

Memorandum

To: CCO Representatives

From: Lori Coyner, Director of Health Analytics

Date: September 29, 2015

Subject: 834s for DHS Custody Measure

Dear CCO Representative,

OHA is changing the process of CCO notification for children who enter DHS custody. Over the next few months, we will switch from the current weekly notification process to the daily 834 enrollment files. This change will allow for more timely and accurate communication about children for whom the CCO is accountable under the Assessments for Children in DHS Custody incentive measure. The timeline below gives further details on the transition.

Timeline for Switching to 834s

- October 1 – December 31, 2015: 834 enrollment files will be provided concurrently with weekly notification files. The 2015 CCO incentive measure will continue to be based on children included in the weekly notification files. However, CCOs are encouraged to become familiar with the 834 enrollment files during this timeframe in order to be fully prepared for the transition on January 1.

Note: Only children who enter DHS custody through October 31, 2015 will be included in the denominator due to the cut-off date established in the measure specifications to allow for the 60 day window for follow up services to occur within the calendar year. Weekly notification files provided November 1 through December 31 are to support CCO validation and the transition to the 834s only.

- January 1, 2016: 834 enrollment files will be used to identify children to be included in the 2016 CCO Incentive measure. Weekly notification files will no longer be provided. CCO FTP sites will no longer be used for ongoing metrics-related communication.

Please see below for additional information on how to read the 834 files to identify children entering DHS custody. This information will also be posted online at www.oregon.gov/oha/analytics/Pages/CCO-Baseline-Data.aspx.



Using 834 enrollment files to determine Children entering DHS Custody

This document describes how to identify children in DHS custody using the 834 enrollment files; this process reflects the same information that OHA has used historically to generate the weekly notification files and does not include any changes in the measure specifications or children who are identified as entering custody.

For additional information about the measure, including the specifications and guidance document, please see: www.oregon.gov/oha/analytics/Pages/CCO-Baseline-Data.aspx

About 834s

834 enrollment files (EDI 834 transaction set) are used for the electronic exchange of member enrollment information. The 834 transaction may be used for any of the following functions:

- New enrollments
- Changes in a member's enrollment
- Reinstatement of a member's enrollment
- Disenrollment / termination.

For more information about 834 enrollment files, please visit: www.oregon.gov/oha/healthplan/Pages/edi-resources.aspx.

Key Components of the 834

When using 834 enrollment files to identify children in DHS custody, there are several types of codes that are needed to identify children who are potentially eligible for the denominator.

Step 1: Identify all children with Program Eligibility Reporting Codes (PERCs) 19 or GA.

- | | |
|----|----------------------------------------------------------------------|
| 19 | Child welfare |
| GA | General Assistance; not Medicaid, but same benefits and CCO coverage |

Step 2: Exclude children who are Oregon Youth Authority (OYA) clients. They are identified by the division code "OYA" on the 834.

Step 3: Exclude children who are adoption / guardian / or non-foster cases, as identified by branch codes "6050" or "0060."

Note: The current MMIS hierarchy does not protect records of children in Child Welfare from changes by other users, resulting in some eligibility changes that are corrections for errors and don't reflect real-life changes in a child's eligibility. A child may have new 834 enrollment files that meet the above criteria for potential inclusion in the measure denominator, but may later drop out of the denominator due to continuous enrollment criteria or when other exclusion criteria are manually applied when data are reconciled with child welfare.

This process is intended to help CCOs identify children who might be in need of mental, physical, and dental assessments and is not a guarantee that all children with 834 enrollment files that meet these criteria are new to DHS custody, are in need of assessments, and/or will remain in the final measure denominator.

Coverage effective date options in MMIS for CCO metric

- Coverage/CCO assignment can change several times in first 60 days. CCOs may receive several 834s for the same child as a result of administrative changes. For the CCO incentive measure, OHA will measure from the date of the earliest 834 that shows the child enrolled in a particular CCO.
- Eligibility effective date can be back-dated, in which case the information was not available to CCOs on the effective date; this date should match date the child entered foster, but doesn't always

MMIS is less reliable than OR-Kids for pinpointing the date of entry into foster care, but can pinpoint when coverage under Child Welfare begins or the date from which CCO's should be aware a child has entered foster care and has been assigned to that CCO.

Reading an 834

Below is an example of an 834 record and how to use the above criteria to identify children in DHS custody. This example is not a translation of the 834; it is a manual process to make the file readable in a Word document. Your EDI group should be able to translate the 834 into a format for data analysis.

```
ISA*00*          *00*          *ZZ*ORDHS          *ZZ*MB000051
*150424*0026*! *00501*000000339*0*P*~GS*BE*ORDHS*MB000051*20150424*002602*9339*X*005010X220A1~ST*834*0001*00
5010X220A1~BGN*00*122627201504240026021193*20150424*0026*PT***2~REF*38*122627~N1*P5*OR-
MMIS*FI*930592162~N1*IN**FI*931064094~INS*Y*18*001*AI*A*C**AC**N~REF*OF*AH60634B~REF*3H*EL57942SSD~REF*23*AH
60634B~REF*F6*542151149A~DTP*356*D8*20120109~DTP*357*D8*20150430~NM1*IL*1*~***34*542151149~N3*4
186 ~N4*SALEM*OR*973050000**CY*047~DMG*D8*19770716*M**C:RET:2186-
5~AMT*P3*24.08~LUI*LE*ENG**7~LUI*LE*ENG**5~DSB*2~HD*001**DCP*N*IND~DTP*348*D8*20141201~REF*17*D4~COB*U**5~DT
P*344*D8*20030501~NM1*IN*2*MEDICARE NW - PART A~N3*PO BOX
8110~N4*PORTLAND*OR*972078110~PER*CN**TE*8668040681~COB*U**5~DTP*344*D8*20030501~NM1*IN*2*MEDICARE-B/BC N
DAKOTA~N3*PO BOX 6740~N4*FARGO*ND*581086740~PER*CN**TE*8006334227~LS*2700~LX*1~N1*75*PREVIOUS EMP END
DATE~REF*ZZ*DATE~DTP*007*D8*20111031~LX*2~N1*75*BRANCH - WORKER~REF*3L*2411AE~LX*3~N1*75*FIPS
CODE~REF*GE*047~LX*4~N1*75*GROUP CODE~REF*XX1*A~LX*5~N1*75*BENEFIT PLAN~REF*PID*BMD~LX*6~N1*75*PROGRAM
ELIGIBILITY CODE~REF*17*D4~LX*7~N1*75*TPL CODE~REF*9X*01~LX*8~N1*75*REGION CODE
1~REF*XX2*D007~LX*9~N1*75*REGION CODE
2~REF*XX2*J055~LE*2700~INS*Y*18*001*AI*A*E**AC**N~REF*OF*AI301F3C~REF*3H*KW08701AFS~REF*23*AI301F3C~DTP*356*
D8*20150302~DTP*357*D8*20150430~NM1*IL*1*~***34*542150418~PER*IP**TE*5034539206~N3*11616 N
~N4*PORTLAND*OR*972170000**CY*051~DMG*D8*19811127*F**C:RET:2186-
5~AMT*P3*29.7~LUI*LE*ENG**7~LUI*LE*ENG**5~HD*001**DCP*N*IND~DTP*348*D8*20150423~REF*17*2~LS*2700~LX*1~N1*75*
BRANCH - WORKER~REF*3L*5503M5~LX*2~N1*75*FIPS CODE~REF*GE*051~LX*3~N1*75*GROUP
CODE~REF*XX1*I~LX*4~N1*75*BENEFIT PLAN~REF*PID*BMH~LX*5~N1*75*PROGRAM ELIGIBILITY
CODE~REF*17*P2~LX*6~N1*75*REGION CODE
1~REF*XX2*D013~LE*2700~INS*Y*18*001*AI*A*E**AC**N~REF*OF*AK100J2F~REF*3H*GT40607AFS~REF*23*DG700I5K~DTP*356*
D8*20150406~DTP*357*D8*20150430~NM1*IL*1*FULFER*JACEN*T***34*540550561~N3*698 ~
LN~N4*SPRINGFIELD*OR*974770000**CY*039~DMG*D8*19981029*M**C:RET:2186-
5~AMT*P3*22.96~LUI*LE*ENG**7~LUI*LE*ENG**5~NM1*QD*1*~HD*001**DCP*N*IND~DTP*348*D8*20150406~RE
```

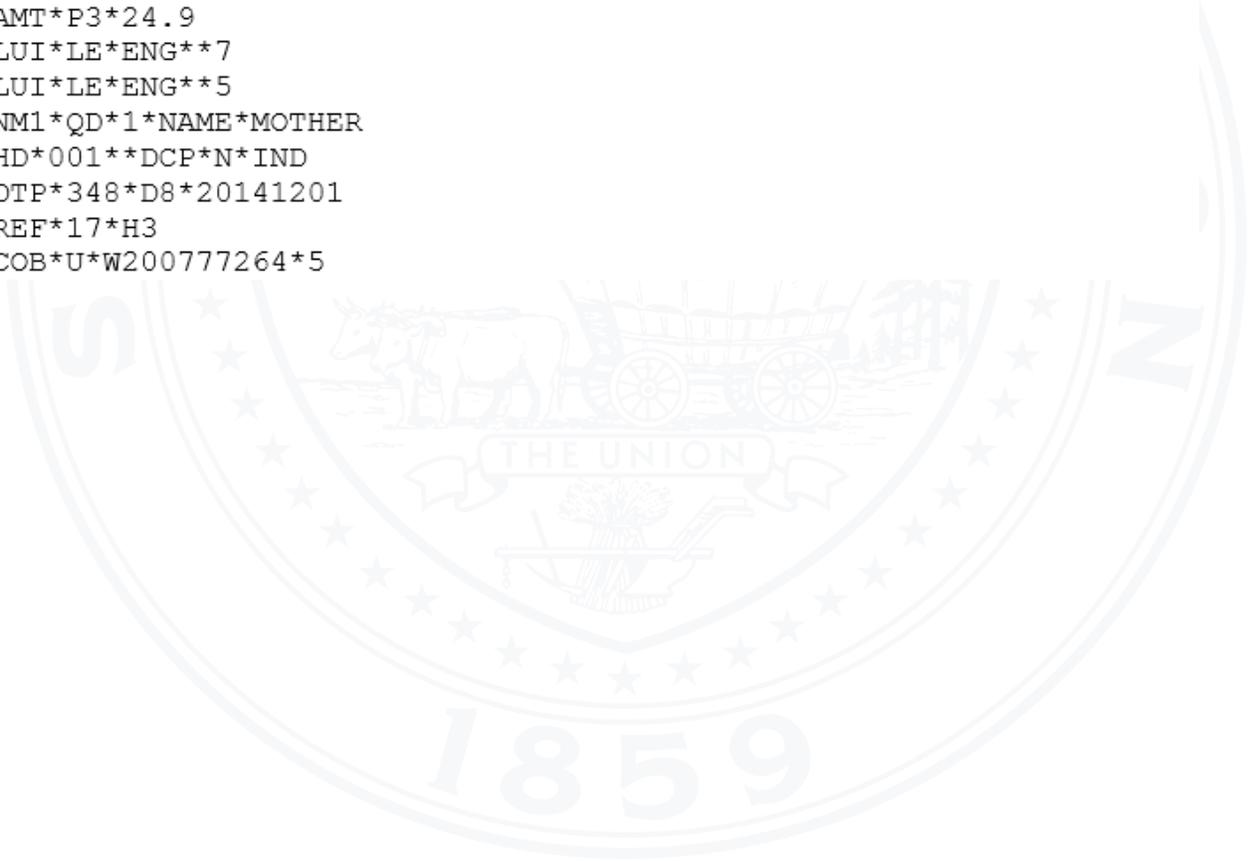
File open in Word with manual line breaks inserted where there were ~ symbols to improve readability. The date highlighted below (date of 834 file processing) is the “notification” date for the purposes of the incentive measure; the 60 day window for timely assessments begins on this date.

The two images displayed below represent a header string and single client record as one example of a child in DHS custody. The fields in the header string are primarily information for processing, e.g., dates, payer, etc. The fields in the individual's record that are used to identify the children in custody are highlighted, as well as supplemental information such as foster parent name.

Header String

ISA*00* *00* *ZZ*ORDHS *ZZ*MB000044
*150424*0026*!*00501*000000318*0*P*:
GS*BE*ORDHS*MB000044*20150424*002602*9318*X*005010X220A1
ST*834*0001*005010X220A1
BGN*00*500644563201504240026021193*20150424*0026*PT***2
REF*38*500644563
N1*P5*OR-MMIS*FI*930592162
N1*IN**FI*930933975
INS*Y*18*001*AI*A*E**AC**N
REF*0F*AR999W9Y
REF*3H*HR99999AFS
REF*23*XA99909C
DTP*356*D8*20141201
DTP*357*D8*20150430
NM1*IL*1*LAST*FIRST*X***34*540759417
PER*IP**TE*5033336978
N3*99999 SE STREETNAME 21
N4*PORTLAND*OR*979990000**CY*051
DMG*D8*20071106*F**H:RET:2135-2
AMT*P3*24.9
LUI*LE*ENG**7
LUI*LE*ENG**5
NM1*QD*1*NAME*MOTHER
HD*001**DCP*N*IND
DTP*348*D8*20141201
REF*17*H3
COB*U*W200777264*5

Date of 834 file processing
YYYYMMDD



Individual Client Record

INS*Y*18*001*AI*A*E**AC**N
REF*OF*RM900M6G
REF*3H*248776(CSD) — Division Code
REF*23*RM900M6G
DTP*356*D8*20150112
DTP*357*D8*20150430
NM1*IL*1*SMITH*GIRL*X***34*55555555
PER*IP**TE*5035427664
N3*9999 SW 99TH COURT
N4*CITYTOWN*OR*979990000**CY*091
DMG*D8*20010704*F**C:RET:2186-5
AMT*P3*23.26
LUI*LE*ENG**7
LUI*LE*UND**5
NM1*GD*1*BROWN, FOSTER — Foster Parent
NM1*QD*1*SMITH*MOTHER — Parent
HD*001**DCP*N*IND
DTP*348*D8*20150112
REF*17*19 — Eligibility Code
LS*2700
LX*1
N1*75*BRANCH - WORKER
REF*3L*2350,55 — Branch ID
LX*2
N1*75*FIPS CODE
REF*GE*051
LX*3
N1*75*GROUP CODE
REF*XX1*C
LX*4
N1*75*BENEFIT PLAN
REF*PID*BMH
LX*5
N1*75*PROGRAM ELIGIBILITY CODE
REF*17*19
LX*6
N1*75*REGION CODE 1
REF*XX2*D085
LX*7
N1*75*REGION CODE 2
REF*XX2*M013
LE*2700



Version Control

January 28, 2016: Under *Key Components of the 834* (page 3), “MA” was removed from the list of PERCS that can be used to identify children who are potentially eligible from the denominator, based on discussion at the January 27 Metrics TAG.

