

Tax Packet Chart: Tier One and Tier Two

Which tax forms do you need to fill out and send with your application?

The chart below will help you determine which tax forms must accompany your Tier One/Tier Two retirement application.

If you have selected...		...you will need to complete the forms marked with an "X" in the column directly across from the option you selected:		If rolling Lump Sum
Option you chose	Payment Type	<u>Form 1</u> W-4P	<u>Form 2</u> Lump-Sum Distribution Withholding Election	<u>Form 3</u> Direct Transfer Rollover Acceptance
Option 1 Option 2 Option 2A Option 3 Option 3A 15-Year Certain Refund Annuity	Monthly benefit	X		
Lump Sum 1 Lump Sum 2	Monthly benefit	X		
Lump Sum 2A Lump Sum 3 Lump Sum 3A	Lump-sum benefit		X	X
Total Lump Sum	Lump-sum benefit		X	X