Expenses | 6,886 | - | - | 6,884 | - | - | 13,770 |
| Telecommunications | 2,234 | - | - | 2,234 | - | - | 4,468 |
| Facilities Rental and Taxes | 12,924 | - | - | 12,924 | - | - | 25,848 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Other Services and Supplies | 480 | - | - | 480 | - | - | 960 |
| **Total Services & Supplies** | **$26,064** | **-** | **-** | **$26,062** | **-** | **-** | **$52,126** |
| **Special Payments** | | | | | | | |
| Dist to Individuals | 1,327,662 | - | 1,151,544 | 25,981,641 | - | - | 28,460,847 |
| **Total Special Payments** | **$1,327,662** | **-** | **$1,151,544** | **$25,981,641** | **-** | **-** | **$28,460,847** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 1,501,401 | - | 1,151,544 | 26,155,382 | - | - | 28,808,327 |
| **Total Expenditures** | **$1,501,401** | **-** | **$1,151,544** | **$26,155,382** | **-** | **-** | **$28,808,327** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 2 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **2** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 2.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **2.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Medical Assistance Programs**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (12,886,790) | - | - | - | - | - | (12,886,790) |
| Other Selective Taxes | - | - | (438,784,190) | - | - | - | (438,784,190) |
| Other Revenues | - | - | (3,320,926) | - | - | - | (3,320,926) |
| Federal Funds | - | - | - | (1,237,140,803) | - | - | (1,237,140,803) |
| **Total Revenues** | **($12,886,790)** | **-** | **($442,105,116)** | **($1,237,140,803)** | **-** | **-** | **($1,692,132,709)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 34,929 | - | - | - | 34,929 |
| Out of State Travel | - | - | (210) | - | - | - | (210) |
| Employee Training | - | - | (259) | - | - | - | (259) |
| Office Expenses | - | - | (1,700) | - | - | - | (1,700) |
| Telecommunications | - | - | (758) | - | - | - | (758) |
| Data Processing | - | - | (8) | - | - | - | (8) |
| Publicity and Publications | - | - | (5,907) | - | - | - | (5,907) |
| Professional Services | - | - | (3,335,826) | - | - | - | (3,335,826) |
| IT Professional Services | (805,198) | - | - | (7,383,015) | - | - | (8,188,213) |
| Dues and Subscriptions | - | - | (2) | - | - | - | (2) |
| Facilities Rental and Taxes | - | - | (3,084) | - | - | - | (3,084) |
| Fuels and Utilities | - | - | (6) | - | - | - | (6) |
| Agency Program Related S and S | - | - | (4) | - | - | - | (4) |
| Other Services and Supplies | - | - | (7,760) | - | - | - | (7,760) |
| IT Expendable Property | - | - | (331) | - | - | - | (331) |
| **Total Services & Supplies** | **($805,198)** | **-** | **($3,320,926)** | **($7,383,015)** | **-** | **-** | **($11,509,139)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 022 - Phase-out Pgm & One-time Costs**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Dist to Individuals | (12,081,592) | - | (438,784,190) | (1,229,757,788) | - | - | (1,680,623,570) |
| **Total Special Payments** | **($12,081,592)** | **-** | **($438,784,190)** | **($1,229,757,788)** | **-** | **-** | **($1,680,623,570)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (12,886,790) | - | (442,105,116) | (1,237,140,803) | - | - | (1,692,132,709) |
| **Total Expenditures** | **($12,886,790)** | **-** | **($442,105,116)** | **($1,237,140,803)** | **-** | **-** | **($1,692,132,709)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 30,648,486 | - | - | - | - | - | 30,648,486 |
| Other Selective Taxes | - | - | 13,766,311 | - | - | - | 13,766,311 |
| Charges for Services | - | - | 127,539 | - | - | - | 127,539 |
| Other Revenues | - | - | 16,656,326 | - | - | - | 16,656,326 |
| Federal Funds | - | - | - | 197,784,511 | - | - | 197,784,511 |
| Transfer In Other | - | - | 3,483,000 | - | - | - | 3,483,000 |
| Tsfr From Revenue, Dept of | - | - | 9,422,265 | - | - | - | 9,422,265 |
| Tsfr From Consumer/Bus Svcs | - | - | 606,792 | - | - | - | 606,792 |
| Tsfr From Education, Dept of | - | - | 35,739 | - | - | - | 35,739 |
| **Total Revenues** | **$30,648,486** | **-** | **$44,097,972** | **$197,784,511** | **-** | **-** | **$272,530,969** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 1,926 | - | 2,917 | 4,816 | - | - | 9,659 |
| Out of State Travel | 1,287 | - | 443 | 2,596 | - | - | 4,326 |
| Employee Training | 1,310 | - | 668 | 3,134 | - | - | 5,112 |
| Office Expenses | 71,044 | - | 11,295 | 109,177 | - | - | 191,516 |
| Telecommunications | 11,647 | - | 2,623 | 16,749 | - | - | 31,019 |
| Data Processing | 3,343 | - | 122 | 5,584 | - | - | 9,049 |
| Publicity and Publications | 7,975 | - | 5,795 | 28,208 | - | - | 41,978 |
| Professional Services | 327,221 | - | 32,674 | 454,802 | - | - | 814,697 |
| IT Professional Services | 387,316 | - | 785 | 1,100,726 | - | - | 1,488,827 |
| Attorney General | 54,800 | - | 5,375 | 56,150 | - | - | 116,325 |
| Employee Recruitment and Develop | 47 | - | - | 54 | - | - | 101 |
| Dues and Subscriptions | 810 | - | 2 | 1,180 | - | - | 1,992 |
| Facilities Rental and Taxes | 1,950 | - | 3,252 | 2,031 | - | - | 7,233 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Fuels and Utilities | 303 | - | 5 | 322 | - | - | 630 |
| Facilities Maintenance | 4 | - | - | 4 | - | - | 8 |
| Medical Services and Supplies | 111,308 | - | - | 9,607 | - | - | 120,915 |
| Other Care of Residents and Patients | - | - | - | 61,440 | - | - | 61,440 |
| Agency Program Related S and S | 211,102 | - | 1,771,726 | 203,604 | - | - | 2,186,432 |
| Other Services and Supplies | 19,095 | - | 107,978 | 171,223 | - | - | 298,296 |
| Expendable Prop 250 - 5000 | 442 | - | - | 2,150 | - | - | 2,592 |
| IT Expendable Property | 4,874 | - | 328 | 7,060 | - | - | 12,262 |
| **Total Services & Supplies** | **$1,217,804** | **-** | **$1,945,988** | **$2,240,617** | **-** | **-** | **$5,404,409** |
| **Special Payments** | | | | | | | |
| Dist to Individuals | 29,430,673 | - | 42,116,227 | 195,484,198 | - | - | 267,031,098 |
| Other Special Payments | 9 | - | 18 | 150 | - | - | 177 |
| Spc Pmt to Education, Dept of | - | - | 35,739 | 59,546 | - | - | 95,285 |
| **Total Special Payments** | **$29,430,682** | **-** | **$42,151,984** | **$195,543,894** | **-** | **-** | **$267,126,560** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 30,648,486 | - | 44,097,972 | 197,784,511 | - | - | 272,530,969 |
| **Total Expenditures** | **$30,648,486** | **-** | **$44,097,972** | **$197,784,511** | **-** | **-** | **$272,530,969** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 16,748,836 | - | - | - | - | - | 16,748,836 |
| Other Selective Taxes | - | - | 7,800,909 | - | - | - | 7,800,909 |
| Charges for Services | - | - | 72,272 | - | - | - | 72,272 |
| Other Revenues | - | - | 9,319,991 | - | - | - | 9,319,991 |
| Federal Funds | - | - | - | 111,042,812 | - | - | 111,042,812 |
| Transfer In Other | - | - | 1,973,700 | - | - | - | 1,973,700 |
| Tsfr From Revenue, Dept of | - | - | 5,339,284 | - | - | - | 5,339,284 |
| Tsfr From Consumer/Bus Svcs | - | - | 343,849 | - | - | - | 343,849 |
| **Total Revenues** | **$16,748,836** | **-** | **$24,850,005** | **$111,042,812** | **-** | **-** | **$152,641,653** |
| **Services & Supplies** | | | | | | | |
| Professional Services | 32,722 | - | 3,267 | 45,481 | - | - | 81,470 |
| IT Professional Services | 38,732 | - | 79 | 110,073 | - | - | 148,884 |
| Agency Program Related S and S | - | - | 980,798 | 112,879 | - | - | 1,093,677 |
| **Total Services & Supplies** | **$71,454** | **-** | **$984,144** | **$268,433** | **-** | **-** | **$1,324,031** |
| **Special Payments** | | | | | | | |
| Dist to Individuals | 16,677,382 | - | 23,865,861 | 110,774,379 | - | - | 151,317,622 |
| **Total Special Payments** | **$16,677,382** | **-** | **$23,865,861** | **$110,774,379** | **-** | **-** | **$151,317,622** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 16,748,836 | - | 24,850,005 | 111,042,812 | - | - | 152,641,653 |
| **Total Expenditures** | **$16,748,836** | **-** | **$24,850,005** | **$111,042,812** | **-** | **-** | **$152,641,653** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 033 - Exceptional Inflation**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 21,778,699 | - | - | - | - | - | 21,778,699 |
| Other Selective Taxes | - | - | 10,187,070 | - | - | - | 10,187,070 |
| Charges for Services | - | - | 94,379 | - | - | - | 94,379 |
| Other Revenues | - | - | 12,166,443 | - | - | - | 12,166,443 |
| Federal Funds | - | - | - | 144,805,714 | - | - | 144,805,714 |
| Transfer In Other | - | - | 2,577,420 | - | - | - | 2,577,420 |
| Tsfr From Revenue, Dept of | - | - | 6,972,476 | - | - | - | 6,972,476 |
| Tsfr From Consumer/Bus Svcs | - | - | 449,026 | - | - | - | 449,026 |
| **Total Revenues** | **$21,778,699** | **-** | **$32,446,814** | **$144,805,714** | **-** | **-** | **$199,031,227** |
| **Services & Supplies** | | | | | | | |
| Agency Program Related S and S | - | - | 1,280,807 | 147,407 | - | - | 1,428,214 |
| **Total Services & Supplies** | **-** | **-** | **$1,280,807** | **$147,407** | **-** | **-** | **$1,428,214** |
| **Special Payments** | | | | | | | |
| Dist to Individuals | 21,778,699 | - | 31,166,007 | 144,658,307 | - | - | 197,603,013 |
| **Total Special Payments** | **$21,778,699** | **-** | **$31,166,007** | **$144,658,307** | **-** | **-** | **$197,603,013** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 21,778,699 | - | 32,446,814 | 144,805,714 | - | - | 199,031,227 |
| **Total Expenditures** | **$21,778,699** | **-** | **$32,446,814** | **$144,805,714** | **-** | **-** | **$199,031,227** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 033 - Exceptional Inflation**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 040 - Mandated Caseload**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 85,983,776 | - | - | - | - | - | 85,983,776 |
| Other Selective Taxes | - | - | 115,678,224 | - | - | - | 115,678,224 |
| Other Revenues | - | - | 1,532,043,266 | - | - | - | 1,532,043,266 |
| Federal Funds | - | - | - | 1,532,043,266 | - | - | 1,532,043,266 |
| Transfer In Other | - | - | 14,305,586 | - | - | - | 14,305,586 |
| Tsfr From Revenue, Dept of | - | - | 42,916,759 | - | - | - | 42,916,759 |
| **Total Revenues** | **$85,983,776** | **-** | **$1,704,943,835** | **$1,532,043,266** | **-** | **-** | **$3,322,970,877** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 1,556,964 | - | - | 1,556,964 | - | - | 3,113,928 |
| Empl. Rel. Bd. Assessments | 946 | - | - | 946 | - | - | 1,892 |
| Public Employees' Retire Cont | 245,852 | - | - | 245,853 | - | - | 491,705 |
| Social Security Taxes | 119,093 | - | - | 119,130 | - | - | 238,223 |
| Worker's Comp. Assess. (WCD) | 1,462 | - | - | 1,505 | - | - | 2,967 |
| Flexible Benefits | 656,352 | - | - | 656,352 | - | - | 1,312,704 |
| **Total Personal Services** | **$2,580,669** | **-** | **-** | **$2,580,750** | **-** | **-** | **$5,161,419** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 59,684 | - | - | 59,684 | - | - | 119,368 |
| Employee Training | 16,426 | - | - | 16,426 | - | - | 32,852 |
| Office Expenses | 148,049 | - | - | 148,006 | - | - | 296,055 |
| Telecommunications | 48,031 | - | - | 48,031 | - | - | 96,062 |
| Data Processing | 27,563 | - | - | 27,520 | - | - | 55,083 |
| Facilities Rental and Taxes | 277,866 | - | - | 277,866 | - | - | 555,732 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 040 - Mandated Caseload**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Other Services and Supplies | 10,320 | - | - | 10,320 | - | - | 20,640 |
| Expendable Prop 250 - 5000 | 173,204 | - | - | 173,118 | - | - | 346,322 |
| **Total Services & Supplies** | **$761,143** | **-** | **-** | **$760,971** | **-** | **-** | **$1,522,114** |
| **Special Payments** | | | | | | | |
| Dist to Individuals | 82,628,892 | - | 244,428,502 | 1,528,688,559 | - | - | 1,855,745,953 |
| Other Special Payments | 13,072 | - | - | 12,986 | - | - | 26,058 |
| **Total Special Payments** | **$82,641,964** | **-** | **$244,428,502** | **$1,528,701,545** | **-** | **-** | **$1,855,772,011** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 85,983,776 | - | 244,428,502 | 1,532,043,266 | - | - | 1,862,455,544 |
| **Total Expenditures** | **$85,983,776** | **-** | **$244,428,502** | **$1,532,043,266** | **-** | **-** | **$1,862,455,544** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | 1,460,515,333 | - | - | - | 1,460,515,333 |
| **Total Ending Balance** | **-** | **-** | **$1,460,515,333** | **-** | **-** | **-** | **$1,460,515,333** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 43 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **43** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 040 - Mandated Caseload**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 43.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **43.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 050 - Fundshifts**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 651,410,473 | - | - | - | - | - | 651,410,473 |
| Other Selective Taxes | - | - | (496,041,515) | - | - | - | (496,041,515) |
| Charges for Services | - | - | (4,545,503) | - | - | - | (4,545,503) |
| Other Revenues | - | - | (307,785,968) | - | - | - | (307,785,968) |
| Federal Funds | - | - | - | 283,375,010 | - | - | 283,375,010 |
| Tsfr From Revenue, Dept of | - | - | (89,806,505) | - | - | - | (89,806,505) |
| Tsfr From Consumer/Bus Svcs | - | - | (22,750,309) | - | - | - | (22,750,309) |
| Tsfr From Education, Dept of | - | - | (35,739) | - | - | - | (35,739) |
| **Total Revenues** | **$651,410,473** | **-** | **($920,965,539)** | **$283,375,010** | **-** | **-** | **$13,819,944** |
| **Transfers Out** | | | | | | | |
| Tsfr To Human Svcs, Dept of | - | - | 687,500 | - | - | - | 687,500 |
| **Total Transfers Out** | **-** | **-** | **$687,500** | **-** | **-** | **-** | **$687,500** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 559,768 | - | (568,544) | 8,776 | - | - | - |
| All Other Differential | 20,000 | - | (20,000) | - | - | - | - |
| Empl. Rel. Bd. Assessments | 241 | - | (244) | 3 | - | - | - |
| Public Employees' Retire Cont | 91,563 | - | (92,951) | 1,388 | - | - | - |
| Social Security Taxes | 44,355 | - | (45,027) | 672 | - | - | - |
| Worker's Comp. Assess. (WCD) | 424 | - | (432) | 8 | - | - | - |
| Mass Transit Tax | 2,971 | - | - | - | - | - | 2,971 |
| Flexible Benefits | 179,541 | - | (181,599) | 2,058 | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 050 - Fundshifts**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Personal Services** | | | | | | | |
| Reconciliation Adjustment | (4) | - | 4 | - | - | - | - |
| **Total Personal Services** | **$898,859** | **-** | **($908,793)** | **$12,905** | **-** | **-** | **$2,971** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 82,484 | - | (82,343) | 112 | - | - | 253 |
| Out of State Travel | 7,239 | - | (7,239) | - | - | - | - |
| Employee Training | 15,471 | - | (14,992) | 30 | - | - | 509 |
| Office Expenses | 87,833 | - | (30,880) | 276 | - | - | 57,229 |
| Telecommunications | 626,348 | - | (27,488) | 92 | - | - | 598,952 |
| State Gov. Service Charges | - | - | - | - | - | - | - |
| Data Processing | 114 | - | (394) | - | - | - | (280) |
| Publicity and Publications | 179,363 | - | (196,966) | - | - | - | (17,603) |
| Professional Services | 1,271,606 | - | (20,504) | (1,250,000) | - | - | 1,102 |
| IT Professional Services | 864 | - | (864) | - | - | - | - |
| Attorney General | 23,176 | - | (23,176) | - | - | - | - |
| Dues and Subscriptions | 67 | - | (67) | - | - | - | - |
| Facilities Rental and Taxes | 121,556 | - | (111,666) | 517 | - | - | 10,407 |
| Fuels and Utilities | 200 | - | (186) | - | - | - | 14 |
| Agency Program Related S and S | 5,206,260 | - | (5,206,260) | - | - | - | - |
| Other Services and Supplies | 106,795 | - | (809,325) | 19 | - | - | (702,511) |
| IT Expendable Property | 60,007 | - | (11,050) | - | - | - | 48,957 |
| **Total Services & Supplies** | **$7,789,383** | **-** | **($6,543,400)** | **($1,248,954)** | **-** | **-** | **($2,971)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 050 - Fundshifts**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Dist to Individuals | 642,686,474 | - | (927,297,533) | 284,611,059 | - | - | - |
| Other Special Payments | 18 | - | (18) | - | - | - | - |
| Spc Pmt to Education, Dept of | 35,739 | - | (35,739) | - | - | - | - |
| **Total Special Payments** | **$642,722,231** | **-** | **($927,333,290)** | **$284,611,059** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 651,410,473 | - | (934,785,483) | 283,375,010 | - | - | - |
| **Total Expenditures** | **$651,410,473** | **-** | **($934,785,483)** | **$283,375,010** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | 14,507,444 | - | - | - | 14,507,444 |
| **Total Ending Balance** | **-** | **-** | **$14,507,444** | **-** | **-** | **-** | **$14,507,444** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | (2,846) | - | - | - | (2,846) |
| **Total Revenues** | **-** | **-** | **($2,846)** | **-** | **-** | **-** | **($2,846)** |
| **Personal Services** | | | | | | | |
| Mass Transit Tax | - | - | (2,846) | - | - | - | (2,846) |
| **Total Personal Services** | **-** | **-** | **($2,846)** | **-** | **-** | **-** | **($2,846)** |
| **Services & Supplies** | | | | | | | |
| Professional Services | (210,869) | - | - | (210,851) | - | - | (421,720) |
| **Total Services & Supplies** | **($210,869)** | **-** | **-** | **($210,851)** | **-** | **-** | **($421,720)** |
| **Special Payments** | | | | | | | |
| Dist to Individuals | 210,869 | - | - | 210,851 | - | - | 421,720 |
| **Total Special Payments** | **$210,869** | **-** | **-** | **$210,851** | **-** | **-** | **$421,720** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (2,846) | - | - | - | (2,846) |
| **Total Expenditures** | **-** | **-** | **($2,846)** | **-** | **-** | **-** | **($2,846)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Beginning Balance** | | | | | | | |
| Beginning Balance Adjustment | - | - | 125,000,000 | - | - | - | 125,000,000 |
| **Total Beginning Balance** | **-** | **-** | **$125,000,000** | **-** | **-** | **-** | **$125,000,000** |
| **Revenues** | | | | | | | |
| General Fund Appropriation | (637,432,785) | - | - | - | - | - | (637,432,785) |
| Other Selective Taxes | - | - | 854,130,207 | - | - | - | 854,130,207 |
| Other Revenues | - | - | (27,605,257) | - | - | - | (27,605,257) |
| Federal Funds | - | - | - | 1,146,891,217 | - | - | 1,146,891,217 |
| Tsfr From Administrative Svcs | - | - | 137,000,000 | - | - | - | 137,000,000 |
| **Total Revenues** | **($637,432,785)** | **-** | **$963,524,950** | **$1,146,891,217** | **-** | **-** | **$1,472,983,382** |
| **Personal Services** | | | | | | | |
| Reconciliation Adjustment | (1,717,263) | - | - | (1,717,263) | - | - | (3,434,526) |
| **Total Personal Services** | **($1,717,263)** | **-** | **-** | **($1,717,263)** | **-** | **-** | **($3,434,526)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | (38,540) | - | - | (31,924) | - | - | (70,464) |
| Employee Training | (8,786) | - | - | (8,786) | - | - | (17,572) |
| Office Expenses | (79,189) | - | - | (79,166) | - | - | (158,355) |
| Telecommunications | (25,691) | - | - | (25,691) | - | - | (51,382) |
| State Gov. Service Charges | (460) | - | - | (460) | - | - | (920) |
| Professional Services | (600,000) | - | - | (600,000) | - | - | (1,200,000) |
| Facilities Rental and Taxes | (148,626) | - | - | (148,626) | - | - | (297,252) |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Other Services and Supplies | (5,520) | - | - | (5,520) | - | - | (11,040) |
| **Total Services & Supplies** | **($906,812)** | **-** | **-** | **($900,173)** | **-** | **-** | **($1,806,985)** |
| **Special Payments** | | | | | | | |
| Dist to Individuals | (634,808,710) | - | 1,088,524,950 | 1,149,508,653 | - | - | 1,603,224,893 |
| **Total Special Payments** | **($634,808,710)** | **-** | **$1,088,524,950** | **$1,149,508,653** | **-** | **-** | **$1,603,224,893** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (637,432,785) | - | 1,088,524,950 | 1,146,891,217 | - | - | 1,597,983,382 |
| **Total Expenditures** | **($637,432,785)** | **-** | **$1,088,524,950** | **$1,146,891,217** | **-** | **-** | **$1,597,983,382** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | (23) |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **(23)** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | (23.00) |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **(23.00)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 2,248,870 | - | - | - | - | - | 2,248,870 |
| Other Selective Taxes | - | - | (17,789,055) | - | - | - | (17,789,055) |
| Federal Funds | - | - | - | (52,934,562) | - | - | (52,934,562) |
| **Total Revenues** | **$2,248,870** | **-** | **($17,789,055)** | **($52,934,562)** | **-** | **-** | **($68,474,747)** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | (88,116) | - | - | (88,116) | - | - | (176,232) |
| All Other Differential | - | - | - | 4,678,386 | - | - | 4,678,386 |
| Empl. Rel. Bd. Assessments | (22) | - | - | (22) | - | - | (44) |
| Public Employees' Retire Cont | (13,913) | - | - | 724,803 | - | - | 710,890 |
| Social Security Taxes | (6,741) | - | - | 351,156 | - | - | 344,415 |
| Unemployment Assessments | - | - | - | 1 | - | - | 1 |
| Worker's Comp. Assess. (WCD) | (34) | - | - | (35) | - | - | (69) |
| Mass Transit Tax | (1) | - | - | - | - | - | (1) |
| Flexible Benefits | (15,264) | - | - | (15,264) | - | - | (30,528) |
| Reconciliation Adjustment | 1,717,263 | - | - | 1,717,263 | - | - | 3,434,526 |
| **Total Personal Services** | **$1,593,172** | **-** | **-** | **$7,368,172** | **-** | **-** | **$8,961,344** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 38,540 | - | - | 31,924 | - | - | 70,464 |
| Out of State Travel | (1,388) | - | - | (1,388) | - | - | (2,776) |
| Employee Training | 8,404 | - | - | 8,404 | - | - | 16,808 |
| Office Expenses | 76,548 | - | - | 76,525 | - | - | 153,073 |
| Telecommunications | 24,574 | - | - | 24,574 | - | - | 49,148 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| State Gov. Service Charges | 460 | - | - | 460 | - | - | 920 |
| Professional Services | 737,500 | - | - | 1,012,500 | - | - | 1,750,000 |
| IT Professional Services | - | - | - | 8,000,000 | - | - | 8,000,000 |
| Facilities Rental and Taxes | 148,626 | - | - | 148,626 | - | - | 297,252 |
| Other Services and Supplies | 5,280 | - | - | 5,280 | - | - | 10,560 |
| Expendable Prop 250 - 5000 | (230) | - | - | (229) | - | - | (459) |
| **Total Services & Supplies** | **$1,038,314** | **-** | **-** | **$9,306,676** | **-** | **-** | **$10,344,990** |
| **Special Payments** | | | | | | | |
| Dist to Individuals | (382,616) | - | (17,789,055) | (69,609,410) | - | - | (87,781,081) |
| Spc Pmt to Education, Dept of | - | - | 131,024 | - | - | - | 131,024 |
| **Total Special Payments** | **($382,616)** | **-** | **($17,658,031)** | **($69,609,410)** | **-** | **-** | **($87,650,057)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 2,248,870 | - | (17,658,031) | (52,934,562) | - | - | (68,343,723) |
| **Total Expenditures** | **$2,248,870** | **-** | **($17,658,031)** | **($52,934,562)** | **-** | **-** | **($68,343,723)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | (131,024) | - | - | - | (131,024) |
| **Total Ending Balance** | **-** | **-** | **($131,024)** | **-** | **-** | **-** | **($131,024)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 22 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **22** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 22.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **22.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 403 - Promote Innovative Health System Solutions**

**Cross Reference Name: Medical Assistance Programs**
**Cross Reference Number: 44300-020-01-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | - | - | - | - | - | - | - |
| Other Revenues | - | - | - | - | - | - | - |
| Federal Funds | - | - | - | - | - | - | - |
| Transfer In Other | - | - | - | - | - | - | - |
| **Total Revenues** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Dist to Individuals | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | - | - | - | - | - |
| **Total Expenditures** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012943 | OA C0856 AA | PROJECT MANAGER 3 | 1 | 1.00 | 24.00 | 02 | 5,028.00 | 60,336 | | 60,336 | | 120,672 |
| | | | | | | | | 29,462 | | 29,464 | | 58,926 |
| 1012944 | OA C0108 AA | ADMINISTRATIVE SPECIALIST 2 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| | | TOTAL PICS SALARY | | | | | | 94,812 | | 94,812 | | 189,624 |
| | | TOTAL PICS OPE | | | | | | 52,863 | | 52,867 | | 105,730 |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | 2 | 2.00 | 48.00 | | | 147,675 | | 147,679 | | 295,354 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012945 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012946 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012947 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012948 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012949 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012950 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012951 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012952 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012953 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012954 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012955 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012956 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012957 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012958 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012959 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012960 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012961 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012962 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012963 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012964 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012965 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012966 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012967 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012968 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1012969 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012970 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012971 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012972 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012973 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012974 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012975 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012976 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012977 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012978 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012979 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012980 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1012981 | MMS X7002 AA | PRINCIPAL EXECUTIVE/MANAGER B | 1 | 1.00 | 24.00 | 02 | 4,111.00 | 49,332 | | 49,332 | | 98,664 |
| | | | | | | | | 26,883 | | 26,885 | | 53,768 |
| 1012982 | MMS X7010 AA | PRINCIPAL EXECUTIVE/MANAGER F | 1 | 1.00 | 24.00 | 02 | 6,351.00 | 76,212 | | 76,212 | | 152,424 |
| | | | | | | | | 33,184 | | 33,185 | | 66,369 |
| 1012983 | OA C6660 AA | HUMAN SERVICES SPECIALIST 4 | 1 | 1.00 | 24.00 | 02 | 3,290.00 | 39,480 | | 39,480 | | 78,960 |
| | | | | | | | | 24,574 | | 24,576 | | 49,150 |
| 1012984 | OA C0870 AA | OPERATIONS & POLICY ANALYST 1 | 1 | 1.00 | 24.00 | 02 | 3,450.00 | 41,400 | | 41,400 | | 82,800 |
| | | | | | | | | 25,024 | | 25,025 | | 50,049 |
| 1012985 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | 57,492 | | 57,492 | | 114,984 |
| | | | | | | | | 28,796 | | 28,797 | | 57,593 |
| 1012986 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | 57,492 | | 57,492 | | 114,984 |
| | | | | | | | | 28,796 | | 28,797 | | 57,593 |
| 1012987 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | 57,492 | | 57,492 | | 114,984 |
| | | | | | | | | 28,796 | | 28,797 | | 57,593 |
| | | TOTAL PICS SALARY | | | | | | 1,556,964 | | 1,556,964 | | 3,113,928 |
| | | TOTAL PICS OPE | | | | | | 1,023,705 | | 1,023,786 | | 2,047,491 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 43 | 43.00 | 1032.00 | | | 2,580,669 | | 2,580,750 | | 5,161,419 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0787112 | OA C5247 AA | COMPLIANCE SPECIALIST 2 | 1- | 1.00- | 24.00- | 05 | 4,358.00 | 54,712- | 2,772- | 47,108- | | 104,592- |
| | | | | | | | | 28,852- | 1,462- | 24,843- | | 55,157- |
| 0787112 | OA C5247 AA | COMPLIANCE SPECIALIST 2 | 1 | 1.00 | 24.00 | 05 | 4,358.00 | 57,484 | | 47,108 | | 104,592 |
| | | | | | | | | 30,314 | | 24,843 | | 55,157 |
| 0799420 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1- | 1.00- | 24.00- | 03 | 5,028.00 | 64,523- | 3,198- | 52,951- | | 120,672- |
| | | | | | | | | 31,507- | 1,562- | 25,857- | | 58,926- |
| 0799420 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 03 | 5,028.00 | 67,721 | | 52,951 | | 120,672 |
| | | | | | | | | 33,069 | | 25,857 | | 58,926 |
| 1000148 | OA C0870 AA | OPERATIONS & POLICY ANALYST 1 | 1- | 1.00- | 24.00- | 04 | 3,781.00 | 47,468- | 2,405- | 40,871- | | 90,744- |
| | | | | | | | | 27,154- | 1,376- | 23,381- | | 51,911- |
| 1000148 | OA C0870 AA | OPERATIONS & POLICY ANALYST 1 | 1 | 1.00 | 24.00 | 04 | 3,781.00 | 49,873 | | 40,871 | | 90,744 |
| | | | | | | | | 28,530 | | 23,381 | | 51,911 |
| 1000275 | OA C5248 AA | COMPLIANCE SPECIALIST 3 | 1- | 1.00- | 24.00- | 06 | 5,529.00 | 33,174- | 26,539- | 72,983- | | 132,696- |
| | | | | | | | | 15,436- | 12,350- | 33,959- | | 61,745- |
| 1000275 | OA C5248 AA | COMPLIANCE SPECIALIST 3 | 1 | 1.00 | 24.00 | 06 | 5,529.00 | 35,828 | 23,885 | 72,983 | | 132,696 |
| | | | | | | | | 16,672 | 11,114 | 33,959 | | 61,745 |
| 1000297 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1- | .90- | 21.50- | 09 | 6,691.00 | 76,921- | 3,812- | 63,124- | | 143,857- |
| | | | | | | | | 34,414- | 1,706- | 28,241- | | 64,361- |
| 1000297 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | .90 | 21.50 | 09 | 6,691.00 | 80,733 | | 63,124 | | 143,857 |
| | | | | | | | | 36,120 | | 28,241 | | 64,361 |
| 1003453 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 34,103- | 1,909- | 36,012- | | 72,024- |
| | | | | | | | | 22,502- | 1,259- | 23,763- | | 47,524- |
| 1003453 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 36,012 | | 36,012 | | 72,024 |
| | | | | | | | | 23,761 | | 23,763 | | 47,524 |
| 1003454 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1- | 1.00- | 24.00- | 02 | 2,435.00 | 27,671- | 1,549- | 29,220- | | 58,440- |
| | | | | | | | | 20,994- | 1,175- | 22,171- | | 44,340- |
| 1003454 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1003455 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 34,103- | 1,909- | 36,012- | | 72,024- |
| | | | | | | | | 22,502- | 1,259- | 23,763- | | 47,524- |
| 1003455 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 36,012 | | 36,012 | | 72,024 |
| | | | | | | | | 23,761 | | 23,763 | | 47,524 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1003456 OA | C0323 AA | PUBLIC SERVICE REP 3 | 1- | 1.00- | 24.00- | 06 | 2,873.00 | 32,649- | 1,827- | 34,476- | | 68,952- |
| | | | | | | | | 22,160- | 1,241- | 23,403- | | 46,804- |
| 1003456 OA | C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 06 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1003457 OA | C0323 AA | PUBLIC SERVICE REP 3 | 1- | 1.00- | 24.00- | 09 | 3,290.00 | 37,388- | 2,092- | 39,480- | | 78,960- |
| | | | | | | | | 23,272- | 1,302- | 24,576- | | 49,150- |
| 1003457 OA | C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 09 | 3,290.00 | 39,480 | | 39,480 | | 78,960 |
| | | | | | | | | 24,574 | | 24,576 | | 49,150 |
| 1003458 OA | C0323 AA | PUBLIC SERVICE REP 3 | 1- | 1.00- | 24.00- | 03 | 2,538.00 | 28,842- | 1,614- | 30,456- | | 60,912- |
| | | | | | | | | 21,269- | 1,190- | 22,460- | | 44,919- |
| 1003458 OA | C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 03 | 2,538.00 | 30,456 | | 30,456 | | 60,912 |
| | | | | | | | | 22,459 | | 22,460 | | 44,919 |
| 1003459 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 45,161- | 2,527- | 47,688- | | 95,376- |
| | | | | | | | | 25,094- | 1,404- | 26,499- | | 52,997- |
| 1003459 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 47,688 | | 47,688 | | 95,376 |
| | | | | | | | | 26,498 | | 26,499 | | 52,997 |
| 1003460 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 32,649- | 1,827- | 34,476- | | 68,952- |
| | | | | | | | | 22,160- | 1,241- | 23,403- | | 46,804- |
| 1003460 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1003461 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 05 | 3,290.00 | 37,388- | 2,092- | 39,480- | | 78,960- |
| | | | | | | | | 23,272- | 1,302- | 24,576- | | 49,150- |
| 1003461 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 05 | 3,290.00 | 39,480 | | 39,480 | | 78,960 |
| | | | | | | | | 24,574 | | 24,576 | | 49,150 |
| 1003462 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 32,649- | 1,827- | 34,476- | | 68,952- |
| | | | | | | | | 22,160- | 1,241- | 23,403- | | 46,804- |
| 1003462 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476 | | 34,476 | | 68,952 |
| | | | | | | | | 23,401 | | 23,403 | | 46,804 |
| 1003463 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 08 | 3,781.00 | 42,967- | 2,405- | 45,372- | | 90,744- |
| | | | | | | | | 24,579- | 1,376- | 25,956- | | 51,911- |
| 1003463 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 08 | 3,781.00 | 45,372 | | 45,372 | | 90,744 |
| | | | | | | | | 25,955 | | 25,956 | | 51,911 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1003464 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 05 | 3,290.00 | 37,388- | 2,092- | 39,480- | | 78,960- |
| | | | | | | | | 23,272- | 1,302- | 24,576- | | 49,150- |
| 1003464 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 05 | 3,290.00 | 39,480 | | 39,480 | | 78,960 |
| | | | | | | | | 24,574 | | 24,576 | | 49,150 |
| 1003466 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1- | 1.00- | 24.00- | 05 | 2,756.00 | 31,319- | 1,753- | 33,072- | | 66,144- |
| | | | | | | | | 21,849- | 1,223- | 23,073- | | 46,145- |
| 1003466 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 05 | 2,756.00 | 33,072 | | 33,072 | | 66,144 |
| | | | | | | | | 23,072 | | 23,073 | | 46,145 |
| 1003467 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1- | 1.00- | 24.00- | 02 | 2,435.00 | 27,671- | 1,549- | 29,220- | | 58,440- |
| | | | | | | | | 20,994- | 1,175- | 22,171- | | 44,340- |
| 1003467 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 29,220 | | 29,220 | | 58,440 |
| | | | | | | | | 22,169 | | 22,171 | | 44,340 |
| 1003468 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 29,956- | 1,676- | 31,632- | | 63,264- |
| | | | | | | | | 21,529- | 1,205- | 22,736- | | 45,470- |
| 1003468 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 31,632 | | 31,632 | | 63,264 |
| | | | | | | | | 22,734 | | 22,736 | | 45,470 |
| 1003473 | MMS X7002 AA | PRINCIPAL EXECUTIVE/MANAGER B | 1- | 1.00- | 24.00- | 05 | 4,742.00 | 53,888- | 3,016- | 56,904- | | 113,808- |
| | | | | | | | | 27,139- | 1,519- | 28,659- | | 57,317- |
| 1003473 | MMS X7002 AA | PRINCIPAL EXECUTIVE/MANAGER B | 1 | 1.00 | 24.00 | 05 | 4,742.00 | 56,904 | | 56,904 | | 113,808 |
| | | | | | | | | 28,658 | | 28,659 | | 57,317 |
| 1003474 | MMS X7002 AA | PRINCIPAL EXECUTIVE/MANAGER B | 1- | 1.00- | 24.00- | 02 | 4,111.00 | 46,717- | 2,615- | 49,332- | | 98,664- |
| | | | | | | | | 25,458- | 1,425- | 26,885- | | 53,768- |
| 1003474 | MMS X7002 AA | PRINCIPAL EXECUTIVE/MANAGER B | 1 | 1.00 | 24.00 | 02 | 4,111.00 | 49,332 | | 49,332 | | 98,664 |
| | | | | | | | | 26,883 | | 26,885 | | 53,768 |
| 1003475 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1- | 1.00- | 24.00- | 05 | 5,529.00 | 62,832- | 3,516- | 66,348- | | 132,696- |
| | | | | | | | | 29,235- | 1,636- | 30,874- | | 61,745- |
| 1003475 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 05 | 5,529.00 | 66,348 | | 66,348 | | 132,696 |
| | | | | | | | | 30,871 | | 30,874 | | 61,745 |
| 1004891 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 05 | 3,290.00 | 37,388- | 2,092- | 39,480- | | 78,960- |
| | | | | | | | | 23,272- | 1,302- | 24,576- | | 49,150- |
| 1004891 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 05 | 3,290.00 | 39,480 | | 39,480 | | 78,960 |
| | | | | | | | | 24,574 | | 24,576 | | 49,150 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1004892 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 34,103-<br>22,502- | 1,909-<br>1,259- | 36,012-<br>23,763- | | 72,024-<br>47,524- |
| 1004892 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 36,012<br>23,761 | | 36,012<br>23,763 | | 72,024<br>47,524 |
| 1004893 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 06 | 3,450.00 | 39,206-<br>23,698- | 2,194-<br>1,326- | 41,400-<br>25,025- | | 82,800-<br>50,049- |
| 1004893 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 06 | 3,450.00 | 41,400<br>25,024 | | 41,400<br>25,025 | | 82,800<br>50,049 |
| 1004894 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 07 | 3,607.00 | 40,990-<br>24,115- | 2,294-<br>1,350- | 43,284-<br>25,468- | | 86,568-<br>50,933- |
| 1004894 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 07 | 3,607.00 | 43,284<br>25,465 | | 43,284<br>25,468 | | 86,568<br>50,933 |
| 1004895 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 06 | 3,450.00 | 39,206-<br>23,698- | 2,194-<br>1,326- | 41,400-<br>25,025- | | 82,800-<br>50,049- |
| 1004895 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 06 | 3,450.00 | 41,400<br>25,024 | | 41,400<br>25,025 | | 82,800<br>50,049 |
| 1004896 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 06 | 3,450.00 | 39,206-<br>23,698- | 2,194-<br>1,326- | 41,400-<br>25,025- | | 82,800-<br>50,049- |
| 1004896 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 06 | 3,450.00 | 41,400<br>25,024 | | 41,400<br>25,025 | | 82,800<br>50,049 |
| 1004897 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 06 | 3,450.00 | 39,206-<br>23,698- | 2,194-<br>1,326- | 41,400-<br>25,025- | | 82,800-<br>50,049- |
| 1004897 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 06 | 3,450.00 | 41,400<br>25,024 | | 41,400<br>25,025 | | 82,800<br>50,049 |
| 1004898 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 34,103-<br>22,502- | 1,909-<br>1,259- | 36,012-<br>23,763- | | 72,024-<br>47,524- |
| 1004898 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 36,012<br>23,761 | | 36,012<br>23,763 | | 72,024<br>47,524 |
| 1004899 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 32,649-<br>22,160- | 1,827-<br>1,241- | 34,476-<br>23,403- | | 68,952-<br>46,804- |
| 1004899 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476<br>23,401 | | 34,476<br>23,403 | | 68,952<br>46,804 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1004900 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 32,649-<br>22,160- | 1,827-<br>1,241- | 34,476-<br>23,403- | | 68,952-<br>46,804- |
| 1004900 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476<br>23,401 | | 34,476<br>23,403 | | 68,952<br>46,804 |
| 1004901 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 06 | 3,450.00 | 39,206-<br>23,698- | 2,194-<br>1,326- | 41,400-<br>25,025- | | 82,800-<br>50,049- |
| 1004901 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 06 | 3,450.00 | 41,400<br>25,024 | | 41,400<br>25,025 | | 82,800<br>50,049 |
| 1004902 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 34,103-<br>22,502- | 1,909-<br>1,259- | 36,012-<br>23,763- | | 72,024-<br>47,524- |
| 1004902 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 36,012<br>23,761 | | 36,012<br>23,763 | | 72,024<br>47,524 |
| 1004903 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 34,103-<br>22,502- | 1,909-<br>1,259- | 36,012-<br>23,763- | | 72,024-<br>47,524- |
| 1004903 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 36,012<br>23,761 | | 36,012<br>23,763 | | 72,024<br>47,524 |
| 1004904 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 32,649-<br>22,160- | 1,827-<br>1,241- | 34,476-<br>23,403- | | 68,952-<br>46,804- |
| 1004904 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476<br>23,401 | | 34,476<br>23,403 | | 68,952<br>46,804 |
| 1004905 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 32,649-<br>22,160- | 1,827-<br>1,241- | 34,476-<br>23,403- | | 68,952-<br>46,804- |
| 1004905 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 34,476<br>23,401 | | 34,476<br>23,403 | | 68,952<br>46,804 |
| 1004955 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1- | 1.00- | 24.00- | 06 | 2,873.00 | 32,649-<br>22,160- | 1,827-<br>1,241- | 34,476-<br>23,403- | | 68,952-<br>46,804- |
| 1004955 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 06 | 2,873.00 | 34,476<br>23,401 | | 34,476<br>23,403 | | 68,952<br>46,804 |
| 1004956 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 29,956-<br>21,529- | 1,676-<br>1,205- | 31,632-<br>22,736- | | 63,264-<br>45,470- |
| 1004956 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 31,632<br>22,734 | | 31,632<br>22,736 | | 63,264<br>45,470 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1004957 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1- | 1.00- | 24.00- | 08 | 3,139.00 | 35,672-<br>22,869- | 1,996-<br>1,280- | 37,668-<br>24,151- | | 75,336-<br>48,300- |
| 1004957 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 08 | 3,139.00 | 37,668<br>24,149 | | 37,668<br>24,151 | | 75,336<br>48,300 |
| 1004958 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1- | 1.00- | 24.00- | 09 | 3,290.00 | 37,388-<br>23,272- | 2,092-<br>1,302- | 39,480-<br>24,576- | | 78,960-<br>49,150- |
| 1004958 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 09 | 3,290.00 | 39,480<br>24,574 | | 39,480<br>24,576 | | 78,960<br>49,150 |
| 1004959 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1- | 1.00- | 24.00- | 09 | 3,290.00 | 37,388-<br>23,272- | 2,092-<br>1,302- | 39,480-<br>24,576- | | 78,960-<br>49,150- |
| 1004959 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 09 | 3,290.00 | 39,480<br>24,574 | | 39,480<br>24,576 | | 78,960<br>49,150 |
| 1004960 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 29,956-<br>21,529- | 1,676-<br>1,205- | 31,632-<br>22,736- | | 63,264-<br>45,470- |
| 1004960 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 31,632<br>22,734 | | 31,632<br>22,736 | | 63,264<br>45,470 |
| 1004961 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 29,956-<br>21,529- | 1,676-<br>1,205- | 31,632-<br>22,736- | | 63,264-<br>45,470- |
| 1004961 | OA C6657 AA | HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 31,632<br>22,734 | | 31,632<br>22,736 | | 63,264<br>45,470 |
| 1005768 | OA C0871 AA | OPERATIONS & POLICY ANALYST 2 | 1- | 1.00- | 24.00- | 04 | 4,569.00 | 37,667-<br>19,352- | 17,161-<br>8,819- | 54,828-<br>28,174- | | 109,656-<br>56,345- |
| 1005768 | OA C0871 AA | OPERATIONS & POLICY ANALYST 2 | 1 | 1.00 | 24.00 | 04 | 4,569.00 | 54,828<br>28,171 | | 54,828<br>28,174 | | 109,656<br>56,345 |
| 1005769 | OA C0871 AA | OPERATIONS & POLICY ANALYST 2 | 1- | 1.00- | 24.00- | 04 | 4,569.00 | 37,667-<br>19,352- | 17,161-<br>8,819- | 54,828-<br>28,174- | | 109,656-<br>56,345- |
| 1005769 | OA C0871 AA | OPERATIONS & POLICY ANALYST 2 | 1 | 1.00 | 24.00 | 04 | 4,569.00 | 54,828<br>28,171 | | 54,828<br>28,174 | | 109,656<br>56,345 |
| 1005770 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1- | 1.00- | 24.00- | 06 | 5,802.00 | 47,832-<br>21,735- | 21,792-<br>9,904- | 69,624-<br>31,641- | | 139,248-<br>63,280- |
| 1005770 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 06 | 5,802.00 | 69,624<br>31,639 | | 69,624<br>31,641 | | 139,248<br>63,280 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1007535 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1- | 1.00- | 24.00- | 09 | 6,691.00 | 55,161- | 25,131- | 80,292- | | 160,584- |
| | | | | | | | | 23,453- | 10,687- | 34,142- | | 68,282- |
| 1007535 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 09 | 6,691.00 | 80,292 | | 80,292 | | 160,584 |
| | | | | | | | | 34,140 | | 34,142 | | 68,282 |
| 1008338 | MMN X0872 | AA OPERATIONS & POLICY ANALYST 3 | 1- | 1.00- | 24.00- | 08 | 6,998.00 | | 83,976- | 83,976- | | 167,952- |
| | | | | | | | | | 35,005- | 35,004- | | 70,009- |
| 1008338 | MMN X0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 08 | 6,998.00 | 83,976 | | 83,976 | | 167,952 |
| | | | | | | | | 35,004 | | 35,005 | | 70,009 |
| 1008396 | OA C6657 | AA HUMAN SERVICES SPECIALIST 1 | 1- | 1.00- | 24.00- | 05 | 2,756.00 | 31,319- | 1,753- | 33,072- | | 66,144- |
| | | | | | | | | 21,849- | 1,223- | 23,073- | | 46,145- |
| 1008396 | OA C6657 | AA HUMAN SERVICES SPECIALIST 1 | 1 | 1.00 | 24.00 | 05 | 2,756.00 | 33,072 | | 33,072 | | 66,144 |
| | | | | | | | | 23,072 | | 23,073 | | 46,145 |
| 1008421 | OA C6660 | AA HUMAN SERVICES SPECIALIST 4 | 1- | 1.00- | 24.00- | 05 | 3,781.00 | 42,967- | 2,405- | 45,372- | | 90,744- |
| | | | | | | | | 24,579- | 1,376- | 25,956- | | 51,911- |
| 1008421 | OA C6660 | AA HUMAN SERVICES SPECIALIST 4 | 1 | 1.00 | 24.00 | 05 | 3,781.00 | 45,372 | | 45,372 | | 90,744 |
| | | | | | | | | 25,955 | | 25,956 | | 51,911 |
| 1008422 | OA C6660 | AA HUMAN SERVICES SPECIALIST 4 | 1- | 1.00- | 24.00- | 04 | 3,607.00 | 40,990- | 2,294- | 43,284- | | 86,568- |
| | | | | | | | | 24,115- | 1,350- | 25,468- | | 50,933- |
| 1008422 | OA C6660 | AA HUMAN SERVICES SPECIALIST 4 | 1 | 1.00 | 24.00 | 04 | 3,607.00 | 43,284 | | 43,284 | | 86,568 |
| | | | | | | | | 25,465 | | 25,468 | | 50,933 |
| 1008423 | OA C6660 | AA HUMAN SERVICES SPECIALIST 4 | 1- | 1.00- | 24.00- | 03 | 3,450.00 | 39,206- | 2,194- | 41,400- | | 82,800- |
| | | | | | | | | 23,698- | 1,326- | 25,025- | | 50,049- |
| 1008423 | OA C6660 | AA HUMAN SERVICES SPECIALIST 4 | 1 | 1.00 | 24.00 | 03 | 3,450.00 | 41,400 | | 41,400 | | 82,800 |
| | | | | | | | | 25,024 | | 25,025 | | 50,049 |
| 1410007 | OA C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1- | 1.00- | 24.00- | 06 | 5,028.00 | | 60,336- | 60,336- | | 120,672- |
| | | | | | | | | | 29,464- | 29,462- | | 58,926- |
| 1410007 | OA C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1 | 1.00 | 24.00 | 06 | 5,028.00 | 60,336 | | 60,336 | | 120,672 |
| | | | | | | | | 29,462 | | 29,464 | | 58,926 |
| 1410008 | OA C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1- | 1.00- | 24.00- | 08 | 5,529.00 | 58,055- | 8,293- | 66,348- | | 132,696- |
| | | | | | | | | 27,012- | 3,859- | 30,874- | | 61,745- |
| 1410008 | OA C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1 | 1.00 | 24.00 | 08 | 5,529.00 | 66,348 | | 66,348 | | 132,696 |
| | | | | | | | | 30,871 | | 30,874 | | 61,745 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1410010 | OA C0322 AA | PUBLIC SERVICE REP 2 | 1- | 1.00- | 24.00- | 03 | 2,268.00 | | 27,216- | 27,216- | | 54,432- |
| | | | | | | | | | 21,701- | 21,699- | | 43,400- |
| 1410010 | OA C0322 AA | PUBLIC SERVICE REP 2 | 1 | 1.00 | 24.00 | 03 | 2,268.00 | 27,216 | | 27,216 | | 54,432 |
| | | | | | | | | 21,699 | | 21,701 | | 43,400 |
| 1410118 | OA C0118 AA | EXECUTIVE SUPPORT SPECIALIST 1 | 1- | 1.00- | 24.00- | 09 | 3,607.00 | 37,874- | 5,410- | 43,284- | | 86,568- |
| | | | | | | | | 22,282- | 3,183- | 25,468- | | 50,933- |
| 1410118 | OA C0118 AA | EXECUTIVE SUPPORT SPECIALIST 1 | 1 | 1.00 | 24.00 | 09 | 3,607.00 | 43,284 | | 43,284 | | 86,568 |
| | | | | | | | | 25,465 | | 25,468 | | 50,933 |
| 1410123 | OA C0324 AA | PUBLIC SERVICE REP 4 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 23,844- | 23,844- | 47,688- | | 95,376- |
| | | | | | | | | 13,249- | 13,249- | 26,499- | | 52,997- |
| 1410123 | OA C0324 AA | PUBLIC SERVICE REP 4 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 47,688 | | 47,688 | | 95,376 |
| | | | | | | | | 26,498 | | 26,499 | | 52,997 |
| 2100113 | OA C0107 AA | ADMINISTRATIVE SPECIALIST 1 | 1- | 1.00- | 24.00- | 07 | 3,290.00 | 27,123- | 12,357- | 39,480- | | 78,960- |
| | | | | | | | | 16,882- | 7,692- | 24,576- | | 49,150- |
| 2100113 | OA C0107 AA | ADMINISTRATIVE SPECIALIST 1 | 1 | 1.00 | 24.00 | 07 | 3,290.00 | 39,480 | | 39,480 | | 78,960 |
| | | | | | | | | 24,574 | | 24,576 | | 49,150 |
| 4000392 | OA C5247 AA | COMPLIANCE SPECIALIST 2 | 1- | 1.00- | 24.00- | 07 | 4,791.00 | 60,148- | 3,047- | 51,789- | | 114,984- |
| | | | | | | | | 30,126- | 1,527- | 25,940- | | 57,593- |
| 4000392 | OA C5247 AA | COMPLIANCE SPECIALIST 2 | 1 | 1.00 | 24.00 | 07 | 4,791.00 | 63,195 | | 51,789 | | 114,984 |
| | | | | | | | | 31,653 | | 25,940 | | 57,593 |
| 6100419 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 04 | 3,139.00 | 39,409- | 1,996- | 33,931- | | 75,336- |
| | | | | | | | | 25,265- | 1,280- | 21,755- | | 48,300- |
| 6100419 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 04 | 3,139.00 | 41,405 | | 33,931 | | 75,336 |
| | | | | | | | | 26,545 | | 21,755 | | 48,300 |
| 6600191 | OA C0324 AA | PUBLIC SERVICE REP 4 | 1- | 1.00- | 24.00- | 06 | 3,450.00 | 20,700- | 20,700- | 41,400- | | 82,800- |
| | | | | | | | | 12,511- | 12,513- | 25,025- | | 50,049- |
| 6600191 | OA C0324 AA | PUBLIC SERVICE REP 4 | 1 | 1.00 | 24.00 | 06 | 3,450.00 | 41,400 | | 41,400 | | 82,800 |
| | | | | | | | | 25,024 | | 25,025 | | 50,049 |
| 7400413 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 37,676- | 1,908- | 32,440- | | 72,024- |
| | | | | | | | | 24,859- | 1,260- | 21,405- | | 47,524- |
| 7400413 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 39,584 | | 32,440 | | 72,024 |
| | | | | | | | | 26,119 | | 21,405 | | 47,524 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 7400416 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 05 | 3,290.00 | 41,304- | 2,092- | 35,564- | | 78,960- |
| | | | | | | | | 25,710- | 1,302- | 22,138- | | 49,150- |
| 7400416 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 05 | 3,290.00 | 43,396 | | 35,564 | | 78,960 |
| | | | | | | | | 27,012 | | 22,138 | | 49,150 |
| 9227850 | MMS X7008 AA | PRINCIPAL EXECUTIVE/MANAGER E | 1- | 1.00- | 24.00- | 07 | 7,343.00 | 90,495- | 4,670- | 81,067- | | 176,232- |
| | | | | | | | | 36,946- | 1,907- | 33,097- | | 71,950- |
| 9227850 | MMS X7008 AA | PRINCIPAL EXECUTIVE/MANAGER E | 1 | 1.00 | 24.00 | 07 | 7,343.00 | 88,116 | | 88,116 | | 176,232 |
| | | | | | | | | 35,974 | | 35,976 | | 71,950 |
| 9400070 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | .88- | 21.00- | 03 | 3,001.00 | 34,693- | 1,670- | 26,658- | | 63,021- |
| | | | | | | | | 25,000- | 1,204- | 19,209- | | 45,413- |
| 9400070 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | .88 | 21.00 | 03 | 3,001.00 | 34,636 | | 28,385 | | 63,021 |
| | | | | | | | | 24,959 | | 20,454 | | 45,413 |
| 9400217 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 37,676- | 1,908- | 32,440- | | 72,024- |
| | | | | | | | | 24,859- | 1,260- | 21,405- | | 47,524- |
| 9400217 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 39,584 | | 32,440 | | 72,024 |
| | | | | | | | | 26,119 | | 21,405 | | 47,524 |
| 9400356 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892- | 2,527- | 42,957- | | 95,376- |
| | | | | | | | | 27,723- | 1,404- | 23,870- | | 52,997- |
| 9400356 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419 | | 42,957 | | 95,376 |
| | | | | | | | | 29,127 | | 23,870 | | 52,997 |
| 9400501 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 08 | 3,781.00 | 47,468- | 2,405- | 40,871- | | 90,744- |
| | | | | | | | | 27,154- | 1,376- | 23,381- | | 51,911- |
| 9400501 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 08 | 3,781.00 | 49,873 | | 40,871 | | 90,744 |
| | | | | | | | | 28,530 | | 23,381 | | 51,911 |
| 9400635 | MMS X7002 AA | PRINCIPAL EXECUTIVE/MANAGER B | 1- | 1.00- | 24.00- | 04 | 4,518.00 | 56,721- | 2,873- | 48,838- | | 108,432- |
| | | | | | | | | 29,323- | 1,486- | 25,248- | | 56,057- |
| 9400635 | MMS X7002 AA | PRINCIPAL EXECUTIVE/MANAGER B | 1 | 1.00 | 24.00 | 04 | 4,518.00 | 59,594 | | 48,838 | | 108,432 |
| | | | | | | | | 30,809 | | 25,248 | | 56,057 |
| 9402995 | OA C0870 AA | OPERATIONS & POLICY ANALYST 1 | 1- | 1.00- | 24.00- | 07 | 4,358.00 | 54,712- | 2,772- | 47,108- | | 104,592- |
| | | | | | | | | 28,852- | 1,462- | 24,843- | | 55,157- |
| 9402995 | OA C0870 AA | OPERATIONS & POLICY ANALYST 1 | 1 | 1.00 | 24.00 | 07 | 4,358.00 | 57,484 | | 47,108 | | 104,592 |
| | | | | | | | | 30,314 | | 24,843 | | 55,157 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9404708 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 05 | 3,290.00 | 41,304- | 2,092- | 35,564- | | 78,960- |
| | | | | | | | | 25,710- | 1,302- | 22,138- | | 49,150- |
| 9404708 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 05 | 3,290.00 | 43,396 | | 35,564 | | 78,960 |
| | | | | | | | | 27,012 | | 22,138 | | 49,150 |
| 9404729 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 37,676- | 1,908- | 32,440- | | 72,024- |
| | | | | | | | | 24,859- | 1,260- | 21,405- | | 47,524- |
| 9404729 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 39,584 | | 32,440 | | 72,024 |
| | | | | | | | | 26,119 | | 21,405 | | 47,524 |
| 9405111 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1- | .88- | 21.00- | 07 | 3,001.00 | 32,966- | 1,670- | 28,385- | | 63,021- |
| | | | | | | | | 23,755- | 1,204- | 20,454- | | 45,413- |
| 9405111 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | .88 | 21.00 | 07 | 3,001.00 | 34,636 | | 28,385 | | 63,021 |
| | | | | | | | | 24,959 | | 20,454 | | 45,413 |
| 9406679 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 08 | 3,781.00 | 47,468- | 2,405- | 40,871- | | 90,744- |
| | | | | | | | | 27,154- | 1,376- | 23,381- | | 51,911- |
| 9406679 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 08 | 3,781.00 | 49,873 | | 40,871 | | 90,744 |
| | | | | | | | | 28,530 | | 23,381 | | 51,911 |
| 9406709 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 05 | 2,756.00 | 34,600- | 1,753- | 29,791- | | 66,144- |
| | | | | | | | | 24,138- | 1,223- | 20,784- | | 46,145- |
| 9406709 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 05 | 2,756.00 | 36,353 | | 29,791 | | 66,144 |
| | | | | | | | | 25,361 | | 20,784 | | 46,145 |
| 9408041 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892- | 2,527- | 42,957- | | 95,376- |
| | | | | | | | | 27,723- | 1,404- | 23,870- | | 52,997- |
| 9408041 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419 | | 42,957 | | 95,376 |
| | | | | | | | | 29,127 | | 23,870 | | 52,997 |
| 9408044 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 05 | 3,290.00 | 41,304- | 2,092- | 35,564- | | 78,960- |
| | | | | | | | | 25,710- | 1,302- | 22,138- | | 49,150- |
| 9408044 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 05 | 3,290.00 | 43,396 | | 35,564 | | 78,960 |
| | | | | | | | | 27,012 | | 22,138 | | 49,150 |
| 9408086 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892- | 2,527- | 42,957- | | 95,376- |
| | | | | | | | | 27,723- | 1,404- | 23,870- | | 52,997- |
| 9408086 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419 | | 42,957 | | 95,376 |
| | | | | | | | | 29,127 | | 23,870 | | 52,997 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9408159 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892- | 2,527- | 42,957- | | 95,376- |
| | | | | | | | | 27,723- | 1,404- | 23,870- | | 52,997- |
| 9408159 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419 | | 42,957 | | 95,376 |
| | | | | | | | | 29,127 | | 23,870 | | 52,997 |
| 9409229 | OA C0104 | AA OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 05 | 2,756.00 | 34,600- | 1,753- | 29,791- | | 66,144- |
| | | | | | | | | 24,138- | 1,223- | 20,784- | | 46,145- |
| 9409229 | OA C0104 | AA OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 05 | 2,756.00 | 36,353 | | 29,791 | | 66,144 |
| | | | | | | | | 25,361 | | 20,784 | | 46,145 |
| 9409780 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 05 | 3,290.00 | 41,304- | 2,092- | 35,564- | | 78,960- |
| | | | | | | | | 25,710- | 1,302- | 22,138- | | 49,150- |
| 9409780 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 05 | 3,290.00 | 43,396 | | 35,564 | | 78,960 |
| | | | | | | | | 27,012 | | 22,138 | | 49,150 |
| 9409783 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 37,676- | 1,908- | 32,440- | | 72,024- |
| | | | | | | | | 24,859- | 1,260- | 21,405- | | 47,524- |
| 9409783 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 39,584 | | 32,440 | | 72,024 |
| | | | | | | | | 26,119 | | 21,405 | | 47,524 |
| 9409789 | OA C0104 | AA OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 06 | 2,873.00 | 36,069- | 1,827- | 31,056- | | 68,952- |
| | | | | | | | | 24,482- | 1,241- | 21,081- | | 46,804- |
| 9409789 | OA C0104 | AA OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 06 | 2,873.00 | 37,896 | | 31,056 | | 68,952 |
| | | | | | | | | 25,723 | | 21,081 | | 46,804 |
| 9409968 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 08 | 3,781.00 | 47,468- | 2,405- | 40,871- | | 90,744- |
| | | | | | | | | 27,154- | 1,376- | 23,381- | | 51,911- |
| 9409968 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 08 | 3,781.00 | 49,873 | | 40,871 | | 90,744 |
| | | | | | | | | 28,530 | | 23,381 | | 51,911 |
| 9409974 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 37,676- | 1,908- | 32,440- | | 72,024- |
| | | | | | | | | 24,859- | 1,260- | 21,405- | | 47,524- |
| 9409974 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 39,584 | | 32,440 | | 72,024 |
| | | | | | | | | 26,119 | | 21,405 | | 47,524 |
| 9409975 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1- | .88- | 21.00- | 04 | 3,139.00 | 34,482- | 1,747- | 29,690- | | 65,919- |
| | | | | | | | | 24,111- | 1,222- | 20,760- | | 46,093- |
| 9409975 | OA C6659 | AA HUMAN SERVICES SPECIALIST 3 | 1 | .88 | 21.00 | 04 | 3,139.00 | 36,229 | | 29,690 | | 65,919 |
| | | | | | | | | 25,333 | | 20,760 | | 46,093 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9409984 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 07 | 3,607.00 | 45,284- | 2,294- | 38,990- | | 86,568- |
| | | | | | | | | 26,642- | 1,350- | 22,941- | | 50,933- |
| 9409984 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 07 | 3,607.00 | 47,578 | | 38,990 | | 86,568 |
| | | | | | | | | 27,992 | | 22,941 | | 50,933 |
| 9409987 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 36,069- | 1,827- | 31,056- | | 68,952- |
| | | | | | | | | 24,482- | 1,241- | 21,081- | | 46,804- |
| 9409987 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 37,896 | | 31,056 | | 68,952 |
| | | | | | | | | 25,723 | | 21,081 | | 46,804 |
| 9409995 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 36,069- | 1,827- | 31,056- | | 68,952- |
| | | | | | | | | 24,482- | 1,241- | 21,081- | | 46,804- |
| 9409995 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 37,896 | | 31,056 | | 68,952 |
| | | | | | | | | 25,723 | | 21,081 | | 46,804 |
| 9409998 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 36,069- | 1,827- | 31,056- | | 68,952- |
| | | | | | | | | 24,482- | 1,241- | 21,081- | | 46,804- |
| 9409998 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 37,896 | | 31,056 | | 68,952 |
| | | | | | | | | 25,723 | | 21,081 | | 46,804 |
| 9409999 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 07 | 3,607.00 | 45,284- | 2,294- | 38,990- | | 86,568- |
| | | | | | | | | 26,642- | 1,350- | 22,941- | | 50,933- |
| 9409999 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 07 | 3,607.00 | 47,578 | | 38,990 | | 86,568 |
| | | | | | | | | 27,992 | | 22,941 | | 50,933 |
| 9410162 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1- | 1.00- | 24.00- | 06 | 2,873.00 | 36,069- | 1,827- | 31,056- | | 68,952- |
| | | | | | | | | 24,482- | 1,241- | 21,081- | | 46,804- |
| 9410162 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 06 | 2,873.00 | 37,896 | | 31,056 | | 68,952 |
| | | | | | | | | 25,723 | | 21,081 | | 46,804 |
| 9410185 | MMS X7002 AA | PRINCIPAL EXECUTIVE/MANAGER B | 1- | 1.00- | 24.00- | 03 | 4,305.00 | 54,047- | 2,738- | 46,535- | | 103,320- |
| | | | | | | | | 28,697- | 1,453- | 24,709- | | 54,859- |
| 9410185 | MMS X7002 AA | PRINCIPAL EXECUTIVE/MANAGER B | 1 | 1.00 | 24.00 | 03 | 4,305.00 | 56,785 | | 46,535 | | 103,320 |
| | | | | | | | | 30,150 | | 24,709 | | 54,859 |
| 9410466 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | .88- | 21.00- | 03 | 3,001.00 | 32,966- | 1,670- | 28,385- | | 63,021- |
| | | | | | | | | 23,755- | 1,204- | 20,454- | | 45,413- |
| 9410466 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | .88 | 21.00 | 03 | 3,001.00 | 34,636 | | 28,385 | | 63,021 |
| | | | | | | | | 24,959 | | 20,454 | | 45,413 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9410545 | OA C0860 AA | PROGRAM ANALYST 1 | 1- | 1.00- | 24.00- | 08 | 4,569.00 | 57,361- | 2,906- | 49,389- | | 109,656- |
| | | | | | | | | 29,473- | 1,494- | 25,378- | | 56,345- |
| 9410545 | OA C0860 AA | PROGRAM ANALYST 1 | 1 | 1.00 | 24.00 | 08 | 4,569.00 | 60,267 | | 49,389 | | 109,656 |
| | | | | | | | | 30,967 | | 25,378 | | 56,345 |
| 9410654 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892- | 2,527- | 42,957- | | 95,376- |
| | | | | | | | | 27,723- | 1,404- | 23,870- | | 52,997- |
| 9410654 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419 | | 42,957 | | 95,376 |
| | | | | | | | | 29,127 | | 23,870 | | 52,997 |
| 9410656 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 36,069- | 1,827- | 31,056- | | 68,952- |
| | | | | | | | | 24,482- | 1,241- | 21,081- | | 46,804- |
| 9410656 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 37,896 | | 31,056 | | 68,952 |
| | | | | | | | | 25,723 | | 21,081 | | 46,804 |
| 9410658 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 05 | 3,290.00 | 41,304- | 2,092- | 35,564- | | 78,960- |
| | | | | | | | | 25,710- | 1,302- | 22,138- | | 49,150- |
| 9410658 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 05 | 3,290.00 | 43,396 | | 35,564 | | 78,960 |
| | | | | | | | | 27,012 | | 22,138 | | 49,150 |
| 9410659 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 36,069- | 1,827- | 31,056- | | 68,952- |
| | | | | | | | | 24,482- | 1,241- | 21,081- | | 46,804- |
| 9410659 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 37,896 | | 31,056 | | 68,952 |
| | | | | | | | | 25,723 | | 21,081 | | 46,804 |
| 9410664 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 36,069- | 1,827- | 31,056- | | 68,952- |
| | | | | | | | | 24,482- | 1,241- | 21,081- | | 46,804- |
| 9410664 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 37,896 | | 31,056 | | 68,952 |
| | | | | | | | | 25,723 | | 21,081 | | 46,804 |
| 9410685 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 02 | 2,435.00 | 30,570- | 1,549- | 26,321- | | 58,440- |
| | | | | | | | | 23,194- | 1,175- | 19,971- | | 44,340- |
| 9410685 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 32,119 | | 26,321 | | 58,440 |
| | | | | | | | | 24,369 | | 19,971 | | 44,340 |
| 9410686 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 33,094- | 1,676- | 28,494- | | 63,264- |
| | | | | | | | | 23,785- | 1,205- | 20,480- | | 45,470- |
| 9410686 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 34,770 | | 28,494 | | 63,264 |
| | | | | | | | | 24,990 | | 20,480 | | 45,470 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9410687 OA | C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 07 | 3,001.00 | 37,676-<br>24,859- | 1,908-<br>1,260- | 32,440-<br>21,405- | | 72,024-<br>47,524- |
| 9410687 OA | C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 07 | 3,001.00 | 39,584<br>26,119 | | 32,440<br>21,405 | | 72,024<br>47,524 |
| 9410688 OA | C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 33,094-<br>23,785- | 1,676-<br>1,205- | 28,494-<br>20,480- | | 63,264-<br>45,470- |
| 9410688 OA | C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 34,770<br>24,990 | | 28,494<br>20,480 | | 63,264<br>45,470 |
| 9410701 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892-<br>27,723- | 2,527-<br>1,404- | 42,957-<br>23,870- | | 95,376-<br>52,997- |
| 9410701 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419<br>29,127 | | 42,957<br>23,870 | | 95,376<br>52,997 |
| 9410702 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 37,676-<br>24,859- | 1,908-<br>1,260- | 32,440-<br>21,405- | | 72,024-<br>47,524- |
| 9410702 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 39,584<br>26,119 | | 32,440<br>21,405 | | 72,024<br>47,524 |
| 9410703 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892-<br>27,723- | 2,527-<br>1,404- | 42,957-<br>23,870- | | 95,376-<br>52,997- |
| 9410703 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419<br>29,127 | | 42,957<br>23,870 | | 95,376<br>52,997 |
| 9410706 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892-<br>27,723- | 2,527-<br>1,404- | 42,957-<br>23,870- | | 95,376-<br>52,997- |
| 9410706 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419<br>29,127 | | 42,957<br>23,870 | | 95,376<br>52,997 |
| 9410708 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 36,069-<br>24,482- | 1,827-<br>1,241- | 31,056-<br>21,081- | | 68,952-<br>46,804- |
| 9410708 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 37,896<br>25,723 | | 31,056<br>21,081 | | 68,952<br>46,804 |
| 9410712 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892-<br>27,723- | 2,527-<br>1,404- | 42,957-<br>23,870- | | 95,376-<br>52,997- |
| 9410712 OA | C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419<br>29,127 | | 42,957<br>23,870 | | 95,376<br>52,997 |

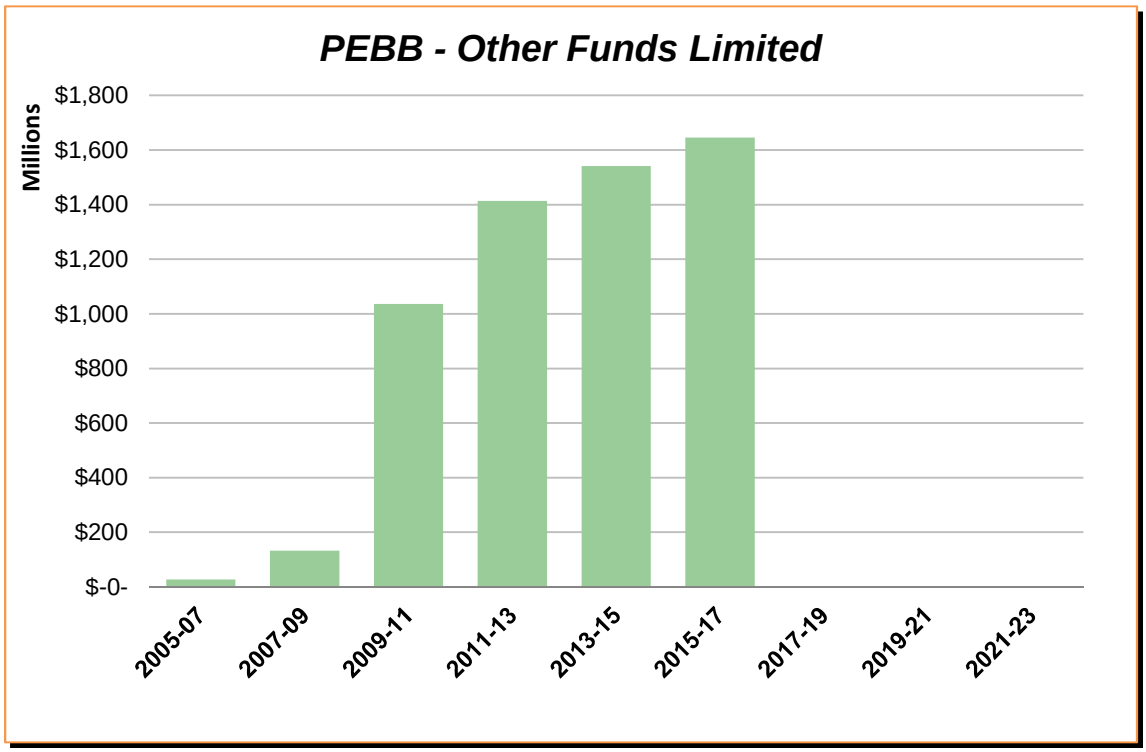
| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9410758 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1- | 1.00- | 24.00- | 05 | 2,756.00 | 34,600-<br>24,138- | 1,753-<br>1,223- | 29,791-<br>20,784- | | 66,144-<br>46,145- |
| 9410758 | OA C0323 AA | PUBLIC SERVICE REP 3 | 1 | 1.00 | 24.00 | 05 | 2,756.00 | 36,353<br>25,361 | | 29,791<br>20,784 | | 66,144<br>46,145 |
| 9410759 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 02 | 2,435.00 | 30,570-<br>23,194- | 1,549-<br>1,175- | 26,321-<br>19,971- | | 58,440-<br>44,340- |
| 9410759 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 02 | 2,435.00 | 32,119<br>24,369 | | 26,321<br>19,971 | | 58,440<br>44,340 |
| 9410760 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 33,094-<br>23,785- | 1,676-<br>1,205- | 28,494-<br>20,480- | | 63,264-<br>45,470- |
| 9410760 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 34,770<br>24,990 | | 28,494<br>20,480 | | 63,264<br>45,470 |
| 9410761 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 33,094-<br>23,785- | 1,676-<br>1,205- | 28,494-<br>20,480- | | 63,264-<br>45,470- |
| 9410761 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 34,770<br>24,990 | | 28,494<br>20,480 | | 63,264<br>45,470 |
| 9410770 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 33,094-<br>23,785- | 1,676-<br>1,205- | 28,494-<br>20,480- | | 63,264-<br>45,470- |
| 9410770 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 34,770<br>24,990 | | 28,494<br>20,480 | | 63,264<br>45,470 |
| 9410834 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 06 | 3,450.00 | 43,313-<br>26,180- | 2,194-<br>1,326- | 37,293-<br>22,543- | | 82,800-<br>50,049- |
| 9410834 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 06 | 3,450.00 | 45,507<br>27,506 | | 37,293<br>22,543 | | 82,800<br>50,049 |
| 9410838 | OA C0108 AA | ADMINISTRATIVE SPECIALIST 2 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 36,069-<br>24,482- | 1,827-<br>1,241- | 31,056-<br>21,081- | | 68,952-<br>46,804- |
| 9410838 | OA C0108 AA | ADMINISTRATIVE SPECIALIST 2 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 37,896<br>25,723 | | 31,056<br>21,081 | | 68,952<br>46,804 |
| 9410842 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892-<br>27,723- | 2,527-<br>1,404- | 42,957-<br>23,870- | | 95,376-<br>52,997- |
| 9410842 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419<br>29,127 | | 42,957<br>23,870 | | 95,376<br>52,997 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9410844 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 37,676- | 1,908- | 32,440- | | 72,024- |
| | | | | | | | | 24,859- | 1,260- | 21,405- | | 47,524- |
| 9410844 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 39,584 | | 32,440 | | 72,024 |
| | | | | | | | | 26,119 | | 21,405 | | 47,524 |
| 9410847 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892- | 2,527- | 42,957- | | 95,376- |
| | | | | | | | | 27,723- | 1,404- | 23,870- | | 52,997- |
| 9410847 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419 | | 42,957 | | 95,376 |
| | | | | | | | | 29,127 | | 23,870 | | 52,997 |
| 9410848 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | .88- | 21.00- | 02 | 2,873.00 | 31,560- | 1,599- | 27,174- | | 60,333- |
| | | | | | | | | 23,426- | 1,186- | 20,171- | | 44,783- |
| 9410848 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | .88 | 21.00 | 02 | 2,873.00 | 33,159 | | 27,174 | | 60,333 |
| | | | | | | | | 24,612 | | 20,171 | | 44,783 |
| 9410849 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 03 | 3,001.00 | 37,676- | 1,908- | 32,440- | | 72,024- |
| | | | | | | | | 24,859- | 1,260- | 21,405- | | 47,524- |
| 9410849 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 03 | 3,001.00 | 39,584 | | 32,440 | | 72,024 |
| | | | | | | | | 26,119 | | 21,405 | | 47,524 |
| 9410853 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 02 | 2,873.00 | 36,069- | 1,827- | 31,056- | | 68,952- |
| | | | | | | | | 24,482- | 1,241- | 21,081- | | 46,804- |
| 9410853 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | 37,896 | | 31,056 | | 68,952 |
| | | | | | | | | 25,723 | | 21,081 | | 46,804 |
| 9410891 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | 49,892- | 2,527- | 42,957- | | 95,376- |
| | | | | | | | | 27,723- | 1,404- | 23,870- | | 52,997- |
| 9410891 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | 52,419 | | 42,957 | | 95,376 |
| | | | | | | | | 29,127 | | 23,870 | | 52,997 |
| 9410895 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1- | 1.00- | 24.00- | 08 | 3,781.00 | 47,468- | 2,405- | 40,871- | | 90,744- |
| | | | | | | | | 27,154- | 1,376- | 23,381- | | 51,911- |
| 9410895 | OA C6659 AA | HUMAN SERVICES SPECIALIST 3 | 1 | 1.00 | 24.00 | 08 | 3,781.00 | 49,873 | | 40,871 | | 90,744 |
| | | | | | | | | 28,530 | | 23,381 | | 51,911 |
| | | TOTAL PICS SALARY | | | | | | 559,768 | 568,544- | 8,776 | | |
| | | TOTAL PICS OPE | | | | | | 311,432 | 315,561- | 4,129 | | |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | | .00 | .00 | | | 871,200 | 884,105- | 12,905 | | |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012593 | MMN X0866 AA | PUBLIC AFFAIRS SPECIALIST 3 | 1- | 1.00- | 24.00- | 08 | 7,343.00 | 88,116- | | 88,116- | | 176,232- |
| | | | | | | | | 35,974- | | 35,976- | | 71,950- |
| | | TOTAL PICS SALARY | | | | | | 88,116- | | 88,116- | | 176,232- |
| | | TOTAL PICS OPE | | | | | | 35,974- | | 35,976- | | 71,950- |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | 1- | 1.00- | 24.00- | | | 124,090- | | 124,092- | | 248,182- |

# Oregon Health Authority: Public Employees' Benefit Board

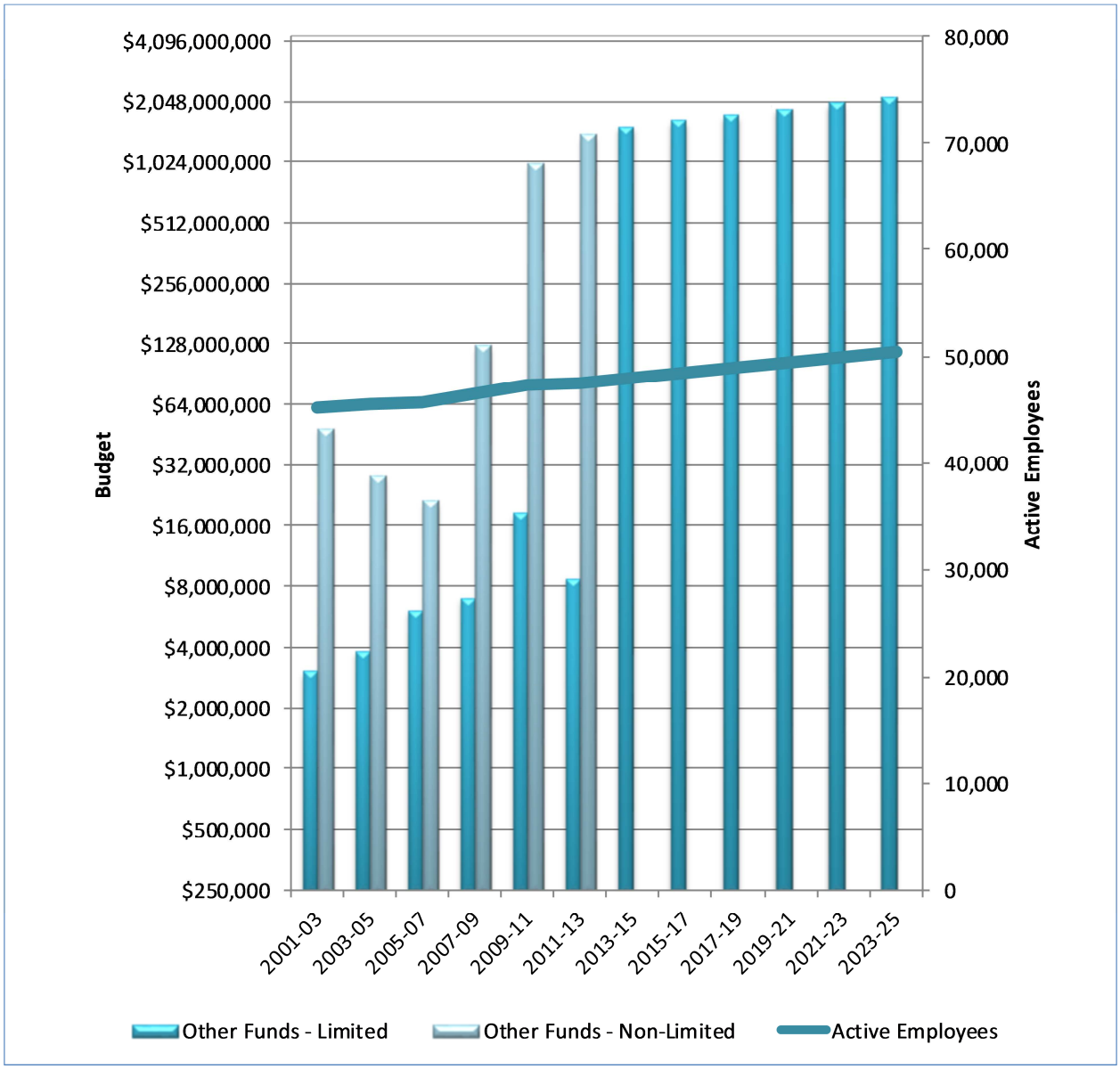
Primary Outcome Area: Healthy People
Secondary Outcome Area: Livable Communities
Program Contact: Kathy Loretz, Deputy Administrator
503-373-0800




## EXECUTIVE SUMMARY

The Public Employees' Benefit Board (PEBB) provides high-quality medical, dental, vision, life, disability, accidental death and dismemberment, and long-term-care insurance benefit options at a cost affordable to employees and the state. Insurance benefits are a part of employees' total compensation package and an important tool in hiring and retaining quality personnel.

# PROGRAM FUNDING REQUEST




The 2015-17 PEBB Agency Request Budget includes all program expenditures, both from self-insured and fully insured plans. All expenditures are budgeted as Other Funds Limited. In the past the PEBB budget has reflected only the self-insured benefit costs as Other Funds Nonlimited, and Operations expenditures as Other Funds Limited. PEBB expenditure growth was capped at 3.4% annually on a Per Employee-Per-Month basis in the 2013 Legislative Session. The 2015-17 Agency Request Budget for PEBB was built using these same assumptions.

## PROGRAM DESCRIPTION

The Public Employees' Benefit Board (PEBB) designs, contracts for and administers health plans, group insurance policies and flexible spending accounts for state employees and their dependents, representing over 130,000 Oregonians. PEBB is funded with Other Funds through premiums collected for all insured individuals. Premiums are collected from agencies, universities, and self-pay members to directly cover the costs of the plans. Agencies, as the employer, pay the majority of the premiums from the available revenue source for employees, which is comprised of General Fund, Other Funds, and Federal Funds.

Instead of responding to increasing cost trends with one of the conventional approaches to controlling health care spending—reducing provider payments, changing covered benefits or shifting costs to members— PEBB is moving down a new pathway with its new health care partners: transform the delivery system for better efficiency, value and health outcomes. The health care system needs to deliver care with state employees and their families at the center.

For its members, PEBB seeks optimal health through a coordinated and effective system of care that is:

- Patient-centered;
- Focused on wellness;
- Efficient, accessible and affordable.

PEBB takes an integrated approach to health by treating the whole person. The system emphasizes the relationship among patients and providers, their community and primary care.

PEBB serves its members and customers through six central functions:

- Financial oversight of PEBB accounts, including the Revolving Fund and its subaccounts;
- Program development by collaborating with agencies, local governments, universities, health plans and other benefit purchasers to design programs that align with the PEBB vision;
- Regulatory compliance to ensure benefit programs meet all state and federal regulations;

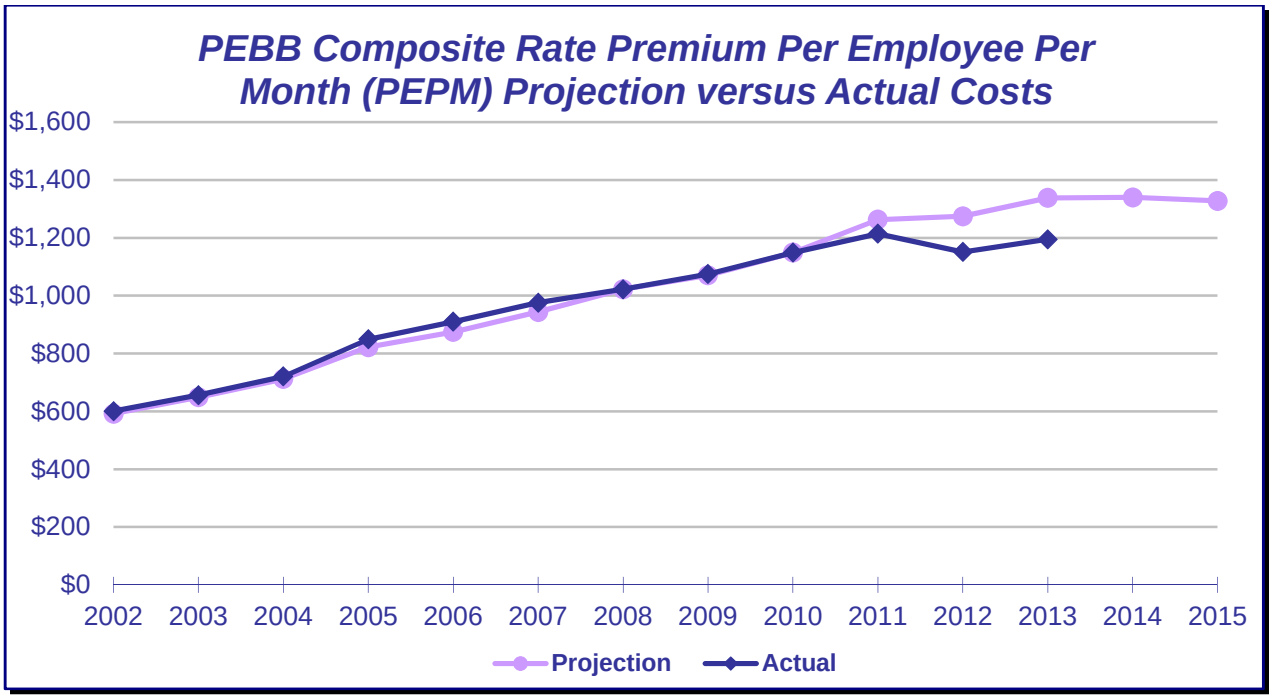
- Enrollment accuracy by using a benefit management system to ensure that correct benefit-related data is shared among state and local governments and university payroll systems, health plans and other vendors;
- Contracting for services that are timely and accurate;
- Communication strategies that engage employees in their benefit programs and the PEBB vision.

The most valuable benefit in the program is health care coverage. The cost of health care continues to increase without evidence of a commensurate increase in measurable quality. The PEBB Board reviews and adjusts benefit designs to best meet the needs of the employees and the employer.

Medical and dental premium costs are affected by use of services and the cost of services. PEBB has:

- Implemented evidence-based plan designs to help drive members to lower cost, equally effective services;
- Reduced or removed barriers for medications and office visits that help the chronically ill stay healthier and those at risk avoid developing chronic illness; and
- Worked with carriers on alternative ways to pay for services, such as the medical home model and the use of global rates.

As a result, medical premiums have been flat or reduced for two straight plan years (as seen below).




## PROGRAM JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

By eliminating waste and controlling costs, the Public Employees' Benefit Board looks to ensure members have the care they need today and in the future. In addition, PEBB wants to ensure that members' care is coordinated across the continuum and that quality and financial incentives are more aligned throughout the delivery system. Since moving to self-insurance, PEBB has experienced lower costs each year compared to industry trend and the premium equivalent set for each plan year. This has created a steady increase in the ending balance in the PEBB Stabilization Fund, well above the target level outlined by the Board's actuary in the premium equivalent.

PEBB links to the 10-Year Plan by designing plans that focus on coordinated care and preventing chronic disease while containing costs.

PEBB actively promotes enrollment into medical homes, implements value-based designs and participates in other OHA-wide initiatives. These include:

- In 2014, 70 percent of PEBB members are enrolled in an Oregon Health Authority-certified patient centered primary care home.

- PEBB members with chronic diseases are participating in the High Value Medical Home pilot.
- PEBB offers no-cost maintenance medications.
- All PEBB plans have no cost sharing associated with office visits for diabetes, asthma, heart disease and coronary artery disease.
- Members and their dependents have access to no-cost tobacco cessation, substance abuse treatment and weight management benefits.
- Members pay higher copayments for low-value, high-use procedures than for alternatives that are more effective and cost less.

PEBB also offers a choice of more than one plan for over 95 percent of members and their dependents statewide.

## PROGRAM PERFORMANCE

The Public Employees' Benefit Board (PEBB) designs, contracts for and administers health plans, group insurance policies and flexible spending accounts for state employees and their dependents, representing over 130,000 Oregonians. PEBB is funded with Other Funds through premiums collected for all insured individuals. Premiums are collected from agencies, universities, and self-pay members to directly cover the costs of the plans. Agencies, as the employer, pay the majority of the premiums from the available revenue source for employees, which is comprised of General Fund, Other Funds, and Federal Funds.

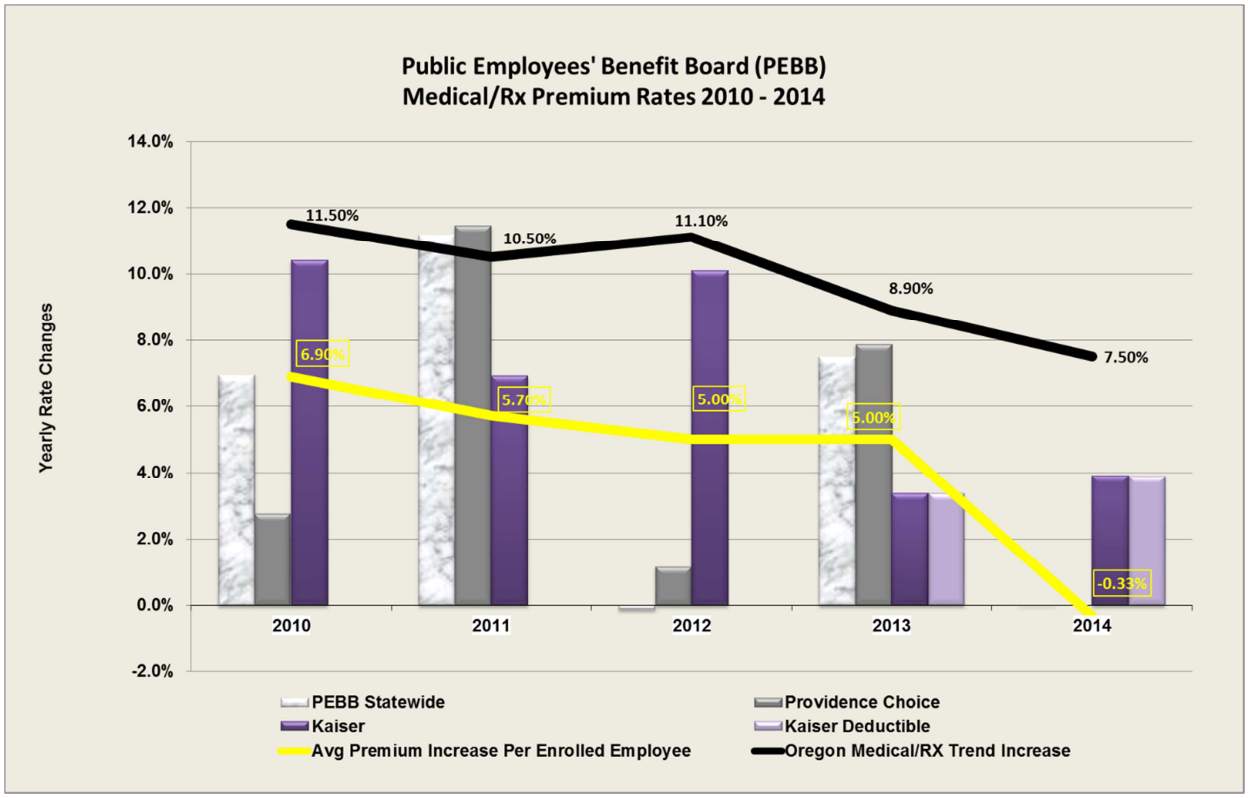
PEBB monitors age trends among its membership to ensure that its plans provide services that are appropriate for the population and to anticipate future needs.

PEBB's focus over the past few years has been on creating plan designs that foster better care, creating wellness programs that improve the overall health of members, and controlling costs. Specifically, PEBB has:

- Increased the number of members in patient-centered primary care homes;
- Redesigned benefits in order to reduce barriers to care for members with chronic diseases;
- Begun offering no-cost addiction treatment;
- Partnered with the Office of Public Health to support worksite wellness;

- Created Health Engagement Plan incentives to engage members in improving their health;
- Conducted a dependent verification to maintain enrollment integrity; and
- Achieved better cost control through direct contracting with medical, vision and dental plans.

PEBB has stayed at or below the Oregon premium trend level for the past five years:




## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

The Public Employees Benefit Board authority lies in ORS 243.061 through ORS 243.302. House Bill 2279 (2013) expanded participation eligibility to include local governments and special districts.

## FUNDING STREAMS

As of 2013, all premiums paid for PEBB benefit plans flow through PEBB before passing through to vendors. PEBB receives Other Fund dollars from agencies,

universities and self-pay members to directly cover the costs of self-insured plan members. PEBB now self-insures 85 percent of members for health coverage. These monies are used dollar for dollar to pay member medical, vision and dental claims, for insurance carriers' administrative fees, and other federal and state fees. In 2014, the average administrative fee paid to PEBB's self-funded plan administrators was about 5 percent of premium. That percentage has not changed for nearly five years.

By statute, PEBB can collect an amount that equals up to 2 percent of total premiums to meet administrative and operational costs. For the past four years, PEBB has collected 0.4 percent of premium for these costs.

## REVOLVING FUND

PEBB currently maintains two accounts within its Revolving Fund.

**Stabilization account**

PEBB has authority to use this account to control costs, subsidize premiums and self-insure. The primary source of Other Funds revenue is unused employer contributions for employee benefits. This account also holds proceeds generated when PEBB's life insurance carrier changed from a mutual organization to a public corporation.

The 2015-17 Governor's Budget transfers $120 million in excess cash reserve from the PEBB Stabilization Fund to the General Fund to support the overall cost of compensation for state employees. This revenue adjustment offsets the projected cost of compensation changes in the Special Purpose Appropriation made to the Emergency Fund. With this transfer the PEBB Stabilization Fund still maintains a sustainable fund balance that meets the target funding balance. The Governor's Budget continues to limit cost growth for PEBB's expenditure budget, ultimately saving agencies and universities in employee compensation costs. PEBB's budget is limited to the same health care transformation per employee cost growth as in the 2013-15 biennial budget, savings estimated to be over $275 million in health care costs for agencies and PEBB members in the 2015-17 biennium.

The 2015-17 Governor's Budget for PEBB is $1,645.7 million Other Funds Limited. This is an increase of 6.8 percent from the 2013-15 Legislatively Approved Budget,

primarily from the capped annual per employee inflation. PEBB links to the 10-Year Plan by designing plans that focus on coordinated care and preventing chronic disease while containing costs.

**Flexible spending account**
PEBB operates four flexible-spending-account programs for employees and maintains an account for their administrative costs. The primary Other Funds revenue source for these programs is forfeitures from participants.

## SIGNIFICANT PROPOSED PROGRAM CHANGES FROM 2013-2015

None proposed.

# Oregon Health Authority: Public Employees' Benefit Board

## EXPENDITURES BY FUND

| Public Employees' Benefit Board (PEBB)<br>Oregon Health Authority | | | | |
|---|---|---|---|---|
| **Description** | **Other Fund** | **Total Funds** | **Pos.** | **FTE** |
| **2015-2017 GB** | $1,645,718,505 | $1,645,718,505 | 22 | 21.50 |

## VISION

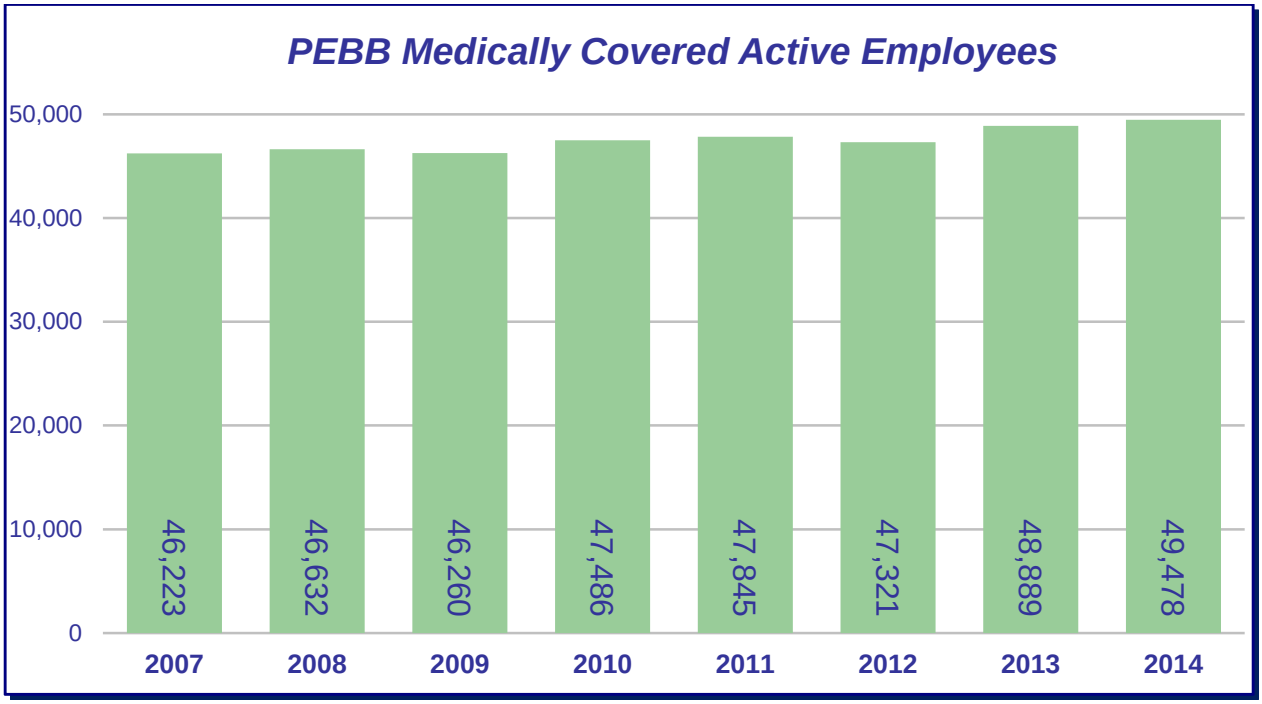
PEBB seeks optimal health for its members through a coordinated system of care that is:

- Patient-centered;
- Focused on wellness;
- Efficient and accessible; and
- Affordable for the state and its employees.

PEBB supports OHA's vision, mission and goals to help people and communities achieve optimum health and transform the health care system in Oregon. Some of the ways it does this include:

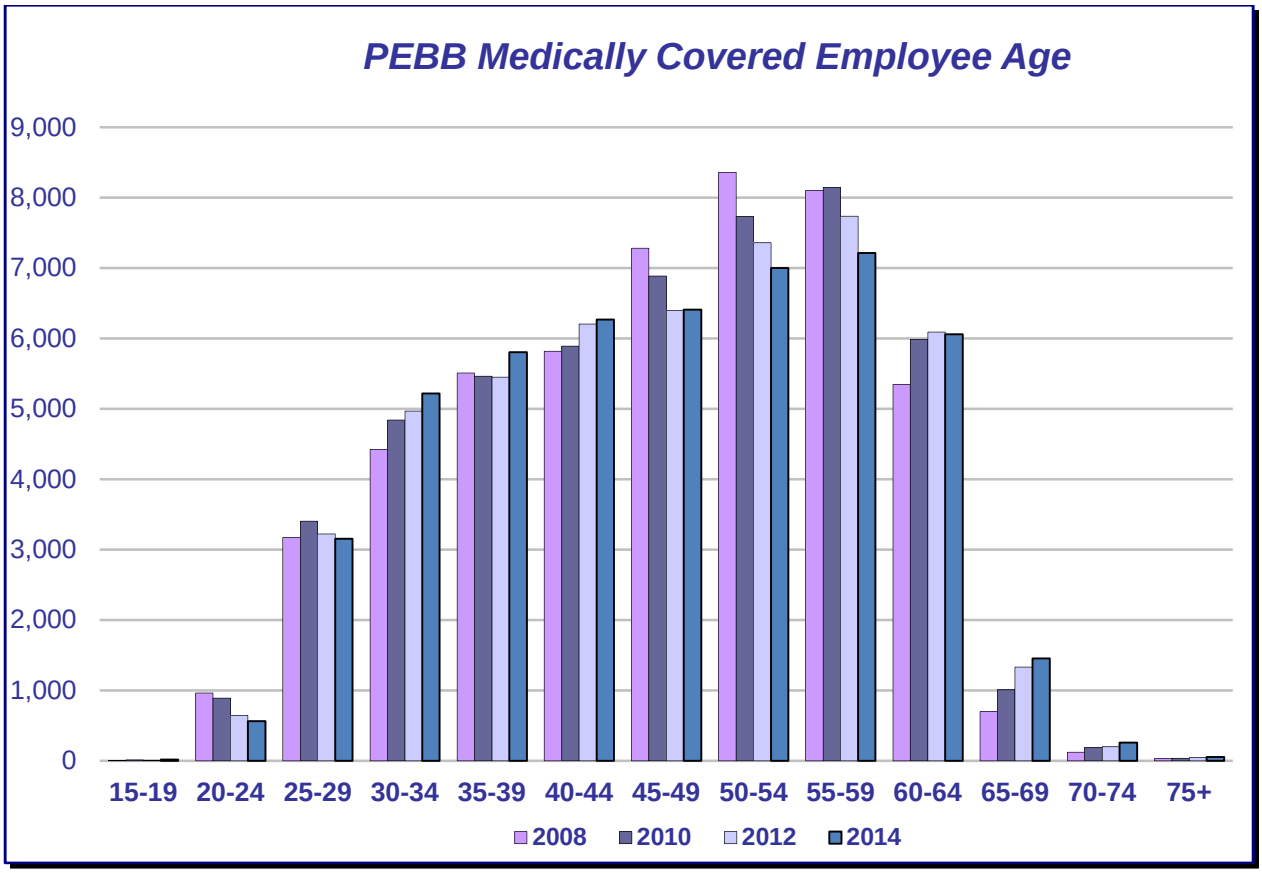
- Encouraging wellness visits that promote health and screen members for exercise, depression, and health indicators;
- Aligning PEBB plans with coordinated care model attributes to achieve the triple aim and advance health care transformation into the commercial market;
- Implementing coordinated care organization quality metrics in 2015 medical contracts;
- Continuing to provide benefit plan choice to at least 95 percent of PEBB members and dependents;
- Promoting doulas and non-traditional provider options in maternity care;
- Tracking palliative care programs to assist members and families;
- Reevaluating gym subsidies to reinforce the importance of exercise; and
- Assisting local governments and special districts interested in participating in PEBB benefit programs (HB 2279, 2013).

The Public Employees' Benefit Board (PEBB) designs, contracts for and administers health plans, group insurance policies and flexible spending accounts for state employees and their dependents, representing over 130,000 Oregonians. PEBB is funded with Other Funds through premiums collected for all insured individuals. Premiums are collected from agencies, universities, and self-pay members to directly cover the costs of the plans. Agencies, as the employer, pay the majority of the premiums from the available revenue source for employees, which is comprised of General Fund, Other Funds, and Federal Funds.




**Age trend**

PEBB monitors age trends among its membership to ensure that its plans provide services that are appropriate for the population and to anticipate future needs. The following graph shows member age bands for 2008, 2010, 2012 and 2014. In 2014, 46 percent of PEBB's active employee population is age 50 or older.




**PEBB serves its members and customers through six central functions:**

- ***Financial oversight*** of PEBB accounts, including the Revolving Fund and its subaccounts;
- ***Program development*** by collaborating with agencies, local governments, universities, health plans and other benefit purchasers to design programs that align with the PEBB vision;
- ***Regulatory compliance*** to ensure benefit programs meet all state and federal regulations;
- ***Enrollment accuracy*** by using a benefit management system to ensure that correct benefit-related data is shared among state, local government and university payroll systems, health plans and other vendors;
- ***Contracting services*** that are timely and accurate;
- ***Communication strategies*** that engage employees in their benefit programs and the PEBB vision.

**Operational activities include:**

- Offering plans that provide health care supported by the best available evidence;
- Promoting a competitive marketplace by contracting with health systems that are accountable for their performance;
- Collaborating with partners to improve the market and delivery system;
- Meeting or exceeding standards for response time;
- Surveying customers regularly, then analyzing and acting on results;
- Maintaining and improving the online benefits system;
- Developing and maintaining comprehensive, user-friendly websites;
- Employing cost-effective, sustainable technologies to improve communication and reduce resource consumption;
- Continuing to support agencies, local governments and special districts, and universities' efforts to improve employee health and wellness;
- Continuing to seek member and stakeholder input on benefits, benefit management and administration;
- Conducting audits to ensure that policies are applied equitably;
- Continuing to support use of the benefit management system;
- Improving contracting and analytical capabilities; and
- Refining benefit information reporting.

## TRENDS, CASELOAD AND EXTERNAL FACTORS

By eliminating waste and controlling costs, PEBB wants to ensure members have the care they need today and in the future. In addition, PEBB wants to ensure that members' care is coordinated across the continuum and that quality and financial incentives are more aligned throughout the delivery system. Since moving to self-insurance, PEBB has experienced lower costs each year compared to premiums collected. As costs were effectively managed with health care transformation efforts, there has been a steady increase in the ending balance in the PEBB Stabilization Fund, well above the target level outlined by the Board's actuary.

## REVENUE SOURCES AND BASIS FOR 2015–2017 BUDGET

Other Funds revenue pays for PEBB administration through an administrative assessment added to medical and dental insurance premiums and premium equivalents. The assessment cannot exceed 2 percent of monthly contributions

from employees and employers (ORS 243.185). In 2011 PEBB reduced the assessment from 0.6 percent to 0.4 percent and has maintained that same assessment since.

The 2015-17 Governor's Budget includes three positions, 3.00 FTE for Health Data Systems' management, customer service and administration of statewide wellness programs, self-pays and cities and counties who may now participate in PEBB plans.

## REVOLVING FUND

PEBB currently maintains two accounts within its Revolving Fund.

**Stabilization account**

PEBB has authority to use this account to control costs, subsidize premiums and self-insure. The primary source of Other Funds revenue is unused employer contributions for employee benefits. This account also holds proceeds generated when PEBB's life insurance carrier changed from a mutual organization to a public corporation.

The 2015-17 Governor's Budget transfers $120 million in excess cash reserve from the PEBB Stabilization Fund to the General Fund to support the overall cost of compensation for state employees. This revenue adjustment offsets the projected cost of compensation changes in the Special Purpose Appropriation made to the Emergency Fund. With this transfer the PEBB Stabilization Fund still maintains a sustainable fund balance that meets the target funding balance. The Governor's Budget continues to limit cost growth for PEBB's expenditure budget, ultimately saving agencies and universities in employee compensation costs. PEBB's budget is limited to the same health care transformation per employee cost growth as in the 2013-15 biennial budget, savings estimated to be over $275 million in health care costs for agencies and PEBB members in the 2015-17 biennium.

The 2015-17 Governor's Budget for PEBB is $1,645.7 million Other Funds Limited. This is an increase of 6.8 percent from the 2013-15 Legislatively Approved Budget, primarily from the capped annual per employee inflation. PEBB links to the 10-Year Plan by designing plans that focus on coordinated care and preventing chronic disease while containing costs.

**Flexible spending account**

PEBB operates four flexible-spending-account programs for employees and maintains an account for their administrative costs. The primary Other Funds revenue source for these programs is forfeitures from participants.

**Oregon Health Authority**
**Public Employees Benefit Board (PEBB)**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-02-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 20 | 19.50 | 1,541,141,592 | - | - | 1,541,141,592 | - | - | - |
| 2013-15 Emergency Boards | - | - | 156,309 | - | - | 156,309 | - | - | - |
| **2013-15 Leg Approved Budget** | **20** | **19.50** | **1,541,297,901** | **-** | **-** | **1,541,297,901** | - | **-** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (1) | (1.00) | (248,286) | - | - | (248,286) | - | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **19** | **18.50** | **1,541,049,615** | **-** | **-** | **1,541,049,615** | - | **-** | **-** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | 32,294 | - | - | 32,294 | - | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 567,364 | - | - | 567,364 | - | - | - |
| **Subtotal** | **-** | **-** | **599,658** | **-** | **-** | **599,658** | - | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | - | - | - | - | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (1,965,000) | - | - | (1,965,000) | - | - | - |
| **Subtotal** | **-** | **-** | **(1,965,000)** | **-** | **-** | **(1,965,000)** | - | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 104,424,841 | - | - | 104,424,841 | - | - | - |
| **Subtotal** | **-** | **-** | **104,424,841** | **-** | **-** | **104,424,841** | - | **-** | **-** |

**Oregon Health Authority**
**Public Employees Benefit Board (PEBB)**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-02-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | (15,805) | - | - | (15,805) | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **19** | **18.50** | **1,644,093,309** | **-** | **-** | **1,644,093,309** | - | **-** | **-** |

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **19** | **18.50** | **1,644,093,309** | **-** | **-** | **1,644,093,309** | - | **-** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **19** | **18.50** | **1,644,093,309** | **-** | **-** | **1,644,093,309** | - | **-** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 091 - December 2014 Rebalance | 3 | 3.00 | 625,196 | - | - | 625,196 | - | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **3** | **3.00** | **1,625,196** | **-** | **-** | **1,625,196** | - | **-** | **-** |

**Oregon Health Authority**
**Public Employees Benefit Board (PEBB)**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-02-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **22** | **21.50** | **1,645,718,505** | **-** | **-** | **1,645,718,505** | - | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | 10.00% | 10.26% | 6.77% | - | - | 6.77% | - | - | - |
| Percentage Change From 2015-17 Current Service Level | 15.79% | 16.22% | 0.10% | - | - | 0.10% | - | - | - |

| Oregon Health Authority<br>Public Employees Benefit Board<br>44300-020-02-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND** | | | | | | |
| **REVENUES** | | | | | | |
| General Fund Appropriation | 0050 | GF | 7,553 | - | - | - |
| **TOTAL REVENUES** | | **GF** | **7,553** | **-** | **-** | **-** |
| **TOTAL GENERAL FUNDS** | | **GF** | **7,553** | **-** | **-** | **-** |
| **OTHER FUNDS** | | | | | | |
| **REVENUES** | | | | | | |
| Beginning Balance | 0025 | OF | 222,600,000 | 253,484,781 | - | - |
| Beginning Balance Adjustment | 0030 | OF | 44,631,580 | 61,316,214 | - | 345,000,000 |
| Administrative Service Charges | 0415 | OF | 1,005,405,219 | 1,511,390,066 | 1,615,125,464 | 1,615,711,479 |
| Interest Income | 0605 | OF | 1,039,233 | 4,961,244 | 4,961,244 | 4,961,244 |
| Other Revenues | 0975 | OF | 5,733,510 | 9,336,240 | 24,045,782 | 24,045,782 |
| **TOTAL REVENUES** | | **OF** | **1,279,409,542** | **1,840,488,545** | **1,644,132,490** | **1,989,718,505** |
| **TRANSFER IN** | | | | | | |
| Transfer in Administrative Services | 1107 | OF | 265,803,001 | - | - | - |
| **TOTAL TRANSFERS IN** | | **OF** | **265,803,001** | **-** | **-** | **-** |
| **TRANSFER OUT** | | | | | | |
| Transfer to General Fund | 2060 | OF | - | - | - | (120,000,000) |
| **TOTAL TRANSFERS OUT** | | **OF** | **-** | **-** | **-** | **(120,000,000)** |
| **TOTAL OTHER FUNDS** | | **OF** | **1,545,212,543** | **1,840,488,545** | **1,644,132,490** | **1,869,718,505** |

| Oregon Health Authority<br>Public Employees Benefit Board<br>44300-020-02-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| SOURCE | COMP SOURCE GROUP | FUND | 2011-13 Actuals | 2013-15 Legislatively Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget |
| TOTAL AVAILABLE REVENUES | | TF | 1,545,220,096 | 1,840,488,545 | 1,644,132,490 | 1,869,718,505 |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**

**2015-17 Biennium** — **Cross Reference Number: 44300-020-02-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Admin and Service Charges | 654,411 | 1,511,233,757 | 1,511,390,066 | 1,615,125,464 | 1,615,711,479 | - |
| Interest Income | - | 4,961,244 | 4,961,244 | 4,961,244 | 4,961,244 | - |
| Other Revenues | - | 9,336,240 | 9,336,240 | 24,045,782 | 24,045,782 | - |
| Tsfr From Administrative Svcs | 172,593,440 | - | - | - | - | - |
| Transfer to General Fund | - | - | - | - | (120,000,000) | - |
| **Total Other Funds** | **$173,247,851** | **$1,525,531,241** | **$1,525,687,550** | **$1,644,132,490** | **$1,524,718,505** | **-** |
| **Nonlimited Other Funds** | | | | | | |
| Admin and Service Charges | 1,004,750,808 | - | - | - | - | - |
| Interest Income | 1,039,233 | - | - | - | - | - |
| Other Revenues | 5,733,510 | - | - | - | - | - |
| Tsfr From Administrative Svcs | 93,209,561 | - | - | - | - | - |
| **Total Nonlimited Other Funds** | **$1,104,733,112** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Public Employees Benefit Board (PEBB)**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-020-02-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 29,658 | - | - | - | 29,658 |
| Other Revenues | - | - | 570,000 | - | - | - | 570,000 |
| **Total Revenues** | **-** | **-** | **$599,658** | **-** | **-** | **-** | **$599,658** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | - | - | 620 | - | - | - | 620 |
| All Other Differential | - | - | 15 | - | - | - | 15 |
| Public Employees' Retire Cont | - | - | 2 | - | - | - | 2 |
| Pension Obligation Bond | - | - | (3,321) | - | - | - | (3,321) |
| Social Security Taxes | - | - | 48 | - | - | - | 48 |
| Other OPE | - | - | 570,000 | - | - | - | 570,000 |
| Vacancy Savings | - | - | 32,294 | - | - | - | 32,294 |
| **Total Personal Services** | **-** | **-** | **$599,658** | **-** | **-** | **-** | **$599,658** |
| **Services & Supplies** | | | | | | | |
| Agency Program Related S and S | - | - | - | - | - | - | - |
| **Total Services & Supplies** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 599,658 | - | - | - | 599,658 |
| **Total Expenditures** | **-** | **-** | **$599,658** | **-** | **-** | **-** | **$599,658** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Public Employees Benefit Board (PEBB)**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-020-02-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 022 - Phase-out Pgm & One-time Costs**

**Cross Reference Name: Public Employees Benefit Board (PEBB)**
**Cross Reference Number: 44300-020-02-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | (1,965,000) | - | - | - | (1,965,000) |
| **Total Revenues** | **-** | **-** | **($1,965,000)** | **-** | **-** | **-** | **($1,965,000)** |
| **Special Payments** | | | | | | | |
| Spc Pmt to Consumer/Bus Svcs | - | - | (1,965,000) | - | - | - | (1,965,000) |
| **Total Special Payments** | **-** | **-** | **($1,965,000)** | **-** | **-** | **-** | **($1,965,000)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (1,965,000) | - | - | - | (1,965,000) |
| **Total Expenditures** | **-** | **-** | **($1,965,000)** | **-** | **-** | **-** | **($1,965,000)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Public Employees Benefit Board (PEBB)**
**Cross Reference Number: 44300-020-02-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 45,257,221 | - | - | - | 45,257,221 |
| Other Revenues | - | - | 251,102 | - | - | - | 251,102 |
| **Total Revenues** | **-** | **-** | **$45,508,323** | **-** | **-** | **-** | **$45,508,323** |
| | | | | | | | |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 456 | - | - | - | 456 |
| Out of State Travel | - | - | 131 | - | - | - | 131 |
| Employee Training | - | - | 511 | - | - | - | 511 |
| Office Expenses | - | - | 6,032 | - | - | - | 6,032 |
| Telecommunications | - | - | 2,625 | - | - | - | 2,625 |
| Publicity and Publications | - | - | 15,739 | - | - | - | 15,739 |
| Professional Services | - | - | 84,608 | - | - | - | 84,608 |
| IT Professional Services | - | - | 36,951 | - | - | - | 36,951 |
| Attorney General | - | - | 28,286 | - | - | - | 28,286 |
| Employee Recruitment and Develop | - | - | 113 | - | - | - | 113 |
| Dues and Subscriptions | - | - | 229 | - | - | - | 229 |
| Agency Program Related S and S | - | - | 45,104,510 | - | - | - | 45,104,510 |
| Other Services and Supplies | - | - | 219 | - | - | - | 219 |
| Expendable Prop 250 - 5000 | - | - | 1,413 | - | - | - | 1,413 |
| **Total Services & Supplies** | **-** | **-** | **$45,281,823** | **-** | **-** | **-** | **$45,281,823** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Public Employees Benefit Board (PEBB)**
**Cross Reference Number: 44300-020-02-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Other Special Payments | - | - | 226,500 | - | - | - | 226,500 |
| **Total Special Payments** | **-** | **-** | **$226,500** | **-** | **-** | **-** | **$226,500** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 45,508,323 | - | - | - | 45,508,323 |
| **Total Expenditures** | **-** | **-** | **$45,508,323** | **-** | **-** | **-** | **$45,508,323** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: Public Employees Benefit Board (PEBB)**
**Cross Reference Number: 44300-020-02-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 25,557,415 | - | - | - | 25,557,415 |
| **Total Revenues** | **-** | **-** | **$25,557,415** | **-** | **-** | **-** | **$25,557,415** |
| **Services & Supplies** | | | | | | | |
| Professional Services | - | - | 8,461 | - | - | - | 8,461 |
| IT Professional Services | - | - | 3,695 | - | - | - | 3,695 |
| Agency Program Related S and S | - | - | 25,545,259 | - | - | - | 25,545,259 |
| **Total Services & Supplies** | **-** | **-** | **$25,557,415** | **-** | **-** | **-** | **$25,557,415** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 25,557,415 | - | - | - | 25,557,415 |
| **Total Expenditures** | **-** | **-** | **$25,557,415** | **-** | **-** | **-** | **$25,557,415** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 033 - Exceptional Inflation**

**Cross Reference Name: Public Employees Benefit Board (PEBB)**
**Cross Reference Number: 44300-020-02-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 33,359,103 | - | - | - | 33,359,103 |
| **Total Revenues** | **-** | **-** | **$33,359,103** | **-** | **-** | **-** | **$33,359,103** |
| **Services & Supplies** | | | | | | | |
| Agency Program Related S and S | - | - | 33,359,103 | - | - | - | 33,359,103 |
| **Total Services & Supplies** | **-** | **-** | **$33,359,103** | **-** | **-** | **-** | **$33,359,103** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 33,359,103 | - | - | - | 33,359,103 |
| **Total Expenditures** | **-** | **-** | **$33,359,103** | **-** | **-** | **-** | **$33,359,103** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Public Employees Benefit Board (PEBB)**
**Cross Reference Number: 44300-020-02-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | (15,805) | - | - | - | (15,805) |
| **Total Revenues** | **-** | **-** | **($15,805)** | **-** | **-** | **-** | **($15,805)** |
| **Personal Services** | | | | | | | |
| Mass Transit Tax | - | - | (15,805) | - | - | - | (15,805) |
| **Total Personal Services** | **-** | **-** | **($15,805)** | **-** | **-** | **-** | **($15,805)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (15,805) | - | - | - | (15,805) |
| **Total Expenditures** | **-** | **-** | **($15,805)** | **-** | **-** | **-** | **($15,805)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: Public Employees Benefit Board (PEBB)**
**Cross Reference Number: 44300-020-02-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Beginning Balance** | | | | | | | |
| Beginning Balance Adjustment | - | - | 345,000,000 | - | - | - | 345,000,000 |
| **Total Beginning Balance** | **-** | **-** | **$345,000,000** | **-** | **-** | **-** | **$345,000,000** |
| **Transfers Out** | | | | | | | |
| Transfer to General Fund | - | - | (120,000,000) | - | - | - | (120,000,000) |
| **Total Transfers Out** | **-** | **-** | **($120,000,000)** | **-** | **-** | **-** | **($120,000,000)** |
| **Special Payments** | | | | | | | |
| Other Special Payments | - | - | 1,000,000 | - | - | - | 1,000,000 |
| **Total Special Payments** | **-** | **-** | **$1,000,000** | **-** | **-** | **-** | **$1,000,000** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 1,000,000 | - | - | - | 1,000,000 |
| **Total Expenditures** | **-** | **-** | **$1,000,000** | **-** | **-** | **-** | **$1,000,000** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | 224,000,000 | - | - | - | 224,000,000 |
| **Total Ending Balance** | **-** | **-** | **$224,000,000** | **-** | **-** | **-** | **$224,000,000** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Public Employees Benefit Board (PEBB)**
**Cross Reference Number: 44300-020-02-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 625,196 | - | - | - | 625,196 |
| **Total Revenues** | **-** | **-** | **$625,196** | **-** | **-** | **-** | **$625,196** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 366,792 | - | - | - | 366,792 |
| Empl. Rel. Bd. Assessments | - | - | 132 | - | - | - | 132 |
| Public Employees' Retire Cont | - | - | 57,917 | - | - | - | 57,917 |
| Social Security Taxes | - | - | 28,059 | - | - | - | 28,059 |
| Worker's Comp. Assess. (WCD) | - | - | 207 | - | - | - | 207 |
| Flexible Benefits | - | - | 91,584 | - | - | - | 91,584 |
| **Total Personal Services** | **-** | **-** | **$544,691** | **-** | **-** | **-** | **$544,691** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 8,328 | - | - | - | 8,328 |
| Employee Training | - | - | 2,292 | - | - | - | 2,292 |
| Office Expenses | - | - | 20,655 | - | - | - | 20,655 |
| Telecommunications | - | - | 6,702 | - | - | - | 6,702 |
| Facilities Rental and Taxes | - | - | 38,772 | - | - | - | 38,772 |
| Agency Program Related S and S | - | - | 2,316 | - | - | - | 2,316 |
| Other Services and Supplies | - | - | 1,440 | - | - | - | 1,440 |
| **Total Services & Supplies** | **-** | **-** | **$80,505** | **-** | **-** | **-** | **$80,505** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

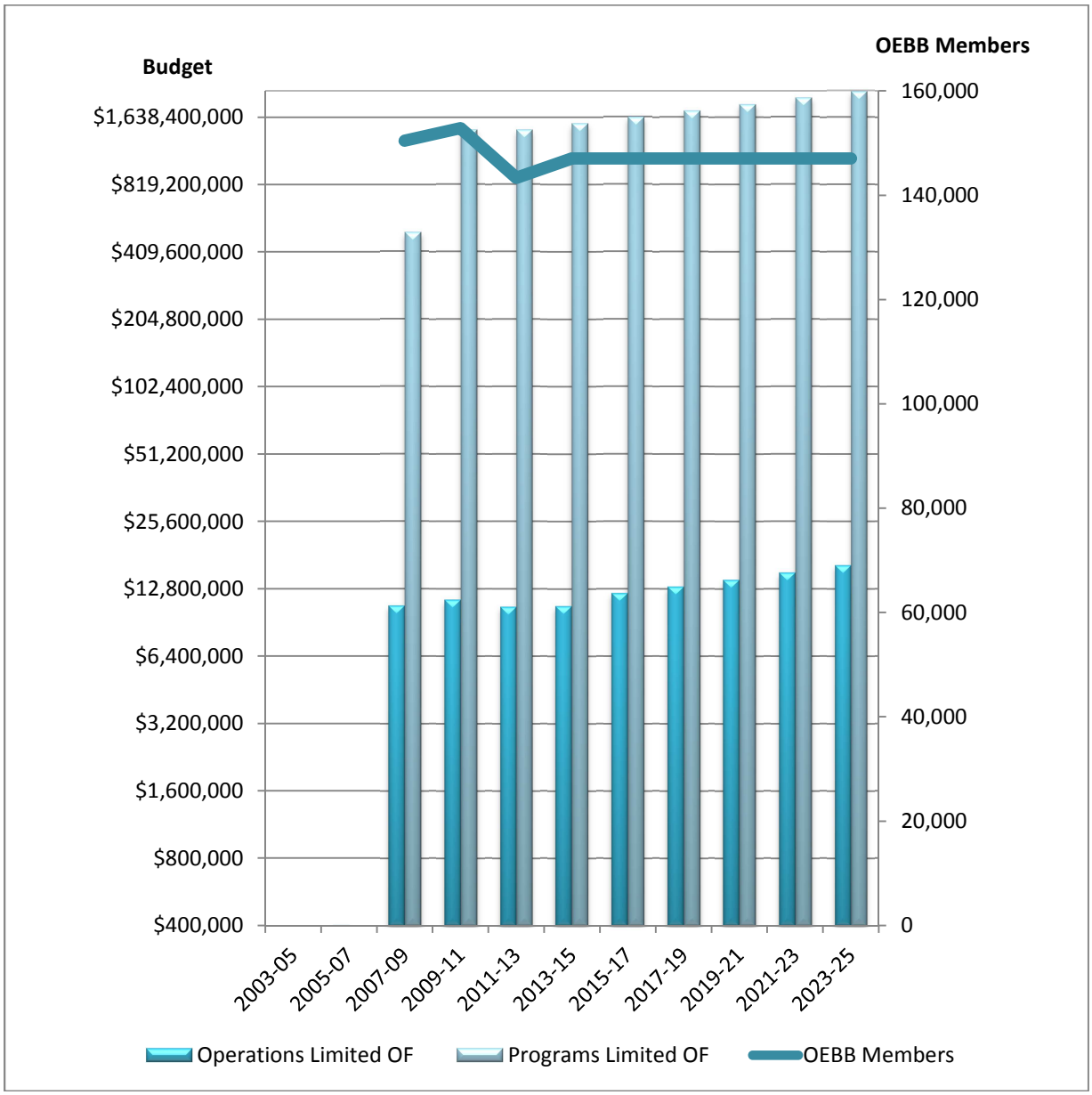
**Cross Reference Name: Public Employees Benefit Board (PEBB)**
**Cross Reference Number: 44300-020-02-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 625,196 | - | - | - | 625,196 |
| **Total Expenditures** | **-** | **-** | **$625,196** | **-** | **-** | **-** | **$625,196** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 3 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **3** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 3.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **3.00** |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013364 | MMN X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | 1.00 | 24.00 | 08 | 7,701.00 | | 184,824 | | | 184,824 |
| | | | | | | | | | 73,964 | | | 73,964 |
| 1013365 | UA C0108 AA | ADMINISTRATIVE SPECIALIST 2 | 1 | 1.00 | 24.00 | 09 | 3,973.00 | | 95,352 | | | 95,352 |
| | | | | | | | | | 52,991 | | | 52,991 |
| 1013366 | UA C0108 AA | ADMINISTRATIVE SPECIALIST 2 | 1 | 1.00 | 24.00 | 07 | 3,609.00 | | 86,616 | | | 86,616 |
| | | | | | | | | | 50,944 | | | 50,944 |
| | | TOTAL PICS SALARY | | | | | | | 366,792 | | | 366,792 |
| | | TOTAL PICS OPE | | | | | | | 177,899 | | | 177,899 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 3 | 3.00 | 72.00 | | | | 544,691 | | | 544,691 |

# Oregon Health Authority: Oregon Educators Benefit Board

Primary Outcome Area: Healthy People
Secondary Outcome Area: Livable Communities
Tertiary Outcome Area: Good Government
Program Contact: Denise Hall, Deputy Administrator, 503-378-5133

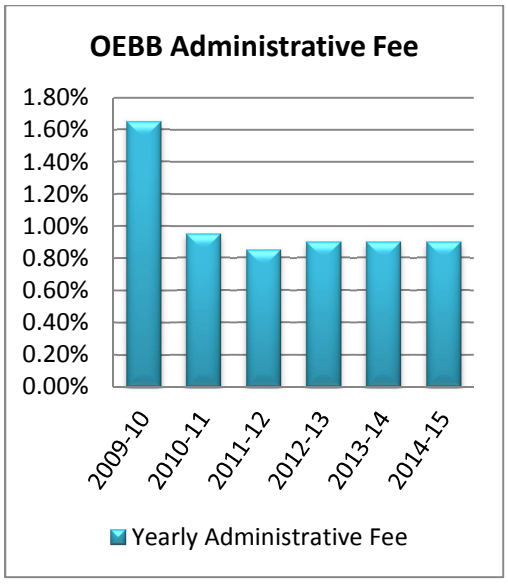


## PROGRAM OVERVIEW

The Oregon Educators Benefit Board (OEBB) administers medical, dental, vision and other benefit plans for Oregon's school and education service districts. With the passage of House Bill 2279 (2013), cities, counties and special districts became able to join the OEBB benefits program effective January 1, 2014. OEBB's goal is to provide high-quality benefits for all eligible employees, early retirees, and their dependents at the lowest possible cost, and to work collaboratively with members, entities and insurance carriers to further advance the triple aim for all Oregonians.

## PROGRAM FUNDING REQUEST

OEBB's proposal requests funding at the 2013-2015 biennium level plus allowed inflation factors and cost growth for OEBB medical premiums at 4.4 percent for the 2015-16 plan year and 3.4 percent for the 2016-17 plan year. This will allow the program to continue to achieve the goals set forth in the guiding principles adopted by the OEBB Board, continue to promote and advance health care transformation in Oregon and save the education system approximately $82.3 million, primarily in compensation costs for schools. The program goals are described in the program description section. Estimated costs through the 2021-2023 biennium are trended forward using the inflation factors prescribed by Oregon Health Authority Office of Budget, Planning and Analysis and the cost growth prescribed by Governor Kitzhaber. OEBB anticipates this funding level will allow it to continue to provide its current members a high level of customer service and continued access to reliable, high-quality and lower-cost health care through use of providers certified by OHA as Patient Centered Primary Care Homes (PCPCHs) and recognized providers under coordinated care-model health plans. The requested funding level also will allow OEBB to continue to promote ongoing improvement in the health of its more than 147,000 members enrolled in at least one OEBB benefit plan, making a major contribution to the overall health of Oregonians.




## PROGRAM DESCRIPTION

OEBB serves more than 147,000 members (employees and early retirees and their family members enrolled in at least one OEBB benefit plan) in more than 250 publicly funded entities throughout Oregon. They include nearly all school districts, education service districts and community colleges, numerous charter schools and some counties and special districts. OEBB serves its members and entities year-round. Activity significantly increases during the annual renewal and open enrollment periods.

OEBB designs and maintains a full range of benefit plans for eligible and participating publicly funded entities to offer to their employees and early retirees. Plans include medical, dental, vision, life, disability, accidental death and dismemberment, long term care, an employee assistance program, a health savings account and flexible spending accounts. OEBB also maintains an online benefit enrollment system (MyOEBB), and carries out the wide range of duties required of an agency that coordinates insurance coverage and other benefits for a large, statewide pool of public employees.

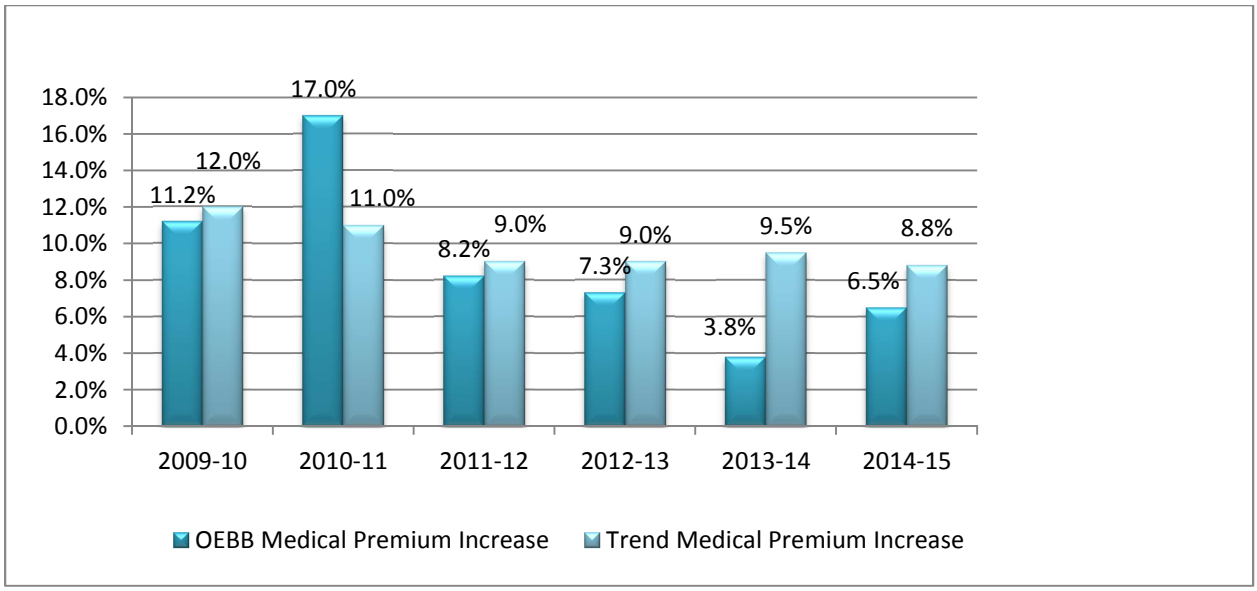
OEBB works closely with its contracted carrier and vendor partners, the Public Employees' Benefit Board (PEBB), the Oregon Health Authority, Oregon Health Policy Board, the Governor's Office, participating publicly funded entities and its 147,000 plus members enrolled in at least one OEBB benefit plan.

OEBB has one major cost driver – rising health care costs. OEBB continues to work closely with its carrier partners to develop and pilot alternative delivery and payment methods that align with OEBB goals and the Triple Aim.

For the 2013-2014 plan year, OEBB expanded access to Patient Centered Primary Care Homes (PCPCHs). It also provided an incentive (in the form of reduced out-of-pocket costs for office visits) to encourage members in OEBB's traditional preferred provider organization (PPO) medical plans to use a PCPCH as their primary care provider. Beginning with the 2014-2015 plan year, OEBB added medical plan options that support Oregon's movement toward providing access to reliable, high-quality, lower-cost health care: making coordinated care model options available under the PPO carrier, and adding a high-deductible health plan (HDHP) to the health maintenance organization (HMO) carrier.

OEBB also implemented alternative payment models to help reduce costs. These included reference-based pricing programs for several major surgeries and certain oral appliances; steps to reduce the cost of dialysis treatments for members; and better coordination of health care for some members with costly multiple chronic conditions.

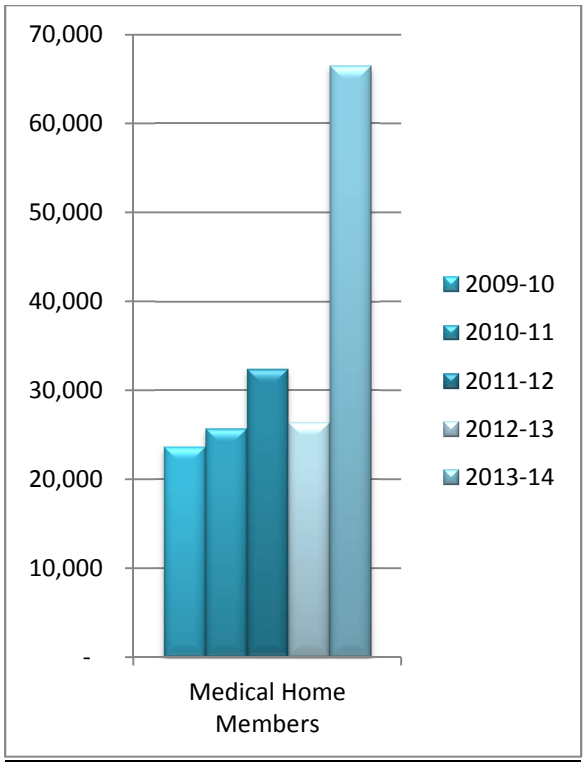
Beginning with the 2013-2014 plan year, OEBB implemented the Healthy Futures program. This program encourages members to learn more about their own health and health risks and to take action to reduce or eliminate those risks. Participants receive a $100 deductible credit on their PPO model medical plans, or the equivalent in copayment credits if they are in a traditional HMO model medical plan with no deductible. OEBB premium increases have been below the Oregon trend for all but one of its plan years.




## PROGRAM JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

The Oregon Educators Benefit Board was established in 2007 to administer medical, dental, vision and other benefit plans for Oregon's schools and education service districts. House Bill 2279 (2013) allowed cities, counties and special districts to join the OEBB benefits program effective January 1, 2014. OEBB is governed by statute (ORS 243.860 to 243.886).

OEBB supports the triple aim of better health, better care and lower costs. One way it does this is by increasing member participation in medical homes, coordinated care model health plans and other organized systems of care. OEBB also provides a tool for members to compare health plan options and estimate total costs for care and premiums.



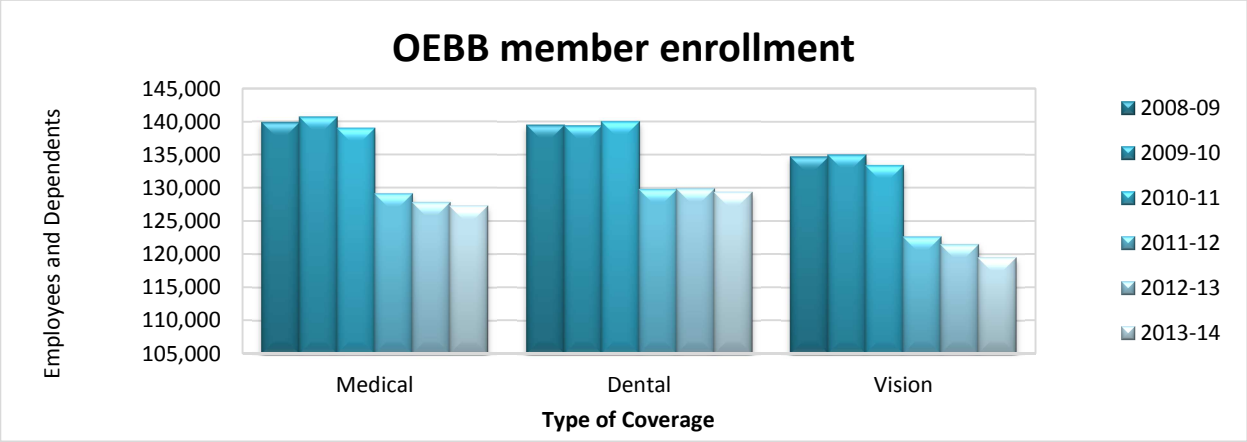

*Plan Year 2013-14 all Moda Medical Plans include the Medical Home option

OEBB also designs its benefits to encourage members to use high-value preventive services and prescription drugs, and to consider alternative treatments for some conditions as appropriate. This includes low-cost or free access to all recommended preventive services, specific prescription drugs for chronic disease management, and weight management and tobacco cessation programs.

OEBB charges additional copays on 11 procedures for which there is evidence that alternatives are as effective or more effective, cost less, and are safer.

As OEBB membership expands to include more publicly funded entities throughout Oregon, so does the impact it can have on health care access, quality and affordability in communities. This is especially true in smaller, rural communities where public employees can make up a significant percentage of the overall population.

## PROGRAM PERFORMANCE




*Plan Year 2011-12 - Medical opt-outs increased during the 2011-2012 plan year and layoffs reduced the number of employees in many of the entities. Members also chose to discontinue vision and dental plans due to pay freezes and mandatory furloughs.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

OEBB was established by Senate Bill 426 in 2007. House Bill 2279 (2013) expanded eligibility to local government entities. The OEBB Board, functions and responsibilities are authorized by ORS 243.860 to 243.886.

## FUNDING STREAMS

ORS 243.880 authorizes the Oregon Educators Benefit Account to cover administration expenses. The account's revenue is generated by an administrative assessment paid by members along with their premiums. The administrative assessment cannot exceed 2 percent of total monthly premiums. It is the sole source of revenue for the OEBB benefits program. OEBB is funded entirely with Other Funds.

ORS 243.884 authorizes the Oregon Educators Revolving Fund to pay premiums, control expenditures, provide self-insurance and subsidize premiums. Self-insuring would provide the board with more flexibility in benefit design to meet specific cost-cutting goals if necessary to keep premiums increases at a reasonable level. OEBB does not currently have the resources needed to self-insure, nor is there any way for OEBB to accrue the required funds (or reserves) at this time.

## SIGNIFICANT PROPOSED PROGRAM CHANGES FROM 2013-2015

OEBB has been working with carriers to promote patient centered primary care homes (PCPCHs). Moda Health Plan will continue to encourage medical providers and clinics to become OHA-accredited PCPCHs.

The 2014-2015 plan designs include new Synergy and Summit coordinated care model (CCM) plans. These two new networks include health systems and providers in the Portland and Salem areas and in regions where few or no organized systems of care have been available in the past. OEBB also added a high-deductible health plan (HDHP) option through Kaiser Permanente for OEBB members who want a consumer-driven health plan option within a traditional managed care organization.

OEBB will expand options for some entities in 2015 to include participation in the state's insurance exchange. The OEBB Board will work closely with the exchange to implement House Bill 4164 (2012) and the amendments passed in House Bill 2128 (2013). These bills allow some school and education service districts currently participating in OEBB to choose to offer medical plans comparable to and pooled with OEBB plans, but available through the state's insurance exchange beginning October 1, 2015.

# Oregon Health Authority: Oregon Educators Benefit Board

## EXPENDITURES BY FUND

| Oregon Educators Benefit Board (OEBB)<br>Oregon Health Authority | | | | | |
|---|---|---|---|---|---|
| **Description** | **Operations Limited OF** | **Programs Limited OF** | **Total Funds** | **Pos.** | **FTE** |
| **2015-2017** | 12,252,282 | 1,633,487,088 | 1,645,739,370 | 23 | 23.00 |

## VISION

The Oregon Educators Benefit Board (OEBB) is aligned with the vision of the Oregon Health Authority in creating a healthy Oregon. OEBB's vision is to provide high-quality benefits at the lowest cost possible and work collaboratively with members, participating entities and insurance carriers to offer value-added benefit plans that support improvement in members' health while holding carriers accountable for outcomes.

Key components of the vision include:

- An innovative system that provides evidence-based medicine to maximize health and use dollars wisely;
- A focus on improving quality and outcomes, not just providing health care;
- System-wide transparency through explicit, available and understandable reports about costs, outcomes and other useful data; and
- Information and resources for members to take responsibility for their own health outcomes.

## GOALS AND ACTIVITIES

OEBB's goal is to provide high-quality medical, dental and other benefit plans for eligible employees at a cost that participating entities, their employees and Oregon taxpayers can afford.

The statutes governing OEBB (ORS 243.860 to 243.886) outline criteria that OEBB must follow when considering whether to enter into a contract for a benefit plan. OEBB in turn adopted guiding principles.

## ACTIVITIES PLANNED FOR 2015-2017

- Expand availability of, access to and participation in patient-centered primary care homes and coordinated care-model plan options throughout Oregon.
- Further develop the "MyOEBB" benefit management system, which allows members to fully manage their benefits online and staff and participating entities to easily and quickly access enrollment information.
- Closely monitor standards for customer response time and improve the board's administrative and customer service models.
- Ensure confidentiality and privacy standards are met, both internally and externally.
- Regularly review security standards and practices in state government to ensure that OEBB meets enterprise-wide security standards.
- Monitor member and participating entity compliance with OEBB eligibility requirements.

## CUSTOMER SERVICE DELIVERY

OEBB now offers participating entities the option to have OEBB staff administer benefits for early retirees at no additional cost to the entity or member. This saves participating entities time and money.

## PERFORMANCE MEASURES

All carrier contracts include performance measures to evaluate administrative activities and the utilization and cost of services provided. OEBB administrative rules and policies set its performance standards. The board uses reports and surveys to evaluate and measure performance and service levels related to core business processes and administrative activities. OEBB is in the process of developing a core set of measures that align with the OHA Performance Management System.

## REVENUE SOURCES

ORS 243.880 established the Oregon Educators Benefit Account to cover administration expenses. The account's revenue is generated through an administrative fee included in premiums for OEBB medical, dental and vision benefits which is considered Other Fund revenue. By statute, the administrative

fee cannot exceed two percent of total monthly premiums. OEBB has maintained an administrative fee of 0.90 percent for the 2012-13, 2013-14, and 2014-15 plan years. ORS 243.882 prohibits the balance in the account from exceeding five percent of the monthly total of employer and employee contributions for more than 120 days.

ORS 243.884 established the Oregon Educators Revolving Fund to pay premiums, control expenditures, provide self-insurance and subsidize premiums. There is no dedicated revenue source for the OEBB Revolving Fund other than interest earned on the premium collection pass-through account.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

None known at this time.

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 24 | 24.00 | 1,639,816,448 | - | - | 11,522,448 | - | 1,628,294,000 | - |
| 2013-15 Emergency Boards | - | - | 170,289 | - | - | 170,289 | - | - | - |
| **2013-15 Leg Approved Budget** | **24** | **24.00** | **1,639,986,737** | **-** | **-** | **11,692,737** | - | **1,628,294,000** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (2) | (2.00) | 37,755 | - | - | 37,755 | - | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | 87,493,088 | - | - | - | - | 87,493,088 | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **22** | **22.00** | **1,727,517,580** | **-** | **-** | **11,730,492** | - | **1,715,787,088** | **-** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | (47,414) | - | - | (47,414) | - | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 35,667 | - | - | 35,667 | - | - | - |
| **Subtotal** | **-** | **-** | **(11,747)** | **-** | **-** | **(11,747)** | - | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | - | - | - | - | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (473,503) | - | - | (473,503) | - | - | - |
| **Subtotal** | **-** | **-** | **(473,503)** | **-** | **-** | **(473,503)** | - | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 285,350 | - | - | 285,350 | - | - | - |
| **Subtotal** | **-** | **-** | **285,350** | **-** | **-** | **285,350** | - | **-** | **-** |

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | (15,858) | - | - | (15,858) | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **22** | **22.00** | **1,727,301,822** | **-** | **-** | **11,514,734** | - | **1,715,787,088** | **-** |

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **22** | **22.00** | **1,727,301,822** | **-** | **-** | **11,514,734** | **-** | **1,715,787,088** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **22** | **22.00** | **1,727,301,822** | **-** | **-** | **11,514,734** | **-** | **1,715,787,088** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | (82,300,000) | - | - | 1,633,487,088 | - | (1,715,787,088) | - |
| 091 - December 2014 Rebalance | 1 | 1.00 | 287,548 | - | - | 287,548 | - | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | 450,000 | - | - | 450,000 | - | - | - |
| **Subtotal Policy Packages** | **1** | **1.00** | **(81,562,452)** | **-** | **-** | **1,634,224,636** | **-** | **(1,715,787,088)** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority** | **Governor's Budget**
**Oregon Educators Benefit Board (OEBB)** | **Cross Reference Number: 44300-020-03-00-00000**
**2015-17 Biennium**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **23** | **23.00** | **1,645,739,370** | **-** | **-** | **1,645,739,370** | - | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | -4.17% | -4.17% | 0.35% | - | - | 13,974.89% | - | -100.00% | - |
| Percentage Change From 2015-17 Current Service Level | 4.55% | 4.55% | -4.72% | - | - | 14,192.47% | - | -100.00% | - |

| Oregon Health Authority<br>Oregon Educators Benefit Board<br>44300-020-03-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND** | | | | | | |
| **REVENUES** | | | | | | |
| General Fund Appropriation | 0050 | GF | 9,015 | - | - | - |
| **TOTAL REVENUES** | | **GF** | **9,015** | **-** | **-** | **-** |
| **TOTAL GENERAL FUNDS** | | **GF** | **9,015** | **-** | **-** | **-** |
| **OTHER FUNDS** | | | | | | |
| **REVENUES** | | | | | | |
| Beginning Balance | 0025 | OF | 17,400,000 | 5,441,099 | 8,188,000 | 8,188,000 |
| Beginning Balance Adjustment | 0030 | OF | - | 1,033,901 | - | - |
| Charges for Services | 0410 | OF | - | 122,921 | 122,921 | 122,921 |
| Administrative Service Charges | 0415 | OF | 16,383,684 | 1,640,785,116 | 1,728,051,272 | 1,645,924,994 |
| Interest Income | 0605 | OF | 335,581 | - | - | - |
| Other Revenues | 0975 | OF | 1,404,041,666 | - | - | - |
| **TOTAL REVENUES** | | **OF** | **1,438,160,931** | **1,647,383,037** | **1,736,362,193** | **1,654,235,915** |
| **TRANSFER IN** | | | | | | |
| Transfer in Intrafund | 1010 | OF | 500 | - | - | - |
| Transfer in Administrative Services | 1107 | OF | 17,503,786 | - | - | - |
| **TOTAL TRANSFERS IN** | | **OF** | **17,504,286** | **-** | **-** | **-** |
| **TRANSFER OUT** | | | | | | |
| Transfer to Intrafund | 2010 | OF | (928,179) | - | - | - |

| Oregon Health Authority<br>Oregon Educators Benefit Board<br>44300-020-03-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| SOURCE | COMP SOURCE GROUP | FUND | 2011-13 Actuals | 2013-15 Legislatively Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget |
| TOTAL TRANSFERS OUT | | OF | (928,179) | - | - | - |
| TOTAL OTHER FUNDS | | OF | 1,454,737,038 | 1,647,383,037 | 1,736,362,193 | 1,654,235,915 |
| TOTAL AVAILABLE REVENUES | | TF | 1,454,746,053 | 1,647,383,037 | 1,736,362,193 | 1,654,235,915 |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**

**2015-17 Biennium** — **Cross Reference Number: 44300-020-03-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Charges for Services | - | 122,921 | 122,921 | 122,921 | 122,921 | - |
| Admin and Service Charges | 10,112,726 | 12,320,827 | 12,491,116 | 12,264,184 | 1,645,924,994 | - |
| Transfer In - Intrafund | 500 | - | - | - | - | - |
| Tsfr From Administrative Svcs | 447,965 | - | - | - | - | - |
| Transfer Out - Intrafund | (928,179) | - | - | - | - | - |
| **Total Other Funds** | **$9,633,012** | **$12,443,748** | **$12,614,037** | **$12,387,105** | **$1,646,047,915** | **-** |
| **Nonlimited Other Funds** | | | | | | |
| Admin and Service Charges | 6,270,958 | 1,628,294,000 | 1,628,294,000 | 1,715,787,088 | - | - |
| Interest Income | 335,581 | - | - | - | - | - |
| Other Revenues | 1,404,041,666 | - | - | - | - | - |
| Tsfr From Administrative Svcs | 17,055,821 | - | - | - | - | - |
| **Total Nonlimited Other Funds** | **$1,427,704,026** | **$1,628,294,000** | **$1,628,294,000** | **$1,715,787,088** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor**

**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | (11,747) | - | - | - | (11,747) |
| **Total Revenues** | **-** | **-** | **($11,747)** | **-** | **-** | **-** | **($11,747)** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | - | - | 1,074 | - | - | - | 1,074 |
| All Other Differential | - | - | 1,018 | - | - | - | 1,018 |
| Public Employees' Retire Cont | - | - | 161 | - | - | - | 161 |
| Pension Obligation Bond | - | - | 33,254 | - | - | - | 33,254 |
| Social Security Taxes | - | - | 160 | - | - | - | 160 |
| Vacancy Savings | - | - | (47,414) | - | - | - | (47,414) |
| **Total Personal Services** | **-** | **-** | **($11,747)** | **-** | **-** | **-** | **($11,747)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (11,747) | - | - | - | (11,747) |
| **Total Expenditures** | **-** | **-** | **($11,747)** | **-** | **-** | **-** | **($11,747)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Oregon Educators Benefit Board (OEBB)**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-020-03-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | (473,503) | - | - | - | (473,503) |
| **Total Revenues** | **-** | **-** | **($473,503)** | **-** | **-** | **-** | **($473,503)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | (8,085) | - | - | - | (8,085) |
| Employee Training | - | - | (2,226) | - | - | - | (2,226) |
| Office Expenses | - | - | (15,384) | - | - | - | (15,384) |
| Telecommunications | - | - | (6,507) | - | - | - | (6,507) |
| Publicity and Publications | - | - | (75,991) | - | - | - | (75,991) |
| Professional Services | - | - | (240,000) | - | - | - | (240,000) |
| Attorney General | - | - | (60,000) | - | - | - | (60,000) |
| Agency Program Related S and S | - | - | (42,312) | - | - | - | (42,312) |
| Other Services and Supplies | - | - | (1,398) | - | - | - | (1,398) |
| **Total Services & Supplies** | **-** | **-** | **($451,903)** | **-** | **-** | **-** | **($451,903)** |
| **Special Payments** | | | | | | | |
| Other Special Payments | - | - | (21,600) | - | - | - | (21,600) |
| **Total Special Payments** | **-** | **-** | **($21,600)** | **-** | **-** | **-** | **($21,600)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (473,503) | - | - | - | (473,503) |
| **Total Expenditures** | **-** | **-** | **($473,503)** | **-** | **-** | **-** | **($473,503)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Oregon Educators Benefit Board (OEBB)**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-020-03-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 270,580 | - | - | - | 270,580 |
| **Total Revenues** | **-** | **-** | **$270,580** | **-** | **-** | **-** | **$270,580** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 2,321 | - | - | - | 2,321 |
| Employee Training | - | - | 649 | - | - | - | 649 |
| Office Expenses | - | - | 4,349 | - | - | - | 4,349 |
| Telecommunications | - | - | 3,072 | - | - | - | 3,072 |
| Publicity and Publications | - | - | 12,781 | - | - | - | 12,781 |
| Professional Services | - | - | 78,916 | - | - | - | 78,916 |
| IT Professional Services | - | - | 68,779 | - | - | - | 68,779 |
| Attorney General | - | - | 96,123 | - | - | - | 96,123 |
| Employee Recruitment and Develop | - | - | 266 | - | - | - | 266 |
| Dues and Subscriptions | - | - | 91 | - | - | - | 91 |
| Agency Program Related S and S | - | - | 1,042 | - | - | - | 1,042 |
| Other Services and Supplies | - | - | 632 | - | - | - | 632 |
| Expendable Prop 250 - 5000 | - | - | 1,118 | - | - | - | 1,118 |
| IT Expendable Property | - | - | 441 | - | - | - | 441 |
| **Total Services & Supplies** | **-** | **-** | **$270,580** | **-** | **-** | **-** | **$270,580** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 270,580 | - | - | - | 270,580 |
| **Total Expenditures** | **-** | **-** | **$270,580** | **-** | **-** | **-** | **$270,580** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 14,770 | - | - | - | 14,770 |
| **Total Revenues** | **-** | **-** | **$14,770** | **-** | **-** | **-** | **$14,770** |
| **Services & Supplies** | | | | | | | |
| Professional Services | - | - | 7,892 | - | - | - | 7,892 |
| IT Professional Services | - | - | 6,878 | - | - | - | 6,878 |
| **Total Services & Supplies** | **-** | **-** | **$14,770** | **-** | **-** | **-** | **$14,770** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 14,770 | - | - | - | 14,770 |
| **Total Expenditures** | **-** | **-** | **$14,770** | **-** | **-** | **-** | **$14,770** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | (15,858) | - | - | - | (15,858) |
| **Total Revenues** | **-** | **-** | **($15,858)** | **-** | **-** | **-** | **($15,858)** |
| **Personal Services** | | | | | | | |
| Mass Transit Tax | - | - | (15,858) | - | - | - | (15,858) |
| **Total Personal Services** | **-** | **-** | **($15,858)** | **-** | **-** | **-** | **($15,858)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (15,858) | - | - | - | (15,858) |
| **Total Expenditures** | **-** | **-** | **($15,858)** | **-** | **-** | **-** | **($15,858)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 081 - September 2014 E-Board**

**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | - | - | - | - | - |
| Social Security Taxes | - | - | - | - | - | - | - |
| **Total Personal Services** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | - | - | - | - | - |
| **Total Expenditures** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Beginning Balance** | | | | | | | |
| Beginning Balance Adjustment | - | - | 7,613,000 | - | (7,613,000) | - | - |
| **Total Beginning Balance** | **-** | **-** | **$7,613,000** | **-** | **($7,613,000)** | **-** | **-** |
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 1,633,487,088 | - | (1,715,787,088) | - | (82,300,000) |
| **Total Revenues** | **-** | **-** | **$1,633,487,088** | **-** | **($1,715,787,088)** | **-** | **($82,300,000)** |
| **Special Payments** | | | | | | | |
| Other Special Payments | - | - | 1,633,487,088 | - | (1,715,787,088) | - | (82,300,000) |
| **Total Special Payments** | **-** | **-** | **$1,633,487,088** | **-** | **($1,715,787,088)** | **-** | **($82,300,000)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 1,633,487,088 | - | (1,715,787,088) | - | (82,300,000) |
| **Total Expenditures** | **-** | **-** | **$1,633,487,088** | **-** | **($1,715,787,088)** | **-** | **($82,300,000)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | 7,613,000 | - | (7,613,000) | - | - |
| **Total Ending Balance** | **-** | **-** | **$7,613,000** | **-** | **($7,613,000)** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 287,548 | - | - | - | 287,548 |
| **Total Revenues** | **-** | **-** | **$287,548** | **-** | **-** | **-** | **$287,548** |
| | | | | | | | |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 186,264 | - | - | - | 186,264 |
| Empl. Rel. Bd. Assessments | - | - | 44 | - | - | - | 44 |
| Public Employees' Retire Cont | - | - | 29,184 | - | - | - | 29,184 |
| Social Security Taxes | - | - | 14,249 | - | - | - | 14,249 |
| Worker's Comp. Assess. (WCD) | - | - | 69 | - | - | - | 69 |
| Flexible Benefits | - | - | 30,528 | - | - | - | 30,528 |
| **Total Personal Services** | **-** | **-** | **$260,338** | **-** | **-** | **-** | **$260,338** |
| | | | | | | | |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 2,776 | - | - | - | 2,776 |
| Employee Training | - | - | 764 | - | - | - | 764 |
| Office Expenses | - | - | 5,282 | - | - | - | 5,282 |
| Telecommunications | - | - | 2,234 | - | - | - | 2,234 |
| Intra-agency Charges | - | - | 15,674 | - | - | - | 15,674 |
| Other Services and Supplies | - | - | 480 | - | - | - | 480 |
| **Total Services & Supplies** | **-** | **-** | **$27,210** | **-** | **-** | **-** | **$27,210** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 287,548 | - | - | - | 287,548 |
| **Total Expenditures** | **-** | **-** | **$287,548** | **-** | **-** | **-** | **$287,548** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 1 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **1** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 1.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **1.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 409 - Transparency and Engagement**

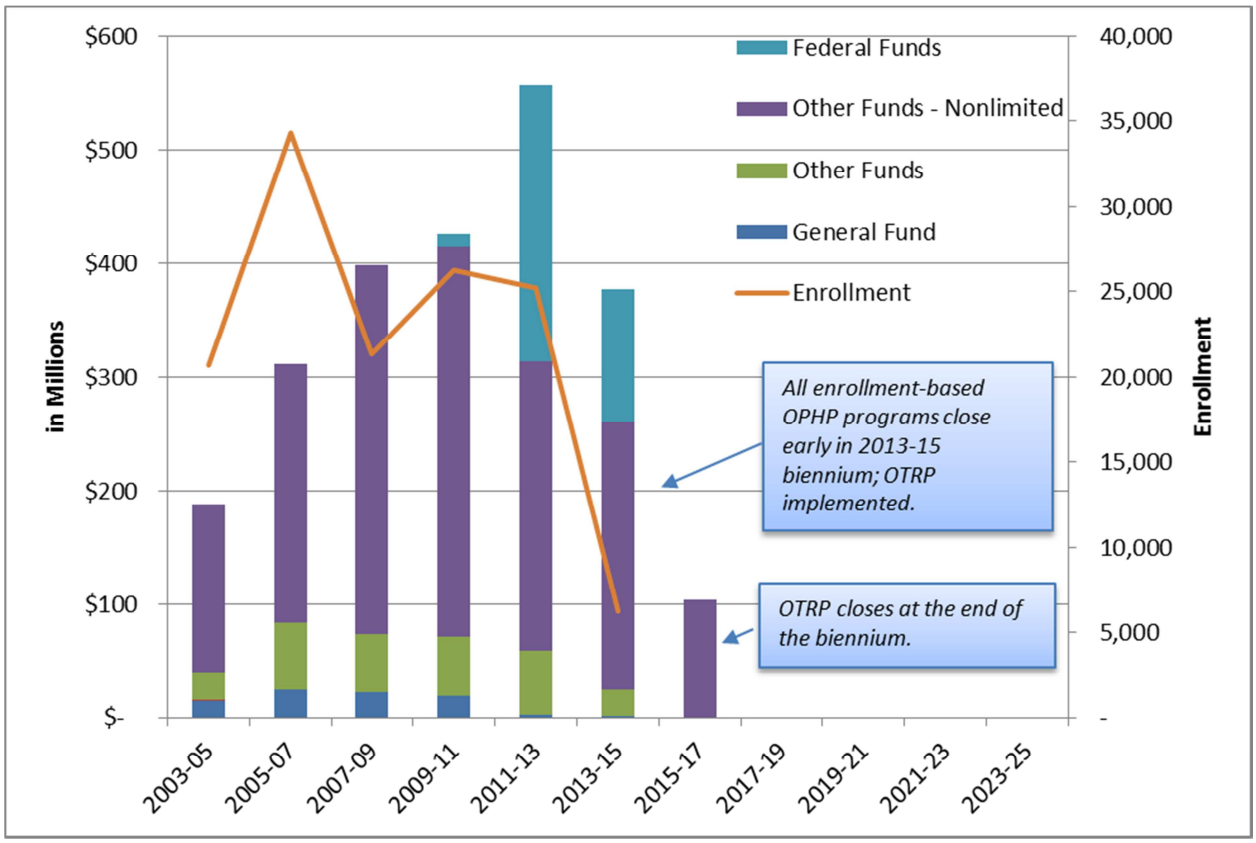
**Cross Reference Name: Oregon Educators Benefit Board (OEBB)**
**Cross Reference Number: 44300-020-03-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Admin and Service Charges | - | - | 450,000 | - | - | - | 450,000 |
| **Total Revenues** | **-** | **-** | **$450,000** | **-** | **-** | **-** | **$450,000** |
| **Services & Supplies** | | | | | | | |
| Professional Services | - | - | 450,000 | - | - | - | 450,000 |
| **Total Services & Supplies** | **-** | **-** | **$450,000** | **-** | **-** | **-** | **$450,000** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 450,000 | - | - | - | 450,000 |
| **Total Expenditures** | **-** | **-** | **$450,000** | **-** | **-** | **-** | **$450,000** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013289 B | Y7500 AE | BOARD AND COMMISSION MEMBER | | .00 | .00 | 00 | 0.00 | | 720 | | | 720 |
| | | | | | | | | | 55 | | | 55 |
| 1013290 B | Y7500 AE | BOARD AND COMMISSION MEMBER | | .00 | .00 | 00 | 0.00 | | 720 | | | 720 |
| | | | | | | | | | 55 | | | 55 |
| 1013367 MMN | X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | 1.00 | 24.00 | 08 | 7,701.00 | | 184,824 | | | 184,824 |
| | | | | | | | | | 73,964 | | | 73,964 |
| | | TOTAL PICS SALARY | | | | | | | 186,264 | | | 186,264 |
| | | TOTAL PICS OPE | | | | | | | 74,074 | | | 74,074 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 1 | 1.00 | 24.00 | | | | 260,338 | | | 260,338 |

# Oregon Health Authority: Office of Private Health Partnerships

Primary Outcome Area: Healthy People
Secondary Outcome Area: N/A
Program Contact: Craig Urbani, OTRP Administrator
503-947-2320




## EXECUTIVE SUMMARY

The Office of Private Health Partnerships (OPHP) administered six programs that closed early in the 2013-2015 biennium due to implementation of the federal Affordable Care Act (ACA) in January 2014. The programs that closed were the Family Health Insurance Assistance Program (FHIAP); two programs for high risk uninsurable individuals, the Oregon Medical Insurance Pool (OMIP), and the Federal Medical Insurance Pool (FMIP); a Children's Reinsurance Pool (CRP)

administered within the OMIP program; the Healthy Kids Connect (HKC) program, and the Information, Education and Outreach (IEO) program.

The only program remaining in the OPHP structure through the remainder of 2013-2015 and extending into 2015-2017 is the Oregon Transitional Reinsurance Pool (OTRP) program. It was adopted by the Legislature in the 2013 regular session (HB 3458). It is a temporary measure to help stabilize individual market premiums during the transition to "guaranteed issue" health insurance coverage required by the ACA by covering a portion of exceptional claims costs for roughly 2,100 high-risk Oregonians. This program is scheduled in statute to close by the end of the 2015-2017 biennium, and at that point the OPHP budget structure also is expected to close.

## PROGRAM FUNDING REQUEST

For the 2015-2017 biennium, OPHP is requesting $701,884 in Other Funds-Limited and $103,500 million in Other Funds-Non-Limited to continue operations of the OTRP program, which is scheduled to close at the end of the biennium.

## PROGRAM DESCRIPTION

Starting January 1, 2014, due to the passage of the federal Affordable Care Act (ACA), thousands of additional Oregonians gained access to health insurance in the individual insurance market. This market serves anyone not covered through an employer-sponsored health plan. Health plans may cost more for many people due to the following factors:

- Plans will have increased benefits and cover more services.
- Insurers can no longer deny coverage to anyone based on their health (pre-existing conditions).
- Thousands of Oregonians who currently buy insurance through state programs for people with pre-existing conditions, and those who are enrolled in portability policies in the commercial market, will move to the individual market. (Portability policies cover people who leave their jobs and are denied individual coverage because of pre-existing conditions.)

To stabilize premiums, HB 3458 (2013 regular session) established the Oregon Transitional Reinsurance Pool (OTRP) program. This is a reinsurance program that pays a portion of high claims costs and helps to stabilize individual market

premiums. The program spreads (to large and small employer groups as well as individuals) a portion of exceptional claims costs for about 2,100 high-risk Oregonians.

This is a temporary program that will cover only claims incurred through December 31, 2016. The program will close once these claims reimbursements are complete. The program will be funded by an annual assessment on all insurers for calendar years 2014, 2015 and 2016. The assessment will be at a rate that is expected to produce funds equal to the needed reinsurance payments. This is the only remaining active program in the Office of Private Health Partnerships structure in 2015-2017.

HB 3458 tasks the Oregon Medical Insurance Pool (OMIP) Board, part of the Oregon Health Authority, with the administration of the program in collaboration with the Department of Consumer and Business Services Insurance Division.

## PROGRAM JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

There is a direct link between the OTRP program and the intent of the Healthy People program to improve access to health insurance coverage and produce outcomes that promote lifelong health. Projections suggest the program will mitigate premiums increases, help stabilize the health insurance market, and make insurance more affordable for Oregonians.

## PROGRAM PERFORMANCE

The OTRP program's performance can be measured primarily by the impact it has on offsetting premium increases in the commercial market during its three years of operation (calendar years 2014, 2015 and 2016). The impact in 2014 is estimated to be a -4 percent offset to rising premiums.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

The only remaining program operating in the OPHP structure in 2015-2017, OTRP, is governed by Oregon Laws 2014 Chapter 80.

## FUNDING STREAMS

The OTRP program is entirely supported by statutorily dedicated (see statutory reference above) non-limited and limited Other Funds generated by assessments on licensed Oregon commercial health insurers.

## SIGNIFICANT PROPOSED PROGRAM CHANGES FROM 2013-2015

As noted previously, all OPHP programs closed during 2013-2015, and the only program continuing into 2015-2017 is the OTRP program. OTRP program rules and operations were in development at the time this narrative was prepared and could potentially result in proposals for changes to the program structure and budget. However, no significant changes are currently proposed in the program from what was established in 2013-2015, and the program is slated in statue to close by the end of the 2015-2017 biennium.

**Oregon Health Authority**
**Private Health Partnerships**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-04-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 62 | 15.67 | 377,238,471 | 1,710,302 | - | 22,702,031 | 116,408,573 | 236,417,565 | - |
| 2013-15 Emergency Boards | - | - | 68,111 | 34,015 | - | 34,096 | - | - | - |
| **2013-15 Leg Approved Budget** | **62** | **15.67** | **377,306,582** | **1,744,317** | **-** | **22,736,127** | 116,408,573 | **236,417,565** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (62) | (15.67) | (2,557,020) | (733,724) | - | (882,121) | (941,175) | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | (132,917,565) | - | - | - | - | (132,917,565) | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **-** | **-** | **241,831,997** | **1,010,593** | **-** | **21,854,006** | 115,467,398 | **103,500,000** | **-** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | 156,888 | - | - | 101,004 | 55,884 | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | (292,690) | (1,588) | - | (112,002) | (179,100) | - | - |
| **Subtotal** | **-** | **-** | **(135,802)** | **(1,588)** | **-** | **(10,998)** | (123,216) | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | 72,975 | - | - | 72,975 | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (137,567,286) | (1,009,005) | - | (21,214,099) | (115,344,182) | - | - |
| **Subtotal** | **-** | **-** | **(137,494,311)** | **(1,009,005)** | **-** | **(21,141,124)** | (115,344,182) | **-** | **-** |
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | - | - | - | - | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **-** | **-** | **104,201,884** | **-** | **-** | **701,884** | - | **103,500,000** | **-** |

**Oregon Health Authority**
**Private Health Partnerships**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-04-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **-** | **-** | **104,201,884** | **-** | **-** | **701,884** | **-** | **103,500,000** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **-** | **-** | **104,201,884** | **-** | **-** | **701,884** | **-** | **103,500,000** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | - | - | - | - | - | - | - |
| 091 - December 2014 Rebalance | - | - | - | - | - | - | - | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Private Health Partnerships**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-04-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **-** | **-** | **104,201,884** | **-** | **-** | **701,884** | - | **103,500,000** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | -100.00% | -100.00% | -72.38% | -100.00% | - | -96.91% | -100.00% | -56.22% | - |
| Percentage Change From 2015-17 Current Service Level | - | - | - | - | - | - | - | - | - |

| Oregon Health Authority<br>Private Health Partnerships Program<br>44300-020-04-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND** | | | | | | |
| **REVENUES** | | | | | | |
| General Fund Appropriation | 0050 | GF | 2,609,493 | 1,744,317 | - | - |
| **TOTAL REVENUES** | | **GF** | **2,609,493** | **1,744,317** | **-** | **-** |
| **TOTAL GENERAL FUNDS** | | **GF** | **2,609,493** | **1,744,317** | **-** | **-** |
| **OTHER FUNDS** | | | | | | |
| **REVENUES** | | | | | | |
| Beginning Balance | 0025 | OF | 22,202,677 | 29,622,133 | - | - |
| Other Selective Taxes | 0190 | OF | 34,861,699 | - | - | - |
| Charges for Services | 0410 | OF | 137,806,150 | 411,488 | - | - |
| Administrative Service Charges | 0415 | OF | 102,903,127 | - | - | - |
| Interest Income | 0605 | OF | 237,240 | 3,070,108 | - | - |
| Donations | 0905 | OF | 2,024,028 | - | - | - |
| Insurance Premiums | 0965 | OF | - | 116,712,922 | - | - |
| Other Revenues | 0975 | OF | 311,348 | 134,658,506 | 104,201,884 | 104,201,884 |
| **TOTAL REVENUES** | | **OF** | **300,346,269** | **284,475,157** | **104,201,884** | **104,201,884** |
| **TRANSFER IN** | | | | | | |
| Transfer in Consumer Business Services | 1440 | OF | 10,424,989 | 7,291,258 | - | - |
| **TOTAL TRANSFERS IN** | | **OF** | **10,424,989** | **7,291,258** | **-** | **-** |

| Oregon Health Authority<br>Private Health Partnerships Program<br>44300-020-04-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **TRANSFER OUT** | | | | | | |
| Transfer to Intrafund | 2010 | OF | 856,883 | - | - | - |
| **TOTAL TRANSFERS OUT** | | **OF** | **856,883** | **-** | - | - |
| **TOTAL OTHER FUNDS** | | **OF** | **311,628,141** | **291,766,415** | **104,201,884** | **104,201,884** |
| **FEDERAL FUNDS REVENUES** | | | | | | |
| Federal Funds Revenue | 0995 | FF | 244,150,444 | 116,516,756 | - | - |
| **TOTAL REVENUES** | | **FF** | **244,150,444** | **116,516,756** | **-** | **-** |
| **TOTAL FEDERAL FUNDS** | | **FF** | **244,150,444** | **116,516,756** | **-** | **-** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **558,388,078** | **410,027,488** | **104,201,884** | **104,201,884** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-020-04-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Other Selective Taxes | 34,861,699 | - | - | - | - | - |
| Charges for Services | 19,400,027 | 411,488 | 411,488 | - | - | - |
| Interest Income | 237,240 | 70,108 | 70,108 | - | - | - |
| Donations | 249,743 | - | - | - | - | - |
| Insurance Premiums | - | 13,931,687 | 13,931,687 | - | - | - |
| Other Revenues | 311,345 | 3,988,080 | 4,022,176 | 701,884 | 701,884 | - |
| Tsfr From Consumer/Bus Svcs | 809,595 | 7,291,258 | 7,291,258 | - | - | - |
| Transfer Out - Intrafund | 856,883 | - | - | - | - | - |
| **Total Other Funds** | **$56,726,532** | **$25,692,621** | **$25,726,717** | **$701,884** | **$701,884** | **-** |
| **Federal Funds** | | | | | | |
| Federal Funds | 244,150,444 | 116,516,756 | 116,516,756 | - | - | - |
| **Total Federal Funds** | **$244,150,444** | **$116,516,756** | **$116,516,756** | **-** | **-** | **-** |
| **Nonlimited Other Funds** | | | | | | |
| Charges for Services | 118,406,123 | - | - | - | - | - |
| Admin and Service Charges | 102,903,127 | - | - | - | - | - |
| Interest Income | - | 3,000,000 | 3,000,000 | - | - | - |
| Donations | 1,774,285 | - | - | - | - | - |
| Insurance Premiums | - | 102,781,235 | 102,781,235 | - | - | - |
| Other Revenues | 3 | 130,636,330 | 130,636,330 | 103,500,000 | 103,500,000 | - |
| Tsfr From Consumer/Bus Svcs | 9,615,394 | - | - | - | - | - |
| **Total Nonlimited Other Funds** | **$232,698,932** | **$236,417,565** | **$236,417,565** | **$103,500,000** | **$103,500,000** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor**

**Cross Reference Name: Private Health Partnerships**
**Cross Reference Number: 44300-020-04-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (1,588) | - | - | - | - | - | (1,588) |
| Charges for Services | - | - | (26,811) | - | - | - | (26,811) |
| Other Revenues | - | - | 15,813 | - | - | - | 15,813 |
| Federal Funds | - | - | - | (123,216) | - | - | (123,216) |
| **Total Revenues** | **($1,588)** | **-** | **($10,998)** | **($123,216)** | **-** | **-** | **($135,802)** |
| **Personal Services** | | | | | | | |
| Pension Obligation Bond | (1,588) | - | (112,002) | (179,100) | - | - | (292,690) |
| Vacancy Savings | - | - | 101,004 | 55,884 | - | - | 156,888 |
| **Total Personal Services** | **($1,588)** | **-** | **($10,998)** | **($123,216)** | **-** | **-** | **($135,802)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (1,588) | - | (10,998) | (123,216) | - | - | (135,802) |
| **Total Expenditures** | **($1,588)** | **-** | **($10,998)** | **($123,216)** | **-** | **-** | **($135,802)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Private Health Partnerships**
**Cross Reference Number: 44300-020-04-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | 72,975 | - | - | - | 72,975 |
| **Total Revenues** | **-** | **-** | **$72,975** | **-** | **-** | **-** | **$72,975** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | - | - | 300,347 | - | - | - | 300,347 |
| All Other Differential | - | - | (131,028) | - | - | - | (131,028) |
| Public Employees' Retire Cont | - | - | (20,689) | - | - | - | (20,689) |
| Social Security Taxes | - | - | 12,953 | - | - | - | 12,953 |
| **Total Personal Services** | **-** | **-** | **$161,583** | **-** | **-** | **-** | **$161,583** |
| **Services & Supplies** | | | | | | | |
| Agency Program Related S and S | - | - | (88,608) | - | - | - | (88,608) |
| **Total Services & Supplies** | **-** | **-** | **($88,608)** | **-** | **-** | **-** | **($88,608)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 72,975 | - | - | - | 72,975 |
| **Total Expenditures** | **-** | **-** | **$72,975** | **-** | **-** | **-** | **$72,975** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 022 - Phase-out Pgm & One-time Costs**

**Cross Reference Name: Private Health Partnerships**
**Cross Reference Number: 44300-020-04-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (1,009,005) | - | - | - | - | - | (1,009,005) |
| Charges for Services | - | - | (14,253) | - | - | - | (14,253) |
| Interest Income | - | - | (70,108) | - | - | - | (70,108) |
| Insurance Premiums | - | - | (13,931,687) | - | - | - | (13,931,687) |
| Other Revenues | - | - | (1,868,269) | - | - | - | (1,868,269) |
| Federal Funds | - | - | - | (115,344,182) | - | - | (115,344,182) |
| Tsfr From Consumer/Bus Svcs | - | - | (5,329,782) | - | - | - | (5,329,782) |
| **Total Revenues** | **($1,009,005)** | **-** | **($21,214,099)** | **($115,344,182)** | **-** | **-** | **($137,567,286)** |
| **Personal Services** | | | | | | | |
| Mass Transit Tax | (417) | - | (8,789) | - | - | - | (9,206) |
| **Total Personal Services** | **($417)** | **-** | **($8,789)** | **-** | **-** | **-** | **($9,206)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | (2,765) | - | (13,921) | (6,164) | - | - | (22,850) |
| Out of State Travel | (536) | - | (1,906) | (4,430) | - | - | (6,872) |
| Employee Training | (2,971) | - | (2,507) | (5,548) | - | - | (11,026) |
| Office Expenses | (30,592) | - | (13,726) | (313,164) | - | - | (357,482) |
| Telecommunications | (38,617) | - | (8,938) | (114,485) | - | - | (162,040) |
| Data Processing | (885) | - | (1,277) | (1,194) | - | - | (3,356) |
| Publicity and Publications | (21,309) | - | (18,511) | (180,803) | - | - | (220,623) |
| Professional Services | (129,936) | - | (116,273) | (261,854) | - | - | (508,063) |
| IT Professional Services | - | - | (1,191) | (360) | - | - | (1,551) |
| Attorney General | (2,240) | - | (6,199) | (1,779) | - | - | (10,218) |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 022 - Phase-out Pgm & One-time Costs**

**Cross Reference Name: Private Health Partnerships**
**Cross Reference Number: 44300-020-04-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Employee Recruitment and Develop | (525) | - | (341) | (4,218) | - | - | (5,084) |
| Dues and Subscriptions | (384) | - | (50) | (469) | - | - | (903) |
| Agency Program Related S and S | (1,545) | - | (837) | (1,135) | - | - | (3,517) |
| Other Services and Supplies | (1,124) | - | (1,121) | (1,226) | - | - | (3,471) |
| Expendable Prop 250 - 5000 | (9,159) | - | (5,656) | (57,482) | - | - | (72,297) |
| IT Expendable Property | (203) | - | (735) | (977) | - | - | (1,915) |
| **Total Services & Supplies** | **($242,791)** | **-** | **($193,189)** | **($955,288)** | **-** | **-** | **($1,391,268)** |
| **Special Payments** | | | | | | | |
| Dist to Non-Gov Units | - | - | - | (54,262,291) | - | - | (54,262,291) |
| Dist to Individuals | (765,797) | - | (21,012,121) | (60,126,603) | - | - | (81,904,521) |
| **Total Special Payments** | **($765,797)** | **-** | **($21,012,121)** | **($114,388,894)** | **-** | **-** | **($136,166,812)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (1,009,005) | - | (21,214,099) | (115,344,182) | - | - | (137,567,286) |
| **Total Expenditures** | **($1,009,005)** | **-** | **($21,214,099)** | **($115,344,182)** | **-** | **-** | **($137,567,286)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

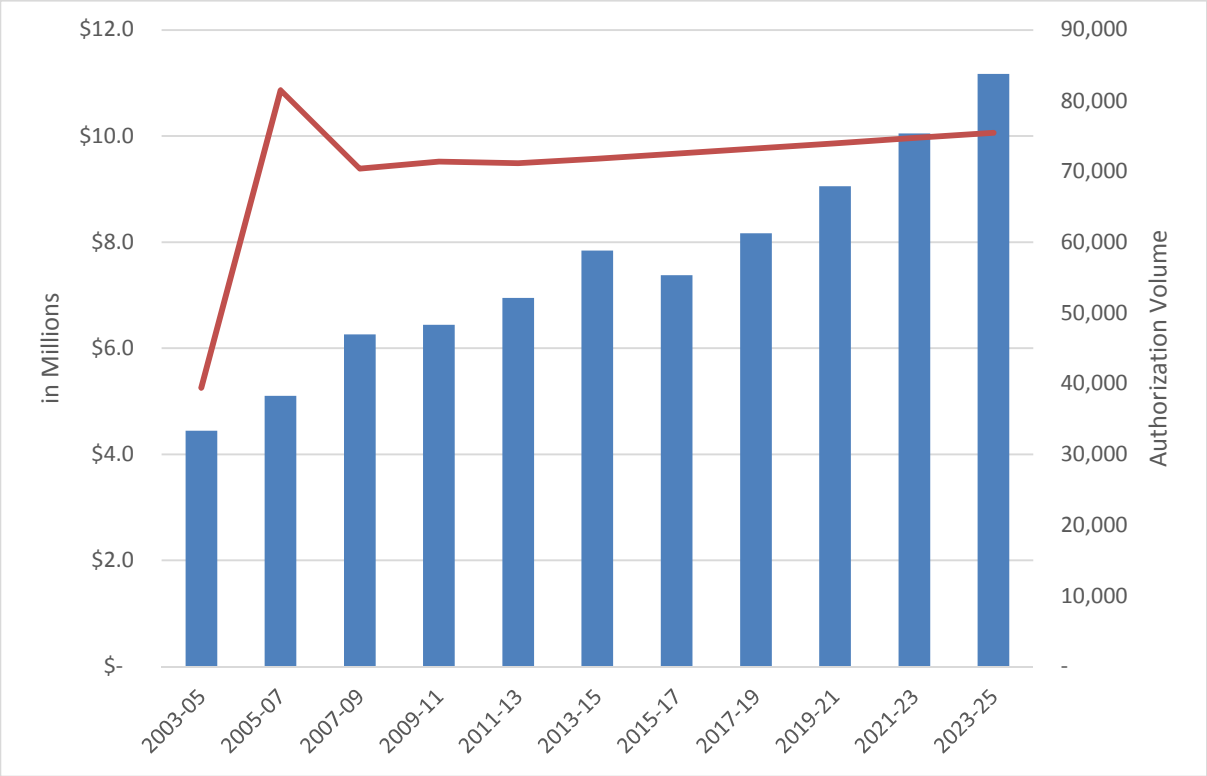
**Oregon Health Authority**
**Pkg: 022 - Phase-out Pgm & One-time Costs**

**Cross Reference Name: Private Health Partnerships**
**Cross Reference Number: 44300-020-04-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | - |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# Oregon Health Authority: Health Licensing Office

Primary Outcome Area: Healthy People
Secondary Outcome Area: Safety
Contact: Sylvie Donaldson, Fiscal Services and Licensing Manager
503-373-1974




## EXECUTIVE SUMMARY

The Health Licensing Office (HLO) puts qualified Oregonians to work while protecting Oregon consumers. HLO sets, communicates, licenses and enforces regulatory standards for multiple health and related professions.

## FUNDING REQUEST

For the 2015-17 biennium, HLO requests $7,374,784 in Other Funds-Limited to maintain ongoing operations. The request also includes adjustments necessary complete the merge of HLO with the Oregon Health Authority (OHA) started mid-biennium in 2013-15.

## DESCRIPTION

The Oregon Health Licensing Agency (OHLA) merged with the Oregon Health Authority (OHA) in July 2014, and is now an office within OHA titled the Health Licensing Office (HLO). Following the transition, HLO retains the same function, purpose, and funding structure, where it still operates solely as a 100% other funded structure. HLO regulates over 70,000 authorizations among 12 boards and councils, including over 4,800 facilities. HLO provides services to program clients on a daily basis and sets, communicates, licenses and enforces regulatory standards for the multiple health and related professions it oversees.

HLO achieves its mission by collecting revenues from applications, examinations, authorizations, authorization renewals, charges for services, fines and forfeitures, sales income and interagency agreements. Revenues collected from each board and council fund the Administrative Services, Fiscal Services, Licensing, and the Regulatory Operations units in HLO. Each board and council is required to pay part of the overall HLO budget determined by the allocation service costs attributed to each board and council.

Major cost drivers for HLO operations are derived from writing rules and legislation, conducting examinations, licensing clients, inspecting facilities, investigating complaints, fiscal operations, information technologies, and human resources. Another major cost driver for HLO are continued charges from DAS Risk Management for litigation expenses that increased most significantly during the 2011-13 biennium. These expenses continue at a heightened level on into the 2015-17 biennium, but are expected to slowly decrease in future biennia.

## JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

The primary purpose of HLO is to ensure effective coordination of administrative and regulatory functions related to protecting the public as mandated by ORS 676.605. Public protection relates to the regulatory oversight HLO provides over health and related professions it regulates as mandated by ORS 676.606. HLO measures it success by ensuring all complaints are investigated within 120 days as described in ORS 676.165(4) and that Oregonians providing services are licensed by the HLO as described in 676.607. The HLO is 100% other funded and funds are solely invested into protecting Oregonians and fulfilling all of HLO's and the boards/council's statutory requirements. These requirements are directly linked to the safety outcomes outlined in the 10-year plan.

## PROGRAM PERFORMANCE

HLO performance is measured using active authorization volume and the cost of each service unit. Each performance metric is indicated over time. During the 2005-07 biennium the Cosmetology authorization was split into four separate fields of practice. The change drastically increased authorization volume and decreased the cost per service unit.

| *Biennium* | *Other Funds* | *Authorization Volume* | *Cost per service unit* |
|---|---|---|---|
| *2003-05 Actuals* | $ 4,444,249 | 39,408 | $ 113 |
| *2005-07 Actuals* | $ 5,102,020 | 81,500 | $ 63 |
| *2007-09 Actuals* | $ 6,262,795 | 70,376 | $ 89 |
| *2009-11 Actuals* | $ 6,443,623 | 71,391 | $ 90 |
| *2011-13 Actuals* | $ 6,948,084 | 71,161 | $ 98 |
| *2013-15 LAB** | $ 7,839,417 | 71,808 | $ 109 |
| *2015-17 GB* | $ 7,374,784 | 72,526 | $ 102 |
| *2017-19* | $ 8,166,704 | 73,251 | $ 111 |
| *2019-21* | $ 9,055,407 | 73,984 | $ 122 |
| *2021-23* | $ 10,051,732 | 74,724 | $ 135 |
| *2023-25* | $ 11,173,237 | 75,471 | $ 148 |

** Merge implemented mid-2013-15, and budget split between Agency 831 and 445; combined here for clarity.*

**Active Authorization Volume** = The active authorizations for the specified time period.
**Cost per Service Unit** = Cost per authorization for the specified time period.

## ENABLING LEGISLATION/AUTHORIZATION

The list below gives the legislation for the Health Licensing Office and each of its boards and one council.

**Health Licensing Office**
ORS 676.600-676.625 and 676.992

**Athletic Trainers, Board of**
ORS 688.701-688.734

**Cosmetology, Board of**
ORS 690.005-690.235 and 690.992

**Denture Technology, Board of**
ORS 680.500-680.570 and 680.990

**Respiratory Therapists and Polysomnographic Technologists Licensing Board**
ORS 688.800-688.840 and 688.995

**Environmental Health Registration Board**
ORS 700.005-700.240 and 700.992-700.995

**Hearing Aid Specialists, Advisory Council**
ORS 694.015-694.185 and 694.991

**Direct Entry Midwifery**, **Board of**
ORS 687.405-495 and 687.895 and 687.991

**Sex Offender Treatment Board**
ORS 675.360 -675.410

**Nursing Home Administrators Board**
ORS 678.710-678.990

**Licensed Dietitians, Board of**
ORS 691.405-691.485

**Body Art Practitioners, Board of**
ORS 690.350-690.415

**Behavior Analysis Regulatory Board**
ORS 676.800

## FUNDING STREAMS

The Health Licensing Office (HLO) is supported by each of the boards and one council's funding streams. HLO is 100% other funded and collects revenues from applications, examinations, authorizations, authorization renewals, charges for services, fines and forfeitures, sales income and interagency agreements. Each board and council is self-sufficient and supports their own expenses within HLO's budget.

## SIGNIFICANT PROPOSED CHANGES FROM 2013-15

Other than completing the merge with OHA started in 2013-15 in the 2017-15 budget, the HLO's budget does not have any significant changes.

**Oregon Health Authority**
**Health Licensing Office**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-07-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | - | - | - | - | - | - | - | - | - |
| 2013-15 Emergency Boards | 35 | 17.50 | 3,957,176 | - | - | 3,957,176 | - | - | - |
| **2013-15 Leg Approved Budget** | **35** | **17.50** | **3,957,176** | **-** | **-** | **3,957,176** | - | **-** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | - | 17.50 | 2,797,238 | - | - | 2,797,238 | - | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **35** | **35.00** | **6,754,414** | **-** | **-** | **6,754,414** | - | **-** | **-** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | (52,134) | - | - | (52,134) | - | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 125,806 | - | - | 125,806 | - | - | - |
| **Subtotal** | **-** | **-** | **73,672** | **-** | **-** | **73,672** | - | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | 1,126,847 | - | - | 1,126,847 | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | - | - | - | - | - | - | - |
| **Subtotal** | **-** | **-** | **1,126,847** | **-** | **-** | **1,126,847** | - | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 38,739 | - | - | 38,739 | - | - | - |
| **Subtotal** | **-** | **-** | **38,739** | **-** | **-** | **38,739** | - | **-** | **-** |

**Oregon Health Authority**
**Health Licensing Office**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-07-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | (618,888) | - | - | (618,888) | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **35** | **35.00** | **7,374,784** | **-** | **-** | **7,374,784** | - | **-** | **-** |

**Oregon Health Authority**
**Health Licensing Office**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-07-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **35** | **35.00** | **7,374,784** | **-** | **-** | **7,374,784** | - | **-** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **35** | **35.00** | **7,374,784** | **-** | **-** | **7,374,784** | - | **-** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | - | - | - | - | - | - | - |
| 091 - December 2014 Rebalance | - | - | - | - | - | - | - | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **-** | **-** | **-** | **-** | **-** | **-** | - | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Health Licensing Office**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-07-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **35** | **35.00** | **7,374,784** | **-** | **-** | **7,374,784** | - | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | - | 100.00% | 86.36% | - | - | 86.36% | - | - | - |
| Percentage Change From 2015-17 Current Service Level | - | - | - | - | - | - | - | - | - |

| Oregon Health Authority<br>Health Licensing Office<br>44300-020-07-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **OTHER FUNDS** | | | | | | |
| **REVENUES** | | | | | | |
| Business License & Fees | 0205 | OF | - | 3,076,896 | 6,473,156 | 6,449,929 |
| Non-Business License & Fees | 0210 | OF | - | 806,535 | 806,535 | 806,535 |
| Charges for Services | 0410 | OF | - | 1,200 | 1,200 | 1,200 |
| Fines and Forfeitures | 0505 | OF | - | 112,152 | 112,152 | 112,152 |
| Sales Income | 0705 | OF | - | 1,800 | 1,800 | 1,800 |
| Other Revenues | 0975 | OF | - | 3,168 | 3,168 | 3,168 |
| **TOTAL REVENUES** | | **OF** | **-** | **4,001,751** | **7,398,011** | **7,374,784** |
| **TRANSFER IN** | | | | | | |
| Transfer in Education | 1581 | OF | - | 4,200 | - | - |
| **TOTAL TRANSFERS IN** | | **OF** | **-** | **4,200** | **-** | **-** |
| **TOTAL OTHER FUNDS** | | **OF** | **-** | **4,005,951** | **7,398,011** | **7,374,784** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **-** | **4,005,951** | **7,398,011** | **7,374,784** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** **Agency Number: 44300**
**2015-17 Biennium** **Cross Reference Number: 44300-020-07-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Business Lic and Fees | - | - | 3,076,896 | 6,473,156 | 6,449,929 | - |
| Non-business Lic. and Fees | - | - | 806,535 | 806,535 | 806,535 | - |
| Charges for Services | - | - | 1,200 | 1,200 | 1,200 | - |
| Fines and Forfeitures | - | - | 112,152 | 112,152 | 112,152 | - |
| Sales Income | - | - | 1,800 | 1,800 | 1,800 | - |
| Other Revenues | - | - | 3,168 | 3,168 | 3,168 | - |
| Tsfr From Education, Dept of | - | - | 4,200 | - | - | - |
| **Total Other Funds** | **-** | **-** | **$4,005,951** | **$7,398,011** | **$7,374,784** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor**

**Cross Reference Name: Health Licensing Office**
**Cross Reference Number: 44300-020-07-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Business Lic and Fees | - | - | 73,672 | - | - | - | 73,672 |
| **Total Revenues** | **-** | **-** | **$73,672** | **-** | **-** | **-** | **$73,672** |
| | | | | | | | |
| **Personal Services** | | | | | | | |
| Temporary Appointments | - | - | 1,496 | - | - | - | 1,496 |
| Overtime Payments | - | - | 778 | - | - | - | 778 |
| Shift Differential | - | - | - | - | - | - | - |
| All Other Differential | - | - | 514 | - | - | - | 514 |
| Public Employees' Retire Cont | - | - | 204 | - | - | - | 204 |
| Pension Obligation Bond | - | - | 122,597 | - | - | - | 122,597 |
| Social Security Taxes | - | - | 213 | - | - | - | 213 |
| Unemployment Assessments | - | - | 4 | - | - | - | 4 |
| Vacancy Savings | - | - | (52,134) | - | - | - | (52,134) |
| **Total Personal Services** | **-** | **-** | **$73,672** | **-** | **-** | **-** | **$73,672** |
| | | | | | | | |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 73,672 | - | - | - | 73,672 |
| **Total Expenditures** | **-** | **-** | **$73,672** | **-** | **-** | **-** | **$73,672** |
| | | | | | | | |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Health Licensing Office**
**Cross Reference Number: 44300-020-07-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Business Lic and Fees | - | - | 1,126,847 | - | - | - | 1,126,847 |
| **Total Revenues** | **-** | **-** | **$1,126,847** | **-** | **-** | **-** | **$1,126,847** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | - | - | 75,412 | - | - | - | 75,412 |
| Overtime Payments | - | - | 26,707 | - | - | - | 26,707 |
| All Other Differential | - | - | 17,640 | - | - | - | 17,640 |
| Public Employees' Retire Cont | - | - | 7,002 | - | - | - | 7,002 |
| Social Security Taxes | - | - | 9,161 | - | - | - | 9,161 |
| Unemployment Assessments | - | - | 137 | - | - | - | 137 |
| Mass Transit Tax | - | - | 9,239 | - | - | - | 9,239 |
| **Total Personal Services** | **-** | **-** | **$145,298** | **-** | **-** | **-** | **$145,298** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 53,527 | - | - | - | 53,527 |
| Out of State Travel | - | - | 9,088 | - | - | - | 9,088 |
| Employee Training | - | - | 4,838 | - | - | - | 4,838 |
| Office Expenses | - | - | 66,210 | - | - | - | 66,210 |
| Telecommunications | - | - | 39,069 | - | - | - | 39,069 |
| Data Processing | - | - | 39,942 | - | - | - | 39,942 |
| Publicity and Publications | - | - | 24,011 | - | - | - | 24,011 |
| Professional Services | - | - | 46,154 | - | - | - | 46,154 |
| IT Professional Services | - | - | 132,674 | - | - | - | 132,674 |
| Attorney General | - | - | 336,740 | - | - | - | 336,740 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Health Licensing Office**
**Cross Reference Number: 44300-020-07-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Dispute Resolution Services | - | - | 9,752 | - | - | - | 9,752 |
| Employee Recruitment and Develop | - | - | 1,402 | - | - | - | 1,402 |
| Dues and Subscriptions | - | - | 600 | - | - | - | 600 |
| Facilities Rental and Taxes | - | - | 136,944 | - | - | - | 136,944 |
| Other Services and Supplies | - | - | 73,718 | - | - | - | 73,718 |
| IT Expendable Property | - | - | 6,880 | - | - | - | 6,880 |
| **Total Services & Supplies** | **-** | **-** | **$981,549** | **-** | **-** | **-** | **$981,549** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 1,126,847 | - | - | - | 1,126,847 |
| **Total Expenditures** | **-** | **-** | **$1,126,847** | **-** | **-** | **-** | **$1,126,847** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Health Licensing Office**
**Cross Reference Number: 44300-020-07-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Business Lic and Fees | - | - | 38,226 | - | - | - | 38,226 |
| **Total Revenues** | **-** | **-** | **$38,226** | **-** | **-** | **-** | **$38,226** |
| | | | | | | | |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 1,541 | - | - | - | 1,541 |
| Out of State Travel | - | - | 262 | - | - | - | 262 |
| Employee Training | - | - | 139 | - | - | - | 139 |
| Office Expenses | - | - | 1,906 | - | - | - | 1,906 |
| Telecommunications | - | - | 1,125 | - | - | - | 1,125 |
| Data Processing | - | - | 1,150 | - | - | - | 1,150 |
| Publicity and Publications | - | - | 691 | - | - | - | 691 |
| Professional Services | - | - | 1,325 | - | - | - | 1,325 |
| IT Professional Services | - | - | 3,808 | - | - | - | 3,808 |
| Attorney General | - | - | 19,960 | - | - | - | 19,960 |
| Employee Recruitment and Develop | - | - | 40 | - | - | - | 40 |
| Dues and Subscriptions | - | - | 17 | - | - | - | 17 |
| Facilities Rental and Taxes | - | - | 3,942 | - | - | - | 3,942 |
| Other Services and Supplies | - | - | 2,122 | - | - | - | 2,122 |
| IT Expendable Property | - | - | 198 | - | - | - | 198 |
| **Total Services & Supplies** | **-** | **-** | **$38,226** | **-** | **-** | **-** | **$38,226** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Health Licensing Office**
**Cross Reference Number: 44300-020-07-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 38,226 | - | - | - | 38,226 |
| **Total Expenditures** | **-** | **-** | **$38,226** | **-** | **-** | **-** | **$38,226** |
| | | | | | | | |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: Health Licensing Office**
**Cross Reference Number: 44300-020-07-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Business Lic and Fees | - | - | 513 | - | - | - | 513 |
| **Total Revenues** | **-** | **-** | **$513** | **-** | **-** | **-** | **$513** |
| **Services & Supplies** | | | | | | | |
| Professional Services | - | - | 132 | - | - | - | 132 |
| IT Professional Services | - | - | 381 | - | - | - | 381 |
| **Total Services & Supplies** | **-** | **-** | **$513** | **-** | **-** | **-** | **$513** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 513 | - | - | - | 513 |
| **Total Expenditures** | **-** | **-** | **$513** | **-** | **-** | **-** | **$513** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

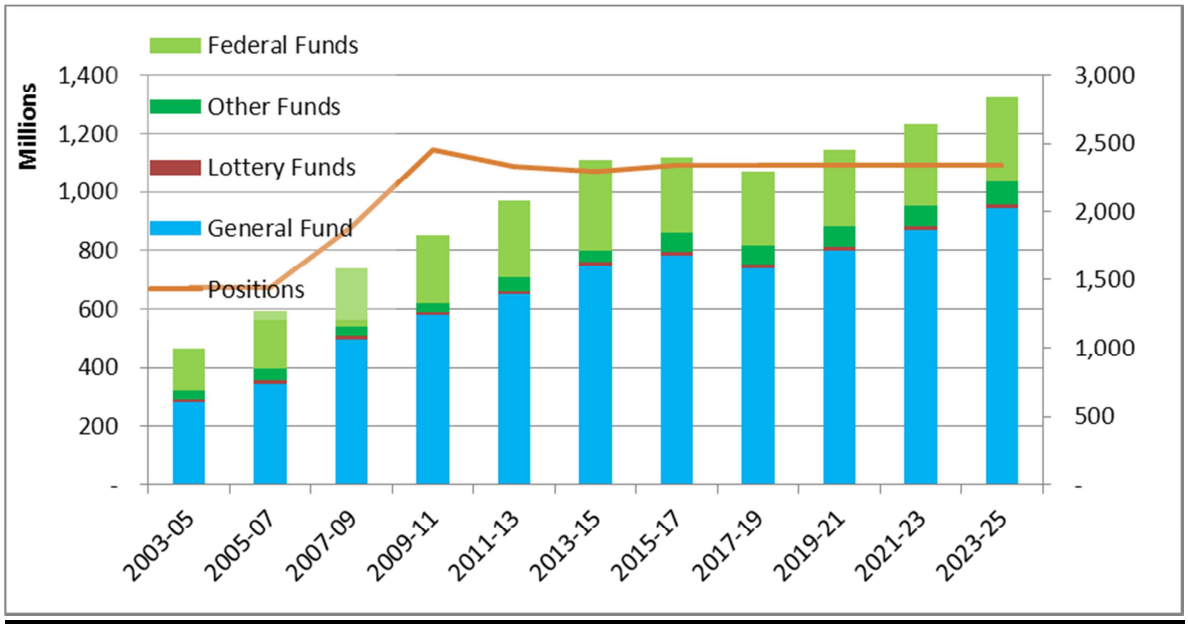
**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Health Licensing Office**
**Cross Reference Number: 44300-020-07-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Business Lic and Fees | - | - | (618,888) | - | - | - | (618,888) |
| **Total Revenues** | **-** | **-** | **($618,888)** | **-** | **-** | **-** | **($618,888)** |
| **Personal Services** | | | | | | | |
| Unemployment Assessments | - | - | (274) | - | - | - | (274) |
| Mass Transit Tax | - | - | (18,478) | - | - | - | (18,478) |
| **Total Personal Services** | **-** | **-** | **($18,752)** | **-** | **-** | **-** | **($18,752)** |
| **Services & Supplies** | | | | | | | |
| State Gov. Service Charges | - | - | (327,852) | - | - | - | (327,852) |
| Facilities Rental and Taxes | - | - | (272,284) | - | - | - | (272,284) |
| **Total Services & Supplies** | **-** | **-** | **($600,136)** | **-** | **-** | **-** | **($600,136)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (618,888) | - | - | - | (618,888) |
| **Total Expenditures** | **-** | **-** | **($618,888)** | **-** | **-** | **-** | **($618,888)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# Oregon Health Authority: Addictions and Mental Health Programs

Primary outcome area: Healthy People
Secondary outcome area: Safety
Program contact: Pamela Martin, AMH Director
503-945-5879




## EXECUTIVE SUMMARY

Addictions and Mental Health (AMH) ensures access to behavioral health care for all Oregonians who are at risk of developing or who have been diagnosed with any behavioral health disorder, including problem gambling disorder and severe and persistent mental illness. This care is delivered in the least restrictive and most integrated setting possible by a diverse, locally administered and designed provider. Programs deliver evidence-based services that help restore individuals and their families to a level of function that is optimal for them.

## PROGRAM FUNDING REQUEST

For the 2015-2017 biennium, OHA requests the following budget for AMH:

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr.13-15** | 688,546,712 | 74,424,316 | 274,741,434 | 1,037,712,462 | 2,506 | 2,236.55 |
| **Governor's Budget** | 760,008,713 | 97,501,386 | 276,840,698 | 1,134,350,797 | 2,398 | 2,396.39 |
| **Difference** | 71,462,001 | 23,077,060 | 2,099,264 | 97,337,940 | 350 | 359 |
| **Percent Change** | 10% | 31% | 1% | 9% | 17% | 18% |

Approximately 20,198 Oregonians per month will receive behavioral health care services funded by AMH through these investments (242,267 Oregonians per year).

OHA estimates the following cost for AMH programs through the 2023-2025 Biennium:

| BIENNIUM | 2015-17 | 2017-19 | 2019-21 | 2021-23 | 2023-25 |
|---|---|---|---|---|---|
| General Fund | 760,008,713 | 850,709,960 | 920,609,307 | 997,734,648 | 1,083,864,989 |
| Lottery Funds | 10,353,121 | 12,117,946 | 12,564,170 | 13,016,769 | 13,499,867 |
| Other Funds | 87,148,265 | 79,276,834 | 83,174,812 | 87,286,483 | 91,680,846 |
| Federal Funds | 276,840,698 | 269,085,908 | 281,323,080 | 294,110,983 | 307,990,496 |
| Total Funds | 1,134,350,797 | 1,211,190,648 | 1,297,671,369 | 1,392,148,883 | 1,497,036,198 |

## PROGRAM DESCRIPTION

AMH has four main program units:

- Community Mental Health (child and family, adult, older adult and residential treatment and services;
- Alcohol, Drug and Problem Gambling Prevention and Treatment;
- Oregon State Hospital;
- Program Support and Director's Office.

## PROGRAM JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

AMH's services link to OHA's Healthy People, Safety and Education 10 year goals by helping to reduce per capita cost, improve patient experience, and reduce

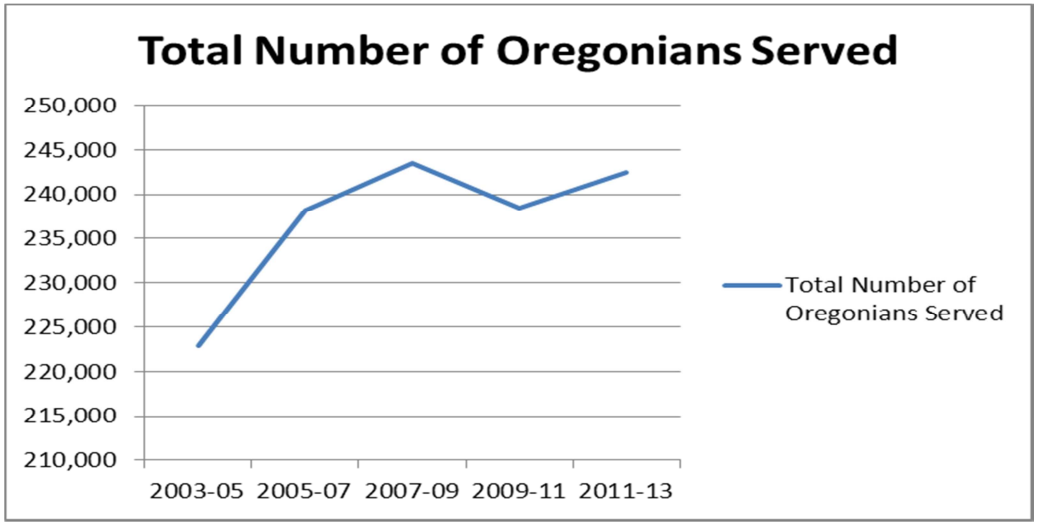
chronic disease costs, while increasing the life expectancy and success of people who receive substance abuse and mental health treatment.

The U.S. Substance Abuse and Mental Health Services Administration (SAMHSA) plans to reduce the impact of substance abuse and mental illness on America's communities. In a 2012 study SAMSHA found that the annual total estimated societal cost of substance abuse in the United States is $510.8 billion, and that by the year 2020, behavioral health disorders will surpass all physical diseases as a major cause of disability worldwide.

## PROGRAM PERFORMANCE

The mission of AMH is to assist Oregonians to achieve optimum physical, mental and social well-being by providing access to health, mental health and addiction services and supports to meet the needs of adults and children to live, be educated, work and participate in their communities.

The following table shows the number of Oregonians who receive behavioral health services per biennium.



## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

ORS 161.295-400 – Determination of fitness to proceed/commitment
ORS 179.321 – Authority to operate, control, manage and supervise OSH campuses and state-delivered secure residential treatment facilities
ORS 413 – Problem gambling treatment and prevention
ORS 426 – Powers, duties, responsibilities of OHA
ORS 430 – Alcohol and drug treatment programs
ORS 443 – Residential treatment homes and facilities
ORS 461.549 – Use of video lottery proceeds for treatment of gambling-related behavioral problems

## FUNDING STREAMS

All AMH programs receive a combination of funds.

State General Fund moneys are appropriated for behavioral health treatment services, administration and supports.

Other funds:

- Beer and Wine Tax – dedicated by ORS 430.345 to 430.380.
- Intoxicated Driver Program Fund – dedicated by ORS 813.270.
- Community Housing Trust funds – ORS 413.010.
- Limited amount of licensing revenue and small contracts for data reporting to federal government and educating the system about the Olmstead Supreme court decision. Court fines, fees and assessments support administrative activities related to the Driving Under the Influence of Intoxication (DUII) program.
- Tobacco tax
- Lottery Funds

Federal Funds:

- Medicaid Matching Funds
- Substance Abuse Prevention (SAPT) Grant
- Access to Recovery (ATR) Grant
- Strategic Prevention Framework-State Incentive Grant (SPF-SIG)

- Enforcing Underage Drinking Grant (EUDL)
- Temporary Assistance to Needy Families (TANF) Grant.
- Center for Mental Health Services Block Grant (CMHS)
- Projects for Assistance in Transition from Homelessness (PATH) Grant

# Oregon Health Authority: Addictions and Mental Health

# Community Mental Health Services

## EXPENDITURES BY FUND TYPE, POSITION AND FTE

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr.13-15** | 256,604,722 | 20,883,788 | 150,264,112 | 427,752,622 | 0 | 0 |
| **Governor's Budget** | 275,850,912 | 39,140,505 | 161,635,950 | 476,627,367 | 0 | 0 |
| **Difference** | 19,246,190 | 18,256,717 | 11,371,838 | 48,874,745 | 0 | 0 |
| **Percent Change** | 7.50% | 87.42% | 7.57% | 11.43% | 0.00% | 0.00% |

The Governor's Budget continues funding for the Community Mental Health (CMH) Services programs at the current service level for 2015-2017. This request includes Policy Option Package 401 that will support critical programmatic and operational functions aimed at improved service access and quality.

- POP 401-1 for $4,056,901 Total Funds is related to Legislative Concept 15-106 regarding the Aid and Assist population. This proposal targets two counties with the greatest number of referrals to the Oregon State Hospital for Aid and Assist to provide the evaluations and restoration services in the communities as opposed to the Oregon State Hospital.

## ACTIVITIES, PROGRAMS AND ISSUES IN THE PROGRAM UNIT BASE BUDGET

Community mental health programs (CMHPs) provide prevention and treatment services to Oregonians who are at risk of developing or have been diagnosed with a severe mental or emotional disorder. This includes a category known as severe and persistent mental illness. The CMHPs seek to provide their services in the least restrictive and most integrated setting possible.

In partnership with county governments, AMH contracts with local mental health authorities (LMHAs), CMHPs and one tribe to develop and administer a

community-based continuum of behavioral health care to deliver AMH-funded services and supports. They are delivered in outpatient, residential, schools, early learning programs, primary care, acute psychiatric hospitals, and criminal justice and community settings. These programs are designed to deliver evidence-based services that restore individuals and their families to a level of function that is the highest possible for them. These programs employ peer support specialists, qualified mental health associates (QMHAs), qualified mental health professionals (QMHPs), psychiatrists, psychiatric nurse practitioners, qualified health services (QHS) providers, psychologists and other independently licensed providers, and personal care providers. Individual consumers and their families are also key partners. These partnerships are critical to successfully treating mental health disorders.

The 2013-15 biennium is the first biennium that Medicaid benefits for most mental health services have been managed by coordinated care organizations (CCOs). AMH works closely with OHA's Medical Assistance Programs (MAP) and Transformation Center to coordinate the system of care as well as achieve better health and better care at lower costs for all Oregonians by integrating physical and behavioral health services.

Issues driving costs in the current base budget include:

- Late identification of a behavioral illness, after an individual or child has experienced untreated episodes of psychoses, mania, depression or other disorders that can lead to school or placement failure, homelessness or incarceration;
- Substance abuse disorders and physical health conditions that complicate mental illness;
- Severe and untreated trauma in childhood;
- Court-mandated treatment – either civil or criminal commitment;
- Growth in demand for services as the population has grown;
- Limited availability of safe, affordable and drug-free housing;
- Limited Medicare coverage for community mental health services; and
- Lack of Medicaid eligibility under the Affordable Care Act for individuals on Medicare.

AMH has identified opportunities to address these cost drivers. One key is the increased integration of behavioral health and physical health services. Integrated services provided in a locally driven, coordinated and evidence-based environment can lead to earlier and more effective intervention through earlier diagnosis of psychoses, depression and other mental health conditions. Another key is to promote local innovation through flexible funding with greater accountability for improved outcomes. These flexible and more accountable arrangements with the providers also result in more adults living in an environment that is as independent and community integrated as possible, and which provides the support they need to be successful.

Medicaid has expanded greatly under the Affordable Care Act (ACA). As of May 2014, almost 350,000 Oregonians have enrolled in Medicaid health care coverage since January 1, 2014. This expands access to behavioral health services and reduces the reliance on General Fund (GF) for behavioral health services. This permits the reinvestment of GF for non-Medicaid services and services to individuals, which are included in the investments above.

| AMH: Mental Health Program Performance Overview | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Performance Area | Population | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
| Number of people served | All | 105,263 | 109,287 | 109,724 | 105,821 | 107,784 | 108,553 | 120,890 | 129,245 | | | |
| Quality of Service: % with improved Outcomes | Childeren | 56% | 59% | 57% | 59% | 57% | 59% | 61% | 60% | | | |
| | Adults | 57% | 56% | 56% | 56% | 57% | 54% | 51% | 48% | | | |
| Timeliness of Service: % of people seen within 7 days of crisis services | Childeren | 19% | 21% | 22% | 19% | 19% | 19% | 16% | 17% | | | |
| | Adults | 22% | 23% | 22% | 22% | 21% | 21% | 21% | 23% | | | |
| Average cost per person served | | $2,130 | $2,512 | $2,785 | $3,127 | $3,175 | $3,082 | $3,034 | $3,901 | | | |

Many individuals enter mental health treatment through crisis services. It is important that crisis services facilitate individuals' transition into community-level services for appropriate care. Many factors, including hospitalization, can affect the timeliness of this transition. Over the past eight years, roughly 20 percent of individuals (adults and children) have moved from crisis services to community services within seven days. AMH will continue to work with its community providers, and will begin working with the CCOs, to improve this statistic.

One way for AMH to improve this and other metrics in partnership with its provider community is to implement new data systems. These systems will make data available in a more-timely manner and allow a better understanding of how to improve the quality of services.

The 2013 Legislature's major investments in the community mental health system provided AMH with an unprecedented opportunity. It enabled AMH to expand access to a range of mental health services that engage individuals in the community with the services and supports they need, when they need them, where they need them and at the right intensity. These investments have focused on:

- Promoting community health and wellness;
- Promoting resilience and stable attachments for children and families;
- Assisting adults with mental illness to live successfully in the community;
- Emphasizing prevention, early identification and intervention; and
- Delivering training and technical assistance to health care providers.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES

State General Funds: Legislative appropriation for treatment services.

Other Funds: Community Housing Trust Funds – This trust fund was established with the sale of the Dammasch hospital property (ORS 413.101). Interest from the fund is dedicated for new housing and facility maintenance to benefit people with mental illness.

Federal Funds: Medicaid (Title XIX) has a matching requirement. Center for Mental Health Services block grant (CMHS) – At least 35 percent of each grant's service funding must be expended for mental health services for children. The grant has a maintenance of effort (MOE) requirement. PATH – Projects for Assistance in Transition from Homelessness.

Tobacco Tax: During the 2013 Special Session the Legislature approved a 13 percent tobacco tax, a portion of which is dedicated to community mental health services.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

Legislative Concept 15-106 regarding the Aid and Assist population- This proposal targets two counties with the greatest number of referrals to the Oregon State Hospital for Aid and Assist to provide the evaluations and restoration services in the communities as opposed to the Oregon State Hospital.

# Oregon Health Authority: Addictions and Mental Health

# Alcohol, Drug and Problem Gambling Prevention and Treatment

## EXPENDITURES BY FUND TYPE, POSITION AND FTE

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr.13-15** | 27,778,464 | 24,854,441 | 66,916,100 | 119,549,005 | 0 | 0 |
| **Governor's Budget** | 32,703,636 | 23,607,947 | 57,582,314 | 113,893,897 | 0 | 0 |
| **Difference** | 4,925,172 | -1,246,494 | -9,333,786 | -5,655,108 | 0 | 0 |
| **Percent Change** | 17.73% | -5.02% | -13.95% | -4.73% | 0.00% | 0.00% |

## Alcohol and Drug Prevention and Treatment

The Governor's Budget includes $105,747,699, which continues funding for the Alcohol and other Drug Prevention and Treatment programs at the current service level for 2015-2017. In addition, the request includes proposals to use reinvested $3,471,600 General Funds from the Community Mental Health program area to develop 5-7 smaller, non-Institution for Mental Disease (IMD) substance use disorder residential treatment facilities and 10 programs providing supported housing for persons transitioning out of residential care over the course of the biennium. The Governor's Budget also includes funding to support two new FTE, one lead analyst each in the treatment/recovery and prevention programs. This staffing increase is paid for with marijuana tax revenues AMH received with the implementation of Measure 91. These positions will increase capacity of the Addictions and Mental Health agency to address prevention, treatment and recovery issues related to Oregon's upcoming legalization of cannabis for personal use and sale.

## Problem Gambling

The Governor's Budget includes $8,146,198, which continues funding for the Problem Gambling Prevention and Treatment programs at the current service level for 2015-2017.

## ACTIVITIES, PROGRAMS AND ISSUES IN THE PROGRAM UNIT BASE BUDGET

Alcohol and other drug and problem gambling prevention, treatment and recovery services help people develop the life-long skills and abilities they need to manage their chronic health conditions. This work has three categories:

- Alcohol and other drug treatment programs, which provide evidence-based services to assist people recovering from addiction by improving their ability to function in society and at work, to do a better job parenting and stop committing crimes;
- Alcohol and other drug prevention programs, which help Oregonians, especially young people, learn to make healthy choices when presented with the opportunity to inappropriately use drugs including alcohol; and
- Problem gambling prevention and treatment services, which help prevent individuals from becoming addicted to gambling and assist those who are addicted to recover from addictive and disordered gambling.

Alcohol and other drug prevention programs are available in every Oregon county through community mental health programs, tribes and statewide contractors. They provide evidenced-based services to prevent the problematic use of addictive substances and activities. They also help people make smarter life choices and reduce risk factors associated with alcohol and other drug abuse.

Effective alcohol and other drug treatment results in decreased criminal activity and recidivism rates for individuals completing treatment. Expanded availability of alcohol and other drug treatment will result in improved access for adults at risk of criminal justice involvement due to untreated substance abuse.

Addictions and Mental Health (AMH) administers prevention programs aimed at people who have not yet been diagnosed with alcohol or other drug problems.

They reduce the rate of underage drinking, substance use disorders and associated health and social problems.

Problem gambling prevention and treatment programs are delivered in all 36 counties through community mental health programs and by for-profit and non-profit providers. The state also has one residential treatment program. They employ evidence-based prevention strategies to decrease the probability that young people will begin gambling at young ages and to ensure that adults of all ages will be aware of the addictive nature of gambling. Treatment programs include outpatient individual and group therapies, intensive therapies and statewide access to residential treatment for those who are at risk because of disordered gambling.

Issues driving cost in the current base budget for both programs include:

- Community norms that minimize the effects of alcohol and other drug use by young people, leading to underage drinking and risky behaviors and school failure;
- Access to heroin and other opioid drugs, which drives social problems including death and the demand for addiction treatment;
- Anticipated increased access to cannabis products following the implementation of Measure 91;
- Individuals entering treatment who have multiple and complex physical and mental health needs;
- The need to serve people being released from prisons and local jails;
- Growth in demand for services as the population has grown and the funding has remained flat;
- Lack of safe, affordable and drug free housing; and
- Ease of access to highly addictive gambling games.

| AMH: Substance Abuse Program Performance Overview (w/ residential) | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Performance Area's | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2014 |
| Number of People Served | 64,259 | 65,250 | 66,328 | 65,295 | 64,399 | 64,489 | 63,818 | 58,909 | | |
| Quality of Services: % with reduced use of substance at discharge | 74% | 73% | 74% | 75% | 75% | 74% | 75% | 75% | | |
| Timeliness of services: % of People seen within seven days of Discharge from Residential Care | 21% | 21% | 22% | 23% | 23% | 22% | 20% | 23% | | |
| Average Cost per person Served | $1,235 | $1,285 | $1,412 | $1,473 | $1,518 | $1,640 | $3,422 | $4,060 | | |

| AMH: Problem Gambling Program Overview | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Performance Area's | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2014 |
| Number of People Served | 1,652 | 1,941 | 1,958 | 1,831 | 1,455 | 1,171 | 1,321 | 1,214 | | |
| Quality of Services: % with reduced use of substance at discharge | 81% | 89% | 87% | 74% | 87% | 86% | 90% | 87% | | |
| Timeliness of services: % of People seen within seven days of Discharge from Residential Care | 73% | 73% | 69% | 71% | 66% | 66% | 64% | 67% | | |
| Average Cost per person Served | $560 | $971 | $1,197 | $1,371 | $1,816 | $1,842 | $1,762 | $1,194 | | |

Untreated addiction disorders are a major driver of health care costs and of years of life lost. Effective treatment results in improved health, a better experience of health care and reduced costs to the medical system. National studies indicate that substance use disorders affect 22 percent of individuals in medical settings. These individuals have higher medical costs and use eight times more health care services. Their families use health care at rates five times higher than other families. (Center for Policy Research & Analysis at the Treatment Research Institute, 2009.)

A recent analysis of a sample of OHP members who accessed addiction treatment found significant cost offsets in physical health expenditures, most notably in emergency room visits and hospitalization. The cost offsets were more than $3,000 per person.

Untreated gambling disorders may result in people seeking medical care, which will not be effective until the underlying gambling addiction is treated. People with gambling disorders tend to have higher rates of heart problems, high blood pressure, and cirrhosis and other liver diseases, and are more likely to seek treatment in hospital emergency rooms than the general population.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES

State General Fund: legislative appropriation for treatment services.

Other Funds: Beer and wine – Statutorily dedicated by ORS 430.345 to 430.380, does require local maintenance of effort and local expenditure of dedicated taxes for state-approved services. Intoxicated Driver Program Fund – Statutorily dedicated by ORS 813.270, does not require any matching or maintenance of effort. Miscellaneous – Contract settlements, state match from Multnomah

County/DePaul and the Oregon Youth Authority, and Sponsored Travel Reimbursements. Anticipated second FY of 2015-2017 biennium - Marijuana - statutorily dedicated with passage of Measure 91.

Federal Funds: Medicaid requires state matching funds. Substance Abuse Prevention Treatment grant (SAPT) requirements are: 20 percent of the grant must be spent on prevention, and service levels must be maintained for specified populations such as women and women with children. The one qualifying factor for this grant is that the state must expend a minimum of state and local revenues on SAPT-related services to meet the maintenance-of-effort requirement. Strategic Prevention Framework-State Incentive grant (SPF-SIG) and Strategic Prevention Framework – Partnership for Success (SPF – PFS) do not require any matching or maintenance of effort. Temporary Assistance for Needy Families grant (TANF) requires maintenance of effort.

Lottery Funds: Oregon Revised Statute (ORS) 461.549 dedicates 1 percent of Lottery revenue for prevention and treatment of problem gambling and does not require any matching or maintenance of effort. In spite of this, these funds are frequently reduced in times of economic decline.

# Oregon Health Authority: Addictions and Mental Health

# Oregon State Hospital (OSH), Junction City and State Delivered Secure Residential Treatment Facility program

## EXPENDITURES BY FUND TYPE, POSITION AND FTE

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr.13-15** | 384,606,465 | 17,921,721 | 46,725,566 | 449,253,752 | 2,386 | 2,119.39 |
| **Governor's Budget** | 430,005,673 | 22,848,902 | 48,666,448 | 501,521,023 | 2,271 | 2,270.82 |
| **Difference** | 45,399,208 | 4,927,181 | 1,940,882 | 52,267,271 | 339 | 346.22 |
| **Percent Change** | 11.80% | 27.49% | 4.15% | 11.63% | 17.55% | 17.99% |

The Governor's Budget continues funding for Oregon State Hospital (OSH), its Salem campus, its Junction City campus, and the State Delivered Secure Residential Treatment Facility programs at the current service level for 2015-2017.

## ACTIVITIES, PROGRAMS AND ISSUES IN THE PROGRAM UNIT BASE BUDGET

Oregon State Hospital is part of a continuum of care for Oregonians living with mental illness. The hospitals provide services that are essential to restoring patients to a level of functioning that allows successful community living. Services in a secure setting promote public safety by treating people who are dangerous to themselves or others. The hospital works in partnership with community mental health programs to deliver the right care at the right time in the right place.

OSH operates two campuses with the capacity to serve a total of 794 Oregonians. Services are provided 24 hours per day, seven days a week. OSH operates 620

beds on the Salem campus and will operate 174 at the Junction City campus. People who receive treatment in these facilities are individuals who:

- Are civilly committed;
- Need to be evaluated to determine fitness to participate in legal proceedings;
- Have been arrested but cannot participate in their defense without mental health treatment; and
- Have been adjudicated guilty of a crime except for insanity and cannot yet be managed safely in the community.

The role of OSH is to provide services and treatment to individuals that will prepare them to return to the community as soon as possible. Services include medication, primary care, and recreational, educational and vocational opportunities. Services are provided by psychiatrists, nurses, and mental health professionals. Upon release, people are transitioned into the community with better skills to understand and manage their symptoms, fully participate and live in their local community in a variety of community-based settings, and when able, hold down a job.

OSH works closely with the local mental health authorities (LMHAs), community mental health programs (CMHPs) and the Psychiatric Security Review Board (PSRB). A successful hospital depends on the availability of community services and supports delivered in integrated and independent settings. These services and supports are most effective when they are managed as part of a system of care focused on the needs of an individual.

AMH also operates a state-delivered, 16-bed, secure residential treatment facility in Pendleton on the grounds of the former Eastern Oregon Training Center. The secure mental health treatment program treats people who need a secure level of care as their first step out of the state hospital.

The number of individuals sent to OSH for restoration of their ability to assist in their own defense has grown this past year. If the trend continues, OSH will not have sufficient capacity to accept those individuals without cutting back on services to other populations. Efforts are underway to provide restoration services at the community level.

Other major issues driving costs in the current base budget include:

- The nature of the symptoms of mental illness displayed by people requiring this level of care.
- Responsibility to ensure public safety;
- Complex co-occurring disorders including substance abuse and chronic physical ailments;
- The need for continued investment in community mental health system, to prevent hospitalization and to provide sufficient capacity for transitioning patients; and
- Continued need for safe, affordable and drug-free housing.

| AMH: State Hospital's Program Performance Overview | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Performance Area | | 2006 | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 |
| Number of People Serviced | Blue Mtn | 288 | 252 | 189 | 191 | 207 | 212 | 215 | 171 | | |
| | OSH | 1354 | 1371 | 1295 | 1247 | 1215 | 1298 | 1364 | 1215 | | |
| Quality of Services: % Readmitted within 30 Days | | 7 | 4 | 4 | 2 | 1 | 0 | 1.3 | 1.5 | | |
| Timeliness of Services: Average Length of Stay (days) on Wait List for State Hospital Services | | 24 | 15 | 24 | 15 | 28 | 25 | 20 | 22 | | |
| Average Cost per Day of Service | Blue Mtn | 426.06 | 400.41 | 578.71 | 599.71 | 689.71 | 689.71 | 628.71 | 628.71 | | |
| | OSH | 388.97 | 405.3 | 451.83 | 568.19 | 568.19 | 569.19 | 719.19 | 719.19 | | |

AMH, CMHPs and the state hospital must continue to work on the timeliness of admission to services. The average time spent on a waitlist for state hospital services has ranged from 15 to 28 days. Although individuals are receiving active service while on the waitlist, it is not at the appropriate level of care.

The hospital has done an excellent job of keeping readmissions within 30 days to a minimum. The readmission rate at 30 days for people who were civilly committed decreased from 7 percent in 2006 to zero in 2011. This is a strong indication that the hospitals are successfully providing treatment services and appropriate transitions to community care. However, growth in the number of individuals sent to OSH for restorative services is a major concern.

Length of Stay – The purpose of AMH's Adult Mental Health Initiative (AMHI) is to strengthen the ability of the community system to discharge hospital patients who have been civilly committed in a more timely manner to the least restrictive, most independent and integrated environments possible.

AMHI has decreased the time that discharge-ready individuals wait to be discharged from OSH campuses. Between September 1, 2010, when the initiative

began, and June 30, 2013, the initiative has facilitated 2,110 transitions from state hospital settings and an additional 2,627 transitions from licensed community-based facilities to more independent settings.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES

State General Fund - Legislatively appropriated for treatment.

Other Funds – Medicare for covered services, collection from third-party payers (insurance, estates or private pay).

Federal Funds: Medicaid, including reimbursement for some patients over age 65 and Disproportionate Share revenue (recognition for treating more people who are poor and unable to pay).

# Oregon Health Authority: Addictions and Mental Health

# Program Support and Director's Office

## EXPENDITURES BY FUND TYPE, POSITION AND FTE

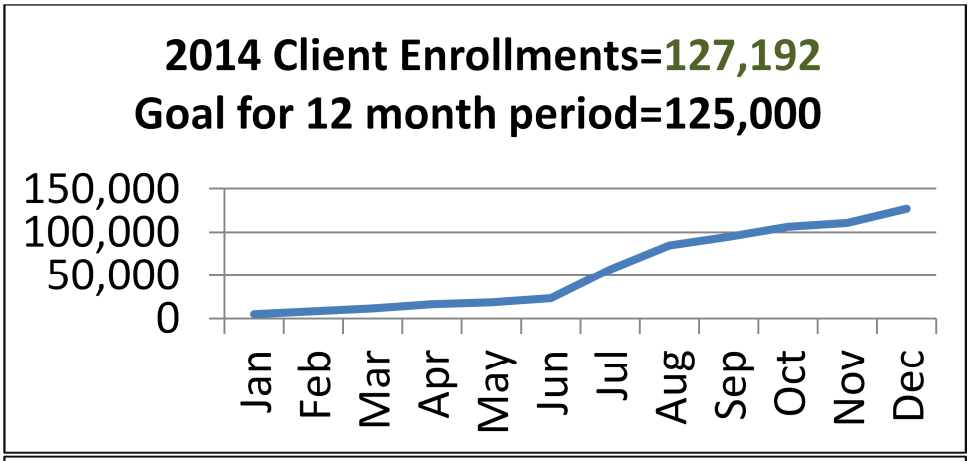
| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr.13-15** | 19,557,061 | 10,764,366 | 10,835,656 | 41,157,083 | 120 | 117.16 |
| **Governor's Budget** | 21,448,492 | 11,904,032 | 8,955,986 | 42,308,510 | 127 | 125.57 |
| **Difference** | 1,891,431 | 1,139,656 | -1,879,670 | 1,151,417 | 11 | 12.41 |
| **Percent Change** | 9.67% | 10.59% | -17.35% | 2.80% | 9.48% | 10.97% |

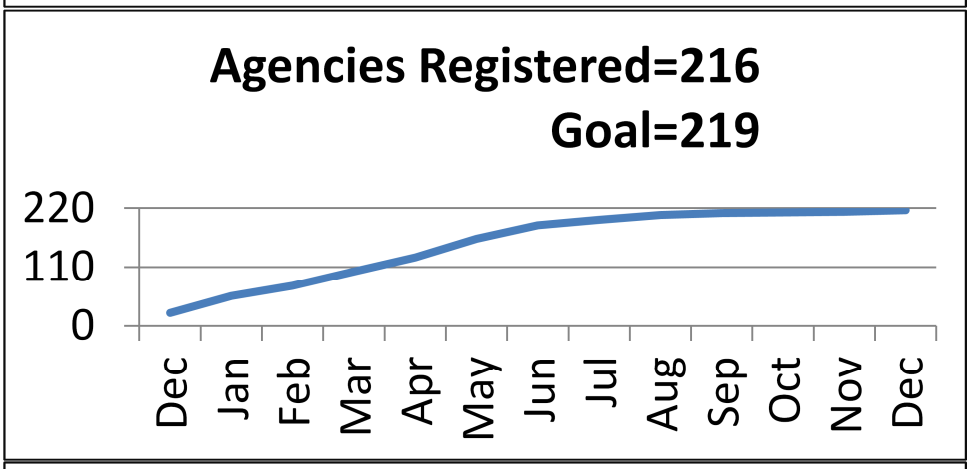
The Governor's Budget continues funding for the Program Support and Director's Office programs at the current service level for 2015-2017.

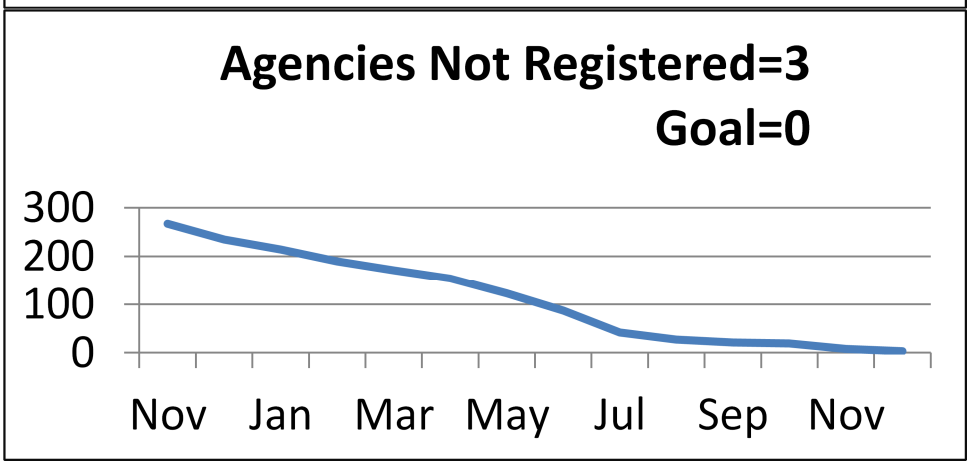
## ACTIVITIES, PROGRAMS AND ISSUES IN THE PROGRAM UNIT BASE BUDGET

Addictions and Mental Health (AMH) program support ensures AMH has the administrative infrastructure, operational and technological resources, including the human resources necessary to fulfill the mission and perform AMH's legislative charge and mandates. The director's office provides collaborative leadership to create a shared vision for the behavioral health system of care, develops and implements policy, commission's resources to AMH programs and is the governing body of Oregon State Hospital. AMH programs analyze policies and implement them in order to regulate the state's behavioral health system of care. That system provides prevention and treatment services for mental health and addictions, including problem gambling.

- AMH program support is essential to implement, contract for services, create a delivery system accountable to achieve outcomes and provide the highest quality services which prevent and treat addictions and mental health disorders effectively. The work is organized in eight functional units:
- Licensing and quality improvement. Functions include:
    - Licensing residential treatment facilities: residential treatment homes, adult foster homes, and alcohol and drug residential programs;
    - Approving various programs, individuals, and processes related to civil commitment: examiners and investigators, seclusion rooms, transport custody, secure transport and hospital and non-hospital hold services;
    - Certifying and approving community-based addictions and mental health treatment services;
    - Conducting site reviews of community mental health programs (CMHPs) to ensure they are delivering high-quality services that meet the needs of the individuals in their communities;
    - Issuing licenses and letters of approval to addiction treatment providers that render outpatient synthetic opiate treatment, alcohol detoxification centers, DUII treatment, restricted driving licenses, and prison-based treatment centers.
- Operations and contracting.
- Support.
- Measurement and outcomes tracking systems (MOTS). The chart below shows progress on moving our contractors and services recipients into MOTS.




- Children, adolescent and family mental health.
- Adult mental health.
- Residential and housing.
- Addictions and problem gambling.

The work of these units includes:

- Developing state plans for substance abuse prevention and treatment services and mental health services;
- Implementing state addictions, gambling and mental health policy, programs and regulations;
- Directing services for persons with substance abuse disorders, problem and pathological gambling, and mental health disorders;
- Directing services for persons with co-occurring mental health and substance use disorders; and
- Providing treatment and custody of persons committed by courts to the state for care and treatment of mental illness.

The work of Program Support and Administration is essential to establish, develop, fund and monitor programs that deliver services to people with addiction and mental health disorders. These services contribute to the reduction of health care costs and ultimately reduce the years of life lost due to these disorders. These services also develop effective prevention and early intervention programs, helping individuals gain the skills needed to avoid the development of chronic illnesses and increase life expectancy. Support provided to certification and licensing programs is also essential to ensure that providers are meeting quality standards for safe and effective services.

The administrative work of the contracting unit will increase as AMH receives legislative direction to fund the behavioral health system outside of our current community mental health program contracts. The contracting unit does not have a robust technology solution that would relieve staff from manually performing tasks associated with procuring, executing and managing the contracting process. Currently AMH administers and manages approximately 250 contracts and anticipates growth in the volume of contracts and more administrative complexity in all areas associated with operations and contracting. The unit will require additional staff and a more robust technology solution to manage this growth.

Other areas of growth within AMH include licensing and quality improvement as well as the measurement outcomes tracking system (MOTS). The certification of outpatient mental health providers, formerly handled by the CMHPs, will return to AMH licensing in the central office when outpatient mental health services are

moved to the coordinated care organizations. This reduces the regulatory burden on CMHPs, supports the state's health care transformation, and aligns with AMH's core function of regulation.

In addition, AMH intends to improve its ability to use data for quality improvement and to provide technical assistance to contractors. AMH must hold contractors accountable for positive outcomes, but must also collaborate with those contractors to help them reach those goals by sharing data that they can act on to improve the system of care. Contractors also need technical assistance from AMH if they are to make improvements that keep our system of care moving the triple aim metrics in the right direction. Both of these efforts will require additional staff and resources.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES

State General Funds – appropriated for behavioral health treatment services, administration and supports.

Other Funds

- Limited amount of licensing revenue and small contracts for data reporting to the federal government and educating the system relative to the Olmstead Supreme Court decision.
- A portion of court fines fees and assessments support administrative activities related to Driving Under the influence of Intoxicants (DUII) program.

Lottery Funds

- A portion of the 1 percent to support problem gambling treatment programs.

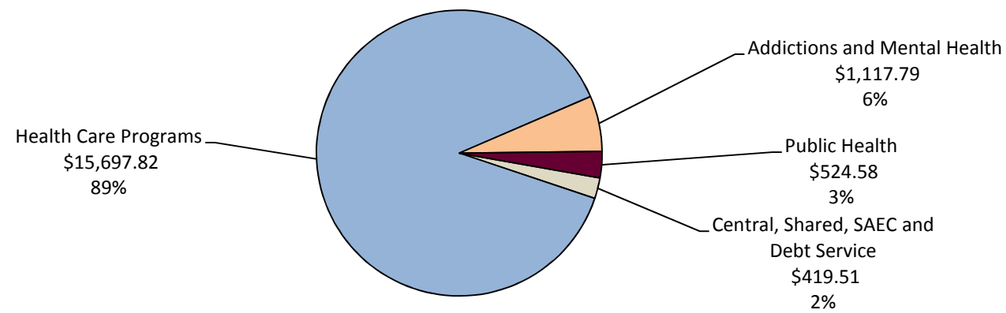
Federal Funds

- Medicaid administrative match, small amounts of the federal block grants to meet administrative requirements, and other federal grants to fulfill the grant obligations.

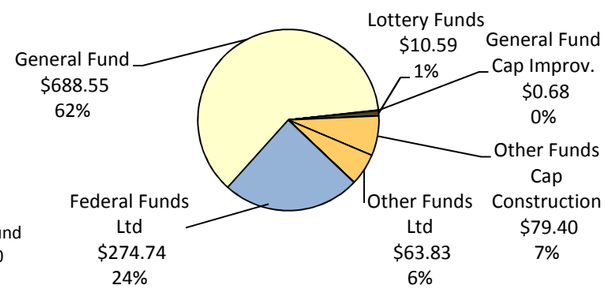
## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

None

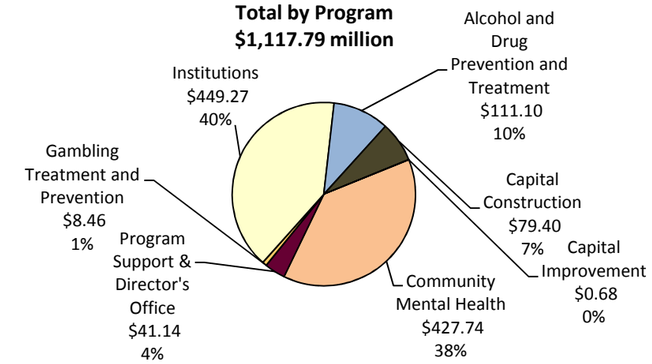
**Oregon Health Authority**
**2013-2015 Legislately Approved Budget**
**Total Fund by Program Area**
**$17,759.70 million**



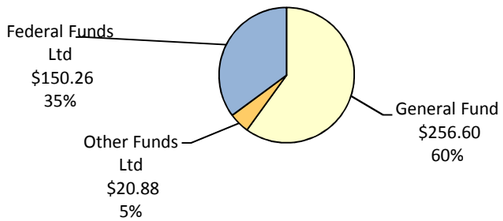

**Addictions and Mental Health Programs**
**Total by Fund Type**
**$1,117.79 million**



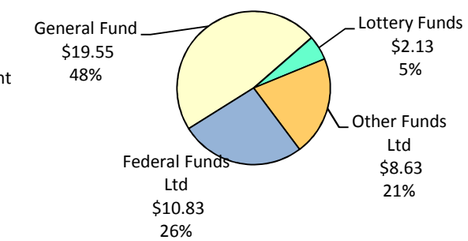

**Addictions and Mental Health Programs**
**Total by Program**
**$1,117.79 million**



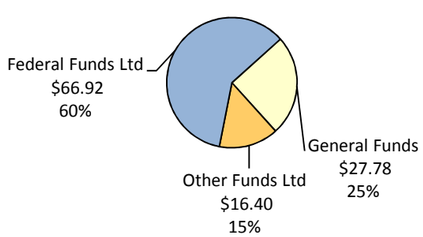

**Community Mental Health**
**$427.74 million**



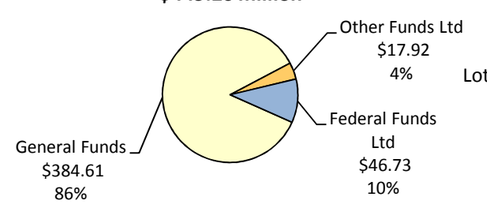

**Program Support and Director's Office**
**$41.14 million**



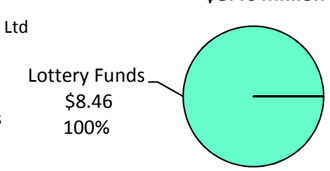

**Alcohol & Drug Prevention and Treatment**
**$111.10 million**



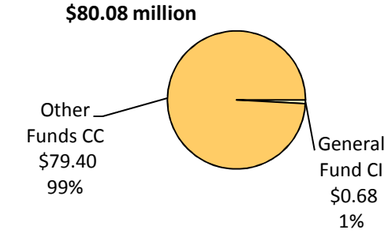

**Institutions**
**$449.26 million**



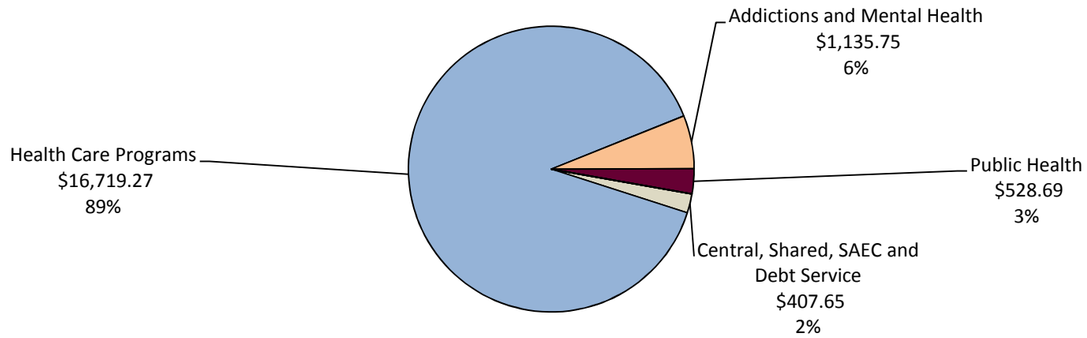

**Gambling Treatment & Prevention**
**$8.46 million**



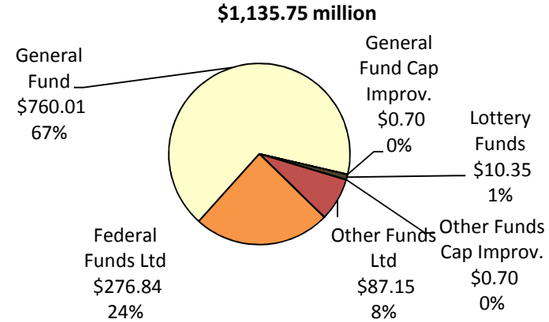

**Capital Improvement (CI) and**
**Capital Construction (CC)**
**$80.08 million**



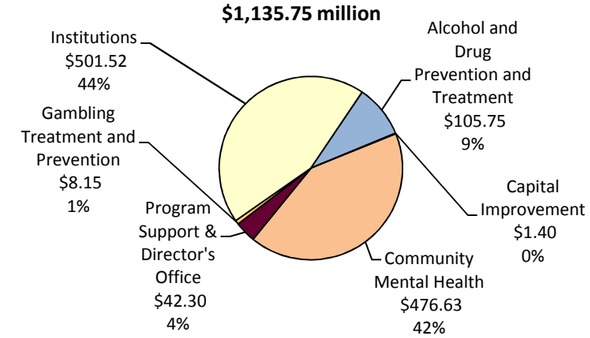
**Oregon Health Authority**
**2015-17 Governor's Budget**
**Total Fund by Program Area**
**$18,791.36 million**



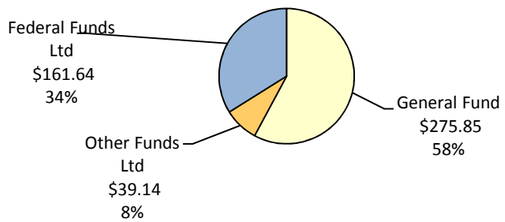

**Addictions and Mental Health Programs**
**Total by Fund Type**
**$1,135.75 million**



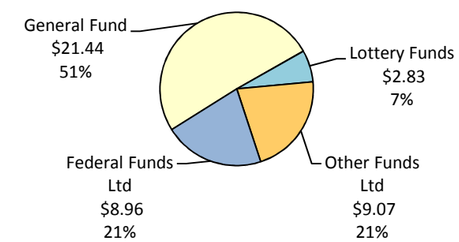

**Addictions and Mental Health Programs**
**Total by Program**
**$1,135.75 million**



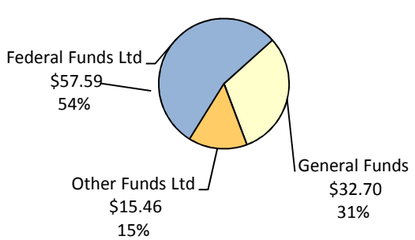

**Community Mental Health**
**$476.63 million**



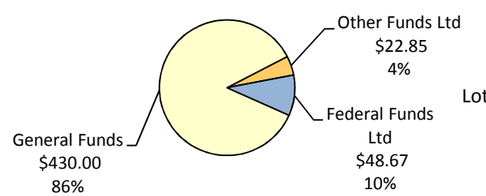

**Program Support and Director's Office**
**$42.30 million**



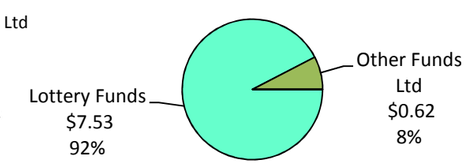

**Alcohol & Drug Prevention and Treatment**
**$105.75 million**



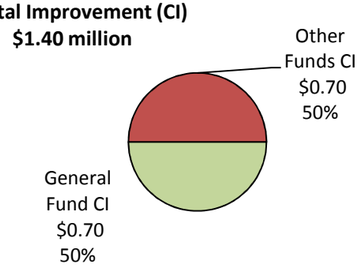

**Institutions**
**$501.52 million**




**Gambling Treatment & Prevention**
**$8.15 million**




**Capital Improvement (CI)**
**$1.40 million**



**Oregon Health Authority**
**Addictions and Mental Health Program**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 2,506 | 2,237.64 | 983,006,968 | 652,699,969 | 10,545,822 | 40,815,902 | 278,945,275 | - | - |
| 2013-15 Emergency Boards | (1) | (0.76) | (8,370,539) | 8,709,656 | 46,710 | 19,248,791 | (36,375,696) | - | - |
| **2013-15 Leg Approved Budget** | **2,505** | **2,236.88** | **974,636,429** | **661,409,625** | **10,592,532** | **60,064,693** | 242,569,579 | **-** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (168) | 98.68 | 27,948,862 | 25,484,262 | 46,864 | 1,301,700 | 1,116,036 | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **2,337** | **2,335.56** | **1,002,585,291** | **686,893,887** | **10,639,396** | **61,366,393** | 243,685,615 | **-** | **-** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | 6,526,738 | 6,468,467 | (10,946) | 50,111 | 19,106 | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 4,440,685 | 2,705,347 | 4,776 | 352 | 1,730,210 | - | - |
| **Subtotal** | **-** | **-** | **10,967,423** | **9,173,814** | **(6,170)** | **50,463** | 1,749,316 | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | 177 | 177.00 | 81,065,735 | 73,947,854 | - | 5,731,163 | 1,386,718 | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (20,494,390) | (12,491,940) | - | (386,168) | (7,616,282) | - | - |
| **Subtotal** | **177** | **177.00** | **60,571,345** | **61,455,914** | **-** | **5,344,995** | (6,229,564) | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 18,701,493 | 10,440,522 | 289,928 | 1,541,292 | 6,429,751 | - | - |
| **Subtotal** | **-** | **-** | **18,701,493** | **10,440,522** | **289,928** | **1,541,292** | 6,429,751 | **-** | **-** |

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | 21,252,248 | 13,579,563 | - | - | 7,672,685 | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | 1,193,548 | - | (2,903,300) | 1,709,752 | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | 53 | 53.00 | - | - | - | - | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **2,567** | **2,565.56** | **1,114,077,800** | **782,737,248** | **10,923,154** | **65,399,843** | 255,017,555 | **-** | **-** |

**Oregon Health Authority**
**Addictions and Mental Health Program**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **2,567** | **2,565.56** | **1,114,077,800** | **782,737,248** | **10,923,154** | **65,399,843** | 255,017,555 | **-** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **2,567** | **2,565.56** | **1,114,077,800** | **782,737,248** | **10,923,154** | **65,399,843** | 255,017,555 | **-** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | 1 | 1.00 | 6,153,914 | 6,153,914 | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **1** | **1.00** | **6,153,914** | **6,153,914** | **-** | **-** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | (177) | (177.00) | (43,346,680) | (57,324,053) | (570,033) | 16,200,746 | (1,653,340) | - | - |
| 091 - December 2014 Rebalance | - | - | 50,272,006 | 23,525,083 | - | 3,270,440 | 23,476,483 | - | - |
| 501 - Measure 91 Implementation | 2 | 2.00 | 2,277,236 | - | - | 2,277,236 | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | 5 | 4.83 | 4,916,521 | 4,916,521 | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **(170)** | **(170.17)** | **14,119,083** | **(28,882,449)** | **(570,033)** | **21,748,422** | 21,823,143 | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Addictions and Mental Health Program**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-05-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **2,398** | **2,396.39** | **1,134,350,797** | **760,008,713** | **10,353,121** | **87,148,265** | 276,840,698 | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | -4.27% | 7.13% | 16.39% | 14.91% | -2.26% | 45.09% | 14.13% | - | - |
| Percentage Change From 2015-17 Current Service Level | -6.58% | -6.59% | 1.82% | -2.90% | -5.22% | 33.25% | 8.56% | - | - |

| Oregon Health Authority<br>Addictions and Mental Health<br>44300-020-05-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND** | | | | | | |
| **REVENUES** | | | | | | |
| General Fund Appropriation | 0050 | GF | 669,455,397 | 661,409,625 | 789,168,439 | 760,008,713 |
| **TOTAL REVENUES** | | **GF** | **669,455,397** | **661,409,625** | **789,168,439** | **760,008,713** |
| **TOTAL GENERAL FUNDS** | | **GF** | **669,455,397** | **661,409,625** | **789,168,439** | **760,008,713** |
| **LOTTERY FUNDS** | | | | | | |
| **TRANSFERS OUT** | | | | | | |
| Beginning Balance | 0025 | LF | - | 765,978 | - | - |
| Beginning Balance Adjustment | 0030 | LF | - | (765,978) | - | - |
| Transfer in Other | 1050 | LF | 90,723 | - | - | - |
| Transfers from Administrative Services | 1107 | LF | 10,350,984 | 10,592,542 | 11,397,648 | 10,827,615 |
| Transfer to Agy-Res Equity | 2030 | LF | - | - | - | - |
| **TOTAL TRANSFERS OUT** | | **LF** | **10,441,707** | **10,592,542** | **11,397,648** | **10,827,615** |
| **TOTAL LOTTERY FUNDS** | | **LF** | **10,441,707** | **10,592,542** | **11,397,648** | **10,827,615** |
| **OTHER FUNDS** | | | | | | |
| **REVENUES** | | | | | | |
| Beginning Balance | 0025 | OF | 15,141,180 | 5,311,058 | - | - |
| Beginning Balance Adjustment | 0030 | OF | (1,761,090) | (3,908,404) | - | 1,860,682 |
| Other Selective Taxes | 0190 | OF | - | - | - | - |
| Business License & Fees | 0205 | OF | 7,318 | - | - | - |

| Oregon Health Authority<br>Addictions and Mental Health<br>44300-020-05-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Non-Business License & Fees | 0210 | OF | 15,626 | - | - | - |
| Charges for Services | 0410 | OF | 22,711 | - | - | - |
| Administrative Service Charges | 0415 | OF | 4,502 | 271,517 | 271,517 | 271,517 |
| Care of State Wards | 0420 | OF | 13,804,386 | 2,369,042 | 2,369,042 | 2,369,042 |
| Fines and Forfeitures | 0505 | OF | 3,283 | - | - | - |
| Rents and Royalties | 0510 | OF | 55,581 | - | - | - |
| Interest Income | 0605 | OF | 126,291 | - | - | - |
| Sales Income | 0705 | OF | 136,015 | 2,559,387 | 2,559,387 | 2,559,387 |
| Grants (Non-FED) | 0910 | OF | - | 154,918 | 154,918 | 154,918 |
| Loan Repayment | 0925 | OF | 90,558 | - | - | - |
| Other Revenues | 0975 | OF | 4,386,016 | 16,203,537 | 17,866,737 | 24,288,955 |
| **TOTAL REVENUES** | | **OF** | **32,032,377** | **22,961,055** | **23,221,601** | **31,504,501** |
| **TRANSFER IN** | | | | | | |
| Transfer in Intrafund | 1010 | OF | 250,786 | - | - | - |
| Transfer in Other | 1050 | OF | - | 10,000,000 | - | - |
| Transfer in Administrative Services | 1107 | OF | - | - | - | - |
| Transfer from Long Term Care Ombud | 1114 | OF | - | - | - | - |
| Transfer in Governor, Office of the | 1121 | OF | - | - | - | - |

| Oregon Health Authority<br>Addictions and Mental Health<br>44300-020-05-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Transfer in Revenue Department | 1150 | OF | 4,552,024 | 13,365,884 | 39,365,901 | 40,049,419 |
| Transfer in Judicial | 1198 | OF | 137,520 | - | - | - |
| Transfer from Oregon Youth Authority | 1415 | OF | 88,391 | 225,280 | - | - |
| Transfer in Board of Dentistry | 1834 | OF | 196,533 | 230,216 | 185,128 | 185,128 |
| Transfer in Liquor Control Commission | 1845 | OF | 16,350,877 | 17,823,000 | 17,996,500 | 20,273,736 |
| Transfer in Oregon Medical Board | 1847 | OF | 767,327 | 768,106 | 791,149 | 791,149 |
| Transfer in Board of Nursing | 1851 | OF | 1,426,050 | 1,643,453 | 1,643,453 | 1,643,453 |
| Transfer in Board of Pharmacy | 1855 | OF | 150,738 | 176,899 | 176,899 | 176,899 |
| **TOTAL TRANSFERS IN** | | **OF** | **23,920,246** | **44,232,838** | **60,159,030** | **63,119,784** |
| **TRANSFER OUT** | | | | | | |
| Transfer to Intrafund | 2010 | OF | (250,786) | - | - | - |
| Transfer to General Fund | 2060 | OF | (5,726,586) | - | - | - |
| Transfer to Counties | 2080 | OF | (7,926,444) | (7,129,200) | (7,476,020) | (7,476,020) |
| Transfer to Police, Dept of State | 2257 | OF | (201,000) | - | - | - |
| **TOTAL TRANSFERS OUT** | | **OF** | **(14,104,816)** | **(7,129,200)** | **(7,476,020)** | **(7,476,020)** |
| **TOTAL OTHER FUNDS** | | **OF** | **41,847,807** | **60,064,693** | **75,904,611** | **87,148,265** |
| **FEDERAL FUNDS REVENUES** | | | | | | |

| Oregon Health Authority<br>Addictions and Mental Health<br>44300-020-05-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Federal Funds Revenue | 0995 | FF | 296,107,878 | 242,569,579 | 256,308,769 | 276,840,698 |
| **TOTAL REVENUES** | | **FF** | **296,107,878** | **242,569,579** | **256,308,769** | **276,840,698** |
| **TOTAL FEDERAL FUNDS** | | **FF** | **296,107,878** | **242,569,579** | **256,308,769** | **276,840,698** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **1,017,852,789** | **974,636,439** | **1,132,779,467** | **1,134,825,291** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-020-05-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Lottery Funds** | | | | | | |
| Transfer In Other | 90,723 | - | - | - | - | - |
| Tsfr From Administrative Svcs | 10,350,984 | 10,545,832 | 10,592,542 | 11,397,648 | 10,827,615 | - |
| **Total Lottery Funds** | **$10,441,707** | **$10,545,832** | **$10,592,542** | **$11,397,648** | **$10,827,615** | **-** |
| **Other Funds** | | | | | | |
| Business Lic and Fees | 7,318 | - | - | - | - | - |
| Non-business Lic. and Fees | 15,626 | - | - | - | - | - |
| Charges for Services | 22,711 | - | - | - | - | - |
| Admin and Service Charges | 4,502 | 271,517 | 271,517 | 271,517 | 271,517 | - |
| Care of State Wards | 13,804,386 | 2,369,042 | 2,369,042 | 2,369,042 | 2,369,042 | - |
| Fines and Forfeitures | 3,283 | - | - | - | - | - |
| Rents and Royalties | 55,581 | - | - | - | - | - |
| Interest Income | 126,291 | - | - | - | - | - |
| Sales Income | 136,015 | 2,559,387 | 2,559,387 | 2,559,387 | 2,559,387 | - |
| Grants (Non-Fed) | - | - | 154,918 | 154,918 | 154,918 | - |
| Loan Repayments | 90,558 | - | - | - | - | - |
| Other Revenues | 4,386,016 | 16,109,664 | 16,203,537 | 17,866,737 | 24,288,955 | - |
| Transfer In - Intrafund | 250,786 | - | - | - | - | - |
| Transfer In Other | - | - | 10,000,000 | - | - | - |
| Tsfr From Revenue, Dept of | 4,552,024 | 4,365,884 | 13,365,884 | 39,365,901 | 40,049,419 | - |
| Tsfr From Judicial Dept | 137,520 | - | - | - | - | - |
| Tsfr From Or Youth Authority | 88,391 | 225,280 | 225,280 | - | - | - |
| Tsfr From Board of Dentistry | 196,533 | 230,216 | 230,216 | 185,128 | 185,128 | - |
| Tsfr From Or Liquor Cntrl Comm | 16,350,877 | 17,823,000 | 17,823,000 | 17,996,500 | 20,273,736 | - |
| Tsfr From Oregon Medical Board | 767,327 | 768,106 | 768,106 | 791,149 | 791,149 | - |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**

**2015-17 Biennium** — **Cross Reference Number: 44300-020-05-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Tsfr From Nursing, Bd of | 1,426,050 | 1,643,453 | 1,643,453 | 1,643,453 | 1,643,453 | - |
| Tsfr From Board of Pharmacy | 150,738 | 176,899 | 176,899 | 176,899 | 176,899 | - |
| Transfer Out - Intrafund | (250,786) | - | - | - | - | - |
| Transfer to General Fund | (5,726,586) | - | - | - | - | - |
| Transfer to Counties | (7,926,444) | (7,129,200) | (7,129,200) | (7,476,020) | (7,476,020) | - |
| Tsfr To Police, Dept of State | (201,000) | - | - | - | - | - |
| **Total Other Funds** | **$28,467,717** | **$39,413,248** | **$58,662,039** | **$75,904,611** | **$85,287,583** | **-** |
| **Federal Funds** | | | | | | |
| Federal Funds | 296,107,878 | 278,945,275 | 242,569,579 | 256,308,769 | 276,840,698 | - |
| **Total Federal Funds** | **$296,107,878** | **$278,945,275** | **$242,569,579** | **$256,308,769** | **$276,840,698** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-020-05-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 9,173,814 | - | - | - | - | - | 9,173,814 |
| Other Revenues | - | - | 50,463 | - | - | - | 50,463 |
| Federal Funds | - | - | - | 1,749,316 | - | - | 1,749,316 |
| Tsfr From Administrative Svcs | - | (1,456) | - | - | - | - | (1,456) |
| Tsfr From Revenue, Dept of | - | - | - | - | - | - | - |
| **Total Revenues** | **$9,173,814** | **($1,456)** | **$50,463** | **$1,749,316** | **-** | **-** | **$10,972,137** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | 21,181 | 108 | 2,258 | 5,439 | - | - | 28,986 |
| Overtime Payments | 324,182 | - | 33,772 | 540 | - | - | 358,494 |
| Shift Differential | 192,414 | - | 13,520 | 2,081 | - | - | 208,015 |
| All Other Differential | 581,244 | - | 2,677 | 865,236 | - | - | 1,449,157 |
| Public Employees' Retire Cont | 173,341 | - | 7,900 | 137,027 | - | - | 318,268 |
| Pension Obligation Bond | 1,327,372 | 4,660 | (63,761) | 653,072 | - | - | 1,921,343 |
| Social Security Taxes | 85,601 | 8 | 4,000 | 66,803 | - | - | 156,412 |
| Vacancy Savings | 6,468,467 | (10,946) | 50,111 | 19,106 | - | - | 6,526,738 |
| Reconciliation Adjustment | 12 | - | (14) | 12 | - | - | 10 |
| **Total Personal Services** | **$9,173,814** | **($6,170)** | **$50,463** | **$1,749,316** | **-** | **-** | **$10,967,423** |
| **Special Payments** | | | | | | | |
| Dist to Counties | - | - | - | - | - | - | - |
| Dist to Other Gov Unit | - | - | - | - | - | - | - |
| Dist to Individuals | - | - | - | - | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Other Special Payments | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 9,173,814 | (6,170) | 50,463 | 1,749,316 | - | - | 10,967,423 |
| **Total Expenditures** | **$9,173,814** | **($6,170)** | **$50,463** | **$1,749,316** | **-** | **-** | **$10,967,423** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | 4,714 | - | - | - | - | 4,714 |
| **Total Ending Balance** | **-** | **$4,714** | **-** | **-** | **-** | **-** | **$4,714** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 73,947,854 | - | - | - | - | - | 73,947,854 |
| Other Revenues | - | - | 835,163 | - | - | - | 835,163 |
| Federal Funds | - | - | - | 1,386,718 | - | - | 1,386,718 |
| Tsfr From Revenue, Dept of | - | - | 4,896,000 | - | - | - | 4,896,000 |
| **Total Revenues** | **$73,947,854** | **-** | **$5,731,163** | **$1,386,718** | **-** | **-** | **$81,065,735** |
| **Transfers Out** | | | | | | | |
| Tsfr To Dept Post-Secondary Education | - | - | - | - | - | - | - |
| **Total Transfers Out** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | - | - | - | - | - |
| Temporary Appointments | 226,041 | - | - | - | - | - | 226,041 |
| Overtime Payments | 3,404,296 | - | 19,467 | 4,780 | - | - | 3,428,543 |
| Shift Differential | 430,710 | - | 14,582 | 3,625 | - | - | 448,917 |
| All Other Differential | 2,682,382 | - | - | - | - | - | 2,682,382 |
| Empl. Rel. Bd. Assessments | - | - | - | - | - | - | - |
| Public Employees' Retire Cont | 1,029,096 | - | 5,364 | 1,339 | - | - | 1,035,799 |
| Pension Obligation Bond | 49,779 | - | - | - | - | - | 49,779 |
| Social Security Taxes | 515,872 | - | 2,599 | 649 | - | - | 519,120 |
| Worker's Comp. Assess. (WCD) | - | - | - | - | - | - | - |
| Flexible Benefits | - | - | - | - | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Personal Services** | | | | | | | |
| Reconciliation Adjustment | 26,834,122 | - | 18 | (18) | - | - | 26,834,122 |
| **Total Personal Services** | **$35,172,298** | **-** | **$42,030** | **$10,375** | **-** | **-** | **$35,224,703** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 1,110,107 | - | 13,442 | 2,759 | - | - | 1,126,308 |
| Out of State Travel | 6,131 | - | 618 | 136 | - | - | 6,885 |
| Employee Training | 213,127 | - | 689 | 136 | - | - | 213,952 |
| Office Expenses | 1,245,978 | - | 12,329 | 2,515 | - | - | 1,260,822 |
| Telecommunications | 479,761 | - | 10,877 | 2,302 | - | - | 492,940 |
| Data Processing | 69,324 | - | - | - | - | - | 69,324 |
| Publicity and Publications | 13,469 | - | - | - | - | - | 13,469 |
| Professional Services | 374,418 | - | 9,756 | 1,934 | - | - | 386,108 |
| Attorney General | 122,349 | - | 9,212 | 1,838 | - | - | 133,399 |
| Employee Recruitment and Develop | 309,000 | - | 377 | 71 | - | - | 309,448 |
| Dues and Subscriptions | 17,384 | - | 853 | 161 | - | - | 18,398 |
| Fuels and Utilities | 1,417,692 | - | - | - | - | - | 1,417,692 |
| Facilities Maintenance | 546,114 | - | 19,346 | 4,409 | - | - | 569,869 |
| Food and Kitchen Supplies | 1,127,949 | - | 65,755 | 13,170 | - | - | 1,206,874 |
| Medical Services and Supplies | 14,191,661 | - | 646,378 | 95,725 | - | - | 14,933,764 |
| Other Care of Residents and Patients | 124,762 | - | - | - | - | - | 124,762 |
| Agency Program Related S and S | 570,886 | - | - | 1,944 | - | - | 572,830 |
| Other Services and Supplies | 334,750 | - | 3,501 | 572 | - | - | 338,823 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Expendable Prop 250 - 5000 | 910,939 | - | - | - | - | - | 910,939 |
| **Total Services & Supplies** | **$23,185,801** | **-** | **$793,133** | **$127,672** | **-** | **-** | **$24,106,606** |
| **Capital Outlay** | | | | | | | |
| Equipment - Part of Building | - | - | - | - | - | - | - |
| **Total Capital Outlay** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Dist to Counties | 369,537 | - | 1,100,975 | - | - | - | 1,470,512 |
| Dist to Individuals | 15,220,218 | - | 3,426,845 | 1,248,671 | - | - | 19,895,734 |
| Other Special Payments | - | - | 368,180 | - | - | - | 368,180 |
| **Total Special Payments** | **$15,589,755** | **-** | **$4,896,000** | **$1,248,671** | **-** | **-** | **$21,734,426** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 73,947,854 | - | 5,731,163 | 1,386,718 | - | - | 81,065,735 |
| **Total Expenditures** | **$73,947,854** | **-** | **$5,731,163** | **$1,386,718** | **-** | **-** | **$81,065,735** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 177 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **177** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 177.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **177.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (12,491,940) | - | - | - | - | - | (12,491,940) |
| Other Revenues | - | - | (386,168) | - | - | - | (386,168) |
| Federal Funds | - | - | - | (7,616,282) | - | - | (7,616,282) |
| **Total Revenues** | **($12,491,940)** | **-** | **($386,168)** | **($7,616,282)** | **-** | **-** | **($20,494,390)** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | (393,851) | - | (32,760) | (4,390) | - | - | (431,001) |
| Overtime Payments | (15,841) | - | - | (27,048) | - | - | (42,889) |
| Shift Differential | (30,562) | - | (2,379) | (671) | - | - | (33,612) |
| All Other Differential | (132,981) | - | (46,435) | (49,507) | - | - | (228,923) |
| Public Employees' Retire Cont | (28,325) | - | (7,707) | (12,196) | - | - | (48,228) |
| Pension Obligation Bond | (893,083) | - | (143,350) | (19,919) | - | - | (1,056,352) |
| Social Security Taxes | (43,852) | - | (6,240) | (6,244) | - | - | (56,336) |
| Reconciliation Adjustment | (1) | - | (1) | 3 | - | - | 1 |
| **Total Personal Services** | **($1,538,496)** | **-** | **($238,872)** | **($119,972)** | **-** | **-** | **($1,897,340)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | (56,918) | - | (2,905) | (8,864) | - | - | (68,687) |
| Employee Training | (11,475) | - | (363) | (1,611) | - | - | (13,449) |
| Office Expenses | (33,365) | - | (2,663) | (11,448) | - | - | (47,476) |
| Telecommunications | (28,545) | - | (2,058) | (6,605) | - | - | (37,208) |
| Data Processing | (6,000) | - | - | - | - | - | (6,000) |
| Publicity and Publications | (75,000) | - | - | - | - | - | (75,000) |
| Professional Services | (286,607) | - | (1,332) | (1,412,258) | - | - | (1,700,197) |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Attorney General | (60,507) | - | (552) | (1,989) | - | - | (63,048) |
| Employee Recruitment and Develop | (1,650) | - | (242) | (456) | - | - | (2,348) |
| Dues and Subscriptions | (825) | - | (121) | (228) | - | - | (1,174) |
| Facilities Rental and Taxes | (1,682,732) | - | - | (1,547) | - | - | (1,684,279) |
| Fuels and Utilities | (64,017) | - | (6,294) | (11,909) | - | - | (82,220) |
| Facilities Maintenance | (1,045,805) | - | (3,510) | (6,642) | - | - | (1,055,957) |
| Food and Kitchen Supplies | (99,566) | - | (11,378) | (21,527) | - | - | (132,471) |
| Medical Services and Supplies | (1,134,915) | - | (109,584) | (127,689) | - | - | (1,372,188) |
| Other Care of Residents and Patients | (27,662) | - | (3,026) | (5,726) | - | - | (36,414) |
| Agency Program Related S and S | (28,034) | - | (2,784) | (16,289) | - | - | (47,107) |
| Other Services and Supplies | (9,679) | - | (399) | (1,301) | - | - | (11,379) |
| Expendable Prop 250 - 5000 | (142) | - | (85) | (1,096) | - | - | (1,323) |
| **Total Services & Supplies** | **($4,653,444)** | **-** | **($147,296)** | **($1,637,185)** | **-** | **-** | **($6,437,925)** |
| **Special Payments** | | | | | | | |
| Dist to Counties | - | - | - | (5,859,125) | - | - | (5,859,125) |
| Dist to Individuals | (6,300,000) | - | - | - | - | - | (6,300,000) |
| **Total Special Payments** | **($6,300,000)** | **-** | **-** | **($5,859,125)** | **-** | **-** | **($12,159,125)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (12,491,940) | - | (386,168) | (7,616,282) | - | - | (20,494,390) |
| **Total Expenditures** | **($12,491,940)** | **-** | **($386,168)** | **($7,616,282)** | **-** | **-** | **($20,494,390)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**

**Pkg: 022 - Phase-out Pgm & One-time Costs** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**
**Pkg: 031 - Standard Inflation** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 9,474,431 | - | - | - | - | - | 9,474,431 |
| Other Revenues | - | - | 821,473 | - | - | - | 821,473 |
| Federal Funds | - | - | - | 5,907,009 | - | - | 5,907,009 |
| Tsfr From Administrative Svcs | - | 285,733 | - | - | - | - | 285,733 |
| Tsfr From Revenue, Dept of | - | - | 570,000 | - | - | - | 570,000 |
| **Total Revenues** | **$9,474,431** | **$285,733** | **$1,391,473** | **$5,907,009** | **-** | **-** | **$17,058,646** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 83,155 | 801 | 7,150 | 1,405 | - | - | 92,511 |
| Out of State Travel | 3,106 | 139 | 1,474 | 1,338 | - | - | 6,057 |
| Employee Training | 47,308 | 764 | 1,948 | 8,494 | - | - | 58,514 |
| Office Expenses | 107,691 | 1,461 | 5,093 | 2,364 | - | - | 116,609 |
| Telecommunications | 68,813 | 331 | 4,437 | 441 | - | - | 74,022 |
| Data Processing | 46,435 | 1 | 9,435 | 296 | - | - | 56,167 |
| Publicity and Publications | 2,082 | 1,396 | 32 | 41 | - | - | 3,551 |
| Professional Services | 43,888 | 42,058 | 171,748 | 73,751 | - | - | 331,445 |
| IT Professional Services | 47,333 | - | 196 | - | - | - | 47,529 |
| Attorney General | 160,350 | 26 | 40,781 | 5,246 | - | - | 206,403 |
| Employee Recruitment and Develop | 34,578 | - | 92 | 30 | - | - | 34,700 |
| Dues and Subscriptions | 632 | 38 | 831 | 5 | - | - | 1,506 |
| Facilities Rental and Taxes | 2 | - | 597 | 3 | - | - | 602 |
| Fuels and Utilities | 78,580 | - | 1,305 | 493 | - | - | 80,378 |
| Facilities Maintenance | 42,358 | - | 1,110 | 20,651 | - | - | 64,119 |
| Food and Kitchen Supplies | 93,770 | - | 2,048 | 2,900 | - | - | 98,718 |
| Medical Services and Supplies | 99,301 | - | 4,245 | 119,985 | - | - | 223,531 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Other Care of Residents and Patients | 67,080 | - | 526 | 26,251 | - | - | 93,857 |
| Agency Program Related S and S | 61,935 | 2,536 | 5,654 | 90,465 | - | - | 160,590 |
| Other Services and Supplies | 22,016 | 196 | 342 | 5,031 | - | - | 27,585 |
| Expendable Prop 250 - 5000 | 22,224 | 41 | 627 | 4,677 | - | - | 27,569 |
| IT Expendable Property | 4,837 | 68 | 1,025 | 499 | - | - | 6,429 |
| **Total Services & Supplies** | **$1,137,474** | **$49,856** | **$260,696** | **$364,366** | **-** | **-** | **$1,812,392** |
| **Capital Outlay** | | | | | | | |
| Household and Institutional Equip. | 8,800 | - | 22 | 11 | - | - | 8,833 |
| Industrial and Heavy Equipment | 2,350 | - | 8 | 4 | - | - | 2,362 |
| Data Processing Hardware | - | - | - | - | - | - | - |
| Land and Improvements | 1,334 | - | 4 | 2 | - | - | 1,340 |
| Building Structures | 5,514 | - | 10 | 27 | - | - | 5,551 |
| **Total Capital Outlay** | **$17,998** | **-** | **$44** | **$44** | **-** | **-** | **$18,086** |
| **Special Payments** | | | | | | | |
| Dist to Counties | 6,917,312 | 214,239 | 542,518 | 2,265,615 | - | - | 9,939,684 |
| Dist to Other Gov Unit | 173,952 | 4,605 | 23,625 | 77,055 | - | - | 279,237 |
| Dist to Individuals | 672,311 | - | 438,191 | 2,657,173 | - | - | 3,767,675 |
| Other Special Payments | 555,384 | 17,022 | 126,399 | 542,756 | - | - | 1,241,561 |
| **Total Special Payments** | **$8,318,959** | **$235,866** | **$1,130,733** | **$5,542,599** | **-** | **-** | **$15,228,157** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 9,474,431 | 285,722 | 1,391,473 | 5,907,009 | - | - | 17,058,635 |
| **Total Expenditures** | **$9,474,431** | **$285,722** | **$1,391,473** | **$5,907,009** | **-** | **-** | **$17,058,635** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | 11 | - | - | - | - | 11 |
| **Total Ending Balance** | **-** | **$11** | **-** | **-** | **-** | **-** | **$11** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**
**Pkg: 032 - Above Standard Inflation** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 801,826 | - | - | - | - | - | 801,826 |
| Other Revenues | - | - | 24,560 | - | - | - | 24,560 |
| Federal Funds | - | - | - | 368,237 | - | - | 368,237 |
| Tsfr From Administrative Svcs | - | 4,206 | - | - | - | - | 4,206 |
| Tsfr From Revenue, Dept of | - | - | 17,851 | - | - | - | 17,851 |
| Tsfr From Or Liquor Cntrl Comm | - | - | 46,580 | - | - | - | 46,580 |
| **Total Revenues** | **$801,826** | **$4,206** | **$88,991** | **$368,237** | **-** | **-** | **$1,263,260** |
| **Services & Supplies** | | | | | | | |
| Professional Services | 4,388 | 4,206 | 17,184 | 7,374 | - | - | 33,152 |
| IT Professional Services | 4,734 | - | 20 | - | - | - | 4,754 |
| Attorney General | - | - | - | - | - | - | - |
| Medical Services and Supplies | 56,270 | - | 2,406 | 67,991 | - | - | 126,667 |
| **Total Services & Supplies** | **$65,392** | **$4,206** | **$19,610** | **$75,365** | **-** | **-** | **$164,573** |
| **Capital Outlay** | | | | | | | |
| Office Furniture and Fixtures | - | - | - | - | - | - | - |
| **Total Capital Outlay** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Dist to Counties | 648,280 | - | 50,497 | 236,138 | - | - | 934,915 |
| Dist to Individuals | 7,218 | - | 5,236 | 27,089 | - | - | 39,543 |
| Loan Repaid To State Agencies | - | - | - | - | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Other Special Payments | 80,936 | - | 13,648 | 29,645 | - | - | 124,229 |
| **Total Special Payments** | **$736,434** | **-** | **$69,381** | **$292,872** | **-** | **-** | **$1,098,687** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 801,826 | 4,206 | 88,991 | 368,237 | - | - | 1,263,260 |
| **Total Expenditures** | **$801,826** | **$4,206** | **$88,991** | **$368,237** | **-** | **-** | **$1,263,260** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 033 - Exceptional Inflation**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 164,265 | - | - | - | - | - | 164,265 |
| Federal Funds | - | - | - | 154,505 | - | - | 154,505 |
| Tsfr From Or Liquor Cntrl Comm | - | - | 60,828 | - | - | - | 60,828 |
| **Total Revenues** | **$164,265** | **-** | **$60,828** | **$154,505** | **-** | **-** | **$379,598** |
| **Services & Supplies** | | | | | | | |
| Medical Services and Supplies | 22,298 | - | - | - | - | - | 22,298 |
| **Total Services & Supplies** | **$22,298** | **-** | **-** | **-** | **-** | **-** | **$22,298** |
| **Special Payments** | | | | | | | |
| Dist to Counties | 93,471 | - | 49,402 | 123,638 | - | - | 266,511 |
| Dist to Individuals | 284 | - | 67 | 181 | - | - | 532 |
| Other Special Payments | 48,212 | - | 11,359 | 30,686 | - | - | 90,257 |
| **Total Special Payments** | **$141,967** | **-** | **$60,828** | **$154,505** | **-** | **-** | **$357,300** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 164,265 | - | 60,828 | 154,505 | - | - | 379,598 |
| **Total Expenditures** | **$164,265** | **-** | **$60,828** | **$154,505** | **-** | **-** | **$379,598** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 040 - Mandated Caseload**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 13,579,563 | - | - | - | - | - | 13,579,563 |
| Federal Funds | - | - | - | 7,672,685 | - | - | 7,672,685 |
| **Total Revenues** | **$13,579,563** | **-** | **-** | **$7,672,685** | **-** | **-** | **$21,252,248** |
| **Services & Supplies** | | | | | | | |
| Food and Kitchen Supplies | 721,374 | - | - | - | - | - | 721,374 |
| **Total Services & Supplies** | **$721,374** | **-** | **-** | **-** | **-** | **-** | **$721,374** |
| **Special Payments** | | | | | | | |
| Dist to Counties | 6,149,905 | - | - | 3,408,239 | - | - | 9,558,144 |
| Dist to Individuals | 6,497,805 | - | - | 3,559,296 | - | - | 10,057,101 |
| Other Special Payments | 210,479 | - | - | 705,150 | - | - | 915,629 |
| **Total Special Payments** | **$12,858,189** | **-** | **-** | **$7,672,685** | **-** | **-** | **$20,530,874** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 13,579,563 | - | - | 7,672,685 | - | - | 21,252,248 |
| **Total Expenditures** | **$13,579,563** | **-** | **-** | **$7,672,685** | **-** | **-** | **$21,252,248** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 050 - Fundshifts**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 1,193,548 | - | - | - | - | - | 1,193,548 |
| Federal Revenues | - | - | - | - | - | - | - |
| Federal Funds | - | - | - | (1,193,548) | - | - | (1,193,548) |
| **Total Revenues** | **$1,193,548** | **-** | **-** | **($1,193,548)** | **-** | **-** | **-** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | (147) | - | - | 147 | - | - | - |
| Employee Training | (52) | - | - | 52 | - | - | - |
| Office Expenses | (52) | - | - | 52 | - | - | - |
| Telecommunications | (76) | - | - | 76 | - | - | - |
| Professional Services | (4,837) | - | - | 4,837 | - | - | - |
| Employee Recruitment and Develop | (16) | - | - | 16 | - | - | - |
| Dues and Subscriptions | (4) | - | - | 4 | - | - | - |
| Facilities Rental and Taxes | (1) | - | - | 1 | - | - | - |
| Fuels and Utilities | (272) | - | - | 272 | - | - | - |
| Facilities Maintenance | (5,649) | - | - | 5,649 | - | - | - |
| Food and Kitchen Supplies | (963) | - | - | 963 | - | - | - |
| Medical Services and Supplies | 1,297,516 | - | - | (1,297,516) | - | - | - |
| Other Care of Residents and Patients | (7,083) | - | - | 7,083 | - | - | - |
| Agency Program Related S and S | (455) | - | - | 455 | - | - | - |
| Other Services and Supplies | (837) | - | - | 837 | - | - | - |
| Expendable Prop 250 - 5000 | (1,143) | - | - | 1,143 | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 050 - Fundshifts**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| IT Expendable Property | (134) | - | - | 134 | - | - | - |
| **Total Services & Supplies** | **$1,275,795** | **-** | **-** | **($1,275,795)** | **-** | **-** | **-** |
| **Capital Outlay** | | | | | | | |
| Office Furniture and Fixtures | - | - | - | - | - | - | - |
| Household and Institutional Equip. | (3) | - | - | 3 | - | - | - |
| Industrial and Heavy Equipment | (1) | - | - | 1 | - | - | - |
| Building Structures | (7) | - | - | 7 | - | - | - |
| **Total Capital Outlay** | **($11)** | **-** | **-** | **$11** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Dist to Counties | (81,342) | - | (1,442,072) | 1,523,414 | - | - | - |
| Dist to Other Gov Unit | - | - | (149,989) | 149,989 | - | - | - |
| Dist to Individuals | (797) | - | - | 797 | - | - | - |
| Other Special Payments | (97) | - | (1,311,239) | 1,311,336 | - | - | - |
| **Total Special Payments** | **($82,236)** | **-** | **($2,903,300)** | **$2,985,536** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 1,193,548 | - | (2,903,300) | 1,709,752 | - | - | - |
| **Total Expenditures** | **$1,193,548** | **-** | **($2,903,300)** | **$1,709,752** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 050 - Fundshifts**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | 2,903,300 | (2,903,300) | - | - | - |
| **Total Ending Balance** | **-** | **-** | **$2,903,300** | **($2,903,300)** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | - | - | - | - | - | - | - |
| Other Revenues | - | - | - | - | - | - | - |
| Federal Funds | - | - | - | - | - | - | - |
| **Total Revenues** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 3,916,673 | - | 7 | - | - | - | 3,916,680 |
| Empl. Rel. Bd. Assessments | 2,332 | - | - | - | - | - | 2,332 |
| Public Employees' Retire Cont | 618,460 | - | - | - | - | - | 618,460 |
| Social Security Taxes | 299,632 | - | - | - | - | - | 299,632 |
| Worker's Comp. Assess. (WCD) | 3,657 | - | - | - | - | - | 3,657 |
| Flexible Benefits | 1,617,984 | - | - | - | - | - | 1,617,984 |
| Vacancy Savings | (6,438,895) | - | - | - | - | - | (6,438,895) |
| **Total Personal Services** | **$19,843** | **-** | **$7** | **-** | **-** | **-** | **$19,850** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | (19,843) | - | - | - | - | - | (19,843) |
| Employee Training | - | - | - | - | - | - | - |
| Office Expenses | - | - | - | - | - | - | - |
| Telecommunications | - | - | - | - | - | - | - |
| Medical Services and Supplies | - | - | (7) | - | - | - | (7) |
| Other Services and Supplies | - | - | - | - | - | - | - |
| **Total Services & Supplies** | **($19,843)** | **-** | **($7)** | **-** | **-** | **-** | **($19,850)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Dist to Counties | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | - | - | - | - | - |
| **Total Expenditures** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 53 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **53** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 53.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **53.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 081 - September 2014 E-Board**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 6,153,914 | - | - | - | - | - | 6,153,914 |
| **Total Revenues** | **$6,153,914** | **-** | **-** | **-** | **-** | **-** | **$6,153,914** |
| | | | | | | | |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 138,336 | - | - | - | - | - | 138,336 |
| All Other Differential | 7,440 | - | - | - | - | - | 7,440 |
| Empl. Rel. Bd. Assessments | 44 | - | - | - | - | - | 44 |
| Public Employees' Retire Cont | 23,018 | - | - | - | - | - | 23,018 |
| Social Security Taxes | 11,152 | - | - | - | - | - | 11,152 |
| Worker's Comp. Assess. (WCD) | 69 | - | - | - | - | - | 69 |
| Flexible Benefits | 30,528 | - | - | - | - | - | 30,528 |
| **Total Personal Services** | **$210,587** | **-** | **-** | **-** | **-** | **-** | **$210,587** |
| | | | | | | | |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 2,776 | - | - | - | - | - | 2,776 |
| Employee Training | 764 | - | - | - | - | - | 764 |
| Office Expenses | 6,885 | - | - | - | - | - | 6,885 |
| Telecommunications | 2,234 | - | - | - | - | - | 2,234 |
| State Gov. Service Charges | - | - | - | - | - | - | - |
| Facilities Rental and Taxes | 12,924 | - | - | - | - | - | 12,924 |
| Other Services and Supplies | 519 | - | - | - | - | - | 519 |
| **Total Services & Supplies** | **$26,102** | **-** | **-** | **-** | **-** | **-** | **$26,102** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 081 - September 2014 E-Board**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Other Special Payments | 5,917,225 | - | - | - | - | - | 5,917,225 |
| **Total Special Payments** | **$5,917,225** | **-** | **-** | **-** | **-** | **-** | **$5,917,225** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 6,153,914 | - | - | - | - | - | 6,153,914 |
| **Total Expenditures** | **$6,153,914** | **-** | **-** | **-** | **-** | **-** | **$6,153,914** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 1 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **1** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 1.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **1.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Beginning Balance** | | | | | | | |
| Beginning Balance Adjustment | - | - | 1,001,062 | - | - | - | 1,001,062 |
| **Total Beginning Balance** | **-** | **-** | **$1,001,062** | **-** | **-** | **-** | **$1,001,062** |
| **Revenues** | | | | | | | |
| General Fund Appropriation | (57,324,053) | - | - | - | - | - | (57,324,053) |
| Other Revenues | - | - | 4,000,000 | - | - | - | 4,000,000 |
| Federal Funds | - | - | - | (1,653,340) | - | - | (1,653,340) |
| Tsfr From Administrative Svcs | - | (570,033) | - | - | - | - | (570,033) |
| Tsfr From Revenue, Dept of | - | - | 11,199,684 | - | - | - | 11,199,684 |
| **Total Revenues** | **($57,324,053)** | **($570,033)** | **$15,199,684** | **($1,653,340)** | **-** | **-** | **($44,347,742)** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | (188,425) | - | - | - | - | - | (188,425) |
| Overtime Payments | (2,090,009) | - | - | - | - | - | (2,090,009) |
| Shift Differential | (233,787) | - | - | - | - | - | (233,787) |
| All Other Differential | (624,596) | - | - | - | - | - | (624,596) |
| Public Employees' Retire Cont | (465,551) | - | - | - | - | - | (465,551) |
| Pension Obligation Bond | - | - | - | - | - | - | - |
| Social Security Taxes | (239,968) | - | - | - | - | - | (239,968) |
| Reconciliation Adjustment | (26,834,124) | - | - | - | - | - | (26,834,124) |
| **Total Personal Services** | **($30,676,460)** | **-** | **-** | **-** | **-** | **-** | **($30,676,460)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | (491,352) | - | - | - | - | - | (491,352) |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Out of State Travel | - | - | - | - | - | - | - |
| Employee Training | (135,228) | - | - | - | - | - | (135,228) |
| Office Expenses | (934,914) | - | - | - | - | - | (934,914) |
| Telecommunications | (395,418) | - | - | - | - | - | (395,418) |
| Data Processing | (902,280) | - | - | - | - | - | (902,280) |
| Professional Services | (217,451) | - | - | - | - | - | (217,451) |
| Attorney General | (39,250) | - | - | - | - | - | (39,250) |
| Fuels and Utilities | (1,108,692) | - | - | - | - | - | (1,108,692) |
| Facilities Maintenance | (318,344) | - | - | - | - | - | (318,344) |
| Food and Kitchen Supplies | (509,949) | - | - | - | - | - | (509,949) |
| Medical Services and Supplies | (6,548,672) | - | 4,000,000 | - | - | - | (2,548,672) |
| Other Care of Residents and Patients | (124,762) | - | - | - | - | - | (124,762) |
| Agency Program Related S and S | (277,383) | - | - | - | - | - | (277,383) |
| Other Services and Supplies | (84,960) | - | - | - | - | - | (84,960) |
| Expendable Prop 250 - 5000 | (81,243) | - | - | - | - | - | (81,243) |
| **Total Services & Supplies** | **($12,169,898)** | **-** | **$4,000,000** | **-** | **-** | **-** | **($8,169,898)** |
| | | | | | | | |
| **Special Payments** | | | | | | | |
| Dist to Counties | (41,848) | - | - | (11,099) | - | - | (52,947) |
| Dist to Individuals | (14,349,236) | - | 12,200,746 | (1,619,270) | - | - | (3,767,760) |
| Other Special Payments | (86,611) | (570,033) | - | (22,971) | - | - | (679,615) |
| **Total Special Payments** | **($14,477,695)** | **($570,033)** | **$12,200,746** | **($1,653,340)** | **-** | **-** | **($4,500,322)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (57,324,053) | (570,033) | 16,200,746 | (1,653,340) | - | - | (43,346,680) |
| **Total Expenditures** | **($57,324,053)** | **($570,033)** | **$16,200,746** | **($1,653,340)** | **-** | **-** | **($43,346,680)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | (177) |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **(177)** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | (177.00) |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **(177.00)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Beginning Balance** | | | | | | | |
| Beginning Balance | - | - | - | - | - | - | - |
| Beginning Balance Adjustment | - | - | 859,620 | - | - | - | 859,620 |
| **Total Beginning Balance** | **-** | **-** | **$859,620** | **-** | **-** | **-** | **$859,620** |
| **Revenues** | | | | | | | |
| General Fund Appropriation | 23,525,083 | - | - | - | - | - | 23,525,083 |
| Other Revenues | - | - | 2,410,820 | - | - | - | 2,410,820 |
| Federal Funds | - | - | - | 23,476,483 | - | - | 23,476,483 |
| Tsfr From Administrative Svcs | - | - | - | - | - | - | - |
| Tsfr From Revenue, Dept of | - | - | - | - | - | - | - |
| **Total Revenues** | **$23,525,083** | **-** | **$2,410,820** | **$23,476,483** | **-** | **-** | **$49,412,386** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | (176,232) | - | - | 99,864 | - | - | (76,368) |
| Temporary Appointments | 860,510 | - | 86,720 | 54,140 | - | - | 1,001,370 |
| Overtime Payments | 5,675,630 | - | 464,448 | 357,106 | - | - | 6,497,184 |
| Shift Differential | 1,016,855 | - | 102,476 | 63,980 | - | - | 1,183,311 |
| All Other Differential | 877,043 | - | 123,649 | 24,581 | - | - | 1,025,273 |
| Empl. Rel. Bd. Assessments | (44) | - | - | 44 | - | - | - |
| Public Employees' Retire Cont | 1,167,401 | - | 109,041 | 86,139 | - | - | 1,362,581 |
| Pension Obligation Bond | 381,734 | - | 38,470 | 24,802 | - | - | 445,006 |
| Social Security Taxes | 631,415 | - | 59,462 | 45,875 | - | - | 736,752 |
| Worker's Comp. Assess. (WCD) | (69) | - | - | 69 | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Personal Services** | | | | | | | |
| Flexible Benefits | (30,528) | - | - | 30,528 | - | - | - |
| **Total Personal Services** | **$10,403,715** | **-** | **$984,266** | **$787,128** | **-** | **-** | **$12,175,109** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 19,087 | - | - | 2,776 | - | - | 21,863 |
| Employee Training | 5,931 | - | - | 764 | - | - | 6,695 |
| Office Expenses | 40,988 | - | - | 8,533 | - | - | 49,521 |
| Telecommunications | 17,336 | - | - | 2,234 | - | - | 19,570 |
| Professional Services | (137,500) | - | (118,180) | (227,404) | - | - | (483,084) |
| Facilities Maintenance | 791,891 | - | 85,657 | 49,633 | - | - | 927,181 |
| Food and Kitchen Supplies | 2,943,251 | - | 296,612 | 185,187 | - | - | 3,425,050 |
| Medical Services and Supplies | 10,377,039 | - | 1,044,285 | 651,992 | - | - | 12,073,316 |
| Agency Program Related S and S | 123,104 | - | - | 14,566 | - | - | 137,670 |
| Other Services and Supplies | 3,725 | - | - | 1,074 | - | - | 4,799 |
| Expendable Prop 250 - 5000 | 1,836 | - | - | - | - | - | 1,836 |
| **Total Services & Supplies** | **$14,186,688** | **-** | **$1,308,374** | **$689,355** | **-** | **-** | **$16,184,417** |
| **Special Payments** | | | | | | | |
| Dist to Counties | (1,065,320) | - | (500,000) | - | - | - | (1,565,320) |
| Dist to Individuals | - | - | 859,620 | - | - | - | 859,620 |
| Other Special Payments | - | - | 618,180 | 22,000,000 | - | - | 22,618,180 |
| **Total Special Payments** | **($1,065,320)** | **-** | **$977,800** | **$22,000,000** | **-** | **-** | **$21,912,480** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 23,525,083 | - | 3,270,440 | 23,476,483 | - | - | 50,272,006 |
| **Total Expenditures** | **$23,525,083** | **-** | **$3,270,440** | **$23,476,483** | **-** | **-** | **$50,272,006** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | - |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | - |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**

**Pkg: 401 - Promote and Support Community Based Services** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 4,916,521 | - | - | - | - | - | 4,916,521 |
| Tsfr From Revenue, Dept of | - | - | - | - | - | - | - |
| **Total Revenues** | **$4,916,521** | **-** | **-** | **-** | **-** | **-** | **$4,916,521** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | 573,804 | - | - | - | - | - | 573,804 |
| All Other Differential | 15 | - | - | - | - | - | 15 |
| Empl. Rel. Bd. Assessments | 213 | - | - | - | - | - | 213 |
| Public Employees' Retire Cont | 90,604 | - | - | - | - | - | 90,604 |
| Social Security Taxes | 43,895 | - | - | - | - | - | 43,895 |
| Unemployment Assessments | 1 | - | - | - | - | - | 1 |
| Worker's Comp. Assess. (WCD) | 333 | - | - | - | - | - | 333 |
| Flexible Benefits | 147,552 | - | - | - | - | - | 147,552 |
| **Total Personal Services** | **$856,417** | **-** | **-** | **-** | **-** | **-** | **$856,417** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 13,416 | - | - | - | - | - | 13,416 |
| Employee Training | 3,693 | - | - | - | - | - | 3,693 |
| Office Expenses | 25,531 | - | - | - | - | - | 25,531 |
| Telecommunications | 10,798 | - | - | - | - | - | 10,798 |
| Attorney General | - | - | - | - | - | - | - |
| Employee Recruitment and Develop | - | - | - | - | - | - | - |
| Agency Program Related S and S | 121,251 | - | - | - | - | - | 121,251 |
| Other Services and Supplies | 2,320 | - | - | - | - | - | 2,320 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**

**Pkg: 401 - Promote and Support Community Based Services** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Expendable Prop 250 - 5000 | 2,295 | - | - | - | - | - | 2,295 |
| **Total Services & Supplies** | **$179,304** | **-** | **-** | **-** | **-** | **-** | **$179,304** |
| **Special Payments** | | | | | | | |
| Dist to Counties | - | - | - | - | - | - | - |
| Dist to Individuals | 3,880,800 | - | - | - | - | - | 3,880,800 |
| **Total Special Payments** | **$3,880,800** | **-** | **-** | **-** | **-** | **-** | **$3,880,800** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 4,916,521 | - | - | - | - | - | 4,916,521 |
| **Total Expenditures** | **$4,916,521** | **-** | **-** | **-** | **-** | **-** | **$4,916,521** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 5 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **5** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**

**Pkg: 401 - Promote and Support Community Based Services** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 4.83 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **4.83** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 501 - Measure 91 Implementation**

**Cross Reference Name: Addictions and Mental Health Program**
**Cross Reference Number: 44300-020-05-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Tsfr From Or Liquor Cntrl Comm | - | - | 2,277,236 | - | - | - | 2,277,236 |
| **Total Revenues** | **-** | **-** | **$2,277,236** | **-** | **-** | **-** | **$2,277,236** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 229,968 | - | - | - | 229,968 |
| Empl. Rel. Bd. Assessments | - | - | 88 | - | - | - | 88 |
| Public Employees' Retire Cont | - | - | 36,312 | - | - | - | 36,312 |
| Social Security Taxes | - | - | 17,592 | - | - | - | 17,592 |
| Worker's Comp. Assess. (WCD) | - | - | 138 | - | - | - | 138 |
| Flexible Benefits | - | - | 61,056 | - | - | - | 61,056 |
| **Total Personal Services** | **-** | **-** | **$345,154** | **-** | **-** | **-** | **$345,154** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 5,552 | - | - | - | 5,552 |
| Employee Training | - | - | 1,528 | - | - | - | 1,528 |
| Office Expenses | - | - | 10,564 | - | - | - | 10,564 |
| Telecommunications | - | - | 4,468 | - | - | - | 4,468 |
| Professional Services | - | - | 500,000 | - | - | - | 500,000 |
| Agency Program Related S and S | - | - | 49,474 | - | - | - | 49,474 |
| Other Services and Supplies | - | - | 960 | - | - | - | 960 |
| Expendable Prop 250 - 5000 | - | - | 918 | - | - | - | 918 |
| **Total Services & Supplies** | **-** | **-** | **$573,464** | **-** | **-** | **-** | **$573,464** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Addictions and Mental Health Program**
**Pkg: 501 - Measure 91 Implementation** | **Cross Reference Number: 44300-020-05-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Dist to Counties | - | - | 1,017,999 | - | - | - | 1,017,999 |
| Dist to Other Gov Unit | - | - | 83,695 | - | - | - | 83,695 |
| Other Special Payments | - | - | 256,924 | - | - | - | 256,924 |
| **Total Special Payments** | **-** | **-** | **$1,358,618** | **-** | **-** | **-** | **$1,358,618** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 2,277,236 | - | - | - | 2,277,236 |
| **Total Expenditures** | **-** | **-** | **$2,277,236** | **-** | **-** | **-** | **$2,277,236** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 2 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **2** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 2.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **2.00** |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0103022 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 05 | 2,994.00 | 66,632- | | 5,224- | | 71,856- |
| | | | | | | | | 44,032- | | 3,452- | | 47,484- |
| 0103022 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 05 | 2,994.00 | 66,632 | | 5,224 | | 71,856 |
| | | | | | | | | 44,032 | | 3,452 | | 47,484 |
| 0103023 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 04 | 2,855.00 | 63,539- | | 4,981- | | 68,520- |
| | | | | | | | | 43,307- | | 3,395- | | 46,702- |
| 0103023 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 04 | 2,855.00 | 63,539 | | 4,981 | | 68,520 |
| | | | | | | | | 43,307 | | 3,395 | | 46,702 |
| 0103024 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 09 | 3,601.00 | 80,141- | | 6,283- | | 86,424- |
| | | | | | | | | 47,199- | | 3,700- | | 50,899- |
| 0103024 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 09 | 3,601.00 | 80,141 | | 6,283 | | 86,424 |
| | | | | | | | | 47,199 | | 3,700 | | 50,899 |
| 0103027 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 02 | 2,635.00 | 58,642- | | 4,598- | | 63,240- |
| | | | | | | | | 42,160- | | 3,305- | | 45,465- |
| 0103027 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 58,642 | | 4,598 | | 63,240 |
| | | | | | | | | 42,160 | | 3,305 | | 45,465 |
| 0103055 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 04 | 2,855.00 | 62,312- | | 6,208- | | 68,520- |
| | | | | | | | | 42,471- | | 4,231- | | 46,702- |
| 0103055 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 04 | 2,855.00 | 62,312 | | 6,208 | | 68,520 |
| | | | | | | | | 42,471 | | 4,231 | | 46,702 |
| 0205200 | OA C4101 AA | CUSTODIAN | 1- | 1.00- | 24.00- | 04 | 2,188.00 | 43,979- | 8,533- | | | 52,512- |
| | | | | | | | | 35,971- | 6,979- | | | 42,950- |
| 0205200 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 04 | 2,188.00 | 43,979 | 8,533 | | | 52,512 |
| | | | | | | | | 35,971 | 6,979 | | | 42,950 |
| 0415020 | OA C4101 AA | CUSTODIAN | 1- | 1.00- | 24.00- | 09 | 2,636.00 | 52,984- | 10,280- | | | 63,264- |
| | | | | | | | | 38,081- | 7,389- | | | 45,470- |
| 0415020 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 09 | 2,636.00 | 52,984 | 10,280 | | | 63,264 |
| | | | | | | | | 38,081 | 7,389 | | | 45,470 |
| 0415022 | OA C4101 AA | CUSTODIAN | 1- | 1.00- | 24.00- | 03 | 2,110.00 | 42,411- | 8,229- | | | 50,640- |
| | | | | | | | | 35,603- | 6,908- | | | 42,511- |
| 0415022 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 42,411 | 8,229 | | | 50,640 |
| | | | | | | | | 35,603 | 6,908 | | | 42,511 |

| POSITION NUMBER | CLASS | COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0415024 | OA | C4101 AA | CUSTODIAN | 1- | 1.00- | 24.00- | 05 | 2,268.00 | 45,587- | 8,845- | | | 54,432- |
| | | | | | | | | | 36,347- | 7,053- | | | 43,400- |
| 0415024 | OA | C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 05 | 2,268.00 | 45,587 | 8,845 | | | 54,432 |
| | | | | | | | | | 36,347 | 7,053 | | | 43,400 |
| 0415027 | OA | C4101 AA | CUSTODIAN | 1- | 1.00- | 24.00- | 08 | 2,538.00 | 51,014- | 9,898- | | | 60,912- |
| | | | | | | | | | 37,620- | 7,299- | | | 44,919- |
| 0415027 | OA | C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 08 | 2,538.00 | 51,014 | 9,898 | | | 60,912 |
| | | | | | | | | | 37,620 | 7,299 | | | 44,919 |
| 0426017 | OXN | C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 09 | 3,953.00 | 79,455- | 15,417- | | | 94,872- |
| | | | | | | | | | 44,287- | 8,592- | | | 52,879- |
| 0426017 | OXN | C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 09 | 3,953.00 | 79,455 | 15,417 | | | 94,872 |
| | | | | | | | | | 44,287 | 8,592 | | | 52,879 |
| 0426025 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 06 | 3,134.00 | 62,993- | | 12,223- | | 75,216- |
| | | | | | | | | | 40,428- | | 7,844- | | 48,272- |
| 0426025 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 06 | 3,134.00 | 62,993 | | 12,223 | | 75,216 |
| | | | | | | | | | 40,428 | | 7,844 | | 48,272 |
| 0426027 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 02 | 2,635.00 | 52,964- | 10,276- | | | 63,240- |
| | | | | | | | | | 38,077- | 7,388- | | | 45,465- |
| 0426027 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 52,963 | 10,277 | | | 63,240 |
| | | | | | | | | | 38,077 | 7,388 | | | 45,465 |
| 0426035 | OXN | C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 09 | 3,953.00 | 79,455- | | 15,417- | | 94,872- |
| | | | | | | | | | 44,287- | | 8,592- | | 52,879- |
| 0426035 | OXN | C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 09 | 3,953.00 | 79,455 | | 15,417 | | 94,872 |
| | | | | | | | | | 44,287 | | 8,592 | | 52,879 |
| 0426054 | OXN | C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 03 | 2,994.00 | 60,179- | 11,677- | | | 71,856- |
| | | | | | | | | | 39,768- | 7,716- | | | 47,484- |
| 0426054 | OXN | C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 03 | 2,994.00 | 60,179 | 11,677 | | | 71,856 |
| | | | | | | | | | 39,768 | 7,716 | | | 47,484 |
| 0426057 | OXN | C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 09 | 3,953.00 | 79,455- | 15,417- | | | 94,872- |
| | | | | | | | | | 44,287- | 8,592- | | | 52,879- |
| 0426057 | OXN | C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 09 | 3,953.00 | 79,455 | 15,417 | | | 94,872 |
| | | | | | | | | | 44,287 | 8,592 | | | 52,879 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0426062 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 05 | 2,994.00 | 60,179- | | 11,677- | | 71,856- |
| | | | | | | | | 39,768- | | 7,716- | | 47,484- |
| 0426062 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 05 | 2,994.00 | 60,179 | | 11,677 | | 71,856 |
| | | | | | | | | 39,768 | | 7,716 | | 47,484 |
| 0426078 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 04 | 2,855.00 | 47,621- | | 20,899- | | 68,520- |
| | | | | | | | | 32,458- | | 14,244- | | 46,702- |
| 0426078 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 04 | 2,855.00 | 47,621 | | 20,899 | | 68,520 |
| | | | | | | | | 32,458 | | 14,244 | | 46,702 |
| 0426123 | MMS X6209 AA | MENTAL HEALTH SUPERVISING RN | 1- | 1.00- | 24.00- | 08 | 7,701.00 | 154,790- | | 30,034- | | 184,824- |
| | | | | | | | | 61,945- | | 12,019- | | 73,964- |
| 0426123 | MMS X6209 AA | MENTAL HEALTH SUPERVISING RN | 1 | 1.00 | 24.00 | 08 | 7,701.00 | 154,790 | | 30,034 | | 184,824 |
| | | | | | | | | 61,945 | | 12,019 | | 73,964 |
| 0426148 | OA C4101 AA | CUSTODIAN | 1- | 1.00- | 24.00- | 09 | 2,636.00 | 52,984- | 10,280- | | | 63,264- |
| | | | | | | | | 38,081- | 7,389- | | | 45,470- |
| 0426148 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 09 | 2,636.00 | 52,984 | 10,280 | | | 63,264 |
| | | | | | | | | 38,081 | 7,389 | | | 45,470 |
| 0426202 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 09 | 4,349.00 | 72,541- | | 31,835- | | 104,376- |
| | | | | | | | | 38,300- | | 16,807- | | 55,107- |
| 0426202 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 09 | 4,349.00 | 72,541 | | 31,835 | | 104,376 |
| | | | | | | | | 38,300 | | 16,807 | | 55,107 |
| 0426205 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 05 | 3,601.00 | 60,065- | | 26,359- | | 86,424- |
| | | | | | | | | 35,375- | | 15,524- | | 50,899- |
| 0426205 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 05 | 3,601.00 | 60,065 | | 26,359 | | 86,424 |
| | | | | | | | | 35,375 | | 15,524 | | 50,899 |
| 0426213 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 06 | 3,774.00 | 75,857- | 14,719- | | | 90,576- |
| | | | | | | | | 43,443- | 8,429- | | | 51,872- |
| 0426213 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 06 | 3,774.00 | 75,857 | 14,719 | | | 90,576 |
| | | | | | | | | 43,443 | 8,429 | | | 51,872 |
| 0426216 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 09 | 4,349.00 | 87,415- | 16,961- | | | 104,376- |
| | | | | | | | | 46,152- | 8,955- | | | 55,107- |
| 0426216 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 09 | 4,349.00 | 87,415 | 16,961 | | | 104,376 |
| | | | | | | | | 46,152 | 8,955 | | | 55,107 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0426217 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 03 | 2,994.00 | 60,179-<br>39,768- | 11,677-<br>7,716- | | | 71,856-<br>47,484- |
| 0426217 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 03 | 2,994.00 | 60,179<br>39,768 | 11,677<br>7,716 | | | 71,856<br>47,484 |
| 0426224 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 06 | 3,774.00 | 75,857-<br>43,443- | 14,719-<br>8,429- | | | 90,576-<br>51,872- |
| 0426224 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 06 | 3,774.00 | 75,857<br>43,443 | 14,719<br>8,429 | | | 90,576<br>51,872 |
| 0426305 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 09 | 4,349.00 | 87,415-<br>46,152- | 16,961-<br>8,955- | | | 104,376-<br>55,107- |
| 0426305 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 09 | 4,349.00 | 87,415<br>46,152 | 16,961<br>8,955 | | | 104,376<br>55,107 |
| 0426307 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 08 | 4,143.00 | 69,105-<br>37,494- | | 30,327-<br>16,454- | | 99,432-<br>53,948- |
| 0426307 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 08 | 4,143.00 | 69,105<br>37,494 | | 30,327<br>16,454 | | 99,432<br>53,948 |
| 0526040 | AMP U7517 AA | PHYSICIAN SPECIALIST | 1- | 1.00- | 24.00- | 09 | 17,833.00 | 358,443-<br>98,328- | 69,549-<br>19,079- | | | 427,992-<br>117,407- |
| 0526040 | AMP U7517 AA | PHYSICIAN SPECIALIST | 1 | 1.00 | 24.00 | 09 | 17,833.00 | 358,443<br>98,328 | 69,549<br>19,079 | | | 427,992<br>117,407 |
| 0536103 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 123,675-<br>54,651- | 23,997-<br>10,604- | | | 147,672-<br>65,255- |
| 0536103 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 123,675<br>54,651 | 23,997<br>10,604 | | | 147,672<br>65,255 |
| 0536104 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 102,632-<br>45,352- | | 45,040-<br>19,903- | | 147,672-<br>65,255- |
| 0536104 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 102,632<br>45,352 | | 45,040<br>19,903 | | 147,672<br>65,255 |
| 0536107 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 09 | 7,072.00 | 117,961-<br>48,946- | | 51,767-<br>21,479- | | 169,728-<br>70,425- |
| 0536107 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 09 | 7,072.00 | 117,961<br>48,946 | | 51,767<br>21,479 | | 169,728<br>70,425 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0536113 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 04 | 5,606.00 | 112,681- | 21,863- | | | 134,544- |
| | | | | | | | | 52,075- | 10,104- | | | 62,179- |
| 0536113 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 04 | 5,606.00 | 112,681 | 21,863 | | | 134,544 |
| | | | | | | | | 52,075 | 10,104 | | | 62,179 |
| 0536120 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 123,675- | 23,997- | | | 147,672- |
| | | | | | | | | 54,651- | 10,604- | | | 65,255- |
| 0536120 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 123,675 | 23,997 | | | 147,672 |
| | | | | | | | | 54,651 | 10,604 | | | 65,255 |
| 0536203 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 102,632- | | 45,040- | | 147,672- |
| | | | | | | | | 45,352- | | 19,903- | | 65,255- |
| 0536203 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 102,632 | | 45,040 | | 147,672 |
| | | | | | | | | 45,352 | | 19,903 | | 65,255 |
| 0536205 | MMS X6209 AA | MENTAL HEALTH SUPERVISING RN | 1- | 1.00- | 24.00- | 08 | 7,701.00 | 128,453- | | 56,371- | | 184,824- |
| | | | | | | | | 51,406- | | 22,558- | | 73,964- |
| 0536205 | MMS X6209 AA | MENTAL HEALTH SUPERVISING RN | 1 | 1.00 | 24.00 | 08 | 7,701.00 | 128,453 | | 56,371 | | 184,824 |
| | | | | | | | | 51,406 | | 22,558 | | 73,964 |
| 0557001 | OA C6295 AA | CLINICAL PSYCHOLOGIST 2 | 1- | 1.00- | 24.00- | 02 | 5,802.00 | 116,620- | 22,628- | | | 139,248- |
| | | | | | | | | 52,997- | 10,283- | | | 63,280- |
| 0557001 | OA C6295 AA | CLINICAL PSYCHOLOGIST 2 | 1 | 1.00 | 24.00 | 02 | 5,802.00 | 116,620 | 22,628 | | | 139,248 |
| | | | | | | | | 52,997 | 10,283 | | | 63,280 |
| 0716022 | OA C6508 AA | OCCUPATIONAL THERAPIST | 1- | 1.00- | 24.00- | 07 | 5,802.00 | 116,620- | 22,628- | | | 139,248- |
| | | | | | | | | 52,997- | 10,283- | | | 63,280- |
| 0716022 | OA C6508 AA | OCCUPATIONAL THERAPIST | 1 | 1.00 | 24.00 | 07 | 5,802.00 | 116,620 | 22,628 | | | 139,248 |
| | | | | | | | | 52,997 | 10,283 | | | 63,280 |
| 0727002 | OA C6720 AA | PSYCHIATRIC SOCIAL WORKER | 1- | 1.00- | 24.00- | 09 | 6,080.00 | 122,208- | 23,712- | | | 145,920- |
| | | | | | | | | 54,308- | 10,537- | | | 64,845- |
| 0727002 | OA C6720 AA | PSYCHIATRIC SOCIAL WORKER | 1 | 1.00 | 24.00 | 09 | 6,080.00 | 122,208 | 23,712 | | | 145,920 |
| | | | | | | | | 54,308 | 10,537 | | | 64,845 |
| 0746023 | OA C6521 AA | REHABILITATION THERAPIST | 1- | 1.00- | 24.00- | 09 | 5,028.00 | 83,867- | | 36,805- | | 120,672- |
| | | | | | | | | 40,955- | | 17,971- | | 58,926- |
| 0746023 | OA C6521 AA | REHABILITATION THERAPIST | 1 | 1.00 | 24.00 | 09 | 5,028.00 | 83,867 | | 36,805 | | 120,672 |
| | | | | | | | | 40,955 | | 17,971 | | 58,926 |

| POSITION NUMBER | CLASS | COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0927001 | OA | C6295 AA | CLINICAL PSYCHOLOGIST 2 | 1- | 1.00- | 24.00- | 08 | 7,721.00 | 155,192- | 30,112- | | | 185,304- |
| | | | | | | | | | 62,039- | 12,038- | | | 74,077- |
| 0927001 | OA | C6295 AA | CLINICAL PSYCHOLOGIST 2 | 1 | 1.00 | 24.00 | 08 | 7,721.00 | 155,192 | 30,112 | | | 185,304 |
| | | | | | | | | | 62,039 | 12,038 | | | 74,077 |
| 1000022 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 05 | 5,873.00 | 97,962- | | 42,990- | | 140,952- |
| | | | | | | | | | 44,258- | | 19,422- | | 63,680- |
| 1000022 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 05 | 5,873.00 | 97,962 | | 42,990 | | 140,952 |
| | | | | | | | | | 44,258 | | 19,422 | | 63,680 |
| 1000031 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 123,675- | 23,997- | | | 147,672- |
| | | | | | | | | | 54,651- | 10,604- | | | 65,255- |
| 1000031 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 123,675 | 23,997 | | | 147,672 |
| | | | | | | | | | 54,651 | 10,604 | | | 65,255 |
| 1000045 | OXN | C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 07 | 3,953.00 | 79,455- | 15,417- | | | 94,872- |
| | | | | | | | | | 44,287- | 8,592- | | | 52,879- |
| 1000045 | OXN | C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 07 | 3,953.00 | 79,455 | 15,417 | | | 94,872 |
| | | | | | | | | | 44,287 | 8,592 | | | 52,879 |
| 1000054 | OA | C0118 AA | EXECUTIVE SUPPORT SPECIALIST 1 | 1- | 1.00- | 24.00- | 03 | 2,756.00 | 45,970- | | 20,174- | | 66,144- |
| | | | | | | | | | 32,072- | | 14,073- | | 46,145- |
| 1000054 | OA | C0118 AA | EXECUTIVE SUPPORT SPECIALIST 1 | 1 | 1.00 | 24.00 | 03 | 2,756.00 | 45,970 | | 20,174 | | 66,144 |
| | | | | | | | | | 32,072 | | 14,073 | | 46,145 |
| 1000067 | OA | C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 05 | 2,756.00 | 45,970- | | 20,174- | | 66,144- |
| | | | | | | | | | 32,072- | | 14,073- | | 46,145- |
| 1000067 | OA | C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 05 | 2,756.00 | 45,970 | | 20,174 | | 66,144 |
| | | | | | | | | | 32,072 | | 14,073 | | 46,145 |
| 1000082 | OA | C4101 AA | CUSTODIAN | 1- | 1.00- | 24.00- | 04 | 2,188.00 | 43,979- | 8,533- | | | 52,512- |
| | | | | | | | | | 35,971- | 6,979- | | | 42,950- |
| 1000082 | OA | C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 04 | 2,188.00 | 43,979 | 8,533 | | | 52,512 |
| | | | | | | | | | 35,971 | 6,979 | | | 42,950 |
| 1001797 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 04 | 2,855.00 | 57,386- | 11,134- | | | 68,520- |
| | | | | | | | | | 39,113- | 7,589- | | | 46,702- |
| 1001797 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 04 | 2,855.00 | 57,385 | 11,135 | | | 68,520 |
| | | | | | | | | | 39,113 | 7,589 | | | 46,702 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1001798 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 05 | 2,994.00 | 60,179-<br>39,768- | 11,677-<br>7,716- | | | 71,856-<br>47,484- |
| 1001798 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 05 | 2,994.00 | 60,179<br>39,768 | 11,677<br>7,716 | | | 71,856<br>47,484 |
| 1001799 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 04 | 2,855.00 | 57,386-<br>39,113- | 11,134-<br>7,589- | | | 68,520-<br>46,702- |
| 1001799 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 04 | 2,855.00 | 57,385<br>39,113 | 11,135<br>7,589 | | | 68,520<br>46,702 |
| 1004020 | OA C6386 AA | PHARMACY TECHNICIAN 2 | 1- | 1.00- | 24.00- | 03 | 2,435.00 | 53,145-<br>40,323- | | 5,295-<br>4,017- | | 58,440-<br>44,340- |
| 1004020 | OA C6386 AA | PHARMACY TECHNICIAN 2 | 1 | 1.00 | 24.00 | 03 | 2,435.00 | 53,145<br>40,323 | | 5,295<br>4,017 | | 58,440<br>44,340 |
| 1004366 | OXN C6708 AA | MENTAL HEALTH SECURITY TECH | 1- | 1.00- | 24.00- | 08 | 3,774.00 | 82,370-<br>47,172- | | 8,206-<br>4,700- | | 90,576-<br>51,872- |
| 1004366 | OXN C6708 AA | MENTAL HEALTH SECURITY TECH | 1 | 1.00 | 24.00 | 08 | 3,774.00 | 82,370<br>47,172 | | 8,206<br>4,700 | | 90,576<br>51,872 |
| 1004382 | OA C4101 AA | CUSTODIAN | 1- | 1.00- | 24.00- | 06 | 2,360.00 | 56,640-<br>43,917- | | | | 56,640-<br>43,917- |
| 1004382 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 06 | 2,360.00 | 56,640<br>43,917 | | | | 56,640<br>43,917 |
| 1004383 | OA C6386 AA | PHARMACY TECHNICIAN 2 | 1- | 1.00- | 24.00- | 09 | 3,139.00 | 75,336-<br>48,300- | | | | 75,336-<br>48,300- |
| 1004383 | OA C6386 AA | PHARMACY TECHNICIAN 2 | 1 | 1.00 | 24.00 | 09 | 3,139.00 | 75,336<br>48,300 | | | | 75,336<br>48,300 |
| 1005791 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 05 | 5,873.00 | 140,952-<br>63,680- | | | | 140,952-<br>63,680- |
| 1005791 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 05 | 5,873.00 | 140,952<br>63,680 | | | | 140,952<br>63,680 |
| 1005810 | AMH C6255 AA | NURSE PRACTITIONER | 1- | 1.00- | 24.00- | 09 | 8,510.00 | 204,240-<br>78,515- | | | | 204,240-<br>78,515- |
| 1005810 | AMH C6255 AA | NURSE PRACTITIONER | 1 | 1.00 | 24.00 | 09 | 8,510.00 | 204,240<br>78,515 | | | | 204,240<br>78,515 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1005816 | AMP U7517 AA | PHYSICIAN SPECIALIST | 1- | 1.00- | 24.00- | 08 | 16,985.00 | 407,640- | | | | 407,640- |
| | | | | | | | | 114,193- | | | | 114,193- |
| 1005816 | AMP U7517 AA | PHYSICIAN SPECIALIST | 1 | 1.00 | 24.00 | 08 | 16,985.00 | 407,640 | | | | 407,640 |
| | | | | | | | | 114,193 | | | | 114,193 |
| 1005847 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 02 | 5,606.00 | 134,544- | | | | 134,544- |
| | | | | | | | | 62,179- | | | | 62,179- |
| 1005847 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 04 | 5,606.00 | 134,544 | | | | 134,544 |
| | | | | | | | | 62,179 | | | | 62,179 |
| 1005849 | MMS X6209 AA | MENTAL HEALTH SUPERVISING RN | 1- | 1.00- | 24.00- | 06 | 6,998.00 | 167,952- | | | | 167,952- |
| | | | | | | | | 70,009- | | | | 70,009- |
| 1005849 | MMS X6209 AA | MENTAL HEALTH SUPERVISING RN | 1 | 1.00 | 24.00 | 06 | 6,998.00 | 167,952 | | | | 167,952 |
| | | | | | | | | 70,009 | | | | 70,009 |
| 1005867 | MMS X6241 AA | NURSE MANAGER | 1- | 1.00- | 24.00- | 02 | 6,998.00 | 167,952- | | | | 167,952- |
| | | | | | | | | 70,009- | | | | 70,009- |
| 1005867 | MMS X6241 AA | NURSE MANAGER | 1 | 1.00 | 24.00 | 02 | 6,998.00 | 167,952 | | | | 167,952 |
| | | | | | | | | 70,009 | | | | 70,009 |
| 1005876 | MMS X0113 AA | SUPPORT SERVICES SUPERVISOR 2 | 1- | 1.00- | 24.00- | 02 | 3,072.00 | 73,728- | | | | 73,728- |
| | | | | | | | | 47,923- | | | | 47,923- |
| 1005876 | MMS X0113 AA | SUPPORT SERVICES SUPERVISOR 2 | 1 | 1.00 | 24.00 | 02 | 3,072.00 | 73,728 | | | | 73,728 |
| | | | | | | | | 47,923 | | | | 47,923 |
| 1005930 | OA C0107 AA | ADMINISTRATIVE SPECIALIST 1 | 1- | 1.00- | 24.00- | 04 | 2,873.00 | 68,952- | | | | 68,952- |
| | | | | | | | | 46,804- | | | | 46,804- |
| 1005930 | OA C0107 AA | ADMINISTRATIVE SPECIALIST 1 | 1 | 1.00 | 24.00 | 04 | 2,873.00 | 68,952 | | | | 68,952 |
| | | | | | | | | 46,804 | | | | 46,804 |
| 1006035 | OA C6135 AA | LICENSED PRACTICAL NURSE | 1- | 1.00- | 24.00- | 02 | 3,435.00 | 82,440- | | | | 82,440- |
| | | | | | | | | 49,965- | | | | 49,965- |
| 1006035 | OA C6135 AA | LICENSED PRACTICAL NURSE | 1 | 1.00 | 24.00 | 02 | 3,435.00 | 82,440 | | | | 82,440 |
| | | | | | | | | 49,965 | | | | 49,965 |
| 1006036 | OA C6135 AA | LICENSED PRACTICAL NURSE | 1- | 1.00- | 24.00- | 04 | 3,767.00 | 90,408- | | | | 90,408- |
| | | | | | | | | 51,832- | | | | 51,832- |
| 1006036 | OA C6135 AA | LICENSED PRACTICAL NURSE | 1 | 1.00 | 24.00 | 04 | 3,767.00 | 90,408 | | | | 90,408 |
| | | | | | | | | 51,832 | | | | 51,832 |

| POSITION NUMBER | CLASS | COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1006037 | OA | C6135 AA | LICENSED PRACTICAL NURSE | 1- | 1.00- | 24.00- | 04 | 3,767.00 | 90,408- | | | | 90,408- |
| | | | | | | | | | 51,832- | | | | 51,832- |
| 1006037 | OA | C6135 AA | LICENSED PRACTICAL NURSE | 1 | 1.00 | 24.00 | 04 | 3,767.00 | 90,408 | | | | 90,408 |
| | | | | | | | | | 51,832 | | | | 51,832 |
| 1006038 | OA | C6135 AA | LICENSED PRACTICAL NURSE | 1- | 1.00- | 24.00- | 03 | 3,609.00 | 86,616- | | | | 86,616- |
| | | | | | | | | | 50,944- | | | | 50,944- |
| 1006038 | OA | C6135 AA | LICENSED PRACTICAL NURSE | 1 | 1.00 | 24.00 | 03 | 3,609.00 | 86,616 | | | | 86,616 |
| | | | | | | | | | 50,944 | | | | 50,944 |
| 1006156 | OA | C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 09 | 3,290.00 | 78,960- | | | | 78,960- |
| | | | | | | | | | 49,150- | | | | 49,150- |
| 1006156 | OA | C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 09 | 3,290.00 | 78,960 | | | | 78,960 |
| | | | | | | | | | 49,150 | | | | 49,150 |
| 1006190 | OA | C6135 AA | LICENSED PRACTICAL NURSE | 1- | 1.00- | 24.00- | 02 | 3,435.00 | 82,440- | | | | 82,440- |
| | | | | | | | | | 49,965- | | | | 49,965- |
| 1006190 | OA | C6135 AA | LICENSED PRACTICAL NURSE | 1 | 1.00 | 24.00 | 02 | 3,435.00 | 82,440 | | | | 82,440 |
| | | | | | | | | | 49,965 | | | | 49,965 |
| 1006251 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 147,672- | | | | 147,672- |
| | | | | | | | | | 65,255- | | | | 65,255- |
| 1006251 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 147,672 | | | | 147,672 |
| | | | | | | | | | 65,255 | | | | 65,255 |
| 1006410 | OA | C6531 AA | MENTAL HEALTH SPECIALIST | 1- | 1.00- | 24.00- | 06 | 4,358.00 | 104,592- | | | | 104,592- |
| | | | | | | | | | 55,157- | | | | 55,157- |
| 1006410 | OA | C6531 AA | MENTAL HEALTH SPECIALIST | 1 | 1.00 | 24.00 | 06 | 4,358.00 | 104,592 | | | | 104,592 |
| | | | | | | | | | 55,157 | | | | 55,157 |
| 1006515 | AMP | U7517 AA | PHYSICIAN SPECIALIST | 1- | 1.00- | 24.00- | 02 | 13,313.00 | 319,512- | | | | 319,512- |
| | | | | | | | | | 100,278- | | | | 100,278- |
| 1006515 | AMP | U7517 AA | PHYSICIAN SPECIALIST | 1 | 1.00 | 24.00 | 03 | 13,313.00 | 319,512 | | | | 319,512 |
| | | | | | | | | | 100,278 | | | | 100,278 |
| 1006551 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 02 | 2,635.00 | 63,240- | | | | 63,240- |
| | | | | | | | | | 45,465- | | | | 45,465- |
| 1006551 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | | 45,465 | | | | 45,465 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1006582 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 04 | 3,434.00 | 82,416-<br>49,960- | | | | 82,416-<br>49,960- |
| 1006582 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 04 | 3,434.00 | 82,416<br>49,960 | | | | 82,416<br>49,960 |
| 1006596 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 05 | 3,601.00 | 86,424-<br>50,899- | | | | 86,424-<br>50,899- |
| 1006596 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 05 | 3,601.00 | 86,424<br>50,899 | | | | 86,424<br>50,899 |
| 1006602 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 09 | 4,349.00 | 104,376-<br>55,107- | | | | 104,376-<br>55,107- |
| 1006602 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 09 | 4,349.00 | 104,376<br>55,107 | | | | 104,376<br>55,107 |
| 1006603 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 09 | 4,349.00 | 104,376-<br>55,107- | | | | 104,376-<br>55,107- |
| 1006603 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 09 | 4,349.00 | 104,376<br>55,107 | | | | 104,376<br>55,107 |
| 1006637 | OA C6508 AA | OCCUPATIONAL THERAPIST | 1- | 1.00- | 24.00- | 07 | 5,802.00 | 139,248-<br>63,280- | | | | 139,248-<br>63,280- |
| 1006637 | OA C6508 AA | OCCUPATIONAL THERAPIST | 1 | 1.00 | 24.00 | 07 | 5,802.00 | 139,248<br>63,280 | | | | 139,248<br>63,280 |
| 1007685 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 09 | 3,601.00 | 86,424-<br>50,899- | | | | 86,424-<br>50,899- |
| 1007685 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 09 | 3,601.00 | 86,424<br>50,899 | | | | 86,424<br>50,899 |
| 1007704 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 06 | 3,134.00 | 75,216-<br>48,272- | | | | 75,216-<br>48,272- |
| 1007704 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 06 | 3,134.00 | 75,216<br>48,272 | | | | 75,216<br>48,272 |
| 1007719 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 05 | 2,994.00 | 71,856-<br>47,484- | | | | 71,856-<br>47,484- |
| 1007719 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 05 | 2,994.00 | 71,856<br>47,484 | | | | 71,856<br>47,484 |

| POSITION NUMBER | CLASS | COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1010555 | OA | C0861 | AA PROGRAM ANALYST 2 | 1- | 1.00- | 24.00- | 09 | 5,802.00 | 139,248- | | | | 139,248- |
| | | | | | | | | | 63,280- | | | | 63,280- |
| 1010555 | OA | C0861 | AA PROGRAM ANALYST 2 | 1 | 1.00 | 24.00 | 09 | 5,802.00 | 139,248 | | | | 139,248 |
| | | | | | | | | | 63,280 | | | | 63,280 |
| 1010562 | OA | C0861 | AA PROGRAM ANALYST 2 | 1- | 1.00- | 24.00- | 05 | 4,791.00 | 114,984- | | | | 114,984- |
| | | | | | | | | | 57,593- | | | | 57,593- |
| 1010562 | OA | C0861 | AA PROGRAM ANALYST 2 | 1 | 1.00 | 24.00 | 05 | 4,791.00 | 114,984 | | | | 114,984 |
| | | | | | | | | | 57,593 | | | | 57,593 |
| 1010564 | OA | C0861 | AA PROGRAM ANALYST 2 | 1- | 1.00- | 24.00- | 05 | 4,791.00 | 114,984- | | | | 114,984- |
| | | | | | | | | | 57,593- | | | | 57,593- |
| 1010564 | OA | C0861 | AA PROGRAM ANALYST 2 | 1 | 1.00 | 24.00 | 05 | 4,791.00 | 114,984 | | | | 114,984 |
| | | | | | | | | | 57,593 | | | | 57,593 |
| 1010567 | OA | C0861 | AA PROGRAM ANALYST 2 | 1- | 1.00- | 24.00- | 09 | 5,802.00 | 139,248- | | | | 139,248- |
| | | | | | | | | | 63,280- | | | | 63,280- |
| 1010567 | OA | C0861 | AA PROGRAM ANALYST 2 | 1 | 1.00 | 24.00 | 09 | 5,802.00 | 139,248 | | | | 139,248 |
| | | | | | | | | | 63,280 | | | | 63,280 |
| 1010655 | OXN | C6708 | AA MENTAL HEALTH SECURITY TECH | 1- | 1.00- | 24.00- | 06 | 3,434.00 | 74,949- | | 7,467- | | 82,416- |
| | | | | | | | | | 45,434- | | 4,526- | | 49,960- |
| 1010655 | OXN | C6708 | AA MENTAL HEALTH SECURITY TECH | 1 | 1.00 | 24.00 | 06 | 3,434.00 | 74,949 | | 7,467 | | 82,416 |
| | | | | | | | | | 45,434 | | 4,526 | | 49,960 |
| 1010682 | OXN | C6712 | AA MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 02 | 3,134.00 | 68,401- | | 6,815- | | 75,216- |
| | | | | | | | | | 43,899- | | 4,373- | | 48,272- |
| 1010682 | OXN | C6712 | AA MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 02 | 3,134.00 | 68,401 | | 6,815 | | 75,216 |
| | | | | | | | | | 43,899 | | 4,373 | | 48,272 |
| 1010686 | OXN | C6712 | AA MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 03 | 3,284.00 | 71,675- | | 7,141- | | 78,816- |
| | | | | | | | | | 44,666- | | 4,450- | | 49,116- |
| 1010686 | OXN | C6712 | AA MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 03 | 3,284.00 | 71,675 | | 7,141 | | 78,816 |
| | | | | | | | | | 44,666 | | 4,450 | | 49,116 |
| 1010689 | OXN | C6712 | AA MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 05 | 3,601.00 | 78,594- | | 7,830- | | 86,424- |
| | | | | | | | | | 46,288- | | 4,611- | | 50,899- |
| 1010689 | OXN | C6712 | AA MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 05 | 3,601.00 | 78,594 | | 7,830 | | 86,424 |
| | | | | | | | | | 46,288 | | 4,611 | | 50,899 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1010699 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 02 | 3,134.00 | 68,401- | | 6,815- | | 75,216- |
| | | | | | | | | 43,899- | | 4,373- | | 48,272- |
| 1010699 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 02 | 3,134.00 | 68,401 | | 6,815 | | 75,216 |
| | | | | | | | | 43,899 | | 4,373 | | 48,272 |
| 1010702 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 03 | 3,284.00 | 71,675- | | 7,141- | | 78,816- |
| | | | | | | | | 44,666- | | 4,450- | | 49,116- |
| 1010702 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 03 | 3,284.00 | 71,675 | | 7,141 | | 78,816 |
| | | | | | | | | 44,666 | | 4,450 | | 49,116 |
| 1010723 | MMS X6241 AA | NURSE MANAGER | 1- | 1.00- | 24.00- | 05 | 8,087.00 | 176,504- | | 17,584- | | 194,088- |
| | | | | | | | | 69,238- | | 6,898- | | 76,136- |
| 1010723 | MMS X6241 AA | NURSE MANAGER | 1 | 1.00 | 24.00 | 05 | 8,087.00 | 176,504 | | 17,584 | | 194,088 |
| | | | | | | | | 69,238 | | 6,898 | | 76,136 |
| 1010724 | MMS X6241 AA | NURSE MANAGER | 1- | 1.00- | 24.00- | 08 | 9,354.00 | 204,157- | | 20,339- | | 224,496- |
| | | | | | | | | 75,719- | | 7,544- | | 83,263- |
| 1010724 | MMS X6241 AA | NURSE MANAGER | 1 | 1.00 | 24.00 | 08 | 9,354.00 | 204,157 | | 20,339 | | 224,496 |
| | | | | | | | | 75,719 | | 7,544 | | 83,263 |
| 1010731 | MMS X6241 AA | NURSE MANAGER | 1- | 1.00- | 24.00- | 03 | 7,343.00 | 160,265- | | 15,967- | | 176,232- |
| | | | | | | | | 65,432- | | 6,518- | | 71,950- |
| 1010731 | MMS X6241 AA | NURSE MANAGER | 1 | 1.00 | 24.00 | 03 | 7,343.00 | 160,265 | | 15,967 | | 176,232 |
| | | | | | | | | 65,432 | | 6,518 | | 71,950 |
| 1012872 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012873 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012874 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012875 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012876 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012877 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012878 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012879 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012880 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012881 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012882 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012883 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012884 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012885 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012886 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012887 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012888 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012889 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012890 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012891 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012892 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |
| 1012893 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240<br>45,465 | | | | 63,240<br>45,465 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012894 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012895 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012896 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012897 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012898 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012899 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012900 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012901 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012902 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 63,240 | | | | 63,240 |
| | | | | | | | | 45,465 | | | | 45,465 |
| 1012903 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |
| 1012904 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |
| 1012905 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |
| 1012906 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |
| 1012907 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |
| 1012908 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |
| 1012909 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012910 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |
| 1012911 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |
| 1012912 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 03 | 5,354.00 | 128,496 | | | | 128,496 |
| | | | | | | | | 60,761 | | | | 60,761 |
| 1012913 | OA C6135 AA | LICENSED PRACTICAL NURSE | 1 | 1.00 | 24.00 | 02 | 3,435.00 | 82,440 | | | | 82,440 |
| | | | | | | | | 49,965 | | | | 49,965 |
| 1012914 | OA C6135 AA | LICENSED PRACTICAL NURSE | 1 | 1.00 | 24.00 | 02 | 3,435.00 | 82,440 | | | | 82,440 |
| | | | | | | | | 49,965 | | | | 49,965 |
| 1012915 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1012916 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1012917 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1012918 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1012919 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1012920 | OA C9101 AA | FOOD SERVICE WORKER 2 | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1012921 | OA C9101 AA | FOOD SERVICE WORKER 2 | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1012922 | OA C9101 AA | FOOD SERVICE WORKER 2 | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1012923 | OA C9101 AA | FOOD SERVICE WORKER 2 | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1012924 | OA C9101 AA | FOOD SERVICE WORKER 2 | 1 | 1.00 | 24.00 | 03 | 2,110.00 | 50,640 | | | | 50,640 |
| | | | | | | | | 42,511 | | | | 42,511 |
| 1602302 | MMS X6209 AA | MENTAL HEALTH SUPERVISING RN | 1- | 1.00- | 24.00- | 08 | 7,701.00 | 128,453- | | 56,371- | | 184,824- |
| | | | | | | | | 51,406- | | 22,558- | | 73,964- |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1602302 | MMS X6209 AA | MENTAL HEALTH SUPERVISING RN | 1 | 1.00 | 24.00 | 08 | 7,701.00 | 128,453 | | 56,371 | | 184,824 |
| | | | | | | | | 51,406 | | 22,558 | | 73,964 |
| 1605011 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 05 | 2,994.00 | 49,940- | | 21,916- | | 71,856- |
| | | | | | | | | 33,001- | | 14,483- | | 47,484- |
| 1605011 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 05 | 2,994.00 | 49,940 | | 21,916 | | 71,856 |
| | | | | | | | | 33,001 | | 14,483 | | 47,484 |
| 1605101 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 08 | 3,774.00 | 75,857- | 14,719- | | | 90,576- |
| | | | | | | | | 43,443- | 8,429- | | | 51,872- |
| 1605101 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 08 | 3,774.00 | 75,857 | 14,719 | | | 90,576 |
| | | | | | | | | 43,443 | 8,429 | | | 51,872 |
| 2501034 | MMS X7006 AA | PRINCIPAL EXECUTIVE/MANAGER D | 1- | 1.00- | 24.00- | 03 | 5,492.00 | 91,607- | | 40,201- | | 131,808- |
| | | | | | | | | 42,769- | | 18,768- | | 61,537- |
| 2501034 | MMS X7006 AA | PRINCIPAL EXECUTIVE/MANAGER D | 1 | 1.00 | 24.00 | 03 | 5,492.00 | 91,607 | | 40,201 | | 131,808 |
| | | | | | | | | 42,769 | | 18,768 | | 61,537 |
| 4360787 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 09 | 3,290.00 | 66,129- | | 12,831- | | 78,960- |
| | | | | | | | | 41,163- | | 7,987- | | 49,150- |
| 4360787 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 09 | 3,290.00 | 66,129 | | 12,831 | | 78,960 |
| | | | | | | | | 41,163 | | 7,987 | | 49,150 |
| 5603016 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 09 | 4,349.00 | 94,920- | | 9,456- | | 104,376- |
| | | | | | | | | 50,115- | | 4,992- | | 55,107- |
| 5603016 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 09 | 4,349.00 | 94,920 | | 9,456 | | 104,376 |
| | | | | | | | | 50,115 | | 4,992 | | 55,107 |
| 5611000 | OA C6508 AA | OCCUPATIONAL THERAPIST | 1- | 1.00- | 24.00- | 07 | 5,802.00 | 126,632- | | 12,616- | | 139,248- |
| | | | | | | | | 57,547- | | 5,733- | | 63,280- |
| 5611000 | OA C6508 AA | OCCUPATIONAL THERAPIST | 1 | 1.00 | 24.00 | 07 | 5,802.00 | 126,632 | | 12,616 | | 139,248 |
| | | | | | | | | 57,547 | | 5,733 | | 63,280 |
| 6103004 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 102,632- | | 45,040- | | 147,672- |
| | | | | | | | | 45,352- | | 19,903- | | 65,255- |
| 6103004 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 102,632 | | 45,040 | | 147,672 |
| | | | | | | | | 45,352 | | 19,903 | | 65,255 |
| 6103020 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 81,323- | | 66,349- | | 147,672- |
| | | | | | | | | 35,936- | | 29,319- | | 65,255- |

| POSITION NUMBER | CLASS | COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 6103020 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 81,323 | | 66,349 | | 147,672 |
| | | | | | | | | | 35,936 | | 29,319 | | 65,255 |
| 6703027 | OA | C6295 AA | CLINICAL PSYCHOLOGIST 2 | 1- | 1.00- | 24.00- | 03 | 6,080.00 | 101,414- | | 44,506- | | 145,920- |
| | | | | | | | | | 45,067- | | 19,778- | | 64,845- |
| 6703027 | OA | C6295 AA | CLINICAL PSYCHOLOGIST 2 | 1 | 1.00 | 24.00 | 03 | 6,080.00 | 101,414 | | 44,506 | | 145,920 |
| | | | | | | | | | 45,067 | | 19,778 | | 64,845 |
| 9060224 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 147,672- | | | | 147,672- |
| | | | | | | | | | 65,255- | | | | 65,255- |
| 9060224 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 147,672 | | | | 147,672 |
| | | | | | | | | | 65,255 | | | | 65,255 |
| 9061220 | OA | C6260 AA | PHARMACIST | 1- | 1.00- | 24.00- | 09 | 10,309.00 | 207,211- | 40,205- | | | 247,416- |
| | | | | | | | | | 74,232- | 14,403- | | | 88,635- |
| 9061220 | OA | C6260 AA | PHARMACIST | 1 | 1.00 | 24.00 | 09 | 10,309.00 | 207,211 | 40,205 | | | 247,416 |
| | | | | | | | | | 74,232 | 14,403 | | | 88,635 |
| 9799033 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 05 | 2,994.00 | 56,098- | | 15,758- | | 71,856- |
| | | | | | | | | | 37,071- | | 10,413- | | 47,484- |
| 9799033 | OXN | C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 05 | 2,994.00 | 56,098 | | 15,758 | | 71,856 |
| | | | | | | | | | 37,071 | | 10,413 | | 47,484 |
| 9799151 | OA | C6521 AA | REHABILITATION THERAPIST | 1- | 1.00- | 24.00- | 09 | 5,028.00 | 101,063- | 19,609- | | | 120,672- |
| | | | | | | | | | 49,351- | 9,575- | | | 58,926- |
| 9799151 | OA | C6521 AA | REHABILITATION THERAPIST | 1 | 1.00 | 24.00 | 09 | 5,028.00 | 101,063 | 19,609 | | | 120,672 |
| | | | | | | | | | 49,351 | 9,575 | | | 58,926 |
| 9799152 | OA | C6521 AA | REHABILITATION THERAPIST | 1- | 1.00- | 24.00- | 09 | 5,028.00 | 101,063- | 19,609- | | | 120,672- |
| | | | | | | | | | 49,351- | 9,575- | | | 58,926- |
| 9799152 | OA | C6521 AA | REHABILITATION THERAPIST | 1 | 1.00 | 24.00 | 09 | 5,028.00 | 101,063 | 19,609 | | | 120,672 |
| | | | | | | | | | 49,351 | 9,575 | | | 58,926 |
| 9799153 | OA | C6521 AA | REHABILITATION THERAPIST | 1- | 1.00- | 24.00- | 07 | 4,569.00 | 109,656- | | | | 109,656- |
| | | | | | | | | | 56,345- | | | | 56,345- |
| 9799153 | OA | C6521 AA | REHABILITATION THERAPIST | 1 | 1.00 | 24.00 | 07 | 4,569.00 | 109,656 | | | | 109,656 |
| | | | | | | | | | 56,345 | | | | 56,345 |
| 9799154 | AMH | C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 09 | 7,072.00 | 142,147- | 27,581- | | | 169,728- |
| | | | | | | | | | 58,981- | 11,444- | | | 70,425- |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9799154 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 09 | 7,072.00 | 142,147 | 27,581 | | | 169,728 |
| | | | | | | | | 58,981 | 11,444 | | | 70,425 |
| 9799156 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 123,675- | 23,997- | | | 147,672- |
| | | | | | | | | 54,651- | 10,604- | | | 65,255- |
| 9799156 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 123,675 | 23,997 | | | 147,672 |
| | | | | | | | | 54,651 | 10,604 | | | 65,255 |
| 9799157 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 09 | 7,072.00 | 142,147- | 27,581- | | | 169,728- |
| | | | | | | | | 58,981- | 11,444- | | | 70,425- |
| 9799157 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 09 | 7,072.00 | 142,147 | 27,581 | | | 169,728 |
| | | | | | | | | 58,981 | 11,444 | | | 70,425 |
| 9799158 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 09 | 7,072.00 | 142,147- | 27,581- | | | 169,728- |
| | | | | | | | | 58,981- | 11,444- | | | 70,425- |
| 9799158 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 09 | 7,072.00 | 142,147 | 27,581 | | | 169,728 |
| | | | | | | | | 58,981 | 11,444 | | | 70,425 |
| 9799159 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 123,675- | 23,997- | | | 147,672- |
| | | | | | | | | 54,651- | 10,604- | | | 65,255- |
| 9799159 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 123,675 | 23,997 | | | 147,672 |
| | | | | | | | | 54,651 | 10,604 | | | 65,255 |
| 9799160 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 123,675- | 23,997- | | | 147,672- |
| | | | | | | | | 54,651- | 10,604- | | | 65,255- |
| 9799160 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 123,675 | 23,997 | | | 147,672 |
| | | | | | | | | 54,651 | 10,604 | | | 65,255 |
| 9799161 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 09 | 7,072.00 | 142,147- | 27,581- | | | 169,728- |
| | | | | | | | | 58,981- | 11,444- | | | 70,425- |
| 9799161 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 09 | 7,072.00 | 142,147 | 27,581 | | | 169,728 |
| | | | | | | | | 58,981 | 11,444 | | | 70,425 |
| 9799164 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 09 | 7,072.00 | 142,147- | 27,581- | | | 169,728- |
| | | | | | | | | 58,981- | 11,444- | | | 70,425- |
| 9799164 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 09 | 7,072.00 | 142,147 | 27,581 | | | 169,728 |
| | | | | | | | | 58,981 | 11,444 | | | 70,425 |
| 9799165 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 07 | 6,444.00 | 129,524- | 25,132- | | | 154,656- |
| | | | | | | | | 56,022- | 10,870- | | | 66,892- |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9799165 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 07 | 6,444.00 | 129,524 | 25,132 | | | 154,656 |
| | | | | | | | | 56,022 | 10,870 | | | 66,892 |
| 9799166 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 123,675- | 23,997- | | | 147,672- |
| | | | | | | | | 54,651- | 10,604- | | | 65,255- |
| 9799166 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 123,675 | 23,997 | | | 147,672 |
| | | | | | | | | 54,651 | 10,604 | | | 65,255 |
| 9799167 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 09 | 7,072.00 | 142,147- | 27,581- | | | 169,728- |
| | | | | | | | | 58,981- | 11,444- | | | 70,425- |
| 9799167 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 09 | 7,072.00 | 142,147 | 27,581 | | | 169,728 |
| | | | | | | | | 58,981 | 11,444 | | | 70,425 |
| 9799168 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 123,675- | 23,997- | | | 147,672- |
| | | | | | | | | 54,651- | 10,604- | | | 65,255- |
| 9799168 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 123,675 | 23,997 | | | 147,672 |
| | | | | | | | | 54,651 | 10,604 | | | 65,255 |
| 9799169 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 04 | 5,606.00 | 112,681- | 21,863- | | | 134,544- |
| | | | | | | | | 52,075- | 10,104- | | | 62,179- |
| 9799169 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 04 | 5,606.00 | 112,681 | 21,863 | | | 134,544 |
| | | | | | | | | 52,075 | 10,104 | | | 62,179 |
| 9799170 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 04 | 5,606.00 | 112,681- | 21,863- | | | 134,544- |
| | | | | | | | | 52,075- | 10,104- | | | 62,179- |
| 9799170 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 04 | 5,606.00 | 112,681 | 21,863 | | | 134,544 |
| | | | | | | | | 52,075 | 10,104 | | | 62,179 |
| 9799171 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 123,675- | 23,997- | | | 147,672- |
| | | | | | | | | 54,651- | 10,604- | | | 65,255- |
| 9799171 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 123,675 | 23,997 | | | 147,672 |
| | | | | | | | | 54,651 | 10,604 | | | 65,255 |
| 9799173 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 02 | 5,606.00 | 112,681- | 21,863- | | | 134,544- |
| | | | | | | | | 52,075- | 10,104- | | | 62,179- |
| 9799173 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 04 | 5,606.00 | 112,681 | 21,863 | | | 134,544 |
| | | | | | | | | 52,075 | 10,104 | | | 62,179 |
| 9799175 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 03 | 2,750.00 | 55,275- | 10,725- | | | 66,000- |
| | | | | | | | | 38,619- | 7,493- | | | 46,112- |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9799175 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 03 | 2,750.00 | 55,275 | 10,725 | | | 66,000 |
| | | | | | | | | 38,619 | 7,493 | | | 46,112 |
| 9799176 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 03 | 2,750.00 | 55,275- | 10,725- | | | 66,000- |
| | | | | | | | | 38,619- | 7,493- | | | 46,112- |
| 9799176 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 03 | 2,750.00 | 55,275 | 10,725 | | | 66,000 |
| | | | | | | | | 38,619 | 7,493 | | | 46,112 |
| 9799177 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 03 | 2,750.00 | 55,275- | 10,725- | | | 66,000- |
| | | | | | | | | 38,619- | 7,493- | | | 46,112- |
| 9799177 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 03 | 2,750.00 | 55,275 | 10,725 | | | 66,000 |
| | | | | | | | | 38,619 | 7,493 | | | 46,112 |
| 9799178 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 05 | 2,994.00 | 60,179- | 11,677- | | | 71,856- |
| | | | | | | | | 39,768- | 7,716- | | | 47,484- |
| 9799178 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 05 | 2,994.00 | 60,179 | 11,677 | | | 71,856 |
| | | | | | | | | 39,768 | 7,716 | | | 47,484 |
| 9799179 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 08 | 3,434.00 | 69,023- | 13,393- | | | 82,416- |
| | | | | | | | | 41,841- | 8,119- | | | 49,960- |
| 9799179 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 08 | 3,434.00 | 69,023 | 13,393 | | | 82,416 |
| | | | | | | | | 41,841 | 8,119 | | | 49,960 |
| 9799181 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 03 | 2,750.00 | 55,275- | 10,725- | | | 66,000- |
| | | | | | | | | 38,619- | 7,493- | | | 46,112- |
| 9799181 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 03 | 2,750.00 | 55,275 | 10,725 | | | 66,000 |
| | | | | | | | | 38,619 | 7,493 | | | 46,112 |
| 9799182 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 02 | 2,635.00 | 52,964- | 10,276- | | | 63,240- |
| | | | | | | | | 38,077- | 7,388- | | | 45,465- |
| 9799182 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 02 | 2,635.00 | 52,963 | 10,277 | | | 63,240 |
| | | | | | | | | 38,077 | 7,388 | | | 45,465 |
| 9799185 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 09 | 3,953.00 | 79,455- | 15,417- | | | 94,872- |
| | | | | | | | | 44,287- | 8,592- | | | 52,879- |
| 9799185 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 09 | 3,953.00 | 79,455 | 15,417 | | | 94,872 |
| | | | | | | | | 44,287 | 8,592 | | | 52,879 |
| 9799190 | OA C6720 AA | PSYCHIATRIC SOCIAL WORKER | 1- | 1.00- | 24.00- | 09 | 6,080.00 | 122,208- | 23,712- | | | 145,920- |
| | | | | | | | | 54,308- | 10,537- | | | 64,845- |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9799190 | OA C6720 AA | PSYCHIATRIC SOCIAL WORKER | 1 | 1.00 | 24.00 | 09 | 6,080.00 | 122,208 | 23,712 | | | 145,920 |
| | | | | | | | | 54,308 | 10,537 | | | 64,845 |
| 9799191 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 05 | 3,601.00 | 72,380- | 14,044- | | | 86,424- |
| | | | | | | | | 42,629- | 8,270- | | | 50,899- |
| 9799191 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 05 | 3,601.00 | 72,380 | 14,044 | | | 86,424 |
| | | | | | | | | 42,629 | 8,270 | | | 50,899 |
| 9799199 | OA C4101 AA | CUSTODIAN | 1- | 1.00- | 24.00- | 07 | 2,435.00 | 48,944- | 9,496- | | | 58,440- |
| | | | | | | | | 37,134- | 7,206- | | | 44,340- |
| 9799199 | OA C4101 AA | CUSTODIAN | 1 | 1.00 | 24.00 | 07 | 2,435.00 | 48,943 | 9,497 | | | 58,440 |
| | | | | | | | | 37,134 | 7,206 | | | 44,340 |
| 9900091 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 03 | 2,538.00 | 51,014- | 9,898- | | | 60,912- |
| | | | | | | | | 37,620- | 7,299- | | | 44,919- |
| 9900091 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 03 | 2,538.00 | 51,014 | 9,898 | | | 60,912 |
| | | | | | | | | 37,620 | 7,299 | | | 44,919 |
| 9901001 | OA C6612 AA | SOCIAL SERVICE SPECIALIST 1 | 1- | 1.00- | 24.00- | 09 | 5,028.00 | 101,063- | 19,609- | | | 120,672- |
| | | | | | | | | 49,351- | 9,575- | | | 58,926- |
| 9901001 | OA C6612 AA | SOCIAL SERVICE SPECIALIST 1 | 1 | 1.00 | 24.00 | 09 | 5,028.00 | 101,063 | 19,609 | | | 120,672 |
| | | | | | | | | 49,351 | 9,575 | | | 58,926 |
| 9901030 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 09 | 7,072.00 | 93,147- | 49,000- | 27,581- | | 169,728- |
| | | | | | | | | 38,650- | 20,331- | 11,444- | | 70,425- |
| 9901030 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 09 | 7,072.00 | 93,147 | 49,000 | 27,581 | | 169,728 |
| | | | | | | | | 38,650 | 20,331 | 11,444 | | 70,425 |
| 9901032 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 07 | 3,601.00 | 48,847- | 24,242- | 13,335- | | 86,424- |
| | | | | | | | | 28,767- | 14,278- | 7,854- | | 50,899- |
| 9901032 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 07 | 3,601.00 | 48,847 | 24,242 | 13,335 | | 86,424 |
| | | | | | | | | 28,767 | 14,278 | 7,854 | | 50,899 |
| 9960101 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 09 | 3,953.00 | 51,098- | 28,357- | 15,417- | | 94,872- |
| | | | | | | | | 28,480- | 15,807- | 8,592- | | 52,879- |
| 9960101 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 09 | 3,953.00 | 51,098 | 28,357 | 15,417 | | 94,872 |
| | | | | | | | | 28,480 | 15,807 | 8,592 | | 52,879 |
| 9960104 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1- | 1.00- | 24.00- | 09 | 3,953.00 | 51,098- | 28,357- | 15,417- | | 94,872- |
| | | | | | | | | 28,480- | 15,807- | 8,592- | | 52,879- |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9960104 | OXN C6711 AA | MENTAL HEALTH THERAPIST 1 | 1 | 1.00 | 24.00 | 09 | 3,953.00 | 51,098 | 28,357 | 15,417 | | 94,872 |
| | | | | | | | | 28,480 | 15,807 | 8,592 | | 52,879 |
| 9960109 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1- | 1.00- | 24.00- | 09 | 3,601.00 | 46,548- | 25,832- | 14,044- | | 86,424- |
| | | | | | | | | 27,414- | 15,215- | 8,270- | | 50,899- |
| 9960109 | OXN C6710 AA | MENTAL HEALTH THERAPY TECH | 1 | 1.00 | 24.00 | 09 | 3,601.00 | 46,548 | 25,832 | 14,044 | | 86,424 |
| | | | | | | | | 27,414 | 15,215 | 8,270 | | 50,899 |
| 9960206 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 81,293- | 42,382- | 23,997- | | 147,672- |
| | | | | | | | | 35,922- | 18,729- | 10,604- | | 65,255- |
| 9960206 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 81,293 | 42,382 | 23,997 | | 147,672 |
| | | | | | | | | 35,922 | 18,729 | 10,604 | | 65,255 |
| 9960207 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 81,338- | 42,337- | 23,997- | | 147,672- |
| | | | | | | | | 35,942- | 18,709- | 10,604- | | 65,255- |
| 9960207 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 81,337 | 42,338 | 23,997 | | 147,672 |
| | | | | | | | | 35,942 | 18,709 | 10,604 | | 65,255 |
| 9960224 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1- | 1.00- | 24.00- | 06 | 6,153.00 | 81,338- | 42,337- | 23,997- | | 147,672- |
| | | | | | | | | 35,942- | 18,709- | 10,604- | | 65,255- |
| 9960224 | AMH C6208 AA | MENTAL HEALTH REGISTERED NURSE | 1 | 1.00 | 24.00 | 06 | 6,153.00 | 81,337 | 42,338 | 23,997 | | 147,672 |
| | | | | | | | | 35,942 | 18,709 | 10,604 | | 65,255 |
| 9960301 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1- | 1.00- | 24.00- | 09 | 4,349.00 | 56,217- | 31,198- | 16,961- | | 104,376- |
| | | | | | | | | 29,680- | 16,472- | 8,955- | | 55,107- |
| 9960301 | OXN C6712 AA | MENTAL HEALTH THERAPIST 2 | 1 | 1.00 | 24.00 | 09 | 4,349.00 | 56,217 | 31,198 | 16,961 | | 104,376 |
| | | | | | | | | 29,680 | 16,472 | 8,955 | | 55,107 |
| 9960614 | OA C6508 AA | OCCUPATIONAL THERAPIST | 1- | 1.00- | 24.00- | 06 | 5,529.00 | | 39,663- | 93,033- | | 132,696- |
| | | | | | | | | | 18,456- | 43,289- | | 61,745- |
| 9960614 | OA C6508 AA | OCCUPATIONAL THERAPIST | 1 | 1.00 | 24.00 | 06 | 5,529.00 | | 39,663 | 93,033 | | 132,696 |
| | | | | | | | | | 18,456 | 43,289 | | 61,745 |
| 9970361 | OA C6720 AA | PSYCHIATRIC SOCIAL WORKER | 1- | 1.00- | 24.00- | 03 | 4,569.00 | 59,061- | 32,776- | 17,819- | | 109,656- |
| | | | | | | | | 30,347- | 16,842- | 9,156- | | 56,345- |
| 9970361 | OA C6720 AA | PSYCHIATRIC SOCIAL WORKER | 1 | 1.00 | 24.00 | 03 | 4,569.00 | 59,061 | 32,776 | 17,819 | | 109,656 |
| | | | | | | | | 30,347 | 16,842 | 9,156 | | 56,345 |
| 9971451 | OA C6295 AA | CLINICAL PSYCHOLOGIST 2 | 1- | 1.00- | 24.00- | 09 | 8,111.00 | 104,846- | 58,205- | 31,613- | | 194,664- |
| | | | | | | | | 41,079- | 22,806- | 12,386- | | 76,271- |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9971451 | OA C6295 AA | CLINICAL PSYCHOLOGIST 2 | 1 | 1.00 | 24.00 | 09 | 8,111.00 | 104,846 | 58,205 | 31,613 | | 194,664 |
| | | | | | | | | 41,079 | 22,806 | 12,386 | | 76,271 |
| | | TOTAL PICS SALARY | | | | | | 3,916,673 | 7 | | | 3,916,680 |
| | | TOTAL PICS OPE | | | | | | 2,542,065 | | | | 2,542,065 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 53 | 53.00 | 1272.00 | | | 6,458,738 | 7 | | | 6,458,745 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013284 | MMN X0873 | AA OPERATIONS & POLICY ANALYST 4 | 1 | 1.00 | 24.00 | 02 | 5,764.00 | 138,336 | | | | 138,336 |
| | | | | | | | | 63,067 | | | | 63,067 |
| | | TOTAL PICS SALARY | | | | | | 138,336 | | | | 138,336 |
| | | TOTAL PICS OPE | | | | | | 63,067 | | | | 63,067 |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | 1 | 1.00 | 24.00 | | | 201,403 | | | | 201,403 |

| POSITION NUMBER | CLASS | COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1003565 | OA | C0107 AA | ADMINISTRATIVE SPECIALIST 1 | 1- | 1.00- | 24.00- | 02 | 2,636.00 | 47,575-<br>34,194- | | 15,689-<br>11,276- | | 63,264-<br>45,470- |
| 1003565 | OA | C0107 AA | ADMINISTRATIVE SPECIALIST 1 | 1 | 1.00 | 24.00 | 02 | 2,636.00 | 47,575<br>34,194 | | 15,689<br>11,276 | | 63,264<br>45,470 |
| 1003568 | OA | C0102 AA | OFFICE ASSISTANT 2 | 1- | 1.00- | 24.00- | 04 | 2,110.00 | 38,081-<br>31,968- | | 12,559-<br>10,543- | | 50,640-<br>42,511- |
| 1003568 | OA | C0102 AA | OFFICE ASSISTANT 2 | 1 | 1.00 | 24.00 | 04 | 2,110.00 | 38,081<br>31,968 | | 12,559<br>10,543 | | 50,640<br>42,511 |
| 1003598 | MMN | X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1- | 1.00- | 24.00- | 05 | 6,663.00 | 120,270-<br>51,236- | | 39,642-<br>16,888- | | 159,912-<br>68,124- |
| 1003598 | MMN | X0873 AA | OPERATIONS & POLICY ANALYST 4 | 1 | 1.00 | 24.00 | 05 | 6,663.00 | 120,270<br>51,236 | | 39,642<br>16,888 | | 159,912<br>68,124 |
| 1003600 | OA | C0801 AA | OFFICE COORDINATOR | 1- | 1.00- | 24.00- | 04 | 2,636.00 | 47,575-<br>34,194- | | 15,689-<br>11,276- | | 63,264-<br>45,470- |
| 1003600 | OA | C0801 AA | OFFICE COORDINATOR | 1 | 1.00 | 24.00 | 04 | 2,636.00 | 47,575<br>34,194 | | 15,689<br>11,276 | | 63,264<br>45,470 |
| 1003604 | OA | C0102 AA | OFFICE ASSISTANT 2 | 1- | 1.00- | 24.00- | 04 | 2,110.00 | 38,081-<br>31,968- | | 12,559-<br>10,543- | | 50,640-<br>42,511- |
| 1003604 | OA | C0102 AA | OFFICE ASSISTANT 2 | 1 | 1.00 | 24.00 | 04 | 2,110.00 | 38,081<br>31,968 | | 12,559<br>10,543 | | 50,640<br>42,511 |
| 1003640 | OA | C0102 AA | OFFICE ASSISTANT 2 | 1- | 1.00- | 24.00- | 04 | 2,110.00 | 38,081-<br>31,968- | | 12,559-<br>10,543- | | 50,640-<br>42,511- |
| 1003640 | OA | C0102 AA | OFFICE ASSISTANT 2 | 1 | 1.00 | 24.00 | 04 | 2,110.00 | 38,081<br>31,968 | | 12,559<br>10,543 | | 50,640<br>42,511 |
| 1013368 | OA | C0861 AA | PROGRAM ANALYST 2 | 1 | 1.00 | 24.00 | 02 | 4,161.00 | | | 99,864<br>54,049 | | 99,864<br>54,049 |
| 3100706 | MMN | X0866 AA | PUBLIC AFFAIRS SPECIALIST 3 | 1- | 1.00- | 24.00- | 08 | 7,343.00 | 176,232-<br>71,950- | | | | 176,232-<br>71,950- |
| | | | TOTAL PICS SALARY | | | | | | 176,232- | | 99,864 | | 76,368- |
| | | | TOTAL PICS OPE | | | | | | 71,950- | | 54,049 | | 17,901- |
| | | | TOTAL PICS PERSONAL SERVICES = | | .00 | .00 | | | 248,182- | | 153,913 | | 94,269- |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013095 | OA C0872 AA | OPERATIONS & POLICY ANALYST 3 | 1 | .83 | 20.00 | 02 | 4,791.00 | 95,820 | | | | 95,820 |
| | | | | | | | | 47,994 | | | | 47,994 |
| 1013096 | MMN X5248 AA | COMPLIANCE SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 4,979.00 | 119,496 | | | | 119,496 |
| | | | | | | | | 58,650 | | | | 58,650 |
| 1013097 | MMN X5248 AA | COMPLIANCE SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 4,979.00 | 119,496 | | | | 119,496 |
| | | | | | | | | 58,650 | | | | 58,650 |
| 1013098 | MMN X5248 AA | COMPLIANCE SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 4,979.00 | 119,496 | | | | 119,496 |
| | | | | | | | | 58,650 | | | | 58,650 |
| 1013099 | MMN X5248 AA | COMPLIANCE SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 4,979.00 | 119,496 | | | | 119,496 |
| | | | | | | | | 58,650 | | | | 58,650 |
| | | TOTAL PICS SALARY | | | | | | 573,804 | | | | 573,804 |
| | | TOTAL PICS OPE | | | | | | 282,594 | | | | 282,594 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 5 | 4.83 | 116.00 | | | 856,398 | | | | 856,398 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013429 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | | 114,984 | | | 114,984 |
| | | | | | | | | | 57,593 | | | 57,593 |
| 1013430 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | | 114,984 | | | 114,984 |
| | | | | | | | | | 57,593 | | | 57,593 |
| | | TOTAL PICS SALARY | | | | | | | 229,968 | | | 229,968 |
| | | TOTAL PICS OPE | | | | | | | 115,186 | | | 115,186 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 2 | 2.00 | 48.00 | | | | 345,154 | | | 345,154 |

**Oregon Health Authority**
**Capital Improvements**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-088-00-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | - | - | 679,238 | 679,238 | - | - | - | - | - |
| 2013-15 Emergency Boards | - | - | - | - | - | - | - | - | - |
| **2013-15 Leg Approved Budget** | **-** | **-** | **679,238** | **679,238** | **-** | **-** | **-** | **-** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | - | - | - | - | - | - | - | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **-** | **-** | **679,238** | **679,238** | **-** | **-** | **-** | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | - | - | - | - | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | - | - | - | - | - | - | - |
| **Subtotal** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 20,377 | 20,377 | - | - | - | - | - |
| **Subtotal** | **-** | **-** | **20,377** | **20,377** | **-** | **-** | **-** | **-** | **-** |
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |

**Oregon Health Authority**
**Capital Improvements**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-088-00-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 060 - Technical Adjustments | - | - | - | - | - | - | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **-** | **-** | **699,615** | **699,615** | **-** | **-** | **-** | **-** | **-** |

**Oregon Health Authority**
**Capital Improvements**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-088-00-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **-** | **-** | **699,615** | **699,615** | **-** | **-** | **-** | **-** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **-** | **-** | **699,615** | **699,615** | **-** | **-** | **-** | **-** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | 699,615 | - | - | 699,615 | - | - | - |
| 091 - December 2014 Rebalance | - | - | - | - | - | - | - | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **-** | **-** | **699,615** | **-** | **-** | **699,615** | **-** | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Capital Improvements**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-088-00-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **-** | **-** | **1,399,230** | **699,615** | **-** | **699,615** | **-** | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | - | - | 106.00% | 3.00% | - | - | - | - | - |
| Percentage Change From 2015-17 Current Service Level | - | - | 100.00% | - | - | - | - | - | - |

| Oregon Health Authority<br>Capital Improvement<br>44300-088-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND**<br>**REVENUES**<br>General Fund Appropriation | 0050 | GF | - | 679,238 | 699,615 | 699,615 |
| **TOTAL REVENUES** | | **GF** | **-** | **679,238** | **699,615** | **699,615** |
| **TOTAL GENERAL FUNDS** | | **GF** | **-** | **679,238** | **699,615** | **699,615** |
| **OTHER FUNDS**<br>**REVENUES**<br>**TRANSFER IN**<br>Transfer in General Fund | 1060 | OF | - | - | - | 699,615 |
| **TOTAL TRANSFERS IN** | | **OF** | **-** | **-** | **-** | **699,615** |
| **TOTAL OTHER FUNDS** | | **OF** | **-** | **-** | **-** | **699,615** |
| | | | | | | |
| **TOTAL FEDERAL FUNDS** | | **FF** | **-** | **-** | **-** | **-** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **-** | **679,238** | **699,615** | **1,399,230** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**

**2015-17 Biennium** — **Cross Reference Number: 44300-088-00-00-00000**

| *Source* | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Transfer from General Fund | - | - | - | - | 699,615 | - |
| **Total Other Funds** | **-** | **-** | **-** | **-** | **$699,615** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Capital Improvements**
**Cross Reference Number: 44300-088-00-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 20,377 | - | - | - | - | - | 20,377 |
| **Total Revenues** | **$20,377** | **-** | **-** | **-** | **-** | **-** | **$20,377** |
| **Capital Outlay** | | | | | | | |
| Land and Improvements | 7,902 | - | - | - | - | - | 7,902 |
| Building Structures | 12,475 | - | - | - | - | - | 12,475 |
| **Total Capital Outlay** | **$20,377** | **-** | **-** | **-** | **-** | **-** | **$20,377** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 20,377 | - | - | - | - | - | 20,377 |
| **Total Expenditures** | **$20,377** | **-** | **-** | **-** | **-** | **-** | **$20,377** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: Capital Improvements**
**Cross Reference Number: 44300-088-00-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Transfer from General Fund | - | - | 699,615 | - | - | - | 699,615 |
| **Total Revenues** | **-** | **-** | **$699,615** | **-** | **-** | **-** | **$699,615** |
| **Capital Outlay** | | | | | | | |
| Land and Improvements | (271,349) | - | 271,349 | - | - | - | - |
| Building Structures | (428,266) | - | 428,266 | - | - | - | - |
| **Total Capital Outlay** | **($699,615)** | **-** | **$699,615** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Intra-Agency Gen Fund Transfer | 699,615 | - | - | - | - | - | 699,615 |
| **Total Special Payments** | **$699,615** | **-** | **-** | **-** | **-** | **-** | **$699,615** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 699,615 | - | - | - | 699,615 |
| **Total Expenditures** | **-** | **-** | **$699,615** | **-** | **-** | **-** | **$699,615** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Capital Construction**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-089-00-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | - | - | 79,401,530 | - | - | 79,401,530 | - | - | - |
| 2013-15 Emergency Boards | - | - | - | - | - | - | - | - | - |
| **2013-15 Leg Approved Budget** | **-** | **-** | **79,401,530** | **-** | **-** | **79,401,530** | **-** | **-** | **-** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | - | - | - | - | - | - | - | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | (79,401,530) | - | - | (79,401,530) | - | - | - |
| **Subtotal 2015-17 Base Budget** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | - | - | - | - | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | - | - | - | - | - | - | - |
| **Subtotal** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | - | - | - | - | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

**Oregon Health Authority**
**Capital Construction**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-089-00-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| **Modified 2015-17 Current Service Level** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | - | - | - | - | - | - | - | - | - |
| **Subtotal Emergency Board Packages** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | - | - | - | - | - | - | - |
| 091 - December 2014 Rebalance | - | - | - | - | - | - | - | - | - |
| 501 - Measure 91 Implementation | - | - | - | - | - | - | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | - | - | - | - | - | - | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | - | - | - | - | - | - | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Capital Construction**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-089-00-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| Percentage Change From 2013-15 Leg Approved Budget | - | - | -100.00% | - | - | -100.00% | - | - | - |
| Percentage Change From 2015-17 Current Service Level | - | - | - | - | - | - | - | - | - |

| Oregon Health Authority<br>Capital Construction<br>44300-089-00-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **OTHER FUNDS**<br>**REVENUES** | | | | | | |
| General Fund Obligation Bonds | 0555 | OF | - | 79,401,530 | - | - |
| Certificates of Participation | 0580 | OF | 59,900,000 | - | - | - |
| **TOTAL REVENUES** | | **OF** | **59,900,000** | **79,401,530** | **-** | **-** |
| **TOTAL OTHER FUNDS** | | **OF** | **59,900,000** | **79,401,530** | **-** | **-** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **59,900,000** | **79,401,530** | **-** | **-** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

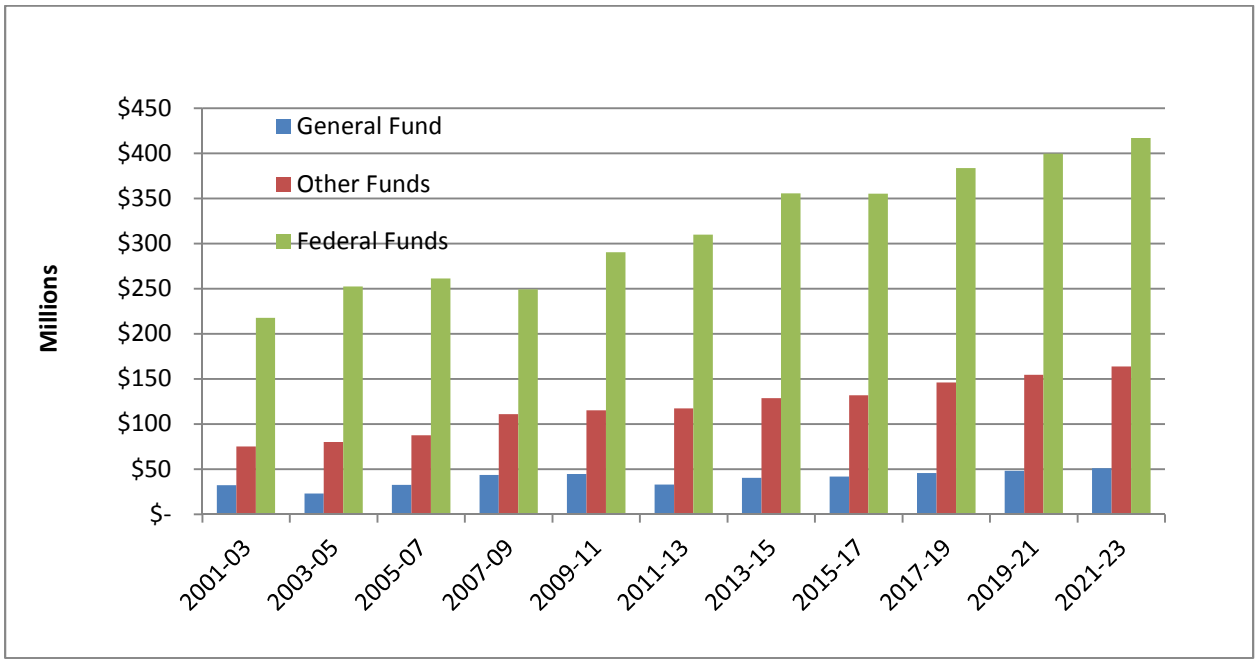
**Oregon Health Authority** — **Agency Number: 44300**

**2015-17 Biennium** — **Cross Reference Number: 44300-089-00-00-00000**

| *Source* | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| General Fund Obligation Bonds | - | 79,401,530 | 79,401,530 | - | - | - |
| Cert of Participation | 59,900,000 | - | - | - | - | - |
| **Total Other Funds** | **$59,900,000** | **$79,401,530** | **$79,401,530** | **-** | **-** | **-** |

# Oregon Health Authority: Public Health

Primary Outcome Area: Healthy People
Secondary Outcome Area: None
Program Contact: Lillian Shirley, Public Health Director
971-673-1300
lillian.shirley@state.or.us




## EXECUTIVE SUMMARY

Public Health is a cost-effective means to promote health, improve care and lower or contain health care costs by preventing the leading causes of death, disease and injury in Oregon. Today, medical care accounts for only about 10 percent of our health status, while lifestyle, behavior, environmental, and social and genetic factors account for the rest.

Public health programs address behavioral and social drivers of health by working to ensure physical and social environments that promote health and make it easier for people to make healthy choices. Public health programs complement and amplify investments in health care programs. By focusing on prevention, they have the potential to reduce the need for health care and ultimately may help in

containing health care costs. Public health also directly helps clinical health care providers, including coordinated care organizations, adopt evidence-based best practices for the delivery of clinical preventive health services.

## PROGRAM FUNDING REQUEST

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr.13-15** | 40,196,834 | 128,608,993 | 355,751,469 | 524,557,296 | 726.00 | 700.17 |
| **Governor's Budget** | 41,882,993 | 131,672,688 | 355,136,513 | 528,692,194 | 715.00 | 695.35 |
| **Difference** | 1,686,159 | 3,063,695 | -614,956 | 4,134,898 | -11 | -4.82 |
| **Percent Change** | 4.19% | 2.38% | 0.12% | 0.79% | -1.52% | -0.69% |

The Governor's Budget of $528,692,194 Total Funds largely continues funding for Public Health programs at the current service level for 2015-2017. The request includes Policy Option Package 407 and 408 for fee authority to maintain current service level in two program areas; investments in Package 090 for the Patient Safety Commission, support for the Future of Public Health Task Force recommendations, and for operational readiness to prepare, respond, and mitigate public health disasters; authorization in Package 501 for licensure of clinical laboratories to test recreational marijuana related to the passage of Measure 91; and removal of TMSA dollars for tobacco cessation, and reduction in General Fund support to the Contraceptive Care program to reflect an anticipated decrease in caseload through ACA implementation in Package 090.

## PROGRAM DESCRIPTION

The Public Health's mission is to promote health and prevent the leading causes of death, disease and injury in Oregon. In addition to addressing the drivers of chronic illness such as tobacco and obesity, and among other services, the state public health programs ensure the safety of drinking water in public water systems, investigates disease outbreaks, responds to public health emergencies, licenses hospitals, and provides services to prevent unintended pregnancies. These programs and services serve all people in Oregon.

The vision is lifelong health for all people in Oregon. To achieve this vision, public health has identified two main goals: 1) to make Oregon one of the healthiest states; and, 2) to transform the public health system in the state into a national model of excellence.

To make Oregon one of the healthiest states, state public health is focusing on areas where there is the potential to make significant progress to improve the health of the population. Tobacco and obesity prevention are priorities. The programs are directly working to achieve outcomes identified in the 10 year plan, including supporting the achievement of 100 percent tobacco-free state properties and the establishment of a statewide nutrition policy for all state agencies, and statewide nutrition standards in procurement contracts.

Other areas of focus include reducing the incidence of heart disease and stroke and increasing survivability of stroke patients; decreasing suicide (which kills more people than motor vehicle crashes in Oregon); preventing family violence, which causes a wide range of physical and mental health problems, and also is a major factor in the development of chronic disease later in life for children exposed to violence; and increasing community resilience to public health emergencies.

To create a public health system that is a national model of excellence, Public Health is preparing for a time when nearly all people are covered by health insurance by developing its capacities to:

- Support coordinated care organizations with technical assistance in the areas of prevention and community health assessment;
- Carry out health impact assessments;
- Achieve excellence in the assessment and monitoring of the health of the public through epidemiology and surveillance; and
- Collaborate with other state agencies to ensure that health is considered in policymaking across state government as appropriate.

The state public health system works as a partner in a national system of local public health agencies, other state agencies, and federal partners. Partnerships with local public health departments, coordinated care organizations, transportation, education, federal partners, and health care providers are

essential to the work, and contribute toward providing Oregon with the backbone for a strong economy and education system.

## PROGRAM JUSTIFICATION AND LINK TO 10-YEAR OUTCOME

These programs provide cost-effective ways to meet the 10-year Outcome Plan's goals. Public health programs can fundamentally change how health care is delivered by shifting resources toward the prevention of chronic disease, and ensuring access to sufficient, affordable, and nutritious food. Public Health is designing strategies to decrease obesity among adults and children, and is actively engaged in measuring and increasing the percent of Oregonians consuming five or more servings of fruits and vegetables per day. Additionally, Public Health programs work to achieve Healthy People 2020 objectives, which tie directly to the goals of the 10-year Outcome plan.

## PROGRAM PERFORMANCE

Public Health has a system of performance measurement and quality improvement to address its programs, including data related to the return on investment for many of these programs. Performance and return on investment data are available for the full range of public health programs. Performance outcomes for key areas – tobacco, family planning and epidemiology – are listed below.

***The Tobacco Prevention and Education*** program delivers community-based interventions to control tobacco. The program has averted $3.8 billion in future health care costs since 1997, a return of $45 for every dollar invested in the program. As a result of the program, cigarette consumption has declined in Oregon from 92 packs per capita in 1996 to 43 packs per capita in 2013.

***Family Planning*** program has served more than 100,000 clients per year for each of the past five years, providing free or low-cost birth control options to women and men who lack other sources of coverage. The total savings from unintended births averted in 2011 was more than $28 million dollars for the state and more than $81 million federal Medicaid dollars. The rate of pregnancy among 15- to 17-

year-old women in Oregon dropped from 25.8 percent in 2008 to 13.9 percent in 2013.

***Epidemiology and data collection*** are critical to Oregon's ability to measure the health status of its citizens and to identify trends in infectious diseases, chronic diseases, and injuries. This capacity is essential for policymakers and critical for tracking how the population's health is affected by well-community prevention, coordinated care organizations and other changes yet to come in the health system.

## ENABLING LEGISLATION/PROGRAM AUTHORIZATION

The Oregon Health Authority plays a central role in ensuring the health of all people in Oregon. Chapters 431 and 433 of Oregon Revised Statutes set forth hundreds of code sections enabling and mandating a wide range of public health activities carried out by state public health and its county partners.

## FUNDING STREAMS

For the 2015-2017 biennium, Public Health's budget comprises 8% General Fund, 67% Federal Funds and 25% Other Funds. Every dollar of General Fund invested in Public Health's budget yields approximately $487 mil of Federal and Other Fund revenue for the state. The federal revenue includes not only entitlement grants such as Medicaid (with 90-10 match for contraceptive care) but more than 120 grants that are categorically dedicated to Public Health programs such as emergency preparedness and hospital preparedness, cancer prevention and control, and safe drinking water.

In addition, Public Health's Other Fund revenue sources include fees for activities in such areas as newborn screening tests (including test services for four other states); licensing of facilities including hospital and special inpatient care facilities; registration inspection and testing of X-ray equipment; testing and certification of emergency medical technicians; registration of medical marijuana card holders and growers; fees for issuing certified copies of vital records; and statutorily dedicated funds from the Tobacco Use Reduction Account. Other Fund fees are generally dedicated to entirely support the program that assesses the fee, except

Medical Marijuana program funds which were legislatively approved to support additional programs.

## SIGNIFICANT PROPOSED PROGRAM CHANGES FROM 2013-2015

Public Health has carried out a statewide health assessment, developed a strategic plan, and is engaging in planning with partners to continue its work to integrate Oregon's public health system with health system transformation, and continue its work to reduce the leading causes of disease, injury, and death during 2015-2017. Public health continues to be primarily funded through federal grants. During 2013-2015, several federal grants have decreased. This decline in federal funding is expected to continue during 2015-2017, and the programs will need to adjust services accordingly.

The Governor's Budget includes $528,692,194, which largely continues funding for Public Health Programs at the current service level for 2015-17.

# Oregon Health Authority: Public Health

# Office of the State Public Health Director

## EXPENDITURES BY FUND TYPE, POSITIONS AND FTE

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr. 13-15** | 8,653,946 | 4,023,305 | 15,275,298 | 27,952,549 | 39 | 34.73 |
| **Governor's Budget** | 12,699,605 | 3,481,978 | 11,996,975 | 28,178,558 | 37 | 36.50 |
| **Difference** | 4,045,659 | -541,327 | -3,278,323 | 226,009 | -2 | 1.77 |
| **Percent Change** | 47% | -13% | -21% | 1% | -5% | 5% |

The Governor's Budget of $28,178,558 continues funding for the Office of the State Public Health Director programs at the current service level for 2015-2017. The request includes Package 090 for $1,000,000 to support planning and operational readiness to prepare, respond, and mitigate public health disasters, and $500,000 to support the Future of Public Health Task Force Recommendations.

## ACTIVITIES, PROGRAMS AND ISSUES IN THE PROGRAM UNIT BASE BUDGET

The Office of the State Public Health Director (OSPHD) provides scientific, fiscal, communications and policy leadership to the public health programs. The office sets public health priorities that meet the needs of Oregonians in collaboration with state and local agencies and organizations.

Under the leadership of the OSPHD, state public health is organized by three centers: Center for Public Health Practice, Center for Prevention and Health Promotion and Center for Protection. The various categorical programs are located in these centers.

The office guides the strategy, operations, scientific activities, communications and policies of all public health programs and ensures that Oregon's public health system is effective and coherent.
The office has sections dealing with policy, performance management, community liaison, communications, legislative liaison, fiscal management, operations, science and epidemiology. These sections provide enterprise-wide support across public health and guidance in areas that include:

- Supporting accreditation, quality improvement and performance management;
- Health assessments and statewide health improvement planning;
- Contracting with local health departments and conducting reviews of each local health department every three years;
- Policy development and administrative rulemaking;
- Providing technical support to local health departments in nurse practice and administrative requirements;
- Legislative support;
- Coordination of public health issues related to health system reform;
- Risk management and safety;
- Workforce development and volunteer coordination;
- Budget and finance;
- Communications;
- Business continuity planning;
- Scientific processes including the Institutional Review Board and manuscript and project review.

The Office of the State Public Health Director's work affects all Oregonians and responds to public health issues by providing leadership and oversight to public health programs which 1) protect the public through public health regulations; 2) identify and respond to disease outbreaks; and 3) develop population-wide public health policies, practices, systems and environmental changes that will improve public health. The office works to ensure that decisions made and priorities set in Oregon are data-driven. As more Oregonians have access to health care, public health's activities will continue to transition away from providing safety-net health care services toward population-wide policy, systems and environmental changes.

This work includes extensive interaction with Oregon's 34 local public health departments. The state public health programs also partner with a range of state and local agencies and organizations, health care providers, insurers, coordinated care organizations, nonprofit organizations, federal agencies and the private sector. Within state government, the office's staff work closely with and serve as liaisons between public health programs and the Department of Human Services, Department of Transportation, Department of Education, Department of Environmental Quality, Department of Agriculture and Department of Forestry, and other programs within the Oregon Health Authority.

Beginning in 2012, the office developed and published a State Health Profile; updated the Statewide Health Improvement Plan (SHIP); and developed the first strategic plan for state public health. The evidence-based interventions outlined in the strategic plan and SHIP, if fully implemented, would contribute to substantial improvements in the health of Oregonians.

In 2013, the public health received $4.8 million of Oregon's State Innovation Model award from the Center for Medicare and Medicaid Innovation, which is being administered by this office. The funds are being used to:

- Expand state health surveys;
- Develop public health assessment and planning tools; and
- Fund partnerships with communities and CCOs to implement population-level health interventions.

Since November 2013, the office has facilitated the process to link the state public health with Oregon Health Authority's performance management system. Public health leadership have identified priority metrics by which strengths and opportunities for improvement can be identified and measured.

Regular reviews of Oregon's local public health departments by the Office of the State Director and the programs ensure their compliance with federal and state regulations.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES

The 2015-2017 budget for the Office of the State Public Health Director is composed of 12% Other Funds and 43% Federal Funds (primarily through the

agency's federally approved cost allocation plan), and 45% General Funds. Of the General Funds, 82% is pass-through funding to local health departments to support communicable disease outbreak surveillance at the local level, and is used as match under the Designation State Health Program (DSHP) to bring in additional Medicaid dollars to fund the coordinated care organizations. The remaining General Fund is used to meet the state participation required by the agency's federally approved cost allocation plan. The office also receives federal funding from the State Innovation Model award from the Center for Medicare and Medicaid Innovation. This money goes to expand state health surveys, develop public health assessment and planning tools, and to fund community and CCO partnerships to implement population-level health interventions. The office also receives federal funding from the Centers for Disease Control Preventive Health and Health Services Block Grant to address state-determined public health priorities.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

During the 2015 legislative session, the office will respond to recommendations from the Future of Public Health Services Task Force created by 2013 Session HB 2348. This task force is charged with studying the regionalization and consolidation of public health services and making recommendations for legislation. The office will lead the work to implement any changes approved by the Legislature.

The Governor's Budget includes $28,178,558, which continues funding for the Office of the State Public Health Director programs at the current service level.

# Oregon Health Authority: Public Health

# Center for Health Protection

## EXPENDITURES BY FUND TYPE, POSITIONS AND FTE

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr. 13-15** | 803,415 | 21,269,893 | 13,637,393 | 35,710,701 | 150 | 148.79 |
| **Governor's Budget** | 2,925,959 | 24,876,683 | 18,863,569 | 46,666,211 | 177 | 173.25 |
| **Difference** | 2,122,544 | 3,606,790 | 5,226,176 | 10,955,510 | 27 | 24.46 |
| **Percent Change** | 264% | 17% | 38% | 31% | 18% | 16% |

The Governor's Budget of $46,666,211 provides funding for the Center for Health Protection programs at the current service level for 2015-2017. This request also includes Policy Option Package 407 for $593,755, Policy Option Package 408 for $344,336 to improve the lifelong health of all Oregonians, and an investment to the Patient Safety Commission of $500,000 in Package 090.

## ACTIVITIES, PROGRAMS AND ISSUES IN THE PROGRAM UNIT BASE BUDGET

The Center for Health Protection (CHP) protects the health of individuals and communities through establishing, applying and ensuring compliance with regulatory and health-based standards. It protects Oregonians from environmental health hazards in areas including drinking water, radiation, recreational waters, and foodborne illness. The center also develops and helps set health care policy and requires patient safety efforts and quality improvement activities across all health care providers. The center's programs partner with local health departments, private practitioners and medical experts.

The center has six sections. The Food, Pool and Lodging Health and Safety (FPLHS) program includes Oregon's food-borne illness protection program and provides leadership for local health departments to ensure safety in Oregon's full-service and temporary restaurants, public pools and tourist accommodations.

Radiation Protection Services (RPS) conducts statewide radiological health and safety programs to protect workers and the public from unnecessary and unhealthy radiation exposure. This is accomplished through on-site facility inspections, licensing of radioactive materials, registration of X-Ray and tanning devices, environmental monitoring, and radio analytical laboratory services. This section provides Oregon's sole public resource for radiation-related incidents, whether accidental or intentional. In addition, the section collaborates with licensing boards to ensure operators and workers are properly trained and credentialed.

Drinking Water Services (DWS) ensures the safety of drinking water provided by all public water systems in Oregon. The program administers and enforces state and federal safe drinking water quality standards; prevents contamination of public drinking water systems by protecting drinking water sources; ensures that public water systems meet standards for design, construction and operation; inspects public water systems and ensures that identified deficiencies are corrected; provides technical assistance to public water suppliers to solve operational problems; provides financial assistance to communities to construct safe drinking water infrastructure; and certifies and trains water system operators.

Environmental Public Health (EPH) identifies, assesses and reports on threats to human health from exposure to environmental and occupational hazards. It also advises the people and communities of Oregon about potential risks where they live, work and play. EPH works closely with local, state and federal natural resource management, occupational safety, environmental and other agencies to understand risks to human health posed by changing conditions, policies and practices. EPH has five program areas:

- Healthy Communities assesses areas of environmental concern, hazardous waste clean-up plans, brownfield redevelopment plans, hazards related to climate change and agency policy development activities to ensure impacts to public health are taken into consideration.
- Healthy Homes and Schools regulates clandestine drug lab clean-up and lead-based paint-related activities. It also provides public health support for concerns related to exposure to radon and pesticides.

- Healthy Waters evaluates data and advises the public on issues related to safe fish consumption, maintaining safe domestic drinking water wells and recreating in Oregon's lakes, streams and beaches.
- Healthy Workplaces works with agency partners to evaluate and report on trends in occupational safety from a public health perspective.
- Environmental Public Health Tracking brings together information about environmental hazards, exposure to those hazards and health outcomes, and makes them available to the public through a Web portal.

The Oregon Medical Marijuana Program (OMMP) administers the registration program of the Oregon Medical Marijuana Act (OMMA). The act provides legal protection from state civil and criminal prosecution for qualified patients who comply with program requirements to grow and use marijuana as an alternative medicine.

The Health Care Regulatory and Quality Improvement (HCRQI) section regulates an array of health facilities and providers.

- The Health Facility Licensing and Certification program is responsible for licensing and certifying all health care facilities, providers and suppliers in acute care and community-based programs. These include hospitals, home health agencies, in-home care agencies, hospice programs, ambulatory surgical centers, rural health clinics, special inpatient care facilities, kidney dialysis facilities, birthing centers, rehabilitation agencies and clinics, comprehensive outpatient rehabilitation facilities, community mental health centers, hemodialysis technicians, and portable x-ray suppliers.
- The Emergency Medical System and Trauma Survey Systems (EMS/TS) program ensures the effectiveness and coordination of the state's emergency response system for illness and injury. The program encourages improvements in the emergency care of pediatric patients and regulates systems that provide emergency care to victims of sudden illness or traumatic injury.

The majority of the Center for Health Protection programs are grounded in the principles of population-based public health, providing services and oversight for all Oregonians.

The Food, Pool and Lodging Health and Safety section services are delivered by intergovernmental agreements with 36 local public health authorities. County environmental health staffs are the direct service providers. This section licenses, inspects and investigates issues related to nearly 22,000 food service establishments and temporary restaurants, 3,400 public pools and 2,300 tourist accommodations. Our goal is to work in partnership with local health departments, the food service industry and the public to reduce or eliminate the known causes of foodborne illness.

The Radiation Protection Services section licenses or registers 13,800 sources of radiation statewide. It inspects those radiation sources in more than 4,400 facilities including hospitals, dental and medical clinics, radiation oncology clinics, tanning salons, high tech manufacturing firms, academic and research facilities, paper and pulp processing plants, foundries, and mineral extraction facilities. These locations and facilities in all 36 counties are inspected annually or biennially.

The Drinking Water Services section regulates more than 3,400 public water systems statewide, which serve drinking water to more than 3.5 million Oregonians and our visitors. Contracts with county health departments and the Oregon Department of Agriculture help facilitate the inspections of these public water systems.

The Oregon Medical Marijuana Program serves patients statewide. It has grown continually since its inception in 1998. To date, there are more than 57,386 patients in the program and more than 133,154 registered cardholders including caregivers and growers. This program allows Oregonians suffering from debilitating medical conditions to use medical marijuana without fear of civil or criminal penalties.

The Health Care Regulatory and Quality Improvement (HCRQI) section oversees an array of health facilities and providers. The Health Facility Licensing and Certification program licenses approximately 91 ambulatory surgical centers, 12 birthing centers, 55 dialysis facilities, 646 hemodialysis technicians, 73 home health agencies, 53 hospice agencies, 65 hospitals, 125 in-home care agencies, 59

rural health clinics, six special inpatient care facilities, 44 designated trauma hospitals and more.

The Emergency Medical Services and Trauma Systems (EMS/TS) program licenses approximately 12,000 emergency medical services providers (EMSPs): 2,400 EMRs, 4,897 EMTs, 924 EMT-intermediate, 31 advanced EMTs and 3,450 paramedics. The program also licenses about 140 ambulance service agencies and nearly 600 ambulances. It also certifies all EMT training courses and provides training services to nearly 200 rural and frontier communities through our mobile training unit.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES

The 2015-2017 Center for Health Protection budget comprises 53% Other Funds, primarily in the form of fees for services, 41% Federal Funds, and 6% state General Funds. Funding for each program is described below.

The Food, Pool and Lodging Health and Safety section contracts with county health authorities, which assess a fee to restaurants and establishments in their counties for the Foodborne Illness Prevention Program. Oregon county health authorities collect about $5.4 million from their fees. A portion, approximately $1.6 million is transferred to this section. This fee constitutes the majority of the funding for this section. Programs also include Public Pool and Tourist Facility Program, Plan Review Program and Environmental Health Network (FDA).

The Radiation Protection Services receives funding from three fee-based regulatory programs. They are: The X-Ray Machine Testing Program, Radioactive Material Licensing Program and the Tanning Device Inspection Program. All three collect fees by licensing or certifying devices containing radioactive material. Gross fees total approximately $4 million per biennium. Individuals or business entities that own these devices pay the fees.

The Drinking Water Services Program receives funding from multiple sources. This section has two federal grants from the Environmental Protection Agency. They are the Water Primacy grant and the State Revolving Fund grant. Combined gross revenues per biennium total over $28 million. Approximately 72 percent of those funds are transferred to other state agencies or counties. Most of that transferred

funding supports the Oregon Safe Drinking Water Revolving Fund, which helps communities pay for safe drinking water infrastructure construction projects. This section also has four fee-based programs. They are: Cross Connection/Back Flow Prevention, Water System Operator Certification, Water System Surveys and Water System Plan Review. These programs combined generate approximately $625,000 per biennium. The Drinking Water Services section also receives about $3.3 million per biennium from the Oregon Medical Marijuana Program in lieu of General Funds. These fees and other funds also provide the required state match for the EPA grants.

The Oregon Medical Marijuana Program collects fees for issuing medical marijuana cards to qualifying patients and maintains a registry of those patients. Biennial revenues vary but are around $17 million. Approximately 52 percent of those revenues is transferred to other Public Health programs.

The Health Care Regulatory and Quality Improvement section receives federal funding from the Centers for Medicare and Medicaid Services to perform hospital surveys and oversight. The section also has a number of regulatory responsibilities supported by fees. The Health Facility Licensing and Certification program funding sources include: certificate of need, hospital and health facility plan review, ambulatory surgery, home health agencies, caregiver and referral agencies, hospital in-patient, hospice agency, hemodialysis technician, and in home care agency.

The Emergency Medical Services and Trauma Systems (EMS/TS) program has four primary funding sources. Fees support the licensing and inspection of emergency medical technicians and ambulance services. EMS/TS also receives about $2.2 million per biennium from the Oregon Medical Marijuana Program in lieu of General Funds and ORS 137 directs roughly $331,000 per biennium from the Criminal Fines and Assessment Account.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

The center is funded almost entirely through fees and continuing federal grants. During 2013-2015, several fees have been established or adjusted in Oregon Administrative Rule to support the current service level requirements for certification, licensing and inspection. These include: Fee establishment for non-

transplant anatomical research recovery organizations (2013 Session HB 3345), fee establishment for Medical Marijuana Dispensary Program (2013 Session HB 3460), annual fee increase for radioactive materials licensees.

The center is proposing a legislative concept for 2015 that would increase licensing fees for tanning beds and X-ray machines. The proposal also includes increasing the statutory cap for radioactive materials licenses. The recent legalization of recreational marijuana could have significant impact on funds that come from the Oregon Medical Marijuana Program and are used to support core public health programs. The passage of Measure 91 as written could significantly reduce or eliminate roughly $9 million of Oregon Medical Marijuana registration fees that are legislatively directed to fund core public health services.

The Governor's Budget includes $46,666,211, which continues funding for Center for Protection program at the current service level for 2015-17.

# Oregon Health Authority: Public Health

# Center for Prevention and Health Promotion

## EXPENDITURES BY FUND TYPE, POSITIONS AND FTE

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr.13-15** | 18,776,251 | 69,258,737 | 253,040,288 | 341,075,276 | 241 | 235.28 |
| **Governor's Budget** | 16,313,933 | 68,096,765 | 246,876,178 | 331,286,876 | 211 | 202.27 |
| **Difference** | -2,462,318 | -1,161,972 | -6,164,110 | -9,788,400 | -30 | -33.01 |
| **Percent Change** | -13% | -2% | -2% | -3% | -12% | -14% |

The Governor's Budget of $331,286,876 includes removal of the remaining General Fund support to the Contraceptive Care Program to reflect an anticipated decrease in caseload through ACA implementation in Package 090, as well as removal of 2013-15 TMSA investment for tobacco cessation. For remaining programs in the Center for Prevention and Health Promotion the request includes 2015-17 current service level funding.

## ACTIVITIES, PROGRAMS AND ISSUES IN THE PROGRAM UNIT BASE BUDGET

The Center for Prevention and Health Promotion's mission is to help Oregon's communities and residents to achieve and sustain lifelong health, wellness and safety through partnership, science and policy. The center houses five sections that primarily address these four health issues:

- Prevention of risks leading to lifelong and costly chronic diseases;
- Child and adolescent growth and development;
- Injuries and unsafe relationships; and
- Physical and behavioral problems.

The center promotes policy and system changes that lead to reduction of risks, such as:

- Reducing tobacco use;
- Increasing access to healthy eating and physical activity for all Oregonians;
- Increasing stability and safety in families; and
- Increasing access to healthy options.

In collaboration with stakeholders and partners across Oregon, the center invests resources to address health problems and inequities statewide. It does this via data- and analysis-driven changes in policy and systems. Those partners and stakeholders include:

- Local public health departments and mental health providers;
- Primary health care providers and health systems;
- Early child care, early learning, primary and secondary education systems;
- Health care systems;
- Community-based organizations
- Aging services;
- Land use and transportation agencies;
- Emergency medical providers;
- Employers;
- Parents and youth.

Here are some highlights among the Center for Prevention and Health Promotion's programs.

Adolescent, Genetics and Reproductive Health:

- Monitors the health status of adolescents;
- Promotes the adoption of evidence-based programs and practices that support positive youth development and;
- Develops public health systems and public-private partnerships that provide high-quality guidelines-based preventive health services for adolescents, women of reproductive age and individuals at high risk from genetic conditions.

School-based health centers, family planning clinics, and the Breast and Cervical Cancer program provide access to underserved populations, targets disparities and collectively serve over 125,000 adolescents and adults each year.

***Health Promotion and Chronic Disease Prevention (HPCDP)*** works to help people eat better, move more, live tobacco-free, and take care of themselves. HPCDP does this by:

- Analyzing and monitoring the occurrence of chronic diseases and their risk factors; and
- Developing and administering programs and promoting policies to prevent chronic diseases and associated risk factors.

Chronic diseases include asthma, arthritis, cancer, diabetes, heart disease and stroke; risk factors for chronic conditions include tobacco use, physical inactivity, and poor nutrition.

***Injury Prevention and Violence Prevention (IVPP)*** monitors injuries and deaths due to violence, suicide, prescription drugs, senior falls, motor vehicle crashes, child maltreatment, and unintentional child injuries. In 2010, unintentional injuries and suicides were the second and third leading causes of years of potentional life lost for Oregonians, behind cancer. Injuries are the fifth overall leading cause of death to Oregonians. Some of IVPP's strategies include:

- The Web-based Prescription Drug Monitoring Program, which serves 8,000 prescribers and pharmacists;
- The Senior Falls Prevention program, which, since 2006, has helped train 350 Tai Chi instructors to lead community exercise classes to prevent falls.
- The Youth Suicide Program, which has trained 13,000 adults to identify and refer suicidal youth to services, and since 2006 has reached more than 1.1 million Oregonians with suicide prevention awareness. Additionally, IVPP manages several data systems that track key information on the health status of Oregonians.

***Maternal and Child Health (MCH)*** promotes health across the lifespan of individuals and families by investing in preconception, pregnancy and early childhood health. Its programs address perinatal health (before, during and after pregnancy), infant and child health, newborn hearing screening, home visiting and oral health. Through partnerships with local public health, other state agencies, and health care and early learning providers, MCH serves Oregon's population in

general, as well as those most vulnerable (safety net) for poor health. It also studies the health of these populations to better understand and identify changing problems and needs. The program manages data systems for infant hearing screenings, nurse home visiting programs, and statewide oral health.

***Nutrition and Health Screening (WIC)*** develops and assesses local public health and non-profit programs focused on:

- Child growth and health;
- Breastfeeding education and support;
- Nutrition and physical activity; and
- Promotion of a healthy lifestyle and prevention of chronic diseases including obesity.

The program also influences the larger community food environment by requiring WIC-authorized grocery stores to carry a minimum stock of low-fat milk, whole grains, low-sugar cereals, and produce. The program also collaborates with farmers and farmers markets statewide to provide vouchers for fresh produce for WIC families and low-income seniors. WIC provides critical surveillance data on the maternal and child population, evaluates programs and carries out competitively funded research studies. By the end of calendar year 2015, Oregon WIC will convert all benefits from paper to electronic benefit transfer (EBT) system.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES

The 2015-2017 biennial budget request for the Center for Prevention and Health Promotion is 5% General Funds, 74% Federal Funds and 21% Other Funds.

- General Funds for Center for Prevention and Health Promotion include funding for the School Based Health Centers Program.
- Federal Funds for the center include funding under the Woman's, Infant and Children (WIC) Nutritional and Health Screening Program, Maternal and Child Health Title V and Home Visiting Programs and the Medicaid Title XIX entitlement supporting the Oregon Contraceptive Care Program (Family Planning Waiver Program) which provides a 9:1 Medicaid match.
- Other Funds revenue for the center include the statutorily dedicated funds under the Tobacco Use Reduction Account (TURA) and the Oregon Medical Marijuana Program funding that supports the Oregon Contraceptive Care Program (family planning) and the School Based Health Center Programs.

The center is primarily funded through federal grants, mostly continuing grants. During 2013-2015, several continuing federal grants have decreased. This decline in federal funding is expected to continue during 2015-2017, and the center will need to adjust program services accordingly. During 2015-2017 the center will also see some changes to program services as result of health system transformation.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

The programs in the Center for Prevention and Health Promotion are accountable for federal laws and regulations that govern the federal funding resources implemented through the Center.

The program unit is proposing a legislative concept for 2015 to create a Traumatic Brain Injury (TBI) registry as a subset of the Trauma Registry for the following purposes:

a. To administer a planning process to examine the needs of TBI patients after they have received medical care in the state; conduct follow-up and outreach in communities to individuals to ensure that they are aware of resources and community level supports; and produce annual reports on TBI for OHA, DHS, the Oregon Brain Injury Association, and Task Force on Traumatic Brain Injury.
b. To integrate data from the Oregon Trauma Registry and the state Injury and Violence Prevention program to provide more information about causes, risk factors, and prevention.
c. To create and disseminate public information from the Oregon Trauma Registry.

The Governor's Budget includes $331,286,876, which authorizes 2015-17 current service level funding for most programs in the Center for Prevention and Health Promotion.

# Oregon Health Authority: Public Health

# Center for Public Health Practice

## EXPENDITURES BY FUND TYPE, POSITIONS AND FTE

| | General | Other/Lottery | Federal | Total Fund | Pos. | FTE |
|---|---|---|---|---|---|---|
| **Leg.Appr.13-15** | 11,963,222 | 34,057,058 | 73,798,490 | 119,818,770 | 296 | 281.37 |
| **Governor's Budget** | 9,943,496 | 35,217,262 | 77,399,791 | 122,560,549 | 290 | 283.33 |
| **Difference** | -2,019,726 | 1,160,204 | 3,601,301 | 2,741,779 | -6 | 1.96 |
| **Percent Change** | -17% | 3% | 5% | 2% | -2% | 1% |

The Governor's Budget of $122,560,549 continues funding for the Center for Public Health Practice programs at the current service level for 2015-2017. This request includes Policy Option Package 408 for $1,092,000 for fee authority to include an additional laboratory test within the newborn screening profile, and Policy Option Package 501 for licensure of clinical laboratories to test recreational marijuana related to the passage of Measure 91.

## ACTIVITIES, PROGRAMS AND ISSUES IN THE PROGRAM UNIT BASE BUDGET

The Center for Public Health Practice (CPHP) prevents and controls diseases, monitors population health information, and ensures emergency public health services in natural and human-caused disasters. The center's programs are the essential services in the state public health's Continuity of Operations Plan. Special emphasis is placed on epidemiology, laboratory testing, immunization, and other community infectious disease control measures.

The center has six sections:

1. Immunizations
2. Acute and Communicable Diseases (ACDP)
3. Center for Health Statistics (CHS), also known as vital records – birth, death and marriage certificates
4. HIV, Sexually Transmitted Diseases and Tuberculosis (HST)
5. Oregon State Public Health Laboratory (OSPHL) and
6. The federally-funded programs for Heath Security, Preparedness and Response (HSPR).

The center's programs work with local and tribal governments, community partners, and the public to prevent, investigate and control infectious diseases. It coordinates local interventions to control disease outbreaks. It also screens all newborn infants for biochemical disorders to prevent disability or death, and collects and analyzes vital record data needed to understand and plan for health trends. As part of public health emergency preparedness, the center also conducts testing for biological agents of mass destruction (e.g., anthrax, plague) and emerging diseases (e.g., Middle East Respiratory Syndrome or MERS).

The Center for Public Health Practice delivers the core public health services necessary to maintain a healthy population and to recover from disasters. Preventable disease vaccine programs ensure that children are healthy enough to attend school regularly and learn successfully. Its interventions for influenza and foodborne disease outbreaks (e.g., salmonella, hepatitis, and norovirus) allow parents to attend work and sustain a healthy economy. Its HSPR programs coordinate the surge capacity of hospitals and public health agencies to respond in health emergencies (e.g., floods, wildfires, pandemics and earthquakes). The center's HIV Program works with clients and their local providers to ensure that persons with HIV take the medicines they need to render them non-infectious.

The Center for Public Health Practice deals with population health, serving every person in Oregon. Millions of people receive direct services annually through public and private partners or from center staff. We can only estimate the number of healthy people served because of interventions that stop E. coli, pertussis, norovirus, meningococcal, tuberculosis or syphilis outbreaks.

All babies born in Oregon and their parents, schools and social service agencies are served by the center's Public Health Laboratory and its Health Statistics section. In calendar year 2013, the lab performed approximately 12 million tests on more than 300,000 samples collected from 161,506 infants in six states, the Navajo Nation and Guam. The lab also performed approximately 200,000 bacteriology and virology tests on 120,000 human samples. In calendar year 2013, Health Statistics issued 170,511 certified documents, registered 127,637 events, and processed more than 14,000 paternity tests.

The center's services are delivered every day of every week throughout the year. Duty officers are on call 24/7 at the public health lab, Acute and Communicable Disease program and Health Security, Preparedness and Response program.

The center's frontline services to individuals are delivered primarily through local and tribal health departments and private medical providers in conjunction with center staff. Its staff members help local partners deliver complex federal programs and serve as expert consultants on difficult and complex cases (e.g., rabies in humans, multidrug resistant, and hospital-acquired diseases). The center's programs work with Oregon schools and numerous state departments including Human Services, Justice, Forestry, Wildlife, Agriculture, Transportation and the State Fire Marshal in outbreak management and emergency response. And it works closely with the federal Centers for Disease Control and Prevention, especially on multi-state and international disease outbreaks such as tuberculosis, salmonella, Legionnaire's Disease and MERS.

The center's cost drivers are primarily personnel and associated costs plus agency assessments. A significant portion of federal funds is directed to local health authorities for use in collaboration with state staff.

## REVENUE SOURCES AND PROPOSED REVENUE CHANGES

The 2015-2017 Center for Public Health Practice budget is comprised of 8% state General Funds, 63% Federal Funds, and 29% Other Funds, primarily in the form of fees for services (vital records and lab testing).

While the center has been successful in writing grants, the funding is categorical, finite and directed toward federal priorities, which do not always align with state-

defined priorities. Given that the center's work to protect Oregonians is funded mostly by CDC and HRSA and not by Oregon, staff focus must be on federally prescribed deliverables. The center's programs have responded creatively to state-directed work while continuing to meet grant objectives. This is particularly true in the areas of communicable disease prevention and immunizations, which require a base level of infrastructure to operate effectively.

The center has two large fee-based programs: the Public Health Laboratory (OSPHL) and the Center for Health Statistics (CHS). They receive most of their operating funds from fees paid by the public, insurance carriers including CCOs, and local health departments. The lab receives some state funding. CHS does not receive state funding, but it does receive revenue from other state agency data users to help cover the cost of maintaining databases and electronic accessing systems.

Oregon's General Fund revenue accounts for 8% of the overall budget. It is used to pay for staff, supplies, and equipment necessary to coordinate and deliver services to Oregonians. The center pays counties to deliver the Vaccines for Children program, using Medicaid matching funds generated by the use of state General Funds. The center brings in additional Medicaid dollars to help fund the coordinated care organizations by spending General Fund monies in the public health lab and the HIV, STD, and TB programs.

The center is primarily funded through federal grants, mostly continuing grants. During 2013-2015, several continuing federal grants have decreased. This decline in federal funding is expected to continue during 2015-2017, and the center will need to adjust program services accordingly. During 2015-2017 the center may also see some changes to some program services as result of health system transformation.

## PROPOSED NEW LAWS THAT APPLY TO THE PROGRAM UNIT

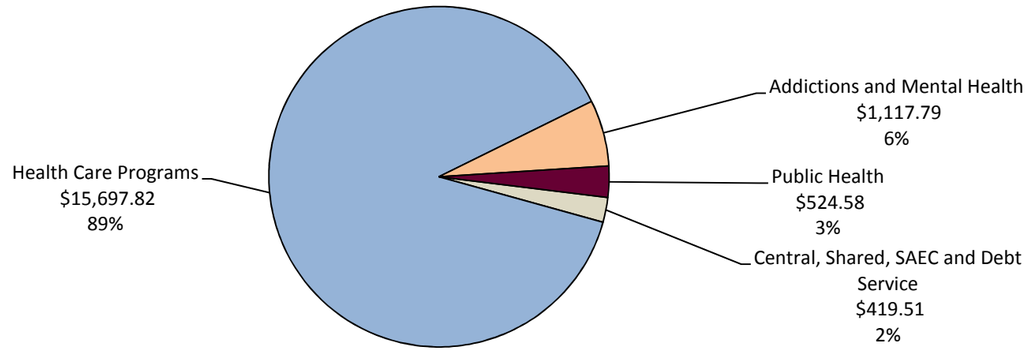
During 2013-2015, fees for a variety of laboratory tests have been established or adjusted via the SB333 process in Oregon Administrative Rule. These include communicable disease testing fees which were adjusted to align the public health lab's fees with the Medicaid fee-for-service fee schedule that took effect in August 2013. These changes will bring OSPHL into alignment with the rest of the

Oregon Health Authority. Newborn screening test fees also were raised to include an additional test for SCID screening.

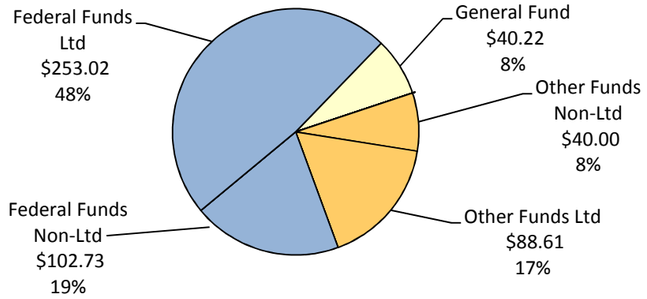
Other than ratification of the fee increases during the 2015 session, no new laws have been proposed that directly affect this program unit.

The Governor's Budget includes $122,560,549, which continues funding for the Center for Practice programs at the 2015-17 current service level.

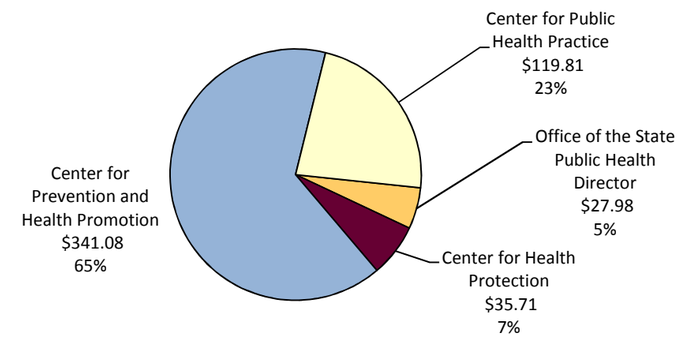
**Oregon Health Authority**
**2013-2015 Legislately Approved Budget**
**Total Fund by Program Area**
**$17,759.70 million**



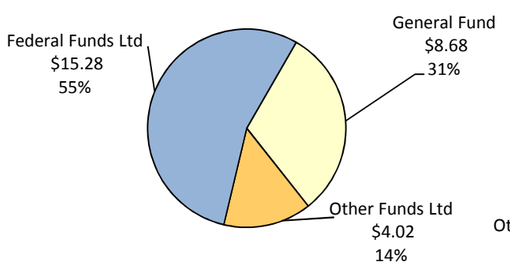

**Public Health Programs**
**Total by Fund Type**
**$524.58 million**



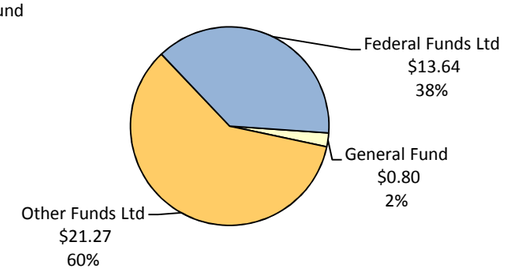

**Public Health Programs**
**Total by Program**
**$524.58 million**



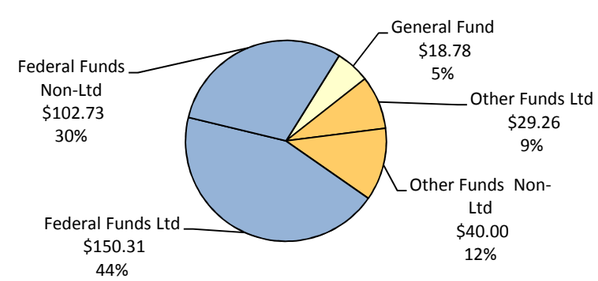

**Office of State Public Health Director**
**$27.98 million**



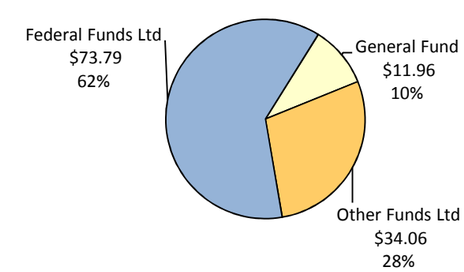

**Center for Health Protection**
**$35.71 million**



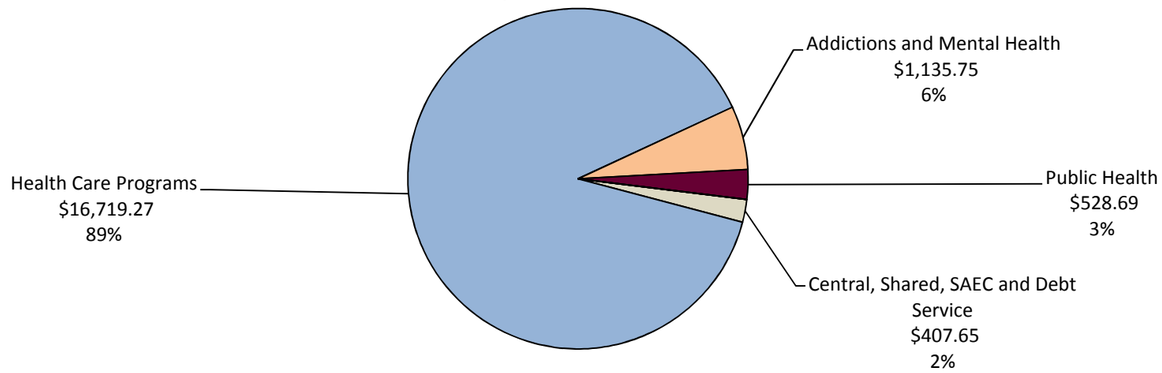

**Center for Prevention and Health Promotion**
**$341.08 million**



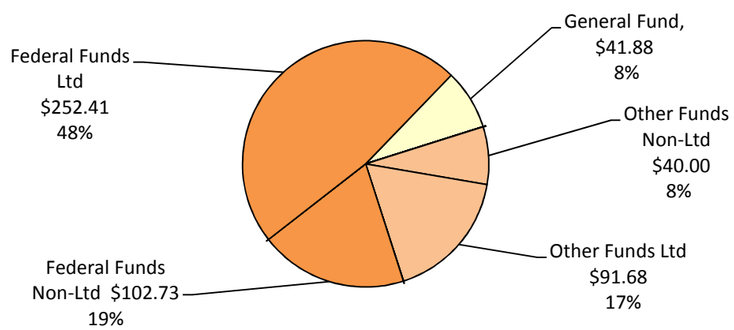

**Center for Public Health Practice**
**$119.81 million**



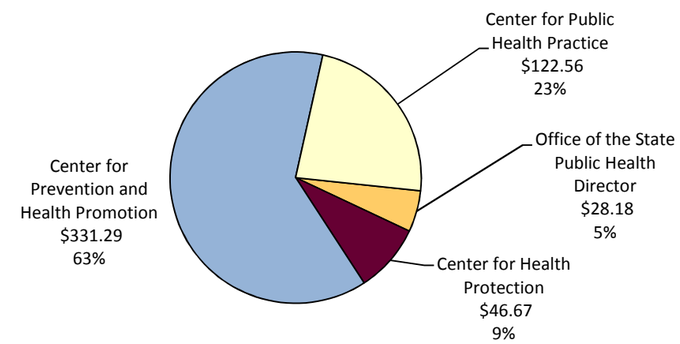
**Oregon Health Authority**
**2015-17 Governor's Budget**
**Total Fund by Program Area**
**$18,791.36 million**



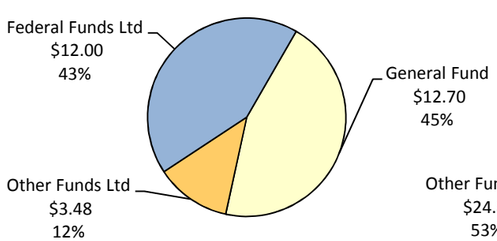

**Public Health Programs**
**Total by Fund Type**
**$528.70 million**



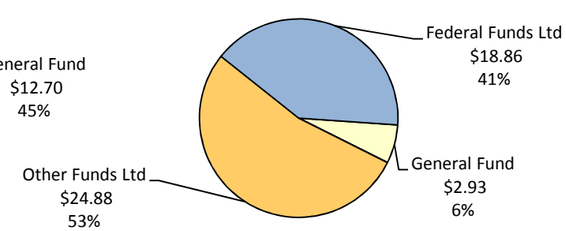

**Public Health Programs**
**Total by Program**
**$528.70 million**



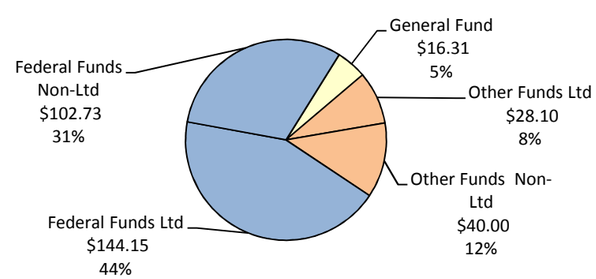

**Office of State Public Health Director**
**$28.18 million**



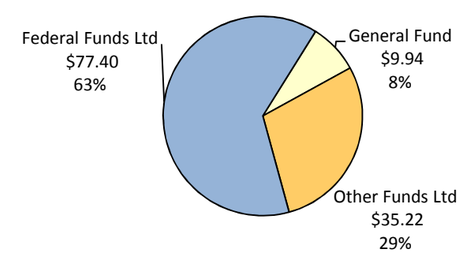

**Center for Health Protection**
**$46.67 million**




**Center for Prevention and Health Promotion**
**$339.29 million**




**Center for Public Health Practice**
**$122.56 million**



# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Public Health Program**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| 2013-15 Leg Adopted Budget | 715 | 694.81 | 516,824,836 | 38,638,376 | - | 86,803,487 | 248,653,922 | 40,000,000 | 102,729,051 |
| 2013-15 Emergency Boards | 4 | 3.09 | 6,254,514 | 1,558,458 | - | 1,360,289 | 3,335,767 | - | - |
| **2013-15 Leg Approved Budget** | **719** | **697.90** | **523,079,350** | **40,196,834** | **-** | **88,163,776** | 251,989,689 | **40,000,000** | **102,729,051** |
| **2015-17 Base Budget Adjustments** | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (34) | (26.28) | (2,141,005) | 333,982 | - | 1,051,579 | (3,526,566) | - | - |
| Estimated Cost of Merit Increase | | | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | | | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | | | - | - | - | - | - | - | - |
| Capital Construction | | | - | - | - | - | - | - | - |
| **Subtotal 2015-17 Base Budget** | **685** | **671.62** | **520,938,345** | **40,530,816** | **-** | **89,215,355** | 248,463,123 | **40,000,000** | **102,729,051** |
| **Essential Packages** | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Vacancy Factor (Increase)/Decrease | - | - | (331,814) | (33,115) | - | (142,596) | (156,103) | - | - |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 390,031 | 44,215 | - | 159,936 | 185,880 | - | - |
| **Subtotal** | **-** | **-** | **58,217** | **11,100** | **-** | **17,340** | 29,777 | **-** | **-** |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | 18 | 14.30 | 2,707,787 | 299,942 | - | 5,078 | 2,402,767 | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | (1,577,600) | (1,177,600) | - | - | (400,000) | - | - |
| **Subtotal** | **18** | **14.30** | **1,130,187** | **(877,658)** | **-** | **5,078** | 2,002,767 | **-** | **-** |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 1,960,404 | 1,005,478 | - | 889,541 | 65,385 | - | - |
| **Subtotal** | **-** | **-** | **1,960,404** | **1,005,478** | **-** | **889,541** | 65,385 | **-** | **-** |

**Oregon Health Authority**
**Public Health Program**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-06-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | 379,956 | - | (379,956) | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | 1,632,660 | 1,633,301 | - | (641) | - | - | - |
| **Subtotal: 2015-17 Current Service Level** | **703** | **685.92** | **525,719,813** | **42,682,993** | **-** | **89,746,717** | 250,561,052 | **40,000,000** | **102,729,051** |

**Oregon Health Authority**
**Public Health Program**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-06-00-00000**

| *Description* | *Positions* | *Full-Time Equivalent (FTE)* | *ALL FUNDS* | *General Fund* | *Lottery Funds* | *Other Funds* | *Federal Funds* | *Nonlimited Other Funds* | *Nonlimited Federal Funds* |
|---|---|---|---|---|---|---|---|---|---|
| **Subtotal: 2015-17 Current Service Level** | **703** | **685.92** | **525,719,813** | **42,682,993** | **-** | **89,746,717** | 250,561,052 | **40,000,000** | **102,729,051** |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | (6) | (6.00) | (2,224,715) | - | - | (2,073,416) | (151,299) | - | - |
| **Modified 2015-17 Current Service Level** | **697** | **679.92** | **523,495,098** | **42,682,993** | **-** | **87,673,301** | 250,409,753 | **40,000,000** | **102,729,051** |
| 080 - E-Boards | | | | | | | | | |
| 080 - May 2014 E-Board | - | - | - | - | - | - | - | - | - |
| 081 - September 2014 E-Board | 4 | 4.00 | 1,462,991 | - | - | 1,462,991 | - | - | - |
| **Subtotal Emergency Board Packages** | **4** | **4.00** | **1,462,991** | **-** | **-** | **1,462,991** | - | **-** | **-** |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | (4,800,000) | (800,000) | - | (4,000,000) | - | - | - |
| 091 - December 2014 Rebalance | 6 | 3.43 | 6,084,729 | - | - | 4,087,020 | 1,997,709 | - | - |
| 501 - Measure 91 Implementation | 2 | 2.00 | 419,285 | - | - | 419,285 | - | - | - |
| 201 - REaL-D | - | - | - | - | - | - | - | - | - |
| 401 - Promote and Support Community Based Services | - | - | - | - | - | - | - | - | - |
| 402 - Promote Innovative Health Sys Solutions - HP | - | - | - | - | - | - | - | - | - |
| 403 - Promote Innovative Health System Solutions | - | - | - | - | - | - | - | - | - |
| 404 - Improve the Lifelong Health of all Oregonians | - | - | - | - | - | - | - | - | - |
| 405 - Prev'g lead'g causes of death, inj & disease | - | - | - | - | - | - | - | - | - |
| 406 - PH Emergency Preparedness | - | - | - | - | - | - | - | - | - |
| 407 - PH Radiation Protection Fee & Cap Increase | 3 | 3.00 | 593,755 | - | - | 593,755 | - | - | - |
| 408 - PH Senate Bill 333 Fee Increases | 3 | 3.00 | 1,436,336 | - | - | 1,436,336 | - | - | - |
| 409 - Transparency and Engagement | - | - | - | - | - | - | - | - | - |
| **Subtotal Policy Packages** | **14** | **11.43** | **3,734,105** | **(800,000)** | **-** | **2,536,396** | 1,997,709 | **-** | **-** |

# Summary of 2015-17 Biennium Budget

**Oregon Health Authority**
**Public Health Program**
**2015-17 Biennium**

**Governor's Budget**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|---|---|---|---|---|---|---|---|---|---|
| **Total 2015-17 Governor's Budget** | **715** | **695.35** | **528,692,194** | **41,882,993** | **-** | **91,672,688** | 252,407,462 | **40,000,000** | **102,729,051** |
| Percentage Change From 2013-15 Leg Approved Budget | -0.56% | -0.37% | 1.07% | 4.19% | - | 3.98% | 0.17% | - | - |
| Percentage Change From 2015-17 Current Service Level | 1.71% | 1.37% | 0.57% | -1.87% | - | 2.15% | 0.74% | - | - |

| Oregon Health Authority<br>Public Health<br>44300-020-06-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| **GENERAL FUND**<br>**REVENUES**<br>General Fund Appropriation | 0050 | GF | 35,946,937 | 40,196,834 | 43,765,398 | 41,882,993 |
| **TOTAL REVENUES** | | **GF** | **35,946,937** | **40,196,834** | **43,765,398** | **41,882,993** |
| **TOTAL GENERAL FUNDS** | | **GF** | **35,946,937** | **40,196,834** | **43,765,398** | **41,882,993** |
| **OTHER FUNDS**<br>**REVENUES**<br>Beginning Balance | 0025 | OF | 6,631,077 | 8,732,296 | 8,548,254 | 8,548,254 |
| Beginning Balance Adjustment | 0030 | OF | 1,500,000 | (1,621,832) | - | 4,845,000 |
| Other Selective Taxes | 0190 | OF | 1,380,000 | - | - | - |
| Business License & Fees | 0205 | OF | 12,821,007 | 5,879,041 | 6,472,796 | 6,472,796 |
| Non-Business License & Fees | 0210 | OF | 13,006,844 | 14,103,277 | 14,103,277 | 15,941,023 |
| Charges for Services | 0410 | OF | 18,285,609 | 24,517,323 | 24,517,323 | 24,517,323 |
| Administrative Service Charges | 0415 | OF | 115,457 | - | - | - |
| Fines and Forfeitures | 0505 | OF | 32,907 | - | - | - |
| Interest Income | 0605 | OF | 14,375 | 5,568 | 5,568 | 5,568 |
| Sales Income | 0705 | OF | 12,503 | 5,362,826 | 5,362,826 | 5,362,826 |
| Donations | 0905 | OF | 1,281,006 | 283,030 | 283,030 | 283,030 |
| Grants (Non-FED) | 0910 | OF | - | 1,188,283 | 1,188,283 | 1,188,283 |

| Oregon Health Authority<br>Public Health<br>44300-020-06-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Loan Repayment | 0925 | OF | - | - | - | - |
| Insurance Premiums | 0965 | OF | - | - | - | - |
| Other Revenues | 0975 | OF | 37,880,765 | 61,540,875 | 70,204,139 | 57,779,920 |
| **TOTAL REVENUES** | | **OF** | **92,961,550** | **119,990,687** | **130,685,496** | **124,944,023** |
| **TRANSFER IN** | | | | | | |
| Transfer in Intrafund | 1010 | OF | 25,025,844 | - | - | - |
| Transfer in Revenue Department | 1150 | OF | 331,824 | 16,160,091 | 15,403,573 | 14,720,055 |
| Transfer in Military Department | 1248 | OF | 403,922 | - | - | - |
| Transfer in Department of Energy | 1330 | OF | 82,345 | 49,924 | 49,924 | 49,924 |
| Transfer from Environmental Quality | 1340 | OF | 120,000 | - | - | - |
| Transfer in Consumer Business Services | 1440 | OF | - | 362,900 | - | - |
| Transfer in Agriculture | 1603 | OF | 110,470 | 103,783 | 106,897 | 106,897 |
| Transfer in Department of Transportation | 1730 | OF | 38,558 | - | - | - |
| Transfer in Health Relations Licensing Board | 1833 | OF | 37,823 | 36,450 | 36,450 | 36,450 |
| Transfer in Board of Dentistry | 1834 | OF | 164,970 | 175,500 | 176,000 | 176,000 |
| Transfer in Oregon Medical Board | 1847 | OF | 694,170 | 693,955 | 755,680 | 755,680 |
| Transfer in Board of Nursing | 1851 | OF | 109,395 | 106,885 | 135,430 | 135,430 |
| Transfer in Board of Pharmacy | 1855 | OF | 256,118 | 260,145 | 283,590 | 283,590 |

| Oregon Health Authority<br>Public Health<br>44300-020-06-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Transfer in Housing and Commercial Services | 1914 | OF | 38,168 | - | - | - |
| **TOTAL TRANSFERS IN** | | **OF** | **27,413,607** | **17,949,633** | **16,947,544** | **16,264,026** |
| **TRANSFER OUT** | | | | | | |
| Transfer to Intrafund | 2010 | OF | (8,367,778) | - | - | - |
| Transfer to General Fund | 2060 | OF | (1,500,000) | - | - | - |
| Transfer to Department of Human Services | 2100 | OF | (1,887,485) | - | - | - |
| Transfer to Oregon Health Authority | 2443 | OF | (17,925) | - | - | - |
| Transfer to Oregon Health and Science University | 2590 | OF | (3,500) | - | - | - |
| Transfer to Health Relations Licensing Board | 2833 | OF | - | (987,107) | (987,107) | (987,107) |
| **TOTAL TRANSFERS OUT** | | **OF** | **(11,776,688)** | **(987,107)** | **(987,107)** | **(987,107)** |
| **TOTAL OTHER FUNDS** | | **OF** | **108,598,469** | **136,953,213** | **146,645,933** | **140,220,942** |
| **FEDERAL FUNDS REVENUES** | | | | | | |
| Beginning Balance | 0025 | FF | - | 184,602 | - | - |
| Federal Funds Revenue | 0995 | FF | 355,688,139 | 373,531,734 | 373,175,258 | 374,852,389 |
| **TOTAL REVENUES** | | **FF** | **355,688,139** | **373,531,734** | **373,175,258** | **374,852,389** |
| **TRANSFERS OUT** | | | | | | |
| Transfer to Economic & Community Development | 2123 | FF | (27,190,201) | (17,430,791) | (18,284,000) | (18,284,000) |

| Oregon Health Authority<br>Public Health<br>44300-020-06-00-00000 | 2015-17 Revenue Report | | | | | |
|---|---|---|---|---|---|---|
| **SOURCE** | **COMP SOURCE GROUP** | **FUND** | **2011-13 Actuals** | **2013-15 Legislatively Approved Budget** | **2015-17 Agency Request Budget** | **2015-17 Governor's Budget** |
| Tsfr To Energy, Dept of | 2330 | FF | (100,000) | - | - | - |
| Transfer to Environmental Quality | 2340 | FF | (1,291,183) | (1,566,805) | (1,431,876) | (1,431,876) |
| Transfer to Oregon Health and Science University | 2590 | FF | (3,674,490) | - | - | - |
| **TOTAL TRANSFERS OUT** | | **FF** | **(32,255,874)** | **(18,997,596)** | **(19,715,876)** | **(19,715,876)** |
| **TOTAL FEDERAL FUNDS** | | **FF** | **323,432,265** | **354,718,740** | **353,459,382** | **355,136,513** |
| **TOTAL AVAILABLE REVENUES** | | **TF** | **467,977,671** | **531,868,787** | **543,870,713** | **537,240,448** |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-020-06-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Other Selective Taxes | 1,380,000 | - | - | - | - | - |
| Business Lic and Fees | 12,821,007 | 7,379,041 | 5,879,041 | 6,472,796 | 6,472,796 | - |
| Non-business Lic. and Fees | 13,006,844 | 16,139,349 | 14,103,277 | 14,103,277 | 15,941,023 | - |
| Charges for Services | 18,285,609 | 24,517,323 | 24,517,323 | 24,517,323 | 24,517,323 | - |
| Admin and Service Charges | 115,457 | - | - | - | - | - |
| Fines and Forfeitures | 32,907 | - | - | - | - | - |
| Interest Income | 14,375 | 5,568 | 5,568 | 5,568 | 5,568 | - |
| Sales Income | 12,503 | 5,362,826 | 5,362,826 | 5,362,826 | 5,362,826 | - |
| Donations | 1,281,006 | 283,030 | 283,030 | 283,030 | 283,030 | - |
| Grants (Non-Fed) | - | 1,188,283 | 1,188,283 | 1,188,283 | 1,188,283 | - |
| Other Revenues | 7,562,545 | 16,644,514 | 21,540,875 | 30,204,139 | 17,779,920 | - |
| Transfer In - Intrafund | 25,025,844 | - | - | - | - | - |
| Tsfr From Revenue, Dept of | 331,824 | 16,160,091 | 16,160,091 | 15,403,573 | 14,720,055 | - |
| Tsfr From Military Dept, Or | 403,922 | - | - | - | - | - |
| Tsfr From Energy, Dept of | 82,345 | 49,924 | 49,924 | 49,924 | 49,924 | - |
| Tsfr From Environmental Quality | 120,000 | - | - | - | - | - |
| Tsfr From Consumer/Bus Svcs | - | 362,900 | 362,900 | - | - | - |
| Tsfr From Agriculture, Dept of | 110,470 | 103,783 | 103,783 | 106,897 | 106,897 | - |
| Tsfr From Transportation, Dept | 38,558 | - | - | - | - | - |
| Tsfr From Health Rel Lic Bds | 37,823 | 36,450 | 36,450 | 36,450 | 36,450 | - |
| Tsfr From Board of Dentistry | 164,970 | 175,500 | 175,500 | 176,000 | 176,000 | - |
| Tsfr From Oregon Medical Board | 694,170 | 693,955 | 693,955 | 755,680 | 755,680 | - |
| Tsfr From Nursing, Bd of | 109,395 | 106,885 | 106,885 | 135,430 | 135,430 | - |
| Tsfr From Board of Pharmacy | 256,118 | 260,145 | 260,145 | 283,590 | 283,590 | - |

# DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Oregon Health Authority** — **Agency Number: 44300**
**2015-17 Biennium** — **Cross Reference Number: 44300-020-06-00-00000**

| Source | 2011-13 Actuals | 2013-15 Leg Adopted Budget | 2013-15 Leg Approved Budget | 2015-17 Agency Request Budget | 2015-17 Governor's Budget | 2015-17 Leg Adopted Budget |
|---|---|---|---|---|---|---|
| **Other Funds** | | | | | | |
| Tsfr From Housing and Com Svcs | 38,168 | - | - | - | - | - |
| Transfer Out - Intrafund | (8,367,778) | - | - | - | - | - |
| Transfer to General Fund | (1,500,000) | - | - | - | - | - |
| Tsfr To Human Svcs, Dept of | (1,887,485) | - | - | - | - | - |
| Tsfr To Oregon Health Authority | (17,925) | - | - | - | - | - |
| Tsfr To Or Health & Science U | (3,500) | - | - | - | - | - |
| Tsfr To Health Rel Lic Bds | - | (987,107) | (987,107) | (987,107) | (987,107) | - |
| **Total Other Funds** | **$70,149,172** | **$88,482,460** | **$89,842,749** | **$98,097,679** | **$86,827,688** | **-** |
| **Federal Funds** | | | | | | |
| Federal Funds | 251,601,949 | 267,466,916 | 270,802,683 | 270,446,207 | 272,123,338 | - |
| Tsfr To OR Business Development | (27,190,201) | (17,430,791) | (17,430,791) | (18,284,000) | (18,284,000) | - |
| Tsfr To Energy, Dept of | (100,000) | - | - | - | - | - |
| Tsfr To Environmental Quality | (1,291,183) | (1,566,805) | (1,566,805) | (1,431,876) | (1,431,876) | - |
| Tsfr To Or Health & Science U | (3,674,490) | - | - | - | - | - |
| **Total Federal Funds** | **$219,346,075** | **$248,469,320** | **$251,805,087** | **$250,730,331** | **$252,407,462** | **-** |
| **Nonlimited Other Funds** | | | | | | |
| Other Revenues | 30,318,220 | 40,000,000 | 40,000,000 | 40,000,000 | 40,000,000 | - |
| **Total Nonlimited Other Funds** | **$30,318,220** | **$40,000,000** | **$40,000,000** | **$40,000,000** | **$40,000,000** | **-** |
| **Nonlimited Federal Funds** | | | | | | |
| Federal Funds | 104,086,190 | 102,729,051 | 102,729,051 | 102,729,051 | 102,729,051 | - |
| **Total Nonlimited Federal Funds** | **$104,086,190** | **$102,729,051** | **$102,729,051** | **$102,729,051** | **$102,729,051** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Public Health Program**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-020-06-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 11,100 | - | - | - | - | - | 11,100 |
| Other Revenues | - | - | 17,340 | - | - | - | 17,340 |
| Federal Funds | - | - | - | 29,777 | - | - | 29,777 |
| **Total Revenues** | **$11,100** | **-** | **$17,340** | **$29,777** | **-** | **-** | **$58,217** |
| **Personal Services** | | | | | | | |
| Temporary Appointments | 1,156 | - | 3,524 | 388 | - | - | 5,068 |
| Overtime Payments | 502 | - | 506 | 1,063 | - | - | 2,071 |
| Shift Differential | 2 | - | 35 | 26 | - | - | 63 |
| All Other Differential | 6,752 | - | 3,530 | 4,690 | - | - | 14,972 |
| Public Employees' Retire Cont | 1,145 | - | 642 | 912 | - | - | 2,699 |
| Pension Obligation Bond | 34,016 | - | 151,118 | 178,331 | - | - | 363,465 |
| Social Security Taxes | 642 | - | 581 | 470 | - | - | 1,693 |
| Vacancy Savings | (33,115) | - | (142,596) | (156,103) | - | - | (331,814) |
| **Total Personal Services** | **$11,100** | **-** | **$17,340** | **$29,777** | **-** | **-** | **$58,217** |
| **Capital Outlay** | | | | | | | |
| Office Furniture and Fixtures | - | - | - | - | - | - | - |
| **Total Capital Outlay** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 11,100 | - | 17,340 | 29,777 | - | - | 58,217 |
| **Total Expenditures** | **$11,100** | **-** | **$17,340** | **$29,777** | **-** | **-** | **$58,217** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Public Health Program**

**Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor** | **Cross Reference Number: 44300-020-06-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 299,942 | - | - | - | - | - | 299,942 |
| Fines and Forfeitures | - | - | - | - | - | - | - |
| Other Revenues | - | - | 5,078 | - | - | - | 5,078 |
| Federal Funds | - | - | - | 2,402,767 | - | - | 2,402,767 |
| **Total Revenues** | **$299,942** | **-** | **$5,078** | **$2,402,767** | **-** | **-** | **$2,707,787** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | - | 1,592,781 | - | - | 1,592,781 |
| Empl. Rel. Bd. Assessments | - | - | - | 632 | - | - | 632 |
| Public Employees' Retire Cont | - | - | - | 251,500 | - | - | 251,500 |
| Social Security Taxes | - | - | - | 121,848 | - | - | 121,848 |
| Worker's Comp. Assess. (WCD) | - | - | - | 982 | - | - | 982 |
| Flexible Benefits | - | - | - | 435,024 | - | - | 435,024 |
| **Total Personal Services** | **-** | **-** | **-** | **$2,402,767** | **-** | **-** | **$2,402,767** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 10,569 | - | - | - | - | - | 10,569 |
| Employee Training | 603 | - | - | - | - | - | 603 |
| Office Expenses | 4,166 | - | 5,078 | - | - | - | 9,244 |
| Telecommunications | 1,762 | - | - | - | - | - | 1,762 |
| Other Services and Supplies | 1,199 | - | - | - | - | - | 1,199 |
| **Total Services & Supplies** | **$18,299** | **-** | **$5,078** | **-** | **-** | **-** | **$23,377** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 021 - Phase-in**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Dist to Counties | 281,643 | - | - | - | - | - | 281,643 |
| **Total Special Payments** | **$281,643** | **-** | **-** | **-** | **-** | **-** | **$281,643** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 299,942 | - | 5,078 | 2,402,767 | - | - | 2,707,787 |
| **Total Expenditures** | **$299,942** | **-** | **$5,078** | **$2,402,767** | **-** | **-** | **$2,707,787** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 18 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **18** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 14.30 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **14.30** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 022 - Phase-out Pgm & One-time Costs**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (1,177,600) | - | - | - | - | - | (1,177,600) |
| Federal Funds | - | - | - | (400,000) | - | - | (400,000) |
| **Total Revenues** | **($1,177,600)** | **-** | **-** | **($400,000)** | **-** | **-** | **($1,577,600)** |
| **Services & Supplies** | | | | | | | |
| Professional Services | (400,000) | - | - | (400,000) | - | - | (800,000) |
| **Total Services & Supplies** | **($400,000)** | **-** | **-** | **($400,000)** | **-** | **-** | **($800,000)** |
| **Special Payments** | | | | | | | |
| Dist to Counties | (777,600) | - | - | - | - | - | (777,600) |
| **Total Special Payments** | **($777,600)** | **-** | **-** | **-** | **-** | **-** | **($777,600)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (1,177,600) | - | - | (400,000) | - | - | (1,577,600) |
| **Total Expenditures** | **($1,177,600)** | **-** | **-** | **($400,000)** | **-** | **-** | **($1,577,600)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 859,640 | - | - | - | - | - | 859,640 |
| Other Revenues | - | - | 806,852 | - | - | - | 806,852 |
| Federal Funds | - | - | - | (7,670) | - | - | (7,670) |
| Tsfr From Consumer/Bus Svcs | - | - | 10,887 | - | - | - | 10,887 |
| Tsfr From Agriculture, Dept of | - | - | - | 685 | - | - | 685 |
| **Total Revenues** | **$859,640** | **-** | **$817,739** | **($6,985)** | **-** | **-** | **$1,670,394** |
| **Transfers Out** | | | | | | | |
| Tsfr To Dept Post-Secondary Education | - | - | - | - | - | - | - |
| **Total Transfers Out** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | 12,168 | - | 6,434 | - | - | - | 18,602 |
| Out of State Travel | 883 | - | 332 | - | - | - | 1,215 |
| Employee Training | 1,750 | - | 1,329 | - | - | - | 3,079 |
| Office Expenses | 11,116 | - | 11,251 | - | - | - | 22,367 |
| Telecommunications | 3,810 | - | 2,951 | - | - | - | 6,761 |
| Data Processing | - | - | 590 | - | - | - | 590 |
| Publicity and Publications | 16,770 | - | 2,004 | - | - | - | 18,774 |
| Professional Services | 26,448 | - | 156,176 | - | - | - | 182,624 |
| IT Professional Services | - | - | 18,203 | - | - | - | 18,203 |
| Attorney General | 7,293 | - | 50,344 | (7,670) | - | - | 49,967 |
| Dues and Subscriptions | 400 | - | 184 | - | - | - | 584 |
| Medical Services and Supplies | 39,220 | - | 442,458 | - | - | - | 481,678 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 031 - Standard Inflation**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Agency Program Related S and S | 38,515 | - | 3,137 | - | - | - | 41,652 |
| Other Services and Supplies | 2,715 | - | 3,381 | - | - | - | 6,096 |
| Expendable Prop 250 - 5000 | 1,312 | - | 1,943 | - | - | - | 3,255 |
| IT Expendable Property | - | - | 798 | - | - | - | 798 |
| **Total Services & Supplies** | **$162,400** | **-** | **$701,515** | **($7,670)** | **-** | **-** | **$856,245** |
| **Special Payments** | | | | | | | |
| Dist to Counties | 597,726 | - | 116,224 | - | - | - | 713,950 |
| Dist to Other Gov Unit | 2,148 | - | - | - | - | - | 2,148 |
| Dist to Non-Gov Units | 4,294 | - | - | - | - | - | 4,294 |
| Dist to Individuals | 93,072 | - | - | - | - | - | 93,072 |
| Spc Pmt to Agriculture, Dept of | - | - | - | 685 | - | - | 685 |
| **Total Special Payments** | **$697,240** | **-** | **$116,224** | **$685** | **-** | **-** | **$814,149** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 859,640 | - | 817,739 | (6,985) | - | - | 1,670,394 |
| **Total Expenditures** | **$859,640** | **-** | **$817,739** | **($6,985)** | **-** | **-** | **$1,670,394** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 145,838 | - | - | - | - | - | 145,838 |
| Other Revenues | - | - | 65,633 | - | - | - | 65,633 |
| Tsfr From Consumer/Bus Svcs | - | - | 6,169 | - | - | - | 6,169 |
| Tsfr From Agriculture, Dept of | - | - | - | 72,370 | - | - | 72,370 |
| **Total Revenues** | **$145,838** | **-** | **$71,802** | **$72,370** | **-** | **-** | **$290,010** |
| **Services & Supplies** | | | | | | | |
| Professional Services | 3,868 | - | 12,083 | - | - | - | 15,951 |
| Medical Services and Supplies | 22,224 | - | - | - | - | - | 22,224 |
| **Total Services & Supplies** | **$26,092** | **-** | **$12,083** | **-** | **-** | **-** | **$38,175** |
| **Special Payments** | | | | | | | |
| Dist to Counties | 118,005 | - | 59,719 | - | - | - | 177,724 |
| Dist to Individuals | 1,741 | - | - | - | - | - | 1,741 |
| Spc Pmt to Agriculture, Dept of | - | - | - | 72,370 | - | - | 72,370 |
| **Total Special Payments** | **$119,746** | **-** | **$59,719** | **$72,370** | **-** | **-** | **$251,835** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 145,838 | - | 71,802 | 72,370 | - | - | 290,010 |
| **Total Expenditures** | **$145,838** | **-** | **$71,802** | **$72,370** | **-** | **-** | **$290,010** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 032 - Above Standard Inflation**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 050 - Fundshifts**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 379,956 | - | - | - | - | - | 379,956 |
| Tsfr From Consumer/Bus Svcs | - | - | (379,956) | - | - | - | (379,956) |
| **Total Revenues** | **$379,956** | **-** | **($379,956)** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Dist to Counties | 379,956 | - | (379,956) | - | - | - | - |
| **Total Special Payments** | **$379,956** | **-** | **($379,956)** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 379,956 | - | (379,956) | - | - | - | - |
| **Total Expenditures** | **$379,956** | **-** | **($379,956)** | **-** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | 1,633,301 | - | - | - | - | - | 1,633,301 |
| Other Revenues | - | - | (641) | - | - | - | (641) |
| Federal Funds | - | - | - | - | - | - | - |
| **Total Revenues** | **$1,633,301** | **-** | **($641)** | **-** | **-** | **-** | **$1,632,660** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | - | - | - | - | - |
| Temporary Appointments | - | - | - | - | - | - | - |
| Overtime Payments | - | - | - | - | - | - | - |
| Shift Differential | - | - | - | - | - | - | - |
| All Other Differential | - | - | - | - | - | - | - |
| Empl. Rel. Bd. Assessments | - | - | - | - | - | - | - |
| Public Employees' Retire Cont | - | - | - | - | - | - | - |
| Pension Obligation Bond | - | - | - | - | - | - | - |
| Social Security Taxes | - | - | - | - | - | - | - |
| Worker's Comp. Assess. (WCD) | - | - | - | - | - | - | - |
| Mass Transit Tax | (14,699) | - | (641) | - | - | - | (15,340) |
| Flexible Benefits | - | - | - | - | - | - | - |
| Vacancy Savings | - | - | - | - | - | - | - |
| **Total Personal Services** | **($14,699)** | **-** | **($641)** | **-** | **-** | **-** | **($15,340)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 6 | - | - | - | 6 |
| Out of State Travel | - | - | - | - | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Employee Training | 2 | - | 74,931 | 90 | - | - | 75,023 |
| Office Expenses | (4) | - | - | - | - | - | (4) |
| Telecommunications | 1 | - | 5,788 | - | - | - | 5,789 |
| State Gov. Service Charges | - | - | - | - | - | - | - |
| Data Processing | - | - | - | - | - | - | - |
| Publicity and Publications | - | - | - | - | - | - | - |
| Professional Services | - | - | - | 300,850 | - | - | 300,850 |
| IT Professional Services | - | - | - | - | - | - | - |
| Attorney General | 1 | - | - | - | - | - | 1 |
| Employee Recruitment and Develop | - | - | 5,000 | - | - | - | 5,000 |
| Dues and Subscriptions | 2 | - | - | - | - | - | 2 |
| Facilities Maintenance | - | - | 333 | - | - | - | 333 |
| Medical Services and Supplies | (4) | - | - | - | - | - | (4) |
| Other Care of Residents and Patients | - | - | - | - | - | - | - |
| Agency Program Related S and S | 1 | - | (86,058) | (250,000) | - | - | (336,057) |
| Intra-agency Charges | - | - | - | (50,940) | - | - | (50,940) |
| Other Services and Supplies | 1 | - | (15,824) | - | - | - | (15,823) |
| Expendable Prop 250 - 5000 | - | - | - | - | - | - | - |
| IT Expendable Property | - | - | 15,824 | - | - | - | 15,824 |
| **Total Services & Supplies** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Dist to Counties | (1) | - | (6,052) | (329,769) | - | - | (335,822) |
| Dist to Other Gov Unit | 1,648,000 | - | - | 198,735 | - | - | 1,846,735 |
| Dist to Non-Gov Units | 1 | - | (37,273) | (28,068) | - | - | (65,340) |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Special Payments** | | | | | | | |
| Dist to Local School Districts | - | - | - | - | - | - | - |
| Spc Pmt to Environmental Quality | - | - | - | 80,858 | - | - | 80,858 |
| Spc Pmt to Agriculture, Dept of | - | - | 43,325 | 78,244 | - | - | 121,569 |
| **Total Special Payments** | **$1,648,000** | **-** | **-** | **-** | **-** | **-** | **$1,648,000** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | 1,633,301 | - | (641) | - | - | - | 1,632,660 |
| **Total Expenditures** | **$1,633,301** | **-** | **($641)** | **-** | **-** | **-** | **$1,632,660** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | - |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | - |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 070 - Revenue Shortfalls**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | (2,076,530) | - | - | - | (2,076,530) |
| Federal Funds | - | - | - | (78,244) | - | - | (78,244) |
| Tsfr From Agriculture, Dept of | - | - | 3,114 | (73,055) | - | - | (69,941) |
| **Total Revenues** | **-** | **-** | **($2,073,416)** | **($151,299)** | **-** | **-** | **($2,224,715)** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | (628,308) | (49,932) | - | - | (678,240) |
| All Other Differential | - | - | 91,237 | 62,343 | - | - | 153,580 |
| Empl. Rel. Bd. Assessments | - | - | (242) | (22) | - | - | (264) |
| Public Employees' Retire Cont | - | - | (84,802) | 1,959 | - | - | (82,843) |
| Social Security Taxes | - | - | (41,084) | 949 | - | - | (40,135) |
| Worker's Comp. Assess. (WCD) | - | - | (380) | (34) | - | - | (414) |
| Flexible Benefits | - | - | (167,904) | (15,264) | - | - | (183,168) |
| Reconciliation Adjustment | - | - | (1) | 1 | - | - | - |
| **Total Personal Services** | **-** | **-** | **($831,484)** | **-** | **-** | **-** | **($831,484)** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | (55,613) | - | - | - | (55,613) |
| Employee Training | - | - | (24,435) | - | - | - | (24,435) |
| Office Expenses | - | - | (4,094) | - | - | - | (4,094) |
| Telecommunications | - | - | (18,024) | - | - | - | (18,024) |
| Medical Services and Supplies | - | - | (1,103,581) | - | - | - | (1,103,581) |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 070 - Revenue Shortfalls**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Other Services and Supplies | - | - | 7,140 | - | - | - | 7,140 |
| **Total Services & Supplies** | **-** | **-** | **($1,198,607)** | **-** | **-** | **-** | **($1,198,607)** |
| **Special Payments** | | | | | | | |
| Spc Pmt to Agriculture, Dept of | - | - | (43,325) | (151,299) | - | - | (194,624) |
| **Total Special Payments** | **-** | **-** | **($43,325)** | **($151,299)** | **-** | **-** | **($194,624)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | (2,073,416) | (151,299) | - | - | (2,224,715) |
| **Total Expenditures** | **-** | **-** | **($2,073,416)** | **($151,299)** | **-** | **-** | **($2,224,715)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | (6) |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **(6)** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | (6.00) |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **(6.00)** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 081 - September 2014 E-Board**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Non-business Lic. and Fees | - | - | 1,462,991 | - | - | - | 1,462,991 |
| **Total Revenues** | **-** | **-** | **$1,462,991** | **-** | **-** | **-** | **$1,462,991** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 427,656 | - | - | - | 427,656 |
| All Other Differential | - | - | 12,792 | - | - | - | 12,792 |
| Empl. Rel. Bd. Assessments | - | - | 176 | - | - | - | 176 |
| Public Employees' Retire Cont | - | - | 69,547 | - | - | - | 69,547 |
| Social Security Taxes | - | - | 33,695 | - | - | - | 33,695 |
| Worker's Comp. Assess. (WCD) | - | - | 276 | - | - | - | 276 |
| Flexible Benefits | - | - | 122,112 | - | - | - | 122,112 |
| **Total Personal Services** | **-** | **-** | **$666,254** | **-** | **-** | **-** | **$666,254** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 44,114 | - | - | - | 44,114 |
| Out of State Travel | - | - | 150 | - | - | - | 150 |
| Employee Training | - | - | 10,000 | - | - | - | 10,000 |
| Office Expenses | - | - | 10,636 | - | - | - | 10,636 |
| Telecommunications | - | - | 4,942 | - | - | - | 4,942 |
| State Gov. Service Charges | - | - | 44,530 | - | - | - | 44,530 |
| Publicity and Publications | - | - | 3,438 | - | - | - | 3,438 |
| Professional Services | - | - | 169,326 | - | - | - | 169,326 |
| Attorney General | - | - | 323,492 | - | - | - | 323,492 |
| Dues and Subscriptions | - | - | 2 | - | - | - | 2 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 081 - September 2014 E-Board**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Facilities Maintenance | - | - | 1,128 | - | - | - | 1,128 |
| Agency Program Related S and S | - | - | 166,654 | - | - | - | 166,654 |
| Other Services and Supplies | - | - | 16,948 | - | - | - | 16,948 |
| Expendable Prop 250 - 5000 | - | - | 1,377 | - | - | - | 1,377 |
| **Total Services & Supplies** | **-** | **-** | **$796,737** | **-** | **-** | **-** | **$796,737** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 1,462,991 | - | - | - | 1,462,991 |
| **Total Expenditures** | **-** | **-** | **$1,462,991** | **-** | **-** | **-** | **$1,462,991** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 4 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **4** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 4.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **4.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 090 - Analyst Adjustments**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | (800,000) | - | - | - | - | - | (800,000) |
| Other Revenues | - | - | (4,000,000) | - | - | - | (4,000,000) |
| **Total Revenues** | **($800,000)** | **-** | **($4,000,000)** | **-** | **-** | **-** | **($4,800,000)** |
| **Services & Supplies** | | | | | | | |
| Professional Services | - | - | (1,760,000) | - | - | - | (1,760,000) |
| Other Services and Supplies | - | - | (480,000) | - | - | - | (480,000) |
| **Total Services & Supplies** | **-** | **-** | **($2,240,000)** | **-** | **-** | **-** | **($2,240,000)** |
| **Special Payments** | | | | | | | |
| Dist to Counties | (2,598,028) | - | (1,760,000) | - | - | - | (4,358,028) |
| Dist to Other Gov Unit | 500,000 | - | - | - | - | - | 500,000 |
| Dist to Individuals | (201,972) | - | - | - | - | - | (201,972) |
| Other Special Payments | 1,500,000 | - | - | - | - | - | 1,500,000 |
| **Total Special Payments** | **($800,000)** | **-** | **($1,760,000)** | **-** | **-** | **-** | **($2,560,000)** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | (800,000) | - | (4,000,000) | - | - | - | (4,800,000) |
| **Total Expenditures** | **($800,000)** | **-** | **($4,000,000)** | **-** | **-** | **-** | **($4,800,000)** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Beginning Balance** | | | | | | | |
| Beginning Balance Adjustment | - | - | 4,845,000 | - | - | - | 4,845,000 |
| **Total Beginning Balance** | **-** | **-** | **$4,845,000** | **-** | **-** | **-** | **$4,845,000** |
| **Revenues** | | | | | | | |
| Non-business Lic. and Fees | - | - | (44,530) | - | - | - | (44,530) |
| Other Revenues | - | - | (29,932) | - | - | - | (29,932) |
| Federal Funds | - | - | - | 1,997,709 | - | - | 1,997,709 |
| Tsfr From Revenue, Dept of | - | - | (683,518) | - | - | - | (683,518) |
| **Total Revenues** | **-** | **-** | **($757,980)** | **$1,997,709** | **-** | **-** | **$1,239,729** |
| **Transfers Out** | | | | | | | |
| Transfer Out - Indirect Cost | - | - | - | - | - | - | - |
| **Total Transfers Out** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | - | 340,606 | - | - | 340,606 |
| All Other Differential | - | - | - | 38,786 | - | - | 38,786 |
| Empl. Rel. Bd. Assessments | - | - | - | 164 | - | - | 164 |
| Public Employees' Retire Cont | - | - | - | 59,906 | - | - | 59,906 |
| Social Security Taxes | - | - | - | 29,023 | - | - | 29,023 |
| Unemployment Assessments | - | - | - | - | - | - | - |
| Worker's Comp. Assess. (WCD) | - | - | - | 254 | - | - | 254 |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 091 - December 2014 Rebalance**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Personal Services** | | | | | | | |
| Flexible Benefits | - | - | - | 113,208 | - | - | 113,208 |
| **Total Personal Services** | **-** | **-** | **-** | **$581,947** | **-** | **-** | **$581,947** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | - | 9,544 | - | - | 9,544 |
| Employee Training | - | - | - | 2,627 | - | - | 2,627 |
| Office Expenses | - | - | - | 18,157 | - | - | 18,157 |
| Telecommunications | - | - | - | 7,679 | - | - | 7,679 |
| State Gov. Service Charges | - | - | (44,530) | - | - | - | (44,530) |
| Professional Services | - | - | 4,161,482 | 953,750 | - | - | 5,115,232 |
| Agency Program Related S and S | (80,246) | - | - | 360,522 | - | - | 280,276 |
| Other Services and Supplies | - | - | (29,932) | 63,483 | - | - | 33,551 |
| **Total Services & Supplies** | **($80,246)** | **-** | **$4,087,020** | **$1,415,762** | **-** | **-** | **$5,422,536** |
| **Special Payments** | | | | | | | |
| Dist to Counties | 80,246 | - | - | - | - | - | 80,246 |
| **Total Special Payments** | **$80,246** | **-** | **-** | **-** | **-** | **-** | **$80,246** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 4,087,020 | 1,997,709 | - | - | 6,084,729 |
| **Total Expenditures** | **-** | **-** | **$4,087,020** | **$1,997,709** | **-** | **-** | **$6,084,729** |

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 6 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **6** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 3.43 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **3.43** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Public Health Program**

**Pkg: 404 - Improve the Lifelong Health of all Oregonians** | **Cross Reference Number: 44300-020-06-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | - | - | - | - | - |
| Federal Funds | - | - | - | - | - | - | - |
| **Total Revenues** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | - | - | - | - | - |
| All Other Differential | - | - | - | - | - | - | - |
| Empl. Rel. Bd. Assessments | - | - | - | - | - | - | - |
| Public Employees' Retire Cont | - | - | - | - | - | - | - |
| Social Security Taxes | - | - | - | - | - | - | - |
| Worker's Comp. Assess. (WCD) | - | - | - | - | - | - | - |
| Mass Transit Tax | - | - | - | - | - | - | - |
| Flexible Benefits | - | - | - | - | - | - | - |
| **Total Personal Services** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | - | - | - | - | - |
| Employee Training | - | - | - | - | - | - | - |
| Office Expenses | - | - | - | - | - | - | - |
| Telecommunications | - | - | - | - | - | - | - |
| Data Processing | - | - | - | - | - | - | - |
| Professional Services | - | - | - | - | - | - | - |
| Facilities Rental and Taxes | - | - | - | - | - | - | - |
| Medical Services and Supplies | - | - | - | - | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Public Health Program**

**Pkg: 404 - Improve the Lifelong Health of all Oregonians** | **Cross Reference Number: 44300-020-06-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Intra-agency Charges | - | - | - | - | - | - | - |
| Other Services and Supplies | - | - | - | - | - | - | - |
| Expendable Prop 250 - 5000 | - | - | - | - | - | - | - |
| **Total Services & Supplies** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Dist to Counties | - | - | - | - | - | - | - |
| Loans Made - Other | - | - | - | - | - | - | - |
| Other Special Payments | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | - | - | - | - | - |
| **Total Expenditures** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | - |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Public Health Program**

**Pkg: 404 - Improve the Lifelong Health of all Oregonians** | **Cross Reference Number: 44300-020-06-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | - |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 405 - Prev'g lead'g causes of death, inj & disease**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | - | - | - | - | - |
| **Total Revenues** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | - | - | - | - | - |
| All Other Differential | - | - | - | - | - | - | - |
| Empl. Rel. Bd. Assessments | - | - | - | - | - | - | - |
| Public Employees' Retire Cont | - | - | - | - | - | - | - |
| Social Security Taxes | - | - | - | - | - | - | - |
| Worker's Comp. Assess. (WCD) | - | - | - | - | - | - | - |
| Mass Transit Tax | - | - | - | - | - | - | - |
| Flexible Benefits | - | - | - | - | - | - | - |
| **Total Personal Services** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | - | - | - | - | - |
| Employee Training | - | - | - | - | - | - | - |
| Office Expenses | - | - | - | - | - | - | - |
| Telecommunications | - | - | - | - | - | - | - |
| Data Processing | - | - | - | - | - | - | - |
| Professional Services | - | - | - | - | - | - | - |
| Facilities Rental and Taxes | - | - | - | - | - | - | - |
| Other Services and Supplies | - | - | - | - | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 405 - Prev'g lead'g causes of death, inj & disease**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Expendable Prop 250 - 5000 | - | - | - | - | - | - | - |
| **Total Services & Supplies** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Dist to Counties | - | - | - | - | - | - | - |
| Other Special Payments | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | - | - | - | - | - |
| **Total Expenditures** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | - |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 405 - Prev'g lead'g causes of death, inj & disease**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | - |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 406 - PH Emergency Preparedness**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| General Fund Appropriation | - | - | - | - | - | - | - |
| **Total Revenues** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | - | - | - | - | - |
| All Other Differential | - | - | - | - | - | - | - |
| Empl. Rel. Bd. Assessments | - | - | - | - | - | - | - |
| Public Employees' Retire Cont | - | - | - | - | - | - | - |
| Social Security Taxes | - | - | - | - | - | - | - |
| Worker's Comp. Assess. (WCD) | - | - | - | - | - | - | - |
| Mass Transit Tax | - | - | - | - | - | - | - |
| Flexible Benefits | - | - | - | - | - | - | - |
| **Total Personal Services** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | - | - | - | - | - |
| Employee Training | - | - | - | - | - | - | - |
| Office Expenses | - | - | - | - | - | - | - |
| Telecommunications | - | - | - | - | - | - | - |
| Data Processing | - | - | - | - | - | - | - |
| Professional Services | - | - | - | - | - | - | - |
| Facilities Rental and Taxes | - | - | - | - | - | - | - |
| Other Services and Supplies | - | - | - | - | - | - | - |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 406 - PH Emergency Preparedness**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Services & Supplies** | | | | | | | |
| Expendable Prop 250 - 5000 | - | - | - | - | - | - | - |
| **Total Services & Supplies** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Special Payments** | | | | | | | |
| Dist to Counties | - | - | - | - | - | - | - |
| Dist to Non-Gov Units | - | - | - | - | - | - | - |
| Other Special Payments | - | - | - | - | - | - | - |
| **Total Special Payments** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | - | - | - | - | - |
| **Total Expenditures** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | - |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 406 - PH Emergency Preparedness**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| ***Description*** | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | - |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Public Health Program**

**Pkg: 407 - PH Radiation Protection Fee & Cap Increase** | **Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Business Lic and Fees | - | - | 593,755 | - | - | - | 593,755 |
| **Total Revenues** | **-** | **-** | **$593,755** | **-** | **-** | **-** | **$593,755** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 356,328 | - | - | - | 356,328 |
| All Other Differential | - | - | (29,968) | - | - | - | (29,968) |
| Empl. Rel. Bd. Assessments | - | - | 132 | - | - | - | 132 |
| Public Employees' Retire Cont | - | - | 51,532 | - | - | - | 51,532 |
| Social Security Taxes | - | - | 24,965 | - | - | - | 24,965 |
| Worker's Comp. Assess. (WCD) | - | - | 207 | - | - | - | 207 |
| Flexible Benefits | - | - | 91,584 | - | - | - | 91,584 |
| **Total Personal Services** | **-** | **-** | **$494,780** | **-** | **-** | **-** | **$494,780** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 55,613 | - | - | - | 55,613 |
| Office Expenses | - | - | 21,076 | - | - | - | 21,076 |
| Medical Services and Supplies | - | - | 11,581 | - | - | - | 11,581 |
| Other Services and Supplies | - | - | 10,705 | - | - | - | 10,705 |
| **Total Services & Supplies** | **-** | **-** | **$98,975** | **-** | **-** | **-** | **$98,975** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 593,755 | - | - | - | 593,755 |
| **Total Expenditures** | **-** | **-** | **$593,755** | **-** | **-** | **-** | **$593,755** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority** | **Cross Reference Name: Public Health Program**

**Pkg: 407 - PH Radiation Protection Fee & Cap Increase** | **Cross Reference Number: 44300-020-06-00-00000**

| *Description* | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 3 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **3** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 3.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **3.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 408 - PH Senate Bill 333 Fee Increases**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Other Revenues | - | - | 1,436,336 | - | - | - | 1,436,336 |
| **Total Revenues** | **-** | **-** | **$1,436,336** | **-** | **-** | **-** | **$1,436,336** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 271,980 | 49,932 | - | - | 321,912 |
| All Other Differential | - | - | (55,086) | (62,343) | - | - | (117,429) |
| Empl. Rel. Bd. Assessments | - | - | 110 | 22 | - | - | 132 |
| Public Employees' Retire Cont | - | - | 34,247 | (1,960) | - | - | 32,287 |
| Social Security Taxes | - | - | 16,592 | (949) | - | - | 15,643 |
| Worker's Comp. Assess. (WCD) | - | - | 173 | 34 | - | - | 207 |
| Flexible Benefits | - | - | 76,320 | 15,264 | - | - | 91,584 |
| **Total Personal Services** | **-** | **-** | **$344,336** | **-** | **-** | **-** | **$344,336** |
| **Services & Supplies** | | | | | | | |
| Medical Services and Supplies | - | - | 1,092,000 | - | - | - | 1,092,000 |
| **Total Services & Supplies** | **-** | **-** | **$1,092,000** | **-** | **-** | **-** | **$1,092,000** |
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 1,436,336 | - | - | - | 1,436,336 |
| **Total Expenditures** | **-** | **-** | **$1,436,336** | **-** | **-** | **-** | **$1,436,336** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 408 - PH Senate Bill 333 Fee Increases**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 3 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **3** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 3.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **3.00** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 501 - Measure 91 Implementation**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Revenues** | | | | | | | |
| Non-business Lic. and Fees | - | - | 419,285 | - | - | - | 419,285 |
| **Total Revenues** | **-** | **-** | **$419,285** | **-** | **-** | **-** | **$419,285** |
| **Personal Services** | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 178,608 | - | - | - | 178,608 |
| Empl. Rel. Bd. Assessments | - | - | 88 | - | - | - | 88 |
| Public Employees' Retire Cont | - | - | 28,203 | - | - | - | 28,203 |
| Social Security Taxes | - | - | 13,664 | - | - | - | 13,664 |
| Worker's Comp. Assess. (WCD) | - | - | 138 | - | - | - | 138 |
| Flexible Benefits | - | - | 61,056 | - | - | - | 61,056 |
| **Total Personal Services** | **-** | **-** | **$281,757** | **-** | **-** | **-** | **$281,757** |
| **Services & Supplies** | | | | | | | |
| Instate Travel | - | - | 21,130 | - | - | - | 21,130 |
| Office Expenses | - | - | 10,564 | - | - | - | 10,564 |
| Telecommunications | - | - | 4,468 | - | - | - | 4,468 |
| Professional Services | - | - | 18,000 | - | - | - | 18,000 |
| Attorney General | - | - | 19,200 | - | - | - | 19,200 |
| Other Services and Supplies | - | - | 63,248 | - | - | - | 63,248 |
| Expendable Prop 250 - 5000 | - | - | 918 | - | - | - | 918 |
| **Total Services & Supplies** | **-** | **-** | **$137,528** | **-** | **-** | **-** | **$137,528** |

# ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

**Oregon Health Authority**
**Pkg: 501 - Measure 91 Implementation**

**Cross Reference Name: Public Health Program**
**Cross Reference Number: 44300-020-06-00-00000**

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---|---|---|---|---|---|---|---|
| **Total Expenditures** | | | | | | | |
| Total Expenditures | - | - | 419,285 | - | - | - | 419,285 |
| **Total Expenditures** | **-** | **-** | **$419,285** | **-** | **-** | **-** | **$419,285** |
| **Ending Balance** | | | | | | | |
| Ending Balance | - | - | - | - | - | - | - |
| **Total Ending Balance** | **-** | **-** | **-** | **-** | **-** | **-** | **-** |
| **Total Positions** | | | | | | | |
| Total Positions | | | | | | | 2 |
| **Total Positions** | **-** | **-** | **-** | **-** | **-** | **-** | **2** |
| **Total FTE** | | | | | | | |
| Total FTE | | | | | | | 2.00 |
| **Total FTE** | **-** | **-** | **-** | **-** | **-** | **-** | **2.00** |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012925 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | | | 114,984 | | 114,984 |
| | | | | | | | | | | 57,593 | | 57,593 |
| 1012926 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | | | 114,984 | | 114,984 |
| | | | | | | | | | | 57,593 | | 57,593 |
| 1012927 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | | | 114,984 | | 114,984 |
| | | | | | | | | | | 57,593 | | 57,593 |
| 1012928 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | | | 114,984 | | 114,984 |
| | | | | | | | | | | 57,593 | | 57,593 |
| 1012929 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | | | 114,984 | | 114,984 |
| | | | | | | | | | | 57,593 | | 57,593 |
| 1012930 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | | | 114,984 | | 114,984 |
| | | | | | | | | | | 57,593 | | 57,593 |
| 1012931 | MESNZ7008 | AA PRINCIPAL EXECUTIVE/MANAGER E | 1 | 1.00 | 24.00 | 02 | 5,764.00 | | | 138,336 | | 138,336 |
| | | | | | | | | | | 63,067 | | 63,067 |
| 1012932 | MMN X0873 | AA OPERATIONS & POLICY ANALYST 4 | 1 | 1.00 | 24.00 | 02 | 5,764.00 | | | 138,336 | | 138,336 |
| | | | | | | | | | | 63,067 | | 63,067 |
| 1012933 | MMN X0862 | AA PROGRAM ANALYST 3 | 1 | .63 | 15.00 | 02 | 4,979.00 | | | 74,685 | | 74,685 |
| | | | | | | | | | | 36,657 | | 36,657 |
| 1012934 | OA C0104 | AA OFFICE SPECIALIST 2 | 1 | .63 | 15.00 | 02 | 2,435.00 | | | 36,525 | | 36,525 |
| | | | | | | | | | | 27,712 | | 27,712 |
| 1012935 | OA C0107 | AA ADMINISTRATIVE SPECIALIST 1 | 1 | .63 | 15.00 | 02 | 2,636.00 | | | 39,540 | | 39,540 |
| | | | | | | | | | | 28,419 | | 28,419 |
| 1012936 | OA C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1 | .63 | 15.00 | 02 | 4,161.00 | | | 62,415 | | 62,415 |
| | | | | | | | | | | 33,781 | | 33,781 |
| 1012937 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | .63 | 15.00 | 02 | 4,791.00 | | | 71,865 | | 71,865 |
| | | | | | | | | | | 35,997 | | 35,997 |
| 1012938 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | .63 | 15.00 | 02 | 4,791.00 | | | 71,865 | | 71,865 |
| | | | | | | | | | | 35,997 | | 35,997 |
| 1012939 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | .63 | 15.00 | 02 | 4,791.00 | | | 71,865 | | 71,865 |
| | | | | | | | | | | 35,997 | | 35,997 |
| 1012940 | OA C1117 | AA RESEARCH ANALYST 3 | 1 | .63 | 15.00 | 02 | 3,974.00 | | | 59,610 | | 59,610 |
| | | | | | | | | | | 33,123 | | 33,123 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1012941 | OA C1244 AA | FISCAL ANALYST 2 | 1 | .63 | 15.00 | 02 | 4,161.00 | | | 62,415 | | 62,415 |
| | | | | | | | | | | 33,781 | | 33,781 |
| 1012942 | OA C6229 AA | PUBLIC HEALTH NURSE 2 | 1 | .63 | 15.00 | 02 | 5,028.00 | | | 75,420 | | 75,420 |
| | | | | | | | | | | 36,830 | | 36,830 |
| | | TOTAL PICS SALARY | | | | | | | | 1,592,781 | | 1,592,781 |
| | | TOTAL PICS OPE | | | | | | | | 809,986 | | 809,986 |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | 18 | 14.30 | 342.00 | | | | | 2,402,767 | | 2,402,767 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0000117 | MMS X7006 AA | PRINCIPAL EXECUTIVE/MANAGER D | 1- | 1.00- | 24.00- | 05 | 6,046.00 | | 117,534- | 27,570- | | 145,104- |
| | | | | | | | | | 52,370- | 12,283- | | 64,653- |
| 0000117 | MMS X7006 AA | PRINCIPAL EXECUTIVE/MANAGER D | 1 | 1.00 | 24.00 | 05 | 6,046.00 | | 117,534 | 27,570 | | 145,104 |
| | | | | | | | | | 52,370 | 12,283 | | 64,653 |
| 0000120 | OA C0104 AA | OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 09 | 3,290.00 | | | 78,960- | | 78,960- |
| | | | | | | | | | | 49,150- | | 49,150- |
| 0000120 | OA C0104 AA | OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 09 | 3,290.00 | | | 78,960 | | 78,960 |
| | | | | | | | | | | 49,150 | | 49,150 |
| 0000309 | MMS X7010 AA | PRINCIPAL EXECUTIVE/MANAGER F | 1- | 1.00- | 24.00- | 09 | 8,917.00 | | 97,588- | 116,420- | | 214,008- |
| | | | | | | | | | 36,847- | 43,958- | | 80,805- |
| 0000309 | MMS X7010 AA | PRINCIPAL EXECUTIVE/MANAGER F | 1 | 1.00 | 24.00 | 09 | 8,917.00 | | 97,588 | 116,420 | | 214,008 |
| | | | | | | | | | 36,847 | 43,958 | | 80,805 |
| 0000369 | OA C6217 AA | EPIDEMIOLOGIST 2 | 1- | 1.00- | 24.00- | 05 | 5,277.00 | | | 126,648- | | 126,648- |
| | | | | | | | | | | 60,328- | | 60,328- |
| 0000369 | OA C6217 AA | EPIDEMIOLOGIST 2 | 1 | 1.00 | 24.00 | 05 | 5,277.00 | | | 126,648 | | 126,648 |
| | | | | | | | | | | 60,328 | | 60,328 |
| 0000383 | OA C0861 AA | PROGRAM ANALYST 2 | 1- | 1.00- | 24.00- | 06 | 5,028.00 | | 120,672- | | | 120,672- |
| | | | | | | | | | 58,926- | | | 58,926- |
| 0000383 | OA C0861 AA | PROGRAM ANALYST 2 | 1 | 1.00 | 24.00 | 06 | 5,028.00 | | 120,672 | | | 120,672 |
| | | | | | | | | | 58,926 | | | 58,926 |
| 0000404 | OA C5708 AA | INDUSTRIAL HYGIENIST 4 | 1- | 1.00- | 24.00- | 07 | 6,380.00 | | | 153,120- | | 153,120- |
| | | | | | | | | | | 66,533- | | 66,533- |
| 0000404 | OA C5708 AA | INDUSTRIAL HYGIENIST 4 | 1 | 1.00 | 24.00 | 07 | 6,380.00 | | | 153,120 | | 153,120 |
| | | | | | | | | | | 66,533 | | 66,533 |
| 0000511 | OA C0861 AA | PROGRAM ANALYST 2 | 1- | 1.00- | 24.00- | 07 | 5,277.00 | | | 126,648- | | 126,648- |
| | | | | | | | | | | 60,328- | | 60,328- |
| 0000511 | OA C0861 AA | PROGRAM ANALYST 2 | 1 | 1.00 | 24.00 | 07 | 5,277.00 | | | 126,648 | | 126,648 |
| | | | | | | | | | | 60,328 | | 60,328 |
| 0000599 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1- | 1.00- | 24.00- | 04 | 4,569.00 | | | 109,656- | | 109,656- |
| | | | | | | | | | | 56,345- | | 56,345- |
| 0000599 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1 | 1.00 | 24.00 | 04 | 4,569.00 | | | 109,656 | | 109,656 |
| | | | | | | | | | | 56,345 | | 56,345 |

| POSITION NUMBER | CLASS | COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0000600 | OA | C3819 | AA ENVIRONMENTAL HLTH SPECIALST 3 | 1- | 1.00- | 24.00- | 06 | 5,028.00 | | | 120,672- | | 120,672- |
| | | | | | | | | | | | 58,926- | | 58,926- |
| 0000600 | OA | C3819 | AA ENVIRONMENTAL HLTH SPECIALST 3 | 1 | 1.00 | 24.00 | 06 | 5,028.00 | | | 120,672 | | 120,672 |
| | | | | | | | | | | | 58,926 | | 58,926 |
| 0000684 | OA | C0107 | AA ADMINISTRATIVE SPECIALIST 1 | 1- | 1.00- | 24.00- | 07 | 3,290.00 | | | 78,960- | | 78,960- |
| | | | | | | | | | | | 49,150- | | 49,150- |
| 0000684 | OA | C0107 | AA ADMINISTRATIVE SPECIALIST 1 | 1 | 1.00 | 24.00 | 07 | 3,290.00 | | | 78,960 | | 78,960 |
| | | | | | | | | | | | 49,150 | | 49,150 |
| 0000685 | OA | C0861 | AA PROGRAM ANALYST 2 | 1- | 1.00- | 24.00- | 08 | 5,529.00 | | 66,348- | 66,348- | | 132,696- |
| | | | | | | | | | | 30,874- | 30,871- | | 61,745- |
| 0000685 | OA | C0861 | AA PROGRAM ANALYST 2 | 1 | 1.00 | 24.00 | 08 | 5,529.00 | | 66,348 | 66,348 | | 132,696 |
| | | | | | | | | | | 30,874 | 30,871 | | 61,745 |
| 0001109 | OA | C1117 | AA RESEARCH ANALYST 3 | 1- | 1.00- | 24.00- | 08 | 5,277.00 | | | 126,648- | | 126,648- |
| | | | | | | | | | | | 60,328- | | 60,328- |
| 0001109 | OA | C1117 | AA RESEARCH ANALYST 3 | 1 | 1.00 | 24.00 | 08 | 5,277.00 | | | 126,648 | | 126,648 |
| | | | | | | | | | | | 60,328 | | 60,328 |
| 0001116 | OA | C1118 | AA RESEARCH ANALYST 4 | 1- | 1.00- | 24.00- | 05 | 5,529.00 | | | 132,696- | | 132,696- |
| | | | | | | | | | | | 61,745- | | 61,745- |
| 0001116 | OA | C1118 | AA RESEARCH ANALYST 4 | 1 | 1.00 | 24.00 | 05 | 5,529.00 | | | 132,696 | | 132,696 |
| | | | | | | | | | | | 61,745 | | 61,745 |
| 0001164 | OA | C3432 | AA PUBLIC HEALTH TOXICOLOGIST | 1- | 1.00- | 24.00- | 04 | 5,028.00 | | | 120,672- | | 120,672- |
| | | | | | | | | | | | 58,926- | | 58,926- |
| 0001164 | OA | C3432 | AA PUBLIC HEALTH TOXICOLOGIST | 1 | 1.00 | 24.00 | 04 | 5,028.00 | | | 120,672 | | 120,672 |
| | | | | | | | | | | | 58,926 | | 58,926 |
| 0001165 | OA | C6217 | AA EPIDEMIOLOGIST 2 | 1- | 1.00- | 24.00- | 03 | 4,791.00 | | | 114,984- | | 114,984- |
| | | | | | | | | | | | 57,593- | | 57,593- |
| 0001165 | OA | C6217 | AA EPIDEMIOLOGIST 2 | 1 | 1.00 | 24.00 | 03 | 4,791.00 | | | 114,984 | | 114,984 |
| | | | | | | | | | | | 57,593 | | 57,593 |
| 0001167 | OA | C0104 | AA OFFICE SPECIALIST 2 | 1- | 1.00- | 24.00- | 05 | 2,756.00 | | | 66,144- | | 66,144- |
| | | | | | | | | | | | 46,145- | | 46,145- |
| 0001167 | OA | C0104 | AA OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 05 | 2,756.00 | | | 66,144 | | 66,144 |
| | | | | | | | | | | | 46,145 | | 46,145 |

| POSITION NUMBER | CLASS | COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0001174 | OA | C0861 AA | PROGRAM ANALYST 2 | 1- | 1.00- | 24.00- | 03 | 4,358.00 | | | 104,592- | | 104,592- |
| | | | | | | | | | | | 55,157- | | 55,157- |
| 0001174 | OA | C0861 AA | PROGRAM ANALYST 2 | 1 | 1.00 | 24.00 | 03 | 4,358.00 | | | 104,592 | | 104,592 |
| | | | | | | | | | | | 55,157 | | 55,157 |
| 0001175 | MMS | X7004 AA | PRINCIPAL EXECUTIVE/MANAGER C | 1- | 1.00- | 24.00- | 03 | 4,742.00 | | | 113,808- | | 113,808- |
| | | | | | | | | | | | 57,317- | | 57,317- |
| 0001175 | MMS | X7004 AA | PRINCIPAL EXECUTIVE/MANAGER C | 1 | 1.00 | 24.00 | 03 | 4,742.00 | | | 113,808 | | 113,808 |
| | | | | | | | | | | | 57,317 | | 57,317 |
| 1001948 | MMS | X7006 AA | PRINCIPAL EXECUTIVE/MANAGER D | 1- | 1.00- | 24.00- | 09 | 7,343.00 | | | 176,232- | | 176,232- |
| | | | | | | | | | | | 71,950- | | 71,950- |
| 1001948 | MMS | X7006 AA | PRINCIPAL EXECUTIVE/MANAGER D | 1 | 1.00 | 24.00 | 09 | 7,343.00 | | | 176,232 | | 176,232 |
| | | | | | | | | | | | 71,950 | | 71,950 |
| 1001951 | OA | C1117 AA | RESEARCH ANALYST 3 | 1- | 1.00- | 24.00- | 09 | 5,529.00 | | | 132,696- | | 132,696- |
| | | | | | | | | | | | 61,745- | | 61,745- |
| 1001951 | OA | C1117 AA | RESEARCH ANALYST 3 | 1 | 1.00 | 24.00 | 09 | 5,529.00 | | | 132,696 | | 132,696 |
| | | | | | | | | | | | 61,745 | | 61,745 |
| 1001952 | OA | C0861 AA | PROGRAM ANALYST 2 | 1- | .50- | 12.00- | 05 | 4,791.00 | | | 57,492- | | 57,492- |
| | | | | | | | | | | | 44,117- | | 44,117- |
| 1001952 | OA | C0861 AA | PROGRAM ANALYST 2 | 1 | .50 | 12.00 | 05 | 4,791.00 | | | 57,492 | | 57,492 |
| | | | | | | | | | | | 44,117 | | 44,117 |
| 1001953 | OA | C0119 AA | EXECUTIVE SUPPORT SPECIALIST 2 | 1- | 1.00- | 24.00- | 09 | 3,974.00 | | | 95,376- | | 95,376- |
| | | | | | | | | | | | 52,997- | | 52,997- |
| 1001953 | OA | C0119 AA | EXECUTIVE SUPPORT SPECIALIST 2 | 1 | 1.00 | 24.00 | 09 | 3,974.00 | | | 95,376 | | 95,376 |
| | | | | | | | | | | | 52,997 | | 52,997 |
| 1002416 | OA | C8504 BA | NATURAL RESOURCE SPECIALIST 4 | 1- | 1.00- | 24.00- | 05 | 5,802.00 | | 63,065- | 76,183- | | 139,248- |
| | | | | | | | | | | 28,659- | 34,621- | | 63,280- |
| 1002416 | OA | C8504 BA | NATURAL RESOURCE SPECIALIST 4 | 1 | 1.00 | 24.00 | 05 | 5,802.00 | | 63,065 | 76,183 | | 139,248 |
| | | | | | | | | | | 28,659 | 34,621 | | 63,280 |
| 1002418 | OA | C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1- | 1.00- | 24.00- | 09 | 5,802.00 | | 63,065- | 76,183- | | 139,248- |
| | | | | | | | | | | 28,659- | 34,621- | | 63,280- |
| 1002418 | OA | C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1 | 1.00 | 24.00 | 09 | 5,802.00 | | 63,065 | 76,183 | | 139,248 |
| | | | | | | | | | | 28,659 | 34,621 | | 63,280 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1002420 | OA C2328 AA | PUBLIC HEALTH EDUCATOR 2 | 1- | 1.00- | 24.00- | 05 | 4,569.00 | | 67,724- | 41,932- | | 109,656- |
| | | | | | | | | | 34,799- | 21,546- | | 56,345- |
| 1002420 | OA C2328 AA | PUBLIC HEALTH EDUCATOR 2 | 1 | 1.00 | 24.00 | 05 | 4,569.00 | | 67,724 | 41,932 | | 109,656 |
| | | | | | | | | | 34,799 | 21,546 | | 56,345 |
| 1003846 | OA C2328 AA | PUBLIC HEALTH EDUCATOR 2 | 1- | 1.00- | 24.00- | 05 | 4,569.00 | | | 109,656- | | 109,656- |
| | | | | | | | | | | 56,345- | | 56,345- |
| 1003846 | OA C2328 AA | PUBLIC HEALTH EDUCATOR 2 | 1 | 1.00 | 24.00 | 05 | 4,569.00 | | | 109,656 | | 109,656 |
| | | | | | | | | | | 56,345 | | 56,345 |
| | | TOTAL PICS SALARY | | | | | | | | | | |
| | | TOTAL PICS OPE | | | | | | | | | | |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | | .00 | .00 | | | | | | | |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0000157 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1- | 1.00- | 24.00- | 06 | 5,028.00 | | 120,672- | | | 120,672- |
| | | | | | | | | | 58,926- | | | 58,926- |
| 0000158 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1- | 1.00- | 24.00- | 05 | 4,791.00 | | 114,984- | | | 114,984- |
| | | | | | | | | | 57,593- | | | 57,593- |
| 0000308 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1- | 1.00- | 24.00- | 06 | 5,028.00 | | 120,672- | | | 120,672- |
| | | | | | | | | | 58,926- | | | 58,926- |
| 0000446 | OA C0107 AA | ADMINISTRATIVE SPECIALIST 1 | 1- | 1.00- | 24.00- | 08 | 3,450.00 | | 82,800- | | | 82,800- |
| | | | | | | | | | 50,049- | | | 50,049- |
| 0000707 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1- | 1.00- | 24.00- | 09 | 5,802.00 | | 139,248- | | | 139,248- |
| | | | | | | | | | 63,280- | | | 63,280- |
| 1002811 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1- | 1.00- | 24.00- | 02 | 4,161.00 | | 49,932- | 49,932- | | 99,864- |
| | | | | | | | | | 27,025- | 27,024- | | 54,049- |
| | | TOTAL PICS SALARY | | | | | | | 628,308- | 49,932- | | 678,240- |
| | | TOTAL PICS OPE | | | | | | | 315,799- | 27,024- | | 342,823- |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | 6- | 6.00- | 144.00- | | | | 944,107- | 76,956- | | 1,021,063- |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013285 | OA C5248 AA | COMPLIANCE SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 4,569.00 | | 109,656 | | | 109,656 |
| | | | | | | | | | 56,345 | | | 56,345 |
| 1013286 | OA C5248 AA | COMPLIANCE SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 4,569.00 | | 109,656 | | | 109,656 |
| | | | | | | | | | 56,345 | | | 56,345 |
| 1013287 | OA C0870 AA | OPERATIONS & POLICY ANALYST 1 | 1 | 1.00 | 24.00 | 02 | 3,450.00 | | 82,800 | | | 82,800 |
| | | | | | | | | | 50,049 | | | 50,049 |
| 1013431 | MMS X7006 AA | PRINCIPAL EXECUTIVE/MANAGER D | 1 | 1.00 | 24.00 | 02 | 5,231.00 | | 125,544 | | | 125,544 |
| | | | | | | | | | 60,068 | | | 60,068 |
| | | TOTAL PICS SALARY | | | | | | | 427,656 | | | 427,656 |
| | | TOTAL PICS OPE | | | | | | | 222,807 | | | 222,807 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 4 | 4.00 | 96.00 | | | | 650,463 | | | 650,463 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013432 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | .54 | 13.00 | 02 | 4,791.00 | | | 62,283 | | 62,283 |
| | | | | | | | | | | 31,197 | | 31,197 |
| 1013433 | OA C0870 | AA OPERATIONS & POLICY ANALYST 1 | 1 | .54 | 13.00 | 02 | 3,450.00 | | | 44,850 | | 44,850 |
| | | | | | | | | | | 27,110 | | 27,110 |
| 1013434 | OA C0108 | AA ADMINISTRATIVE SPECIALIST 2 | 1 | .54 | 13.00 | 02 | 2,873.00 | | | 37,349 | | 37,349 |
| | | | | | | | | | | 25,351 | | 25,351 |
| 1013435 | OA C1244 | AA FISCAL ANALYST 2 | 1 | .27 | 6.50 | 02 | 4,161.00 | | | 27,047 | | 27,047 |
| | | | | | | | | | | 22,937 | | 22,937 |
| 1013436 | OA C0872 | AA OPERATIONS & POLICY ANALYST 3 | 1 | 1.00 | 24.00 | 02 | 4,791.00 | | | 114,984 | | 114,984 |
| | | | | | | | | | | 57,593 | | 57,593 |
| 1013437 | OA C0871 | AA OPERATIONS & POLICY ANALYST 2 | 1 | .54 | 13.00 | 02 | 4,161.00 | | | 54,093 | | 54,093 |
| | | | | | | | | | | 29,276 | | 29,276 |
| | | TOTAL PICS SALARY | | | | | | | | 340,606 | | 340,606 |
| | | TOTAL PICS OPE | | | | | | | | 193,464 | | 193,464 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 6 | 3.43 | 82.50 | | | | | 534,070 | | 534,070 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0000157 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1 | 1.00 | 24.00 | 06 | 5,028.00 | | 120,672 | | | 120,672 |
| | | | | | | | | | 58,926 | | | 58,926 |
| 0000158 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1 | 1.00 | 24.00 | 05 | 4,791.00 | | 114,984 | | | 114,984 |
| | | | | | | | | | 57,593 | | | 57,593 |
| 0000308 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1 | 1.00 | 24.00 | 06 | 5,028.00 | | 120,672 | | | 120,672 |
| | | | | | | | | | 58,926 | | | 58,926 |
| | | TOTAL PICS SALARY | | | | | | | 356,328 | | | 356,328 |
| | | TOTAL PICS OPE | | | | | | | 175,445 | | | 175,445 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | TOTAL PICS PERSONAL SERVICES = | | 3 | 3.00 | 72.00 | | | | 531,773 | | | 531,773 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0000446 | OA C0107 AA | ADMINISTRATIVE SPECIALIST 1 | 1 | 1.00 | 24.00 | 08 | 3,450.00 | | 82,800 | | | 82,800 |
| | | | | | | | | | 50,049 | | | 50,049 |
| 0000707 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1 | 1.00 | 24.00 | 09 | 5,802.00 | | 139,248 | | | 139,248 |
| | | | | | | | | | 63,280 | | | 63,280 |
| 1002811 | OA C3819 AA | ENVIRONMENTAL HLTH SPECIALST 3 | 1 | 1.00 | 24.00 | 02 | 4,161.00 | | 49,932 | 49,932 | | 99,864 |
| | | | | | | | | | 27,025 | 27,024 | | 54,049 |
| | | TOTAL PICS SALARY | | | | | | | 271,980 | 49,932 | | 321,912 |
| | | TOTAL PICS OPE | | | | | | | 140,354 | 27,024 | | 167,378 |
| | | | --- | ------ | ------- | | | --------- | --------- | --------- | --------- | --------- |
| | | TOTAL PICS PERSONAL SERVICES = | 3 | 3.00 | 72.00 | | | | 412,334 | 76,956 | | 489,290 |

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1013438 | OA C5248 | AA COMPLIANCE SPECIALIST 3 | 1 | 1.00 | 24.00 | 02 | 4,569.00 | | 109,656 | | | 109,656 |
| | | | | | | | | | 56,345 | | | 56,345 |
| 1013439 | OA C0108 | AA ADMINISTRATIVE SPECIALIST 2 | 1 | 1.00 | 24.00 | 02 | 2,873.00 | | 68,952 | | | 68,952 |
| | | | | | | | | | 46,804 | | | 46,804 |
| | | TOTAL PICS SALARY | | | | | | | 178,608 | | | 178,608 |
| | | TOTAL PICS OPE | | | | | | | 103,149 | | | 103,149 |
| | | | --- | --- | --- | | | --- | --- | --- | --- | --- |
| | | TOTAL PICS PERSONAL SERVICES = | 2 | 2.00 | 48.00 | | | | 281,757 | | | 281,757 |

# 2015 - 2017 OHA POLICY OPTION PACKAGES

| Lead Program Area | Summary Cross Reference (SCR) | Program Funding Team Policy Area | Official Title (45 Character Limit) | Subtitle | General Fund | Other Funds | Federal Funds | Total Funds | POS | FTE | POP # | ORBITS POP # | LC # |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | |
| AMH | | Healthy People | **Promote and Support Community Based Services** | | | | | | | | | | |
| AMH-CMH | 44300-020-05 | Healthy People | Promote and Support Community Based Services | Aid & Assist Evaluations in the Community | 4,056,901 | - | - | 4,056,901 | 1 | 0.83 | 401-1 | 401 | 15-106 |
| AMH-CMH | 44300-020-05 | Healthy People | Promote and Support Community Based Services | Mental Health Certification | 859,620 | - | - | 859,620 | 4 | 4.00 | 401-2 | 401 | |
| | | | | **SUBTOTAL POP 401** | **4,916,521** | **-** | **-** | **4,916,521** | **5** | **4.83** | | | |
| HPP | | Healthy People | **Promote Innovative Health System Solutions** | | | | | | | | | | |
| HPP-Transformation Center | 44300-020-08 | Healthy People | Promote Innovative Health Sys Solutions - HP | Continuation of Health Systems Transformation/ Transformation Center | 1,042,899 | - | 1,040,051 | 2,082,950 | 13 | 4.94 | 402-2 | 402 | |
| HPP-OHPR | 44300-020-08 | Healthy People | Promote Innovative Health Sys Solutions - HP | Continuation of Health Systems Transformation/ Office of Health Policy & Research | 1,360,029 | - | 1,358,444 | 2,718,473 | 8 | 3.04 | 402-3 | 402 | |
| | | | | **SUBTOTAL POP 402** | **2,402,928** | **-** | **2,398,495** | **4,801,423** | **21** | **7.98** | | | |
| HPP-OEI | 44300-020-08 | Healthy People | REaL-D | OEI Race Ethnicity, Language and Disabilities Collection (Joined with DHS) | 1,771,152 | - | - | 1,771,152 | 9 | 8.52 | 201 | 201 | |
| | | | | **SUBTOTAL POP 402+201** | **4,174,080** | **-** | **2,398,495** | **6,572,575** | **30** | **16.50** | | | |
| PH | 44300-020-06 | Healthy People | **Revenue Shortfall** | New Born Screening | - | (1,092,000) | - | (1,092,000) | - | - | 070 | 070 | |
| PH | 44300-020-06 | Healthy People | **Revenue Shortfall** | SB 333 Fees pending -RML | - | (344,336) | - | (344,336) | (3) | (3.00) | 070 | 070 | |
| PH | 44300-020-06 | Healthy People | **Revenue Shortfall** | Reduction if RPS Fees & Cap not approved | - | (593,755) | - | (593,755) | (3) | (3.00) | 070 | 070 | |
| PH | 44300-020-06 | Healthy People | **Revenue Shortfall** | Dept of Agriculture Adjustment | - | (43,325) | (151,299) | (194,624) | - | - | 070 | 070 | |
| | | | | **SUBTOTAL Pkg 070** | **-** | **(2,073,416)** | **(151,299)** | **(2,224,715)** | **(6)** | **(6.00)** | | | |
| PH | 44300-020-06 | Healthy People | **PH Radiation Protection Fee & Cap Increase** | PH Radiation Protection Cap Increase | - | 593,755 | | 593,755 | 3 | 3.00 | 407 | 407 | LC 425 |
| PH | 44300-020-06 | Healthy People | **PH Senate Bill 333 Fee Increases** | PH PHL Newborn Metabolic Screening and PH Protection Radiation Materials Licensing Fee Increases (SB 333) | - | 1,436,336 | | 1,436,336 | 3 | 3.00 | 408 | 408 | SB 333 |
| | | | | **SUBTOTAL POP 404-408** | **-** | **2,030,091** | **-** | **2,030,091** | **6** | **6.00** | | | |
| OEBB | 44300-020-03 | Healthy People | **Transparency and Engagement** | Informed Enrollment Tool Enhancements | | 450,000 | - | 450,000 | - | - | 409 | 409 | |
| | | | | **SUBTOTAL POP** | **-** | **450,000** | **-** | **450,000** | **-** | **-** | | | |

# 2015 - 2017 OHA POLICY OPTION PACKAGES

| Lead Program Area | Summary Cross Reference (SCR) | Program Funding Team Policy Area | Official Title (45 Character Limit) | Subtitle | General Fund | Other Funds | Federal Funds | Total Funds | POS | FTE | POP # | ORBITS POP # | LC # |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| AMH & PH | | Healthy People | **Measure 91 Implementation** | | | | | | | | | | |
| AMH | 443-020-05 | Healthy People | Measure 91 Implementation | Measure 91 Implementation - AMH Program Support | - | 918,618 | - | 918,618 | 2 | 2.00 | 501-01 | 501 | |
| AMH | 443-020-05 | Healthy People | Measure 91 Implementation | Measure 91 Implementation - AMH A&D Prevention | - | 352,944 | - | 352,944 | - | - | 501-02 | 501 | |
| AMH | 443-020-05 | Healthy People | Measure 91 Implementation | Measure 91 Implementation - AMH A&D Treatment | - | 1,005,674 | - | 1,005,674 | - | - | 501-03 | 501 | |
| PH | 443-020-05 | Healthy People | Measure 91 Implementation | Measure 91 Implementation - PH 2 Positions for Measure 91 | - | 419,285 | - | 419,285 | 2 | 2.00 | 501-04 | 501 | |
| | | | | **SUBTOTAL POP 501** | **-** | **2,696,521** | **-** | **2,696,521** | **4** | **4.00** | | | |
| | | | | **Grand TOTAL OHA POPs, including Pkg 070** | **9,090,601** | **3,103,196** | **2,247,196** | **14,440,993** | **39** | **25.33** | | | |

# 2015-2017 Policy Option Package

| | |
|---|---|
| **Agency Name:** | **Oregon Health Authority** |
| **Program Area Name:** | **Addictions and Mental Health** |
| **Program Name:** | **Community Mental Health** |
| **Policy Option Package Initiative:** | **N/A** |
| **Policy Option Package Title:** | **Promote and Support Community Based Services** |
| **Policy Option Package Number:** | **401** |
| **Related Legislation:** | **POP 401-1 is related to LC 15-106 regarding Aid and Assist** |
| **Program Funding Team:** | **Healthy People** |

**Summary Statement:**

This Policy Option Package had four parts at Agency Request. Two of those parts are included in the Governor's Budget.

401-1, Aid and Assist Evaluations in the Community, would enable two counties to provide evaluations and restoration services in the community. It targets the two counties that refer the most people to Oregon State Hospital for these services.
401-2, Mental Health Certification, would allow the Addictions and Mental Health to centralize regulatory responsibilities for the oversight of community-based mental health programs.
401-3, New Tobacco Tax Investments, continues mental health investments begun in the 2013-2015 biennium with some changes based on lessons learned. **Not approved in Governor's Budget.**
401-4, Alcohol and Drug Policy Commission, funds four positions, which enable the commission to fulfill legislative expectations. **Not approved in Governor's Budget.**

**401 Total AMH:**

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $4,916,521 | $0 | $0 | $4,916,521 |

**401-1 Aid and Assist Evaluations in the Community:**

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $4,056,901 | $0 | $0 | $4,056,901 |

**401-2 Mental Health Certifications:**

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $859,620 | $0 | $0 | $859,620 |

## 1. WHAT WOULD THIS POLICY OPTION PACKAGE (POP) DO AND HOW WOULD IT BE IMPLEMENTED?

**401-1 Aid and Assist Evaluations in the Community:**

One of the major services provided at Oregon State Hospital is the treatment of individuals who have been charged with a crime, to restore their ability to understand the charges against them and to assist in their own defense. This is sometimes referred to as “aid and assist.” HB 3100, passed by the Legislature in 2011, permits

restoration services to be provided in the community. This POP provides funds to develop restoration services in the two counties that send the most people to Oregon State Hospital for restoration services.

The OHA Addictions and Mental Health (AMH) would provide the funding through existing community mental health programs (CHMPs). AMH and the CMHP would collaborate to request proposals from local providers for restoration services and possibly temporary or transitional housing. AMH would provide the staff person (Operations and Policy Analyst 3) for coordination and collaboration. Specific performance targets will be identified. Mental health services will be provided through existing systems and funding. The success of these programs will rely on the collaboration and coordination of the judicial system, because the placement of individuals is at the courts' discretion.

**401-2 Mental Health Certifications:**

This POP would provide the Oregon Health Authority Addictions and Mental Health with resources to oversee community-based mental health programs. Historically, community mental health programs (CMHPs) have received all of the funding for mental health services in communities, and the Addictions and Mental Health delegated regulatory oversight the local programs that provided these services to the CMHPs. The CMHPs had the option of providing services directly or subcontracting the services to community providers. When a CMHP subcontracted services to a community provider, it assumed the regulatory oversight for that provider. Oregon now has 187 community providers that have traditionally been overseen by CMHPs.

In 2012, the Oregon Health Authority began contracting with coordinated care organizations (CCOs) for these community services. As a result, the CMHPs are no longer responsible for providing regulatory oversight for the community providers. The CCOs are using many of the same providers that the CMHPs used. The Oregon Health Authority is responsible for ensuring that these community-based mental health providers are delivering services in accordance with Oregon Revised Statutes and Oregon Administrative Rules.

In order to provide adequate oversight of the community-based mental health system, the Oregon Health Authority requests four Compliance Specialist 3 positions to carry out the workload that was previously performed by the CMHPs.

**2. WHY DOES THE OREGON HEALTH AUTHORITY PROPOSE THIS POP?**

**401-1 Aid and Assist Evaluations** – House Bill 3100 (2011) permitted aid and assist restoration services to be provided in the community rather than at Oregon State Hospital (OSH). The bill did not include any funding for those services. This POP provides that funding, addressing OSH capacity issues and allowing more individuals with mental health needs to be served in their own communities.

**401-2 Mental Health Certification** – Without effective oversight of community-based mental health providers, Oregon's most vulnerable population could be at risk. Oregon's State Medicaid Plan also requires that community-based mental health programs be certified.

- In the 2011 session, legislative leadership requested that OHA provide a funding plan to address gaps in the community mental health system. That funding plan covered three biennia of implementation of new funding. The funding that was appropriated by the Legislature addressed most of the first year's funding described in the plan.

**3. HOW DOES THIS FURTHER THE AGENCY'S MISSION OR GOALS? HOW DOES THIS FURTHER THE PROGRAM FUNDING TEAM OUTCOMES OR STRATEGIES?**

POP 401-1 Aid and Assist Evaluations addresses state hospital capacity issues and advances the goals of the agency to provide services in the most integrated community setting.

POP 401-2 Mental Health Certification ensures that vulnerable Oregonians receive services that meet minimum health and safety standards, as well as minimum clinical quality standards.

**4. IS THIS POP TIED TO AN OREGON HEALTH AUTHORITY PERFORMANCE MEASURE? IF YES, IDENTIFY THE PERFORMANCE MEASURE. IF NO, HOW WILL Addictions and Mental Health MEASURE THE SUCCESS OF THIS POP**?

This POP is tied to the Oregon Health Authority's triple aim of better health, better care and lower cost. Several performance measures will be addressed by different sections of this POP as follows:

POP 401-1 Aid and Assist Evaluations – This section is tied to the AMH performance measure under Operational Process 3 – System of Care Implementation and Management: Access to Care. This measure is calculated on the percentage of adults with serious and persistent mental illness who receive community-based vs. facility-based services. Performance will be monitored by collecting and analyzing data on individuals in this category who access community-based services instead of accessing services at the Oregon State Hospital. OHA proposes one FTE to oversee the initiative, ensure accurate data collection in the Measurement and Outcomes Tracking System (MOTS), monitor the measure through data analysis and produce management reports.

POP 401-2 Mental Health Certification – This section is tied to an AMH performance measure under Operational Process 6 – Regulating: Timeliness of Reviews. This measure is about conducting mental health licensing and certification activities. It is calculated upon the percentage of reviews that are completed as scheduled. Progress is documented on the AMH scorecard and monitored during quarterly target reviews. The significance of this activity is linked to client safety and provider quality.

**5. DOES THIS POP REQUIRE A CHANGE(S) TO AN EXISTING STATUTE OR REQUIRE A NEW STATUTE? IF YES, IDENTIFY THE STATUTE AND THE LEGISLATIVE CONCEPT.**

This POP will not require any statutory changes, but applicable Oregon Administrative Rules may need to be revised to reflect the new practice.

**6. WHAT ALTERNATIVES WERE CONSIDERED AND WHAT WERE THE REASONS FOR REJECTING THEM?**
POP 401-1 Aid and Assist Evaluations – Oregon State Hospital and community judicial system partners work to coordinate the services and divert those not appropriate. The current efforts have not been successful to reduce referrals.

POP 401-2 Mental Health Certification – The Oregon Health Authority could elect to revise Oregon Administrative Rules so that the community mental health programs retain their current regulatory responsibilities. While this alternative may appear to be simple, it contradicts the significant efforts previously supported by the Legislature for health system transformation. The other alternative was to not certify community-based mental health providers. The lack of adequate regulatory oversight of community-based mental health providers would seriously jeopardize the health, safety, and clinical outcomes of Oregon's most vulnerable individuals.

**7. WHAT WOULD BE THE ADVERSE EFFECTS OF NOT FUNDING THIS POP?**
POP 401-1 Aid and Assist Evaluations – Oregon State Hospital could become overcrowded. Individuals will have to wait longer than seven days in the jail for a bed at Oregon State Hospital. Oregon Health Authority may be in contempt of a federal judge's order.

POP 401-2 Mental Health Certification – The adverse effect of not funding this POP would include increased administrative burden to coordinated care organizations and community-based mental health providers, and potential harm to those Oregonians receiving mental health services.

**8. WHAT OTHER AGENCIES (STATE, TRIBAL AND/OR LOCAL GOVERNMENT) WOULD BE AFFECTED BY THIS POP? HOW WOULD THEY BE AFFECTED?**
N/A

**9. WHAT OTHER AGENCIES, PROGRAMS or STAKEHOLDERS ARE COLLABORATING ON THIS POP?**
The Oregon Health Authority (OHA) held a series of regional stakeholder meetings composed of coordinated care organizations, community mental health programs, community-based mental health providers, and consumers and family members to discuss the future of Oregon's behavioral health regulatory framework. The stakeholder meetings reflected broad support and understanding for the need to centralize OHA's certification activities. OHA is engaged in conversations with other agency leadership to explore funding options for the Alcohol and Drug Policy Commission. These options are not expected to be finalized before October.

**10. WHAT IS YOUR EQUITY ANALYSIS?**
This POP will allow for better analysis of service equity through the collection of demographic data for analysis around access, risks and outcomes.

**11. WHAT ASSUMPTIONS AFFECT THE PRICING OF THIS POP?**

**Implementation Date(s):**

**401-1 Aid and Assist Evaluations in the Community:** Position for 20 months, Costs of Services for 14 months

**401-2 Mental Health Certification:** Positions for 24 months

a) **Will there be new responsibilities for the Oregon Health Authority? Specify which Program Area(s) and describe their new responsibilities.**

☒ CMH

**401-1 Aid and Assist Evaluations in the Community:**

The CMHP will provide the staff person (Operations and Policy Analyst 3) for the coordination and collaboration. Specific performance targets will be identified.

**401-2 Mental Health Certification:**

In order to provide adequate oversight of the community-based mental health system, the Oregon Health Authority requests four (4) Compliance Specialist 3 positions to carry out the workload that was previously performed by the 34 Community Mental Health Programs.

b) **Will there be new Shared Services impacts sufficient to require additional funding? Specify which office(s) (i.e., facilities, computer services, etc.) and describe how it will be affected.**

Yes, the position pricing requests include Shared Services costs necessary for any new positions.

c) **Will there be changes to client caseloads or services provided to population groups? Specify how many in each relevant program.**

N/A

d) **Will it take new staff or will existing positions be modified? For each classification, list the number of positions and the number of months the positions will work in each biennium. Specify if the positions are permanent, limited duration or temporary.**

**401-1 Aid and Assist Evaluations in the Community:**
1 Operations and Policy Analyst 3, 20 months, PF
**401-2 Mental Health Certifications:**
4 Compliance Specialists 3, 24 months, PF

e) **What are the start-up costs, such as new or significant modifications to computer systems, new materials, outreach and training?**
Usual costs for regular new employees.

f) **What are the ongoing costs?**
Usual ongoing salary, benefits and S&S costs for regular employees.

g) **What are the potential savings?**
N/A

h) **Based on these answers, is there a fiscal impact?**
Yes.

**TOTAL FOR THIS PACKAGE**

| Category | GF | OF | FF | TF | Position | FTE |
|---|---|---|---|---|---|---|
| Personal Services | $856,417 | 0 | 0 | $856,417 | 5 | 4.83 |
| Services and Supplies | $179,304 | 0 | 0 | $179,304 | | |
| Special Payments | $3,880,800 | 0 | 0 | $3,880,800 | | |
| **Total** | **$4,916,521** | **$0** | **$0** | **$4,916,521** | **5** | **4.83** |

**Oregon Health Authority – Fiscal Impact Summary by Program Area:**

| | CMH | PS | Total |
|---|---|---|---|
| **General Fund** | $3,880,800 | $1,035,721 | $4,916,521 |
| **Other Fund** | $0 | $0 | $0 |
| **Federal Funds- Ltd** | $0 | $0 | $0 |
| **Total Funds** | $3,880,800 | $1,035,721 | $4,916,521 |
| **Positions** | 0 | 5 | 5 |
| **FTE** | 0.00 | 4.83 | 4.83 |

**What are the sources of funding and the funding split for each one?**
This POP is funded by General Funds.

# 2015-2017 Policy Option Package

| | |
|---|---|
| **Agency Name:** | **Oregon Health Authority** |
| **Program Area Name:** | **Health Policy Programs** |
| **Program Name:** | **Office of Health Information Technology, Transformation Center, Office of Health Policy and Research, and Office of Equity and Inclusion** |
| **Policy Option Package Initiative:** | **N/A** |
| **Policy Option Package Title:** | **Promote Innovative Health Systems Solutions - HP** |
| **Policy Option Package Number:** | **402** |
| **Related Legislation:** | **POP 402-1 Oregon Law 2013, Ch. 603 (Senate Bill 604), LC482;**<br>**POP 402-3 ORS 414.655 and 442.210, 2011 OL, Chapter 602 (HB 3650);**<br>**POP 402-4 HB3650, SB1580;**<br>**POP 402-5 HB3650, SB1580, HB3407** |
| **Program Funding Team:** | **Healthy People** |

**Summary Statement:**

This Policy Option Package would provide Health Policy Programs of the Oregon Health Authority with the necessary resources to promote innovative health system solutions and services. It consists of five sub-categories, two of which were funded in the Governor's Budget: 402-1 Common Credentialing and Provider Directory/Health Information Exchange **(while limitation as part of this Policy Option Package was not included in the Governor's Budget, see program unit narrative regarding the Stage Gate Review status for Common Credentialing )**, 402-2 Continuation of Health System Transformation/Transformation Center, 402-3 Continuation of Health System Transformation/Office of Health Policy and Research, 402-4 Equity and Inclusion Transformation Coaches **(not funded in the Governor's Budget)**, and 402-5 OEI Traditional Health Workers **(not funded in the Governor's Budget)**.

**402 Total HPP:**

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $2,402,928 | $0 | $2,398,495 | $4,801,423 |

**402-2 Continuation of Health System Transformation/Transformation Center:**

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $1,042,899 | $0 | $1,040,051 | $2,082,950 |

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $1,360,029 | $0 | $1,358,444 | $2,718,473 |

## 1. WHAT WOULD THIS POLICY OPTION PACKAGE (POP) DO AND HOW WOULD IT BE IMPLEMENTED?

**402-2 Continuation of Health System Transformation – Transformation Center:**
This policy option package supports the continuation of the Transformation Center's staffing and activities at current level of service after the State Innovation Model federal grant ends on September 30, 2016. The Transformation Center provides technical assistance to coordinated care organizations and other health system transformation stakeholders to foster the rapid dissemination of innovative practices to achieve the triple aim: better care, better health, and lower costs.

This POP would support 4.94 FTE (or 13 positions for nine months) to continue learning collaboratives on a variety of stakeholder identified content areas; extend another cycle of the Council of Clinical Innovators program; coordinate strategy and activities focused on the social determinants of health and health equity; and spread a culture of innovation through training and shared learning experiences.

Funding provided by the POP also supports contracts for consulting services through a technical assistance bank available to coordinated care organizations and other partners and stakeholders.

The POP resources would also support:

- Partner meetings to share lessons learned, provide training, and make presentations;
- Video and telephone conference costs to reduce travel expenses and to facilitate cost-effective, real-time communications for learning collaboratives; and
- Other program-related expenses.

**402-3 Continuation of Health System Transformation – Office of Health Policy and Research:**

This POP continues efforts toward transforming health care delivery and supports the state's efforts to improve quality, provide better care, and lower costs for the remainder of the biennium after the CMS State Innovation Model grant ends on September 30, 2016. The specific program activities continuing would include:

**All-Payer All-Claims (APAC) data collection program**

The All-Payer All-Claims data collection program is a central data source for the production of metrics to evaluate the performance of coordinated care organizations, other OHA programs and private carriers. APAC holds the promise of providing better data to benchmark performance, identify quality improvement opportunities, and inform consumer decision-making through increased access to better cost and quality information. OHA has collected four years of APAC data – from January 2010 to June 2014. Data is submitted quarterly and is maintained by a contractor (currently the Milliman Corporation). This POP would provide sustained funding for

contracted services and ensure that the APAC data collection and analysis continues for the remainder of the 2015-2017 biennium. Specific APAC activities that would continue include:

- Administration of the biennial Oregon Health Insurance Survey; and
- Continuation of three limited duration APAC research and policy analyst positions (1.14 FTE) for nine months.

**Patient-Centered Primary Care Home (PCPCH) Program**

This POP would support the continuation of the patient-centered primary care home program across all OHA program areas including Medicaid, PEBB and OEBB, after State Innovation Model grant funding ends on September 30, 2016. Coordinated care organizations require a strong primary care system delivered through a network of recognized patient centered primary care home (PCPCH) providers. Activities underway that would continue include:

- PCPCH provider application verification site visits (audits);
- Provider technical assistance and learning opportunities;
- Developing opportunities for multi-payer participation in the program;
- PCPCH provider application operation;
- PCPCH consultation with clinics; and
- Continuation of three limited duration program analyst positions (1.14 FTE) for nine months.

Sustaining the program and what it does will ensure Oregon complies with federal requirements in the development of State Plan amendments. It also maximizes federal and other funding opportunities. Additionally, it sustains: the linking of PCPCH and CCO implementation across OHA; development and implementation of educational tools and processes for client identification that can be used by PCPCH sites statewide; and improved processes for PCPCH provider payments.

**Health Evidence Review Commission (HERC)**
With this POP, the Health Evidence Review Commission (HERC) will be able to carry on its work of identifying and interpreting research that is vital to Oregon's health system transformation. This includes acting on the results of the evidence-based guidelines, health technology assessments, and coverage guidance that HERC produces.

**Transformation Center Business Operations**
Finally, this POP provides continued funding for two limited duration positions (.76 FTE) in the Office for Health Policy and Research (OHPR) budget. The positions support the Transformation Center's operations and business functions. OHA's request to continue program operations and staffing for the final nine months of the biennium is more fully described in Policy Option Package 402-2.

## 2. WHY DOES OHA PROPOSE THIS POP?

**402-2 Continuation of Health System Transformation/Transformation Center:**
The purpose is to support continued health transformation activities at the current service level after the federal State Innovation Model grant ends on September 30, 2016.

The Transformation Center is the hub for health transformation activities for the Oregon Health Authority, coordinated care organizations, and other internal and external partners. Investments from the CMS State Innovation Model (SIM) grant, as well as resources from the Medicaid 1115 waiver, have funded both start-up and operational costs for the Transformation Center.

The Transformation Center has shown great progress establishing positive, productive relationships with coordinated care organizations and other stakeholders on health transformation activities across the health care

delivery system. The Oregon Health Authority would like to continue this work after the SIM grant ends on September 30, 2016.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**

HB 2009, Oregon's health care reform legislation, created the Oregon Health Authority to advance the "triple aim" goals of better health, improved patient care, and lower costs. This POP would support efforts to advance these goals in the areas of providing quality data analytics, improving primary care and care coordination, and developing evidence-based clinical research.

It includes resources for the Office of Health Analytics to continue the implementation of an all-payer all-claims (APAC) data collection program that is a cornerstone data source for measuring the performance of OHA, coordinated care organizations, and larger multi-payer health reform efforts. An all-payer data collection program makes available to all Oregonians cost and quality information based on the experience of the 90 percent of residents who are insured. This allows us to understand how well the health care delivery system in Oregon is dealing with key cost drivers such as chronic illnesses, and how regional outcomes compare across the state. The Patient Centered Primary Care Home (PCPCH) Program is a model of primary care that has been recognized for its ability to advance the triple aim goals through a focus on wellness and prevention, coordination of care, active management and support of individuals with special health care needs, and a patient and family-centered approach to all aspects of care. In its Action Plan for Health, the Oregon Health Policy Board charged the Oregon Health Authority (OHA) with providing access to patient-centered primary care for everyone it covers, including Medicaid recipients, state employees, and Oregon educators. A strong primary care system delivered through a network of recognized PCPCH providers is a requirement of CCOs. Without sustainable program funding and a system for recognizing PCPCHs, CCOs will not have this strong primary care foundation for Oregon's health system transformation.

The Health Evidence Review Commission (HERC) was created in January 2012 to do the work of the previous Health Services Commission. This includes management of the Oregon Health Plan's Prioritized List of Health

Services (which serves as the basis of benefits in the Oregon Health Plan). It was also charged with continuing the health technology assessment work of the previous Health Resources Commission.

If funded through this POP, HERC can provide better access to clinical outcomes and effectiveness reviews that will help providers and patients better incorporate evidence-based medicine into their daily decisions to improve the health care system as a whole.

**3. HOW DOES THIS FURTHER THE AGENCY'S MISSION OR GOALS? HOW DOES THIS FURTHER THE PROGRAM FUNDING TEAM OUTCOMES OR STRATEGIES?**

**402-2 Continuation of Health System Transformation/Transformation Center:**

The Transformation Center is the coordination hub for Oregon's health system transformation. It focuses resources on fundamentally changing:

- How health care is delivered in Oregon;
- How people experience their care; and
- How health care is paid for.

The Transformation Center also:

- Works closely with the DHS Aging and People with Disabilities to address the long-term supports and services needed for vulnerable adults and children.
- Collaborates with Public Health on its Healthy People goal to shift toward a focus on the prevention of chronic disease.
- Cooperates with Public Health and the Office of Equity and Inclusion to create population health plans that include all members of the community, based on the coordinated care organizations' community health assessments and improvement plans.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**

This POP directly support OHA's mission to further the triple aim of improved health, higher quality of care, and reduced costs through each of the program areas:

- Analysis of all-payer all-claims data will allow Oregon to analyze, report on, and evaluate OHA and Oregon progress toward the triple aim.
- Continued support of the PCPCH program will allow Oregon to meet the Oregon Health Policy Board's goals for providing PCPCH access to 75 percent of Oregonians and to everybody covered by OHA.
- The Health Evidence Review Commission's work on evidence-based guidelines and technology effectiveness will improve the lifelong health of Oregonians by encouraging the most effective health care services and discouraging the use of ineffective or harmful services. This will lower health care costs and lead to care that is high in quality and reliability, improving health in the communities through evidence-based interventions.
- Supporting the operations of the Transformation Center will enable the agency to continue work with coordinated care organizations and other stakeholders to increase the span and pace of innovation across the health care delivery system. Methods include learning collaboratives, clinical innovation, integrating services, improving the social determinants of health, and developing alternative payment models.

**4. IS THIS POP TIED TO AN OHA PERFORMANCE MEASURE? IF YES, IDENTIFY THE PERFORMANCE MEASURE. IF NO, HOW WILL OHA MEASURE THE SUCCESS OF THIS POP**?

**402-2 Continuation of Health System Transformation/Transformation Center:**

The work of the Transformation Center relates most closely to the Oregon Health Authority key performance measures below:

- Follow-up after hospitalization for mental illness;
- Mental health assessments for children in DHS custody;
- Physical health assessments for children in DHS custody;

- Follow-up care for children prescribed ADHS medications (initiation);
- Follow-up care for children prescribed ADHD medications (continuation);
- Prenatal care (Medicaid);
- Patient centered primary care home enrollment;
- Access to care;
- Member experience of care;
- Member health status;
- Rate of tobacco use;
- Rate of obesity;
- Plan all cause readmissions;
- Effective contraceptive use;
- Flu shots (Medicaid); and
- Child immunization rates.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**

Funding this POP would provide the data central to monitoring and reporting on the quality, health outcome, and cost trends for coordinated care organizations. This data is also needed to assess the impact of health reform across public and private health programs. Transparency in health care cost and quality is central to improvement. It also is connected to many agency-wide key performance measures and allows the agency to apply metrics to private payers as well. There are measures currently included in proposed evaluations of OHA health system transformation directly related to implementation of the PCPCH program and its success. Further, CCOs are required to report on implementation status of primary care homes in their organizations. The evidence-based decision tools of the HERC program cover all areas of health care services, and can affect all performance measures tied to the effectiveness of treatment.

**5. DOES THIS POP REQUIRE A CHANGE(S) TO AN EXISTING STATUTE OR REQUIRE A NEW STATUTE? IF YES, IDENTIFY THE STATUTE AND THE LEGISLATIVE CONCEPT.**

**402-2 Continuation of Health System Transformation/Transformation Center:**
No.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
No.

**6. WHAT ALTERNATIVES WERE CONSIDERED AND WHAT WERE THE REASONS FOR REJECTING THEM?**

**402-2 Continuation of Health System Transformation/Transformation Center:**
OHA is requesting this POP to ensure continued support to coordinated care organizations and other stakeholders. Without this POP, the Transformation Center would continue on a much reduced scale with only 9.00 FTE innovator agents. As a part of the federal State Innovation Model grant, the Transformation Center will develop a sustainability plan. It's possible that foundation or other federal grants may become available to support the technical assistance provided currently through the Transformation Center; however, we have not identified any likely sources for this support at this time.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
An alternative to this POP is to continue relying on grant funding. However, current grant funding is scheduled to end September 30, 2016. While there is an active process to search for additional grant funding opportunities, none have been identified to date.

## 7. WHAT WOULD BE THE ADVERSE EFFECTS OF NOT FUNDING THIS POP?

**402-2 Continuation of Health System Transformation/Transformation Center:**
The support for transformation and innovation would be severely limited by failure to fund this POP. The rate and spread of innovation could potentially slow, resulting in a negative impact on Oregon's considerable investment in health transformation and the coordinated care model.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
Information from the All Payer All Claims data collection program is beginning to benefit all OHA programs including PEBB, OEBB and Medicaid, as well as private purchasers who choose to use this data as they make purchasing decisions. The data will support the Public Health disease surveillance activities. Not funding this program would result in the elimination of potential significant future savings the program can provide.

The patient-centered primary care home (PCPCH) program has been identified as a priority of the agency and has caused primary care providers to make significant time and resource investments in the types of transformation required for program participation. If the program funding lapsed:

1. OHA may not meet its patient-centered primary care access goals.
2. Overall health care expenditures by OHA may not decrease as forecast; and
3. The quality of care patients receive may not improve as they would under this patient-centered primary care model.

If the Health Evidence Review Commission elements of this POP were not funded, Oregon could lose the use of a tool that is critical to stemming rising health care costs. It would also hamper momentum the state has gained in the use of comparative effectiveness research to inform purchasing decisions. OHA clients and state employees could receive care that is ineffective or harmful.

Many stakeholders, in and outside of state government, are involved in these programs. Not funding this POP could result in a significant decrease in confidence among the provider and stakeholder community.

**8. WHAT OTHER AGENCIES (STATE, TRIBAL AND/OR LOCAL GOVERNMENT) WOULD BE AFFECTED BY THIS POP? HOW WOULD THEY BE AFFECTED?**

**402-2 Continuation of Health System Transformation/Transformation Center:**

The Transformation Center works closely with several other state agencies to develop and execute strategy and coordinate activities. Partner agencies include: the Early Learning Council and the Early Learning Hubs, Regional Solutions, Department of Human Services, Public Employees Benefit Board, and the Oregon Educators Benefit Board. Additionally, the Transformation Center works closely with the local community advisory councils for each of the coordinated care organizations, and local county health departments. Without the Transformation Center to serve as a backbone organization, there would be less collaboration and shared transformation activities between CCOs and these organizations.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**

The Oregon Department of Consumer and Business Services (DCBS) has received a grant to use the all payer all claims data to help evaluate insurance rate review and to increase the transparency of the rate review process. Not funding this program area would end any public process they have created to meet these goals.

County health and behavioral health clinics also rely on the information, technical assistance, and training they receive from the PCPCH and HERC programs to deliver effective care and improve health outcomes for their patients.

## 9. WHAT OTHER AGENCIES, PROGRAMS or STAKEHOLDERS ARE COLLABORATING ON THIS POP?

**402-2 Continuation of Health System Transformation/Transformation Center:**
None at this time.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
None at this time.

## 10. WHAT IS YOUR EQUITY ANALYSIS?

**402-2 Continuation of Health System Transformation/Transformation Center:**
The Transformation Center staff works closely with the Office for Equity and Inclusion (OEI). Several of the Transformation Center staff has expertise in the social determinants of health and or health equity. They collaborate with partners in OEI and with other partners to address the burden of health disparities and to increase health equity. For example, one of the transformation analysts serves as a liaison to OEI, and presents health equity training to the Transformation Center staff to ensure an equity lens is brought to decision making, resource allocation and service delivery. Other transformation analysts have expertise in the social determinants of health and equity and work specifically with coordinated care organizations and their partners to reduce health disparities in local communities. This work may focus on effective communication with specific populations, targeted outreach or intervention efforts, or relationship and coalition building to create population-based strategies that improve health equity and reduce health disparities.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
The three programs included in this package all analyze data to identify areas where health disparities are affecting the quality of care and health outcomes of the people we serve. They then identify clinical practices to address the disparities and ensure that all Oregonians have equal access to high quality health care services.

## 11. WHAT ASSUMPTIONS AFFECT THE PRICING OF THIS POP?

**402-2 Continuation of Health System Transformation/Transformation Center:**
**Implementation Date(s):** October 1, 2016

**End Date (if applicable):** Not applicable; program will be ongoing.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
**Implementation Date(s):** October 2016

**End Date (if applicable):** Not applicable; programs will be on-going.

a) **Will there be new responsibilities for OHA? Specify which Program Area(s) and describe their new responsibilities.**

   **402-2 Continuation of Health System Transformation/Transformation Center:**
   No. This is a service continuation package.

   **402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
   No. This POP will sustain work that is already underway and that has historically been funded by the CMS State Innovation Model grant, which is ending on September 30, 2016.

b) **Will there be new Shared Services impacts sufficient to require additional funding? Specify which office(s) (i.e., facilities, computer services, etc.) and describe how it will be affected.**

**402-2 Continuation of Health System Transformation/Transformation Center:**
The Transformation Center does not anticipate additional impacts on most areas of Shared Services as a result of this POP. The only ongoing work that will need to be absorbed will be in the Contracts and Procurement unit, as a result of the loss of 1.00 FTE Contracts and Procurement Specialist 3 at the end of the grant period.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
No

**c) Will there be changes to client caseloads or services provided to population groups? Specify how many in each relevant program.**

**402-2 Continuation of Health System Transformation/Transformation Center:**
No.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
No. However, the HERC program's goal is to provide more services proven to be effective and fewer services shown to be ineffective, harmful, or not as cost-effective as other alternatives. This could potentially affect the number of clients who receive health care services through state programs such as the Oregon Health Plan and state employees receiving health care through PEBB and OEBB plans.

**d) Will it take new staff or will existing positions be modified? For each classification, list the number of positions and the number of months the positions will work in each biennium. Specify if the positions are permanent, limited duration or temporary.**

**402-2 Continuation of Health System Transformation/Transformation Center:**
This POP will extend 11 limited duration staff to the end of the biennium after the end of the grant in September, 2016. These positions include:

1 PEM H, .38 FTE, for nine months
1 PEM G, .38 FTE, for nine months
2 PEM E, .76 FTE, for nine months
3 OPA 4, 1.52 FTE, for nine months
1 OPA 3, .38 FTE, for nine months
2 OPA 2, .76 FTE, for nine months
1 Executive Assistant 2, 2.5 FTE, for nine months
11 LF positions = 4.18 FTE

It will modify 2 Limited Duration (LF) staff to Permanent (PF) positions after the end of the grant in September, 2016:

1 OPA 4, .38 FTE, for nine months
1 OPA 3, .38 FTE, for nine months
2 PF positions = .76 FTE

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
This POP will extend eight limited duration staff to the end of the biennium after the end of the State Innovation Model grant in September, 2016. These positions include:

A. APAC:
2 Research Analyst 4, .76 FTE, for nine months
1 Operations and Policy Analyst 4, .38 FTE, for nine months

B. PCPCH
1 Program Analyst 2, .38 FTE, for nine months
2 Program Analyst 3, .76 FTE, for nine months

C. HERC
N/A

D. Transformation Center Operations:
1 Principal Executive/Manager E, .38 FTE, for nine months
1 Operations and Policy Analyst 3, .38 FTE, for nine months

Total of eight LF positions = 3.04 FTE

**e) What are the start-up costs, such as new or significant modifications to computer systems, new materials, outreach and training?**

**402-2 Continuation of Health System Transformation/Transformation Center:**
No new start-up costs. Start-up costs were absorbed by the federal State Innovation Model grant.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
None

**f) What are the ongoing costs?**

**402-2 Continuation of Health System Transformation/Transformation Center:**
Ongoing costs include professional services supporting technical assistance, learning collaboratives, alternative payment models, and other health system transformation activities.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
Ongoing costs include professional services for APAC data analytics, administration of the OHIS survey, PCPCH clinical support and technical assistance services, PCPCH application operation, and development of HERC evidence-based decision tools for providers and patients.

**g) What are the potential savings?**

**402-2 Continuation of Health System Transformation/Transformation Center:**
This POP will continue to support the dissemination of research- and evidence-based medical information to coordinated care organizations, PEBB and OEBB, and other health plans in Oregon, which they can use to promote cost-effective health care practices. The exact amount of the cost savings is undetermined, but is believed to have a multi-million dollar impact.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
In general, the investment in a fundamental tool for health care analytics and improved use of evidence-based decision-making for OHA programs will decrease total health care system costs. These cost reductions will extend to health care services in other publicly funded programs such as PEBB and OEBB, and also to private payers and their members and employees.

In addition, the PCPCH program has identified an estimated $99.8 million in savings as a result of improved care coordination through the use of its services.

By disseminating HERC's evidence-based decision tools to patients and providers, OHA has the ability to reduce the current and future health and economic costs associated with chronic conditions, potentially saving hundreds of millions of additional dollars.

**h) Based on these answers, is there a fiscal impact?**

**402-2 Continuation of Health System Transformation/Transformation Center:**
Yes.

**402-3 Continuation of Health System Transformation/Office of Health Policy and Research:**
Yes.

**TOTAL FOR THIS PACKAGE**

| Category | GF | OF | FF | TF | Position | FTE |
|---|---|---|---|---|---|---|
| Personal Services | $893,376 | 0 | $893,376 | $1,786,752 | 21 | 7.98 |
| Services and Supplies | $1,509,552 | 0 | $1,505,119 | $3,014,671 | | |
| **Total** | **$2,402,928** | **$0** | **$2,398,495** | **$4,801,423** | **21** | **7.98** |

**Oregon Health Authority - Fiscal Impact Summary by Program Area:**

| | OHIT | Transformation Center | OHPR/HA | OE&I | Total |
|---|---|---|---|---|---|
| **General Fund** | **$0** | **$1,042,899** | **$1,360,029** | **$0** | **$2,402,928** |
| **Other Fund** | **$0** | **$0** | **$0** | **$0** | **$0** |
| **Federal Funds- Ltd** | **$0** | **$1,040,051** | **$1,358,444** | **$0** | **$2,398,495** |
| **Total Funds** | **$0** | **$2,082,950** | **$2,718,473** | **$0** | **$4,801,423** |
| **Positions** | **0** | **13** | **8** | **0** | **21** |
| **FTE** | **0.00** | **4.94** | **3.04** | **0.00** | **7.98** |

**What are the sources of funding and the funding split for each one?**

**Transformation Center Revenue Impact:**

| Description of Revenue | OF | FF | TF |
|---|---|---|---|
| Medicaid (Comp Srce 0995) | 0 | 1,040,051 | 1,040,051 |
| **Total** | **$0** | **$1,040,051** | **$1,040,051** |

**Office of Policy and Research Revenue Impact:**

| Description of Revenue | OF | FF | TF |
|---|---|---|---|
| Medicaid (Comp Srce 0995) | 0 | 1,358,444 | 1,358,444 |
| **Total** | **$0** | **$1,358,444** | **$1,358,444** |

# 2015-2017 Policy Option Package

| | |
|---|---|
| **Agency Name:** | **Department of Human Services/Oregon Health Authority** |
| **Program Name:** | **Office of Equity and Multicultural Services (OEMS) and Office of Equity and Inclusion (OEI)** |
| **Policy Option Package Initiative:** | **N/A** |
| **Policy Option Package Title:** | **Race, Ethnicity, and Language and Disability (REAL-D)** |
| **Policy Option Package Number:** | **201** |
| **Related Legislation:** | **HB 2134** |
| **Program Funding Team:** | |

**Summary Statement:**

This Policy Option Package supports the establishment of uniform standards and practices in the Oregon Health Authority (OHA) and Department of Human Services (DHS) for the collection of data on race, ethnicity, preferred spoken or signed language, preferred written language, and disability status.

It supports designing, building and implementing a tool to collect, report and analyze this data, which the agencies need to comply with new health and service equity standards for all Oregonians.

| Policy Option Package Pricing: | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **DHS** | $743,644 | $1,000,000 | $0 | $3,514,796 |
| **OHA** | $1,771,152 | $0 | $0 | |

**1. WHAT WOULD THIS POLICY OPTION PACKAGE (POP) DO AND HOW WOULD IT BE IMPLEMENTED?**
This policy option package would create and begin using a central system for data about the race, ethnicity, preferred spoken or signed language, preferred written language, and disability status of all persons served by the Department of Human Services and the Oregon Health Authority.

Based on various requirements of federal law and rules, DHS and OHA have developed administrative rules and policies for collecting, analyzing, and reporting meaningful data about client race, ethnicity, language and disabilities, which we are calling "REAL+D".

For the remainder of the 2013-2015 biennium DHS and OHA will inventory and analyze all of their business processes, systems and reports that capture, update and use REAL+D data. It will tell the agencies what we need to do to fully implement HB 2134.

Funding of this POP would create a system that would allow workers and clients to view, update and maintain their own profile including REAL+D information. Appropriate analytics units in DHS and OHA would use REAL+D to collect, analyze and report on services related to various demographic groups to help reduce health and human services disparities. Better data would increase the state's understanding of the causes of disparities, support the design of effective responses, and enable evaluation of improvements over time.

## 2. WHY DO DHS and OHA PROPOSE THIS POP?

The Department of Human Services and the Oregon Health Authority both established equity (service equity and health equity) as part of their core values. Improving data systems is a key component of continuous quality improvement efforts that lead to health and service equity.

Problems with data prevent both agencies from knowing the full extent of inequity and from measuring the impact of efforts to assure equity. Tremendous inconsistencies exist in the data collected by different government health and human service agencies and programs. Even definition of the terms “race,” “ethnicity,” and “disability” vary across institutions.

The data collection standards used by state agencies are inconsistent and insufficient to adequately assess the status and needs of Oregon’s diverse communities. This makes it difficult to analyze how race, ethnicity and language affect individual and community health, making services more expensive and less effective in addressing community needs.

DHS and OHA need to implement data standards, data architecture and data governance to address the current requirements and implement business practices that ensure data quality.

## 3. HOW DOES THIS FURTHER THE AGENCY’S MISSION OR GOALS? HOW DOES THIS FURTHER THE PROGRAM FUNDING TEAM OUTCOMES OR STRATEGIES?

Both DHS and OHA are focused on equity and inclusion in the service of the citizens of Oregon. Without a unified method of collecting this information the agencies cannot effectively review the results of their services and identify ways to improve services to certain populations. The standardized methodology will allow DHS and OHA to demonstrate progress toward reductions in racial and ethnic disparities by increasing transparency in reporting indicators by race and ethnicity. In addition, it will allow DHS and OHA to consistently meet federal reporting expectations and will make it easier to compare Oregon’s progress in addressing racial and ethnic disparities with national trends.

**4. IS THIS POP TIED TO A DHS and OHA PERFORMANCE MEASURE? IF YES, IDENTIFY THE PERFORMANCE MEASURE. IF NO, HOW WILL DHS and OHA MEASURE THE SUCCESS OF THIS POP**?

Having client data routinely and accurately collected by race, ethnicity, language and disability will assist DHS and OHA in better understanding disparities in need, access, quality, and outcomes of services.

This POP is directly tied to several outcome measures for the Department of Human Services: 05: Service Equity; 06: Employee Engagement; 04: Customer Satisfaction and 07: Workforce Diversity. It is also directly tied to one of DHS's Breakthroughs: Improving Service Equity; as well as process measure OP2.3: Ensuring equitable access and inclusivity.

Efforts to improve data collection across OHA directly address the key goals, core processes and sub-processes defined by the OHA Strategic Plan and operational fundamentals, including the following:

- Ensuring data integrity;
- Ensuring equity in policy and program design;
- Ensuring equity in program delivery;
- Providing or ensuring culturally responsive interventions;
- Establishing and implementing quality control mechanisms;
- Ensuring health, safety and client rights in publicly-funded programs;
- Ensuring civil rights for customers, members, clients and participants;
- Assessing quality and return on investment; and
- Ensuring accountability for results.

**5. DOES THIS POP REQUIRE A CHANGE(S) TO AN EXISTING STATUTE OR REQUIRE A NEW STATUTE? IF YES, IDENTIFY THE STATUTE AND THE LEGISLATIVE CONCEPT.**

No.

**6. WHAT ALTERNATIVES WERE CONSIDERED AND WHAT WERE THE REASONS FOR REJECTING THEM?**

After the REAL+D Policy came into effect, the Office of Information Services (OIS) looked into what it would take to comply with this policy using existing systems. OIS would need to modify 17 of the 40 legacy systems that contain person information to address the data collection requirements alone. It would also require organizational change management, training, survey modification, forms modification, and or analysis of the many operational and contractual constraints of these sensitive data systems. This approach was rejected because of the financial costs involved as well as the ongoing disruption to workers in both agencies during the extensive modification of separate systems.

**7. WHAT WOULD BE THE ADVERSE EFFECTS OF NOT FUNDING THIS POP?**

Without funding for this project, DHS and OHA would not be able to effectively collect timely and reliable data to assist in identifying racial, ethnic, language and disability disparities.

**8. WHAT OTHER AGENCIES (STATE, TRIBAL AND/OR LOCAL GOVERNMENT) WOULD BE AFFECTED BY THIS POP? HOW WOULD THEY BE AFFECTED?**

Improvements in data collection will support the dissemination of accurate data to other state, tribal and local governments, as well as coordinated care organizations and community-based organizations. The implementation of this POP would make data reporting and analysis more consistent between DHS and OHA and their governmental partners. It also would provide better data to governmental partners who are also charged with providing equitable access to and outcomes of services.

**9. WHAT OTHER AGENCIES, PROGRAMS or STAKEHOLDERS ARE COLLABORATING ON THIS POP?**
This POP has been a collaboration between DHS and OHA. The steering committee that will implement this POP will include representatives of affected stakeholders and programs.

**10. WHAT IS YOUR EQUITY ANALYSIS?**
DHA and OHA both consider equity (service equity and health equity) as core values. In short, problems with collecting and analyzing data by race, ethnicity, language and disability prevent both agencies from knowing the full extent of inequity and from measuring the impact of efforts to ensure equity. Inadequate data collection standards make it difficult to analyze how race, ethnicity, language and disability affect individual and community health, making services more expensive and less effective in addressing community needs. Agency and contractor staff often lack training in best practice methods for collecting race, ethnicity, language and disability demographic information in a respectful and non-intrusive manner.

Improving data systems is a key component of continuous quality improvement efforts that lead to health and service equity. The ability to present data broken down by these demographic categories adds value to quality assurance and quality improvement efforts, promotes stewardship of public funds, and promotes governmental responsiveness and transparency.

**11. WHAT ASSUMPTIONS AFFECT THE PRICING OF THIS POP?**
Preliminary estimates predict that more than 40 systems that use client demographic data could be affected by REAL+D. In-depth analysis is required to confirm which systems, business processes, programs and stakeholders would actually be affected.

Additional assumptions include the need for redesigned forms on which demographic data are collected, staff training, and communications to educate staff and clients.

Both agencies assume that existing technology investments in data management capabilities could be leveraged to support the creation of REAL+D.

Current agency work focused on definitions of "client" would support REAL+D development.

**Implementation Date(s):** July 1, 2015

**End Date (if applicable):** Ongoing – until current systems are modified as much as possible and until new systems build in the standard upon development

a. **Will there be new responsibilities for DHS and OHA? Specify which Program Area(s) and describe their new responsibilities.**

☒ All DHS program staff that collect person-level information.

☒ DHS data analytics staff

☒ all OHA program staff who collect person-level information

☒ OHA data analytics staff

b. **Will there be new Shared Services impacts sufficient to require additional funding? Specify which office(s) (i.e., facilities, computer services, etc.) and describe how it will be affected. See Addendum A - Shared Services LC/POP Impact Questionnaire (at the end of this document).**
Yes, standard office equipment and supplies for new staff listed in the POP.

c. **Will there be changes to client caseloads or services provided to population groups? Specify how many in each relevant program.**
No.

d. **Will it take new staff or will existing positions be modified? For each classification, list the number of positions and the number of months the positions will work in each biennium. Specify if the positions are permanent, limited duration or temporary.**

| Positions | # of months | Type |
|---|---|---|
| 1- ISS8 Data Architect (OIS) | 24 months | Permanent |
| 1- ISS8 Application Integration Architect (OIS) | 24 months | Permanent |
| 2- OPA4 Business Architect (1 for DHS and 1 for OHA) | 24 months | Permanent |
| 1- PM3 Project Manager (OIS) | 22 months | Permanent |
| 4- OPA2 Business Transition Training Specialists (2 OHA, 2 DHS) | 22 months | Permanent |
| 2- ISS7 Configuration Specialists (1 for DHS and 1 for OHA) | 22 months | Permanent |
| 1 - ISS6 Testing Specialist (OIS) | 22 months | Permanent |

$2,870,700 – Personal Services

**e. What are the start-up costs, such as new or significant modifications to computer systems, new materials, outreach and training?**

| | |
|---|---|
| Expand DAS Enterprise Architecture Tool Capability to support effort | $15,000 |
| Technical Training | $15,000 |
| Technical Consultant for Siebel MDM tool | $150,000 |
| Technical Consultants/System Integrator MDM implementation and Oracle SOA implementation and Oracle SOA implementation (contracts) | $650,000 |
| QA (contract, as required) | $200,000 |
| Subtotal | $1,075,000 |

**f. What are the ongoing costs?**

| | |
|---|---|
| Enterprise Architecture Tool | $25,000 |
| Infrastructure for EA Tool | $20,000 |
| Subtotal | $45,000 |

**g. What are the potential savings?**

Improvements in the data collection systems will streamline data analysis because all systems will collect data in a consistent manner. We anticipate savings in time and staff resources in data analysis and reporting. Additionally, as health disparities and inequities are revealed through the standardized data collection, we anticipate improvements in the way the state and its external partners provide services, resulting in reduced costs for OHA, DHS and external partners.

**h. Based on these answers, is there a fiscal impact?**

Yes.

**TOTAL FOR THIS PACKAGE DHS/OHA**

| Category | GF | OF | FF | TF | Position | FTE |
|---|---|---|---|---|---|---|
| **DHS** | | | | | | |
| Personal Services | $471,025 | 0 | 0 | $471,025 | 3 | 2.84 |
| Services & Supplies | $270,801 | $1,000,000 | 0 | $1,270,801 | | |
| Special Payments | $1,818 | 0 | 0 | $1,818 | | |
| **OHA** | | | | | | |
| Personal Services | $1,546,325 | 0 | 0 | $1,546,325 | 9 | 8.52 |
| Services & Supplies | $224,827 | 0 | 0 | $224,827 | | |
| **Total** | **$2,514,796** | **$1,000,000** | **$0** | **$3,514,796** | **12** | **11.36** |

**DHS/OHA - Fiscal Impact Summary by Program Area:**

| | (OHA/OEI) | (DHS/OEMS) | Total |
|---|---|---|---|
| **General Fund** | $1,771,152 | $743,644 | $2,514,796 |
| **Other Fund** | $0 | $1,000,000 | $1,000,000 |
| **Federal Funds- Ltd** | $0 | $0 | $0 |
| **Total Funds** | $1,771,152 | $1,743,644 | $3,514,796 |
| **Positions** | 9 | 3 | 12 |
| **FTE** | 8.52 | 2.84 | 11.36 |

**What are the sources of funding and the funding split for each one?**

**DHS/OEMS Revenue Impact:**

| Description of Revenue | OF | FF | TF |
|---|---|---|---|
| Other (Comp Srce 0975) | $1,000,000 | 0 | $1,000,000 |
| **Total** | **$1,000,000** | **$0** | **$1,000,0000** |

# 2015-17 Policy Option Package

**Agency Name:** Oregon Health Authority
**Program Area Name:** Public Health - Center for Health Practice and Health Protection
**Program Name:** Public Health Laboratory and Radiation Projection Services
**Policy Option Package Initiative:** N/A
**Policy Option Package Title:** PH Fee Increases
**Policy Option Package Number:** Package 070 (see accompanying Policy Option Packages #407 and #408)
**Related Legislation:** Legislative Concept 425, and Senate Bill 333 Administrative Process
**Program Funding Team:** Healthy People

**Summary Statement:** This package removes the 2015-17 expenditure limitation associated with fee increases that had been approved in 2013-2015 interim, by the Department of Administrative Services, in the event the Legislature does not sanction the increases into 2015-2017. The package also removes expenditure limitation associated with a legislative concept (#425) that would raise the statutory cap on certain other radiation fees. The following programs would be affected:
The Public Health Laboratory's Newborn Metabolic Screening Program, Radioactive Materials Licensing, and Radiation Protection Services (RPS).

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $0 | $(2,030,091) | $0 | $(2,030,091) |

## 1. WHAT WOULD THIS POLICY OPTION PACKAGE (POP) DO AND HOW WOULD IT BE IMPLEMENTED?

If the Legislature does not sanction the fee increases administratively approved in 2013-2015, the following implementations would not be achieved during 2015-2017:

The Public Health Laboratory would not be able to continue testing for severe combined immunodeficiency (SCID), a primary immune disorder characterized by a defect in T-cell production and function. SCID is also described as the "bubble boy disease". Babies born with SCID may not be identified in time to receive treatment required to save their lives.

If the fee increases for Radiation Protection Services and the radioactive materials licensing is not continued, the PH RPS will not be able to meet the inspection schedules or fulfill the licensing responsibilities set by the Nuclear Regulatory Commission (NRC) and may jeopardize its status as an Agreement State.

## 2. WHY DOES OREGON HEALTH AUTHORITY PROPOSE THIS POP?

Early identification of infants with severe combined immunodeficiencies (SCID) allows them to receive bone marrow transplants and lead a normal life. The treatment is effective only until the baby is approximately three and one half months old. Without treatment, the baby will die. SCID screening is part of the US DHHS Secretary's Recommended Uniform Screening Panel for newborn screening. Screening started May 1, 2014, and the accompanying Policy Option Package #408 will continue the fee that funds the screening. During the first month of screening one baby was identified with SCID and referred to OHSU for treatment.

Radiation Protection Services and Radioactive Materials Licensing (RML) fees are assessed to recover the direct cost of operations and administrative functions relating to the regulation of the medical, academia, industrial, and research industries that use radioactive materials as part of their operations. The RML program is a 100% user fee supported program. It is projected that the proposed fee increases will generate the necessary income.

**3. HOW DOES THIS FURTHER THE AGENCY'S MISSION OR GOALS? HOW DOES THIS FURTHER THE PROGRAM FUNDING TEAM OUTCOMES OR STRATEGIES?**

Screening for severe combined immunodeficiencies (SCID) supports the Triple Aim of health care reform: Better health, better care, lower costs. Early identification of newborn disorders leads to early treatment, preventing morbidity and mortality. Early identification and treatment also reduce health care costs of treating sick infants and children.

Public Health has established and maintained a fee based business model providing Oregonians with regulations to reduce the exposure from radiation. The Nuclear Regulatory Commission (NRC) is the Federal oversight agency requiring the Public Health program to maintain minimum staffing levels, ensure that its regulatory program are compatible with the NRC's federal regulations, and that the program remains fiscally solvent to protect the health and safety of Oregonians. The proposed fee increases are essential to ensure compliance with NRC requirements.

**4. IS THIS POP TIED TO AN OREGON HEALTH AUTHORITY PERFORMANCE MEASURE? IF YES, IDENTIFY THE PERFORMANCE MEASURE. IF NO, HOW WILL OREGON HEALTH AUTHORITY MEASURE THE SUCCESS OF THIS POP**?

This POP is not tied to OHA Key Performance Measures. However, there are several metrics that can be used to measure success including:

- Evaluation of testing turn-around times for Newborn Screening
- Timely identification of babies born with metabolic disorders such as SCID and referral to treatment
- Compliance and enforcement percentage of radiation inspections completed within program and federal regulations
- The percentage of radioactive materials validation certificates issued within 10 business days of receipt of annual licensing payment

**5. DOES THIS POP REQUIRE A CHANGE(S) TO AN EXISTING STATUTE OR REQUIRE A NEW STATUTE? IF YES, IDENTIFY THE STATUTE AND THE LEGISLATIVE CONCEPT.**

This POP requires passage of the fee bill related to Senate Bill 333, and the legislative concept #425.

**6. WHAT ALTERNATIVES WERE CONSIDERED AND WHAT WERE THE REASONS FOR REJECTING THEM?**

a) Not screening for severe combined immunodeficiencies (SCID) was considered but rejected because universal SCID screening of newborns is now the national standard of practice and it prevents a universally fatal disease of infants.

b) Sending the samples to another laboratory for screening was rejected because it is more expensive, less timely, and makes it harder to ensure any affected infants are referred to treatment.

c) Radiation Protection Services considered establishing new radioactive materials licensing fees such as application fee, inspection fee, waste disposal fee, etc.; and evaluated if other radiation protection programs (i.e. regulation of lasers) should be implemented. However it was determined that statutory authority and stakeholder support needed further review and could not be completed by 2015-17, risking program solvency.

**7. WHAT WOULD BE THE ADVERSE EFFECTS OF NOT FUNDING THIS POP?**

Newborn Screening SCID: Babies born with severe combined immunodeficiencies (SCID) would not be identified in time to receive treatment and will die.

Radiation Fees: Current staffing for Radiation Protection may deteriorate to levels of nominal services (device registration-only programs, versus no regulation) and/or to the elimination of the only radiation control agency in Oregon and significant increase to the risk to all Oregonians to unnecessary exposure to radiation. Public health would not be able to provide the Oregon Office of Energy with qualified personnel to respond and mitigate a nuclear accident as directed by ORS 469.533 and 469.611.

**8. WHAT OTHER AGENCIES (STATE, TRIBAL AND/OR LOCAL GOVERNMENT) WOULD BE AFFECTED BY THIS POP? HOW WOULD THEY BE AFFECTED?**

SCID: The fee associated with newborn metabolic screening is considered part of the set reimbursement rate provided by the Oregon Health Plan.

Radiation Fees: Governmental agencies affected by this POP will be academia, research, medical and transportation institutions that use radioactive materials in their operations.

**9. WHAT OTHER AGENCIES, PROGRAMS or STAKEHOLDERS ARE COLLABORATING ON THIS POP?**

SCID: The Public Health Laboratory included representatives from the Newborn Screening Rules Advisory Committee which has representation from local health departments, hospitals, and physicians.

Radiation Fees: The State of Oregon Radiation Advisory Committee, which has members representing various radioactive material industries supported the Statement of Need and Fiscal Impact of raising fees. During the rule review session of fee increases, committee members supported the proposed rule amendments.

**10. WHAT IS YOUR EQUITY ANALYSIS?**

SCID: Newborn screening is provided to all newborns regardless of the parents' ability to pay.

Radiation Fees: This POP will impact the health and safety of all Oregonians without respect of race, ethnicity or gender. A reduction in program funding would affect all Oregonians equally by lowering our ability to prevent harmful radiation exposure.

**11. WHAT ASSUMPTIONS AFFECT THE PRICING OF THIS POP?**

Radiation Fees: The pricing of this POP was established by projecting revenues vs. expenditures to support current levels of services throughout the 2013-2015 biennium and the 2015-2017 biennium.

**Implementation Date(s):** July 1, 2015 (continuation of fee and work implemented 5/1/2014)

**End Date (if applicable):** N/A

a. **Will there be new responsibilities for Oregon Health Authority? Specify which Program Area(s) and describe their new responsibilities.** No.

b. **Will there be new Shared Services impacts sufficient to require additional funding? Specify which office(s) (i.e., facilities, computer services, etc.) and describe how it will be affected.**
No.

c. **Will there be changes to client caseloads or services provided to population groups? Specify how many in each relevant program.**
SCID: No changes in client caseloads. All newborns are currently screened for 40+ disorders; this adds one test to the test panel but does not change the population served.

d. **Will it take new staff or will existing positions be modified? For each classification, list the number of positions and the number of months the positions will work in each biennium. Specify if the positions are permanent, limited duration or temporary.**

SCID: One new staff person was requested as part of the OHA Fall 2014 Rebalance. The need for one new permanent full time microbiologist 3 was identified as part of the original SB 333 submission.

Radiation Fees: This proposal related to Radiation Protection Fees is to sustain operations at current service levels by restoring six full-time positions which were reduced in package 070 Revenue Reduction. Position numbers, types and classification are identified in the Fiscal Impact Summary.

**e. What are the start-up costs, such as new or significant modifications to computer systems, new materials, outreach and training?**

There are no additional start-up costs.

**f. What are the ongoing costs?**

SCID: All of the SCID related costs are going. They include costs for reagents for testing, equipment maintenance, and medical consultation. These costs are fully recovered through the fees paid for newborn screening.

Radiation Fees: All Personal Services and Services and Supply costs in this POP are ongoing.

**g. What are the potential savings?**

SCID: There are significant savings to the healthcare system by early identification and treatment of babies born with SCID.

**h. Based on these answers, is there a fiscal impact?**

Yes. SCID: Ongoing medical costs for 15-17 total $1,092,000. Radiation Fees: Position and related Service and Supply costs associated with Radiation Protection Materials total $344, 336 for 15-17. Positions and related service and supplies associated with RPS Protection total $593,755.

**TOTAL FOR THIS PACKAGE**

| Category | GF | OF | FF | TF | Position | FTE |
|---|---|---|---|---|---|---|
| Personal Services | 0 | $(938,091) | 0 | $(938,091) | (6) | (6.00) |
| Services & Supplies | 0 | $(1,092,000) | 0 | $(1,092,000) | | |
| **Total** | **$0** | **$(2,030,091)** | **$(0)** | **$(2,030,091)** | **(6)** | **(6.00)** |

**OHA - Fiscal Impact Summary by Program Area:**

| | PH Practice Lab | PH Protection RML | PH Protection RPS | Total |
|---|---|---|---|---|
| **General Fund** | $0 | $0 | $0 | $0 |
| **Other Fund** | $(1,092,000) | $(344,336) | $(593,755) | $(2,030,091) |
| **Federal Funds- Ltd** | $0 | $0 | $0 | $0 |
| **Total Funds** | $(1,092,000) | $(344,336) | $(593,755) | $(2,030,091) |
| **Positions** | 0 | (3) | (3) | (6) |
| **FTE** | 0.00 | (3.00) | (3.00) | (6.00) |

**What are the sources of funding and the funding split for each one?**

SCID screening is funded by the newborns screening fee paid by submitters (100% Other Funds).

**PH Practice-Lab Revenue Impact:**

| Description of Revenue | OF | FF | TF |
|---|---|---|---|
| Other Charges (Comp Srce 0410) | $(1,092,000) | 0 | $(1,092,000) |
| **Total** | **$(1,092,000)** | **$0** | **$(1,092,000)** |

**PH- Protection-RML & RPS Revenue Impact:**

| Description of Revenue | OF | FF | TF |
|---|---|---|---|
| Business Licenses (Comp Srce 0205) | $(938,091) | 0 | $(938,091) |
| **Total** | **$(938,091)** | **$0** | **$(938,091)** |

# 2015-2017 Policy Option Package

**Agency Name:** Oregon Health Authority
**Program Area Name:** Public Health, Center for Health Protection
**Program Name:** Radiation Protection, Radioactive Material Licensing, X-ray, Tanning Programs
**Policy Option Package Initiative:** N/A
**Policy Option Package Title:** PH Radiation Protection Fee and Cap Increases
**Policy Option Package Number:** 407
**Related Legislation:** Legislative Concept 425
**Program Funding Team:** Healthy People

**Summary Statement:** This POP restores the Radiation and Protection Services (RPS) Section to the 2015-2017 current service level and restores the reductions taken in Package 070. The POP is dependent on the passage of Legislative Concept (LC) 425 that proposes increased fees and on raising the cap of certain fees in Radiation and Protection Services. Without the proposed change, the program would be required to abolish three full-time environmental health specialists which would lower our ability to prevent harmful radiation exposure. This POP restores these positions and authorizes the program to spend the revenue resulting from the proposed fee increases outlined in the LC.

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $0 | $593,755 | $0 | $593,755 |

## 1. WHAT WOULD THIS POLICY OPTION PACKAGE (POP) DO AND HOW WOULD IT BE IMPLEMENTED?

Radiation and Protection Services administers three regulatory programs: Radioactive Material Licensing (RML), X-ray Registration, and Tanning Registration. The mission is to protect the public and workers from unnecessary exposure to radiation by licensing, registration, inspection and investigation.

This POP proposes revision of fees within ORS 453.757 and ORS 431.940. This proposal outlines the following:

- Radioactive Materials Program to increase the radioactive materials licensing fee cap from $3,000 to $5,000 (ORS 453.757(2)).
- X-ray Registration Program to increase current registration fees (ORS 453.757(1)) by 25 percent.
- Tanning Registration Program to raise the tanning bed registration fee cap from $100 to $150 (ORS 431.940(2)).

The purpose of this POP is to adjust revenues for the 2015-2017 fiscal biennium to maintain current service levels for these programs.

The programs will implement the new fee structure in the following ways:

- In the future, Radioactive Materials Program will revise rules to adjust fees, following OAR procedures. Licensees currently at the $3,000 cap will see their fees increased along with the other licensees. The rules will not be revised to allow fee increases at the present time.
- X-ray Registration Program will invoice registrants with the revised registration fees beginning with the next billing cycle after the POP is approved. The next billing cycle would begin in August 2015, or August 2017 if the corresponding legislation does not contain an emergency clause.
- The Tanning Registration Program will invoice registrants with the revised registration fees after proposing fee revisions in OAR. The next billing cycle with new revised registration fees is projected to be before October 2015, or October 2016 if the corresponding legislation does not contain an emergency clause.

- Radiation and Protection Services has informed the Radiation Advisory Committee of the proposed changes. The committee supports the changes. Radiation and Protection Services will issue informational bulletins for each program describing the revised fee structure and the rationale of the fee increase and allow for stakeholder input during September and October 2014. In addition, three months before the affected billing cycle, RPS will send additional informational bulletins.

**2. WHY DOES OREGON HEALTH AUTHORITY PROPOSE THIS POP?**

The Radioactive Materials Licensing Program (RML) revised administrative rules to raise fees during the 2013-2015 biennium. This was done to meet the program's projected expenditures. This POP would revise ORS 453.757(2) to raise the statutory cap for radioactive material licenses from $3,000 to $5,000. This will allow the program to be sustained solely by user fees. It also will maintain an equitable fee structure; without the cap increase, over time, the program would have to be supported by fees from other license categories.

The X-ray Registration Program proposes to amend statutes (ORS 453.757 (a) through (d)) to allow for a 25 percent increase for the registration of X-ray devices. The X-ray Program biennially inspects 3,370 facilities which possess over 10,700 devices with the potential for exposing the public and the worker to radiation. Due to a current shortage of funding, Radiation and Protection Services has been holding two inspector positions vacant. That has created a backlog of more than 800 inspections. Fees were last raised in 2007. The program needs to increase fees to remain solvent in the 2015-2017 and 2017-2019 biennia. If fees are not increased, the program would have to reduce X-ray staff by an additional 1 FTE for 2015-2017 and another 1 FTE in 2017-2019. This would increase the inspection backlog by 500, for a total backlog of 1,300. It also would jeopardize OHA's mammography contract with the U.S. Food and Drug Administration (FDA), which requires timely facility inspections. It also would be difficult to maintain an investigative services contract for the Oregon Board of Medical Imaging.

The Tanning Registration Program is recognized for having a progressive regulation program. The program's small staff (3 FTE) is responsible for inspecting more than 445 facilities and more than 1,900 tanning devices. Fees, last

raised in 2007, need to be raised for the 2015-2017 biennium to maintain fiscal solvency and avoid a substantial 1.7 FTE reduction in staff. Without the fee increase in statute, the program would be able to maintain only the device registration program. It would not be able to inspect facilities to enforce tanning statutes and rules, including the 2013 law prohibiting use of tanning devices by minors. Without the fee increase in statute, the program would have to reduce staff by an additional 2 FTE in the 2017-2019 biennium. This would effectively eliminate the tanning regulation program.

The elimination of the Tanning Registration Program could increase the cancer risk to Oregonians. People who start using a tanning bed before age 35 face a 59 percent greater risk of melanoma, according to the Melanoma Foundation of New England.

**3. HOW DOES THIS FURTHER THE AGENCY'S MISSION OR GOALS? HOW DOES THIS FURTHER THE PROGRAM FUNDING TEAM OUTCOMES OR STRATEGIES?**

Radiation Protection Services serves the agency's mission by protecting Oregonians from unnecessary radiation exposure. Keeping the program's fee-based business model viable would allow it to continue this mission.

This proposal would sustain operations at current delivery levels by restoring three positions that were abolished in Package 070. Overall, if Radiation and Protection Services user fees are not increased, current staffing will deteriorate to a nominal service level. It also could eliminate the state's only radiation control agency. This would significantly increase the risk to all Oregonians of unnecessary exposure to radiation and increased risk of melanoma.

**4. IS THIS POP TIED TO AN OREGON HEALTH AUTHORITY PERFORMANCE MEASURE? IF YES, IDENTIFY THE PERFORMANCE MEASURE. IF NO, HOW WILL OREGON HEALTH AUTHORITY MEASURE THE SUCCESS OF THIS POP**?

This POP is not tied to OHA Key Performance Measures. However, two metrics can be used to measure success:

- Percentage of radiation inspections completed within program rules and federal regulations;

- Percentage of radioactive materials validation certificates that are issued within 10 business days of receipt of annual licensing payment.

**5. DOES THIS POP REQUIRE A CHANGE(S) TO AN EXISTING STATUTE OR REQUIRE A NEW STATUTE? IF YES, IDENTIFY THE STATUTE AND THE LEGISLATIVE CONCEPT.**

Yes, it will require revisions throughout ORS 453.757 and ORS 431.940. The legislative concept number is 425.

**6. WHAT ALTERNATIVES WERE CONSIDERED AND WHAT WERE THE REASONS FOR REJECTING THEM?**

Radiation and Protection Services considered establishing new fees for radioactive materials license applications, inspections, waste disposal, etc. However, this could not be accomplished during the 2015-2017 legislative session. Such a delay would threaten Radiation and Protection Services' solvency.

**7. WHAT WOULD BE THE ADVERSE EFFECTS OF NOT FUNDING THIS POP?**

Oregonians potentially could experience adverse health effects from radiation exposure if these regulatory services are reduced or eliminated. Failure to fund this POP also could put Oregon at risk of defaulting on Governor Kulongoski's letter certifying that the State of Oregon will comply with the provisions of Section 651(3) of the Energy Policy Act of 2005.

For the Tanning and X-ray Programs, failure to maintain fiscal solvency would severely diminish the effectiveness of the existing program and potentially increase risks to the citizens of Oregon. No federal or local government regulatory programs exist for these programs.

**8. WHAT OTHER AGENCIES (STATE, TRIBAL AND/OR LOCAL GOVERNMENT) WOULD BE AFFECTED BY THIS POP? HOW WOULD THEY BE AFFECTED?**

Governmental agencies affected by this POP will be academic, research, medical and transportation institutions that use electronic devices that produce radiation or use radioactive materials in their operations.

**9. WHAT OTHER AGENCIES, PROGRAMS or STAKEHOLDERS ARE COLLABORATING ON THIS POP?**
The Oregon Radiation Advisory Committee, representing various radioactive material and device registrants, licensees, and stakeholders, supported the Statement of Need and Fiscal Impact of raising RML fees by 25 percent. The committee by consensus supported the legislative concept of increasing the fees in statute.

**10. WHAT IS YOUR EQUITY ANALYSIS?**
This POP will affect the health and safety of all Oregonians without respect of race, ethnicity or gender. A reduction in program funding would affect all Oregonians equally by lowering our ability to prevent harmful radiation exposure.

**11. WHAT ASSUMPTIONS AFFECT THE PRICING OF THIS POP?**
The pricing of this POP was established by projecting revenues vs. expenditures to support current levels of services throughout the 2013-2015 biennium and the 2015-2017 biennium. This POP restores three positions that were abolished in Package 70.

**Implementation Date(s):** July 1, 2015

**End Date (if applicable):** N/A

a) **Will there be new responsibilities for OHA. Specify which Program Area(s) and describe their new responsibilities.**
No

b) **Will there be new Shared Services impacts sufficient to require additional funding? Specify which office(s) (i.e., facilities, computer services, etc.) and describe how it will be affected.**
No

c) **Will there be changes to client caseloads or services provided to population groups? Specify how many in each relevant program.**
No

d) **Will it take new staff or will existing positions be modified? For each classification, list the number of positions and the number of months the positions will work in each biennium. Specify if the positions are permanent, limited duration or temporary.**
This POP restores full funding of positions that were abolished in package 070, reflecting the potential loss of revenue and capacity to do the work if this POP is not approved.

e) **What are the start-up costs, such as new or significant modifications to computer systems, new materials, outreach and training?**
There are no start-up costs relating to this POP.

f) **What are the ongoing costs?**
The ongoing costs are Personal Services related to the positions.

g) **What are the potential savings?**
N/A

h) **Based on these answers, is there a fiscal impact?**
Yes

**TOTAL FOR THIS PACKAGE**

| Category | GF | OF | FF | TF | Position | FTE |
|---|---|---|---|---|---|---|
| Personal Services | 0 | $494,780 | 0 | $494,780 | 3 | 3.00 |
| Services & Supplies | 0 | $98,975 | 0 | $98,875 | | |
| **Total** | **$0** | **$593,755** | **$0** | **$593,755** | **3** | **3.00** |

**Oregon Health Authority - Fiscal Impact Summary by Program Area:**

| | PH-Protection-Radiation Protection | Total |
|---|---|---|
| **General Fund** | $0 | $0 |
| **Other Fund** | $593,755 | $593,755 |
| **Federal Funds- Ltd** | $0 | $0 |
| **Total Funds** | $0 | $0 |
| **Positions** | 3 | 3 |
| **FTE** | 3.00 | 3.00 |

**What are the sources of funding and the funding split for each one?**

**Radiation Protection Revenue Impact:**

| Description of Revenue | OF | FF | TF |
|---|---|---|---|
| Licensing fees (Comp Srce 0205) | $593,755 | 0 | $593,755 |
| **Total** | **$593,755** | **$0** | **$593,755** |

# 2015-2017 Policy Option Package

**Agency Name:** Oregon Health Authority
**Program Area Name:** Public Health – Center for Health Practice and Health Protection
**Program Name:** Public Health Laboratory and Radiation Projection Services
**Policy Option Package Initiative:** N/A
**Policy Option Package Title:** PH Fee Increases, Legislative Approval Required under SB 333
**Policy Option Package Number:** 408
**Related Legislation:** Omnibus bill submitted on behalf of the Senate Bill 333 process
**Program Funding Team:** Healthy People

**Summary Statement:**

Seeks sanctioning for two fee increases, as required by SB 333.

Continues the newborn screening fee increase that funds severe combined immunodeficiency (SCID) screening for all Oregon newborns. Without the fee increase, babies born with SCID may not be identified in time to receive treatment required to save their lives.

Continues radioactive material license fee increases that fund personal services costs for inspection and licensure. If the fee increase is not continued, Oregon will not be able to meet inspection schedules and licensing responsibilities set by the Nuclear Regulatory Commission (NRC) and would lose its status as an Agreement State.

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $0 | $1,436,336 | $0 | $1,436,336 |

## 1. WHAT WOULD THIS POLICY OPTION PACKAGE (POP) DO AND HOW WOULD IT BE IMPLEMENTED?

SB 333 fee increases will support continued screening for severe combined immunodeficiencies (SCID) as part of the Newborn Screening Program. As of May 1, 2014, all newborns are screened for SCID as part of the newborn screening test panel. Newborns identified by screening are referred for medical consultation and treatment.

SB 333 fee increases also will support the Radioactive Material Licensing (RML) Program which:

- Increases the annual fees in OAR 333-103-0010(2)(a) through subsection (hh) by 25 percent for specific licenses (note: various subsections within the rule are at or near the $3,000 fee cap);
- Increases the annual fees in OAR 333-103-0015 by 25 percent in subsections (a), (b), (d), and (e) for general licenses and devices;
- Increase radiological analysis services fees in OAR 333-103-0035 (2) by 25 percent.

## 2. WHY DOES OREGON HEALTH AUTHORITY PROPOSE THIS POP?

Early identification of infants with severe combined immunodeficiencies (SCID) allows them to receive bone marrow transplants and lead a normal life. The treatment is effective only if a baby receives it before it is approximately 3-1/2 months old. Without treatment, a baby with this condition will die. This test is part of a panel of screenings recommended for all newborns by the U.S. Department of Health and Human Services. Oregon began doing this screening May 1, 2014. (During the first month of screening, one baby with SCID was identified and referred for treatment.) This POP will continue the fee increase that funds the screening.

Oregon assesses radioactive materials licensing (RML) fees to recover the costs of regulating the use of these materials for medical, academic, industrial and research purposes. The RML program is funded entirely by user fees. The projected 2013-2015 ending balance for these fees is estimated to be a negative $3,841. Projections show that the fee increase requested by the POP will generate the necessary income to meet 2015-2017 biennium expenditures.

**3. HOW DOES THIS FURTHER THE AGENCY'S MISSION OR GOALS? HOW DOES THIS FURTHER THE PROGRAM FUNDING TEAM OUTCOMES OR STRATEGIES?**

SCID – Screening for severe combined immunodeficiencies (SCID) supports the triple aim of health care reform: better health, better care, lower costs. Early identification of newborn disorders leads to early treatment and prevents illness and death. Early identification and treatment also reduce health care costs of treating sick infants and children.

Radiation fees – The proposed fee increases are essential to ensure compliance with federal requirements. The federal Nuclear Regulatory Commission (NRC) requires the Public Health radiation regulation program to maintain minimum staffing levels, ensure that its regulatory program is compatible with federal regulations, and that the program remains fiscally solvent to protect the health and safety of Oregonians.

**4. IS THIS POP TIED TO AN OREGON HEALTH AUTHORITY PERFORMANCE MEASURE? IF YES, IDENTIFY THE PERFORMANCE MEASURE. IF NO, HOW WILL OREGON HEALTH AUTHORITY MEASURE THE SUCCESS OF THIS POP**?

This POP is not tied to OHA Key Performance Measures. However, several metrics can be used to measure success, including:

- Evaluation of testing turn-around times for newborn screening;
- Timely identification of babies born with SCID and referral to treatment;
- Compliance and enforcement percentage of radiation inspections completed within program and federal regulations;
- The percentage of radioactive material validation certificates issued within 10 business days of receipt of annual licensing payment.

**5. DOES THIS POP REQUIRE A CHANGE(S) TO AN EXISTING STATUTE OR REQUIRE A NEW STATUTE? IF YES, IDENTIFY THE STATUTE AND THE LEGISLATIVE CONCEPT.**

This POP requires passage of the fee increase under the legislative concept related to SB 333.

**6. WHAT ALTERNATIVES WERE CONSIDERED AND WHAT WERE THE REASONS FOR REJECTING THEM?**

a) Not screening for severe combined immunodeficiencies (SCID) was considered but rejected. Universal SCID screening of newborns prevents a universally fatal disease of infants and is the national standard of practice.

b) Sending the samples to another laboratory for screening was rejected because it is more expensive, less timely, and makes it harder to ensure referral to treatment of affected infants.

c) Radiation Protection Services considered establishing new radioactive materials licensing fees (application, inspection, waste disposal, etc.). It also considered implementation of other radiation protection programs (for example, regulation of lasers). However, it was determined that these ideas required further review for statutory authority and stakeholder support and could not be completed by 2015-2017. Such a delay would risk program solvency.

**7. WHAT WOULD BE THE ADVERSE EFFECTS OF NOT FUNDING THIS POP?**

SCID: Babies born with severe combined immunodeficiencies (SCID) would not be identified in time to receive treatment and would die.

Radiation fees: Current staffing for Radiation Protection will deteriorate to levels of nominal services (device registration-only programs, vs. no regulation) or to the elimination of the only radiation control agency in Oregon. It would significantly increase all Oregonians' risk of unnecessary exposure to radiation. Public Health would not be able to provide the Oregon Office of Energy with qualified personnel to respond to and mitigate a nuclear accident as directed by ORS 469.533 and 469.611.

**8. WHAT OTHER AGENCIES (STATE, TRIBAL AND/OR LOCAL GOVERNMENT) WOULD BE AFFECTED BY THIS POP? HOW WOULD THEY BE AFFECTED?**
SCID: None.

Radiation fees: This POP affects academic, research, medical and transportation institutions that use radioactive materials in their operations.

**9. WHAT OTHER AGENCIES, PROGRAMS or STAKEHOLDERS ARE COLLABORATING ON THIS POP?**
SCID: None.

Radiation fees: The state Radiation Advisory Committee, which has members representing various radioactive material industries, supported the proposal's statement of need and fiscal impact. During the rule review session of fee increases, committee members voted unanimously to support the proposed rule amendments.

**10. WHAT IS YOUR EQUITY ANALYSIS?**
SCID: Newborn screening is provided to all newborns regardless of the parents' ability to pay.

Radiation fees: This POP will affect the health and safety of all Oregonians without respect for race, ethnicity or gender. A reduction in program funding would affect all Oregonians equally by lowering our ability to prevent harmful radiation exposure.

**11. WHAT ASSUMPTIONS AFFECT THE PRICING OF THIS POP?**
Radiation Fees: The pricing of this POP was established by projecting revenues vs. expenditures to support current levels of services throughout the 2013-2015 biennium and the 2015-2017 biennium.

**Implementation Date(s):** July 1, 2015 (continuation of fee and work implemented 5/1/2014)

**End Date (if applicable):** N/A

a) **Will there be new responsibilities for Oregon Health Authority? Specify which Program Area(s) and describe their new responsibilities.**
No.

b) **Will there be new Shared Services impacts sufficient to require additional funding? Specify which office(s) (i.e., facilities, computer services, etc.) and describe how it will be affected.**
No.

c) **Will there be changes to client caseloads or services provided to population groups? Specify how many in each relevant program.**
SCID: No changes in client caseloads. All newborns are currently screened for more than 40 disorders. This adds one test to the test panel but does not change the population served.

d) **Will it take new staff or will existing positions be modified? For each classification, list the number of positions and the number of months the positions will work in each biennium. Specify if the positions are permanent, limited duration or temporary.**
SCID: No new staff are required for severe combined immunodeficiencies (SCID).

Radiation Fees: The part of this proposal related to radiation protection fees will sustain operations at current service levels by restoring three positions which were reduced in package 070 Revenue Reduction.

**e) What are the start-up costs, such as new or significant modifications to computer systems, new materials, outreach and training?**
There are no additional start-up costs.

**f) What are the ongoing costs?**
SCID: All of the SCID related costs are ongoing. They include costs for reagents for testing, equipment maintenance, and medical consultation. These costs are fully recovered through the fees paid for newborn screening.

Radiation fees: All Personal Services and Services and Supply costs in this POP are ongoing.

**g) What are the potential savings?**
SCID: The health care system will realize significant savings by early identification and treatment of babies born with SCID.

**h) Based on these answers, is there a fiscal impact?**
Yes.

SCID: Ongoing medical costs for 2015-2017 total $1,092,000.

Radiation fees: Position costs total $344,336 for 2015-2017.

**TOTAL FOR THIS PACKAGE**

| Category | GF | OF | FF | TF | Position | FTE |
|---|---|---|---|---|---|---|
| Personal Services | 0 | $344,336 | 0 | $344,336 | 3 | 3.00 |
| Services & Supplies | 0 | $1,092,000 | 0 | $1,092,000 | | |
| **Total** | **$0** | **$1,436,336** | **$0** | **1,436,336** | **3** | **3.00** |

**OHA - Fiscal Impact Summary by Program Area:**

| | PH Practice Lab | PH Protection RML | Total |
|---|---|---|---|
| **General Fund** | $0 | $0 | $0 |
| **Other Fund** | $1,092,000 | $344,336 | $1,436,336 |
| **Federal Funds- Ltd** | $0 | $0 | $0 |
| **Total Funds** | $1,092,000 | $344,336 | $1,436,336 |
| **Positions** | 0 | 3 | 3 |
| **FTE** | 0.00 | 3.00 | 3.00 |

**What are the sources of funding and the funding split for each one?**
SCID screening is funded by the newborns screening fee paid by submitters (100 percent Other Funds).

**PH Practice-Lab Revenue Impact:**

| Description of Revenue | OF | FF | TF |
|---|---|---|---|
| Other Charges (Comp Srce 0410) | $1,092,000 | 0 | $1,092,000 |
| **Total** | **$1,092,000** | **$0** | **$1,092,000** |

**PH- Protection-RML Revenue Impact:**

| Description of Revenue | OF | FF | TF |
|---|---|---|---|
| Business Licenses (Comp Srce 0205) | $344,336 | 0 | $344,336 |
| **Total** | **$344,336** | **$0** | **$334,336** |

# 2015-17 Policy Option Package

| | |
|---|---|
| **Agency Name:** | **Oregon Health Authority** |
| **Program Area Name:** | **Health Programs** |
| **Program Name:** | **Oregon Educators Benefit Board** |
| **Policy Option Package Initiative:** | **Transparency and Engagement** |
| **Policy Option Package Title:** | **Informed Enrollment Tool Enhancements** |
| **Policy Option Package Number:** | **409** |
| **Related Legislation:** | **Not Applicable** |
| **Program Funding Team:** | **Healthy People** |

**Summary Statement:**

This funding will allow the Oregon Educators Benefit Board (OEBB) to continue to make its online plan selection tool, (the Informed Enrollment Tool) available to eligible employees. The tool enables employees to easily compare the multiple medical plan options available to them during the annual open enrollment period and determine which plan makes the most of their health care dollars.

It also will allow OEBB to make the tool available to members year-round so that they can make better-informed health care choices. Finally, the funding will allow OEBB to continue to enhance the tool to better meet the unique needs of the governmental entities that participate in OEBB.

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $0 | $450,000 | $0 | $450,000 |

**1. WHAT WOULD THIS POLICY OPTION PACKAGE (POP) DO AND HOW WOULD IT BE IMPLEMENTED?**
This Policy Option Package (POP) will provide OEBB with the funding to: 1) continue to make the Informed Enrollment Tool available to members, allowing them to compare the available medical plan options and determine which plan best meets their financial situation; 2) expand access to the tool for the entire plan year as opposed to a month-long enrollment window; and 3) continue to enhance the tool to recognize the unique characteristics, of the more than 250 different governmental entities that purchase benefits through OEBB.

This tool was originally made available as a part of a research project by the Massachusetts Institute of Technology (MIT) in partnership with Truven Health Analytics. It has grown to be one of the most valued tools OEBB provides during the annual open enrollment process. Members use it to model estimated out-of-pocket cots for each plan offered. Once they are enrolled in a plan, they also can use it to estimate the total cost to them of a planned medical service or procedure. The tool also helps members determine an appropriate amount to set aside in their health care flexible spending account (FSA) or health savings account (HSA). The tool has already been implemented. Its ongoing use will be monitored by a team comprised of OEBB staff and Truven representatives with assistance from individual member focus groups, participating entity business representatives, OEBB consultants and the board as needed.

**2. WHY DOES OREGON HEALTH AUTHORITY PROPOSE THIS POP?**
A budget note attached to HB 5030 (2013) directed the OEBB Board to appoint a workgroup to consider the following and report back to the Legislature:

- Payment and delivery reform options intended to provide incentives for the development of systems of care, in contrast to episodes of care;

- A plan to find better ways to inform OEBB members of the benefits of their health care coverage and provide information to allow more informed choices relating to their out-of-pocket costs for care options; and
- A way to receive reimbursement and other data from health care entities, in a format that would help achieve the goals of transparency and development of a baseline for health care costs.

The OEBB Budget Note Workgroup was made up of two OEBB Board members, key stakeholders representing the Oregon Hospital Association and area health systems and the OEBB administrator. The workgroup received a demonstration of the Informed Enrollment Tool and recommended OEBB continue to offer it to OEBB members, develop ways to make members aware of the tools available to them and encourage members to use available tools on an ongoing basis.

**3. HOW DOES THIS FURTHER THE AGENCY'S MISSION OR GOALS? HOW DOES THIS FURTHER THE PROGRAM FUNDING TEAM OUTCOMES OR STRATEGIES?**

One of the key components of OEBB's vision is to ensure members have access to information and resources that allow them to take responsibility for their own health outcomes and costs. The Informed Enrollment tool allows an OEBB member to compare the costs of each of the medical plans available before deciding which medical plan option to enroll in. It models out-of pocket health care costs for each medical plan based on actual medical claims during a prior 12-month period. The tool also allows members to include certain planned health care services (e.g., a planned birth, inpatient surgery, outpatient surgery) and chronic medical conditions in the calculations of out-of-pocket costs for each medical plan option. It also provides the member with an estimated total cost of the planned health care service.

**4. IS THIS POP TIED TO AN OREGON HEALTH AUTHORITY PERFORMANCE MEASURE? IF YES, IDENTIFY THE PERFORMANCE MEASURE. IF NO, HOW WILL OREGON HEALTH AUTHORITY MEASURE THE SUCCESS OF THIS POP**?

This POP will contribute to OEBB's success in meeting performance measures related to cost of care and customer satisfaction.

**5. DOES THIS POP REQUIRE A CHANGE(S) TO AN EXISTING STATUTE OR REQUIRE A NEW STATUTE? IF YES, IDENTIFY THE STATUTE AND THE LEGISLATIVE CONCEPT.**

No.

**6. WHAT ALTERNATIVES WERE CONSIDERED AND WHAT WERE THE REASONS FOR REJECTING THEM?**

OEBB has previously used spreadsheets and databases to allow members to compare features of health plans. Both required the member to have particular software and perform regular updates. Both tools were cost-effective but did not meet the user requirements and were deemed not feasible.

An in-house tool created by OHA allowed members to use a Web-based application. This resolved the compatibility and version issues. However, this tool was also limited to comparing medical plans at the benefit levels for common services and an estimate of the member's monthly premium.

The Informed Enrollment Tool resolves these issues. In addition, it is HIPAA-compliant, gives members both premium calculation and a comparison of the estimated out-of-pocket costs. The Informed Enrollment tool is a web-based application available through the MyOEBB online benefit management system. It does not require user downloads or updates.

**7. WHAT WOULD BE THE ADVERSE EFFECTS OF NOT FUNDING THIS POP?**

If this POP were not funded, the Informed Enrollment Tool would no longer be part of the OEBB members' benefit enrollment process. This means OEBB members would no longer be able to see how selecting the richest benefit plan can actually be more costly over a year's time than selecting a plan that is less expensive and aligns better with the member and their family's health care needs. It also means OEBB members would no longer have the ability to learn what the estimated total cost of a planned procedure or service would be and how much of those costs would be covered through the medical plan. This knowledge can be instrumental in encouraging members to consider alternatives, when applicable, and in helping members determine an appropriate amount to set aside in one of the pre-tax mechanisms (health care flexible spending account (FSA) or health savings account (HSA)) available through OEBB or their specific employer. In addition, OEBB would lose access to data that can help it modify benefits in the future and help participating government bodies when they consider future contribution levels toward employee benefits.

**8. WHAT OTHER AGENCIES (STATE, TRIBAL AND/OR LOCAL GOVERNMENT) WOULD BE AFFECTED BY THIS POP? HOW WOULD THEY BE AFFECTED?**

Local governments choosing to participate in the OEBB benefits program as allowed under HB 2279 (2013) would also have this Tool available for their benefit-eligible employees if funding for this POP is approved. The impact would enhance access to the Tool for entities already participating in OEBB since it will be available year around when an employee is hired, newly eligible for benefits, or experiences a qualifying event allowing a mid-year plan change.

**9. WHAT OTHER AGENCIES, PROGRAMS or STAKEHOLDERS ARE COLLABORATING ON THIS POP?**

Local governments choosing to participate in the OEBB benefits program as allowed under HB 2279 (2013) would also have this tool available for their benefit-eligible employees if funding for this POP is approved.

**10. WHAT IS YOUR EQUITY ANALYSIS?**

The tool is not intended to address any specific racial or ethnic inequities, but can make comparing complex benefit plan designs and differences in monthly premiums much more accessible to individuals with limited education, knowledge, and skills in these areas. Although it is available only for use by English-speaking and -reading members at this time, OEBB plans to explore prospects for making the tool available in other languages and for OEBB members with visual impairments.

**11. WHAT ASSUMPTIONS AFFECT THE PRICING OF THIS POP?**

**Implementation Date(s):** 7/1/2015

**End Date (if applicable):** N/A

a) **Will there be new responsibilities for Oregon Health Authority? Specify which Program Area(s) and describe their new responsibilities.**
No.

b) **Will there be new Shared Services impacts sufficient to require additional funding? Specify which office(s) (i.e., facilities, computer services, etc.) and describe how it will be affected. See Addendum A - Administrative Services Division LC/POP Impact Questionnaire (pages 12-13).**
No.

c) **Will there be changes to client caseloads or services provided to population groups? Specify how many in each relevant program.**
No.

**d) Will it take new staff or will existing positions be modified? For each classification, list the number of positions and the number of months the positions will work in each biennium. Specify if the positions are permanent, limited duration or temporary.**
No.

**e) What are the start-up costs, such as new or significant modifications to computer systems, new materials, outreach and training?**
$0.00

**f) What are the ongoing costs?**
Not known at this time, OEBB may have future enhancements.

**g) What are the potential savings?**
Not known at this time.

**h) Based on these answers, is there a fiscal impact?**
Yes.

**TOTAL FOR THIS PACKAGE**

| Category | GF | OF | FF | TF | Position | FTE |
|---|---|---|---|---|---|---|
| Personal Services | 0 | 0 | 0 | 0 | 0 | 0.00 |
| Services & Supplies | 0 | $450,000 | 0 | $450,000 | | |
| **Total** | **$0** | **$450,000** | **$0** | **$450,000** | **0** | **0.00** |

**Oregon Health Authority - Fiscal Impact Summary by Program**

**OEBB:**

| | OEBB Ops | Total |
|---|---|---|
| **General Fund** | **$0** | **$0** |
| **Other Fund** | **$450,000** | **$450,000** |
| **Federal Funds- Ltd** | **$0** | **$0** |
| **Total Funds** | **$450,000** | **$450,000** |
| **Positions** | **0** | **0** |
| **FTE** | **0.00** | **0.00** |

**What are the sources of funding and the funding split for each one?**

**OEBB Revenue Impact:**

| Description of Revenue | OF | FF | TF |
|---|---|---|---|
| Admin and Service Charges (Comp Srce 0415) | $450,000 | 0 | $450,000 |
| **Total** | **$450,000** | **$0** | **$450,000** |

# 2015-2017 Policy Option Package

| | |
|---|---|
| **Agency Name:** | **Oregon Health Authority** |
| **Program Area Name:** | **Addictions and Mental Health and Public Health** |
| **Program Name:** | **Alcohol & Drug Treatment & Prevention, Public Health Lab** |
| **Policy Option Package Initiative:** | **N/A** |
| **Policy Option Package Title:** | **Measure 91 Implementation** |
| **Policy Option Package Number:** | **501** |
| **Related Legislation:** | **N/A** |
| **Program Funding Team:** | **N/A** |

**Summary Statement:**

This is a Policy Option Package introduced in the Governor's Budget.

On November 4, 2014, Oregon voters passed Ballot Measure 91, the Control, Regulation, and Taxation of Marijuana and Industrial Hemp Act. Under the newly passed measure the OLCC will license and regulate the distribution of marijuana.

As a result of Ballot Measure 91, the Oregon Liquor Control Commission (OLCC) will begin receiving privilege taxes associated with the sale of marijuana as well as license and application fee revenues. After subtracting the cost of expenditures incurred in relation to the newly established program, proceeds will be allocated to various state agencies, including the Oregon Health Authority, which will receive the following allocations:

- 20 percent to the Mental Health Alcoholism and Drug Services Account,
- five percent to the Oregon Health Authority for alcohol drug abuse prevention and early intervention and treatment services

POP 501 estimates $2.3 million Other Funds in support of addiction prevention and treatment efforts in the Addictions and Mental Health program area.

In addition to funds allocated from OLCC to OHA, the Governor's Budget includes the addition of $0.4 million OF fee revenue for the Public Health Lab for certification of laboratories to test marijuana and marijuana products associated with the passage of Measure 91, the legalization of marijuana.

**501 Total OHA:**

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $0 | $2,696,521 | $0 | $2,696,521 |

**501 AMH:**

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $0 | $2,277,236 | $0 | $2,277,236 |

**501 PH:**

| | General Fund | Other Funds | Federal Funds | Total Funds |
|---|---|---|---|---|
| **Policy Option Package Pricing:** | $0 | $419,285 | $0 | $419,285 |

# Oregon Health Authority
# Affirmative Action Report

This report summarizes the progress that the Oregon Health Authority (OHA) has made in accomplishing its Affirmative Action goals for the 2013-2015 biennium, and identifies the agencies goals for 2015-2017.

**ACCOMPLISHMENTS: 2013-2015**

The Oregon Health Authority continues to work to develop a diverse and inclusive organization, and build upon successes to achieve a more culturally competent work force, create culturally appropriate and effective programs and service delivery systems and develop quality improvement strategies. The OHA Affirmative Action Plan is a key component of the agency's ongoing diversity, inclusion and civil rights efforts. The plan and other initiatives serve to enhance the diversity of our workforce, provide equal employment opportunity, provide guidelines for organizational change, increase participation by diverse constituencies in agency operations, and guide leadership and management by eliminating institutionalized and individual discrimination in the workplace. Our diverse and culturally competent workforce assists OHA to better understand and respond to the needs of our public.

Especially notable in this reporting period was OHA's adoption of Equity and Inclusion Initiatives slated for full implementation in 2015-2017. The 2013-2015 biennium was dedicated to the development of the policy foundation and/or implementation of the following equity, inclusion and affirmative action initiatives: Discrimination and Harassment Free Workplace, Employee Resource Groups, Diversity Recruitment Policy, Non-Discrimination Policy for the public, Supplier Diversity, Language Access, Bilingual Proficiency, Americans with Disabilities Act, Cultural Competency and Continuing Education and Diversity Leadership Team.

**Progress toward established Affirmative Action goals and Program Development**

The following is updated information highlighting significant strategies and progressive steps that are being taken to achieve our 2013-2015 goals:

1. **Meet and exceed parity in all EEO job categories and subcategories.**

    - Increased total agency-wide representation of people of color (POC) by two percent since June 30, 2012.

    - Consistently exceeded market standards in terms of employment of women, at 65 percent in the current workforce.

    - Recruited applicants of color by posting job announcements through culturally specific newspaper publications, civic organizations and listservs, including that of the Governor's Affirmative Action Office.

    - Collaborated with Office of Human Resources to develop ambitious standards for recruitment via the Diversity Recruitment Policy and procedures which require a diversity recruitment plan for all recruitments, diverse interview panels and diverse candidate pools.

    - Participated as a sponsor of Partners in Diversity in "Say Hey" and "Breakfast for Champions" quarterly events.

    - Participated in multiple job fairs throughout the state which focused on applicants from communities of color, people with disabilities and Veterans, including OHSU Night for Networking, City Career Fair, and a variety of higher education and culturally specific events.

- Developed a reasonable accommodations procedure under the ADA and a staffing plan to best serve OHA employees and managers.

**2. Increase OHA focus on retention.**

- Implemented Diversity Recruitment Policy and developed procedures that focus on recruitment efforts in communities and populations that are underrepresented based upon Affirmative Action and Equal Employment Opportunity data.

- Continued to assess the agency's hiring and retention practices for people of color at Salary Range 21 and above and for people with disabilities at all salary levels.

- Developed an agency-wide Employee Resource Groups plan that allows employees to use three hours of work time per month to participate.

- Provided and consulted on the development of cultural competency training, policy training on discrimination and harassment free workplace and maintaining a professional workplace.

- Developed and implemented ongoing diversity training (in addition to the mandatory cultural competency training). The intent of such trainings is to promote deeper understanding and respect for differences among diverse populations and awareness of individual personal biases and assumptions.

- Conducted webinars on health equity, diversity, inclusion and cultural competency. These webinars are promoted and made available to all staff and community partners.

- Developed a data base to track discrimination, harassment, retaliation and systemic issues in the workplace. Conducted analysis and reporting to identify areas for improvement.

**3. Increase OHA focus on equity, diversity and cultural competency.**

- Developed an RFQ to solicit trainers and consultants who specialize in equity and inclusion. Selected qualified applicants and published a Training Registry so that OHA programs, offices and divisions could choose from vetted and qualified professionals to deliver training and consultation.

- Developed a core value regarding Health Equity and integrated diversity and inclusion, and health equity strategies, outcomes and metrics into the OHA enterprise-wide management system.

- Developed policy and systems to deliver linguistically appropriate services to the public. To ensure quality and proficiency of its "bilingual staff", OEI has developed and started implementation of Bilingual Proficiency Standards policy to establish a standard baseline and assure the language proficiency of its employees.

- Developed a structure for a Diversity Leadership Committee to support agency-wide recruitment, retention, affirmative action and culturally and linguistically appropriate services.

- Agency diversity and inclusion staff provided consultation and review of policies and procedures to ensure that elements of cultural competency and cultural appropriateness are embedded throughout such policies.

- Ensured legislative concepts and budget proposals include consideration of equity, diversity, inclusion, cultural competency and cultural appropriateness.

- Hosted community forums within communities of color and other stakeholder communities to increase awareness, solicit input and educate to assess and receive feedback regarding the needs of these communities.

- Developed the second State of Equity Report. The purpose of the report is to describe health and social indicators by race and ethnicity in Oregon overall, and by county or region, as feasible. Potential indicators include measures of health and human services, health-related behaviors and outcomes and social factor

- Maintained administration and management communication of and expectations around agency-wide equity and inclusion initiatives through the OHA Directors' weekly messages. Topics have included Civil Rights for the public, Discrimination and Harassment Free Workplace, Employee Resource Groups, Supplier Diversity and the Diversity Recruitment Plan.

- Maintained agency-wide communication around agency-wide equity and inclusion initiatives through presentations at leadership and all staff meetings. Topics have included Civil Rights for the public, Discrimination and Harassment Free Workplace, Employee Resource Groups, Supplier Diversity, Language Access and the Diversity Recruitment Plan.

**4. Increase evaluation, communication and collaboration to achieve Affirmative Action Goals.**

- Hired two equity and inclusion research analysts to improve evaluation, analysis and reporting systems for OHA.

- OHA Cabinet and the Office of Equity and Inclusion completed a 16 month equity and inclusion learning series developed as a collaborative learning cohort that met three hours a month for learning, reflection and courageous conversations on race, health equity, diversity, inclusion and civil rights.

- Re-administered the Intercultural Development Inventory (IDI) to OHA Cabinet members and the Office of Equity and Inclusion staff , post learning series, to further increase the use of assessment technique strategies, measure progress, measure the efficacy of learning interventions and facilitate a discipline of evidence based practice.

- Participated in the Governor's Marketplace, providing information to various vendor communities on contracting. This annual conference is targeted toward women and minority-owned businesses and provides information to the communities on how to do business with the state.

- Collaborated with the Office of Contracts and Procurement, Business Oregon, DAS, local vendors and minority chambers of commerce to increase business opportunity for Minority, Women and Emerging Small Business.

- Developed and started implementation of the OHA Supplier Diversity Policy in compliance with the Governor's Executive Order 12-03.

5. **Improve ability to measure and benchmark data to document progress and barriers to achieving Affirmative Action goals.**

- Reviewed procedures for applying Veterans' preference to ensure appropriate considerations for eligibility are consistently being applied in the application process.

- Optimized the use of Oregon E-Recruit System to assess for the diversity of candidate pools per the OHA Diversity Recruitment Policy.

- Continued to collaborate with other state agencies and community based organizations around best practices to meet community needs by ensuring culturally competent services and a diverse workforce.

- Participated in ongoing consultation and review of Coordinated Care Organizations (CCOs) Transformation Plans to ensure ongoing integration of equity, diversity and inclusion best practices.

- Assessed and advocated for the integration of health equity into all programs and activities within OHA. OEI developed policies for collecting, analyzing, and reporting meaningful race, ethnicity and language data across OHA.

- Participated in the Diversity Inc. Top Diversity Employers survey to benchmark its diversity and inclusion progress against industry standards.

## STATISTICAL SUMMARY

In OHA as of June 30, 2014;

- There were 4248 State government employees * in OHA.

- Women represent 65 percent of all employees in OHA.
  - Women represent 62 percent of all employees at salary range 24 and above in OHA.

- People of color represent 19 percent of all employees in OHA.
  - People of color represent 13 percent of all employees at salary range 24 and above in OHA.

- People with disabilities represent 1.5 percent of all employees in OHA. The numbers represent only those employees who voluntarily disclose disability status.
  - People with disabilities represent 1.3 percent of all employees at salary range 24 and above in OHA.

*The statistics for all of State government includes OHA.

## TRENDS SUMMARY

The agency has experienced a slight increase in the workforce representation of people of color overall and in management positions. OHA experienced a decline in the number of people with disabilities represented within OHA's workforce during this report period. Statistical data for people with disabilities are dependent on voluntary, self-identification. OHA conducts regularly scheduled surveys to offer employees an opportunity to self-identify.

### 2013-2015 Affirmative Action Plan Progress Report

The Governor's Diversity and Inclusion and Affirmative Action Office establishes parity goals for each agency. These parity goals provide a limitation in data analysis as the parity calculation is not based on current Census data.

By current, yet outdated parity goals, OHA has achieved or exceeded parity for women. People of Color are below parity in Officials and Administrators and Professionals. OHA continues efforts to recruit and retain people of color in these areas. OHA has developed and is currently implementing a diversity recruitment policy and procedures that focus additional efforts to attract and retain people of color and people with disabilities into many job categories.

### Corrective Action (2013-2015 and six-year plans)

Although the Oregon Health Authority has been successful in meeting some over-all goals, analysis of the disaggregated figures provide further opportunity for the OHA to improve the performance of its various divisions and major programs.

The following information identifies areas where OHA is under parity in EEO job categories (A through H) as of June 30, 2014, and reflects our determination to increase the diversity of our workforce through the use of affirmative action initiatives:

**A. Officials and Administrators (SR 24-44):**

*Women:* 0 under parity in this category
*People of Color:* 15 FTE under parity in this category in OHA.
*People with Disabilities:* 5 FTE under parity in this category in OHA

**B. Professionals (SR 21-33):**

*Women: 0 under parity in this category*
*People of Color: 6 FTE under parity in OHA*
*People with Disabilities: 65 under parity in this category in OHA.*

**C. Technicians (SR 12-26):**

*Women:* 0 under parity in this category in OHA.
*People of Color:* 0 FTE under parity in this category
*People with Disabilities:* 3 FTE under parity in this category in OHA.

**D. Protective Service Workers:**
There are no OHA employees in this EEO job category.

**E. Paraprofessionals (SR 14-21):**

*Women:* 4 FTE under parity in this category, 0 in DHS and 2 in OHA
*People of Color:* 1 FTE under parity in this category, 0 in DHS and 1 in OHA
*People with Disabilities:* 84 FTE under parity in this category, 42 in DHS and 40 in OHA.

**F. Administrative Support (SR 07-23):**

*Women:* 0 FTE under parity in this category
*People of Color:* 0 FTE under parity in this category in OHA.
*People with Disabilities:* 0 FTE under parity in this category in OHA.

**G. Skilled Craft (SR 12-26):**

*Women:* 2 FTE under parity in this category in OHA.
*People of Color:* 0 FTE under parity in this category in OHA.
*People with Disabilities:* 0 FTE under parity in this category in OHA.

**H. Service Maintenance Workers (SR 09-20):**

*Women:* 2 FTE under parity in this category 2 in OHA.
*People of Color*: 0 FTE under parity in this category in OHA.
*People with Disabilities*: 11 FTE under parity in this category in OHA.

# OHA EEO-4 Job Group Utilization Summary Chart

*As of 6/30/2014*

| **EEO-4 Category** | **Women** (Under parity?) | **People of Color** (Under parity?) | **People with Disabilities** (Under parity?) |
|---|---|---|---|
| A. Officials and Administrators (SR 24-44) | No | **Yes 15** | **Yes 5** |
| B. Professionals (SR 21-33) | No | **Yes 6** | **Yes 65** |
| C. Technicians (SR 12-26) | No | No | **Yes 3** |
| D. Protective Service Workers | NA none in OHA | NA none in OHA | NA none in OHA |
| E. Paraprofessionals (SR 14-21) | **Yes 2** | **Yes 1** | **Yes 40** |
| F. Administrative Support (SR 07-23) | No | No | No |
| G. Skilled Craft (SR 12-26) | **Yes 2** | No | No |
| H. Service Maintenance Workers (SR 09-20) | **Yes 2** | No | **Yes 11** |

**This summary is based on OHA EEO Report from DAS. "YES" indicates a possible disparity. Larger numbers indicates a greater gap in reaching parity as set by DAS. There are no Protected Service Workers in OHA.*

The Oregon Health Authority's Cabinet, in partnership with the Office of Equity and Inclusion, is implementing programs and systems that will: **(1)** provide professional development in the areas of health equity, diversity and inclusion, **(2)** focus resources toward the recruitment and retention of a diverse and inclusive workforce, and **(3)** address systemic issues that present barriers to the development of a diverse and inclusive workforce.

**Focused initiatives will continue to be emphasized to:**

Hold management accountable to set and work diligently to achieve workforce representation and diversity goals specific to their area of responsibility.

Develop a pipeline of qualified employees from underrepresented groups to apply for professional and managerial roles. Leadership development and mentoring opportunities will be increased and targeted.

Provide managerial and non-management staff with training and development opportunities to support career development, organizational growth and effective inclusive service delivery. A department-wide cultural competency training initiative started in August 2007 and is currently under revision through consultation by the OHA Office of Equity and Inclusion.

Continue to increase the number of qualified women, people of color and people with disabilities on our applicant lists for job openings in collaboration with hiring managers, the Office of Equity and Inclusion and the Office of Human Resources to maximize the E-Recruit system as a tool for monitoring and ensuring equal employment opportunity by identifying adverse impact or unnecessary barriers to entry.

Continue making proactive use of existing targeted recruitment programs particularly those designed to assist individuals with disabilities.

Expand partnerships with local, state and regional community based organizations, particularly those with ties to people with disabilities.
Improve communication of our commitment to affirmative action and equal employment opportunity through continual program, policy and practice review and the dissemination of this information both internally and externally.

Continue to act upon recommendations made as a result of a comprehensive review of OHA employment practices (recruitment, hiring, retention and promotion) of people of color and people with disabilities, conducted by contractors approved by the Governor's Affirmative Action Office in 2010.

Develop a strong Diversity Council/Diversity Leadership Committee, local diversity committee structure and Employee Resource Group structure throughout OHA.

**AFFIRMATIVE ACTION GOALS FOR 2015-2017**

The following goals which were identified by an affirmative action planning group from - OHA for 2013-2015 have been reaffirmed as a focus for the 2015-2017 biennium.

1. Meet and exceed parity in all EEO job categories and subcategories.
2. Increase recruitment and retention of work force that is representative of the changing demographics of Oregon. This includes veterans and people with disabilities.
3. Increase OHA implementation and practice of equity, diversity, inclusion and cultural competency in services and in the work place.
4. Increase ability to measure, evaluate and set benchmarks of data, documenting barriers to achieving progress on the affirmative action goals.
5. Maintain and improve communication and collaboration to achieve affirmative action goals.

# Oregon Health Authority
# AUDIT RESPONSE REPORT

1. OHA: Improve Controls over Child Enrollment Reporting and Advertising Expenditures, audit # 2011-19 (dated September 2011)

   - We recommend OHA management develop a consistent process to compile and review the bonus award enrollment figures for future submissions. We also recommend OHA management work with the Federal government to adjust the bonus award amount.

   *To qualify for a CHIPRA performance bonus payment, a state must apply to the federal government and demonstrate it meets two criteria, defined in CHIPRA law:*
   - *It implemented specific program features that are known to promote enrollment and retention of children in medical coverage; and*
   - *Its enrollment of children in Medicaid increased above the CHIPRA enrollment target.*

   *If a state meets both criteria, the state qualifies for a bonus award based on the number of children exceeding the target. As mentioned in the report, for federal fiscal year 2009 (the first year states could qualify for CHIPRA bonuses) OHA applied for and received a CHIPRA bonus for $1.6 million. The federal government awarded only eight other states CHIPRA bonuses for 2009. For federal fiscal year 2010, OHA applied for and received a CHIPRA bonus for $15 million. The federal government awarded only 14 other states CHIPRA bonuses for 2010. As identified in the audit, OHA over reported its 2010 enrollment count by approximately 7,400 non-citizen children. As a result, the federal government awarded OHA approximately $4.5 million more than it should have received. OHA still qualifies for a bonus of more than $10 million.*

   *OHA took a number of steps to correct the 2010 bonus award. OHA contacted the federal government about the enrollment reporting error. OHA stopped drawing bonus money from the federal account, leaving*

*approximately $5 million unspent from which the federal government will adjust the original grant award. OHA corrected, tested, and documented the data query used for CHIPRA enrollment reporting. OHA also submitted to the federal government a revised enrollment count for 2010.*

*Based on the revised enrollment count, the federal government recalculated Oregon's 2010 bonus award. Based on this recalculation, the federal government decreased OHA's unspent award by $4,488,017 on August 1, 2011.*

*Moving forward, OHA management will review in detail the data query criteria and data query results with Information Services staff and staff responsible for caseload monitoring before each year's submission of its Medicaid enrollment of qualifying children. OHA will also compare the data query criteria and results with the prior year's data pull to identify any issues.*

*OHA will utilize the improved process to pull and review the enrollment data for federal fiscal year 2011 in early October to be submitted before the federal deadline of November 1, 2011.*

*OHA followed the action plan as provided above. OHA management met and reviewed the details of the data query criteria and data query results with staff responsible for pulling the data. The query information was compared to the federal policies and guidance to ensure compliance. The query results were verified to not include non-citizen children who are required to be excluded from the enrollment count. The query results were also compared with the prior year's data pull. No issues were identified. OHA submitted the enrollment count to the federal government on October 31, 2011. The Office of Forecasting, Research and Analysis retains documentation of the data query criteria and query results.*

*OHA established a documented procedure to finalize the state's enrollment figures for CHIPRA Performance Bonus submissions. For each year's submission, OHA management reviews and follows the procedure to ensure: 1) Staff use the correct computer query and criteria for pulling the enrollment data; 2)*

*The query results are compared to the previous year's results to identify any potential issues; and 3) the information is reviewed with OHA management for final approval.*

- To strengthen its controls over the Healthy Kids advertising expenditures, OHA and Healthy Kids management should:
  - ensure purchase orders and contracts are in place as appropriate, and are properly executed;
  - implement an effective payment tracking process to reduce the risk of overpayment;
  - ensure timely delegation of signature authority;
  - obtain and retain proof of performance documentation that clearly supports the services provided;
  - correct the recording errors identified during the audit; and
  - determine and resolve the effect of the incorrect reimbursement rate resulting from the miscoded transactions.

*Oregon Healthy Kids is a tremendously important program for families across the state. The new Oregon Health Authority, Office of Healthy Kids was created in August 2009, and since then has enrolled about 94,000 more children into the health coverage they need. As a result, Oregon cut its child uninsurance rate in half during this time, a significant achievement. We appreciate the efforts of the Oregon Audits Division to help us make this highly successful program even stronger.*

*Healthy Kids instituted a tighter tracking and filing system for:*

- *purchase orders, invoices and contracts that will help make sure that all required documentation is obtained and saved; and*
- *advertising purchases will explicitly require proof of purchase in all advertising contracts.*

*Although Healthy Kids staff did catch the duplicate payment found by the audit prior to the start of the audit and received a credit for the remaining $541 outstanding costs, we are in agreement that more systemized tracking methods could further reduce the possibility of any future over or duplicate payments.*

*Office of Healthy Kids staff met with staff from other programs within the Department of Human Services to review their invoice tracking tools and will require all invoices be checked against purchase orders and payments before being submitted for payment.*

*While Healthy Kids no longer purchases advertising services, Healthy Kids instituted a tighter tracking and filing system for purchase orders, invoices and contracts to help make sure that all required documentation was obtained and saved. In addition, Healthy Kids instituted a tighter tracking and filing system for advertising purchases and explicitly required proof of purchase in all advertising contracts. Healthy Kids staff continues to use these tighter manual paper processes for tracking other contracts as a safeguard.*

*While Healthy Kids advertising has been fully concluded, extensive tracking of other expenditures (i.e. grant expenditures) has been established within the outreach division, in collaboration with OHA budget and the Office of Financial Services.*

*DHS and the Oregon Health Authority (OHA) have updated their delegated authority policy, procedures and form, and implemented a new delegated system that provides better tracking and reporting of delegations. In addition, the agency completed a full roll-out of the new delegation form for all staff with expenditure authority. The Office of Financial Services has established a database where authority for all individuals can be viewed. Healthy Kids staff are fully aware of signature authority requirements and ensure on an ongoing basis that all program elements of the delegation of authority process are being duly observed.*

*Signature authority delegation has also become a part of the onboarding process for relevant staff within the Office of Client and Community Services outreach division, where Health Kids outreach was also managed.*

*Further, the three coding errors identified during the audit have been corrected and the appropriate reimbursement rate recorded.*

2. DHS and OHA: Statewide Single Audit Including Selected Financial Accounts and Federal Awards for the Year Ended June 30, 2011, audit # 2012- 08, (dated March 2012)

   - We recommend department management verify that the initial upload of pharmacy rates in MMIS are complete and accurate.

   *OHA changed our reimbursement methodology for all enrolled pharmacy providers that serve recipients of Medical Assistance Programs (MAP) from a "lesser of" methodology that reimbursed either a percentage discount off of the Average Wholesale Price (AWP); the Federal Upper Limit (FUL) or the pharmacy's Usual and Customary (U&C) to a "lesser of" methodology based on the Actual Acquisition Cost (AAC) of individual drugs paid by pharmacies to wholesalers or the Wholesale Acquisition Cost (WAC) when an AAC has not been determined; the FUL or U&C. This "lesser of" methodology ensures that pharmacy rates in the MMIS are complete.*

   *As an early adopter of a more transparent methodology, Oregon Medicaid hired a contractor in 2010 to perform data collection and rate setting functions for our more than 700 enrolled pharmacy providers, to implement the new AAC methodology which became effect on January 1, 2011, with the initial upload to the MMIS.*

   *We agree to review the initial upload of pharmacy rates into the MMIS to ensure completeness and accuracy. Staff now review reports generated from the MMIS after each weekly rate load that identify both changes in rates for individual drugs and an error report that identifies whether the load was stopped or unsuccessful in any way.*

   *OHA staff also compares the system generated reports against a weekly report from our rate setting contractor that identifies changes in rates for individual drugs from week to week including those for the initial load. This review allows us to verify that rates have been loaded into the MMIS correctly and resolve*

*any issue or anomalies in the event a rate is loaded incorrectly and to monitor drugs with significant changes in cost from week to week.*

*The contractor Oregon Medicaid hired to establish the AAC rates is also responsible for addressing pharmacy disputes when reimbursement is below their respective acquisition cost which further serves as a safeguard to ensure accuracy.*

*Reports are sent by the contractor on Mondays and are verified with loaded rates in MMIS on Wednesdays. Reports are verified and then scanned.*

- We recommend department management develop procedures to ensure that balance transfers pertaining to prior fiscal years are properly recorded and do not misstate current year fund balances.

*In January 2010 Medicaid and CHIP Federal rules changed related to client citizenship documentation requirements. This change allowed the Department to reclassify expenditures from GAAP General Fund to GAAP Health & Social Services Fund for current and prior fiscal years.*

*These types of adjustments are often large and require complex analysis to determine the appropriate accounting in current and prior periods.*

*On June 27, 2013, the State Financial Reporting unit sent a year end task list to all Shared Services staff that included a training section on prior period adjustments. Additional prior period adjustment training was sent on June 10, 2013 to all staff in the Office of Financial Services newsletter that included a training section on prior period adjustments. The State Financial Reporting unit provided prior period adjustment training on March 19, 2013 during a Receipting Unit continuous improvement meeting. The Grant Accounting manager now requires staff to submit balance transfer documents that affect prior periods to State Financial Reporting unit for prior period adjustment consideration.*

- We recommend department management strengthen controls to ensure documentation is maintained in the case files sufficient to demonstrate compliance with federal requirements.

*One of the missing applications was for an Adoption Assistance case that began in 2002. Due to prior audit findings for Title IV-E in late 2009, the department instituted a process where the Adoptions Assistance Unit reviews the applications to ensure all documentation which supports the eligibility determination (Title XIX or Title IV-E) is attached. Although this process was not administered retroactively, due to the volume of cases and the lack of resources, cases moving forward should have appropriate documentation. The eligibility for this case was retroactively reviewed and found Title IV-E eligible, thus categorically eligible for Medicaid.*

*The second missing application was used to apply for Self-Sufficiency program benefits. The application was initially processed by a case worker who determined Supplemental Nutrition Assistance Program (SNAP) eligibility. The same application was used, by a different case worker, to determine Medicaid eligibility, however the application did not get returned to be filed in the case record. The case record was subsequently transferred to a different branch office, and the application could not be located. It has since been located. The DHS Family Services Manual provides procedures and outlines the steps for transferring case files between branch offices. In addition, DHS Imaging and Records Management Services (IRMS) provides services including imaging of documents and "open archiving" of case records to reduce the volume of applications and case file documents retained in branch offices. DHS sent staff an Informational Transmittal reminding staff of the case file transferring procedures and providing a link to IRMS services information. In addition, DHS published an article in the "On-Target" newsletter for Self-Sufficiency staff about ensuring case files are complete prior to transferring to a different case worker or branch. DHS also added information to the Family Services Manual and Business Procedures manual regarding case file transfer processes internally within a branch. DHS will also research the questioned costs for the Adoption Assistance case and reimburse the Centers for Medicare and Medicaid Services (CMS) the appropriate federal funds. The department hopes to complete this adjustment by June 30, 2012.*

*DHS will review the case with undocumented income verification and reimburse CMS any federal funds as appropriate based on this review. The department will also address documentation requirements at the next Area Agencies on Aging (AAA) / Seniors and People with Disabilities (SPD) Field Managers meeting and in the newsletter to field staff by June 30, 2012.*

*To reduce barriers to access and eligibility, the OHA Medical Programs (formerly DHS Medical Programs) have implemented policies that allow a medical program eligibility determination using a previously submitted application, whether or not the prior application was for medical benefits. During this time (the period under review), it was the caseworker's responsibility to remember and obtain any additional information, such as private health insurance, needed to determine medical program eligibility.*

*The department continues to proactively strengthen controls over the eligibility determination process. Within the past 17 months, updates have been made to the Legacy computer systems to revise a field in the Client Maintenance (CM) system. This is now a mandatory field, requiring data entry by the case worker when setting up the medical case. The purpose of this field is to identify whether or not an individual has third-party insurance. Training for this systems' change, along with other medical policy changes, was delivered statewide to field staff beginning in the fall of 2010. In addition, the training material is posted on the Self-Sufficiency Program, Medical Program Staff Tools website.*

*Medical program eligibility worker training includes guidance on how to process eligibility decisions. In addition, instruction is given to participants on how to "interview" to ask questions to ascertain eligibility information not captured on the current application in the case file, including whether or not individuals have private health insurance. The new data field and purpose is also explained in detail during the trainings.*

*DHS also researched the questioned costs for both of the cases missing the private health care information and determined no reimbursement to CMS was necessary.*

*DHS reviewed the case with the private dental insurance and found that no reimbursement of CMS was required. The department also addressed reviewing applications for insurance policy disclosure and the requirement to send the information to HIG in a newsletter to field staff and addressed these issues at an AAA/APD Field Managers meeting in September 2012. All actions on this recommendation were completed in August 2013.*

- We recommend department management implement controls to ensure correct rates are used when calculating the Medicare Part B buy-ins and reimburse the federal agency for the overdrawn ARRA funds.

*In April 2011, the ARRA enhanced FMAP rate was reduced. The Medicare Part B buy-in calculations are performed in an excel spreadsheet. In April, the department inadvertently retained the prior quarter's FMAP rate resulting in an over-draw of the ARRA funds. We have since added a box to the excel spreadsheet used in calculating the buy-in and have it highlighted as a reminder to verify the rate being used prior to draw. We have refunded the overdrawn funds to CMS.*

*The FMAP is now reviewed prior to the draw to ensure an accurate rate. Also, instead of the rate being included in the formula and updating the formula, a box was created to enter the rate and the formula is based on that box.*

- We recommend department management ensure the review for suspension and debarment is documented in accordance with department policy.

*The Office of Contracts and Procurement (OC&P) reviewed the internal procedure, "Federal Debarment and Suspension Confirmation" and the "OC&P File Checklist" to ensure they comply with the federal debarment requirements. The procedure is in compliance with these requirements. The importance of checking debarment was discussed at the OC&P Unit meeting February 22, 2012. An individual conference was held with staff that had a file without debarment documentation to discuss and document the issue.*

*OC&P management staff enhanced the training regarding debarment for new OC&P staff. Debarment is listed on the OC&P File Checklist, included in the Contract Processing Standards and a link is included on the OC&P intranet site.*

- We recommend department management ensure adequate review of the various calculations of the cost pool statistics is performed.

*The Office of Financial Services implemented a new cost allocation model in July 2011. The manual intervention of the remaining spreadsheet is to be eliminated by September 2012. The current model in use has eliminated the possibility of this human error happening again.*

*The implementation of the portal for the "grant-phase look-up" (the last major excel spreadsheet) was implemented February 1, 2013. The cost allocation system no longer relies on any spreadsheet maintenance and is updated by a user interface.*

3. DHS and OHA: Strategies to Better Address Federal Level of Effort Requirements, audit # 2012- 11, (dated April To maximize state resources, allocate General Funds strategically, and ensure continued compliance with Level of Effort requirements, we recommend management from Oregon agencies subject to federal Level of Effort requirements:

- encourage program staff to work with their federal agency contact to understand possible financial sources available to meet Level of Effort requirements, including funds outside of those directly budgeted for that program;
- work with the Legislative Fiscal Office to make information available to Oregon Legislative members explaining Level of Effort requirements and consequences for lack of compliance;

- conduct regular communications among program, financial, and budget staff within each agency to discuss Level of Effort compliance and cross-program expenditure possibilities; and
- strengthen certification procedures across programs to allow more cross-program expenditures while ensuring compliance with federal mandates.

*While OHA and DHS generally agree that the recommendations are reasonable expectations, we are concerned that the report contains no specific analysis explaining if the additional efforts it recommends will generate benefits in excess of their anticipated additional costs. It is also unclear to OHA and DHS management how these recommendations should be prioritized amongst the other activities available to the agencies to improve efficiency and effectiveness. With that said, we do see opportunities to make improvements to our communication and coordination processes within the two agencies and with our other state and federal partners.*

*As can be seen in the report, Level of Effort is a very complex subject due to all the different grants and specific rules each grant requires. As such it can be difficult to apply general statements and recommendation regarding Level of Effort (LOE) requirements to all of the grants listed in the audit. For some of the grants administered by OHA and DHS, some of the specific details of the above recommendation do not apply. For the Medicaid and the Children's Health Insurance Program, the LOE requirements are eligibility based and not expenditure level based. Another grant, the Senior Community Services Employment Program, only requires that placement of an enrollee not supplant normally budgeted positions or contract work at the host agency. There are also grants, such as the Block Grants for the Prevention and Treatment of Substance Abuse, that have historically only allowed expenditures from the recipient agency in determining compliance with the LOE requirement.*

*OHA and DHS agree that Oregon agency management (including program, fiscal and budget staff) need to understand their grant requirements. We also agree, and do, actively work with the Legislative Fiscal Office (LFO), and the Department of Administrative Services, Chief Financial Office (CFO) to communicate, maintain and ensure compliance with these grant requirements. While we also feel for many of the grants*

*administered by OHA and DHS, we are currently engaged in these discussions at the level necessary, there may be some efforts that could be improved.*

*Both agencies will review our current communication and coordination efforts related to the individual grants identified in the report to determine if improvements are needed. This will include consideration of a more formalized internal and external meeting structure to discuss ongoing LOE issues and possible changes in other agency programs that may impact LOE (both opportunities and challenges when programs are reduced).*

*DHS and OHA are consistently looking for ways to increase MOE in some grants and ensure the legislature is aware of impacts to MOE on others. DHS and OHA strive to understand all grant Matching and MOE requirements and look for innovative ways to maximize both.*

*Management of LOE and Maintenance of Effort (MOE) is an ongoing focus within the agencies as part of successfully managing our federal grants. As MOE and Matching requirements do change with new grants this is never truly complete. In addition, staff turnover requires "white" papers and other trainings, including self-directed training, on LOE requirements in both DHS and OHA. In addition, at times other agency grants are needed to be researched. This is an ongoing part of what DHS and OHA do to manage grants.*

*For some grants, such as TANF, we spend significant time analyzing funding opportunities and have put in place a "certification process" as a way to both have routine communications with partner agencies and document other agency LOE related expenditures. We continue to partner with non-traditional MOE programs such as the food banks to explore possible additional opportunities. We also agree there may be additional funding opportunities available and will work with CFO and LFO as necessary to resolve cross-agency issues as they arise.*
*In fiscal year 2013, OHA leveraged an additional $230 million in federal funds as part of the five year Medicaid waiver with Center for Medicare and Medicaid Services (CMS) known as DSHP (Designated State*

*Health Programs.) Investments by CMS in DSHP are a strong partnership between OHA and the federal government deemed necessary to implement the health system transformation.*

*We will continue to review our programs to determine if there is funding that is in excess of current grant requirements that could help other programs or grants meet their LOE needs. We will continue to work with LFO and CFO to help facilitate the communication of new opportunities as they arise, keeping in mind sufficient analysis is always necessary prior to using any new LOE source to meet specific grant expenditure level requirements.*

*Both agencies recently made changes to internal grant application processes which enhanced the communication between program and fiscal staff prior to the grant applications being submitted. Both agencies have also continued to actively work with the LFO, and DAS-CFO to communicate, maintain and ensure compliance with these grant requirements. This includes recent detailed history and estimates for the Governor's Budget Process to allow BAM to account for MOE issues as much as possible in the 2013-15 budget process. The agencies have communicated to LFO and CFO any LOE/MOE requirements that are directly tied to all reduction options that might be considered to meet statewide revenue shortfalls. In addition, the agencies continue to work with other internal programs, agencies or private entities to maximize MOE.*

4. OHA: Children's Mental Health: Ensuring Access and Sustaining Services, audit # 2012- 16, (dated May 2012)

   - We recommend the Division, in its administration of mental health services, develop better information on service utilization by population. These efforts could include:
   - developing and reporting comparative data to monitor service utilization by population, including Hispanic children, girls aged 2-13, and younger children;
   - reviewing and comparing strategies that address utilization differences;

- developing targets that assist in addressing differences between populations; and
- Identifying and disseminating best practices for increasing the use of mental health assessments for younger aged children.

*In our current and ongoing work, we address these issues in a variety of ways.*

- *AMH collaborates with the Department of Human Services Child Welfare on issues affecting both systems, including measures to increase the assessments for children in foster care within 60 days of placement in out-of-home care, the appropriate use of psychotropic medications and the Statewide Children's Wraparound Initiative.*
- *Through the Community Mental Health Block Grant, AMH reports to the Substance Abuse and Mental Health Services Administration (SAMHSA) on a number of National Outcome Measures. One of these measures is to maintain or increase the proportion of children from Native American, Hispanic, African American, or Asian ethnic backgrounds receiving publicly funded mental health services, so that the proportion of the population receiving services will match or exceed the proportion of the State's children within the same ethnic population.*
- *AMH staff developed a collaborative training with the Mental Health Organization (MHO) children's systems coordinators focusing on assessment and evidence based treatment of young children birth through 5 years using Child Parent Psychotherapy.*
- *AMH participates in the Coalition of Advocates for Equal Access for Girls. The mission and activities of the coalition aims to ensure that girls receive equal access to all of the appropriate gender specific support and services they need to develop to their full potential. Coalition membership includes representatives from AMH, other state agencies, and private non-profit organizations. This coalition also has legislative support.*

*AMH will continue disseminating Parent Child Interaction Therapy (PCIT), the evidence-based practice for young children 2-7 years old with disruptive behavior disorders with a focus on serving children from Hispanic families in proportion to their presence in the county population.*

*Enrollment and encounter data from Oregon's Medicaid Management Information System (MMIS) were compiled and analyzed to determine statewide and regional utilization of mental health services overall and within the populations specified in the audit report. These utilization rates were compared with target ranges based on national studies of the prevalence of mental health needs among similar child subpopulations.*

*The Addictions and Mental Health (AMH) Children's Team and Office of Health Analytics are transitioning the progress reporting metrics to a new interactive dashboard format. Reporting on comparative data as requested has been slower than expected during the development of the AMH Dashboard, but will improve the ability to track metrics when fully implemented. On October 23rd, 2014 OHA released the first CCO Metrics Dashboard. This replaces the prior progress reports and provides enhanced functionality, including the ability to filter measures by population subgroups. The tool also allows users such as Coordinated Care Organizations (CCOs) and Mental Health Organizations (MHOs) to drill down to actionable member-level data within the same file and allows the ability to filter key measures by population characteristics such as age, race, ethnicity, zip code and eligibility category.*

*During the baseline study period of calendar years 2009, 2010 and 2011, quarterly utilization of mental health services averaged 5.3% of all children age 0-17 enrolled in Medicaid services in Oregon. The quarterly rates ranged between 4.8-5.8% in a seasonal cycle with higher utilization in winter and spring and lower rates in summer and fall.*

*The most recent update of the AMH Dashboard covers the five calendar quarters from April 1, 2013 to June 30, 2014. During this time the average quarterly percentage of OHP-eligible children in Oregon who received mental health services was 7.1%, ranging from 6.8% to 7.5% by quarter. In the second quarter of 2014 7.0% were served, compared to 7.5% in the second quarter of 2013.*

*The three populations identified in the Audit report followed similar patterns, but with lower percentages served.*

- *Among younger girls the percent served remains at about 1.5 percentage points below the rates among all children. The average percent served over the five quarters is 5.6%, ranging from 5.3% to 6.1%. These rates fall well within the target range of 2.1-8.9% established by the workgroup convened by AMH (see Recommendation #3).*
- *Compared to all OHP-eligible children, children of Hispanic or Latino ethnicity were much less likely to receive mental health services, averaging 3.9% served over five quarters, compared to 7.1% overall. This is the only group whose percent served was higher in the second quarter of 2014 than in the same quarter in 2013. However, the rates hovered at or just below the target range of 4.1-9.0%.*

*Although the percent served among young children (0-6 years) trailed the other groups, they were within the target range of 2.0-5.3% for this group. The five-quarter average was 2.8%, with a steady decline from 3.1% in the second quarter of 2013 to 2.7% in the same quarter of 2014.*

*To adequately address the difference in service utilization, understanding why those rates exist is critical. Several factors contribute to under-reporting of mental health needs and under-utilization of mental health services among various populations.*

*The comparatively small proportion of young children served may reflect the fact that mental health problems are conceptualized by the treatment community as social emotional delays to be addressed by child development early intervention services until age 3 or older. Children who suffer from emotional and behavioral disorders whose behaviors are disruptive are far more likely to be referred to treatment services than individuals who internalize their emotional and behavioral disorders. Research indicates gender differences in stress responses. Females, as group, tend to have more internalizing behavior and males tend to demonstrate more externalizing behavior even at very young ages. This may explain in part why fewer young and latency aged girls' access mental health services. Additionally, the perception of mental health services as stigmatizing by Latino families is more prevalent than it is with Caucasian families. Latinos are*

*twice as likely to seek treatment for mental disorders in general health care settings as opposed to mental health specialty settings. This suggests that a culturally sensitive way for AMH to approach mental health services for Latino children and families would be to ensure that primary health care providers are equipped to provide appropriate services and referrals, including closer collaboration between mental health and primary care practitioners serving Latino families.*

*AMH has added services to young children birth to five years to our Strategic Plan. The following actions items are currently being implemented:*

***Young Children and Latino Outreach and Service***

- *AMH has funded the training and development of 12 new sites, in addition to the existing four sites, to provide Parent Child Interaction Therapy (PCIT), an evidence-based practice therapy for young children 2-7 years of age with disruptive behavior disorders, and young children who have experienced abuse and neglect. All of the new PCIT sites have bi-lingual/bi-cultural staff and are required as part of their AMH funding to provide outreach to the community.*
- *AMH and the Early Learning hubs are collaborating to ensure early screening and assessment to identify younger children in need of mental health services and to increase referral for younger children to appropriate services.*
- *In an effort to address gaps in mental health workforce regarding early childhood, AMH is collaborating with Portland State University (PSU) to provide scholarships for the PSU Infant Toddler Mental Health Graduate Certificate Program.*

***Girls and Latinos***

- *Integration between primary care settings and behavioral health will increase access for populations who are unlikely to self refer to specialty behavioral health, including the Hispanic population and girls.*
- *AMH has contracted with the Oregon Pediatric Society to provide adolescent depression screening training for primary care practitioners for all children, ages 12 to 24 during well-care appointments*

*and linking those primary care practitioners to local mental health resources when mental health services are required.*

- *AMH and Public Health increased the availability of mental health clinicians in School Based Health Centers. Sixteen counties received notification of awards in December of 2013. The School-Based Health Center Mental Health Expansion Grants were awarded to 16 counties to support mental health capacity within the school-based health center system by:*
- *Adding mental health staff and expanding current mental health staff hours, with the ability to collect and report mental health encounter visits; and /or*
- *Supporting mental health projects within the school-based health center system, including:*
    - *Implementation of a mental health screening tool or framework*
    - *Implementation of a mental health telehealth project*
    - *Implementation of a Youth Advisory Committee (YAC) and mental health research project*
    - *Integration of a data capturing system*
    - *Support equity and cultural competency*
- *Many of the SBHCs serve elementary, middle and high schools. Ten of the SBHC clinics will work with the Oregon Pediatric Society to implement the adolescent depression screening as a piloted effort to improve referral. This will increase mental health identification for under-represented populations.*

*AMH contracted with OHSU to implement OPAL-K a psychiatric access line. OPAL-K provides free, same-day child psychiatric phone consultation to primary care clinicians in Oregon. OPAL-K is collaboration between OHSU's Division of Child and Adolescent Psychiatry, the Oregon Pediatric Society (OPS) and the Oregon Council of Child and Adolescent Psychiatry (OCCAP).*
*The program expands the availability of high-quality mental health treatment to Oregon youth via timely psychiatric consultation, clinician education, primary care treatment processes and connections with mental health professionals throughout the state. Many children and adolescents in Oregon with mental health issues remain untreated or experience significant delays before beginning treatment. OPAL-K provides the support that primary care clinicians (PCCs) need to care for more patients in their medical home. With OPAL-K, PCCs can treat youth with mental health issues right away rather than placing patients on waiting*

*lists to receive care. The program also offers evidence-based support to clinicians in need of psychiatric treatment information. OPAL-K can help reduce long delays for diagnosis and initial treatment. Earlier intervention may decrease complications of untreated mental disorders including hospitalizations and suicides. Overall OPAL-K helps build a system that allows PCCs to deliver the best possible care.*

***Additionally***
*AMH has implemented Measures and Outcome Tracking System (MOTS) which allows for increased client detail tracking. It requires providers to include non-Medicaid client service data.*

*A work group with participants from AMH, the Office of Equity and Inclusion, Portland State University, and other agencies conducted a review of research on the prevalence of mental health needs within each of the identified populations. The group identified statewide utilization target ranges, based on synthesis of findings from these studies. They are:*

| | |
|---|---|
| *Children age 0-6:* | *between 2.0% and 5.3%* |
| *Girls age 2-13:* | *between 2.1% and 8.9%* |
| *Hispanic children:* | *between 4.1% and 9.0%* |

*These target ranges will be shown on the quarterly utilization reports.*

*In 2014 AMH coordinated and provided funding for any therapist previously trained in Child Parent Psychotherapy (CPP) to have their training updated to the newest protocols, including adherence to fidelity. CPP is an evidence-based parent-child mental health treatment model for children 0-6yrs. that have experienced trauma.*

*In April and September of 2014, AMH funded Regional trainings for therapists to provide Parent Child Interaction Therapy (PCIT). They are receiving on-going training and support to develop evidence-based practice therapy programs for young children 2-7 years of age. All PCIT sites submit quarterly reports to*

*AMH with citing outcome data. There are currently 16 counties with PCIT programs receiving some level of AMH funding and PCIT is being provided in 30 physical locations. Between 2012 and the beginning of 2014, the number of PCIT therapists expanded from 33 to 82. Thirteen of these therapists speak Spanish. There are PCIT therapists fluent in each of the following other languages: Dutch, German, Korean, and Vietnamese. Another basic training in PCIT is expected to be held in February of 2015. All sites provided AMH funding for PCIT are also engaging in on-going consultation with their PCIT trainers for at least a year after their initial training.*

*AMH created a scholarship program for ten clinicians to participate in the Portland State University Infant Toddler Mental Health Graduate Certificate Program. This will increase the number of mental health clinicians trained to recognize the developmental and mental health needs to children birth through age 3 years old.*

*The Oregon Health Authority is a participant in the development of the early learning hubs and AMH is working with the Early Learning Division Council to ensure that there are strong linkages between early childhood mental health providers and local hubs.*

*AMH collaborated with Child Welfare to transfer the Child and Adolescent Needs and Strength Screening (CANS) administration from Child Welfare to mental health through the Coordinated Care Organization (CCO) contracts. Beginning July 1, 2014, the mental health system started to administer CANS on all children within 60 days of being brought into child welfare custody. By having mental health professionals administer the CANS they will be coupled with the mental health assessment to provide a more comprehensive assessment and to inform the treatment plan. Because the CANS is child welfare's current rate setting tool, this is expected to increase children's access to mental health professionals, increasing the number of mental health assessments provided to younger aged children and therefore increase services. Additionally, the CANS tool has a tailored version to accurately assess the needs and strengths of young children.*

- We recommend the Division improve the continuity of mental health care for children by:
  - ensuring that assessed children who need and desire mental health services receive services in a timely fashion;
  - ensuring that the reasons for children experiencing lengthy breaks in services are captured in case file documentation;
  - periodically analyzing the reasons for service breaks; and
  - ensuring that providers make adequate efforts to re-engage children when unplanned service breaks occur, and that they document these efforts.

*OHA agrees that for children with unmet service needs, it is important to ensure that gaps in service provision are identified and addressed so they can continue making progress at home, in school, and with friends*.

*The following are examples of our current and ongoing efforts to address these issues:*

- *AMH reviews Community Mental Health Programs through site reviews and issues Certificates of* Approval for one, two or three years for programs that are in substantial compliance with the Oregon *Administrative Rules. These site reviews address issues of access to services, engagement and follow up for initial approval or renewal of Certificates of Approval for Community Mental Health Programs.*
- *Mental health providers follow a standardized process for identifying children with high mental health needs and providing a comprehensive, coordinated array of services that are family and youth driven. The Level of Service Intensity Determination Process is to determine the intensity of service needs for children and adolescents with emotional, behavioral, and developmental challenges and to identify children and adolescents who would benefit the most from intensive service coordination planning. The Level of Service Intensity Determination Process provides a uniform and common framework to identify service intensity needs that can be used to inform service planning.*
- *Families, children (when appropriate) or adolescents receiving the Integrated Service Array develop their own teams which coordinate their services.*

*AMH also planned to initiate the following additional actions to improve the continuity of mental health care for children.*

- *Prior to each site review, AMH Compliance Specialists will review service utilization data to identify gaps in accessing services following a mental health assessment, service breaks or during transitions from one type of mental health service to another. They will follow up by reviewing documentation in client charts. AMH's goal will be to incorporate the review of service breaks, engagement and documentation into the regular site review schedule by November 1, 2012. AMH has identified the Client Process Monitoring System (CPMS) enrollment and termination data and Medicaid Management Information System (MMIS) encounter data as the data sources for identifying gaps in service for children moving between levels of service intensity, including post residential treatment. AMH has communicated with stakeholders, including community mental health programs and the MHO children's systems coordinators of the process for reviewing client charts during site certification or re-certification. AMH has also communicated the process to compliance specialists and other staff conducting site reviews. AMH staff are using this information to review client charts identified through data analysis for gaps in service for children receiving services in the Integrated Service Array.*
- *Through CCOs, the system shifts to outcome based performance rather than management of processes. The OHA Outcomes Group will establish monitoring mechanisms for CCO compliance with the outcome measure for clinical follow up within 14 days of transition from a hospital or residential treatment program. The Metrics and Scoring Committee, established in 2012 as a result of SB 1580 (Section 21), has the responsibility of setting overall metrics for CCOs. Over the past several months, the committee has been working to finalize a set of metrics to be used for incentive purposes with the CCOs. One example of a metric relevant to the age group discussed in the SOS audit report is follow-up care within seven days after hospitalization. Another metric is insuring that children taken into DHS custody are given a mental health assessment within 60 days. These metrics, as well as others decided by the committee, will be tracked in aggregate in addition to several demographic breakouts, including race, ethnicity, gender, and age groups. The overall goal is to evaluate CCOs based on relevant metrics and not just the volume of service generated.*

- *AMH and the Office of Information Services (OIS) initiated the web-based Children's Progress Review reporting system for children enrolled in Intensive Community-based Treatment and Support Services and the Statewide Children's Wraparound Initiative project sites. This system will be upgraded to include the Level of Service Intensity Determination Process which will provide real time data for individuals receiving services at the clinic, MHO or CCO and state levels. This will provide the opportunity for more detailed analysis of services, services breaks and recipients. The Children's Progress Review System has been upgraded to include all children served in the Integrated Service Array (ISA) and Statewide Children's Wraparound Initiative (SCWI) project sites. Level of Service Intensity determination data, which determine entry into the ISA, will also now be submitted through this data reporting system. Real time data regarding quarterly outcomes for individuals receiving services, and parental/caregiver perception of progress while receiving services, will be obtained using the Integrated Service Array/SCWI Progress Review (ISA/SCWI PR) and the Behavioral and Emotional Rating Scale, version 2 (BERS-2) for parents. These data can be reviewed at the community mental health program, CCO or state level by individuals with access to the system, for their particular system. This provides the opportunity to track data changes for individuals and groups over time. Data in this system can be matched with MMIS data (claims, service recipients) to further delineate service breaks related to outcome data.*

*AMH is able to provide oversight, contract management and incentives for children insured by Medicaid. The private insurance system is outside of the oversight of the Oregon Health Authority.*

*AMH conducts reviews of Community Mental Health Programs (CMHP). Within the site review chart review is completed to track access to services and time span between referral to first appointment. In addition, access to services is evaluated through consumer and stake holder interview to ensure community needs are met and access to services is family driven.*

*For children with Medicaid insurance, there are protections in place to ensure expediency within the contract between the Oregon Health Authority and CCO's in the event that a family, guardian or youth requests mental health services from a certified mental health provider. Additionally some CCOs have*

*incentivized active engagement of clients by measuring the timeframe from assessment to first appointment by offering bonus payments.*

*At this juncture, the CCO's are highly motivated by the current metrics of which two are directly related to children's mental health. AMH has established monitoring mechanisms for CCO compliance with an incentivized outcome measure for clinical follow up within 7 days of transition from an inpatient psychiatric hospitalization.*

*As CCOs shift their payment to mental health providers away from a fee for service payment model to payment based on good client outcomes, we anticipate better overall care including more intensive services for at risk children and youth at the beginning of treatment when engagement is critical.*

*It was AMH's intention to sample 30 CMHP files of youth who were determined to have gaps in claims/encounter data. This list was provided to the CMHP review team and reasons for gaps were documented. This procedure occurred on five reviews during 2013. This process was not consistent over all CMHP reviews due to work force shortages. Following some CMHP site reviews, AMH staff reported verbally or by filling out a form provided prior to the review, on the extent to which the files examined during the review adequately documented the circumstances and follow-up efforts pertaining to each break in services found.*

*CMHPs received technical assistance regarding documentation best practices. CMHPs are encouraged to document all efforts made to engage and provide outreach to children and families receiving services. Some CMHPs retroactively were able to do document the reason the gap in service during the AMH review. Going forward AMH will provide CMHPs with technical assistance to ensure contracted providers will be expected to document client cancellations or do not show for appointments and furthermore, document the attempts to re-engage the client in services when appropriate.*

*While documenting breaks in service and follow-up is best practice, this is not specified in the Oregon Administrative Rule. AMH does not have the authority or personnel to monitor and ensure best practices are enforced. No further action will be taken other than continuing to providing technical assistance on best practices when appropriate.*

*Analyzing data to determine unplanned service breaks is not currently feasible at the State level given the existing payment and data infrastructure. The CCOs are responsible for assuring that continuity of services is maintained and that long breaks between services are identified, documented, monitored and, when necessary, addressed.*

*During Community Mental Health Program (CMHP) site reviews a sampling of open and closed records are consistently reviewed at least every three years. Service breaks are analyzed and checked during these routine reviews for adequate documentation as well as adequate out-reach on behalf of the CMHP. In higher levels of care, such as Intensive Treatment Services, these records are reviewed by the contracting CMHP as well as AMH if the program is a day treatment or residential provider.*

*An additional review is conducted by the Office of Licensing and Regulatory Oversight annually and records and gaps in services are analyzed at this time as well.*

*As mentioned above, AMH continues to include in CMHP sites reviews, chart audits to track gaps in services and reengagement and outreach efforts. Technical assistance is provided regarding engagement strategies to reengage children. Providers are encouraged to ease access to services. As the CCO development shifts the incentives from being reimbursed based on individual services and rewarded for improved outcomes, we anticipate a great emphasis on completed treatment episodes and outreach to "at risk" clients when there is a break from service.*

*Within the 2014-2015 biennium an additional 4-6 Wraparound sites will be added to the System of Care across the state. This will infuse additional intensive care coordination into the system ensuring*

*reengagement and client retention efforts. Care coordination at this intensity will decrease service breaks for children and youth who are significantly at risk for bad outcomes if services cease prematurely.*

*In addition, in this biennium four Youth Hubs will be created across the state targeting the 14-24 year old population. There are distinct service components within this model to include outreach, engagement; recovery oriented planning and creating social support systems. Peer support provided by young adult peers is a critical component of this model, particularly for young adults who are disengaged or reluctant to access services.*

5. OHA: Safe Drinking Water Revolving Loan Fund for the Fiscal Year Ended June 30, 2011, audit # 2012-19, (dated June 2012)

- We recommend agency management:
  - Reconcile, at a minimum, the state's accounting records for revenues and expenditures for each set aside with the federal cash reimbursement system when closing a grant award and ensure any adjustments identified are researched and corrected prior to submission of the final report;
  - Reverse the accounting entry made in December 2011 that moved revenue from current grant awards to older grant awards;
  - Determine whether the $28,274 in valid expenditures identified for grant awards 04, 05 and 06 can be moved to open grant awards enabling the agency to be reimbursed;
  - Obtain state funding for the $10,484 of expenditures incurred at some point in time but never drawn to cover the cash expended; and
  - Return $7,160 in federal revenue/cash currently recorded in grant award 06 to the U.S. Environmental Protection Agency (EPA).

*The Oregon Health Authority researched the impact of moving the identified $28,274 revenue and its impact on phases 04, 05 and 06. The program discussed with EPA the option of moving funds between grants to correct prior errors. After we finalized phases 04, 05 and 06 we contacted the EPA requesting their direction as to the final disposition of the $7,160 recorded in grant award 06. The Office of Financial Services also worked with the program to determine the appropriate adjustment of the $10,484.*

*A follow up letter was sent to the EPA Region 10 Coordinator describing the audit finding and asking for specific guidance regarding the $900 net balance remaining after the funds were reconciled and adjusted. EPA requested that the remaining funds be rolled into the current year funds.*

6. DHS/OHA: Health and Human Service Caseload Forecasting: Ways to Increase Confidence, audit # 2013-03, (dated February 2013)

   *DHS and OHA agree that accurate caseload forecasts are critical for agency budgeting and legislative allocation of limited state funds. Since both agencies are required to report to the Emergency Board and would prefer to avoid unnecessary rebalances, both agencies have a strong incentive to support forecasts which are neither too high, nor too low.*

   *As documented in the report, the DHS and OHA Office of Forecasting, Research and Analysis (OFRA) has knowledgeable staff, uses reasonable methodologies, and produces forecasts that are generally accurate, have improved over time, and have no indication of overall bias. It was also determined that OFRA's forecasts are only marginally less accurate than those produced by Washington State's independent Caseload Forecasting Council. OFRA performs an array of supplemental research, analysis, and consulting services for DHS and OHA that would still be needed if the unit was moved out of the agencies.*

   *The report also notes that forecasts are inherently uncertain for a variety of reasons. However, DHS and OHA believe that federal and state policy and procedures have the largest impact, with economic and*

*demographic impacts secondary. While the forecasting unit strives for accuracy, subsequent adjustments and corrections are to be expected. The realistic expectation of inaccuracy is one of the primary reasons the forecast is updated twice each year and incorporated into the budget adjustment requests submitted to the Emergency Board.*

*The report describes how the forecasted 2011-13 caseload for Aid to the Blind and Disabled program (ABAD) varied over the course of seven forecast cycles. DHS and OHA generally agree with the basic facts as presented, but not with the view expressed in the report that the error was due to a lack of independence or that more than half of the error was not justified by the information available at that time. The majority of the caseload increase which ultimately proved to be wrong was discussed and approved in the fall 2010 forecast by the Medical Assistance Program Caseload Advisory Committee, which included staff from both the Department of Administrative Services (DAS), Budget and Management Division (BAM) and the Legislative Fiscal Office (LFO). While not noted in the Fall 2010 DHS and OHA Caseload Forecast report, one of the factors that contributed to the inaccuracy was the fact that Social Security had experienced a steep increase in disability applications since the Great Recession began. This had been widely reported in the national press, so forecasting unit staff considered it reasonable to anticipate some increase to the rate of growth for the ABAD caseload.*

*DAS Budget Policy Analysts and LFO staff have participated in the caseload forecasting process for many years. In addition, since DHS and OHA split into separate agencies in July 2011, formalized governance processes have been created to oversee all shared services units, including forecasting. As mentioned in the report, the forecast unit administrator reports to the DHS Chief Financial Officer and the OHA Budget Director, and the unit itself operates under authority of the DHS and OHA Joint Operations Steering Committee (JOSC). JOSC has authorized two governance documents that pertain to the forecasting unit: a Service Level Agreement which lays out the unit's key responsibilities and deliverables, and a Caseload Forecast Advisory Committee Charter which specifies the authority, roles, and responsibilities of the committees, their members, and the forecast unit.*

*The forecasting unit has also documented key processes and established performance metrics. Documentation includes monthly forecast accuracy reports, methodology(s) used to create the forecast for each caseload area, key process flow charts, an annual calendar for scheduled work, and a unit performance scorecard.*

*DHS and OHA strive to be transparent. To that end, an internet website has been created that is expected to go live within the next two weeks. It will be easily accessible from both the DHS and OHA home sites. The new website will include work products (e.g. caseload forecasts and monthly variance reports), administrative documents (e.g. materials on governance and methodology, names of advisory committee members, etc.), and links to other forecasting units. DHS and OHA have been routinely posting forecasts online and the bi-annual forecasts going back to 2006 are currently available.*

- To improve the independence, oversight, and transparency of the forecast unit, we recommend the Department of Human Services and the Oregon Health Authority:

  - Consider creating a policy oversight committee responsible for review and adoption of caseload forecast policies and procedures, and to help ensure forecaster independence.

    *A technical oversight committee was created to:*
    1) *Review and make recommendations to the DHS|OHA Joint Operations Steering Committee (JOSC) concerning the forecast unit's policies, procedures, governance, methodologies, etc.*
    2) *Serve as an independent channel for forecasters (or anyone else) to raise issues they perceive as jeopardizing or affecting forecast quality or forecaster independence.*

    *A charter for the committee was drafted and reviewed by DHS COO, OHA COO, DAS CFO and LFO. The charter was submitted to JOSC and received final approval on November 21, 2013. Committee members were recruited, with recommendations solicited from Senator Devlin, John Mullin (HSCO), DHS|OHA executive and program leadership, DAS and LFO.*

- Continue using the eight caseload forecast advisory committees as the arena to debate forecast risks, assumptions and methodology, and to advise the forecasters on the caseload forecast numbers.

  *The forecasting unit continues to use Caseload Forecast Advisory Committees to provide information on factors that impact program utilization, and to provide input on the proposed forecasts. Occasionally committees are added or discontinued when the forecasting need change.*

- Consider adding additional external representation to the eight advisory committees from the public, academic, non-profit, and/or private sectors.

  *Solicitations for volunteer committee members were sent to Senator Devlin, John Mullin (HSCO), DHS|OHA executive and program leadership, DAS and LFO. We identified one or two additional participants for most of the committees – in time to participate in our summer 2013 mid-cycle meetings. We will continue to seek external participants to bring into the process.*

  *In addition, the forecasting unit will continue to periodically survey external stakeholders who are not on the committees to get their input on the factors driving caseload changes.*

- Record meeting minutes of the eight advisory committees that at a minimum include the key information or issues discussed and the advisory committee's advice on the forecast numbers.

  *In early 2013 templates were created to capture meeting notes during both forecast development and mid-cycle meetings. The forecast meeting template was used and refined in spring 2013 and will be used on a regular basis going forward. The mid-cycle meeting template will be used and refined during the summer of 2013.*

- Regularly evaluate the forecasts and publish an annual accuracy tracking report. Include in the tracking report an analysis of both the accuracy and statistical bias of the forecasts. Use the results to identify improvements in assumptions and methodologies.

  *DHS and OHA understand the importance of tracking and reporting forecast accuracy and using that information to identify possible improvements. The forecasting unit has tracked and reported forecast accuracy (by program area) for many years through Monthly Caseload Variance Reports which were shared routinely with all caseload forecast committee members. Starting in March 2013, these monthly reports have been posted to the new Forecasting website.*

  *Starting in Fall 2013, the forecasting unit will produce a new annual report which will provide a more summarized, but comprehensive view on the accuracy of the caseload forecasts across program areas and over time.*

- Continue efforts to create a public web page dedicated to the forecast unit, and post its methodologies, advisory committee membership, advisory committee meeting minutes, forecast accuracy tracking reports, and the semiannual caseload forecast reports.

  *In February 2013, the forecasting unit launched a public web page:*
  *http://www.oregon.gov/dhs/ofra/Pages/index.aspx*

  *The forecasting page can be accessed directly (single click) from both the DHS and OHA home pages, and contains work products (e.g. caseload forecasts, client overlap charts, ad hoc research reports), accuracy reports, administrative documents (e.g. governance materials, committee membership, process flowcharts, forecasting methodology), etc.*

- Include in the published semiannual caseload forecast reports additional detail on risks, assumptions, uncertainties, and how these factors could affect caseload estimates.

  *When the Spring 2013 forecast was published the sections describing risks, assumptions and uncertainties were expanded. Going forward, the forecasting unit will strive to further expand this content.*

7. DHS and OHA: Statewide Single Audit Including Selected Financial Accounts and Federal Awards for the Year Ended June 30, 2012, audit # 2013- 07, (dated March 2013)

- We recommend OHA management improve controls by considering the design and implementation of a reconciliation process to ensure transfer transactions are properly and completely recorded and ensuring adequate supervisory review of recorded transactions. Supervisory review could include a review of the SFMA to HPT tracking log to ensure all transfers are included and accurately reflected in SFMA.

  *During fiscal year 2012, the department used transfers to allocate Healthcare Provider Tax (HPT) from the Department of Human Services to the Oregon Health Authority. Coding errors resulted in a $29 million understatement in the Other Revenues object, and an overstatement in the Healthcare Provider tax object by the same amount. Total revenue recorded was correct. The error was not caught in the supervisory review. The revenue report review did not occur prior to year-end close. To correct these errors from occurring in the future, we will increase the frequency of the revenue report review and provide training to receipting staff who transfer these revenues.*

*During a March 2013 Receipting Unit Continuous Improvement meeting; State Financial Reporting unit distributed copies of audit adjustment 12-01 to staff, and provided training on the importance of correct coding of provider tax and other receipts. To reduce receipting errors, during Fiscal Year 2013 Office of Financial Services staff increased their reconciliation of the receipt holding grant.*

- We recommend DHS and OHA management implement procedures for reviewing and evaluating program changes to identify any necessary revisions in financial reporting to ensure compliance with GAAP. We also recommend management consider the need to revise the billing process or prepare year-end adjustments to ensure transactions involving shared services are reported in conformity with generally accepted accounting principles.

  *The Department of Human Services and Oregon Health Authority became separate accounting entities in fiscal year 2012. In order to maximize operational efficiency, these agencies retained shared administrative service functions. In the short timeline that was provided, the agency developed a shared service model and a new cost allocation and billing process that ensured the appropriate costs were billed to each agency. The agency did not consider the impact this model would have on the consolidated financial statements and that the materiality threshold would necessitate setting up an Internal Service Fund. The agency had Department of Administrative Services, Statewide Accounting and Reporting Services, set up a new GAAP fund (5006-Health Service Fund) that will be used to report the 2013 fiscal year-end adjustments for shared services in both agencies. DHS and OHA are preparing for the 2013 - 2015 biennium by setting up a new D23 fund pointing to this new Internal Service fund to properly record the accounting transaction during the normal course of business rather than as a continual year-end adjustment.*

  *GAAP Fund (5006- Health Services Fund) was created by SARS on February15, 2013, with a July 1, 2012, effective start date. For Fiscal Year 2013, SARS will make an adjusting entry at year-end supplied by the DHS/OHA State Financial Reporting unit to properly record the activity of the Internal Service Fund. The new D23 fund (fund 3470) has been set-up in DHS/OHA that points to GAAP Fund 5006 to properly record*

*the daily operations of the shared services units for the AY2015 activities. All necessary structure has been updated using this new D23 fund beginning with July 1, 2013, activities.*

- We recommend OHA management ensure staff obtain a better understanding of the PEBB program and timing of program related revenues and expenditures to ensure accurate and complete year-end reporting in compliance with GAAP. We also recommend management implement procedures for reviewing and evaluating program changes and ongoing business practices to identify any necessary revisions in financial reporting to ensure compliance with GAAP.

  *During fiscal year 2012, the Oregon Health Authority assumed responsibility for PEBB and OEBB from the Department of Administrative Services (DAS). OHA replicated the GAAP fund structure that was in place at DAS. This structure included six D23 funds pointed to GAAP fund 1108 (Health and Social Services Fund) and five D23 funds pointed to GAAP fund 5001 (Central Services Fund). The new healthcare surcharges were placed in the PEBB Operations fund which is pointed to GAAP fund 1108. In fiscal year 2012 the Office of Financial Services had several conversations with Statewide Accounting and Reporting Services regarding the proper treatment of the funds for PEBB and OEBB which have historically been inconsistent. Rather than make a singular adjustment to one surcharge we rather chose to engage in an enterprise discussion with SARS on the proper accounting treatment for each of these programs. We will continue to work with SARS in 2013 since we have not fully resolved all of the inconsistencies in the PEBB and OEBB fund structure.*

  *In the future, the Office of Financial Services, as a shared service, will consult with PEBB staff to identify correct balances to ensure accurate and complete year-end reporting in compliance with GAAP. We will also continue to work with the Department of Administrative Services, Statewide Accounting and Reporting Services, on reviewing and evaluating significant program changes within the agency.*

  *To ensure correct Fiscal Year 2013 GAAP classification of PEBB, on March 15, 2013, Shared Services staff met with SARS staff/management and discussed correct GAAP fund classification of the PEBB funds. PEBB Operations Fund 3456 was the remaining fund not yet reclassified to the Internal Services Fund. As a*

*meeting outcome, SARS and Shared Services agreed to repoint fund 3456 to the Internal Services Fund. At Fiscal Year 2013 end, PEBB Operation Fund activity was reported in the Internal Services Fund.*

*To ensure correct accrual amounts, Fiscal Year 13 PEBB accrual amounts were calculated by Shared Services staff in consultation/review with PEBB staff.*

- We recommend department management fully capture all PEBB funds as part of the statewide cost allocation plan.

  *DHS and OHA Office of Financial Services Shared Services became responsible for the PEBB A-87 reporting in FY2012. During that reporting period, staff was trained on the correct method for reporting the PEBB operations fund, stabilization fund, and the insurance fund. DHS and OHA Office of Financial Services Shared Services has implemented this procedure and has continued it with the Fiscal Year 2013 reporting period.*

  *The 2012 A-87 report was prepared by the Oregon Health Authority, and included the PEBB Operations, PEBB Stabilization and PEBB Self Insurance Funds. Corrections for years 2010 and 2011 were calculated by the Department of Administrative Services and resulted in remaining excess fund balances. This resulted in additional Federal payback calculation of $124,824.00 that was paid to the Department of Health and Human Services VIA Department of Administrative Services. Detail on the 2010 and 2011 remaining excess fund balance calculations can be obtained from the Department of Administrative Services.*

  *DHS and OHA Office of Financial Services Shared Services will work with DAS Shared Financial Services to determine if any additional corrections for calendar year 2010 and fiscal year 2011 are necessary.*

- We recommend that authority management maintain evidence of the initial and monthly database checks for enrolled providers and we recommend department management maintain evidence of the initial EPLS database checks.

*The permanent corrective action the authority is initiating is to add a separate "check box" for each required compliance verification effort (such as checking the various excluded databases) to the provider enrollment panel within the Medicaid Management Information System (MMIS). This corrective action is being initiated by submitting a MMIS change request, and then the change request will be reviewed by multiple business entities, prioritized and then run through OHA's normal MMIS change workflow process. This prioritization effort, because of competing resources and statutorily required program changes, often delays simple change request for many months, or even longer.*

*In anticipation of a potential delay in implementing this permanent MMIS correction due to impending federally required MMIS changes, including the completion of 5010 and the upcoming ICD-10 changes, the authority has already implemented an interim process to document our efforts to exclude sanctioned providers based on the existing monthly Medicare Exclusion Database (MED) process. The MED is downloaded and cycled through the enrolled provider database contained within the MMIS on a monthly basis. Any individuals or entities identified as a result of this monthly process are reported through the MMIS. Once this report is produced, it must be manually worked to end the active status of any listed providers or entities. As this report is worked each month, our new interim process is to record any action taken, the date the report is worked and who worked it directly on the printed document. Once this information is captured, the documented and initialed report is filed for future reference or audit purposes.*

*This authority interim process is not intended to ultimately be the permanent corrective action, but has been operationalized to bridge the anticipated gap in time until the permanent MMIS changes to the provider enrollment panel correction can be completed.*

*For those providers that the department qualifies, the department has also developed and implemented a tracking tool that lists the provider name and all of the systems that are required to screen providers. The tool includes the date of the verification. Many of the department's providers are qualified by the authority. Those providers will not be included in this tracking tool.*

*The required screening for applying providers is occurring as outlined in the Division of Medical Assistance Programs' enrollment procedures. Additionally, to document this effort is occurring, a change request to our Medicaid Management Information System (MMIS) was written. This change request modified the provider subsystem to allow an enrollment specialist to document within the MMIS that the required screening checks had been successfully completed. This change request was completed and deployed the week of August 25, 2014. This change will apply to all new OHA enrolled and department MMIS enrolled providers after that date. It is anticipated that all providers in MMIS will be reviewed as part of the ACA revalidated by March 2016. In addition to MMIS, APD Provider Relations uses the eXPRS provider enrollment system to capture the required database checks for the ODDS providers enrolled through eXPRS. Finally, APD Provider Relations will also continue to maintain spreadsheets of the required database checks for the OrAccess enrollment system. APD Provider Relations conducts all of the required database checks for the APD provider populations upon enrollment and renewal, including EPLS aka SAM and documents this in the systems or spreadsheets if the system does not have the capability.*

- We recommend department management implement procedures to ensure the department uses the federal financial participation rate in effect at the time a transaction is recorded and reimburses the federal agency for the overdrawn ARRA funds.

  *In March 2013, the agency completed an in-depth review of all expenditures receiving ARRA funds that occurred July 1, 2011 and later to ensure the proper federal financial participation rate was used. An entry was completed in the Statewide Financial Management System (SFMA) to adjust the excess ARRA funds and the Centers for Medicare and Medicaid Services (CMS) will be refunded for the overdrawn funds. The adjustment was completed March 19, 2013. The PCAs associated with current period activity have been disabled. All prior period activity is reviewed each quarter to ensure compliance.*

- We recommend management implement procedures to periodically test edits in the MMIS. We also recommend management review the claims that should have been rejected by these edits to determine their appropriateness.

  *The Oregon Health Authority (OHA) agrees with the need to conduct tests that periodically validate the edits within the Medicaid Management Information System (MMIS). All edits were tested when the MMIS became operational in December 2008 using a parallel testing method, which compared the results of the new MMIS to the results of the old Legacy MMIS using identical claims. Both systems were to produce identical results, and if differences were identified, the reasons responsible for the differences were identified and corrected. Since the completion of this parallel testing, any new edits or changes to existing edits since then have been thoroughly tested in the MMIS test environment before moved into the production environment. In addition to these testing efforts, it is thought prudent to periodically test the operational edits to ensure all continue to operate as expected and that no unintended changes had been introduced into the production environment by edit changes that may adversely affect any MMIS edit functionality.*

  *Correct adjudication of Medicaid claims and encounters is a priority for the Oregon Health Authority (OHA). In early 2013, a change request to correct the system functionality within the Medicaid Management Information System (MMIS) regarding age and gender restrictions functionality was discussed. Recognizing the immediate need, a more expedient work-around response was created to strengthen the rules around age and gender procedures. Modifications to the claim rule tables for age and gender restrictions were put in place until the MMIS Restrictions Panel could be corrected. These diagnosis restrictions were inserted into the claim rule tables on February 19, 2013.*

  *In an attempt to confirm that these rules were functioning correctly, a process to randomly pull 35 claims per quarter to be manually checked by staff was initiated using dates of service starting in the first quarter of 2013. The goal of this process was to see if each claim adjudicated properly based on its unique data parameters, including age and gender restrictions. No issues were found processing the claims dataset from*

*the first quarter of 2013. Review of subsequent quarters has been delayed due to heavy workloads brought about by Coordinated Care Organization (CCO) evolutions to cover dental and mental health, ICD-10 implementation work and the manual enrollment processes associated with the Patient Protection and Affordable Care Act of 2010 (ACA) Medicaid expansion. While the manual review has been delayed, the random sample of claims has been captured each quarter. Going forward, the random claim pull will be modified to specifically focus on age and gender related procedures and other key edits, such as provider and client eligibility. Additionally, the manual review of these claim data sets will be prioritized higher until the permanent panel correction can be finalized.*

*The lack of functionality of the MMIS Restriction Panel was again brought forth in late 2013. Work on the permanent correction to the Restriction Panel began in December 2013, with the creation of a change request. Now recognized as a high priority requirement, the notice to proceed for the change request was authorized on March 4, 2013.In user acceptance testing, additional issues were identified, so work continues on the permanent correction. It is anticipated the correct panel functionality will be restored as of January 31, 2015.*

- We recommend management implement and follow internal controls to ensure the review for suspension and debarment is performed and documented for all contracts, including price agreements.

  *The Office of Contracts and Procurement (OC&P) contacted the Oregon Department of Administrative Services (DAS) Procurement Services and requested contractor self-certification language relating to suspension and debarment be added to DAS statewide price agreements. DAS reports this amendment is being made to their statewide agreements as they come up for review.*

  *The Office of Contracts and Procurement also added debarment and suspension vendor self-certification language to all DHS and OHA purchase orders processed effective March 18, 2013.*

- We recommend the department update the cost allocation plans to reflect current practices and ensure future changes are communicated timely.

  *Historically the agency submitted biennial updates to the cost allocation plan, and submitted changes to the plan annually when significant changes were made. There were not significant modifications to the plan during the last audit year so an update was not submitted to the Division of Cost Allocation.*

  *The agency agrees that updates to the plan should be submitted annually, even if no changes are made. Further, the agency communicated with the Division of Cost Allocation seeking guidance on their process for the submission of amendments to the public assistance cost allocation plans regarding mid-year modifications.*

  *Amendments to the DHS and OHA Cost Allocation Plans were submitted to the Division of Cost Allocation on June 6, 2013, for review. These amendments were to take effect with the start of Fiscal Year 2014.*

8. DHS/OHA: Public Assistance: Improve Eligibility Procedures and Consider Approaches of Other States audit # 2013- 10, (dated May 2013)

   *This audit compared five data sets to records of people receiving benefits under three federal programs: Medicaid, the Supplemental Nutrition Assistance Program (SNAP), and Temporary Assistance for Needy Families (TANF).*

   *These programs weave together to form Oregon's safety net. During the recent recession and over the past several years, the Legislature and two governors have made strategic policy choices designed to strengthen that net and keep families stable until they can get back on their feet.*

   *The periods covered in the audit varied but most were for the fiscal years 2011 and 2012. Over those two years, the combined benefits administered were $11.7 billion. The three programs served 1.4 million*

*Oregonians for those two years. The audit initially questioned benefits totaling about $2.8 million, approximately 0.02 percent of the combined costs of the program over the two years.*

*For the purposes of this audit, the Secretary of State used five data sets to compare records of people receiving benefits under Medicaid, SNAP and TANF*

- *Social Security death records;*
- *Oregon Lottery winners;*
- *State prisoners;*
- *PERS retirees; and*
- *DHS/OHA employees.*

*The audit found 5,018 record matches across the five categories. A matched record does not mean an incorrect benefit. DHS/OHA review determined that the information presented in the audit would not have changed the benefits for about 3,200 matches based on current law and policy. Another 600 of the death record matches found the person on the record and the actual benefit recipient were not the same person, and subsequent analysis would not have changed the benefits. Miscoding of the Social Security number (SSN) in the computer system was the most common reason for this occurrence.*

*In about 1,200 of the 5,018 matches, the people initially appeared ineligible and may have received a benefit they should not have received. This is about 0.09 percent of the combined enrollment of the three programs.*

*The agencies continue to strive for the greatest accuracy. This audit pointed out a few areas where changes in policy or practice could enhance accuracy even further.*

*The audit also looked at policies developed by the Legislature and Governor's office to provide public services to Oregonians living in poverty and protect our most vulnerable citizens. Under statute and through*

*policy, DHS and OHA have streamlined the eligibility processes and reduced unnecessary bureaucratic barriers that slow critical services to people in need and create waste and inefficiency in the system.*

*This has been a successful strategy as evidenced by how low-income Oregonians fared during the recent recession compared to other states. Since 2008, the number of people receiving SNAP has increased substantially. Despite the record-level caseloads, Oregon made process improvements that reduces the wait time for food benefits from more than one week to same day or next day service for most participants. Since 2008, the number of people receiving Medicaid has also increased substantially and overall accuracy rates have held steady or improved.*

*During the recession, the children's uninsurance rate dropped from 12.3 percent to 7.2 percent, providing financial stability to the families of more than 100,000 children. From 2009-2011, Oregon was one of the top two states for reducing the number of children without coverage. Also during the recession, SNAP was a key factor in holding the state's food insecurity rate steady. Now that these policies are in place and have proven to be effective, it is time to close the large lump sum loopholes in a way that allows Oregon to continue providing services to our state's most vulnerable people effectively and efficiently without letting people who can support themselves slip through.*

*The audit raised questions of both accuracy and policy. DHS and OHA continue to believe that there is an appropriate trade-off between these goals.*

*More complex eligibility criteria impose higher administrative costs, often result in more inaccurate eligibility determinations, and restrict access to the program -- even among those who are eligible under the more complex requirements. Since 2002, Oregon has made strategic choices to streamline policies and reduce unnecessary red tape – while keeping adequate oversight – to help our state's people with very low incomes receive the services they need so they can get back on their feet. As a result, the hunger rate has stayed stable in our state as it has increased in others. And our rate of uninsured children has plummeted.*

*The key is to balance the need for benefits with policies that make sure only qualified people receive them. That is the course both agencies have pursued for more than a decade.*

*DHS and OHA have taken numerous actions related to the original audit recommendations. These actions, and those that are still in process, are outlined below. In summary, the two agencies have reviewed the 5,018 cases originally identified as having a match to one of the databases used by the Secretary of State auditors. DHS and OHA have, or are in the process of writing overpayments, further researching or recovering about $1.8 million in benefits identified during this review. In addition, hundreds of staff from both agencies have been trained on improved verification processes and tools. DHS has asked for approval of waivers that allow changes in SNAP client change reporting requirements. The new centralized EBT card replacement unit has been expanded and now provides card replacement services statewide. Analysis of the effectiveness of identified federal databases have been tested and others continue to be reviewed. Various other internal processes have been reviewed and improved.*

*Below is a listing of the original recommendation and the specific actions that have been taken to address the findings in the report.*

- Work with the Governor and the Legislature to consider changes to Oregon's public assistance eligibility and reporting options, balancing the neediest with the most prudent use of public resources.

  *DHS and OHA continue to improve public assistance eligibility systems to ensure accuracy and reduce administrative costs. DHS and OHA continue to be committed to working with the Legislature, the federal government, and our partners to adjust policies to help the neediest Oregonians in ways that are productive, minimize administrative costs and avoid using resources where they are not needed. DHS and OHA have also continued to support Congressional efforts to end SNAP benefits for substantial lottery and gambling winners.*

*A waiver allowing DHS to act on returned mail was approved for implementation September 2013. An informational memorandum transmittal was provided to our field staff on August 15, 2013, to provide guidance on the new policy.*

*The SNAP program also reviewed policy options with partners regarding resource limits on the TANF funded resource information pamphlet, which qualifies many SNAP clients to be categorically eligible. The program adopted a state option to put an asset test on this program. DHS developed policy and training for this change and began implementation in January 2014. The resource limit is $25,000 in liquid assets. SNAP also submitted a waiver request that would allow clients in the Simplified Reporting System (SRS) to report resource changes mid-certification period when this liquid asset resource limit is met. DHS submitted this request to the Food and Nutrition Service (FNS) on October 18, 2013. However, with the passage of the Farm Bill, states are allowed to end SNAP benefits for substantial lottery or gambling winners. In Oregon we have defined substantial as $25,000. The bill also encouraged data exchanges with state lottery and gaming departments. We are currently working on setting up such an agreement with the Oregon Lottery.*

*At the time of the audit, adults covered by the Oregon Health Plan (OHP) Standard benefit package and children on OHP Plus had 12-month continuous eligibility. In general, continuous eligibility means that once an individual is determined eligible for Medicaid, the state may provide up to 12 months of eligibility, without a redetermination, regardless of changes in income or most other circumstances which otherwise would render the individual ineligible for Medicaid. OHA had intended to submit a request for an amendment to the state's 1115 Medicaid Demonstration, to become effective with implementation of the Affordable Care Act provisions in January 2014, that would have authorized expanding 12-month continuous eligibility for all adults on OHP. In response to the audit, DMAP was discussing including in its amendment request exceptions to the continuous eligibility policy that would require OHP recipients to report large lump sums, such as lottery winnings, and have them count for eligibility.*

*However, in May 2013, prior to the state's submission of such a request, the federal Centers for Medicare and Medicaid Services (CMS) issued clarification about what a 12-month continuous eligibility waiver for all adults might involve in 2014, including a potential reduction to a state's Medicaid match rate.*

*In February 2014, the federal Department of Health and Human Services Centers for Medicare and Medicaid Services (CMS) offered states the opportunity to apply for an opportunity to implement 12-month eligibility for adults, with an adjusted FMAP rate. The State has elected not to pursue this option at this time due to the reduction of available federal matching funds and the impact on the state budget of such an implementation. Oregon continues to implement 12-month continuous eligibility for children.*

- Work with the Governor and the Legislature to reconsider the state's Medicaid waiver provision that exempts new income and assets from review during a 12-month medical certification.

  *Under the regulations for the Affordable Care Act (ACA)at 42 CFR § 435.916, periodic redeterminations of Medicaid eligibility, the federal government requires that the agency must re-determine the eligibility of Medicaid recipients, with respect to circumstances that may change, at least every 12 months, must have procedures designed to ensure that recipients make timely and accurate reports of any change in circumstances that may affect their eligibility during the 12-month certification period and must promptly re-determine eligibility when it receives information about changes in a recipient's circumstances that may affect eligibility. Also, if the agency has information about anticipated changes in a recipient's circumstances, it must re-determine eligibility at the appropriate time based on those changes.*

*Under OAR 410-200-0235, Oregon Administrative Rules drafted to comply with the ACA, OHP enrollees are required to report changes in circumstances affecting eligibility for beneficiaries within 30 calendar days of their occurrence. This includes:*

*(A) A change in source of income.*

*(B) A change in employment status.*

*1) For a new job, the change occurs the first day of the new job.*

*2) For a job separation, the change occurs on the last day of employment.*

*(C) A change in earned income more than $100. The change occurs upon the receipt by the beneficiary of the first paycheck from a new job or the first paycheck reflecting a new rate of pay.*

*(D) A change in unearned income more than $50. The change occurs the day the beneficiary receives the new or changed payment.*

*1) A change is considered reported on the date the beneficiary or authorized representative reports the information to the Agency.*

*2) A change reported by the beneficiary or authorized representative for one program is considered reported for all programs administered by the Agency in which the beneficiary participates.*

*This administrative rule became effective October 1, 2013.*

- Take the necessary steps to gain access to the SSA's real time, online verification system and implement its use statewide.

*Culminating an effort that had been underway prior to the beginning of the audit, DHS and OHA have been certified by the Social Security Administration (SSA) to receive the real-time online verification system data. DHS and OHA made the new application available to field staff in August 2013 and sent a transmittal*

*explaining the new screens to the field on September 4, 2013. DHS Central Office also reviews SSA-related error reports.*

- Require initial verification and continual monitoring procedures for categorically eligible recipients. Promptly notify SSA of any information that may disqualify an individual from receiving SSI benefits.

  *The department contacted the SSA's Regional Office in Seattle to confirm whether or not the local offices should be accepting information about potential additional income being received by SSI recipients. The SSA Regional Office confirmed that their local offices should be accepting this information and looking into it. Aging and People with Disabilities (APD) re-established procedures for all staff to follow, wherein they will report to SSA when they learn of additional income that was not previously known.*

  *A note was added to the Aging and People with Disabilities (APD) Oregon Supplemental Income Program (OSIP) Manual in July 2013 instructing workers to complete and send SSA a SDS 538A form when assets are identified that would result in the ineligibility for Oregon Supplemental Income Program Medical (OSIPM) if an individual were not assumed eligible. This process is now reinforced in training provided to staff.*

- Provide caseworkers with clear policies, procedures and guidance on eligibility verification processes that comply with federal regulations.

  *Please see below.*

- Provide caseworkers with regular training on verification processes and provide them with appropriate guidance.

  *Please see below.*

- Ensure program managers are provided adequate training on federal requirements for their programs.

  *DHS and OHA continue efforts to improve training and guidance on eligibility policies and procedures. We are developing better analysis of error trends that allow us to target education around specific areas of eligibility and to monitor improvement. We will continue to incorporate information from this audit into the targeting process. In addition, we have developed new tools, including quick reference guides, regional training events and special newsletters to improve results.*

  *Some of the specific efforts include a policy transmittal sent to all Oregon Department of Human Services Self Sufficiency and Aging and People with Disabilities field staff on April 15, 2013, regarding the use of Social Security Numbers and T-numbers for the SNAP program and a special edition "On Target/In the Loop" newsletter that was sent to staff in June 2013 in response to the findings from the audit. This was in addition to the article that had already been included in the May 2013" In the Loop" newsletter. A documentation matrix was also developed and distributed to staff as a quick reference guide.*

  *In addition, the department has developed information for branches to place in their lobbies discussing the need for clients to secure their cards, and Communications has incorporated card safety and security information into the Oregon Trail Card web page and client notices. This is one of our highest traffic sites.*

  *DHS has completed 27 meetings throughout the state where we shared information regarding the audit, with tips, tricks and tools (including the documentation matrix mentioned above) to avoid the types of errors that were found in the audit. In total, more than 400 field staff representing APD, Self Sufficiency and Child Welfare staff attended the trainings. Staff members attending the trainings take materials back to their offices to share with co-workers who were unable to attend. All training materials were also posted to the Regional Meetings website.*

  *Affordable Care Act (ACA) trainings given to the OHA Statewide Processing Center in September and October 2013 incorporated some of the issues noted in the recommendations from the audit report. Items*

*addressed in these trainings include identifying and addressing SSN mismatches and using information obtained from the federal hub to verify information provided by applicants. Additional training materials have been developed which focus entirely on issues identified through the audit.*

*Information regarding verifying SSN's via the legacy system has been included in training material that began to be presented to staff in late May 2014. Also included in the training material is information about how the SSN is verified via the federal hub when the request for health coverage has been approved by the Siebel system. OHA Statewide Processing Center also has material available to staff outlining the procedure for verifying the SSN of individuals newly added to the legacy system.*

- We recommend that DHS/OHA Office of Payment Accuracy and Recovery obtain access to and use the SSA Death Master File as the primary data source for matching to death records.

  *This recommendation is partially implemented. The Office of Payment Accuracy and Recovery (OPAR) agreed to explore the use of the SSA Death Master File. OPAR currently uses Oregon's Vital Records data as its primary data source. We do this because it is the most accurate source of date of death data for our client population. The SSA file would give us access to out-of-state deaths, and this could have value as a primary source for this specific information.*

  *OPAR ordered the most recent quarterly SSA Death Master File. Upon receipt we evaluated the usefulness of the out-of-state information provided by SSA as a pilot project. The OPAR Data Match Unit (DMU) completed a cost benefit analysis of using the SSA Death Master File and found a potential savings in year one of $3,000. Subsequent years could potentially show a savings of approximately $7,000 a year. To realize this savings, DMU staff would have to be taken off other assignments such as the Incarceration project. This would result in a loss of cost avoidance of $27,000 in the first year and $23,000 in successive years.*

  *As a result, OPAR will put this match concept on indefinite hold until full staffing of the Data Match Unit can be achieved at which point installation of this match would be reconsidered.*

- We recommend that DHS/OHA Office of Payment Accuracy and Recovery use the SSA Prisoner Verification System the agency has access to as part of its information exchange agreement with SSA.

  *This recommendation is partially implemented. OPAR is in the process of addressing the technical issues that accompany accessing the SSA Prisoner Verification System data, however this process is on hold until additional staff resources can be provided. Once these issues are addressed and overcome, OPAR will look to include use of this data as a part of its Corrections Match process.*

- We recommend that DHS/OHA Office of Payment Accuracy and Recovery work with Oregon State Lottery and other state agencies to obtain access to client information that can affect eligibility, such as earned income through winnings and retirement payments.

  *This recommendation is partially implemented. DHS is currently working with the Oregon State Lottery (OSL) on processes to help with the identification and intervention of prize payments to assist with resource identification of eligibility and recovery of monies owed. Currently, DHS has Legislative support for a concept requiring information sharing between OSL and DHS. If all goes well, a concept will be introduced in the 2015 legislative session.*

  *We continue to work with the Oregon State Lottery as we attempt to determine the best way to accomplish effective information sharing as recommended by the audit. Work on regular access to PERS information is on hold until the work on Lottery data is completed, though the process established for ad hoc PERS access will continue as needed.*

- Continue work to identify recipients with large numbers of reportedly lost or stolen cards and implement a process for follow-up and possible investigation.

*DHS initially created a central unit to replace lost or stolen cards in 2012 and to take actions to reduce the number of replacement cards and prevent misuse. As noted in our original response, the new process has reduced the issuance of replacement cards.*

*Statewide rollout of the centralized electronic benefit transfer (EBT) card replacement unit process was implemented January 15, 2014. This unit is now the primary replacer of all lost or stolen cards, except for specific exceptions outlined in the Field Business Procedures Manual. DHS sends a letter to households with four or more cards replaced in the last twelve months to reinforce that clients need to secure their card. The process is statewide and has reduced the number of replacement cards by 19% since the Replacement line started.*

- We recommend that DHS/OHA Office of Payment Accuracy and Recovery review remaining matches and take necessary action to recover overpayment through collection efforts up to and including prosecution.

  *This recommendation has been implemented. As discussed in detail below, all of the potential overpayments identified and forwarded to OPAR are in various stages of investigation, overpayment writing, and recovery. Those warranting additional investigation for fraud have been forwarded by DHS and OHA to OPAR's Fraud Investigation Unit as appropriate. In addition, DHS and OHA have followed up with health plans and providers to recover inappropriate Medicaid payments.*

  *The audit report identified 5,018 case matches involving about $34.5 million in benefits. As we mentioned in our original response, most of these matches did not indicate inappropriate payments. In 3,183 cases (involving $24.2 million in benefits) the match information provided during the audit would not have changed the benefits these clients received under state policy and federal law. In addition, 609 matches (involving $7.5 million in benefits) were death match cases in which the deceased person in the SSA file was not the same as the person receiving benefits. The most common cause of these mismatches was incorrect entry of an individual's Social Security Number in the program's system.*

*This left 1,226 cases involving about $2.8 million in benefits as possibly issued in error. Since our original response we have further analyzed the 1,226 possible error cases.*

- *We have recovered about $500,000 in Medicaid capitation payments from managed care plans for 289 deceased persons and 159 persons who had some period of incarceration. These figures include some costs incurred outside of the original audit period. We are also pursuing an additional approximately $483,000 in capitation payments for deceased or incarcerated Medicaid clients from terminated health plans. We may not recover some of these payments if the provider is no longer operating.*
- *We have recovered about $33,000 in fee-for–service Medicaid costs from providers who billed for services after the clients date of death. We are continuing our review of another approximately $7,000 in fee-for-service Medicaid payments.*
- *We recaptured approximately $54,000 in unspent SNAP benefits remaining in 184 deceased client accounts.*
- *We forwarded 21 PERS Medicaid matches for deceased client cases involving about $406,000 in benefits to our Estates Administration Unit. In total, 15 of these cases (those without a living spouse) involving about $297,000 have been worked. This resulted in about $8,000 in collections from estates. While there were additional questionable SNAP cases involving deceased persons, we do not collect SNAP benefits from estates.*
- *We did not attempt to collect overpayments on 54 SNAP cases involving about $18,000 in benefits used after the client died, largely because identification of the user and collection is difficult for the amounts involved.*
- *Based on federal guidance and state policy, we did not pursue overpayments on 219 incarcerated SNAP cases involving about $101,000 in issued benefits.*
- *In 55 cases involving about $181,000, we did not pursue overpayments from providers for services provided clients due to our error.*
- *We have written 102 client overpayments involving about $558,000 in total benefits. Included in this total are 88 PERS recipient related overpayments involving over $514,000 in SNAP, Medicaid and*

*TANF benefits. In many cases, the overpayment amounts were different than the amount of benefits originally questioned in the audit.*

- *After our review, we were able to determine that about $613,000 in SNAP, Medicaid and TANF benefits provided to PERS recipients were appropriate. We also found appropriate approximately $72,000 in Medicaid benefits provided to lottery winners.*
- *We are still reviewing three cases for possible SNAP and Medicaid overpayments involving about $1,000 in payments.*
- *We determined that some of the remaining questioned payments were appropriate or partially appropriate, we lacked critical information, or the amount was too small to justify the cost of collection.*

9. OHA: Safe Drinking Water Revolving Loan Fund for the Fiscal Year Ended June 30, 2012, audit # 2013-12, (dated May 2013)

- We recommend the department continue to refine their financial reporting process to accurately adjust the Safe Drinking Water financial statements to comply with Generally Accepted Accounting Principles.

  *In this reporting period $241,586 of additional expenditures and federal revenue were incorrectly accrued on the paper financial statements. A review process has been put in place to double check the paper financial statement adjustments made to accruals in the future.*

  *We agree that the prior year's accruals were not properly reversed. A review process has been put in place to double check paper financial statement entries including accruals in the future.*

- During the current engagement, we performed procedures to follow up on the prior year's internal control deficiency and noted that partial corrective action had been taken to address the recommendations. The agency completed reconciliations for grant phases 04, 05, and 06 and identified the same discrepancies and

reversed the accounting entry in April 2013. The agency initiated contact with the Environmental Protection Agency in May 2013 to address the last three recommendations, but has not yet reached a resolution with the Environmental Protection Agency.

*During the interim period the Office of Financial Services completed a reconciliation of the accounting records for each set aside to the federal cash reimbursement system (ASAP). The following entries will be made to the state's accounting system to ensure there is a complete match with the EPA draw accounts in ASAP. These entries will not result in any modification to the closed FFR's nor result in any federal draws against closed grants in ASAP.*

*The state's accounting system showed excess revenues in the loan fund for grant year200 4 of $287,258.02 and the Admin set-aside in grant year 2006 showed excess revenue/expenses of $7,160.44. We did an adjustment to move $283,022.82 to Local set-aside in grant year2005 and $10,495.64 to State Program Management in grant year 2004 in order to align with the federal system and reports. After this adjustment there will be an excess of $900.00 that is due to the EPA. A letter was sent to EPA which outlined these adjustments and requested a process to return the excess $900 due to EPA.*

*Once the entries were made, the state's accounting system will be a complete match with the EPA draw accounts in ASAP and the reported FFR's for grant years2004, 2005 and 2006.*

*Correspondence was subsequently received from EPA instructing the program to apply the excess $900 to offset current period expenditures.*

10. DHS and OHA: Statewide Single Audit Including Selected Financial Accounts and Federal Awards for the Year Ended June 30, 2013, audit # 2014- 09, (dated April 2014)

- We recommend department management improve controls in the Receipting Unit to ensure all checks are safeguarded, properly tracked and accounted for in the financial records.

  *The agency appropriately segregates the duties of handling checks in its Salem facility. Under the current system, checks received by mail are sorted by category, recorded by count and delivered to the staff member that is responsible for that category. The item count can be reconciled from the online deposit system reports to an excel spreadsheet created by the unit.*

  *The count and amount of checks received through this process is a small portion of the total revenue recorded by the Receipting unit.*

  *We have strengthened internal controls on the handoff of checks by including, in addition to a count of checks, the dollar amount, reconciliation, and a check redistribution log. We continue to look for opportunities to reduce the risk by reducing the number of checks received in the agency.*

- We recommend department management align policies and procedures with governmental accounting standards to record expenditures in the proper period and we recommend management provide training to staff to ensure that prior period adjustments are utilized when appropriate.

  *Each year the agency records regular, routine transactions to refinance revenue and expenditures related to lagged receipt of various revenue sources. As mentioned in the audit finding, these are normal transactions that occur as part of our regular business process.*

  *In 2009, due to the large dollar amount of these transactions, the agency asked for advice from the Department of Administrative Services, Statewide Accounting and Reporting Services (SARS) on the proper use of prior period adjustments for these transactions. In response, SARS stated, "it's not appropriate to incorporate a prior period adjustment into a routine practice. Prior period adjustments should be reserved for (those infrequent) corrections of errors." This advice was consistent with both the Oregon Accounting*

*Manual (OAM) 15.85.00.PO and related governmental accounting standards outlined in Governmental Accounting Standards Board (GASB) circulars.*

*During the 2013 statewide financial audit, auditors again recommended prior period adjustments for routine transactions. On December 3, 2013, the agency, Secretary of State Auditors and SARS met again to discuss the issue. At the meeting, SARS leadership agreed with the auditors that these transactions could, most likely, require prior period adjustments. The agency stated their belief that use of prior period adjustments for routine transactions was contrary to the OAM and GASB.*

*To ensure that the agency was not in violation of OAM, the agency stated that it would change the practice of recording prior period adjustments (to include material routine transactions) if the OAM were updated to reflect the change.*

*On December 5, 2013, SARS updated OAM 15.85.00 to include new language on when to record a prior period adjustment for these types of transactions.*

*Since the change in language in the OAM, the unit has started reviewing all adjustments that occurred during fiscal year 2014 to see if prior period adjustments needed to be done. Clarification to staff of the change in the OAM occurred through the use of Office of Financial Services newsletter, training information shared with Grant Accounting unit, and a process update to improve ability to capture data that would need prior period adjustments.*

- We recommend department management review and revise accrual methodologies for revenues and expenditures, as necessary, and perform periodic retrospective comparisons of accruals to actual amounts to ensure the accrual methodologies are reasonable.

*Due to an error during year-end reporting the healthcare provider tax (HPT) revenue, drug rebate revenue and Medicaid Management Information Systems, expenditure accrual estimates were based on a 60-day rather than a 90-day availability period. This accounted for three of the four audit comments in this finding.*

*As a corrective action, beginning in fiscal year 2014, the Statewide Financial Reporting unit will modify its processes to ensure all governmental fund accrual calculations are based on the 90-day availability period. If actual HPT revenues are not known during month 13 financial adjustment periods, the agency will use estimates such as trends and projections that are based on Generally Accepted Accounting Principles (GAAP). The estimates will be compared to actuals for reasonableness.*

*Statewide financial reporting timelines require agencies to record accrual estimates within approximately 30 days after the end of the fiscal year even though the accrual period doesn't end until 90 days after the end of the fiscal year. This timeframe produces variances between the estimates and actuals. The fiscal year 2013 variances were partially due to the inherent nature of using estimates. The $17.4 million and the $7.5 million variances seem high, but only make up 6.4% and 2.8% of the total accrual of $270 million.*

*Although variances of actuals and estimates are expected, the agency agrees that accrual amounts should be compared to actuals, and future accrual modifications should be implemented as needed. Therefore, as a corrective action, beginning in 2014 the Statewide Financial Reporting unit will implement a yearly review of its operating statements to document variances and adjust accruals if needed.*

*The Statewide Financial Reporting unit has reviewed and updated accrual methodologies as appropriate.*

- We recommend department management implement internal controls to ensure that all insurance premium revenue due to the department is received, properly classified, and properly recorded.

  *The agency reported the correct amount of Oregon Medical Insurance Pool (OMIP) and the Federal Medical Insurance Pool (FMIP) cash in Fiscal Year 2013. We agree that $5 million of this cash was*

*incorrectly classified as insurance premium revenue, and instead should have been classified as reduction of expenditures. The incorrect classification had no equity impact on the GAAP fund.*

*The error occurred primarily because the agency received incomplete revenue reports from Regence in fiscal year 2013. Therefore, beginning in fiscal year 2014, as a corrective action the agency will obtain detailed reports from Regence and adjust its records to correctly classify and report the insurance premiums and reductions of expenditures.*

*The FMIP program closed at the end of fiscal year 2013, but the OMIP program was open through the end of December 2013, and the six months of operation will be included in the agency analysis.*

*To address audit finding 13-004, in fiscal year 2014 the agency has obtained detailed reports from Regence necessary to determine the source of cash remitted by Regence, and appropriately recorded the cash received based on information available at the time. These reports were received and incorporated in the accounting record on an ongoing basis during fiscal year 2014.*

*Additionally, to ensure all insurance premiums due to the agency are remitted by Regence, the agency is utilizing the methodology used in the audit analysis, multiplying monthly member contract counts by the relevant premium rates, and reconciling the results with amounts reported and deposited by Regence for the months the programs were open in fiscal year 2014. This analysis was completed for the OMIP program based on information available at accounting close for fiscal year 2014 in September 2014, and the calculated variance was within one percent.*

- We recommend department management improve controls to ensure account balances are accurately stated and reconciled to supporting documentation.

  *We have inventoried and affirmed the existence of the assets in the building and building improvement account. We have not identified any other buildings or building improvements that had not been listed. We*

*have reviewed the calculations on the asset spreadsheet and corrected any errors identified. We have implemented controls to ensure accuracy going forward including post-review of recorded entries to documentation and calculations.*

- We recommend department management gain better understanding of controls already in place and implement the necessary complementary controls to provide assurance that all drug rebate revenue is correctly calculated, invoiced, received, and recorded in the accounting system.

  *The CareAssist program is administered by the Office of Pharmacy Programs. The program requested and received a response from HRSA/Office of Pharmacy Affairs (OPA) which indicates that the federal agency is strengthening its process for oversight of the pharmaceutical manufacturer's compliance with providing rebates to 340B covered entities and the accuracy of the rebates provided. The actual calculations of the rebate amounts will still be privileged information and will be only for internal OPA use. The program received guidance from HRSA and will develop a mechanism by which rebates received by CAREAssist are compared over time to identify a variance of more than an expected percentage. The process will be a report automatically generated from the database and will allow the program to follow up with both the manufacturer and OPA for an explanation for the variance.*

  *The Medicaid Pharmacy program is administered through the Division of Medical Assistance Programs (DMAP). While the program had a number of existing reviews, these reviews lacked a formal process, and lacked formal documentation of the review. Program staff will be developing formal processes to adequately oversee the contractor's invoicing of drug rebate. Program staff will work to develop sound methods of documenting the drug rebate invoicing process. Program staff is also working with the contractor to develop additional controls around disposition of payments and delinquent payment by developing and using existing MMIS reports for review. Each month for our Rebate meeting, a check off list has been created and after each meeting, the signed check off list is scanned along with reports reviewed.*

*The agency has historically contracted with a third-party vendor to provide independent assurance over the controls utilized by Hewlett Packard for the Medicaid Management Information System. The agency is in the process of issuing a Request for Proposal (RFP) to select an independent contractor to perform annual MMIS controls audits. The RFP statement of work includes the review of drug rebate processing. The agency will be issuing the RFP in early 2015.*

- We recommend department management correct the transactions processed with this incorrect coding. We also recommend department management ensure system coding is appropriately updated to allow only current FMAP rates to be used.

  *The department has implemented a process change related to Program Cost Account (PCA) structure. The Office of Financial Services (OFS) now enters an 'effective end date' on PCA's to prevent a PCA from being used on a transaction after the grant period has closed.*

  *The four identified transactions have been corrected in the accounting system with balance transfers. Additional research is being done to ensure there are no additional documents that need adjustment. The change in process was implemented March, 2014.*

- We recommend management develop a plan based on current resources to ensure the timely completion of provider health and safety standard surveys for nursing facilities.

  *Oregon has a long history of meeting the Centers for Medicare and Medicaid Services (CMS) performance standards related to surveying facilities in fewer than 15.9 months. The Nursing Facility Licensing Unit is dedicated to bringing our CMS performance standard back into compliance and we are anticipating reaching compliance in early 2016. Over the past four years various staffing resource issues, such as the position freeze and mandatory furloughs, have significantly affected our ability to complete our work timely. In fact, our vacancy rate for surveyors reached 34% by the end of the freeze. Implementation of the Quality Indicator Survey (QIS) also contributed to our failure to meet the CMS performance standards. In addition*

*to the general difficulties inherent of a new process and system, this new federally mandated survey process has increased our required survey team size (particularly for small facilities), increased training requirements, and lengthened total survey time.*

*Over the past four years we have implemented several continuous improvement activities that have resulted in efficiency gains to the survey process, in turn reducing the amount of time it takes to survey a provider. Those efficiencies have resulted in a 33% reduction in new surveyor training time. We have made efforts to minimize survey related travel and made a 10% reduction in report writing time. We have implemented an Electronic document workflow process, streamlined our report review process to facilitate a faster turnaround time between surveys, and provided provider training on how they can prepare for the new QIS process. Additionally, since July 2013 we have hired a significant number of new surveyors. This has been offset by a number of retirements and staff resignations.*

*Over the coming two years, we will take a number of steps to bring the department into compliance. By February 2015, we plan to make job offers on all current surveyor vacancies. By September 30, 2015, all new surveyors will be trained, Surveyor Minimum Qualifications Test (SMQT) certified and QIS registered. During this time we will also assess the survey and training teams to optimize production, optimize survey and surveyor turnaround time, evaluate utilization of CMS approved survey contractor to help us complete surveys and evaluate our surveyor recruitment process to enable us to reach better and more qualified applicants. We have also begun bringing retired and resigned surveyors back on a temporary basis to perform surveys.*

*Our goal is to achieve compliance, and to have no facility with a survey interval over 12.9 months (which is well below the required 15.9 months). We estimate this will be achieved in early 2016.*

- We recommend authority management develop a plan that identifies key MMIS edits and implement procedures to periodically test key system edits to ensure they are functioning as intended. We also

recommend management review the claims that should have been rejected by age and gender restriction panel edits to verify those claims are appropriate.

*Correct adjudication of Medicaid claims and encounters is a priority for the Oregon Health Authority (OHA). In early 2013, auditors found that the system functionality within the Medicaid Management Information System (MMIS) regarding age and gender restrictions was not as expected. A change request to correct this functionality was discussed. Recognizing the immediate need, a more expedient work-around response to strengthen the rules around age and gender procedures was created. Modifications to these claim rule tables for age and gender restrictions were put in place until the MMIS Restrictions Panel could be corrected. These diagnosis restrictions were inserted into the claim rule tables on February 19, 2013.*

*In an attempt to confirm that these rules were functioning correctly, a process to randomly pull 35 claims per quarter to be manually checked by staff was initiated using dates of service starting in the first quarter of 2013. The goal of this process was to see if each claim adjudicated properly based on its unique data parameters, including age and gender restrictions. No issues were found processing the claims dataset from the first quarter of 2013. Review of subsequent quarters has been delayed due to heavy workloads brought about by Coordinated Care Organization (CCO) evolutions to cover dental and mental health, ICD-10 implementation work and the manual enrollment processes associated with the Patient Protection and Affordable Care Act of 2010 (ACA) Medicaid expansion. While the manual review has been delayed, the random sample of claims has been captured each quarter. Going forward, the random claim pull will be modified to specifically focus on age and gender related procedures and other key edits, such as provider and client eligibility. Additionally, the manual review of these claim data sets will be prioritized higher until the permanent panel correction can be finalized.*

*With the claim rule table modifications completed, the lack of functionality of the MMIS Restriction Panel was again brought forth in late 2013. Work on the permanent correction to the Restriction Panel began in December 2013, with the creation of a change request. Now recognized as a high priority requirement, the notice to proceed for change request was authorized on March 4, 2013. In user acceptance testing,*

*additional issues were identified, so work continues on the permanent correction. It is anticipated the correct panel functionality will be restored as of January 31, 2015.*

- We recommend authority management maintain evidence of the initial and renewing database checks for enrolled providers.

  *It is important to the Division of Medical Assistance Programs (DMAP) that all program integrity requirements to keep excluded persons or entities from participating in the Medicaid programs be followed. Checking the multiple exclusion databases for newly enrolling Medicaid providers has been operational since March, 2011, when the Patient Protection and Affordable Care Act of 2010 (ACA) became effective. The processes necessary to comply with these checks are documented within the provider enrollment policy and procedures manual.*

  *In addition to newly enrolling providers, all providers within the Medicaid Management Information System (MMIS) are checked against the Medicare Exclusion Database (MED) on a monthly basis. This monthly MED search results in a report being produced that indicates possible matches to excluded individuals or entities. This report is then worked by staff who are tasked to make a determination on the validity of a possible match. If the match is verified, the excluded individual or entity would immediately be terminated from the Medicaid program. These processes contribute to the high levels of confidence that no excluded individuals or entities are participating in the Oregon Medicaid programs.*

  *A prior audit found that historical documentation of these database checks was not sufficient. To address this, an MMIS change request was written on March 28, 2013. This change request will expand the provider panel to include a series of check boxes where the enrollment staff could record what databases were checked and when they were checked. The initial estimated completion date for change request was October 31, 2013. Due to other priorities, work to get the change request completed did not occur until late March 2014. This change has now been completed and deployed the week of August 25, 2014.*

*These exclusion database check boxes will be applied to newly enrolling providers. All providers will be documented for exclusion checks upon the completion of the ACA provider revalidation requirement. This revalidation requirement is targeted for completion in March 2016.*

- We recommend authority management develop a security plan that addresses all federally required components, develop and implement a formalized risk analysis program, and ensure system security reviews are conducted timely for all applicable systems involved in the administration of the Medicaid program.

  *We agree the Department of Human Services and Oregon Health Authority have not completed all the elements of a formal ADP risk analysis and security review of the Medicaid systems. However, as we have previously communicated, the agencies have traditionally relied on third-party assessments (such as the SOC1 report from TKW), audits from Office of Inspector General, Secretary of State, and the Enterprise Security Office's Annual Information Systems Business Risk Assessment report to provide this information. Security control assessment is included in these assessments. Vulnerability assessment scans of the MMIS system software are periodically performed at least every three years or whenever major changes are made to the system. The last assessment took place in August 2012. The next assessment is scheduled for later in 2014. We use these audits and reports, as well as leveraging reports from the Privacy and Incident Response section, to assist in that determination. While not strictly a formal risk assessment per se, it does provide an analysis of controls from both a system as well as program perspective. In addition, Information Security and Privacy Office (ISPO) staff have conducted security walk-throughs of the State Data Center on a number of occasions as required by the Internal Revenue Service (IRS). The agencies also have the Change Activity Board (CAB) and the Architectural Review Board which gives/requires the Information Security and Privacy Office (ISPO) the opportunity to review proposals for security issues and impact on the IT security environment.*

  *We also agree that we need to develop a formal risk assessment and security review program based in industry standards and best practices that assesses risks for programs as a whole and not on a system-by-system basis. ISPO has hired a position for this activity and expects to have it in operation by June 30, 2014.*

*It is envisioned that this program will be policy based. The program will use tools and techniques based on National Institute of Technology and Standards (NIST) principles and standards. The overarching goal is to ensure that security risk analysis is conducted when appropriate on a regularly scheduled basis. It is expected that the program will work closely with the Internal Audits and Consulting Unit to ensure that peer review of findings is included as an integral part of the ongoing risk assessment program.*

*The Information Security and Privacy Office (ISPO) is currently in the process of performing a Risk Assessment (RA) on the MMIS System and anticipates the RA will be completed by March 2015. ISPO is also on track to perform vulnerability assessments on MMIS in the Summer of 2015. We anticipate that a number of subsystems that "feed" into or use output from MMIS may also be candidates for evaluation. This is dependent on ensuring that the evaluations will not disrupt the transition from the Cover Oregon (CO) Health Insurance Exchange or the contingency support efforts for CO during the Magi/Medicaid and Qualified Health Plan Transition project. We anticipate that evaluation of those systems will occur during the 3rd and 4th quarter of 2015. ISPO's RA program is in the operational pilot stage. Staff have undergone formal training by ISACA and have successfully conducted two pilot assessments to fine tune the tool selection and processes. The overarching policy is in development as part of a revised security policy set due to go forward for approval February 2015.*

- We recommend management strengthen its review of balance transfers to ensure costs are not charged to a grant outside of its period of availability. We further recommend management consider implementing a process to limit the charging of costs to a closed grant thereby minimizing the need for corrections.

  *Currently, the Immunization section follows the Center for Public Health Practice process, which is, as follows:*

  1. *Section fiscal analyst prepares documentation of the original transaction from SFMA with an explanation on why the transfer is requested.*
  2. *Request is submitted to the Practice Program Support Manger (PSM) for review and approval.*

3. *If approved, PSM emails request to the Office of Financial Services (OFS).*
4. *Request is reviewed by OFS divisional liaison.*
5. *If approved, adjustment is entered by OFS.*

*Going forward, step 1 above will be expanded to include attaching source documentation from the original transaction. This will allow confirmation that the adjustment is appropriate to the period of availability. A new procedure has been developed to establish a more uniform method for making adjustments. The new expense transfer adjustment policy became effective on June 1, 2014.*

- We recommend management ensure the appropriate report is submitted at the end of the grant period. We also recommend management strengthen its reconciliations of Federal Financial Reports to ensure accounting records fully support reported amounts.

  *Management emphasized the need for staff to properly identify individual grant reporting requirements and stressed the need to communicate effectively between program staff and the Office of Financial Services (OFS). OFS will review adjustment requests for effective dates and invoice descriptions to determine validity of expenditures. OFS staff will review the Notice of Award and determine if the financial report should be an interim, quarterly, annual or final report. OFS staff will monitor grants after federal reporting has occurred to ensure no additional entries are made and make sure accounting structure is shut down to prevent future occurrences. OFS will expand queries of the datamart to measure expenditures by grant component.*

  *All adjustments are reviewed by either the Grant Accountant or Division Coordinator to ensure adjustments are in accordance with the notice of award. The invoice description and the date of the original are reviewed. All adjustments are entered by the Grant Accountant or Division Coordinator and then released by a manager or other employee. The Grant Accountant runs a query and verifies on the 66 screen in SFMA no activity has occurred on a closed grant.*

- We recommend management ensure controls are in place to review and retain reports used to justify payroll funding splits. Management should ensure funding splits entered into OSPA are appropriate, including those with differences noted during the audit.

  *The Oregon Immunization Program developed a process for centralized tracking of payroll documents and assigned responsibility to specific positions. Immunization staff was trained on the improved workflow for payroll documents. This process was tested from July 2013 through January 2014, and formalized in Unit Guideline OPS001 (dated January 28, 2014).*

11. OHA: Safe Drinking Water Revolving Loan Fund for the Fiscal Year Ended June 30, 2013, audit # 2015-01, (dated January 2015)

- We recommend the agency continue to refine their financial reporting process to accurately adjust the Safe Drinking Water financial statements to comply with GAAP.

  *We agree with the finding. We have incorporated the adjustments and resubmitted the appropriate financial statements. A process has been developed and documented for the creation and review of these financial statements. An appropriate review will be done by the Statewide Financial Reporting Unit going forward.*

- We recommend the agency review its process to ensure payroll charged to a program is allowable and unallowable payroll is detected and corrected timely.

  *We agree with the finding that an error was made in our payroll coding. The employee's time was coded to the Technical Assistance set-aside when it should have been coded to our other fund fee based program, Operator Certification. An adjustment to correct this error has been made, and will be reflected in the Fiscal Year 2014 expenditure reports. We are now more closely reviewing our time and activity reports to help us avoid similar miscoding in the future.*

# 2013-2015 MANDATORY SPECIAL REPORT ON COMMUNITY MENTAL HEALTH

Treasury Account Report

July 2013 to June 2015

Per ORS 426.506 Community Mental Housing Fund; Community Housing Trust Account

| | | |
|---|---|---|
| Restricted Funds- Permanent Fund Principle | $ | 5,726,586 |
| Unrestricted 11-13 Balance Forward | | 487,156 |
| YWCA Prepayment | | 86,667 |
| Jackson County Prepayment | | 59,401 |
| Haven House Prepayment | | 26,446 |
| Interest: Actual July 2013 through December 2014 | | 51,094 |
| Projected January 2015 through June 2015 (6 months @ $2,500) | | 15,000 |
| **Total Revenue** | **$** | **6,452,350** |

| | | |
|---|---|---|
| Restricted Funds- Permanent Fund Principle | | 5,726,586 |
| Awarded Amounts Funded July 2013 to June 2015 | | 15,818 |
| Amount reserved for awarded housing development | | 245,853 |
| Amount reserved for awarded institutional housing | | 0 |
| Available to be Awarded | | 449,093 |
| Projected Interest January 2015 through June 2015 | | 15,000 |
| **Total Expenditures** | **$** | **6,452,350** |

# Office of Facilities Management

| OHA Major Projects Summary | | |
|---|---|---|
| | 2015-2017 BIENNIUM | |
| Project | Original Budget Request | Estimated project funds needed for 15-17 |
| 550 Capitol St, Salem | $0 | $977,930 |
| 827 Oregon St, Portland | $0 | $401,433 |
| | 2015-2017 BIENNIUM | |
| | Original Budget Request | Estimated project funds needed for 15-17 |
| Totals: | $ - | $ 1,379,363 |

# Oregon Health Authority
# Gender Equity Report

The Oregon Health Authority (OHA) Gender Equity Report provides progress and plans in achieving equal access to appropriate services for males and females under the age of 18.

OHA's efforts are more program specific than agency wide, due to the nature of our work as it relates to the requirements of the statute. This report focuses predominantly on Addictions and Mental Health (AMH), Public Health (PH) and the Office of Contracts and Procurement (OC&P). This report covers July 1, 2012 through June 30, 2013 (FY 2013).

This report is required by ORS 417.270 (HB 3576 Equal Access to Appropriate Services for Girls and Boys).

## OHA Addictions and Mental Health Program

OHA Addictions and Mental Health (AMH) program supports equal access to appropriate services and treatment for females and males under 18 years of age. AMH developed the Integrated Services and Supports Rule to prescribe minimum standards for the services and support provided by addictions and mental health providers. These rules promote recovery, resiliency, wellness, independence and safety for individuals receiving services and support. They specify standards for the services and supports that are person-directed, youth-guided, family-driven, culturally competent, trauma-informed and wellness-informed. They also promote developmentally appropriate functional and rehabilitative outcomes for individuals. Contracts with Mental Health Organizations, Fully Capitated Health Plans and Coordinated Care Organizations require the provision of these services.

The modified Integrated Services and Supports Rule (Jan. 1, 2012) includes definitions important to the development of the individualized plan for each person. Child and family engagement in the development of services allows individual choice in developing the most appropriate array of services and tailoring of those services to effectively address the child's clinical issues. The Individual Service and Support Plan (ISSP) describes specific services and supports to be provided, arranged, or coordinated that will assist the individual and his or her family, if applicable, to achieve intended outcomes. This includes services specific to gender, culture, literacy, disability or language and steps necessary to overcome existing barriers.

Women's Treatment Services are programs approved and designated to provide alcohol and other drug treatment services primarily for women, which meet specific standards. These standards address assessment, provision or coordination of services specific to women, and referral to other services. Entry of individuals whose services are funded by the Substance Abuse Prevention and Treatment (SAPT) Block Grant are prioritized in the following order:

(A) Women who are pregnant and using substances intravenously;
(B) Women who are pregnant;
(C) Individuals who are using substances intravenously; and
(D) Women with dependent children.

In addition, adolescent providers deliver services that support gender-related developmental issues such as Boys' and Girls' Empowerment and Girls Empowerment and Diversity groups. These adolescent providers maintain relationships and work with agencies that provide culturally specific services in order to assure diversity awareness with the organization, as well as provide clients with a range of culturally specific options for pre-social support. Many programs implement "Seeking Safety" as a gender-specific program for individuals with trauma and substance use disorders.

The following definitions are included in the Integrated Services and Supports Rule:

- "Child and Family Team" includes people responsible for creating, implementing, reviewing and revising the service coordination section of the Individual Service and Support Plan in Intensive Community-based Treatment Supports and Services of the family, care coordinator, and child. The team also includes any involved child-serving providers and agencies and any other natural, formal, and informal supports as identified by the family.
- "Cultural Competence" means the process by which people and systems respond respectfully and effectively to people of all cultures, languages, classes, races, ethnic backgrounds, disabilities, religions, genders, sexual orientations and other diversity factors in a manner that recognizes, affirms, and values the worth of individuals, families and communities and protects and preserves the dignity of each.
- “Gender Identity” means a person’s self-identification of gender, without regard to legal or biological identification, including, but not limited to persons identifying themselves as male, female, transgender and transsexual.
- “Gender Presentation” means the external characteristics and behaviors that are socially defined as either masculine or feminine, such as dress, mannerisms, speech patterns and social interactions.
- “Peer” means any person supporting an individual, or a family member of an individual, who has similar life experience, either as a current or former recipient of addictions or mental health services, or as a family member of an individual who is a current or former recipient of addictions or mental health services.
- “Peer Delivered Services” means an array of agency or community-based services and supports provided by peers, and peer support specialists, to individuals or family members with similar lived experience, that are designed to support the needs of individuals and families as applicable.
- "Peer Support Specialist" means a person providing peer delivered services to an individual or family member with similar life experience, under the supervision of a qualified Clinical Supervisor. A Peer Support Specialist must complete an OHA approved training program and be:

- A self-identified person currently or formerly receiving mental health services; or "person-directed" means the individual, and others involved in supporting the treatment and recovery of the individual, are actively involved in assessment, planning and revising services and supports and intended outcomes. Individuals are empowered through this process to regain their health, safety and independence to the greatest extent possible and in a manner that is holistic and specific to the individual, including culturally, developmentally, age and gender appropriate.
- A self-identified person in recovery from a substance use disorder, who meets the abstinence requirements for recovering staff in alcohol and other drug treatment programs; or
- A family member of an individual who is a current or former recipient of addictions or mental health services.

## OHA Public Health Program

OHA Public Health (PH) program has several initiatives addressing youth services.

### PH - Center for Prevention and Health Promotion

**Adolescent Genetics and Reproductive Health Section (AGRH).** This program expended more than $5.2 million providing services to males and females under the age of 18 for FY 2013.

- The Reproductive Health Program works with over 150 clinics throughout the state to offer free or low-cost reproductive health services and birth control for women, men and teens that need them. The program seeks to reduce unintended pregnancy by providing access to the information, services and resources necessary to ensure that all pregnancies are healthy, well-timed and intended. Limited contraceptive methods for males mean that fewer services are available for male clients. During FY 2013, the Reproductive Health Program's total clients who were male and under the age of 18 was less than 1%, while the proportion of females under

the age of 18 was approximately 9.3%. National standards for preventive services include more reproductive health screenings for females than for males.

- The School-Based Health Center (SBHC) Program certifies and supports SBHCs throughout Oregon. SBHCs are a unique health care model for comprehensive physical, mental and preventive health services provided to youth and adolescents either within a school or on school property. With easy access to health care in a school setting, SBHCs reduce barriers such as cost, transportation, and concerns surrounding confidentiality that often keep children and youth from seeking the health services they need. SBHCs provide a full range of physical, mental and preventative health services to all students, regardless of their ability to pay. Each SBHC is staffed by a primary care professional who may be a doctor, nurse practitioner or physician's assistant, other medical or mental health professionals and support staff, such as a receptionist. During FY 2013, School Based Health Centers served 18,241 youth under 18 years of age representing 81% of all SBHC clients. Of those clients under 18, 55% were female and 45% were male.
- The Youth Sexual Health Program supports ¡Cuídate!, a culturally specific HIV and pregnancy prevention program for Latino youth, serves both female and male youth ages 13 - 18 in six counties (Crook, Deschutes, Jackson, Jefferson, Marion, Multnomah). Participants included approximately 53% female, 45% male and less than 1% transgender.
- The Adolescent and School Health Program analyses Oregon Healthy Teens (OHT) report data on positive youth development by gender. This analysis looks at OHT data to identify trends and disparities in positive youth development (PYD) by gender, race/ethnicity, and sexual orientation. PYD benchmark is comprised of six questions that address components of PYD theory. To meet the PYD benchmark, youth must answer five out of the six items positively (excellent, very good or good, or very much true, pretty much true). An analysis of five years of data (2006 – 2011) indicated there was no significant difference in the total proportion of 11$^{th}$ grade females and males who achieved the PYD Benchmark (68% vs. 70%, respectively). However, it appears the ways in which males and females achieve the PYD Benchmark differ.

- Females were **less likely** than males to report:
  - Excellent/Very Good/Good physical health (85% vs. 91%)
  - Excellent/Very Good/Good emotional health (79% vs. 87%)
  - Can work out their problems (86% vs. 91%)
- Females were **more likely** than males to report:
  - Volunteering to help others in the community (57% vs. 44%)
- There were no significant differences between females and males related to:
  - Having an adult at school who cares (81% vs. 78%)
  - Able to do things if they try (94% vs. 95%)

**Maternal and Child Health (MCH).** A variety of functions exist within this section. The section:

- Implements promising practices to promote healthy relationships receiving on-going funds from the Centers for Disease Control and Prevention (CDC) for Rape Prevention and Education (RPE). Funds support the work of the Sexual Assault Task Force and six local sites to change social norms among youth and support healthy relationships using proven prevention strategies.
- Collects data on Intimate Partner Violence (IPV), harassment, and rape using the Behavioral Risk Factor Surveillance System (BRFSS), Oregon Healthy Teens (OHT), and Pregnancy Risk Assessment Monitoring System (PRAMS) surveys to track prevalence and identify risk and factors. Public Health also maintains the violent death reporting system.
- Provides training and technical assistance through an interagency agreement with the Oregon Department of Justice to their local Safer Futures Funding sites on how to work with and integrate relationship violence screening and referral services into their work with local public health. The grant places Domestic Violence (DV) advocates in DHS and local public health offices to assist with screening, referrals, and case coordination for pregnant and parenting teens who have been victims of DV including sexual violence.

- Implements the Futures without Violence Project Connect model in four sites. This model provides intensive screening for Intimate Partner Violence and sexual coercion, referral and follow-up in public health family planning clinics. While the intervention is for all female clients of reproductive age, there is an intentional focus on reaching out to adolescents because they are at higher risk for IPV and sexual coercion and are less likely to access services. Oregon Project Connect has been using the Futures without Violence "Hanging Out or Hooking Up" curriculum which is designed for health care providers who what to better serve female adolescents who are sexually active.

**PH – Center for Public Health Practice**

**HIV/STD and TB Section.** The Oregon Infertility Protection Project provided chlamydia testing services to 8,871 clients under 18 from July 1, 2012 – June 30, 2013. Of those clients, 87% were female and 13% were male.

**Immunization.** The Oregon Immunization Program strives to reach and maintain high immunization rates through many partnerships and programs including Vaccines for Children, the Oregon Adult Immunization Coalition and the Oregon Partnership to Immunize Children. The program also administers school immunization requirements and keeps track of rates through a lifespan registry, the ALERT Immunization Information System (IIS).

Immunization is the safest and most effective public health tool available for preventing disease and death. Vaccinating according to the Centers for Disease Control and Prevention (CDC) recommended immunization schedules, not only protects families, but our entire community. Approximately 599,000 vaccinations were made to males and females under the age of 18 in FY 2013.

| State Supplied Vaccine, Value by Gender | | | |
|---|---|---|---|
| 2013-2014 | Doses | Value | % |
| Female | 294,072 | $13,983,956 | 49% |
| Male | 304,665 | $14,460,389 | 51% |
| Unknown | 325 | $12,257 | 0% |
| | 599,062 | $28,456,602 | |
| Data source: ALERT IIS; highest cost used when multiple brands available | | | |

## Office of Contracts and Procurement (OC&P)

The Office of Contracts and Procurement addresses gender equity within OHA Contracts (2013), including OHA requests for proposals (RFPs) through the following processes and mechanisms:

- Program staff can request contracts or RFPs to include services to clients, with specific guidance surrounding gender specific expectations.

RFP template language includes:

- "Contractor shall provide equal access to covered services for both males and females under 18 years of age, including access to appropriate facilities, services and treatment, to achieve the policy in ORS 417.270."
- In the *special conditions* paragraph: Contractors must provide services to DHS and OHA clients without regard to race, religion, national origin, sex, age, marital status, sexual orientation or disability (as

defined under the Americans with Disabilities Act). Contracted services must reasonably accommodate the cultural, language and other special needs of clients.

- The directions for the 118-Series Contracting Forms, includes a check box for gender specific services under *Other Considerations*. This provides program staff the reminder that this is an issue that should be considered when requesting contract documents.
- Training to new contracts' staff is provided and existing contracts' staff was provided as an update on contracts and solicitations into which Gender Specific Services provisions were incorporated. Gender-Specific Services policy is discussed at staff meetings.

## Limitations Regarding Data

Current mechanisms used to track data do not capture specific percentages of moneys allocated to, and expended for, the two separate groups, males under 18 and females under 18. The Office of Equity and Inclusion will continue to work with OHA programs serving said populations in an effort to refine data collection and tracking to identify and report potential disparities in the allocation of moneys.

| Program Area | Agency | Project Name | Project Description | Estimated Start Date | Estimated End Date | Project cost to date | ARB Estimated 15-17 Costs | ARB All biennia total project cost | Base or POP | Included in GB (Y/N) | GB Estimated 15-17 Costs | GB Estimated All Biennia Total Project Cost | Comments |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Human Services | DHS/OHA | OAAPI-APD | This is a POP to develop a streamlined and integrated statewide adult abuse and report writing system. Phase I planning was approved by the Eboard in March 2014. This POP assumes the planning is completed and the Phase II development is ready to proceed based on the Phase 1 business case and solicitation documents. It is also planned to keep close connection between programs, OIS, DAS, and LFO on the gate review processes and progress of this project. The need for a stable, integrated Abuse Data and Report Writing system is critical as Oregon faces an aging population, an annual increase of 5-8% in abuse referrals, and an increased need for services across all demographics. Given the nature of the services provided to vulnerable Oregonians by OAAPI and its partners, we cannot afford to place our trust in a disconnected assortment of legacy data systems that do not give us the information we need to protect victims of abuse effectively and develop prevention efforts proactively. Currently all funding is assumed as GF but DHS is pursuing other avenues of Federal Funds that may or may not become available. Assumes $2 million of Q-bond available. | May-14 | Jun-17 | $ - | $3,437,494 | $ 3,437,494 | POP | Y | $ 3,437,494 | $ 3,437,494 | $500,000 allocated at May 2014 E-Board for planning |
| Human Services | DHS/OHA | REaL-D | This POP supports architecting and implementing a master client data collection solution to address the needs of the current and future client data collection requirements for unified data collection to address health and service equity for all programs and activities within DHS. DHS and OHA have developed policies for collecting, analyzing, and reporting meaningful race, ethnicity and language and disability data across DHS and OHA which was built on the foundation of the U.S. Office of Management and Budget's (OMB) Directive 15 (revised 1997) and adds key elements that will improve the quality of the data gathered. This POP addresses both the business and technical changes required to create a unified, sustainable model for collecting client data across both agencies. Assumes 1 million in Q-bond availability. Phase I planning is being done during the 2013-15 biennium. | Aug-14 | TBD | $ - | $3,514,796 | $ 5,000,000 | POP | Y | $ 1,743,644 | $ 1,743,644 | |
| Human Services | DHS/OHA | Collective Bargaining and Federal Rule Change Placeholder DOL Provider Time Capture | This is a placeholder for the system modifications that may be required to support the outcome of the 2015-2017 Collective Bargaining Agreements and any decision coming out of the session to account for Federal Department Of Labor rule changes in I/DD, APD and AMH. | TBD | TBD | TBD | TBD | TBD | N/A | Y | TBD | TBD | $96,153,846 is included in GB to cover increased program costs as well as the cost of any needed system modifications. |

| Program Area | Agency | Project Name | Project Description | Estimated Start Date | Estimated End Date | Project cost to date | ARB Estimated 15-17 Costs | ARB All biennia total project cost | Base or POP | Included in GB (Y/N) | GB Estimated 15-17 Costs | GB Estimated All Biennia Total Project Cost | Comments |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Human Services | DHS/OHA | MMIS: ICD - 10 | ICD -10 is an enhancement to the MMIS system interfaces based on two final rules published by the Federal Department of Health and Human Services under the Administrative Simplification provision of HIPAA. It will modify MMIS to meet HIPAA requirements for updated medical code set standards; from ICD-9x to ICD-10-CM, & ICD-10-PCS | Jun-13 | Oct-15 | $ 1,124,738 | $4,487,452 | $ 8,920,449 | Base | | | | |
| Human Services | DHS/OHA | WIC EBT (TWIST EBT, eWIC) | The WIC EBT project will establish an Electronic Benefit Transaction system that is similar to the EBT system used currently for SNAP benefits. | Dec-11 | Feb-16 | $ 2,732,258 | $2,630,014 | $ 7,572,533 | Base | | | | |
| Human Services | DHS/OHA | HIV-CAT (CAREAssist) | The HIV care and Treatment Program provides high quality. Cost effective services that promote access to and ongoing success in treatment for people with HIV/AIDS in Oregon. The Card Assist 2.0 project will replace the existing Care Assist application and other related legacy solutions with a new web application in an effort to improve workflow, increase productivity, and address issues with current legacy system. | TBD | TBD | $ - | $2,250,000 | $ 2,250,000 | Base | | | | |
| Human Services | DHS/OHA | OHIT 1.5 | OHIT Phase 1.5 includes 3 projects with technology components. The name and description of each are: Provider Directory; Establishing provider information repository services including a statewide provider directory, affiliating providers to their practice locations and identifying their health information exchange "addresses". This supports exchange of health information, analytics needed for quality improvement efforts and population management. Common Credentialing: Meets requirements under SB604 including the ability to streamline credentialing, establish a single entry point for providers and payers, and keep date in the provider directory current. Clinical quality metrics: Establishes a registry to capture provider's clinical metrics from their electronic health records, including the 3 clinical CCO quality pool metrics (diabetes poor control, hypertension, depression screening) | Aug-14 | Jan-16 | $ - | $29,329,201 | $ 29,329,201 | POP/Base | | | | This figure is the two year estimated cost for FFY15 and FFY16, where FFY15 is the approximate period for development and implementation and FFY16 is the approximate first full year of operation (at the time estimates were developed). The estimate included vendor contracts for Provider Directory, the CQMR Registry, Common Credentialing, and the Systems Integrator - $26,365,263; and internal staffing costs across these projects - $1,451,375 for a total of $27,816,638. The QA vendor estimate is $712,563 and the technology consultant is estimated to be $800,000, which brings a total to $29,329,201. This includes POP, Base budget, and Transformation dollars combined. |

| Program Area | Agency | Project Name | Project Description | Estimated Start Date | Estimated End Date | Project cost to date | ARB Estimated 15-17 Costs | ARB All biennia total project cost | Base or POP | Included in GB (Y/N) | GB Estimated 15-17 Costs | GB Estimated All Biennia Total Project Cost | Comments |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Human Services | DHS/OHA | MMIS Hardware Refresh | Modernize the MMIS infrastructure and sized for client usage plans and future growth. These updates will continue the viability of maintenance contracts and uphold SLAs relating to potential outages caused by end-f-life hardware. The current MMIS infrastructure has many components that were part of the original implementation and are past end-of-life. The expansion of Medicaid eligibility has increased the number of clients far beyond what the system was originally expected to handle. The future number of clients over the next five years is estimated based on forecasting and is expected to continue growth. The current Hewlett Packard Enterprise Services (HPES) contract 113737 for the replacement Medicaid Management Information System (MMIS) is set to be extended to February 28, 2017 for the balance of the three, one year extensions. | Oct-14 | Oct-16 | $ - | $8,000,000 | $ 12,000,000 | Base | | | | |
| Human Services | DHS/OHA | MAGI Medicaid Transition Project & MAGI Medicaid System Transfer Project | A technology transition project approved by the Centers for Medicare and Medicaid Services (CMS) through the Spring and Summer of 2014 will move the eligibility and enrollment technology from Cover Oregon to the federally facilitated marketplace (FFM) and move the MAGI Medicaid eligibility back to OHA with a new system. With the beginning of open enrollment in November of 2014, Cover Oregon's technology transition to the FFM was completed. The MAGI Medicaid System Transfer project will be a separate project that will transfer an existing ACA-compliant MAGI Medicaid eligibility and enrollment system from another state. Deloitte Consulting as the single system integrator will be responsible for completing the system transfer work. Additionally, there are a number of technology services that are being developed to make the Kentucky system work in Oregon (Identity & Access Management, Document Management, FFM Account Transfer & Minimum Essential Coverage Check, as well as key interfaces with MMIS and a state wage data source for income verification) | 11/17/2014 | ll | $ 61,242,756 | TBD | $ 61,242,756 | POP | | | | In July 2014, all remaining federal funds approved through Advanced Planning Documents (APD) to DHS and OHA were repurposed to fund the IT work necessary for OHA to transition eligibility and enrollment. At the time the Governor's Budget was finalized OHA was coordinating with CMS to outline Federal participation in costs of the MAGI Medicaid System Transfer Project for Federal Fiscal year 2014 and 2015. |

| Program Area | Agency | Project Name | Project Description | Estimated Start Date | Estimated End Date | Project cost to date | ARB Estimated 15-17 Costs | ARB All biennia total project cost | Base or POP | Included in GB (Y/N) | GB Estimated 15-17 Costs | GB Estimated All Biennia Total Project Cost | Comments |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Human Services | DHS/OHA | Non-MAGI Eligibility Automation project, | Department of Human Services (DHS) seeks $7.5MTF ($6.75MFF, $0.75MGF) to implement a planning effort to prepare for the implementation of an eligibility system for its non-MAGI (Modified Adjusted Gross Income) Medicaid programs. DHS is committed to completing thorough planning to provide a framework for phased delivery of functionality that demonstrates meaningful progress in short increments of time. The recent decision by the Center for Medicaid and Medicare Services (CMS) to extend 90/10 funding for Medicaid eligibility systems provides substantial resources to help the Department of Human Services proceed with this planning work. A recent CMS site visit provided Oregon with an understanding of CMS' expectation that it proceed with automation of the eligibility and case management for the non-MAGI Medicaid population as soon as possible after successful completion of the MAGI Medicaid Transition Project. | 1-Jun-15 | 30-Jun-17 | $ - | $7,500,000 | $ 7,500,000 | POP | Y | $ 7,500,000 | $ 7,500,000 | This replaced "modernization" in the DHS budget as a project. In initial conversations, DHS, working with the Office of Information Services, believes that a transfer system solution serves as the likely best alternative to minimize risk and increase likelihood of successful completion. For transfer systems to be successful, it is important to pick a state that most closely models Oregon's non-MAGI programs in order to minimize the amount of customization that must be made to support DHS's business needs. Because Oregon has been on the leading edge of policy waivers in this area, it is unlikely that any transfer system will be a perfect match. However, it is the desire of DHS to choose a system that has a majority match for functionality, and then to increment the delivery of additional functionality in small phased implementations. |

# OREGON HEALTH AUTHORITY

## Annual Performance Progress Report (APPR) for Fiscal Year (2013-2014)

Original Submission Date: 2014

Finalize Date: 9/2/2014

| 2013-2014 KPM # | 2013-2014 Approved Key Performance Measures (KPMs) |
|---|---|
| 1 | INITIATION OF ALCOHOL AND OTHER DRUG DEPENDENCE TREATMENT - Percentage of members with a new episode of alcohol or other drug dependence who received initiation of AOD treatment within 14 days of diagnosis. |
| 2 | ENGAGEMENT OF ALCOHOL AND OTHER DRUG DEPENDENCE TREATMENT - Percentage of members with a new episode of alcohol or other drug dependence who received two or more services within 30 days of initiation visit. |
| 3 | FOLLOW-UP AFTER HOSPITALIZATION FOR MENTAL ILLNESS - Percentage of enrollees 6 years of age and older who were hospitalized for treatment of mental health disorders and who were seen on an outpatient basis or were in intermediate treatment within seven days of discharge. |
| 4 | MENTAL AND PHYSICAL HEALTH ASSESSMENTS FOR CHILDREN IN DHS CUSTODY – Percentage of children in DHS custody who receive a mental and physical health assessment within 60 days of initial custody. |
| 5 | FOLLOW-UP CARE FOR CHILDREN PRESCRIBED WITH ADHD MEDICATION (INITIATION) - Percentage of children newly prescribed attention-deficit/hyperactivity disorder (ADHD) medication who had at least three follow-up care visits within a 10-month period, one of which was within 30 days of when the first ADHD medication was dispensed: INITIATION. |
| 6 | FOLLOW-UP CARE FOR CHILDREN PRESCRIBED WITH ADHD MEDICATION (CONTINUATION AND MAINTENANCE) - Percentage of children newly prescribed attention-deficit/hyperactivity disorder (ADHD) medication who had at least three follow-up care visits within a 10-month period, one of which was within 30 days of when the first ADHD medication was dispensed: CONTINUATION AND MAINTENANCE |
| 7 | 30 DAY ILLICIT DRUG USE AMONG 6TH GRADERS - Percentage of 6th graders who have used illicit drugs in the past 30 days. |
| 8 | 30 DAY ALCOHOL USE AMONG 6TH GRADERS - Percentage of 6th graders who have used alcohol in the past 30 days. |
| 9 | 30 DAY ILLICIT DRUG USE AMONG 8TH GRADERS - Percentage of 8th graders who have used illicit drugs in the past 30 days. |
| 10 | 30 DAY ALCOHOL USE AMONG 8TH GRADERS - Percentage of 8th graders who have used alcohol in the past 30 days. |
| 11 | 30 DAY ILLICIT DRUG USE AMONG 11TH GRADERS - Percentage of 11th graders who have used illicit drugs in the past 30 days. |
| 12 | 30 DAY ALCOHOL USE AMONG 11TH GRADERS - Percentage of 11th graders who have used alcohol in the past 30 days. |

| 2013-2014 KPM # | 2013-2014 Approved Key Performance Measures (KPMs) |
|---|---|
| 13 | PRENATAL CARE (POPULATION) - Percentage of women who initiated prenatal care in the first 3 months of pregnancy. |
| 14 | PRENATAL CARE (MEDICAID) - Percentage of women who initiated prenatal care within 42 days of enrollment. |
| 15 | PRIMARY CARE SENSITIVE HOSPITAL ADMISSIONS/INPATIENT STAYS - Rate per 100,000 client years of admissions (for 12 diagnoses) that are more appropriately treated in an outpatient setting. |
| 16 | PATIENT CENTERED PRIMARY CARE HOME (PCPCH) ENROLLMENT - Number of members enrolled in patient-centered primary care homes by tier. |
| 17 | ACCESS TO CARE - Percentage of members who responded "always" or "usually" too getting care quickly (composite for adult and child). |
| 18 | MEMBER EXPERIENCE OF CARE - Composite measurement: how well doctors communicate; health plan information and customer service (Medicaid population). |
| 19 | MEMBER HEALTH STATUS - Percentage of CAHPS survey respondents with a positive self-reported rating of overall health (excellent, very good). |
| 20 | RATE OF TOBACCO USE (POPULATION) - Rate of tobacco use among adults. |
| 21 | RATE OF TOBACCO USE (MEDICAID) - Percentage of CCO enrollees who currently smoke cigarettes or use tobacco every day or some days. |
| 22 | RATE OF OBESITY (POPULATION) - Percentage of adults who are obese among Oregonians. |
| 23 | RATE OF OBESITY (MEDICAID) - Percentage of Medicaid population who are obese. |
| 24 | PLAN ALL CAUSE READMISSIONS - Percentage of acute inpatient stays that were followed by an acute readmission for any diagnosis within 30 days and the predicted probability of an acute readmission for members 18 years and older. |
| 25 | EFFECTIVE CONTRACEPTIVE USE (POPULATION) - Percentage of reproductive age women who are at risk of unintended pregnancy using an effective method of contraception. |
| 26 | EFFECTIVE CONTRACEPTIVE USE (MEDICAID) - Percentage of reproductive age women who are at risk of unintended pregnancy using an effective method of contraception. |

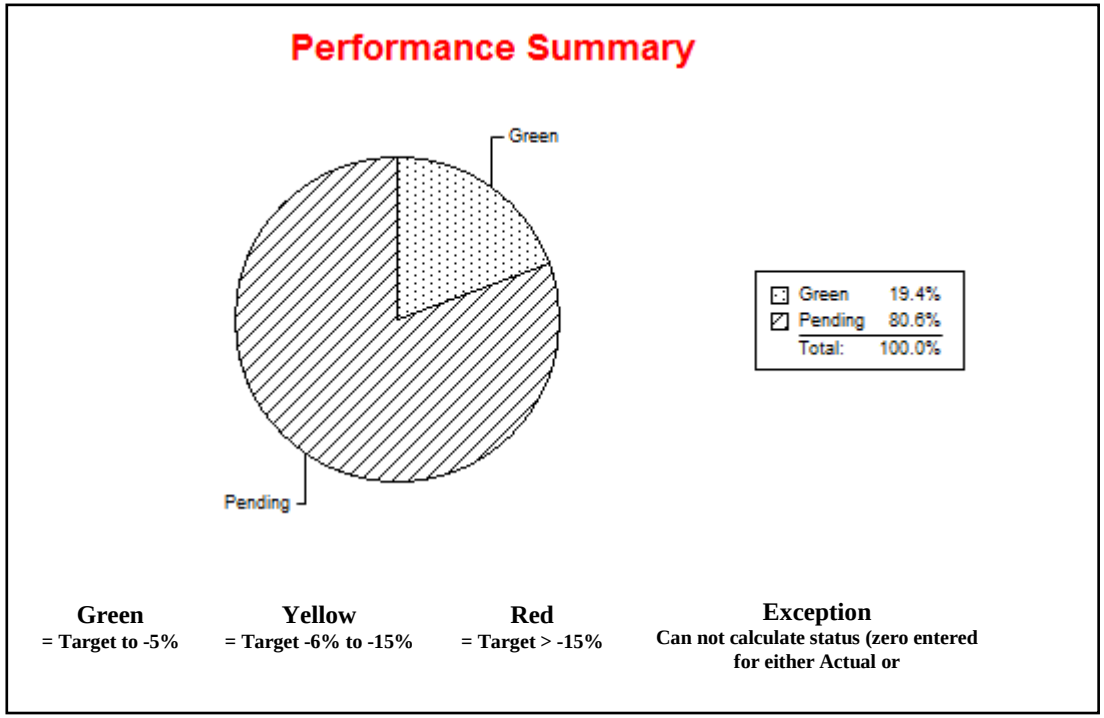
| 2013-2014 KPM # | 2013-2014 Approved Key Performance Measures (KPMs) |
|---|---|
| 27 | FLU SHOTS (POPULATION) - Percentage of adults ages 50-64 who receive a flu vaccine. |
| 28 | FLU SHOTS (MEDICAID) - Percentage of adults ages 50-64 who receive a flu vaccine. |
| 29 | CHILD IMMUNIZATION RATES (POPULATION) - Percentage of children who are adequately immunized (immunization series 4:3:1:3:3:1:4). |
| 30 | CHILD IMMUNIZATION RATES (MEDICAID) - Percentage of children who are adequately immunized (immunization series 4:3:1:3:3:1:4). |
| 31 | CUSTOMER SERVICE (OHA) - Percentage of OHA customers rating their satisfaction with the agency's customer service as "good" or "excellent" overall, timeliness, accuracy, helpfulness, expertise, availability of information. |

| New Delete | Proposed Key Performance Measures (KPM's) for Biennium 2015-2017 |
| --- | --- |
| | **Title:**<br><br>**Rationale:** |

| OREGON HEALTH AUTHORITY | I. EXECUTIVE SUMMARY |
|---|---|

**Agency Mission:** Helping people and communities achieve optimum physical, mental and social well-being through partnerships, prevention and access to quality, affordable health care.

| | |
|---|---|
| **Contact:** Cathy Iles, OHA Director's Office | **Contact Phone:** 503-602-1507 |
| **Alternate:** | **Alternate Phone:** |




## 1. SCOPE OF REPORT

The purpose of this annual performance report is to communicate the results of the work that is done through the Oregon Health Authority (OHA) and its partners. While the primary audience is the Oregon Legislature and other key stakeholders, it is also a communication tool for staff, other governmental agencies and the public.The 2013-15 OHA Key Performance Measures (KPMs) are intended to represent key quality and access metrics for healthcare-related services for individuals across the state. They are framed around the triple aim of better care, better health and lower cost and OHA's Quality Improvement Focus Areas as defined in Oregon's Medicaid 1115 waiver agreement with the Centers for Medicare and Medicaid Services (CMS). The goal is to align KPMs closely with Health System Transformation metrics, both statewide and Coordinated Care Organization (CCO) metrics.

## 2. THE OREGON CONTEXT

OHA is at the forefront of lowering and containing costs, improving quality and increasing access to health care in order to improve the lifelong health of Oregonians.
The Health Authority will transform the health care system in Oregon by:

- Improving the lifelong health of Oregonians
- Increasing the quality, reliability, and availability of care for all Oregonians
- Lowering or containing the cost of care so it's affordable to everyone

OHA knows what it needs to do to improve health care: focus on health and preventive care, provide care for everyone and reduce waste in the health care system.
OHA includes most of the state's health care programs, including Public Health, the Oregon Health Plan, Healthy Kids, employee benefits and public-private partnerships.
This gives the state greater purchasing and market power to begin tackling issues with costs, quality, lack of preventive care and health care access.
The Health Authority is working to fundamentally improve how health care is delivered and paid for, but because poor health is only partially due to lack of medical care, OHA will also be working to reduce health disparities and to broaden the state's focus on prevention.

## 3. PERFORMANCE SUMMARY

The majority of the 2013-15 OHA KPMs are new. Baseline data are reported for either 2011 or 2012. Targets have been set for 2014 and 2015 for most of them, therefore red, yellow, green status can't be reported yet. However, there are six measures in green status, the rest are pending.

## 4. CHALLENGES

**Oregon faces a $3.5 billion budget crisis and health care is an ever increasing portion of our budget.**
Health care spending accounts for 16 percent of the state general fund budget. The need to reform our health care system is more urgent than ever.
**Oregon is a national leader in health reform thanks to the groundwork laid by the legislature.**
In 2009, the legislature created Oregon Health Policy Board (OHPB) and Oregon Health Authority (OHA) to address the issues of cost, quality and access to health care.
While the federal government has made new investments in insurance coverage and access, it will be up to the states to take the next steps to lower cost and improve quality.
The Oregon Health Policy Board has created an Action Plan for Health that involves actions by all stakeholders — the legislature, consumers, businesses, health care providers and others — in a staged plan. Coupled with the dollars federal reform will bring into Oregon, this plan meets the legislative mandate to "provide and fund access to affordable, quality care for all Oregonians by 2015." It also meets the spirit of innovation to seek Oregon solutions to address the problems before us.
The plan includes many items that do not require legislative action, but may require changes in how we set budget priorities. For instance, a focus on prevention and treatment of addiction saves lives and dollars as does an early focus on prevention and chronic disease. Finally, the plan emphasizes how we deliver and pay for health care to ensure health equity, promote health and contain costs, beginning with the 850,000 lives for whom the Oregon Health Authority buys health care services.

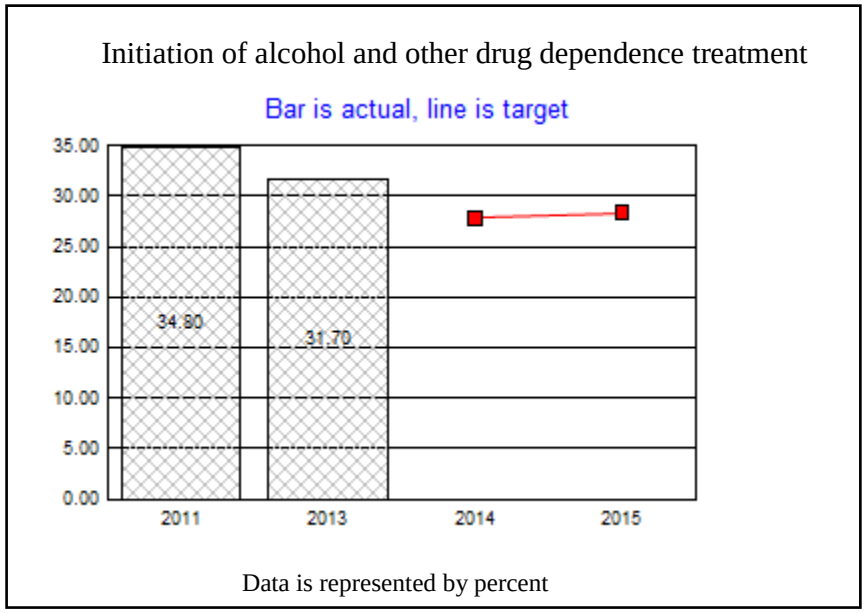
While It continues to be challenging to connect the daily work of the agency to higher level outcomes and goals, doing so will enable us to be accountable for efficient and effective processes and create a culture throughout OHA by which all managers and staff rigorously use performance measures and other metrics for decision-making, managing the daily work

and driving improvements throughout the agency. More effective communication with the public and stakeholders of the value of OHA services is desired as we attempt to educate others about our role as good stewards of public resources.

## 5. RESOURCES AND EFFICIENCY

2013-15 Total Fund OHA Budget = $12,569,007,723. 4,019 positions.More detail can be found at:http://www.oregon.gov/oha/Pages/budget-legislative.aspx** Source: DHS/OHA Budget, Planning and Analysis

| KPM #1 | INITIATION OF ALCOHOL AND OTHER DRUG DEPENDENCE TREATMENT - Percentage of members with a new episode of alcohol or other drug dependence who received initiation of AOD treatment within 14 days of diagnosis. | 2013 |
|---|---|---|
| **Goal** | Better care/access, lower cost, better health | |
| **Oregon Context** | Better care/access, lower cost, better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Areas: Integrating primary care and behavioral health; and improving access to effective and timely care.

## 2. ABOUT THE TARGETS

These improvement targets were established to result in an increase from the baseline data to the performance target which is the average of the 2011 National Medicaid 75th percentile.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2013, the initiation rate for alcohol and drug treatment was 31.7%. This represents an increase from the preliminary CY2011 data initially provided, but a decrease from the final CY2011. This measure as above the 2014 target; however, targets were set based on preliminary 2011 data.

## 4. HOW WE COMPARE

Oregon's initiation rate is below the 2012 national Medicaid rate of 39.4%. Additional comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

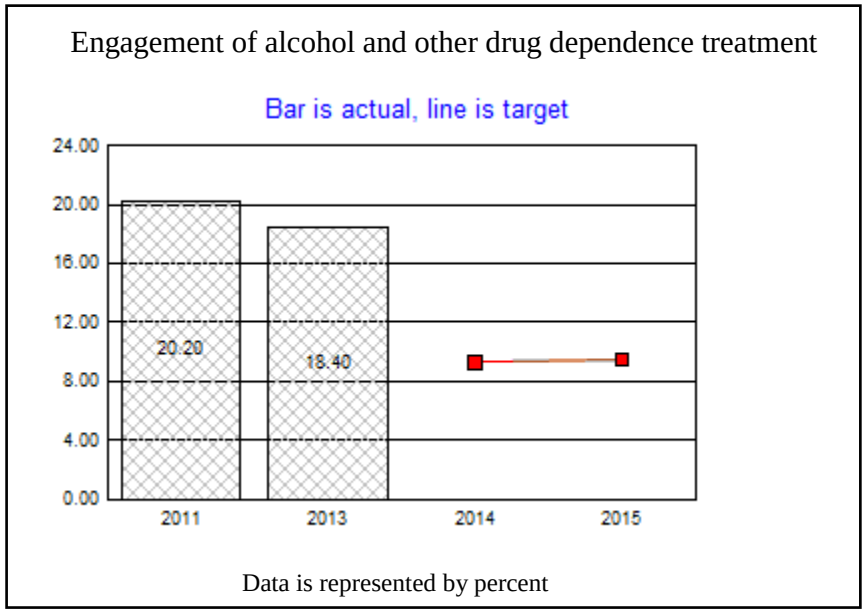
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken. It is possible that the increased statewide emphasis on alcohol and drug use screening (SBIRT) due to the CCO incentive measure will result in an increase in initiation of alcohol and drug treatment, as more individuals with risky or problematic substance use are identified and referred to treatment services.

## 7. ABOUT THE DATA

CY2011 baseline data have been finalized; CY2013 final data provided.

| KPM #2 | ENGAGEMENT OF ALCOHOL AND OTHER DRUG DEPENDENCE TREATMENT - Percentage of members with a new episode of alcohol or other drug dependence who received two or more services within 30 days of initiation visit. | 2013 |
|---|---|---|
| **Goal** | Better care/access; lower cost; better health | |
| **Oregon Context** | Better care/access; lower cost; better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Areas: Integrating primary care and behavioral health; and improving access to effective and timely care.

## 2. ABOUT THE TARGETS

These improvement targets were established to result in an increase from the baseline data to the performance target which is the average of the 2011 National Medicaid 75th percentile.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2013, the engagement rate for individuals who initiated alcohol and drug treatment was 18.4%. This represents an increase from the preliminary CY2011 data initially provided, but a slight decrease from the final CY2011 rate of 20.2%. This measure is above the 2014 target; however, targets were set based on preliminary 2011 data.

## 4. HOW WE COMPARE

Oregon's performance is above the 2012 national Medicaid enagement rate of 10.8%. Additional comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

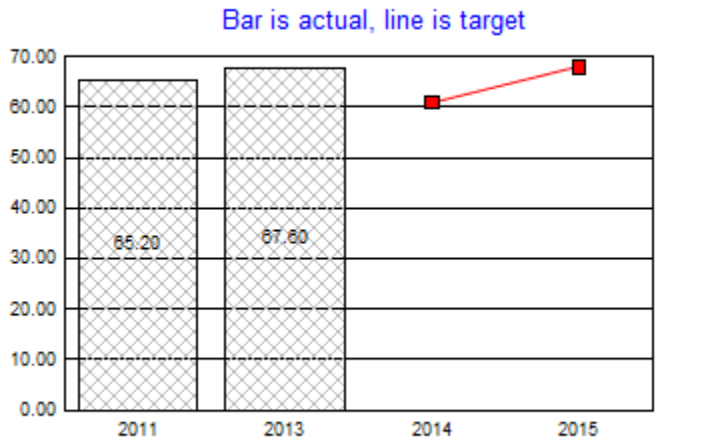
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken. It is possible that the increased statewide emphasis on alcohol and drug use screening (SBIRT) due to the CCO incentive measure will result in increased engagement of alcohol and drug treatment, as more individuals with risky or problematic substance use are identified and referred to treatment services.

## 7. ABOUT THE DATA

CY2011 baseline data was finalized; CY2013 data provided.

| KPM #3 | FOLLOW-UP AFTER HOSPITALIZATION FOR MENTAL ILLNESS - Percentage of enrollees 6 years of age and older who were hospitalized for treatment of mental health disorders and who were seen on an outpatient basis or were in intermediate treatment within seven days of discharge. | 2013 |
|---|---|---|
| **Goal** | Lower cost; better health | |
| **Oregon Context** | Lower cost; better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Areas: reducing preventable rehospitalizations; integrating primary care and behavioral health.

## 2. ABOUT THE TARGETS

These improvement targets were established ro result in an increase from the baseline data to the 2015 performance target which is the 2012 National Medicaid 90th percentile.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2013, 67.6% of patients ages 6 and older received a follow-up with a health care provider within 7 days of being discharged from the hospital for mental illness. This is an increase over the final CY 2011 baseline of 65.2%, but still slightly below the 2015 KPM target.

## 4. HOW WE COMPARE

Oregon is above the national 2012 Medicaid average of 43.7 percent and the 2012 Commercial average of 57.9%. However, Oregon is using a modified version of the measure which is including follow up care provided in community mental health settings, which results in our higher rate. Additional comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

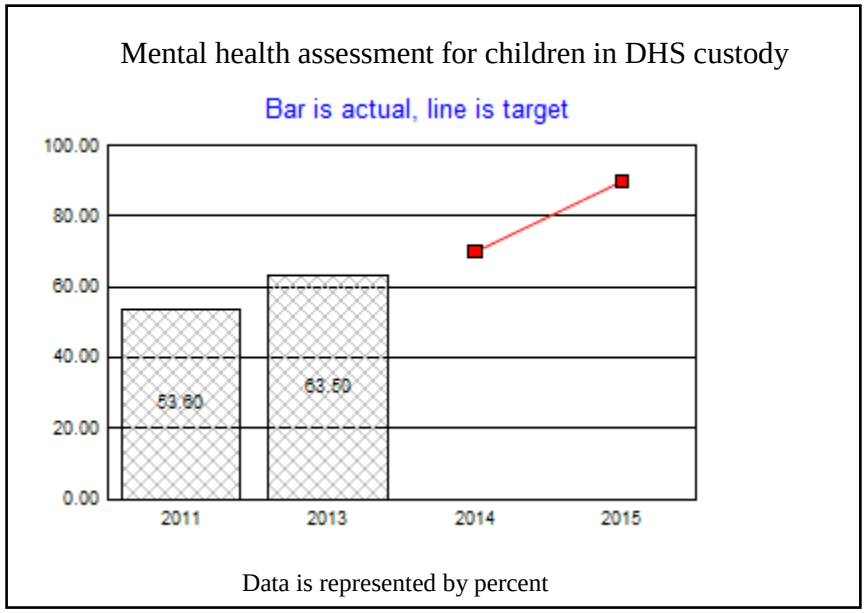
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

CY 2011 and CY 2013.

| KPM #4 | MENTAL AND PHYSICAL HEALTH ASSESSMENTS FOR CHILDREN IN DHS CUSTODY – Percentage of children in DHS custody who receive a mental and physical health assessment within 60 days of initial custody. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Administrative data and child welfare records | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Areas: Integrating primary care and behavioral health; improving access to effective and timely care.

## 2. ABOUT THE TARGETS

Targets based on calendar year 2011 baseline data and the 2013 and 2014 benchmark for the CCO incentive measures. Higher is better.

## 3. HOW WE ARE DOING

In 2011, 53.6% of children entering into foster care received timely mental and physical health assessments. In 2013, the rate increased slightly to 63.5%. Additional comparisons will be made in future reports.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results. One factor driving the improved 2013 rates was increased coordinatation between CCOs and local DHS branch offices.

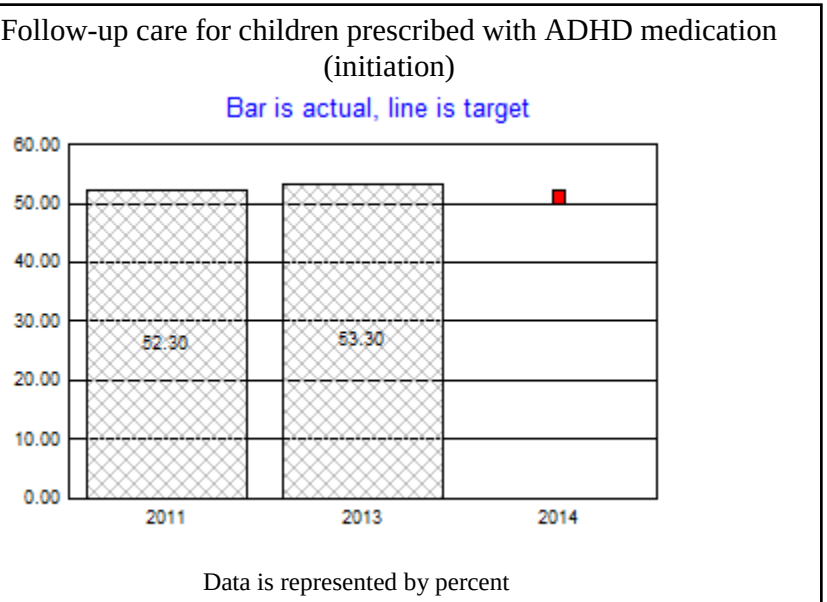
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year.

| KPM #5 | FOLLOW-UP CARE FOR CHILDREN PRESCRIBED WITH ADHD MEDICATION (INITIATION) - Percentage of children newly prescribed attention-deficit/hyperactivity disorder (ADHD) medication who had at least three follow-up care visits within a 10-month period, one of which was within 30 days of when the first ADHD medication was dispensed: INITIATION. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Areas: integrating primary care and behavioral health; improving access to effective and timely care.

## 2. ABOUT THE TARGETS

The 2014 target is the 2012 National Medicaid 90th percentile. These targets will be revisited by the Metrics and Scoring Committee.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2011, 52.3% of children ages 6-12 had at least one follow up visit with a health care provider during the 30 days after receiving a new prescription for Attention Deficit Hyperactivity Disorder (ADHD). In 2013, the rate had increased just slightly to 53.3%, above the KPM target, and above the 90th percentile nationally. Additional comparisons will be made in future reports.

## 4. HOW WE COMPARE

Oregon is above the national 90th percentile for both Medicaid and Commercial. Additional comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.We have heard from providers that limiting the follow up visit to within the first 30 days is not well aligned with some of the current ADHD medications, which may require a 45 day initial prescription. Children with these longer intial prescriptions would fall outside of the 30 day window for this measure.

## 6. WHAT NEEDS TO BE DONE

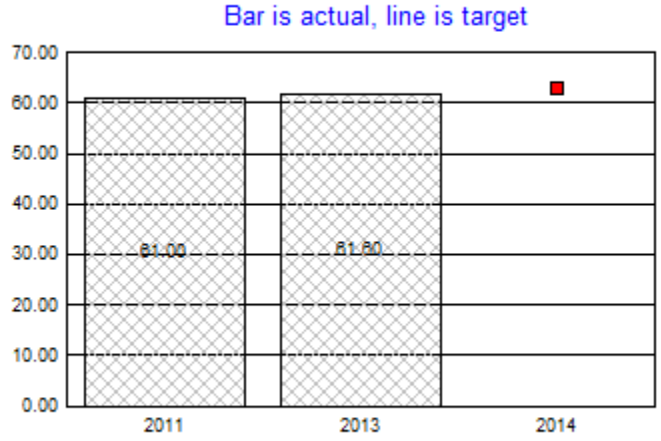
As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year.

| KPM #6 | FOLLOW-UP CARE FOR CHILDREN PRESCRIBED WITH ADHD MEDICATION (CONTINUATION AND MAINTENANCE) - Percentage of children newly prescribed attention-deficit/hyperactivity disorder (ADHD) medication who had at least three follow-up care visits within a 10-month period, one of which was within 30 days of when the first ADHD medication was dispensed: CONTINUATION AND MAINTENANCE | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |

Follow-up care for children prescribed with ADHD medication (continuation and maintenance)




Data is represented by percent

## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Areas: integrating primary care and behavioral health; improving access to effective and timely care.

## 2. ABOUT THE TARGETS

The 2014 target is the 2012 National Medicaid 90th percentile. These targets will be revisited by the Metrics and Scoring Committee.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2011, 61.0% of children who remained on ADHD medication for 210 days after receiving a new prescription also had at least two follow up visits with a provider. In 2013, the rate had increased just slightly to 61.6%, still short of the state benchmark and KPM target of 63.0%. Additional comparisons will be made in future reports.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

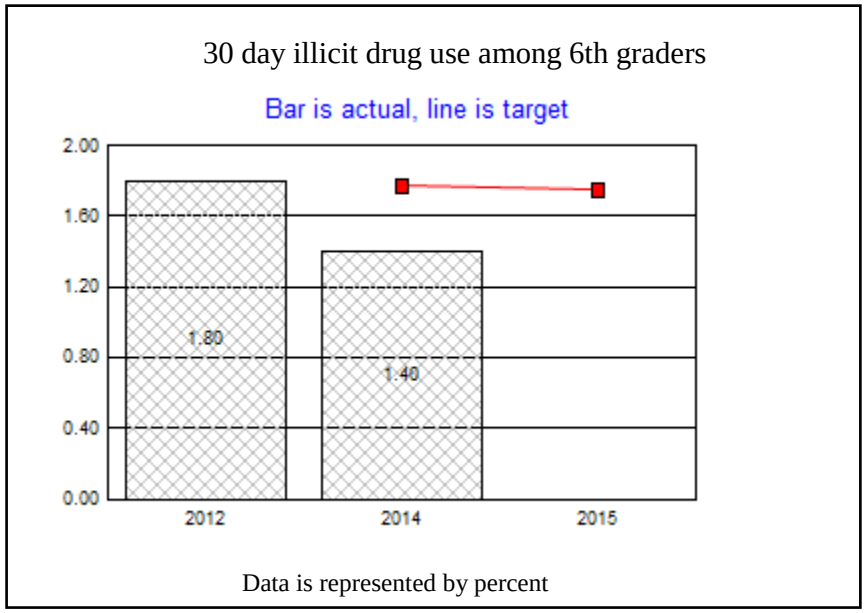
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year.

| KPM #7 | 30 DAY ILLICIT DRUG USE AMONG 6TH GRADERS - Percentage of 6th graders who have used illicit drugs in the past 30 days. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Student wellness survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: integrating primary care and behavioral health.

Addictions and Mental Health Division (AMH) uses a comprehensive approach to addressing illicit drug use issues and intervening when illicit drug use has occurred. This includes

a variety of community and county level programs funded with state and federal dollars.
In the comprehensive planning conducted at the County and Tribal levels all 36 counties and 9 tribes prioritized underage drinking as the number one concern. Closely associated with underage drinking is the use of marijuana. Marijuana is sometimes referred to at the 'turn-key drug' leading to other illicit drug use. Counties and Tribes have implemented programs to directly address underage drinking and illicit drug use. These include strategic media advocacy efforts directed at parents to set clear and specific guidelines for their children's not using alcohol and other drugs. AMH will continue to provide community grants to implement programs to reduce underage drinking and illicit drug use on the local level.

## 2. ABOUT THE TARGETS

Targets are based on trend data and the annual reduction necessary to meet the Healthy People 2020 goal of a 10% reduction.

## 3. HOW WE ARE DOING

In 2012, the rate of 6th graders who used any illict drug in the past 30 days was 1.8%; in 2014 this decreased slightly to 1.4%.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

Favorable attitudes on the part of youth about using alcohol and other drugs can be a major predictor of their use. Parental attitudes towards drug use have a tremendous effect on youth use. Youth whose parents feel that drug use is a "rite of passage" or that "kids will be kids" have much higher rates of illicit drug use those whose parents are clear that youth should not use drugs.

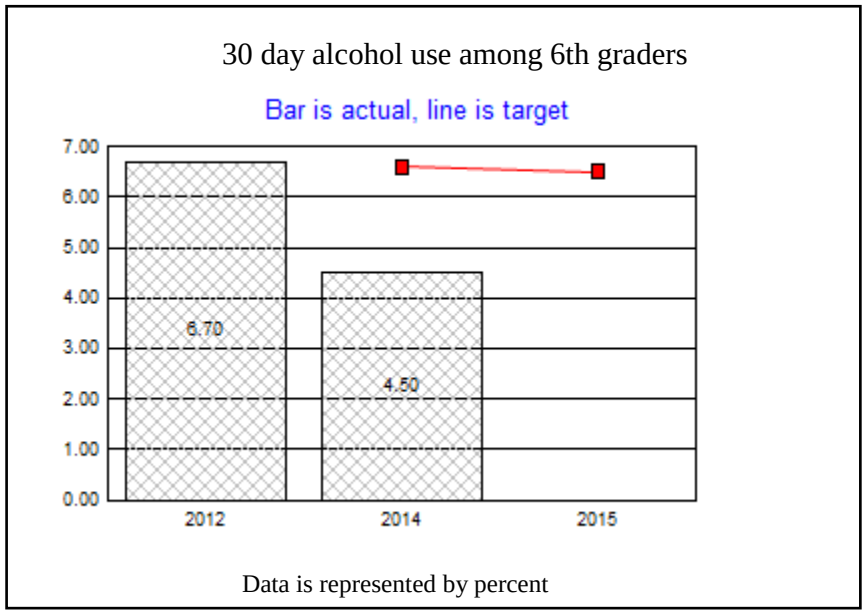
## 6. WHAT NEEDS TO BE DONE

Oregon needs to continue providing opportunities for youth to engage in positive, safe and healthy alternatives to drug use. Providing communities with adequate prevention funding to implement comprehensive evidence-based programs would give youth those opportunities. Parents who set clear and specific rules for their children continue to be a major prevention strategy to address illicit drug use.

## 7. ABOUT THE DATA

Data is extracted from the Oregon Student Wellness Survey. The survey is administered annually to 6th, 8th and 11th graders across the state.

| KPM #8 | 30 DAY ALCOHOL USE AMONG 6TH GRADERS - Percentage of 6th graders who have used alcohol in the past 30 days. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Student wellness survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: integrating primary care and behavioral health. There is a comprehensive approach to addressing underage drinking issues and intervening when underage drinking has occurred. This includes a variety of community and county level programs funded with

state and federal dollars. In the comprehensive planning conducted at the County and Tribal levels, all 36 counties and 9 tribes prioritized underage drinking as the number one concern. Accordingly they have implemented programs to directly address underage drinking including minor decoy and controlled party dispersal programs, reward and reminder programs for alcohol retailers, shoulder tap (third party sales) operations, strategic media advocacy efforts directed at social policies related to underage drinking and parent programs that aid the parents in setting clear and specific guidelines concerning alcohol and other drug use.

## 2. ABOUT THE TARGETS

Targets are based on trend data and the annual reduction necessary to meet the Healthy People 2020 goal of a 10% reduction.

## 3. HOW WE ARE DOING

In 2012, 6.7% of 6th graders had at least one drink of alcohol within the past 30 days; in 2014, this decreased slightly to 4.5%, meeting the 2014 target.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

Perceptions of youth being caught – either in possession or purchasing alcohol – can be a major determinant in whether or not they use. Parental attitudes towards alcohol use have a tremendous effect on youth use. Youth whose parents feel that alcohol use is a “rite of passage” or that “kids will be kids” have much higher rates of drinking than those whose parents are clear that youth should not drink. Unfortunately, all too many Oregon parents still provide youth with a “safe” place to drink by providing the alcohol, taking away car keys so they don’t drive, or both. These mixed messages give youth the impression that it’s okay to drink, as long as they don’t drive.

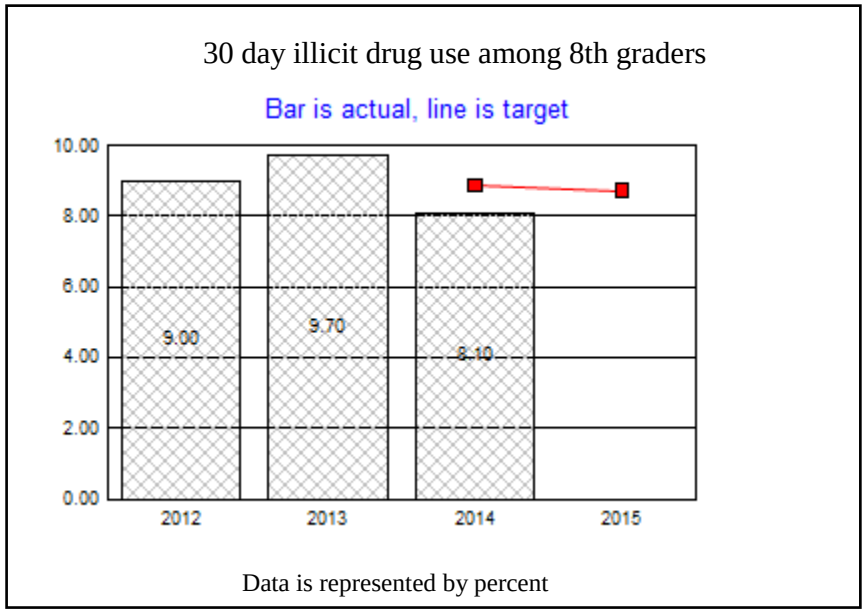
## 6. WHAT NEEDS TO BE DONE

Oregon needs to continue providing opportunities for youth to engage in positive, safe and healthy alternatives to alcohol use. Providing communities with adequate prevention funding to implement comprehensive evidence-based programs would give youth those opportunities. In addition, continued and consistent enforcement of current laws across the state would provide a constant message that Oregon does not tolerate underage drinking. Statewide media should continue to provide messages to parents that it’s against the law to provide alcohol to minors, as well as the importance of having well-defined expectations of their children regarding alcohol use.

## 7. ABOUT THE DATA

Data is extracted from the Oregon Healthy Teens Survey / Student Wellness Survey. The survey is administered annually to 6th, 8th and 11th graders across the state.

| KPM #9 | 30 DAY ILLICIT DRUG USE AMONG 8TH GRADERS - Percentage of 8th graders who have used illicit drugs in the past 30 days. | 2009 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Student wellness survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: integrating primary care and behavioral health.

Addictions and Mental Health Division (AMH) uses a comprehensive approach to addressing illicit drug use issues and intervening when illicit drug use has occurred. This includes

a variety of community and county level programs funded with state and federal dollars.
In the comprehensive planning conducted at the County and Tribal levels all 36 counties and 9 tribes prioritized underage drinking as the number one concern. Closely associated with underage drinking is the use of marijuana. Marijuana is sometimes referred to at the 'turn-key drug' leading to other illicit drug use. Counties and Tribes have implemented programs to directly address underage drinking and illicit drug use. These include strategic media advocacy efforts directed at parents to set clear and specific guidelines for their children's not using alcohol and other drugs. AMH will continue to provide community grants to implement programs to reduce underage drinking and illicit drug use on the local level.

## 2. ABOUT THE TARGETS

Targets are based on trend data and the annual reduction necessary to meet the Healthy People 2020 goal of a 10% reduction.

## 3. HOW WE ARE DOING

In 2012, 9% of 8th graders had used any ilicit drug in the past 30 days; in 2014, this decreased slightly to 8.1%, just below the 2014 target.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

Favorable attitudes on the part of youth about using alcohol and other drugs can be a major predictor of their use. Parental attitudes towards drug use have a tremendous effect on youth use. Youth whose parents feel that drug use is a "rite of passage" or that "kids will be kids" have much higher rates of illicit drug use those whose parents are clear that youth should not use drugs.

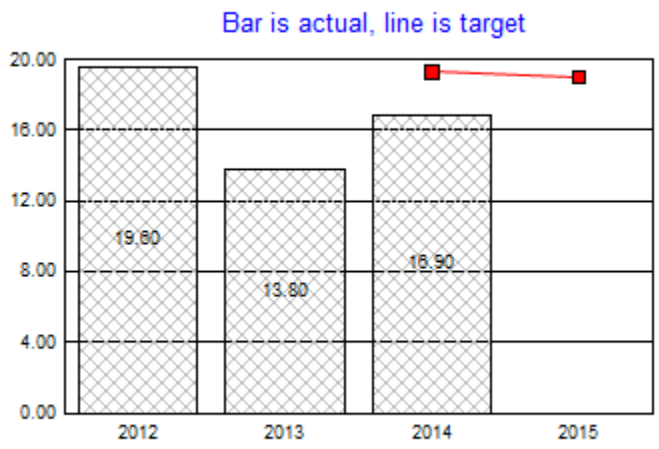
## 6. WHAT NEEDS TO BE DONE

Oregon needs to continue providing opportunities for youth to engage in positive, safe and healthy alternatives to drug use. Providing communities with adequate prevention funding to implement comprehensive evidence-based programs would give youth those opportunities. Parents who set clear and specific rules for their children continue to be a major prevention strategy to address illicit drug use.

## 7. ABOUT THE DATA

Data is extracted from the Oregon Student Wellness Survey / Health Teens Survey. The survey is administered annually to 6th, 8th and 11th graders across the state.

| KPM #10 | 30 DAY ALCOHOL USE AMONG 8TH GRADERS - Percentage of 8th graders who have used alcohol in the past 30 days. | 2009 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Student wellness survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




Data is represented by percent

## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: integrating primary and behavioral health.There is a comprehensive approach to addressing underage drinking issues and intervening when underage drinking has occurred. This includes a variety of community and county level programs funded with

state and federal dollars. In the comprehensive planning conducted at the County and Tribal levels, all 36 counties and 9 tribes prioritized underage drinking as the number one concern. Accordingly they have implemented programs to directly address underage drinking including minor decoy and controlled party dispersal programs, reward and reminder programs for alcohol retailers, shoulder tap (third party sales) operations, strategic media advocacy efforts directed at social policies related to underage drinking and parent programs that aid the parents in setting clear and specific guidelines concerning alcohol and other drug use.

## 2. ABOUT THE TARGETS

Targets are based on trend data and the annual reduction necessary to meet the Healthy People 2020 goal of a 10% reduction.

## 3. HOW WE ARE DOING

In 2012, 19.6% of 8th graders had at least one drink of alcohol in the past 30 days; in 2014, the rate decreased slightly to 16.9%, meeting the 2014 target.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

Perceptions of youth being caught – either in possession or purchasing alcohol – can be a major determinant in whether or not they use. Parental attitudes towards alcohol use have a tremendous effect on youth use. Youth whose parents feel that alcohol use is a “rite of passage” or that “kids will be kids” have much higher rates of drinking than those whose parents are clear that youth should not drink. Unfortunately, all too many Oregon parents still provide youth with a “safe” place to drink by providing the alcohol, taking away car keys so they don’t drive, or both. These mixed messages give youth the impression that it’s okay to drink, as long as they don’t drive.

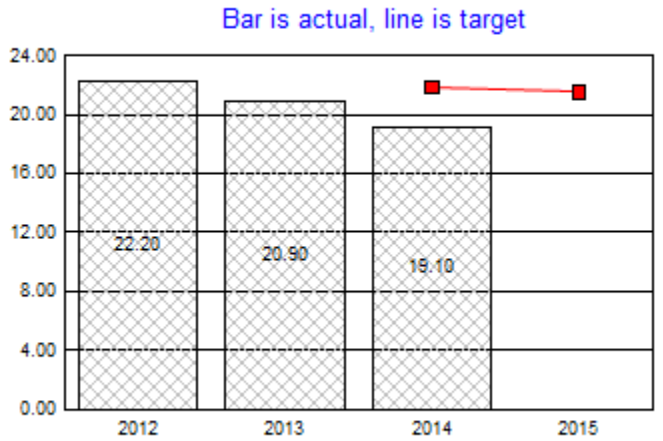
## 6. WHAT NEEDS TO BE DONE

Oregon needs to continue providing opportunities for youth to engage in positive, safe and healthy alternatives to alcohol use. Providing communities with adequate prevention funding to implement comprehensive evidence-based programs would give youth those opportunities. In addition, continued and consistent enforcement of current laws across the state would provide a constant message that Oregon does not tolerate underage drinking. Statewide media should continue to provide messages to parents that it’s against the law to provide alcohol to minors, as well as the importance of having well-defined expectations of their children regarding alcohol use.

## 7. ABOUT THE DATA

Data is extracted from the Oregon Healthy Teens Survey / Student Wellness Survey. The survey is administered annually to 8th and 11th graders across the state.

| KPM #11 | 30 DAY ILLICIT DRUG USE AMONG 11TH GRADERS - Percentage of 11th graders who have used illicit drugs in the past 30 days. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Student wellness survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




Data is represented by percent

## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: integrating primary care and behavioral health.

Addictions and Mental Health Division (AMH) uses a comprehensive approach to addressing illicit drug use issues and intervening when illicit drug use has occurred. This includes

a variety of community and county level programs funded with state and federal dollars.
In the comprehensive planning conducted at the County and Tribal levels all 36 counties and 9 tribes prioritized underage drinking as the number one concern. Closely associated with underage drinking is the use of marijuana. Marijuana is sometimes referred to at the 'turn-key drug' leading to other illicit drug use. Counties and Tribes have implemented programs to directly address underage drinking and illicit drug use. These include strategic media advocacy efforts directed at parents to set clear and specific guidelines for their children's not using alcohol and other drugs. AMH will continue to provide community grants to implement programs to reduce underage drinking and illicit drug use on the local level.

## 2. ABOUT THE TARGETS

Targets are based on trend data and the annual reduction necessary to meet the Healthy People 2020 goal of a 10% reduction.

## 3. HOW WE ARE DOING

In 2012, 22.2% of 11th graders had used an ilicit drug in the past 30 days; in 2014, this decreased to 19.1%, meeting the 2014 target.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

Favorable attitudes on the part of youth about using alcohol and other drugs can be a major predictor of their use. Parental attitudes towards drug use have a tremendous effect on youth use. Youth whose parents feel that drug use is a "rite of passage" or that "kids will be kids" have much higher rates of illicit drug use those whose parents are clear that youth should not use drugs.

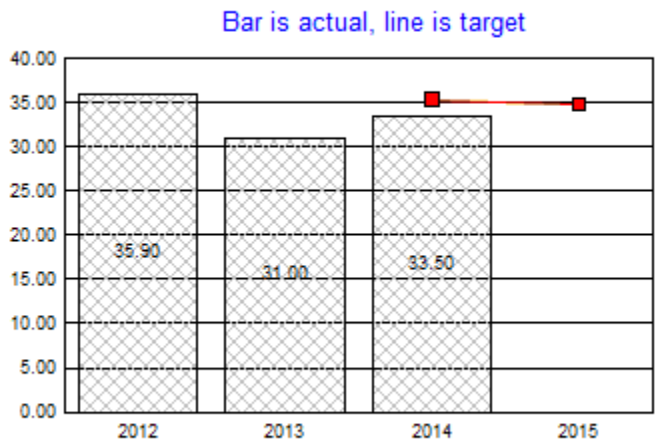
## 6. WHAT NEEDS TO BE DONE

Oregon needs to continue providing opportunities for youth to engage in positive, safe and healthy alternatives to drug use. Providing communities with adequate prevention funding to implement comprehensive evidence-based programs would give youth those opportunities. Parents who set clear and specific rules for their children continue to be a major prevention strategy to address illicit drug use.

## 7. ABOUT THE DATA

Data is extracted from the Oregon Healthy Teens Survey / Student Wellness Survey. The survey is administered annually to 6th, 8th and 11th graders across the state.

| KPM #12 | 30 DAY ALCOHOL USE AMONG 11TH GRADERS - Percentage of 11th graders who have used alcohol in the past 30 days. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Student wellness survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




Data is represented by percent

## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: integrating primary care and behavioral health.There is a comprehensive approach to addressing underage drinking issues and intervening when underage drinking has occurred. This includes a variety of community and county level programs funded with

state and federal dollars. In the comprehensive planning conducted at the County and Tribal levels, all 36 counties and 9 tribes prioritized underage drinking as the number one concern. Accordingly they have implemented programs to directly address underage drinking including minor decoy and controlled party dispersal programs, reward and reminder programs for alcohol retailers, shoulder tap (third party sales) operations, strategic media advocacy efforts directed at social policies related to underage drinking and parent programs that aid the parents in setting clear and specific guidelines concerning alcohol and other drug use.

## 2. ABOUT THE TARGETS

Targets are based on trend data and the annual reduction necessary to meet the Healthy People 2020 goal of a 10% reduction.

## 3. HOW WE ARE DOING

In 2012, 35.9% of Oregon 11th graders had at least one drink of alcohol in the past 30 days; in 2014 this decreased slightly to 33.5%, meeting the 2014 target.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

Perceptions of youth being caught – either in possession or purchasing alcohol – can be a major determinant in whether or not they use. Parental attitudes towards alcohol use have a tremendous effect on youth use. Youth whose parents feel that alcohol use is a “rite of passage” or that “kids will be kids” have much higher rates of drinking than those whose parents are clear that youth should not drink. Unfortunately, all too many Oregon parents still provide youth with a “safe” place to drink by providing the alcohol, taking away car keys so they don’t drive, or both. These mixed messages give youth the impression that it’s okay to drink, as long as they don’t drive.

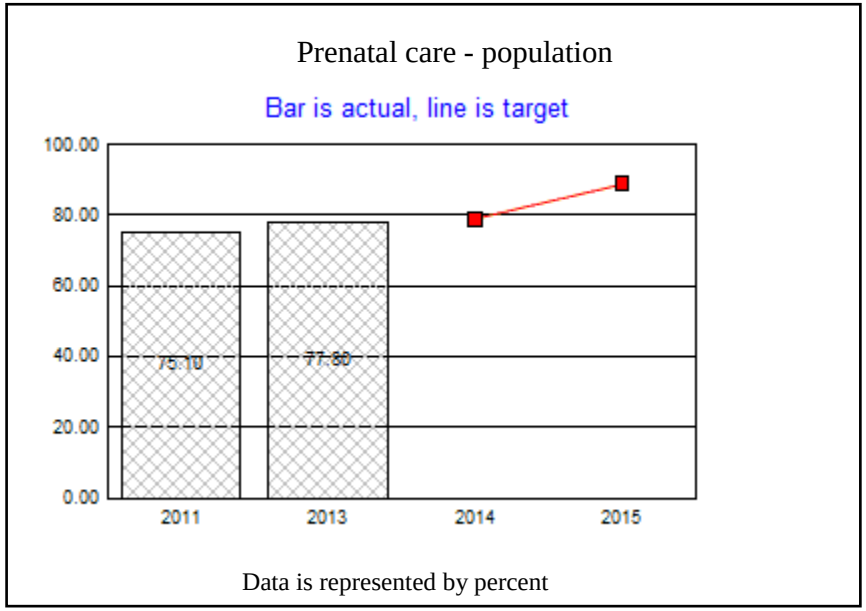
## 6. WHAT NEEDS TO BE DONE

Oregon needs to continue providing opportunities for youth to engage in positive, safe and healthy alternatives to alcohol use. Providing communities with adequate prevention funding to implement comprehensive evidence-based programs would give youth those opportunities. In addition, continued and consistent enforcement of current laws across the state would provide a constant message that Oregon does not tolerate underage drinking. Statewide media should continue to provide messages to parents that it’s against the law to provide alcohol to minors, as well as the importance of having well-defined expectations of their children regarding alcohol use.

## 7. ABOUT THE DATA

Data is extracted from the Oregon Healthy Teens Survey / Student Wellness Survey. The survey is administered annually to 8th and 11th graders across the state.

| KPM #13 | PRENATAL CARE (POPULATION) - Percentage of women who initiated prenatal care in the first 3 months of pregnancy. | 2013 |
|---|---|---|
| **Goal** | Better care/access; lower cost; better health | |
| **Oregon Context** | Better care/access; lower cost; better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

**Outreach and link women to early and adequate prenatal care**
Oregon Mothers Care (OMC), a statewide initiative to improve access to early prenatal care, provides services throughout Oregon at 29 sites serving 26

counties. The program links women to health insurance enrollment and health care providers, and is funded by Title V, along with local funds. The OMC program has developed partnerships among public and private agencies to streamline, coordinate, and promote access to prenatal services. Project components include a referral and support system for prenatal services, including dental services, and an ongoing public awareness, outreach, and education campaign.

An MCH warm-line assists pregnant women to access prenatal care services and other services in their community. The warm-line is now a part of the statewide 211 info line. This allows 24 hours a day, 7 days a week as well as on-line access to comprehensive community based information and referral.

**Statewide home visiting system**

Prenatal Home Visiting programs work to increase access and effective utilization of prenatal care and other services for high risk pregnant women. Oregon was awarded both formula and competitive Maternal and Infant Early Childhood Home Visiting (MIECHV) grants. The grants are enhancing access to both clinical and home visiting services through expansion of Healthy Families America/Healthy Start, Early Head Start, and Nurse Family Partnership home visiting services. Public Health Nurse Maternity Case Management (MCM) and home visiting services are offered through local health departments.

**Collaboration with state public health partners**

Ongoing collaboration with state public health partners (reproductive health, family planning and WIC) ensures that education about the importance of prenatal care is discussed and women who screen positive for pregnancy are referred to early care.

**Surveillance and data collection**

Administration and analysis of the PRAMS survey of post-partum women to collect information related to prenatal care access for surveillance and program planning. Oregon PRAMS data has been continuously collected since 1998 and provides information about utilization, access, and quality of prenatal care.

**Policy advocacy for early prenatal care system and quality improvements**

Supporting CCOs in achieving early prenatal care for members by developing resources and offering technical assistance for CCOs and local public health authorities.

**Medicaid provides prenatal health coverage for undocumented women.** As of October 2013, Medicaid coverage for prenatal services is available to pregnant women who would otherwise be eligible for OHP except for their immigration status. Initially a pilot project in two counties, the program is now statewide.

## 2. ABOUT THE TARGETS

Early initiation of prenatal care maximizes opportunities for women to prepare for labor/delivery, motherhood and the longterm health of both child and mother. The desired direction of change is to increase the percent of women who initiate prenatal care in the first 3 months of their pregnancy.

## 3. HOW WE ARE DOING

The rate of first trimester prenatal care has risen from 70.2% in 2008 to 77.8 in 2013. Starting in 2008, there was a change in how prenatal care was calculated from the birth certificate making trend analysis prior to that time difficult.

## 4. HOW WE COMPARE

The overall rate in Oregon nears the HP 2020 objective of 77.9%, however rates vary by race/ethnicity and maternal age. According to the March of Dimes Peristats, in 2012, Washington's rate was 73.5% and California's 82.8%, compared to 76.3% in Oregon.

## 5. FACTORS AFFECTING RESULTS

Women give a variety of reasons for not accessing early prenatal care. Women may not feel that early care is important, may not know they are pregnant, or may be experiencing barriers such as lack of insurance coverage, inability to get an appointment or unreliable transportation.

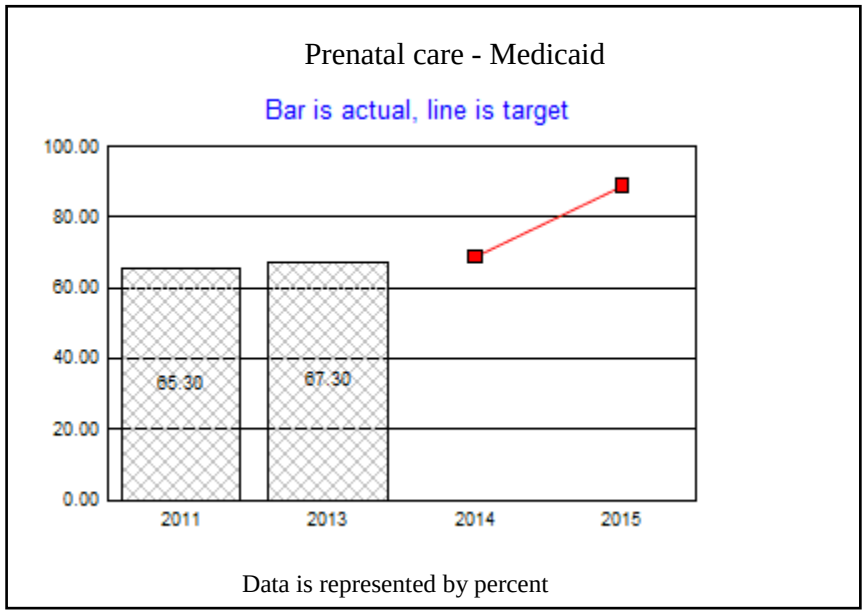
## 6. WHAT NEEDS TO BE DONE

Opportunities to increase rates of early prenatal care have arisen as the Affordable Care Act (ACA) is implemented and health care transformation efforts in Oregon move forward. Medicaid expansion and the creation of Oregon's Health Insurance Marketplace (Cover Oregon) mean that more women are eligible for insurance regardless of pregnancy status. More insured women will hopefully mean that more women will have established care providers who can either provide or refer for prenatal care. In addition, the Affordable Care Act includes a mandate for health insurance to cover preventative services including preconception health visits. Preconception health visits are an opportunity to teach women about the importance of early prenatal care.

## 7. ABOUT THE DATA

Prenatal care initiation is calculated from birth certificates and reported out by calendar year of the child's birth. It is calculated from several variables: (1) date of first prenatal care visit; (2) first day of pregnancy and (3) definition of first trimester. (1) Date of first prenatal care visit depends on mother's prenatal care chart being available or mother's recall. (2) First day of pregnancy depends on mother's recall of the date on which her last menstrual period began. This date is sometimes imputed by taking Clinical Estimate of Gestation and calculating first day of pregnancy. (3) First trimester is often but not universally defined as the first 91 days since the date on which the mother's last menstrual period began.

| KPM #14 | PRENATAL CARE (MEDICAID) - Percentage of women who initiated prenatal care within 42 days of enrollment. | 2013 |
|---|---|---|
| **Goal** | Better care/access; lower cost; better health | |
| **Oregon Context** | Better care/access; lower cost; better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |

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counties. The program links women to health insurance enrollment and health care providers, and is funded by Title V, along with local funds. The OMC program has developed partnerships among public and private agencies to streamline, coordinate, and promote access to prenatal services. Project components include a referral and support system for prenatal services, including dental services, and an ongoing public awareness, outreach, and education campaign.

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**Statewide home visiting system**

Prenatal Home Visiting programs work to increase access and effective utilization of prenatal care and other services for high risk pregnant women. Oregon was awarded both formula and competitive Maternal and Infant Early Childhood Home Visiting (MIECHV) grants. The grants are enhancing access to both clinical and home visiting services through expansion of Healthy Families America/Healthy Start, Early Head Start, and Nurse Family Partnership home visiting services. Public Health Nurse Maternity Case Management (MCM) and home visiting services are offered through local health departments.

**Collaboration with state public health partners**

Ongoing collaboration with state public health partners (reproductive health, family planning and WIC) ensures that education about the importance of prenatal care is discussed and women who screen positive for pregnancy are referred to early care.

**Surveillance and data collection**

Administration and analysis of the PRAMS survey of post-partum women to collect information related to prenatal care access for surveillance and program planning. Oregon PRAMS data has been continuously collected since 1998 and provides information about utilization, access, and quality of prenatal care.

**Policy advocacy for early prenatal care system and quality improvements**

Supporting CCOs in achieving early prenatal care for members by developing resources and offering technical assistance for CCOs and local public health authorities. **Medicaid provides prenatal health coverage for undocumented women.** As of October 2013, Medicaid coverage for prenatal services is available to pregnant women who would otherwise be eligible for OHP except for their immigration status. Initially a pilot project in two counties, the program is now statewide.

## 2. ABOUT THE TARGETS

Early initiation of prenatal care maximizes opportunities for women to prepare for labor/delivery, motherhood and the long term health of both child and mother. The desired direction of change is to increase the percent of women who initiate prenatal care in the 3 months of their pregnancy. The 2014 KPM target is the 2012 national Medicaid 75th percentile (for administrative data only). This is also the 2013 benchmark for the CCOs, which will be revisited by the Metrics & Scoring Committee annually.

## 3. HOW WE ARE DOING

The rate of first trimester prenatal care for Medicaid has increased slightly from 65.3% in 2011 to 67.3% in 2013, still shy of the 69% target.

## 4. HOW WE COMPARE

Oregon's 2013 performance is close to the national 2012 75th percentile for Medicaid (administrative data only); however, Oregon's Medicaid rate is still below the first trimester prenatal care rate for the general population.

## 5. FACTORS AFFECTING RESULTS

Women give a variety of reasons for not accessing early prenatal care. Women may not feel that early care is important, may not know they are pregnant, or may be experiencing barriers such as lack of insurance coverage, inability to get an appointment or unreliable transportation.

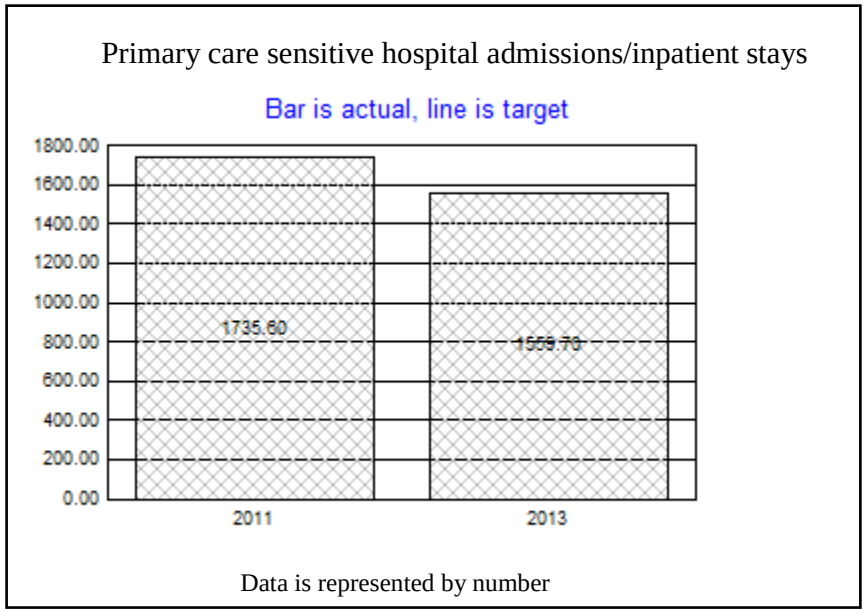
## 6. WHAT NEEDS TO BE DONE

Opportunities to increase rates of early prenatal care have arisen as the Affordable Care Act (ACA) is implemented and health care transformation efforts in Oregon move forward. Medicaid expansion and the creation of Oregon’s Health Insurance Marketplace (Cover Oregon) mean that more women are eligible for insurance regardless of pregnancy status. More insured women will hopefully mean that more women will have established care providers who can either provide or refer for prenatal care. In addition, the Affordable Care Act includes a mandate for health insurance to cover preventative services including preconception health visits. Preconception health visits are an opportunity to teach women about the importance of early prenatal care.

## 7. ABOUT THE DATA

Calendar year. This measure is calculated using administrative data only; in 2014, the measure will include medical record review, which will give a more accurate report of the timeliness of prenatal care for Medicaid.

| KPM #15 | PRIMARY CARE SENSITIVE HOSPITAL ADMISSIONS/INPATIENT STAYS - Rate per 100,000 client years of admissions (for 12 diagnoses) that are more appropriately treated in an outpatient setting. | 2013 |
|---|---|---|
| **Goal** | Lower cost; better health | |
| **Oregon Context** | Lower cost; better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: Reducing preventable rehospitalizations.

## 2. ABOUT THE TARGETS

Targets have not yet been established. The statewide performance measure targets under the CMS waiver call for a 10% reduction in these admission rates.

## 3. HOW WE ARE DOING

In 2011, the composite rate for all prevention quality indicators was 1,735.6/100,000 member years; in 2013, the composite rate had decreased to 1,559.7/100,000 member years, a trend in the right direction.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As more data are collected, we will have a better understanding of the factors affecting results.

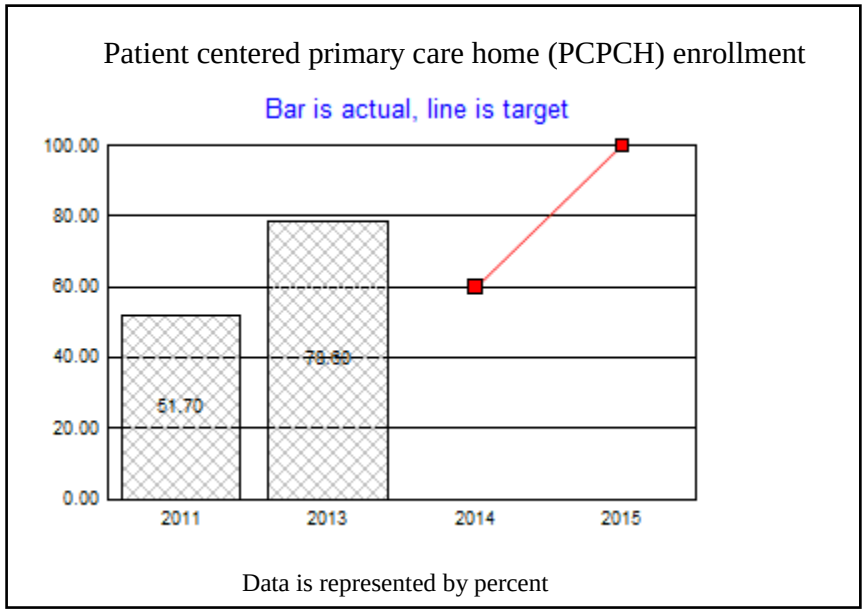
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year, administrative data; data are reported per 100,000 member years.

| KPM #16 | PATIENT CENTERED PRIMARY CARE HOME (PCPCH) ENROLLMENT - Number of members enrolled in patient-centered primary care homes by tier. | 2013 |
|---|---|---|
| **Goal** | Better care/access | |
| **Oregon Context** | Better care/access | |
| **Data Source** | Patient-Centered Primary Care Home enrollment data are reported quarterly by CCOs. | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Areas: Improving access to effective and timely care; Improving primary care for all populations.

## 2. ABOUT THE TARGETS

These targets have been established to achieve the goal of having 100% of Medicaid members enrolled in a recognized patient-centered primary care home. This aligns with the CCO incentive measure target.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2011, 51.8 percent of Medicaid members were enrolled in a certified patient centered primary care home. This increased to 78.6 percent by the end of 2013, well above the 2014 target of 60 percent. All but one CCO saw increased PCPCH enrollment between 2011 and 2013. Additional comparisons will be made in future reports.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

Coordinated care organizations are driving improvement on this measure through two main efforts: (1) working with contracted providers to go through the PCPCH recognition process, and (2) preferentially assigning members to certified PCPCHs. As we collect more data, we will have a better understanding of the factors affecting the results.

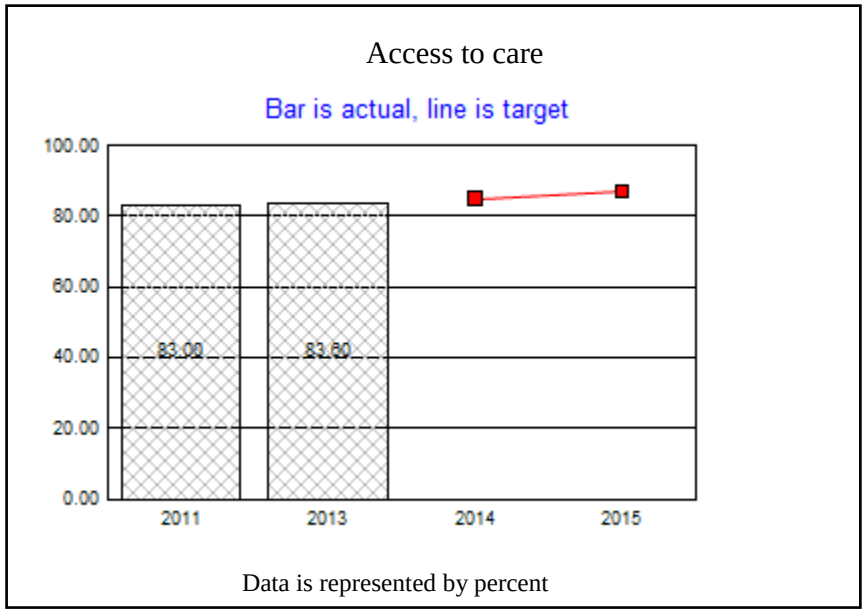
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year. Data are self-reported by CCOs as part of their contractually required provider network capacity / network adequacy reporting.

| KPM #17 | ACCESS TO CARE - Percentage of members who responded "always" or "usually" too getting care quickly (composite for adult and child). | 2013 |
|---|---|---|
| **Goal** | Better care/access; better health | |
| **Oregon Context** | Better care/access; better health | |
| **Data Source** | CAHPS Health Plan survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: Improving access to effective and timely care.

## 2. ABOUT THE TARGETS

Targets are based on the 2012 National Medicaid 75th percentile.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2011, 83 percent of adults and children received appointments and care when they needed them. In 2013, this increased slightly to 83.6 percent, still below the benchmark of 87.0 percent. This increase was seen in 10 of the 15 Coordinated Care Organizations (CCOs).

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

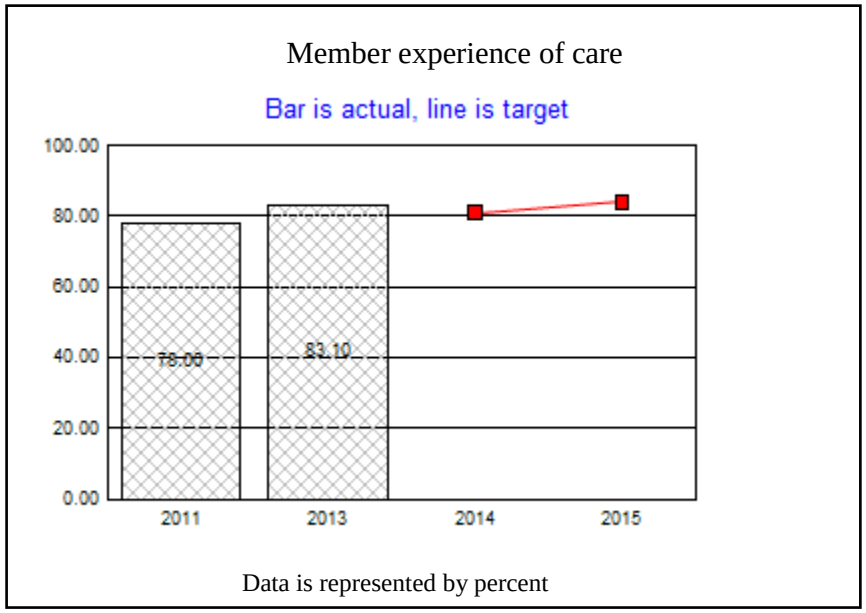
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year. This KPM reports the percentage of members who responded "always" or "usually" to getting care quickly. Results are a composite of adult and child survey questions.

| KPM #18 | MEMBER EXPERIENCE OF CARE - Composite measurement: how well doctors communicate; health plan information and customer service (Medicaid population). | 2013 |
|---|---|---|
| **Goal** | Better care/access | |
| **Oregon Context** | Better care/access | |
| **Data Source** | CAHPS Health Plan survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: Improving access to effective and timely care.

## 2. ABOUT THE TARGETS

Targets are based on the 2012 National Medicaid 75th percentile.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2011, 78 percent of adults and children reported they received needed information or help and thought they were treated with courtesy and respect by customer service staff. In 2013, the rate increased to 83.1 percent, just shy of the benchmark of 84.0 percent, but still notable considering this increase occurred as CCOs were newly established. This increase from 2011 to 2013 was seen across 13 of the 15 CCOs.Additional comparisons will be made in future reports.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

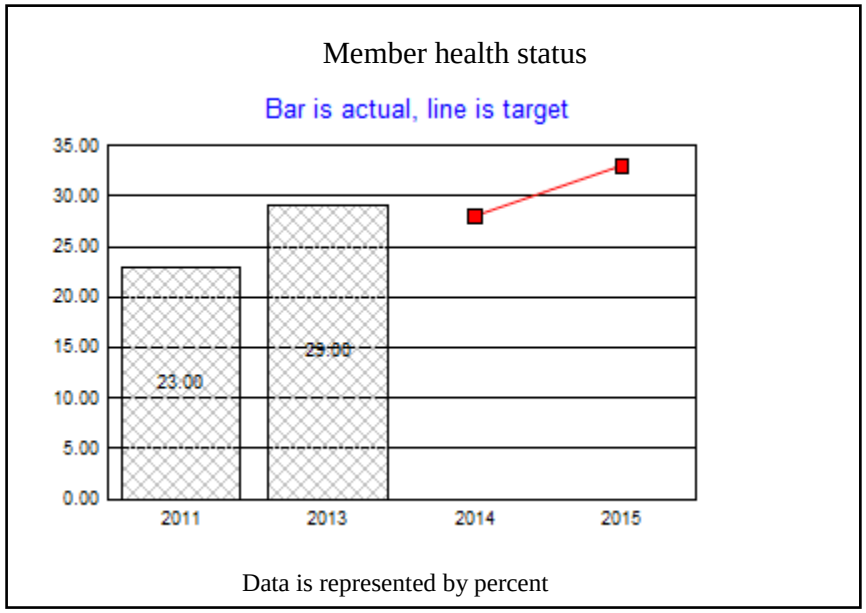
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year. This KPM reports on the following elements: getting care needed; getting care quickly; how well doctors communicate; health plan information. Results are a composite of adult and child survey questions.

| KPM #19 | MEMBER HEALTH STATUS - Percentage of CAHPS survey respondents with a positive self-reported rating of overall health (excellent, very good). | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | CAHPS survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Areas: Addressing discrete health issues; improving primary care for all populations.

## 2. ABOUT THE TARGETS

The targets are based on the National Medicaid 75th percentile.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2011, 23% of CCO enrollees responding to the CAHPS survey had a positive self-reproted rating of overall health (excellent or very good). In 2013, this had increased to 29%, meeting the 2014 target.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

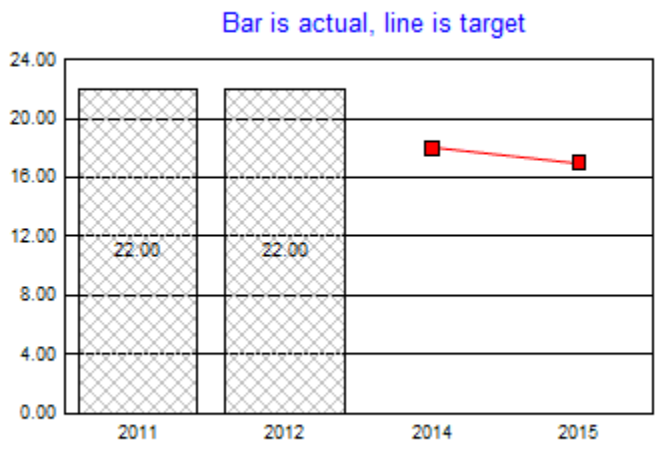
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year. The results report the percentage of CAHPS survey respondents with a positive self-reported rating of overall health.

| KPM #20 | RATE OF TOBACCO USE (POPULATION) - Rate of tobacco use among adults. | 2002 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | BRFSS | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




Data is represented by percent

## 1. OUR STRATEGY

The goal of the Oregon Tobacco Prevention and Education Program (TPEP) is to reduce tobacco use among all Oregonians. This is accomplished by focusing on the components of the World Health Organization's MPOWER framework: **Monitor** tobacco use and prevention policies, **Protect** people from tobacco smoke, **Offer** help to quit tobacco use, **Warn** about the dangers of tobacco, **Enforce** bans on tobacco advertising, promotion and sponsorship, and **Raise** the price of tobacco. This work is undertaken by the county, tribal and

state tobacco programs working synergistically to ensure that every Oregonians experiences the benefits of tobacco prevention work in the places where they live, work, play, and learn. No single component of Oregon's TPEP is solely responsible for reducing tobacco use–a comprehensive approach must be employed to effectively decrease tobacco use.

## 2. ABOUT THE TARGETS

Tobacco use is the leading preventable cause of death in Oregon and the nation. Cigarette smoking is the most common form of tobacco use. Quitting tobacco at any age has significant health benefits. Successful efforts to decrease the prevalence of tobacco use among all Oregon adults and Oregon adults who are covered by Medicaid will lead to reduced morbidity and mortality. This in turn will contribute substantially toward the OHA|DHS vision of "a healthy Oregon" in the short-term and long-term.

## 3. HOW WE ARE DOING

In 2012, the proportion of adult tobacco users in the Medicaid population was 43 percent higher than among the general adult population (34% versus 22%).

## 4. HOW WE COMPARE

Other groups report cigarette smoking prevalence alone, rather than tobacco use prevalence, so no external data are readily available to compare against.

## 5. FACTORS AFFECTING RESULTS

The Centers for Disease Control and Prevention Office of Smoking and Health has developed an evidence-based funding model for countering the health and economic destruction of tobacco use. The recommended model funds programs to prevent initiation of tobacco use among young people, to promote quitting among adults and young people, to eliminate exposure to secondhand smoke, and to identify and eliminate tobacco-related disparities. For Oregon, the recommended funding is $11.60 per capita, which equates to $43 million annually. This recommendation represents just a fraction of the cost of tobacco use, with more than $2.5 billion lost to medical care and lost productivity annually in Oregon.
During the 2013-2015 biennium Oregon is slated to receive about $2.87 per capita for tobacco prevention from all funding sources, which is 28% of CDC's recommended funding for tobacco prevention. This is comparable with what was allotted to Oregon tobacco prevention a dozen years ago, however, funding levels have been much lower in the years in between. TPEP also received approximately $2.87 per capita during the 2001-2003 biennium, but was temporarily shuttered when the Legislature directed the allocated revenues elsewhere. After this interruption, smoking among pregnant women and adolescents stopped decreasing, and per capita consumption of cigarettes increased for the first time since the program was first implemented.

## 6. WHAT NEEDS TO BE DONE

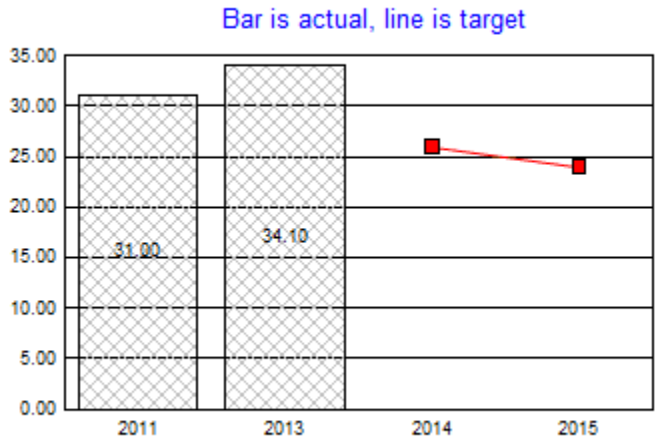
Studies in Oregon and in other states have shown that decreases in funding for tobacco prevention lead to decreased success in reducing tobacco use, and conversely, increases in funding for tobacco prevention lead to increased success in reducing tobacco use. To keep youth and young adults from starting to smoke, protect Oregonians from

secondhand smoke, identify and eliminate tobacco-related disparities and help smokers quit, funding for comprehensive tobacco control needs to be increased. Prior successes in Oregon and a substantial evidence-base from elsewhere tell us that a comprehensive program is the most effective means to counter the devastating effects of tobacco.

## 7. ABOUT THE DATA

Tobacco use prevalence among adult Oregonians is available annually and reported once per calendar year. The estimate is derived from the Oregon Behavioral Risk Factor Surveillance System (BRFSS), a telephone-administered survey of adults that examines health related behaviors. Advantages associated with this data source include its widespread use across the nation, permitting national and cross-state comparison (although, as mentioned previously, other groups report cigarette smoking rather than tobacco use).The Oregon BRFSS began including cellular telephones in its sample in 2010, which improved the representativeness of the estimate. Data collected in 2010 and later, however, cannot be compared with earlier years. "Tobacco use" is defined as having smoking at least 100 cigarettes in a lifetime and currently smoking every day or some days, and/or currently using chewing tobacco, snuff, or snus every day or some days.

| KPM #21 | RATE OF TOBACCO USE (MEDICAID) - Percentage of CCO enrollees who currently smoke cigarettes or use tobacco every day or some days. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | CAHPS survey | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |

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about the dangers of tobacco, **Enforce** bans on tobacco advertising, promotion and sponsorship, and **Raise** the price of tobacco. This work is undertaken by the county, tribal and state tobacco programs working synergistically to ensure that every Oregonians experiences the benefits of tobacco prevention work in the places where they live, work, play, and learn. No single component of Oregon's TPEP is solely responsible for reducing tobacco use–a comprehensive approach must be employed to effectively decrease tobacco use.

## 2. ABOUT THE TARGETS

Tobacco use is the leading preventable cause of death in Oregon and the nation. Cigarette smoking is the most common form of tobacco use. Quitting tobacco at any age has significant health benefits. Successful efforts to decrease the prevalence of tobacco use among all Oregon adults and Oregon adults who are covered by Medicaid will lead to reduced morbidity and mortality. This in turn will contribute substantially toward the OHA|DHS vision of "a healthy Oregon" in the short-term and long-term.

## 3. HOW WE ARE DOING

In 2012, the proportion of adult tobacco users in the Medicaid population was 43% higher than among the general adult population (34% vs 22%). The tobacco use prevalence increased in the Medicaid population from 31% in 2011 to 34% in 2013.

## 4. HOW WE COMPARE

Among data included in the national CAHPS survey database, in 2013, 69% of Medicaid members nationally reported they currently smoke or use tobacco every day, some days or not at all, compared to the Oregon rate of 34%.

## 5. FACTORS AFFECTING RESULTS

The Centers for Disease Control and Prevention Office of Smoking and Health has developed an evidence-based funding model for countering the health and economic destruction of tobacco use. The recommended model funds programs to prevent initiation of tobacco use among young people, to promote quitting among adults and young people, to eliminate exposure to secondhand smoke, and to identify and eliminate tobacco-related disparities. For Oregon, the recommended funding is $10.09 per capita, which equates to $39.3 million annually. This recommendation represents just a fraction of the cost of tobacco use, with more than $2.5 billion lost to medical care and lost productivity annually in Oregon.

During the 2013 - 2015 biennium Oregon is slated to receive about $2.87 per capita for tobacco prevention from all funding sources, which is 28% of CDC's recommended funding for tobacco prevention. This is comparable with what was allotted to Oregon tobacco prevention a dozen years ago. However, funding levels have been much lower in the years in between. TPEP also received about $2.87 per capita during the 2001-2003 biennium, but was temporarily shuttered when the Legislature directed the allocated revenues elsewhere. After this interruption, smoking among pregnant women and adolescents stopped decreasing and per capita consumption of cigarettes increased for the first time since the program was first implemented.

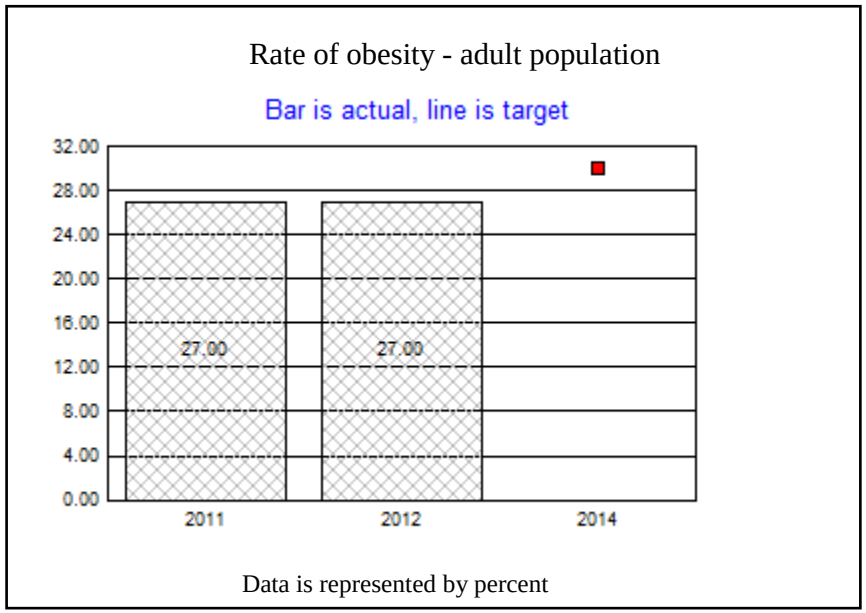
## 6. WHAT NEEDS TO BE DONE

Studies in Oregon and in other states have shown that decreases in funding for tobacco prevention lead to decreased success in reducing tobacco use, and conversely, increases in funding for tobacco prevention lead to increased success in reducing tobacco use. To keep youth and young adults from starting to smoke, protect Oregonians from secondhand smoke, identify and eliminate tobacco-related disparities and help smokers quit, funding for comprehensive tobacco control needs to be increased. Prior successes in Oregon and a substantial evidence-base from elsewhere tell us that a comprehensive program is the most effective means to counter the devastating effects of tobacco.

## 7. ABOUT THE DATA

Tobacco use prevalence among adult Oregonians on Medicaid is on an annual reporting cycle, computed once per calendar year. The estimate is derived from the Oregon Consumer Assessment of Healthcare Providers and Systems (CAHPS), a survey that examines experiences with health plans and their services among Medicaid enrollees.

| KPM #22 | RATE OF OBESITY (POPULATION) - Percentage of adults who are obese among Oregonians. | 2010 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Behavioral Risk Factor Surveillance System (BRFSS) | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

In 2013, the Oregon Public Health Division was awarded funding from the Centers for Disease Control and Prevention (CDC) to reduce obesity as part of an integrated cooperative agreement to prevent and control diabetes, heart disease, obesity and associated risk factors, and promote school health. Ultimately a comprehensive, coordinated, statewide obesity prevention program/initiative will be required to slow the increase in obesity. These resources are not sufficient to build a comprehensive program, although they will allow

some efforts to start.

## 2. ABOUT THE TARGETS

Over the past two decades, obesity has become a national and state health crisis. In Oregon, obesity contributes to the deaths of about 1,500 Oregonians each year, making it second only to tobacco as the state's leading cause of preventable death. Obesity is also a major risk factor for chronic diseases such as diabetes, cancer, high blood pressure, high cholesterol, arthritis, heart disease and stroke. Since 1990, Oregon's adult obesity rate has increased 121 percent. If Oregon remains on this trajectory, children born today will not live as long as their parents or grandparents do.

In Oregon, medical costs related to obesity among adults were estimated to have reached $1.6 billion in 2006, with $339 million of that paid by Medicare and $333 million paid by Medicaid. In addition, people affected by obesity are estimated to have annual medical costs $1,429 higher than non-obese people. Obesity prevalence grew steadily in Oregon and the U.S between 1990 and 2010, and has remained flat since. In 2010, CDC launched a new methodology for calculating BRFSS estimates, which appears to increase many estimates, but is designed to produce more representative, accurate estimates. Considering these factors, the trajectory for obesity is estimated to reach around 30% in the general population by 2017 so the target was set to be 30% or less. Since Oregon has limited funding for obesity prevention and control, targets were modest.

## 3. HOW WE ARE DOING

In 2012, the proportion of adults who are obese in the Medicaid population was 52% higher than the proportion of adults who are obese in the general population (41% vs 27%).

## 4. HOW WE COMPARE

Recently released data from the CDC's national Behavioral Risk Factor Surveillance System (BRFSS) indicate that Oregon's obesity prevalence is tied for 23rd/24th place in the nation among all states and the District of Columbia (Oregon, 27.3%; range: Colorado, 20.5% –Louisiana, 34.7%.) Estimates of obesity prevalence among the Medicaid population by state are not available.

## 5. FACTORS AFFECTING RESULTS

Poor nutrition and lack of physical activity are the main factors driving obesity in Oregon. Obesity results from calorie consumption that exceeds the number of calories expended. Since calorie consumption is difficult and costly to assess accurately, eating ≥5 servings of fruits and vegetables a day is used as marker of a healthy diet. Regular physical activity is also a critical component of weight control.

During 2011, fewer than one in four Oregon adults consumed ≥5 servings of fruits and vegetables per day, which has been relatively unchanged since 1996. Among youth the situation is similar: about one in four Oregon eighth-graders consumed five or more servings a day of fruits and vegetables in 2013. Young people also drink a lot of sugary beverages: about 12 percent of eighth-graders report drinking an average of one or more soft drinks a day. This means that about one in ten eighth-graders consume enough soda to add more

than 1,000 extra calories to their diets each week.

In 2011, 24% of adult Oregonians met aerobic and muscle strengthening recommendations for physical activity. In 2013, 60% of Oregon eight-graders met physical activity recommendations of getting one or more hours of activity on most days of the week.

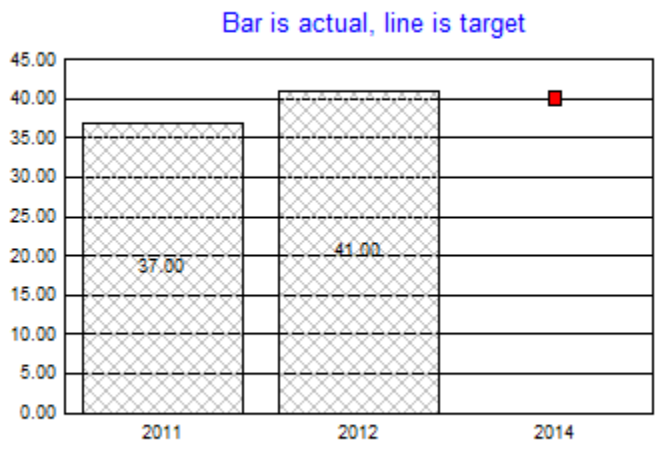
## 6. WHAT NEEDS TO BE DONE

Comprehensive, collaborative statewide and community-based obesity prevention programs that include standards for physical activity and nutrition can make it easy for children and adults to access healthy foods and physical activities where they live, work, play and learn. Unless appropriate steps are taken to curb the obesity crisis in Oregon, the costs in Oregon lives and dollars will be too great for the state to sustain. Obesity is a preventable disease. It occurs in predisposed children and adults living in environments that promote eating too many calories and too little physical activity. Like other chronic diseases, prevention is the optimal approach and is our strategy to address this public health crisis.

## 7. ABOUT THE DATA

Obesity prevalence among adult Oregonians is available annually and computed once per calendar year. It is derived from calculations of body mass index (BMI) from the Oregon Behavioral Risk Factor Surveillance System (BRFSS), a telephone-administered survey that examines health-related factors including height and weight. Advantages associated with this data source include its widespread use across the nation, permitting national and cross-state comparisons. The Oregon BRFSS began including cellular telephones in its sample in 2010, which improved the representativeness of the estimate. Data collected in 2010 and later, however, cannot be compared with earlier years. One disadvantage of these data is that respondents tend to give responses that skew their BMI slightly lower (either by over-reporting height or under-reporting weight), although over time this bias is assumed to be relatively constant.

| KPM #23 | RATE OF OBESITY (MEDICAID) - Percentage of Medicaid population who are obese. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




Data is represented by percent

## 1. OUR STRATEGY

In 2013, the Oregon Public Health Division was awarded funding from the Centers for Disease Control and Prevention (CDC) to reduce obesity as part of an integrated cooperative agreement to prevent and control diabetes, heart disease, obesity and associated risk factors, and promote school health. Ultimately a comprehensive, coordinated, statewide obesity prevention program/initiative will be required to slow the increase in obesity. These resources are not sufficient to build a comprehensive program, although they will allow

some efforts to start.

## 2. ABOUT THE TARGETS

Over the past two decades, obesity has become a national and state health crisis. In Oregon, obesity contributes to the deaths of about 1,500 Oregonians each year, making it second only to tobacco as the state's leading cause of preventable death. Obesity is also a m ajor risk factor for chronic diseases such as diabetes, cancer, high blood pressure, high cholesterol, arthritis, heart disease and stroke. Since 1990, Oregon's adult obesity rate has increased 121 percent. If Oregon remains on this trajectory, children born today will not live as long as their parents or grandparents do. In Oregon, medical costs related to obesity among adults were estimated to have reached $1.6 billion in 2006, with $339 million of that paid by Medicare and $333 million paid by Medicaid. In addition, people affected by obesity are estimated to have annual medical costs $1,429 higher than non-obese people. Obesity prevalence grew steadily in Oregon between 1990 and 2010, and has remained flat since. In 2010, CDC launched a new methodology for calculating BRFSS estimates, which appears to increase many estimates, but is designed to produce more representative, accurate estimates. Considering these factors, the trajectory for obesity is expected to reach around 30% in the general population by 2017 so the target was set to be 30% or less. Since Oregon has limited funding for obesity prevention and control, targets were modest.

## 3. HOW WE ARE DOING

In 2012, the proportion of obese adults in the Medicaid population was 52% higher than the proportion of obese adults in the general population (41% vs. 27%). The 2012 data show an increase in the proprtion of obese adults in the Medicaid population, from 37% to 41%.

## 4. HOW WE COMPARE

Recently released data from the CDC’s national Behavioral Risk Factor Surveillance System (BRFSS) indicate that Oregon’s obesity prevalence is tied for 23rd/24th place in the nation among all states and the District of Columbia (Oregon, 27.3%; range: Colorado, 20.5% –Louisiana, 34.7%.) Population-based estimates of obesity prevalence among the Medicaid population by state are not available.

## 5. FACTORS AFFECTING RESULTS

Poor nutrition and lack of physical activity are the main factors driving obesity in Oregon. Obesity results from calorie consumption that exceeds the number of calories expended. Since calorie consumption is difficult and costly to assess accurately, eating ≥5 servings of fruits and vegetables a day is used as marker of a healthy diet. Regular physical activity is also a critical component of weight control.

During 2011, fewer than one in four Oregon adults consumed ≥5 servings of fruits and vegetables per day, which has been relatively unchanged since 1996. Among youth the

situation is similar: about one in four Oregon eighth-graders consumed five or more servings a day of fruits and vegetables in 2013. Young people also drink a lot of sugary beverages: about 12 percent of eighth-graders report drinking an average of one or more soft drinks a day. This means that about one in ten eighth-graders consume enough soda to add more than 1,000 extra calories to their diets each week.

In 2011, 24% of adult Oregonians met aerobic and muscle strengthening recommendations for physical activity. In 2013, 60% of Oregon eight-graders met physical activity recommendations of getting one or more hours of activity on most days of the week.

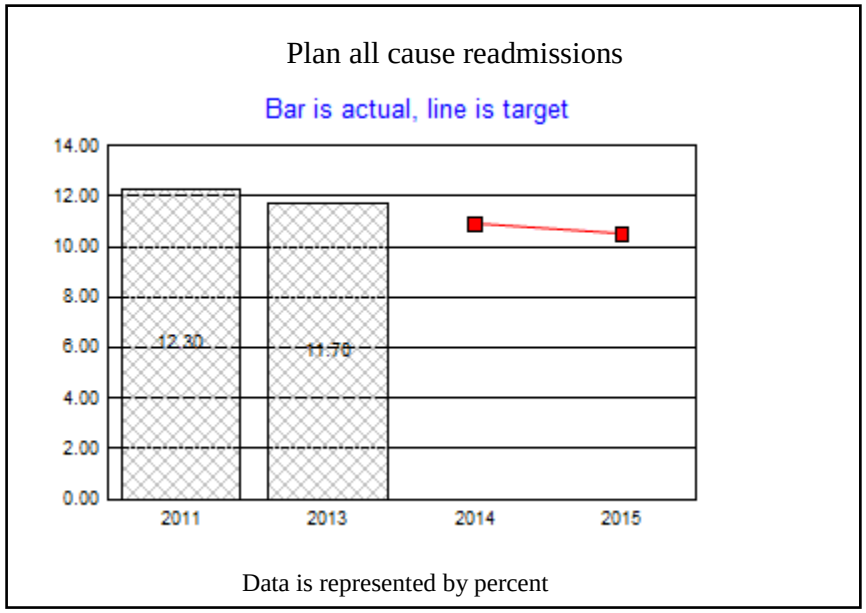
## 6. WHAT NEEDS TO BE DONE

Comprehensive, collaborative statewide and community-based obesity prevention programs that include standards for physical activity and nutrition can make it easy for children and adults to access healthy foods and physical activities where they live, work, play and learn. Unless appropriate steps are taken to curb the obesity crisis in Oregon, the costs in Oregon lives and dollars will be too great for the state to sustain. Obesity is a preventable disease. It occurs in predisposed children and adults living in environments that promote eating too many calories and too little physical activity. Like other chronic diseases, prevention is the optimal approach and is our strategy to address this public health crisis.

## 7. ABOUT THE DATA

Obesity prevalence among Oregon adult Medicaid recipients is available annually and computed once per calendar year. It is derived from calculations of body mass index (BMI) from the Oregon Behavioral Risk Factor Surveillance System (BRFSS), a telephone-administered survey that examines health related factors including height and weight. Advantages associated with this data source include its widespread use across the nation, permitting national and cross-state comparisons. The Oregon BRFSS began including cellular telephones in its sample in 2010, which improved the representativeness of the estimate. Data collected in 2010 and later, however, cannot be compared with earlier years. One disadvantage of these data is that respondents tend to give responses that skew their BMI slightly lower (either by over-reporting height or under-reporting weight), although over time this bias is assumed to be relatively constant. OHA Health Analytics is investigating other means by which to collect these data in the future, including Oregon Consumer Assessment of Healthcare Providers and Systems (CAHPS), online health assessments, or the Medicaid BRFSS, which is a special administration of a BRFSS-like survey to Medicaid enrollees.

| KPM #24 | PLAN ALL CAUSE READMISSIONS - Percentage of acute inpatient stays that were followed by an acute readmission for any diagnosis within 30 days and the predicted probability of an acute readmission for members 18 years and older. | 2013 |
|---|---|---|
| **Goal** | Lower cost | |
| **Oregon Context** | Lower cost | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: Reducing preventable rehospitalizations.

## 2. ABOUT THE TARGETS

Targets were created using an average of the 2012 Commercial and Medicare 75th percentiles. For this KPM, lower is better.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. In 2011, 12.3 percent of adult patients who had a hospital stay were readmitted for any reason within 30 days of discharge. By 2013, the readmission rate had improved slightly to 11.7 percent. Nine CCOs also saw improvements in their readmission rates and two CCOs saw no change in their rates. Readmissions were reduced across African Americans, American Indian/Alaskan Natives, Asian Americans, and Whites, but increased for Hispanics and Hawaiian/Pacific Islanders. Additional comparisons will be made in future reports.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

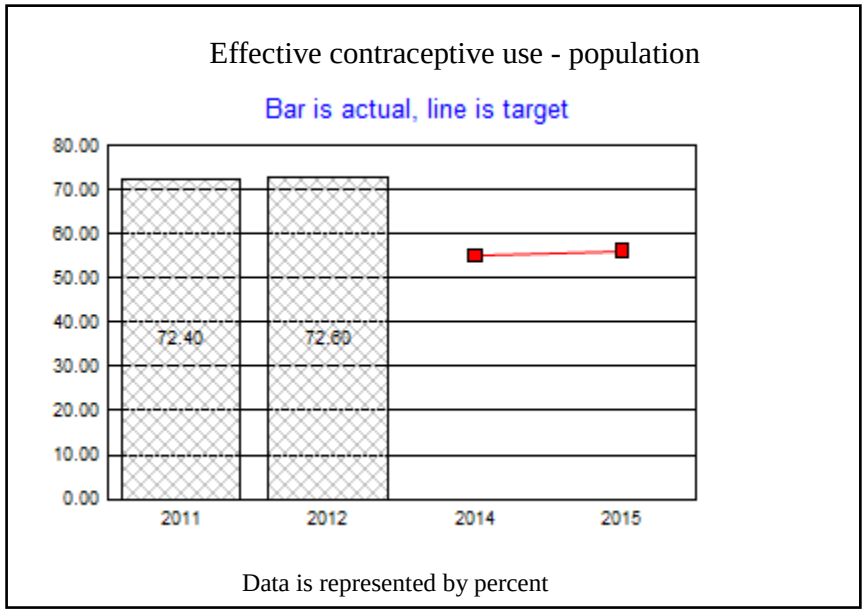
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year.

| KPM #25 | EFFECTIVE CONTRACEPTIVE USE (POPULATION) - Percentage of reproductive age women who are at risk of unintended pregnancy using an effective method of contraception. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Behavioral Risk Factor Surveillance System (BRFSS) | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: Improving primary care for all populations.

## 2. ABOUT THE TARGETS

Targets are based on preliminary calendar year 2011 baseline data. Higher is better. Targets may need to be revised to reflect updated performance data.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. The effective contraceptive rate for the general population is just below the Medicaid rate in the baseline year; the general population rate increased slightly in 2012, compared to a larger increase in the Medicaid population. Additional comparisons will be made in future reports.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

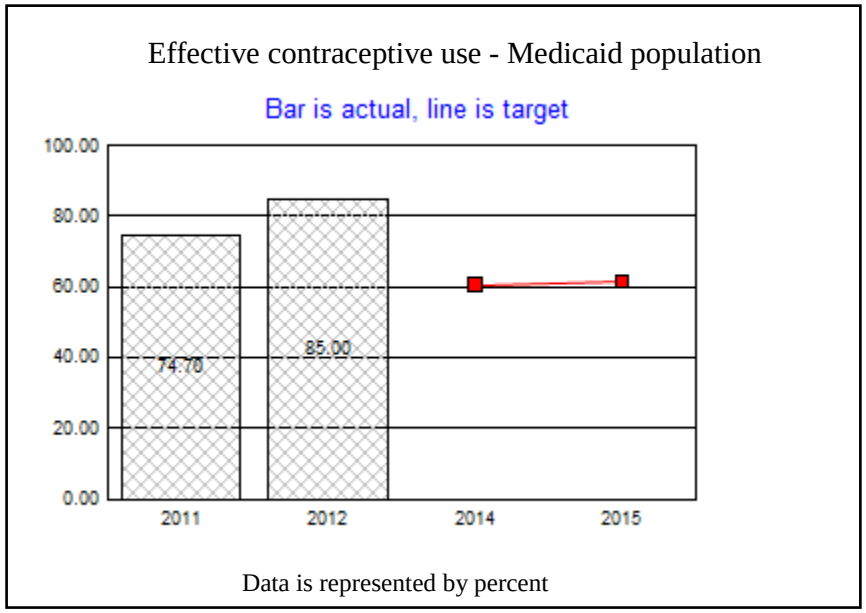
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year 2011 and 2012. Revised estimates for 2011 and updated data for 2012 have been calculated; missing and incomplete information that was previously included in the preliminary 2011 data have been removed from the analysis, resulting in an increase to the rates.

| KPM #26 | EFFECTIVE CONTRACEPTIVE USE (MEDICAID) - Percentage of reproductive age women who are at risk of unintended pregnancy using an effective method of contraception. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Behavioral Risk Factor Surveillance System (BRFSS) | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

This KPM supports the Quality Improvement Focus Area: Improving primary care for all populations.

## 2. ABOUT THE TARGETS

Targets are based on preliminary calendar year 2011 baseline data. Higher is better. Targets may need to be revised to reflect updated performance data.

## 3. HOW WE ARE DOING

Calendar year 2011 is the baseline for this measure. The Medicaid rate of effective contraceptive use holds just above the rate for the general population. The Medicaid rate has increased from 2011 to 2012, although some of the increase may be due to fluctuations in measurement due to small numbers. Additional comparisons will be made in future reports.

## 4. HOW WE COMPARE

Comparisons will be made in future reports as appropriate.

## 5. FACTORS AFFECTING RESULTS

As we collect more data, we will have a better understanding of the factors affecting the results.

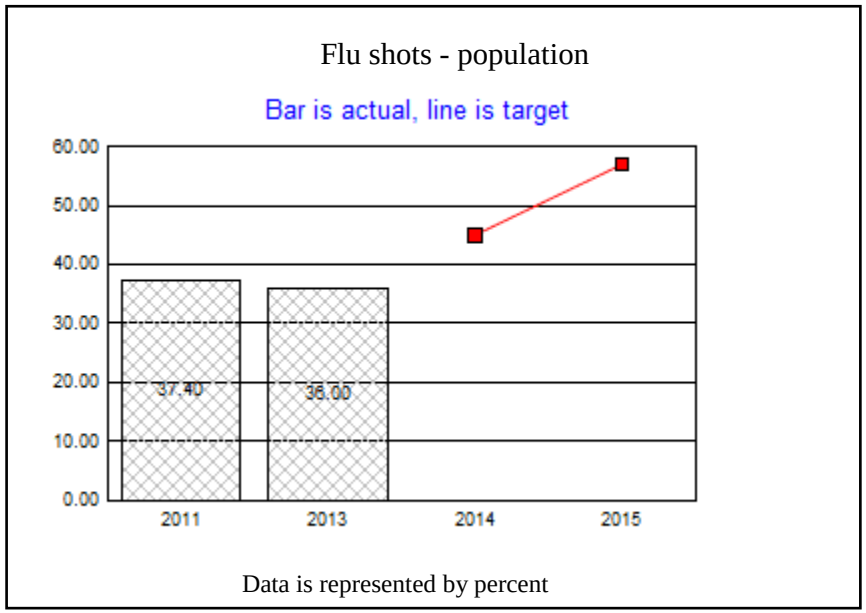
## 6. WHAT NEEDS TO BE DONE

As we collect more data, we will have a better understanding of specific actions to be taken.

## 7. ABOUT THE DATA

Calendar year 2011 and 2012. Revised estimates for 2011 and updated data for 2012 have been calculated; missing and incomplete information that was previously included in the preliminary 2011 data have been removed from the analysis, resulting in an increase to the rates.

| KPM #27 | FLU SHOTS (POPULATION) - Percentage of adults ages 50-64 who receive a flu vaccine. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Behavioral Risk Factor Surveillance System (BRFSS) | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

The Oregon Immunization Program supports the efforts of its various public and private partners (e.g., pharmacies, healthcare institutions, long-term care facilities) to immunize adults against influenza. These activities include: the work of Oregon's lifespan immunization coalition, Immunize Oregon; projects such as the 2013-2014 Adult Immunization Special Project, which sought to strengthen the adult immunization infrastructure; protocols and legislation supporting pharmacy vaccination practices; and the provision of

technical support to public and private providers. We also promote the use of the ALERT Immunization Information System (IIS). Pharmacists and providers that receive state-supplied vaccine are required to report vaccinations into this system.

## 2. ABOUT THE TARGETS

The goal is to increase influenza immunization rates to meet the Healthy People 2020 objective of 80% for individuals 18-64 years of age.

## 3. HOW WE ARE DOING

In 2012, 37% of 50-64 year olds in Oregon had received an influenza vaccination in the past 12 months. This measure has shown little improvement over the years.

## 4. HOW WE COMPARE

In 2012, 37% of 50-64 year olds in Oregon had received an influenza vaccination in the past 12 months. In comparison, 42.7% of people in this age range, nationwide, received an influenza vaccination. State-specific vaccination rate estimates range from 32.6% to 51.1%.

## 5. FACTORS AFFECTING RESULTS

Immunization rates are influenced by public perception of the need for and efficacy of vaccinations. Factors that negatively influence rates include: the absence of policies that motivate health systems to routinely vaccinate all clients and employees (although improvement has been seen on this point in recent years), limited funding for adult immunizations, and challenges around increasing provider use of the ALERT IIS – the statewide immunization registry – that could provide immunization information for providers about their adult populations. During the 2007 legislative session, HB 2188 passed expanding ALERT IIS to a lifespan registry, and during the 2011 legislative session, HB 2371 passed stating that VFC and 317 providers need to report all administered doses to ALERT IIS. Pharmacies are now also required to report all administered vaccines to the ALERT IIS. Over the next few years as the IIS collects and processes data, the IIS will contain more comprehensive immunization histories across the lifespan, which will help healthcare providers identify candidates for vaccine and potentially send out reminders to clients to seek out an influenza immunization every year.

## 6. WHAT NEEDS TO BE DONE

Depending on available resources and with the support of Immunize Oregon, we plan on the following:

- Continue to educate the public and healthcare providers about the benefits of influenza vaccinations
- Continue to support efforts to increase vaccination of health care workers
- Increase the number of adult providers who report vaccination information to the ALERT IIS
- Assess adult population capture in the IIS to produce near real-time estimates of coverage, by county, throughout the flu season
- Continue to promote the administration of influenza vaccine whenever immunization providers give any other immunization, such as pneumococcal vaccine or

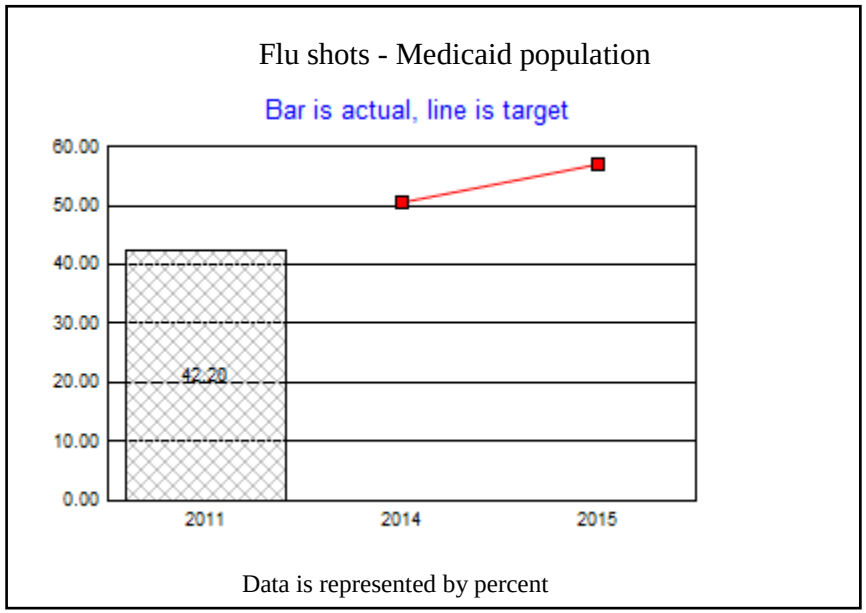
tetanus/diphtheria/pertussis vaccine

- Continue to partner with pharmacies, as these are commonly the vaccination venues for older adults

## 7. ABOUT THE DATA

Reporting period - calendar year. This measure presents the percent of adults, 50-64 years of age, who reported receiving an influenza vaccination in the previous 12 months as reported on the Behavioral Risk Factor Surveillance survey (BRFSS). [Survey question: During the past 12 months, have you had a flu shot?]. Please note that although responses can be for either intra-muscular or nasal vaccine, the nasal vaccine is only given up through age 49. Data for 2013 were not yet available at the time of this writing. Beginning in 2011, a different weighting system was used such that estimates before 2011 are not directly comparable to those for 2011 and subsequent years.

| KPM #28 | FLU SHOTS (MEDICAID) - Percentage of adults ages 50-64 who receive a flu vaccine. | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Behavioral Risk Factor Surveillance System (BRFSS) | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

The Oregon Immunization Program supports the efforts of its various public and private partners (e.g., pharmacies, healthcare institutions, long-term care facilities) to immunize adults against influenza. These activities include: the work of Oregon's lifespan immunization coalition, Immunize Oregon; projects such as the 2013-2014 Adult Immunization Special Project, which sought to strengthen the adult immunization infrastructure; protocols and legislation supporting pharmacy vaccination practices; and the provision of

technical support to public and private providers. We also promote the use of the ALERT Immunization Information System (IIS). Pharmacists and providers that receive state-supplied vaccine are required to report vaccinations into this system.

## 2. ABOUT THE TARGETS

The goal is to increase influenza immunization rates to meet the Healthy People 2020 objective of 80% for individuals 18-64 years of age.

## 3. HOW WE ARE DOING

In 2012, 37% of all 50-64 year olds in Oregon (not just Medicaid recipients) had received an influenza vaccination in the past 12 months. This measure has shown little improvement over the years.

## 4. HOW WE COMPARE

In 2012, nationwide, 42.7% of all people in this age range received an influenza vaccination. State-specific vaccination rate estimates range from 32.6% to 51.1% with Oregon's rate at 37%.

## 5. FACTORS AFFECTING RESULTS

Immunization rates are influenced by public perception of the need for and efficacy of vaccinations. Factors that negatively influence rates include: the absence of policies that motivate health systems to routinely vaccinate all clients and employees (although improvement has been seen on this point in recent years), limited funding for adult immunizations, and challenges around increasing provider use of the ALERT IIS – the statewide immunization registry – that could provide immunization information for providers about their adult populations. During the 2007 legislative session, HB 2188 passed expanding ALERT IIS to a lifespan registry, and during the 2011 legislative session, HB 2371 passed stating that VFC and 317 providers need to report all administered doses to ALERT IIS. Pharmacies are now also required to report all administered vaccines to the ALERT IIS. Over the next few years as the IIS collects and processes data, the IIS will contain more comprehensive immunization histories across the lifespan, which will help healthcare providers identify candidates for vaccine and potentially send out reminders to clients to seek out an influenza immunization every year.

## 6. WHAT NEEDS TO BE DONE

Depending on available resources and with the support of Immunize Oregon, we plan on the following:

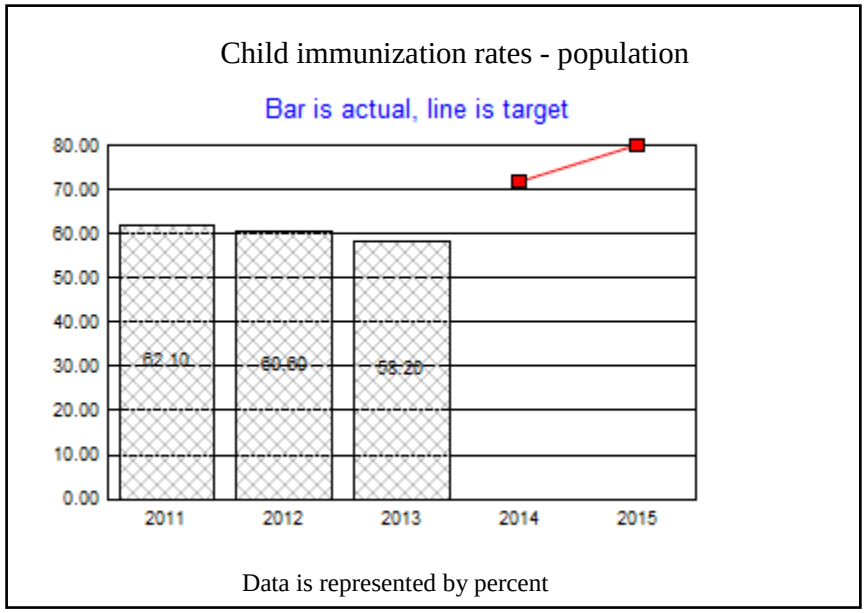
- Continue to educate the public and healthcare providers about the benefits of influenza vaccinations
- Continue to support efforts to increase vaccination of health care workers
- Increase the number of adult providers who report vaccination information to the ALERT IIS
- Assess adult population capture in the IIS to produce near real-time estimates of coverage, by county, throughout the flu season

- Continue to promote the administration of influenza vaccine whenever immunization providers give any other immunization, such as pneumococcal vaccine or tetanus/diphtheria/pertussis vaccine
- Continue to partner with pharmacies, as these are commonly the vaccination venues for older adults

## 7. ABOUT THE DATA

Reporting period – calendar year. This measure presents the influenza immunization rate among Medicaid recipients, 50-64 years of age. The source of the immunization data is the ALERT IIS, which is a statewide system that records reported immunization data from 100% of public providers and 93% of private providers. Rates are obtained by matching Medicaid enrollees from the MMIS/DSSURS system against information in the ALERT IIS.

| KPM #29 | CHILD IMMUNIZATION RATES (POPULATION) - Percentage of children who are adequately immunized (immunization series 4:3:1:3:3:1:4). | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

The Vaccines for Children program supplies vaccine and technical assistance to private and public providers who serve eligible children. The ALERT Immunization Information System (IIS) maintains a database of all reported vaccine for provider reference and identifies all shots due. Pharmacists and providers that receive state-supplied vaccine are

required to report vaccinations into this system. Vaccines, funds, and technical assistance are provided annually to local health departments to improve immunization coverage rates for children. Education and training opportunities are offered for public and private providers throughout the year to provide up-to-date information about vaccine efficacy, safety, and reporting, as well as storage and handling.

## 2. ABOUT THE TARGETS

The goal is to increase immunization rates to meet the Healthy People 2020 objective of 80% coverage for the 4:3:1:3:3:1:4 series. The rate is calculated for the percent of children immunized with four or more doses of diphtheria, tetanus and pertussis (DTaP); three or more doses of polio; one or more doses of measles, mumps, rubella (MMR); three or more doses of *Haemophilus Influenzae* type b; three or more doses of hepatitis B; one or more doses of varicella, and four or more doses of pneumococcal conjugate vaccine (4:3:1:3:3:1:4).

## 3. HOW WE ARE DOING

The 4:3:1:3:3:1:4 rate for children 24-35 months of age in 2013 is 58.2%. This is a decline from 60.6% in 2012 and 62.1% in 2011.

## 4. HOW WE COMPARE

This KPM reflects children 24-35 months olds with vaccines reported to the statewide immunization information system (IIS). A national comparison is difficult because national data is based on the National Immunization Survey (NIS), which is a telephone survey that samples a limited number of Oregon residents 19-35 months of age. However, the national NIS rate for the 4:3:1:3:3:1:4 series in2012 was 68.4% (+/- 1.4%), with 68.7% (+/- 6.7%) for Oregon, 65.2% (+/- 7.2%) for Washington, and 63.0% (+/- 8.2%) for Idaho.

## 5. FACTORS AFFECTING RESULTS

Completion of the four-dose PCV series has declined from 77..8% in 2010, 75.6 in 2011, and 73.7% in 2013. Other vaccines in the 4:3:1:3:3:1:4 series have stayed generally stable during that time. The Immunization Program oversees the Vaccines for Children (VFC) program, a federally funded entitlement that provides vaccines at no cost to children who might not otherwise be vaccinated because of inability to pay. The success of VFC is based upon partnership between the Oregon Immunization Program and public and private providers. Ninety-five percent of Oregon's childhood immunizations are captured in the ALERT IIS, which is used to estimate immunization rates, while also providing a clinical record for providers to accurately assess the vaccine needs of individual children. Other influences include parent and provider knwoledge, attitudes, and practices.

## 6. WHAT NEEDS TO BE DONE

To continue our success, OHA needs to:

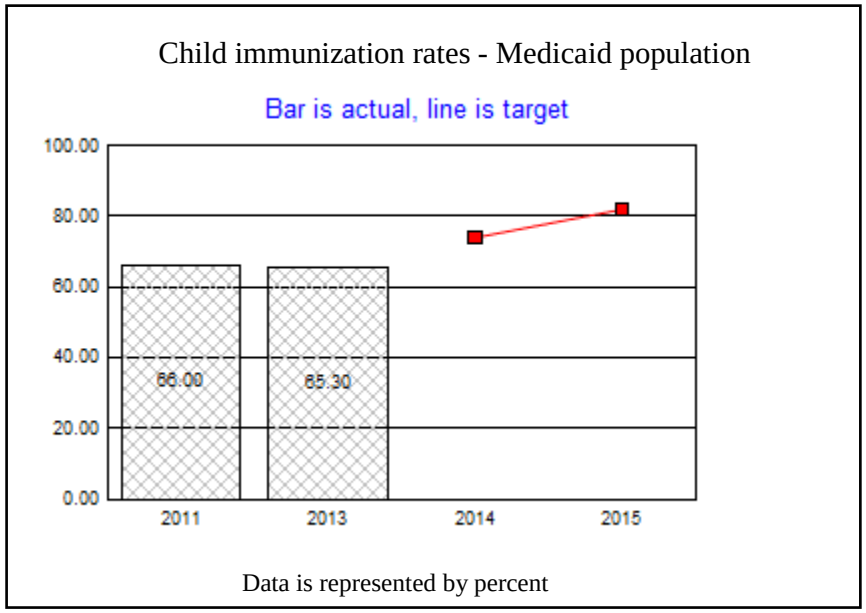
- Continue to provide funding, vaccines, and consultation to all local health departments
- Continue to work with other OHA programs to identify referral and assessment opportunities

· Continue to work with internal and external partners to effectively communicate with consumers regarding vaccine safety and the importance of receiving vaccines according to the ACIP-recommended vaccine schedule
· Continue to work with the Centers for Disease Control and Prevention (CDC), vaccine manufacturers, and providers to assure that appropriate strategies are in place for storage and handling of vaccines, as well as strategies specifically designed to respond to a vaccine shortage
· Support the implementation of SB 132, which requires parents, who are seeking non-medical exemptions from vaccinations, to submit either a provider signature or a certificate verifying that they have received education about the risks associated with not immunizing their child

## 7. ABOUT THE DATA

Reporting cycle – calendar year. This measure presents the statewide immunization rate for children 24 to 35 months of age. The data source is the ALERT IIS, which is a statewide system that records reported immunization data from 100% of public providers and 93% of private providers. The immunizations assessed include 4 DTaP, 3 Polio, 1 MMR, 3 Hib, 3 Hepatitis B, 1 Varicella and 4 PCV (4:3:1:3:3:1:4).

| KPM #30 | CHILD IMMUNIZATION RATES (MEDICAID) - Percentage of children who are adequately immunized (immunization series 4:3:1:3:3:1:4). | 2013 |
|---|---|---|
| **Goal** | Better health | |
| **Oregon Context** | Better health | |
| **Data Source** | Administrative data | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

The Vaccines for Children program supplies vaccine and technical assistance to private and public providers who serve eligible children. The ALERT Immunization Information System (IIS) maintains a database of all reported vaccine for provider reference and identifies all shots due. Pharmacists and providers that receive state-supplied vaccine are

required to report vaccinations into this system. Vaccines, funds, and technical assistance are provided annually to local health departments to improve immunization coverage rates for children. Education and training opportunities are offered for public and private providers throughout the year to provide up-to-date information about vaccine efficacy, safety, and reporting, as well as storage and handling.

## 2. ABOUT THE TARGETS

The goal is to increase immunization rates to meet the Healthy People 2020 objective of 80% coverage for the 4:3:1:3:3:1:4 series. The rate is calculated for the percent of children immunized with four or more doses of diphtheria, tetanus and pertussis (DTaP); three or more doses of polio; one or more doses of measles, mumps, rubella (MMR); three or more doses of *Haemophilus Influenzae* type b; three or more doses of hepatitis B; one or more doses of varicella, and four or more doses of pneumococcal conjugate vaccine (4:3:1:3:3:1:4).

## 3. HOW WE ARE DOING

In 2011, the Medicaid baseline rate used for CCO incentive measure calculation was 66.0%; this decreased slightly in 2013 to 65.3%.

## 4. HOW WE COMPARE

The National Immunization Survey (NIS) involves a telephone survey that samples Oregon residents 19-35 months of age. The national rate for the 4:3:1:3:3:1:4 series in 2011-12 was 68.4% (+/- 1.4%), with 68.4% (+/- 6.8%) for Oregon, 61.7% (+/- 8.4%) for Washington, and 61.4% (+/- 8.0%) for Idaho.

## 5. FACTORS AFFECTING RESULTS

The Immunization Program oversees the Vaccines for Children (VFC) program, a federally funded entitlement that provides vaccines at no cost to children who might not otherwise be vaccinated because of inability to pay. The success of VFC is based upon partnership between the Oregon Immunization Program and public and private providers. Ninety-five percent of Oregon's childhood immunizations are captured in the ALERT IIS, which is used to estimate immunization rates, while also providing a clinical record for providers to accurately assess the vaccine needs of individual children. Other influences include parent and provider knowledge, attitudes, and practices.

## 6. WHAT NEEDS TO BE DONE

To continue our success, OHA needs to:

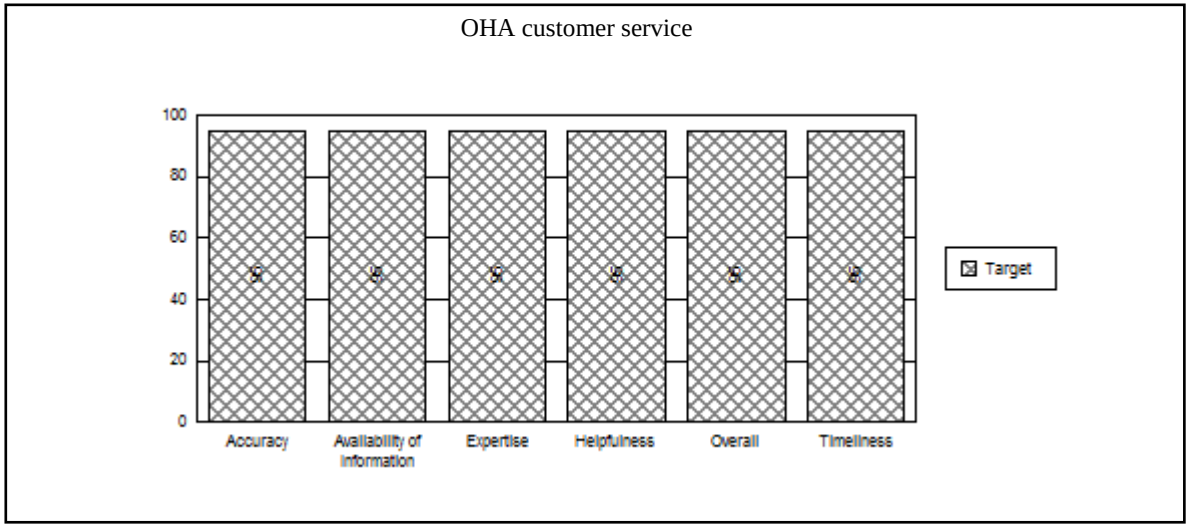
- Continue to provide funding, vaccines, and consultation to all local health departments
- Continue to work with other OHA programs to identify referral and assessment opportunities
- Continue to work with internal and external partners to effectively communicate with consumers regarding vaccine safety and the importance of receiving vaccines according to the ACIP-recommended vaccine schedule

· Continue to work with the Centers for Disease Control and Prevention (CDC), vaccine manufacturers, and providers to assure that appropriate strategies are in place for storage and handling of vaccines, as well as strategies specifically designed to respond to a vaccine shortage
· Support the implementation of SB 132, which requires parents, who are seeking non-medical exemptions from vaccinations, to submit either a provider signature or a certificate verifying that they have received education about the risks associated with not immunizing their child.

## 7. ABOUT THE DATA

Reporting period – calendar year. This measure presents the two-year old child immunization rate among Medicaid recipients. The immunizations assessed include 4 DTaP, 3 Polio, 1 MMR, 3 Hib, 3 Hepatitis B, 1 Varicella and 4 PCV (4:3:1:3:3:1:4). The source of the immunization data is Medicaid administrative claims data, combined with ALERT IIS, which is a statewide system that records reported immunization data from 100% of public providers and 93% of private providers. Rates are obtained by matching Medicaid enrollees from the MMIS/DSSURS system against information in the ALERT IIS.

| KPM #31 | CUSTOMER SERVICE (OHA) - Percentage of OHA customers rating their satisfaction with the agency's customer service as "good" or "excellent" overall, timeliness, accuracy, helpfulness, expertise, availability of information. | 2006 |
|---|---|---|
| **Goal** | OHA Mission: Helping people and communities achieve optimum physical, mental and social well-being through partnerships, prevention and access to quality, affordable health care. | |
| **Oregon Context** | OHA Mission: Helping people and communities achieve optimum physical, mental and social well-being through partnerships, prevention and access to quality, affordable health care. | |
| **Data Source** | Surveys - TBD | |
| **Owner** | OHA Performance Management Coordinator, 503-602-1507 | |




## 1. OUR STRATEGY

OHA is fundamentally changing the way we do business to provide more effective and efficient services and improve our own accountability. The goal is to build a foundation for continuous improvement so we are always doing our best work by routinely measuring our performance and resolving issues. Our transformation efforts will result in reduced red tape, reduced wait time for clients and improved customer service.

## 2. ABOUT THE TARGETS

Targets were established from previous customer service results.

## 3. HOW WE ARE DOING

There is no data available for OHA overall at this time.The Oregon Educator's Benefit Board conducted a survey of members. The results for the time period of October 2-12 - September 2013 are as follows:Timeliness of services provided = 84.5%Ability to provide services correctly the first time = 83.6%Helpfulness of staff = 84.1%Knowledge and expertise of staff = 83.1%Availability of information = 78.7%Overall service = 83.0%

## 4. HOW WE COMPARE

At this time, we are unable to compare our results to other agencies and organizations.

## 5. FACTORS AFFECTING RESULTS

As we collect new data, we will have a better understanding of the factors affecting the results.

## 6. WHAT NEEDS TO BE DONE

As we continue transforming the health systems in Oregon, we need to establish a more thorough and sustainable way to collect feedback from those we serve. We will be putting those pieces in place over the next year.

## 7. ABOUT THE DATA

Calendar year.

| OREGON HEALTH AUTHORITY | III. USING PERFORMANCE DATA |
|---|---|
| **Agency Mission:** Helping people and communities achieve optimum physical, mental and social well-being through partnerships, prevention and access to quality, affordable health care. | |

| | |
|---|---|
| **Contact:** Cathy Iles, OHA Director's Office | **Contact Phone:** 503-602-1507 |
| **Alternate:** | **Alternate Phone:** |

| The following questions indicate how performance measures and data are used for management and accountability purposes. | |
|---|---|
| **1. INCLUSIVITY** | *** Staff :** Staff are involved in the identification and refinement of Key Performance Measures. Feedback is sought to validate the measures. Over the next biennium, staff will become more involved in identifying, tracking and using performance metrics to make improvements to the work we do. These metrics should ultimately link to our KPMs or other high-level measures and inform us of our progress.<br><br>*** Elected Officials:** Elected officials provide input to the agency KPMs, targets and strategies.<br><br>*** Stakeholders:** Customer feedback is gathered to help guide strategies for effective service delivery. We continue to work closely with Legislative Fiscal Office and DAS Budget and Management to ensure we are making continuous improvements to our KPMs so they provide useful and relevant information for decision-making and management.<br><br>*** Citizens:** Community forums related to budget development and priority-setting are a way to identify and validate priorities, expectations and performance areas. |
| **2 MANAGING FOR RESULTS** | OHA continues to develop an internal performance management system that will provide a foundation for measuring the effectiveness of the routine work, actively managing breakthrough initiatives, conducting regular check-ins to review results and engage in continuous improvement. As we start to connect the performance management system throughout the organization it creates a line of sight for employees to understand the impact of their work on the mission, vision and goals of the agency. |
| **3 STAFF TRAINING** | Management and staff continue to receive training related to continuous improvement and Lean tools. Training in both online and classroom formats is available. The courses are introducing staff to the principles and concepts for thinking about work in terms of systems, processes and continuous improvement. A component of these trainings focus on metrics and how to effectively measure the results of our work. People are becoming more familiar with using data and |

| | |
|---|---|
| | information to inform our strategies and decision-making.<br><br>Required courses for managers teach about creating a culture of continuous improvement to achieve results to become a high-performing organization. Workshops help prepare managers to assist their work groups to establish and sustain Lean Daily Management elements and practices, and improve their ability to guide work teams to constructively and practically select and use metrics to improve their work. |
| **4 COMMUNICATING RESULTS** | *** Staff :** The annual performance report is posted online and used for information sharing. Regular communication to staff reinforces the importance of gathering and using data to inform decision-making and understanding the effectiveness of our programs.<br><br>*** Elected Officials:** The annual performance report is posted online and included in the agency request document for purposes of sharing performance results, showing accountability, and informing the budget development process. KPMs are presented during the Ways & Means presentations to describe program results.<br><br>*** Stakeholders:** The annual performance report is posted online and used for information sharing.<br><br>*** Citizens:** The annual performance report is posted online and used for information sharing. |

# Oregon Health Authority
## Staffing Ratio Report

OHA's mission given to us by the Governor, the Legislature and agency leadership is that we must ensure the agency can support a health care system that is patient-centered, coordinated and reduces waste and inefficiency.

We are approaching this in a way that balances the intent of House Bill 3165 (which was previously reported under the requirements of HB 4131,) the ongoing changes to the health delivery system and how OHA can support these changes long term, including the implementation of our management system. As of November 1, 2014 OHA has met our target of 1:11 and we will continue to monitor our monthly ratios to ensure on-going compliance.